

## 3 Growth of Functions

### 3.1 Asymptotic notation

#### 3.1-1

Let  $f(n) + g(n)$  be asymptotically nonnegative functions. Using the basic definition of  $\Theta$ -notation, prove that  $\max(f(n), g(n)) = \Theta(f(n) + g(n))$ .

For asymptotically nonnegative functions  $f(n)$  and  $g(n)$ , we know that

$$\begin{aligned} \exists n_1, n_2 : f(n) &\geq 0 && \text{for } n > n_1 \\ g(n) &\geq 0 && \text{for } n > n_2. \end{aligned}$$

Let  $n_0 = \max(n_1, n_2)$  and we know the equations below would be true for  $n > n_0$ :

$$\begin{aligned} f(n) &\leq \max(f(n), g(n)) \\ g(n) &\leq \max(f(n), g(n)) \\ (f(n) + g(n))/2 &\leq \max(f(n), g(n)) \\ \max(f(n), g(n)) &\leq (f(n) + g(n)). \end{aligned}$$

Then we can combine last two inequalities:

$$0 \leq \frac{f(n) + g(n)}{2} \leq \max(f(n), g(n)) \leq f(n) + g(n).$$

Which is the definition of  $\Theta(f(n) + g(n))$  with  $c_1 = \frac{1}{2}$  and  $c_2 = 1$

#### 3.1-2

Show that for any real constants  $a$  and  $b$ , where  $b > 0$ ,

$$(n + a)^b = \Theta(n^b). \quad (3.2)$$

Expand  $(n + a)^b$  by the Binomial Expansion, we have

$$(n + a)^b = C_0^b n^b a^0 + C_1^b n^{b-1} a^1 + \dots + C_b^b n^0 a^b.$$

Besides, we know below is true for any polynomial when  $x \geq 1$ .

$$a_0 x^0 + a_1 x^1 + \dots + a_n x^n \leq (a_0 + a_1 + \dots + a_n) x^n.$$

Thus,

$$\begin{aligned} C_0^b n^b &\leq C_0^b n^b a^0 + C_1^b n^{b-1} a^1 + \dots + C_b^b n^0 a^b \leq (C_0^b + C_1^b + \dots + C_b^b) n^b = 2^b n^b. \\ \Rightarrow (n + a)^b &= \Theta(n^b). \end{aligned}$$

#### 3.1-3

Explain why the statement, "The running time of algorithm A is at least  $O(n^2)$ ," is meaningless.

$T(n)$ : running time of algorithm A. We just care about the upper bound and the lower bound of  $T(n)$ .

The statement:  $T(n)$  is at least  $O(n^2)$ .

- Upper bound: Because " $T(n)$  is at least  $O(n^2)$ ", there's no information about the upper bound of  $T(n)$ .
- Lower bound: Assume  $f(n) = O(n^2)$ , then the statement:  $T(n) \geq f(n)$ , but  $f(n)$  could be any function that is "smaller" than  $n^2$ . For example, constant,  $n$ , etc, so there's no conclusion about the lower bound of  $T(n)$ , too.

Therefore, the statement, "The running time of algorithm A is at least  $O(n^2)$ ," is meaningless.

### 3.1-4

Is  $2^{n+1} = O(2^n)$ ? Is  $2^{2n} = O(2^n)$ ?

- True. Note that  $2^{n+1} = 2 \times 2^n$ . We can choose  $c \geq 2$  and  $n_0 = 0$ , such that  $0 \leq 2^{n+1} \leq c \times 2^n$  for all  $n \geq n_0$ . By definition,  $2^{n+1} = O(2^n)$ .
- False. Note that  $2^{2n} = 2^n \times 2^n = 4^n$ . We can't find any  $c$  and  $n_0$ , such that  $0 \leq 2^{2n} = 4^n \leq c \times 2^n$  for all  $n \geq n_0$ .

### 3.1-5

Prove Theorem 3.1.

The theorem states:

For any two functions  $f(n)$  and  $g(n)$ , we have  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

From  $f = \Theta(g(n))$ , we have that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for } n > n_0.$$

We can pick the constants from here and use them in the definitions of  $O$  and  $\Omega$  to show that both hold.

From  $f(n) = \Omega(g(n))$  and  $f(n) = O(g(n))$ , we have that

$$\begin{aligned} 0 \leq c_3 g(n) \leq f(n) & \quad \text{for all } n \geq n_1 \\ \text{and } 0 \leq f(n) \leq c_4 g(n) & \quad \text{for all } n \geq n_2. \end{aligned}$$

If we let  $n_3 = \max(n_1, n_2)$  and merge the inequalities, we get

$$0 \leq c_3 g(n) \leq f(n) \leq c_4 g(n) \text{ for all } n > n_3.$$

Which is the definition of  $\Theta$ .

### 3.1-6

Prove that the running time of an algorithm is  $\Theta(g(n))$  if and only if its worst-case running time is  $O(g(n))$  and its best-case running time is  $\Omega(g(n))$ .

If  $T_w$  is the worst-case running time and  $T_b$  is the best-case running time, we know that

$$\begin{aligned} 0 \leq c_1 g(n) \leq T_b(n) & \quad \text{for } n > n_b \\ \text{and } 0 \leq T_w(n) \leq c_2 g(n) & \quad \text{for } n > n_w. \end{aligned}$$

Combining them we get

$$0 \leq c_1 g(n) \leq T_b(n) \leq T_w(n) \leq c_2 g(n) \text{ for } n > \max(n_b, n_w).$$

Since the running time is bound between  $T_b$  and  $T_w$  and the above is the definition of the  $\Theta$ -notation, proved.

### 3.1-7

Prove  $o(g(n)) \cap w(g(n))$  is the empty set.

Let  $f(n) = o(g(n)) \cap w(g(n))$ . We know that for any  $c_1 > 0$ ,  $c_2 > 0$ ,

$$\begin{aligned} \exists n_1 > 0 : 0 \leq f(n) < c_1 g(n) \\ \text{and } \exists n_2 > 0 : 0 \leq c_2 g(n) < f(n). \end{aligned}$$

If we pick  $n_0 = \max(n_1, n_2)$ , and let  $c_1 = c_2$ , from the problem definition we get

$$c_1 g(n) < f(n) < c_1 g(n).$$

There is no solutions, which means that the intersection is the empty set.

### 3.1-8

We can extend our notation to the case of two parameters  $n$  and  $m$  that can go to infinity independently at different rates. For a given function  $g(n, m)$  we denote  $O(g(n, m))$  the set of functions:

$$O(g(n, m)) = \{f(n, m) : \text{there exist positive constants } c, n_0, \text{ and } m_0 \\ \text{such that } 0 \leq f(n, m) \leq cg(n, m) \\ \text{for all } n \geq n_0 \text{ or } m \geq m_0. \}$$

Give corresponding definitions for  $\Omega(g(n, m))$  and  $\Theta(g(n, m))$ .

$$\Omega(g(n, m)) = \{f(n, m) : \text{there exist positive constants } c, n_0, \text{ and } m_0 \text{ such that} \\ 0 \leq cg(n, m) \leq f(n, m) \text{ for all } n \geq n_0 \text{ and } m \geq m_0. \}$$

$$\Theta(g(n, m)) = \{f(n, m) : \text{there exist positive constants } c_1, c_2, n_0, \text{ and } m_0 \text{ such that} \\ 0 \leq c_1 g(n, m) \leq f(n, m) \leq c_2 g(n, m) \text{ for all } n \geq n_0 \text{ and } m \geq m_0. \}$$

## 3.2 Standard notations and common functions

### 3.2-1

Show that if  $f(n)$  and  $g(n)$  are monotonically increasing functions, then so are the functions  $f(n) + g(n)$  and  $f(g(n))$ , and if  $f(n)$  and  $g(n)$  are in addition nonnegative, then  $f(n) \cdot g(n)$  is monotonically increasing.

$$\begin{aligned} f(m) &\leq f(n) && \text{for } m \leq n \\ g(m) &\leq g(n) && \text{for } m \leq n, \\ \rightarrow f(m) + g(m) &\leq f(n) + g(n), \end{aligned}$$

which proves the first function.

Then

$$f(g(m)) \leq f(g(n)) \text{ for } m \leq n.$$

This is true, since  $g(m) \leq g(n)$  and  $f(n)$  is monotonically increasing.

If both functions are nonnegative, then we can multiply the two equalities and we get

$$f(m) \cdot g(m) \leq f(n) \cdot g(n).$$

### 3.2-2

Prove equation (3.16).

$$a^{\log_b c} = a^{\frac{\log_a c}{\log_a b}} = (a^{\log_a c})^{\frac{1}{\log_a b}} = c^{\log_b a}$$

### 3.2-3

Prove equation (3.19). Also prove that  $n! \neq \omega(2^n)$  and  $n! \neq o(n^n)$ .

$$\lg(n!) = \Theta(n \lg n) \tag{3.19}$$

We can use **Stirling's approximation** to prove these three equations.

For equation (3.19),

$$\begin{aligned}
 \lg(n!) &= \lg \left( \sqrt{2\pi n} \left( \frac{n}{e} \right)^n \left( 1 + \Theta\left(\frac{1}{n}\right) \right) \right) \\
 &= \lg \sqrt{2\pi n} + \lg \left( \frac{n}{e} \right)^n + \lg \left( 1 + \Theta\left(\frac{1}{n}\right) \right) \\
 &= \Theta(\sqrt{n}) + n \lg \frac{n}{e} + \lg \left( \Theta(1) + \Theta\left(\frac{1}{n}\right) \right) \\
 &= \Theta(\sqrt{n}) + \Theta(n \lg n) + \Theta\left(\frac{1}{n}\right) \\
 &= \Theta(n \lg n).
 \end{aligned}$$

For  $n! \neq \omega(2^n)$ ,

$$\begin{aligned}
 \lim_{n \rightarrow \infty} \frac{2^n}{n!} &= \lim_{n \rightarrow \infty} \frac{2^n}{\sqrt{2\pi n} \left( \frac{n}{e} \right)^n \left( 1 + \Theta\left(\frac{1}{n}\right) \right)} \\
 &= \lim_{n \rightarrow \infty} \frac{1}{\sqrt{2\pi n} \left( 1 + \Theta\left(\frac{1}{n}\right) \right)} \left( \frac{2e}{n} \right)^n \\
 &\leq \lim_{n \rightarrow \infty} \left( \frac{2e}{n} \right)^n \\
 &\leq \lim_{n \rightarrow \infty} \frac{1}{2^n} = 0,
 \end{aligned}$$

where the last step holds for  $n > 4e$ .

For  $n! \neq o(n^n)$ ,

$$\begin{aligned}
 \lim_{n \rightarrow \infty} \frac{n^n}{n!} &= \lim_{n \rightarrow \infty} \frac{n^n}{\sqrt{2\pi n} \left( \frac{n}{e} \right)^n \left( 1 + \Theta\left(\frac{1}{n}\right) \right)} \\
 &= \lim_{n \rightarrow \infty} \frac{e^n}{\sqrt{2\pi n} \left( 1 + \Theta\left(\frac{1}{n}\right) \right)} \\
 &= \lim_{n \rightarrow \infty} O\left(\frac{1}{\sqrt{n}}\right) e^n \\
 &\geq \lim_{n \rightarrow \infty} \frac{e^n}{c\sqrt{n}} \quad (\text{for some constant } c > 0) \\
 &\geq \lim_{n \rightarrow \infty} \frac{e^n}{cn} \\
 &= \lim_{n \rightarrow \infty} \frac{e^n}{c} = \infty.
 \end{aligned}$$

### 3.2-4 \*

Is the function  $\lceil \lg n \rceil!$  polynomially bounded? Is the function  $\lceil \lg \lg n \rceil!$  polynomially bounded?

Proving that a function  $f(n)$  is polynomially bounded is equivalent to proving that  $\lg(f(n)) = O(\lg n)$  for the following reasons.

- If  $f$  is polynomially bounded, then there exist constants  $c, k, n_0$  such that for all  $n \geq n_0$ ,  $f(n) \leq cn^k$ . Hence,  $\lg(f(n)) \leq k \lg n$ , which means that  $\lg(f(n)) = O(\lg n)$ .
- If  $\lg(f(n)) = O(\lg n)$ , then  $f$  is polynomially bounded.

In the following proofs, we will make use of the following two facts:

1.  $\lg(n!) = \Theta(n \lg n)$
2.  $\lceil \lg n \rceil = \Theta(\lg n)$

$\lceil \lg n \rceil!$  is not polynomially bounded because

$$\begin{aligned}\lg(\lceil \lg n \rceil!) &= \Theta(\lceil \lg n \rceil \lg \lceil \lg n \rceil) \\ &= \Theta(\lg n \lg \lg n) \\ &= \omega(\lg n) \\ &\neq O(\lg n).\end{aligned}$$

$\lceil \lg \lg n \rceil!$  is polynomially bounded because

$$\begin{aligned}\lg(\lceil \lg \lg n \rceil!) &= \Theta(\lceil \lg \lg n \rceil \lg \lceil \lg \lg n \rceil) \\ &= \Theta(\lg \lg n \lg \lg \lg n) \\ &= o((\lg \lg n)^2) \\ &= o(\lg^2(\lg n)) \\ &= o(\lg n) \\ &= O(\lg n).\end{aligned}$$

The last step above follows from the property that any polylogarithmic function grows more slowly than any positive polynomial function, i.e., that for constants  $a, b > 0$ , we have  $\lg^b n = o(n^a)$ . Substitute  $\lg n$  for  $n$ , 2 for  $b$ , and 1 for  $a$ , giving  $\lg^2(\lg n) = o(\lg n)$ .

Therefore,  $\lg(\lceil \lg \lg n \rceil!) = O(\lg n)$ , and so  $\lceil \lg \lg n \rceil!$  is polynomially bounded.

### 3.2-5 \*

Which is asymptotically larger:  $\lg(\lg^* n)$  or  $\lg^*(\lg n)$ ?

We have  $\lg^* 2^n = 1 + \lg^* n$ ,

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{\lg(\lg^* n)}{\lg^*(\lg n)} &= \lim_{n \rightarrow \infty} \frac{\lg(\lg^* 2^n)}{\lg^*(\lg 2^n)} \\ &= \lim_{n \rightarrow \infty} \frac{\lg(1 + \lg^* n)}{\lg^* n} \\ &= \lim_{n \rightarrow \infty} \frac{\lg(1 + n)}{n} \\ &= \lim_{n \rightarrow \infty} \frac{1}{1 + n} \\ &= 0.\end{aligned}$$

Therefore, we have that  $\lg^*(\lg n)$  is asymptotically larger.

### 3.2-6

Show that the golden ratio  $\phi$  and its conjugate  $\hat{\phi}$  both satisfy the equation  $x^2 = x + 1$ .

$$\begin{aligned}\phi^2 &= \left( \frac{1 + \sqrt{5}}{2} \right)^2 = \frac{6 + 2\sqrt{5}}{4} = 1 + \frac{1 + \sqrt{5}}{2} = 1 + \phi \\ \hat{\phi}^2 &= \left( \frac{1 - \sqrt{5}}{2} \right)^2 = \frac{6 - 2\sqrt{5}}{4} = 1 + \frac{1 - \sqrt{5}}{2} = 1 + \hat{\phi}.\end{aligned}$$

### 3.2-7

Prove by induction that the  $i$ th Fibonacci number satisfies the equality

$$F_i = \frac{\phi^i - \hat{\phi}^i}{\sqrt{5}},$$

where  $\phi$  is the golden ratio and  $\hat{\phi}$  is its conjugate.

- Base case

For  $i = 0$ ,

$$\begin{aligned}\frac{\phi^0 - \hat{\phi}^0}{\sqrt{5}} &= \frac{1 - 1}{\sqrt{5}} \\ &= 0 \\ &= F_0.\end{aligned}$$

For  $i = 1$ ,

$$\begin{aligned}\frac{\phi^1 - \hat{\phi}^1}{\sqrt{5}} &= \frac{(1 + \sqrt{5}) - (1 - \sqrt{5})}{2\sqrt{5}} \\ &= 1 \\ &= F_1.\end{aligned}$$

• Assume

- $F_{i-1} = (\phi^{i-1} - \hat{\phi}^{i-1})/\sqrt{5}$  and
- $F_{i-2} = (\phi^{i-2} - \hat{\phi}^{i-2})/\sqrt{5}$ ,

$$\begin{aligned}F_i &= F_{i-1} + F_{i-2} \\ &= \frac{\phi^{i-1} - \hat{\phi}^{i-1}}{\sqrt{5}} + \frac{\phi^{i-2} - \hat{\phi}^{i-2}}{\sqrt{5}} \\ &= \frac{\phi^{i-2}(\phi + 1) - \hat{\phi}^{i-2}(\hat{\phi} + 1)}{\sqrt{5}} \\ &= \frac{\phi^{i-2}\phi^2 - \hat{\phi}^{i-2}\hat{\phi}^2}{\sqrt{5}} \\ &= \frac{\phi^i - \hat{\phi}^i}{\sqrt{5}}.\end{aligned}$$

### 3.2-8

Show that  $k \ln k = \Theta(n)$  implies  $k = \Theta(n/\lg n)$ .

From the symmetry of  $\Theta$ ,

$$k \ln k = \Theta(n) \Rightarrow n = \Theta(k \ln k).$$

Let's find  $\ln n$ ,

$$\ln n = \Theta(\ln(k \ln k)) = \Theta(\ln k + \ln \ln k) = \Theta(\ln k).$$

Let's divide the two,

$$\frac{n}{\ln n} = \frac{\Theta(k \ln k)}{\Theta(\ln k)} = \Theta\left(\frac{k \ln k}{\ln k}\right) = \Theta(k).$$

## Problem 3-1 Asymptotic behavior of polynomials

Let

$$p(n) = \sum_{i=0}^d a_i n^i,$$

where  $a_d > 0$ , be a degree- $d$  polynomial in  $n$ , and let  $k$  be a constant. Use the definitions of the asymptotic notations to prove the following properties.

- a. If  $k \geq d$ , then  $p(n) = O(n^k)$ .
- b. If  $k \leq d$ , then  $p(n) = \Omega(n^k)$ .
- c. If  $k = d$ , then  $p(n) = \Theta(n^k)$ .
- d. If  $k > d$ , then  $p(n) = o(n^k)$ .
- e. If  $k < d$ , then  $p(n) = \omega(n^k)$ .

Let's see that  $p(n) = O(n^d)$ . We need to pick  $c = a_d + b$ , such that

$$\sum_{i=0}^d a_i n^i = a_d n^d + a_{d-1} n^{d-1} + \dots + a_1 n + a_0 \leq c n^d.$$

When we divide by  $n^d$ , we get

$$c = a_d + b \geq a_d + \frac{a_{d-1}}{n} + \frac{a_{d-2}}{n^2} + \dots + \frac{a_0}{n^d}.$$

and

$$b \geq \frac{a_{d-1}}{n} + \frac{a_{d-2}}{n^2} + \dots + \frac{a_0}{n^d}.$$

If we choose  $b = 1$ , then we can choose  $n_0$ ,

$$n_0 = \max(d a_{d-1}, d \sqrt{a_{d-2}}, \dots, d \sqrt[d]{a_0}).$$

Now we have  $n_0$  and  $c$ , such that

$$p(n) \leq c n^d \quad \text{for } n \geq n_0,$$

which is the definition of  $O(n^d)$ .

By choosing  $b = -1$  we can prove the  $\Omega(n^d)$  inequality and thus the  $\Theta(n^d)$  inequality.

It is very similar to prove the other inequalities.

## Problem 3-2 Relative asymptotic growths

Indicate for each pair of expressions (A, B) in the table below, whether A is O, o,  $\Omega$ ,  $\omega$ , or  $\Theta$  of B. Assume that  $k \geq 1$ ,  $\epsilon > 0$ , and  $c > 1$  are constants. Your answer should be in the form of the table with "yes" or "no" written in each box.

A	B	O	o	$\Omega$	$\omega$	$\Theta$
$\lg^k n$	$n^\epsilon$	yes	yes	no	no	no
$n^k$	$c^n$	yes	yes	no	no	no
$\sqrt{n}$	$n^{\sin n}$	no	no	no	no	no
$2^n$	$2^{n/2}$	no	no	yes	yes	no
$n^{\lg c}$	$c^{\lg n}$	yes	no	yes	no	yes
$\lg(n!)$	$\lg(n^n)$	yes	no	yes	no	yes

## Problem 3-3 Ordering by asymptotic growth rates

- a. Rank the following functions by order of growth; that is, find an arrangement  $g_1, g_2, \dots, g_{30}$  of the functions  $g_1 = \Omega(g_2), g_2 = \Omega(g_3), \dots, g_{29} = \Omega(g_{30})$ . Partition your list into equivalence classes such that functions  $f(n)$  and  $g(n)$  are in the same class if and only if  $f(n) = \Theta(g(n))$ .

$\lg(\lg^* n)$	$2^{\lg^* n}$	$(\sqrt{2})^{\lg n}$	$n^2$	$n!$	$(\lg n)!$
$(\frac{3}{2})^n$	$n^3$	$\lg^2 n$	$\lg(n!)$	$2^{2^n}$	$n^{1/\lg n}$
$\lg \lg n$	$\lg^* n$	$n \cdot 2^n$	$n^{\lg \lg n}$	$\lg n$	1
$2^{\lg n}$	$(\lg n)^{\lg n}$	$e^n$	$4^{\lg n}$	$(n+1)!$	$\sqrt{\lg n}$
$\lg^*(\lg n)$	$2^{\sqrt{2 \lg n}}$	$n$	$2^n$	$n \lg n$	$2^{2^{n+1}}$

**b.** Give an example of a single nonnegative function  $f(n)$  such that for all functions  $g_i(n)$  in part (a),  $f(n)$  is neither  $O(g_i(n))$  nor  $\Omega(g_i(n))$ .

$2^{2^{n+1}}$   
 $2^{2^n}$   
 $(n+1)!$   
 $n!$   
 $e^n$   
 $n \cdot 2^n$   
 $2^n$   
 $(3/2)^n$   
 $(\lg n)^{\lg n} = n^{\lg \lg n}$   
 $(\lg n)!$   
 $n^3$   
 $n^2 = 4^{\lg n}$   
 $n \lg n$  and  $\lg(n!)$   
 $n = 2^{\lg n}$   
 $(\sqrt{2})^{\lg n} (= \sqrt{n})$   
 $2^{\sqrt{2 \lg n}}$   
 $\lg^2 n$   
 $\ln n$   
 $\sqrt{\lg n}$   
 $\ln \ln n$   
 $2^{\lg^* n}$   
 $\lg^* n$  and  $\lg^*(\lg n)$   
 $\lg(\lg^* n)$   
 $n^{1/\lg n} (= 2)$  and 1

**b.** For example,

$$f(n) = \begin{cases} 2^{2^{n+2}} & \text{if } n \text{ is even,} \\ 0 & \text{if } n \text{ is odd.} \end{cases}$$

for all functions  $g_i(n)$  in part (a),  $f(n)$  is neither  $O(g_i(n))$  nor  $\Omega(g_i(n))$ .

## Problem 3-4 Asymptotic notation properties

Let  $f(n)$  and  $g(n)$  be asymptotically positive functions. Prove or disprove each of the following conjectures.

- $f(n) = O(g(n))$  implies  $g(n) = O(f(n))$ .
- $f(n) + g(n) = \Theta(\min(f(n), g(n)))$ .
- $f(n) = O(g(n))$  implies  $\lg(f(n)) = O(\lg(g(n)))$ , where  $\lg(g(n)) \geq 1$  and  $f(n) \geq 1$  for all sufficiently large  $n$ .



- d.**  $f(n) = O(g(n))$  implies  $2^{f(n)} = O(2^{g(n)})$ .
- e.**  $f(n) = O((f(n))^2)$ .
- f.**  $f(n) = O(g(n))$  implies  $g(n) = \Omega(f(n))$ .
- g.**  $f(n) = \Theta(f(n/2))$ .
- h.**  $f(n) + o(f(n)) = \Theta(f(n))$ .

- a.** Disprove,  $n = O(n^2)$ , but  $n^2 \neq O(n)$ .
- b.** Disprove,  $n^2 + n \neq \Theta(\min(n^2, n)) = \Theta(n)$ .
- c.** Prove, because  $f(n) \geq 1$  after a certain  $n \geq n_0$ .

$$\begin{aligned} \exists c, n_0 : \forall n \geq n_0, 0 \leq f(n) \leq cg(n) \\ \Rightarrow 0 \leq \lg f(n) \leq \lg cg(n) = \lg c + \lg g(n). \end{aligned}$$

We need to prove that

$$\lg f(n) \leq d \lg g(n).$$

We can find  $d$ ,

$$d = \frac{\lg c + \lg g(n)}{\lg g(n)} = \frac{\lg c}{\lg g(n)} + 1 \leq \lg c + 1,$$

where the last step is valid, because  $\lg g(n) \geq 1$ .

- d.** Disprove, because  $2n = O(n)$ , but  $2^{2n} = 4^n \neq O(2^n)$ .
- e.** Prove,  $0 \leq f(n) \leq cf^2(n)$  is trivial when  $f(n) \geq 1$ , but if  $f(n) < 1$  for all  $n$ , it's not correct. However, we don't care this case.
- f.** Prove, from the first, we know that  $0 \leq f(n) \leq cg(n)$  and we need to prove that  $0 \leq df(n) \leq g(n)$ , which is straightforward with  $d = 1/c$ .
- g.** Disprove, let's pick  $f(n) = 2^n$ . We will need to prove that

$$\exists c_1, c_2, n_0 : \forall n \geq n_0, 0 \leq c_1 \cdot 2^{n/2} \leq 2^n \leq c_2 \cdot 2^{n/2},$$

which is obviously untrue.

- h.** Prove, let  $g(n) = o(f(n))$ . Then

$$\exists c, n_0 : \forall n \geq n_0, 0 \leq g(n) < cf(n).$$

We need to prove that

$$\exists c_1, c_2, n_0 : \forall n \geq n_0, 0 \leq c_1 f(n) \leq f(n) + g(n) \leq c_2 f(n).$$

Thus, if we pick  $c_1 = 1$  and  $c_2 = c + 1$ , it holds.

## Problem 3-5 Variations on $O$ and $\Omega$

Some authors define  $\Omega$  in a slightly different way than we do; let's use  $\Omega^\infty$  (read "omega infinity") for this alternative definition. We say that  $f(n) = \Omega^\infty(g(n))$  if there exists a positive constant  $c$  such that  $f(n) \geq cg(n) \geq 0$  for infinitely many integers  $n$ .

- a.** Show that for any two functions  $f(n)$  and  $g(n)$  that are asymptotically nonnegative, either  $f(n) = O(g(n))$  or  $f(n) = \Omega^\infty(g(n))$  or both, whereas this is not true if we use  $\Omega$  in place of  $\Omega^\infty$ .

**b.** Describe the potential advantages and disadvantages of using  $\Omega^\infty$  instead of  $\Omega$  to characterize the running times of programs.

Some authors also define  $O$  in a slightly different manner; let's use  $O'$  for the alternative definition. We say that  $f(n) = O'(g(n))$  if and only if  $|f(n)| = O(g(n))$ .

**c.** What happens to each direction of the "if and only if" in Theorem 3.1 if we substitute  $O'$  for  $O$  but we still use  $\Omega$ ?

Some authors define  $\tilde{O}$  (read "soft-oh") to mean  $O$  with logarithmic factors ignored:

$$\tilde{O}(g(n)) = \{f(n) : \text{there exist positive constants } c, k, \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \lg^k(n) \text{ for all } n \geq n_0.\}$$

**d.** Define  $\tilde{\Omega}$  and  $\tilde{\Theta}$  in a similar manner. Prove the corresponding analog to Theorem 3.1.

**a.** We have

$$f(n) = \begin{cases} O(g(n)) \text{ and } \Omega^\infty(g(n)) & \text{if } f(n) = \Theta(g(n)), \\ O(g(n)) & \text{if } 0 \leq f(n) \leq cg(n), \\ \Omega^\infty(g(n)) & \text{if } 0 \leq cg(n) \leq f(n), \text{ for infinitely many integers } n. \end{cases}$$

If there are only finite  $n$  such that  $f(n) \geq cg(n) \geq 0$ . When  $n \rightarrow \infty$ ,  $0 \leq f(n) \leq cg(n)$ , i.e.,  $f(n) = O(g(n))$ .

Obviously, it's not hold when we use  $\Omega$  in place of  $\Omega^\infty$ .

**b.**

- Advantages: We can characterize all the relationships between all functions.
- Disadvantages: We cannot characterize precisely.

**c.** For any two functions  $f(n)$  and  $g(n)$ , we have if  $f(n) = \Theta(g(n))$  then  $f(n) = O'(g(n))$  and  $f(n) = \Omega(g(n))$  and  $f(n) = \Omega(g(n))$ .

But the conversion is not true.

**d.** We have

$$\tilde{\Omega}(g(n)) = \{f(n) : \text{there exist positive constants } c, k, \text{ and } n_0 \text{ such that } 0 \leq cg(n) \lg^k(n) \leq f(n) \text{ for all } n \geq n_0.\}$$

$$\tilde{\Theta}(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, k_1, k_2, \text{ and } n_0 \text{ such that } 0 \leq c_1 g(n) \lg^{k_1}(n) \leq f(n) \leq c_2 g(n) \lg^{k_2}(n) \text{ for all } n \geq n_0.\}$$

For any two functions  $f(n)$  and  $g(n)$ , we have  $f(n) = \tilde{\Theta}(g(n))$  if and only if  $f(n) = \tilde{O}(g(n))$  and  $f(n) = \tilde{\Omega}(g(n))$ .

## Problem 3-6 Iterated functions

We can apply the iteration operator  $*$  used in the  $\lg^*$  function to any monotonically increasing function  $f(n)$  over the reals. For a given constant  $c \in \mathbb{R}$ , we define the iterated function  $f_c^*$  by  $f_c^*(n) = \min \{i \geq 0 : f^{(i)}(n) \leq c\}$  which need not be well defined in all cases. In other words, the quantity  $f_c^*(n)$  is the number of iterated applications of the function  $f$  required to reduce its argument down to  $c$  or less.

For each of the following functions  $f(n)$  and constants  $c$ , give as tight a bound as possible on  $f_c^*(n)$ .

$f(n)$	$c$	$f_c^*$
$n - 1$	0	$\Theta(n)$
$\lg n$	1	$\Theta(\lg^* n)$
$n/2$	1	$\Theta(\lg n)$
$n/2$	2	$\Theta(\lg n)$
$\sqrt{n}$	2	$\Theta(\lg \lg n)$
$\sqrt{n}$	1	does not converge
$n^{1/3}$	2	$\Theta(\log_3 \lg n)$
$n/\lg n$	2	$\omega(\lg \lg n), o(\lg n)$