

Declare Your Language

Chapter 8: Constraint Resolution II

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IN4303 Compiler Construction

TU Delft

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Reading Material

Unification

Baader, F., and W. Snyder
"Unification Theory"
Ch. 8 of Handbook of Automated Deduction
Springer Verlag, Berlin (2001)

CHAPTER 8

Unification theory

Franz Baader
Wayne Snyder

SECOND READERS: Paliath Narendran, Manfred Schmidt-Schauss, and Klaus Schulz.

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HANDBOOK OF AUTOMATED REASONING
Edited by Alan Robinson and Andrei Voronkov
© Elsevier Science Publishers B.V., 2001

<http://www.cs.bu.edu/~snyder/publications/UnifChapter.pdf>

Efficient Unification with Union-Find

Complexity of Unification (recap)

Space complexity

- Exponential
- Representation of unifier

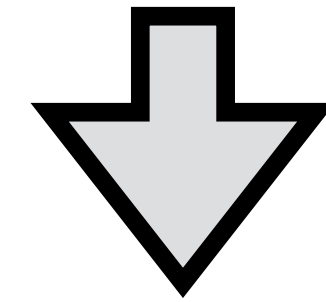
$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$

Time complexity

- Exponential
- Recursive calls on terms

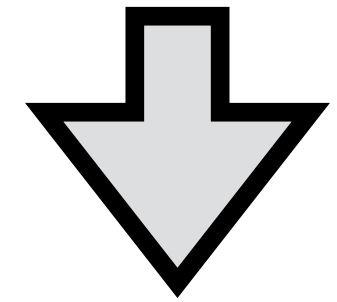
Solution

- Union-Find algorithm
- Complexity growth can be considered constant



$a_1 \rightarrow f(a_0, a_0)$
 $a_2 \rightarrow f(f(a_0, a_0), f(a_0, a_0))$
 $a_i \rightarrow \dots 2^{i+1}-1 \text{ subterms } \dots$
 $b_1 \rightarrow f(a_0, a_0)$
 $b_2 \rightarrow f(f(a_0, a_0), f(a_0, a_0))$
 $b_i \rightarrow \dots 2^{i+1}-1 \text{ subterms } \dots$

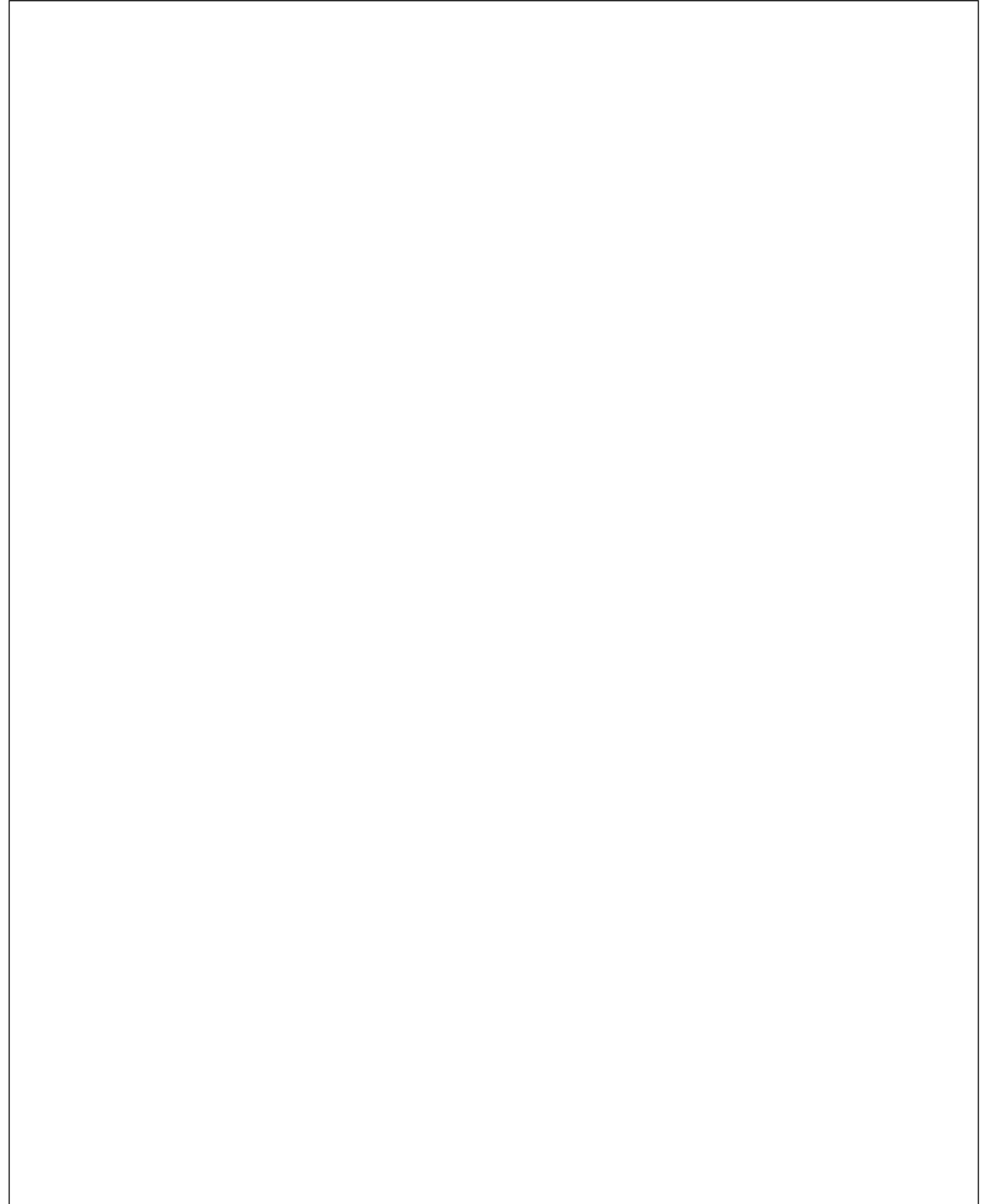
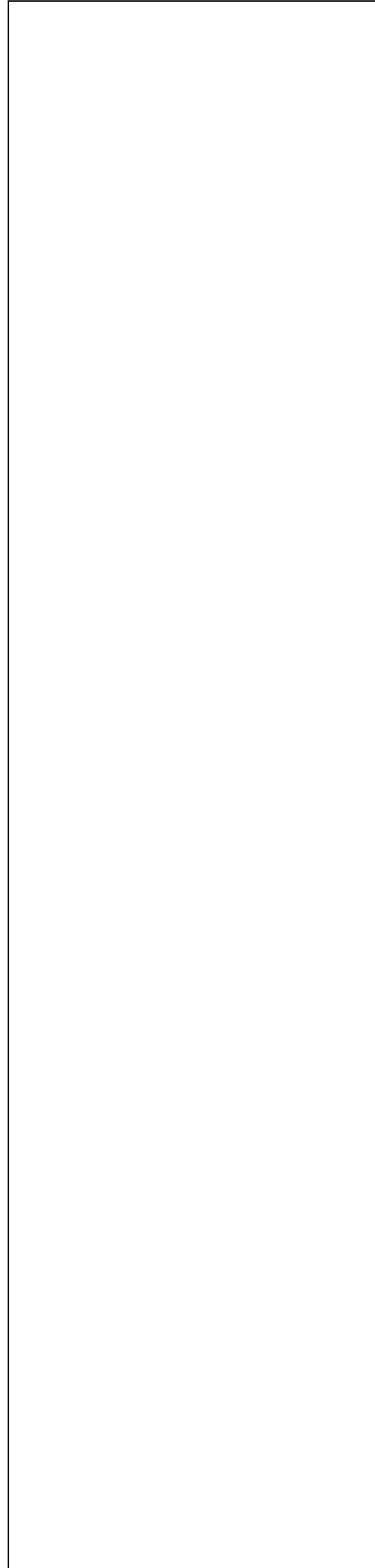
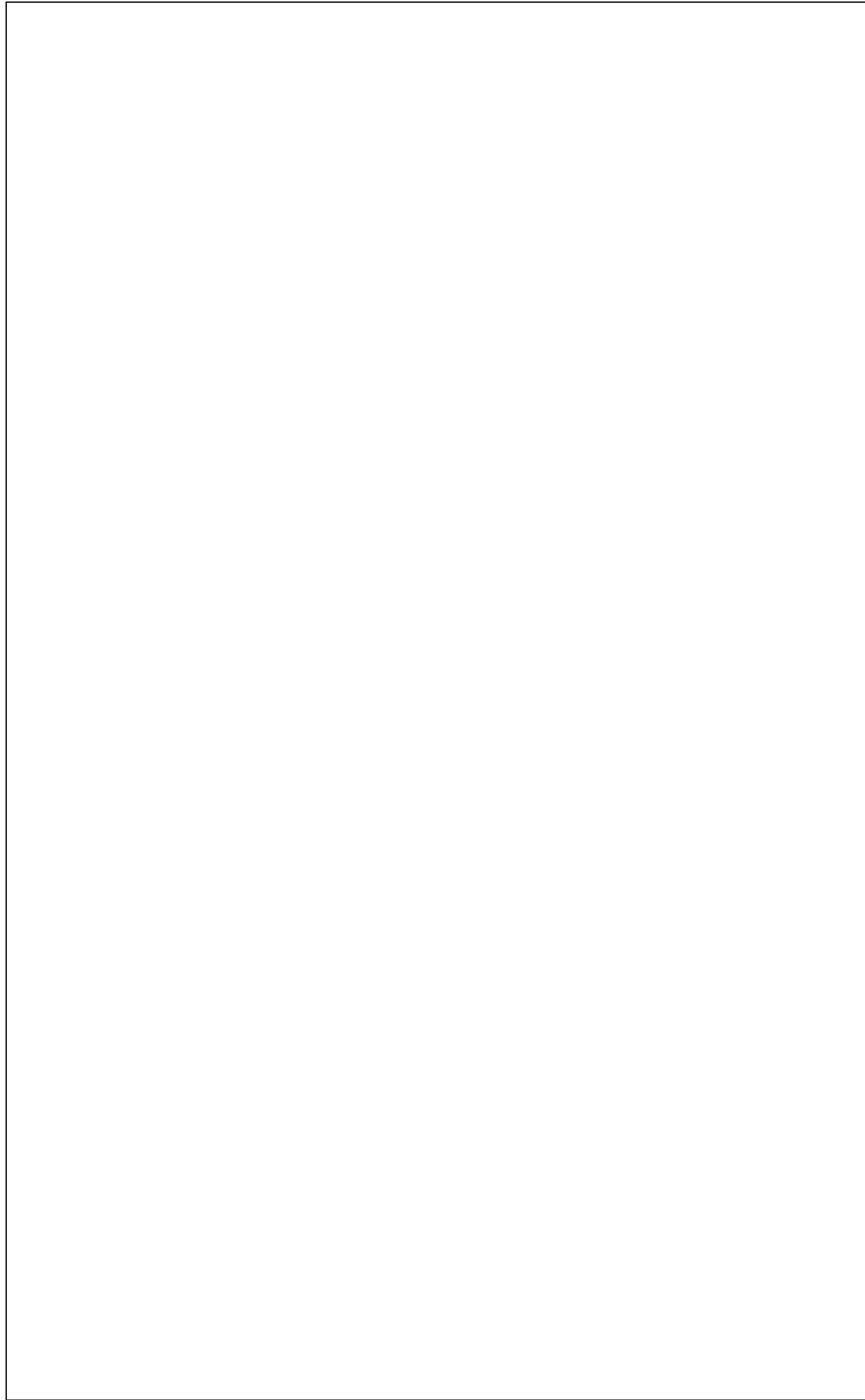
fully applied



$a_1 \rightarrow f(a_0, a_0)$
 $a_2 \rightarrow f(a_1, a_1)$
 $a_i \rightarrow \dots 3 \text{ subterms } \dots$
 $b_1 \rightarrow f(a_0, a_0)$
 $b_2 \rightarrow f(a_1, a_1)$
 $b_i \rightarrow \dots 3 \text{ subterms } \dots$

triangular

Set Representatives

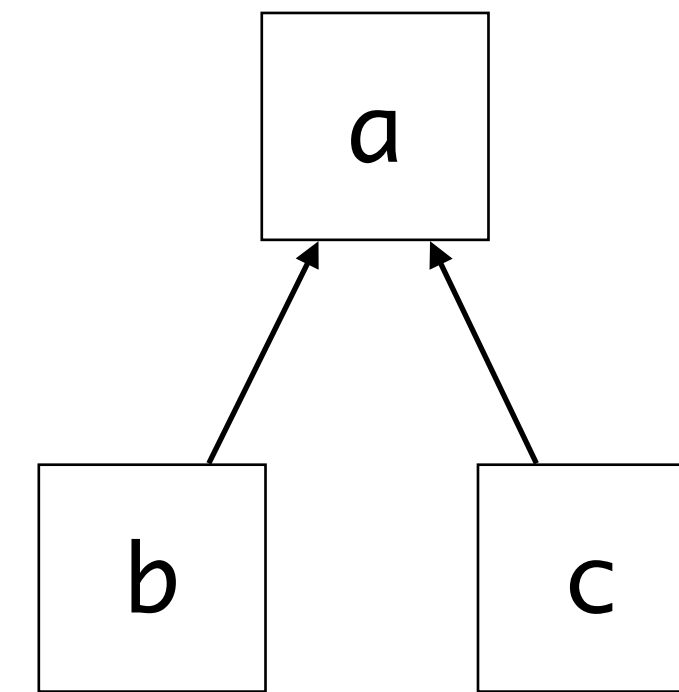


Set Representatives

$a == b$
 $c == a$

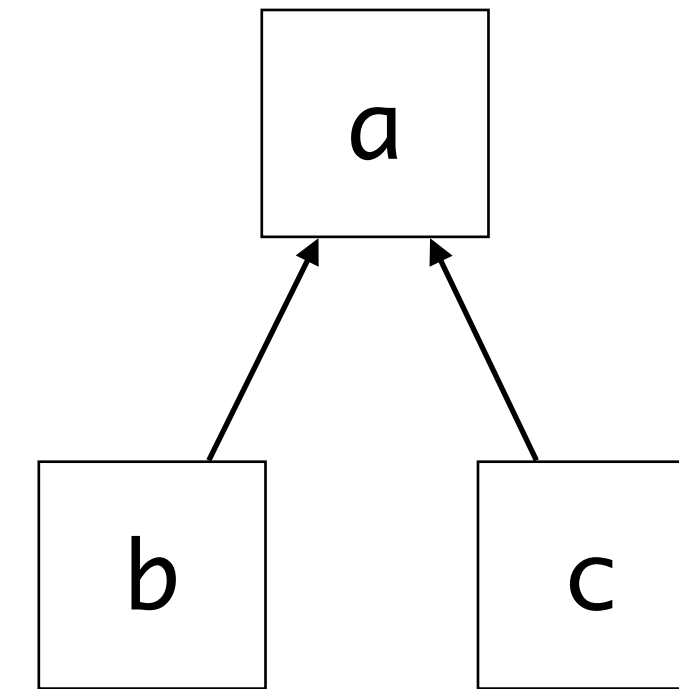
Set Representatives

$a == b$
 $c == a$



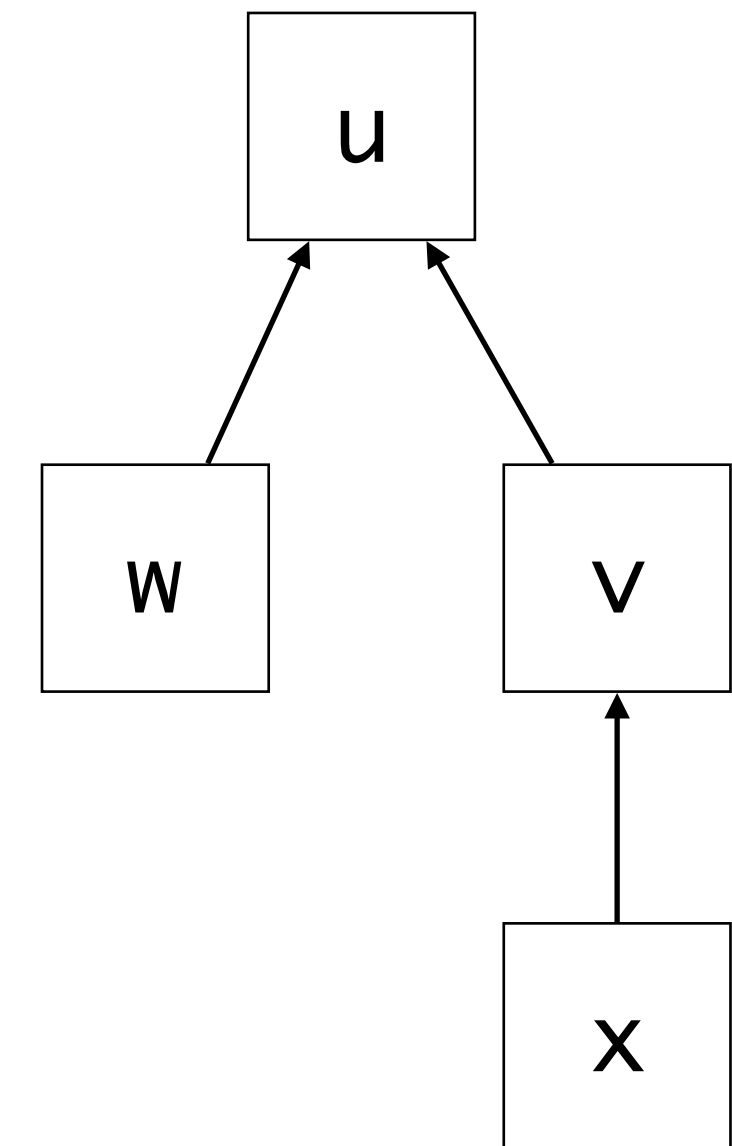
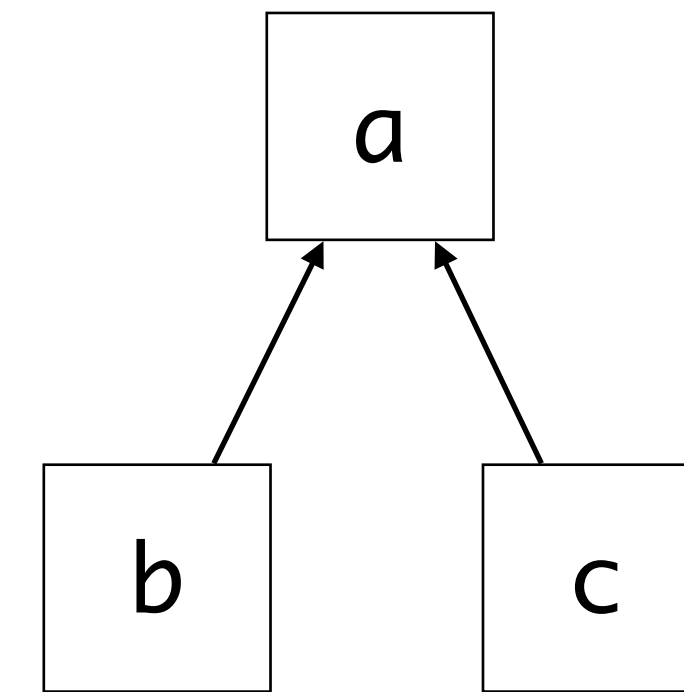
Set Representatives

$a == b$
 $c == a$
 $u == w$
 $v == u$
 $x == v$



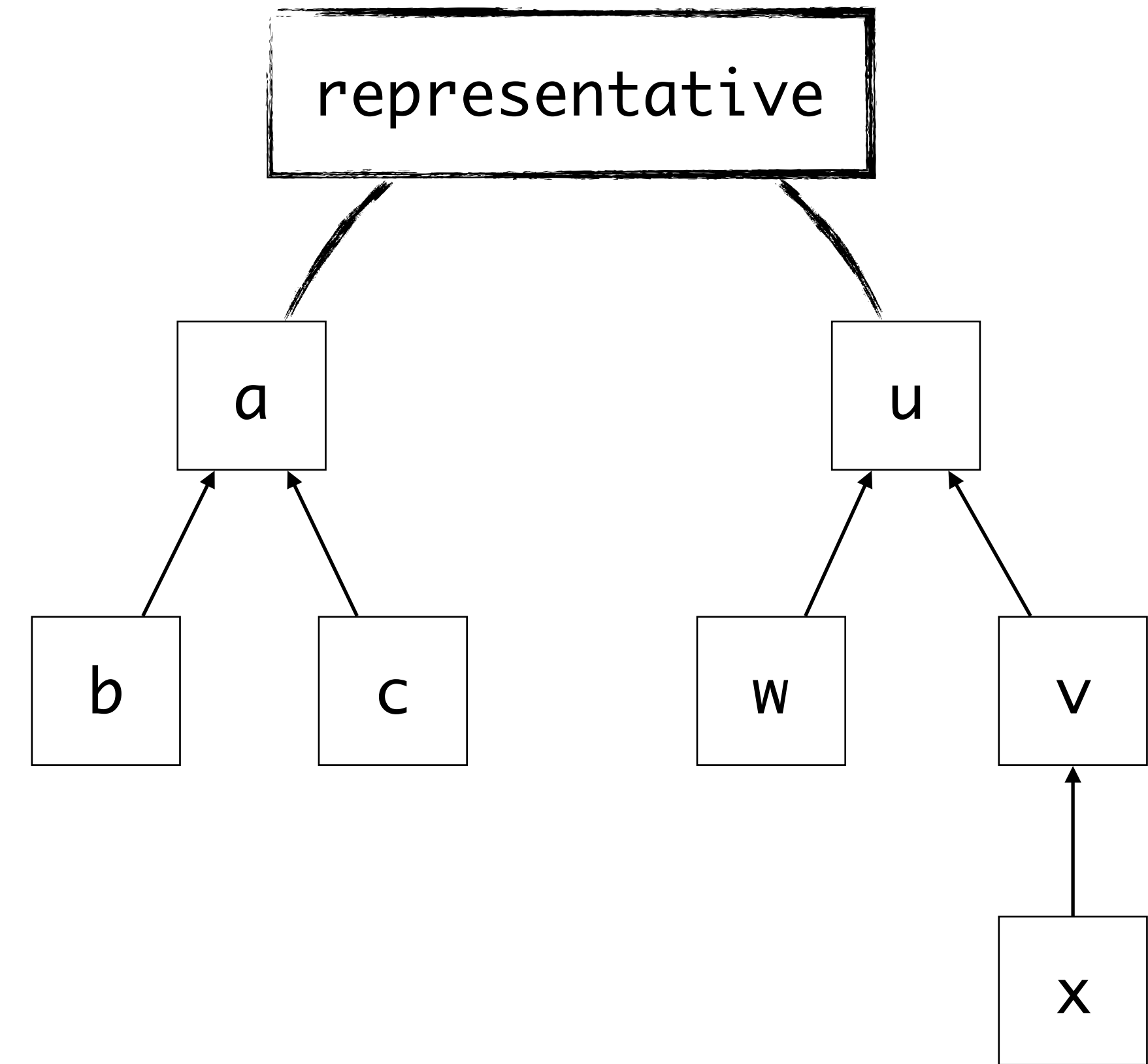
Set Representatives

$a == b$
 $c == a$
 $u == w$
 $v == u$
 $x == v$



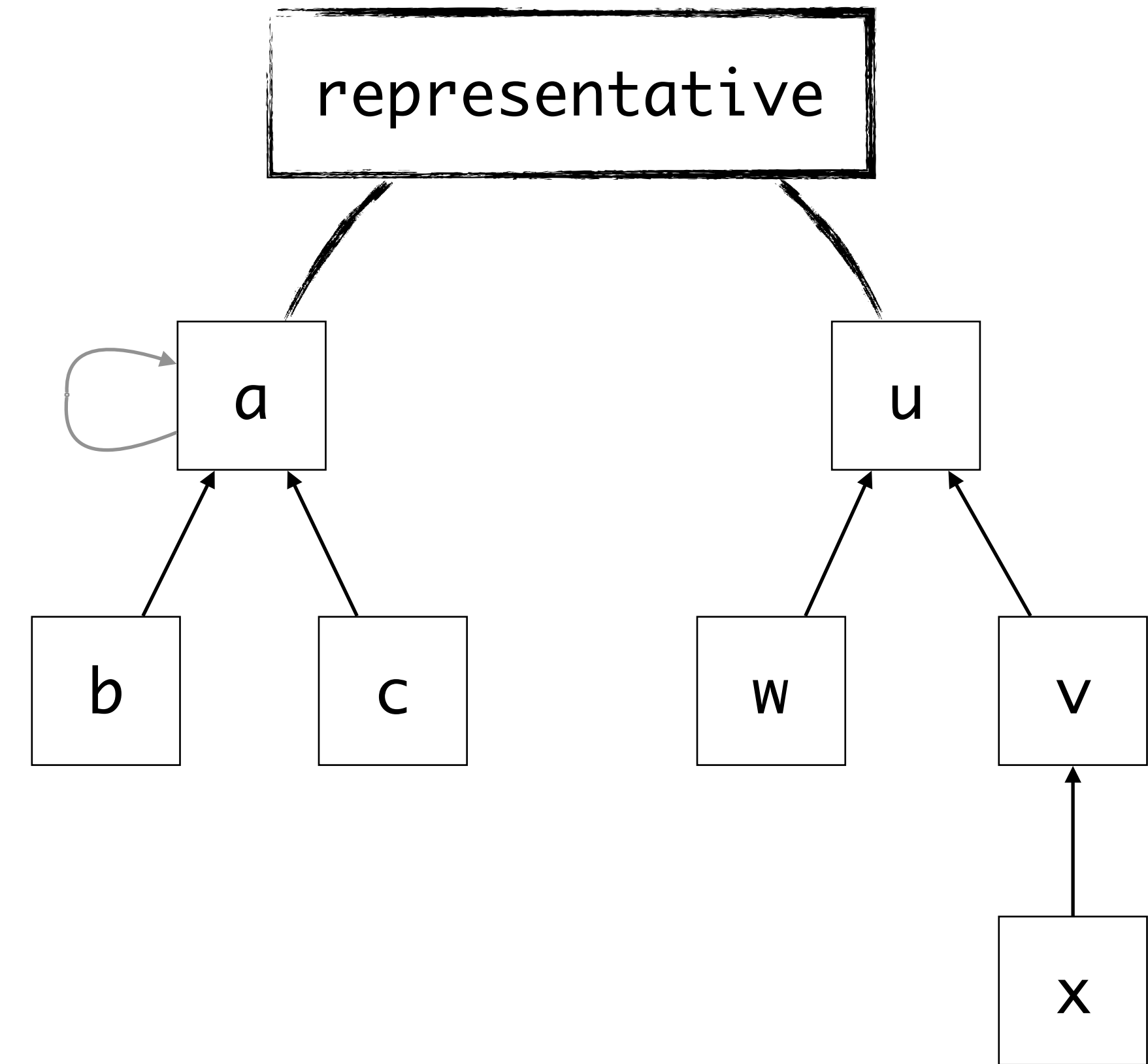
Set Representatives

a == b
c == a
u == w
v == u
x == v



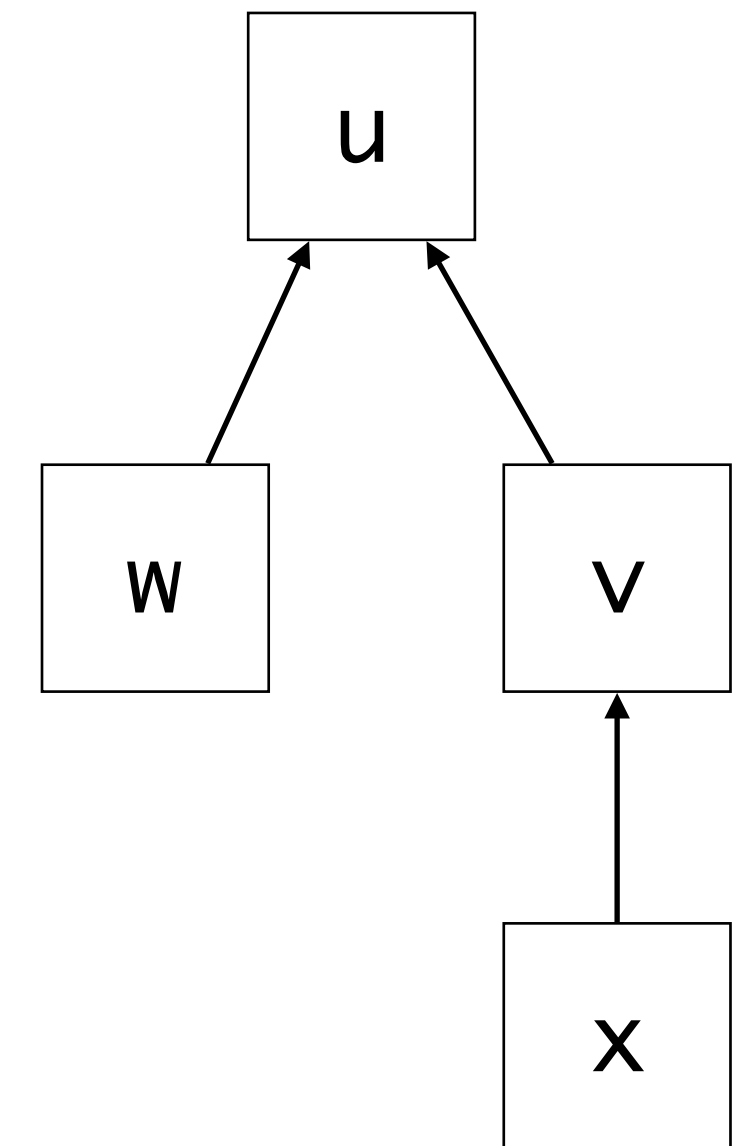
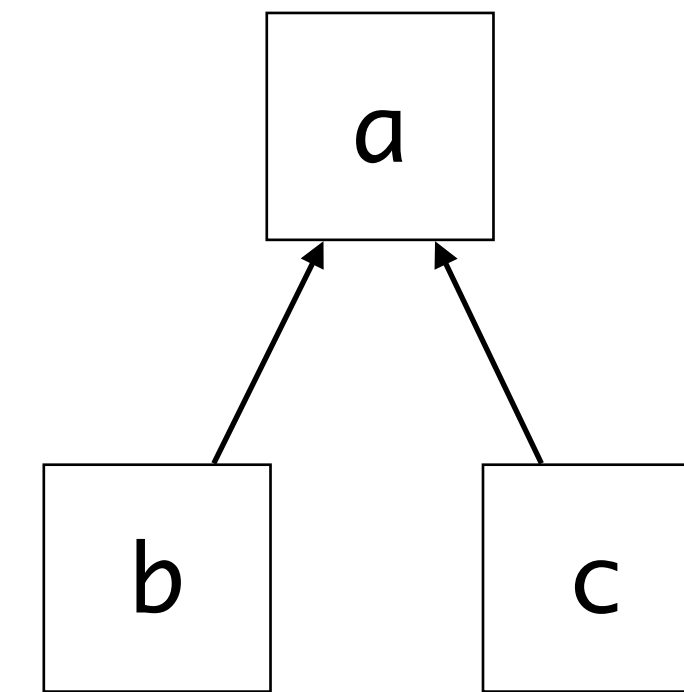
Set Representatives

a == b
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u == w
v == u
x == v



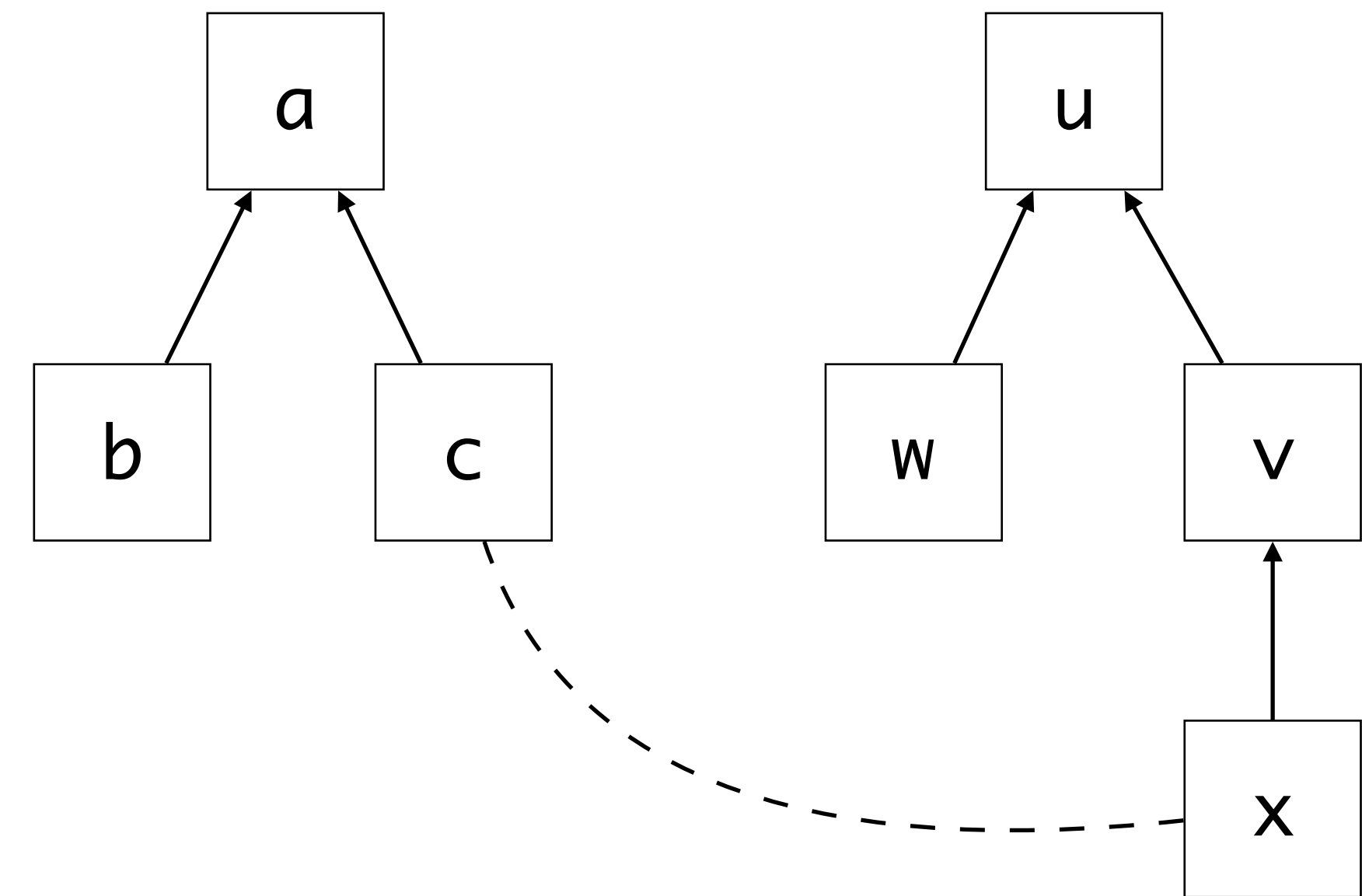
Set Representatives

$a == b$
 $c == a$
 $u == w$
 $v == u$
 $x == v$



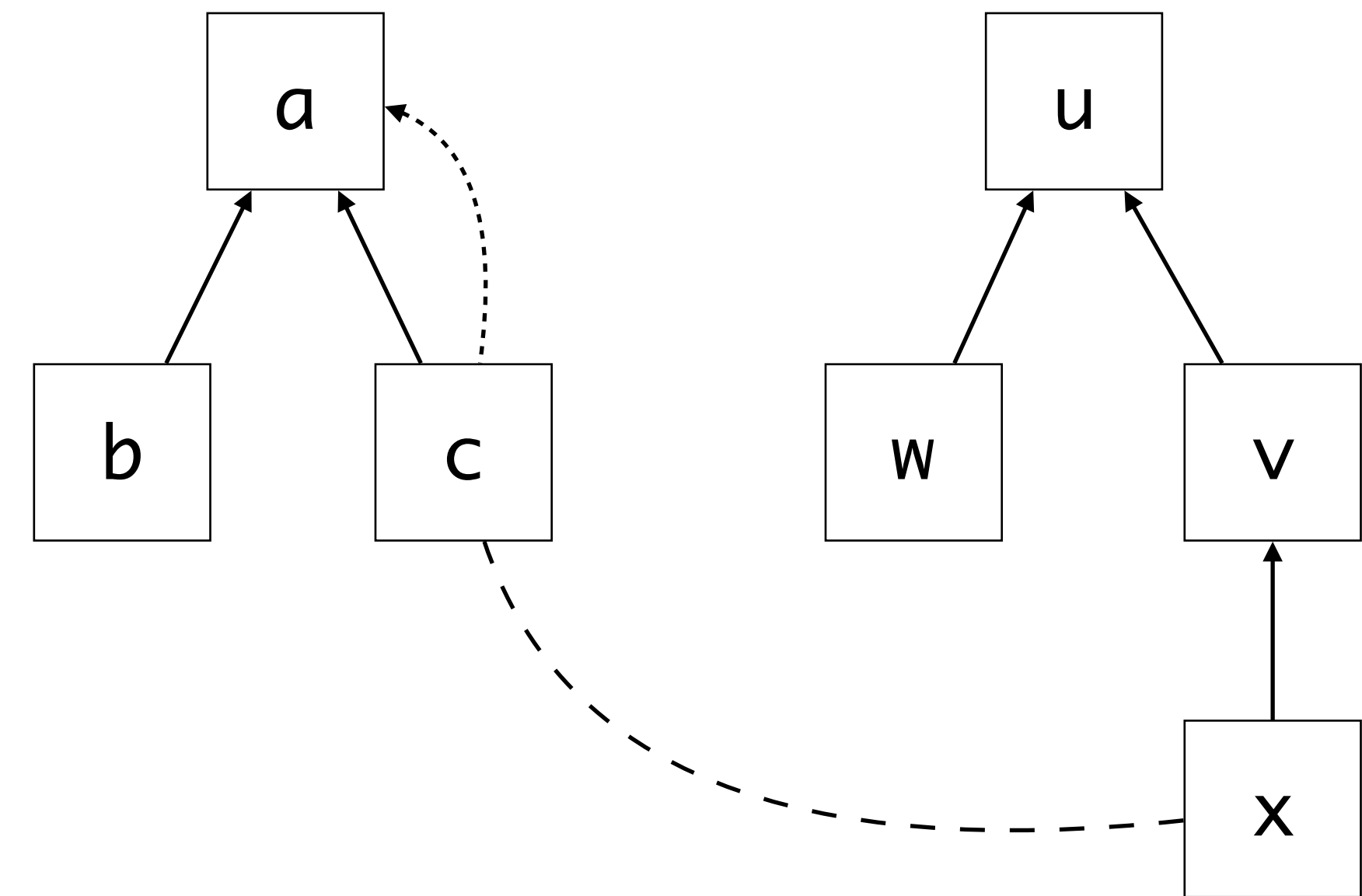
Set Representatives

$a == b$
 $c == a$
 $u == w$
 $v == u$
 $x == v$
 $x == c$



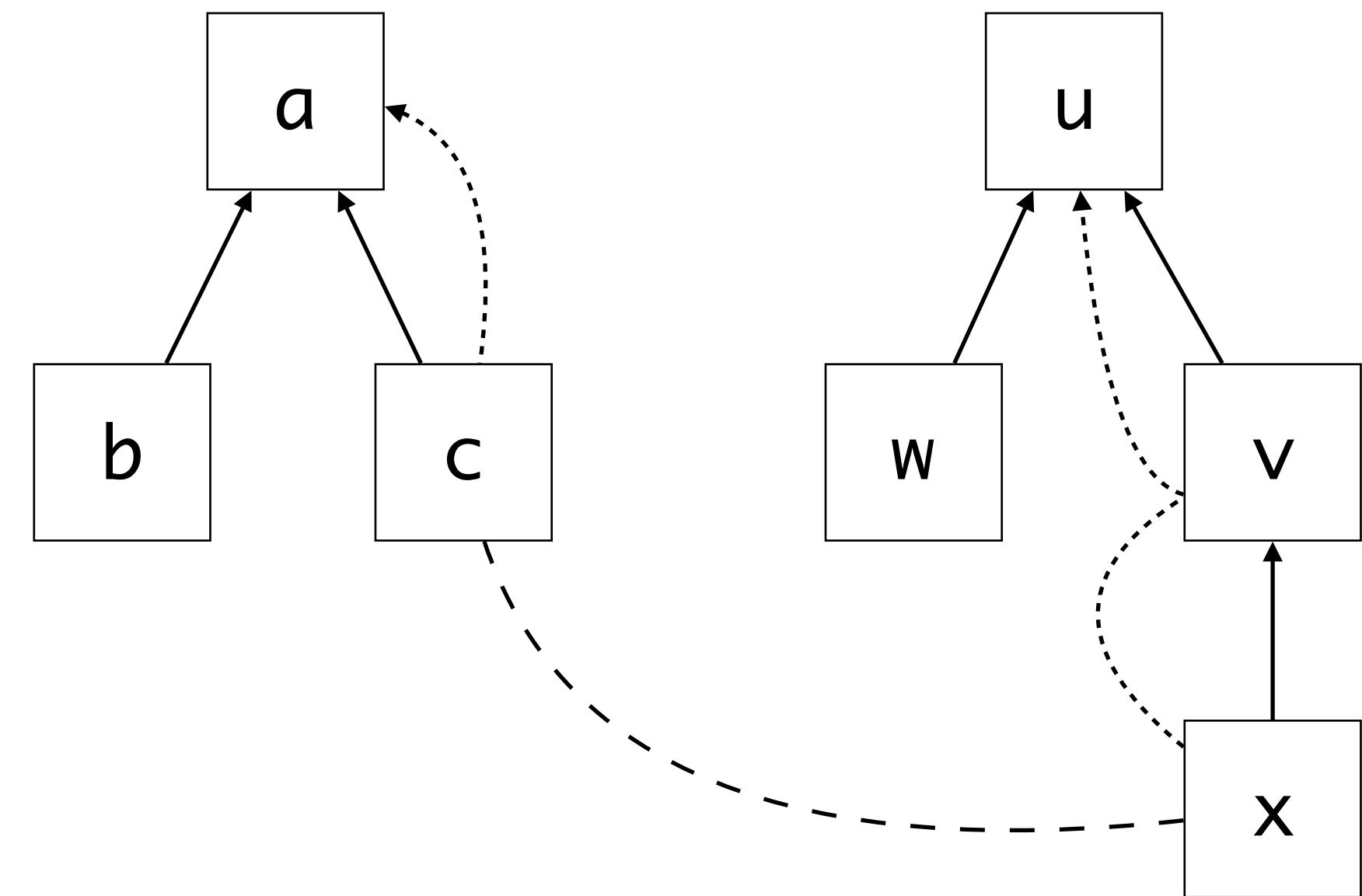
Set Representatives

$a == b$
 $c == a$
 $u == w$
 $v == u$
 $x == v$
 $x == c$



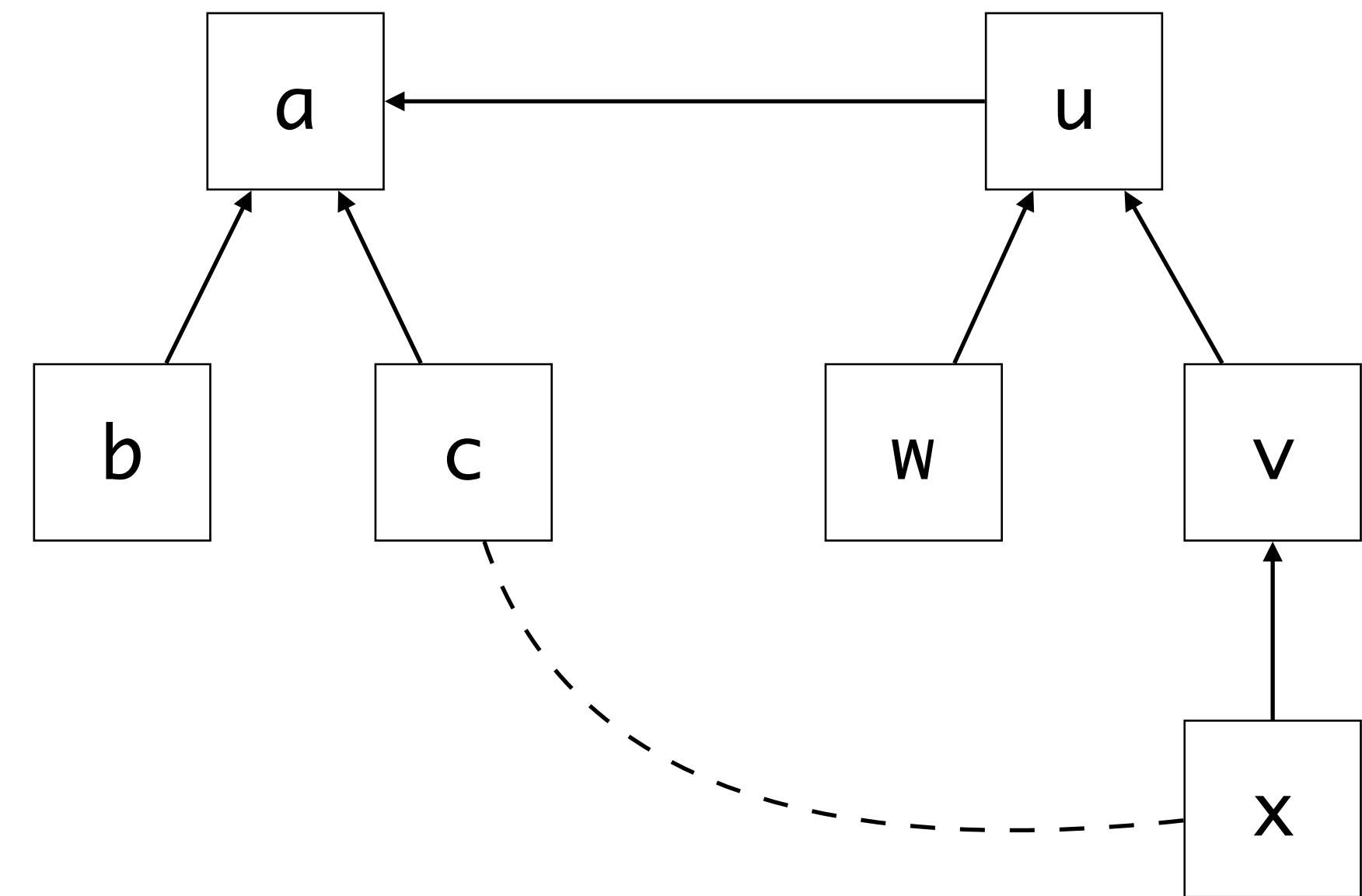
Set Representatives

$a == b$
 $c == a$
 $u == w$
 $v == u$
 $x == v$
 $x == c$



Set Representatives

$a == b$
 $c == a$
 $u == w$
 $v == u$
 $x == v$
 $x == c$



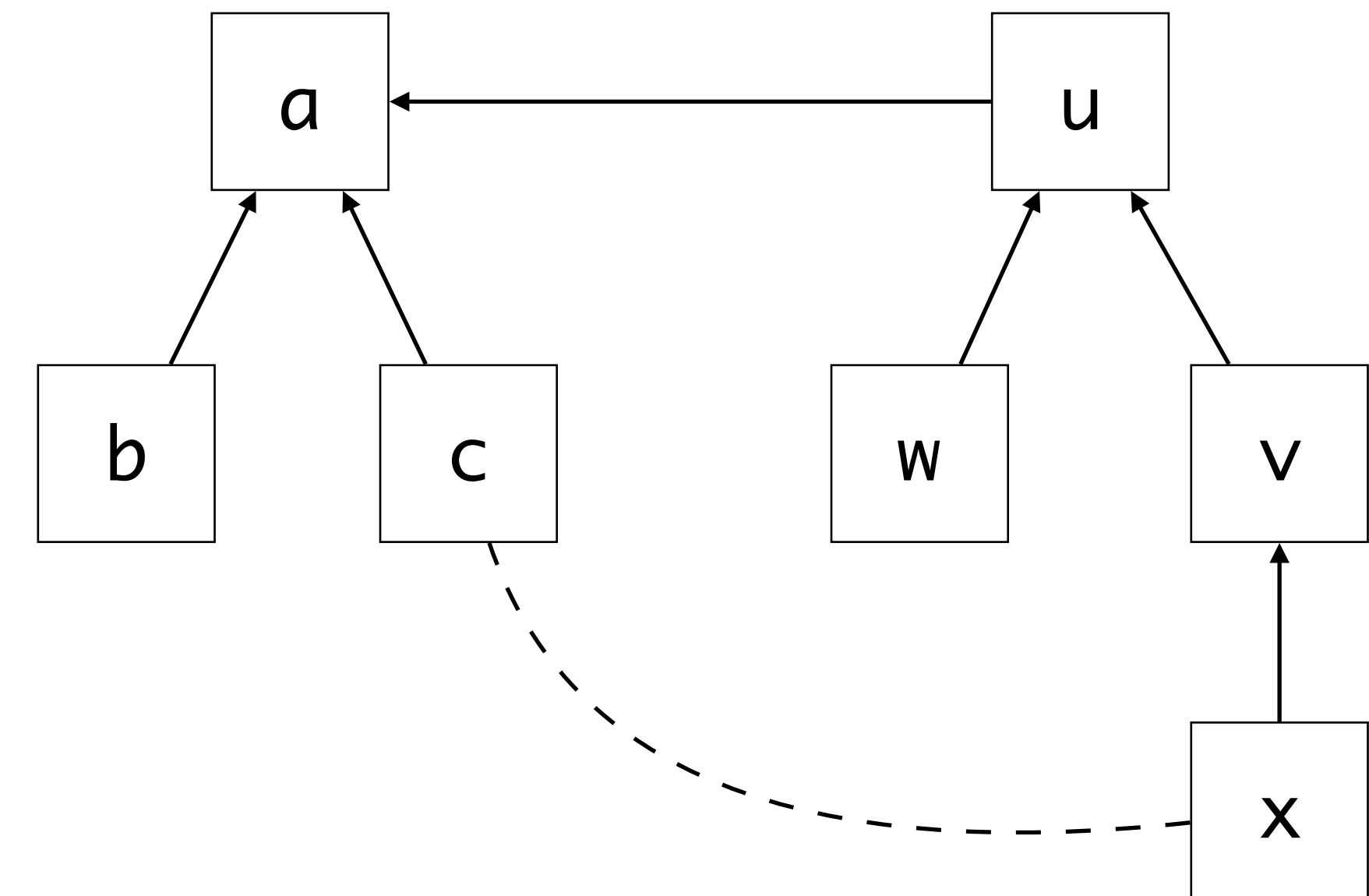
Set Representatives

```
FIND(a):  
  b := rep(a)  
  if b == a:  
    return a  
  else  
    return FIND(b)
```

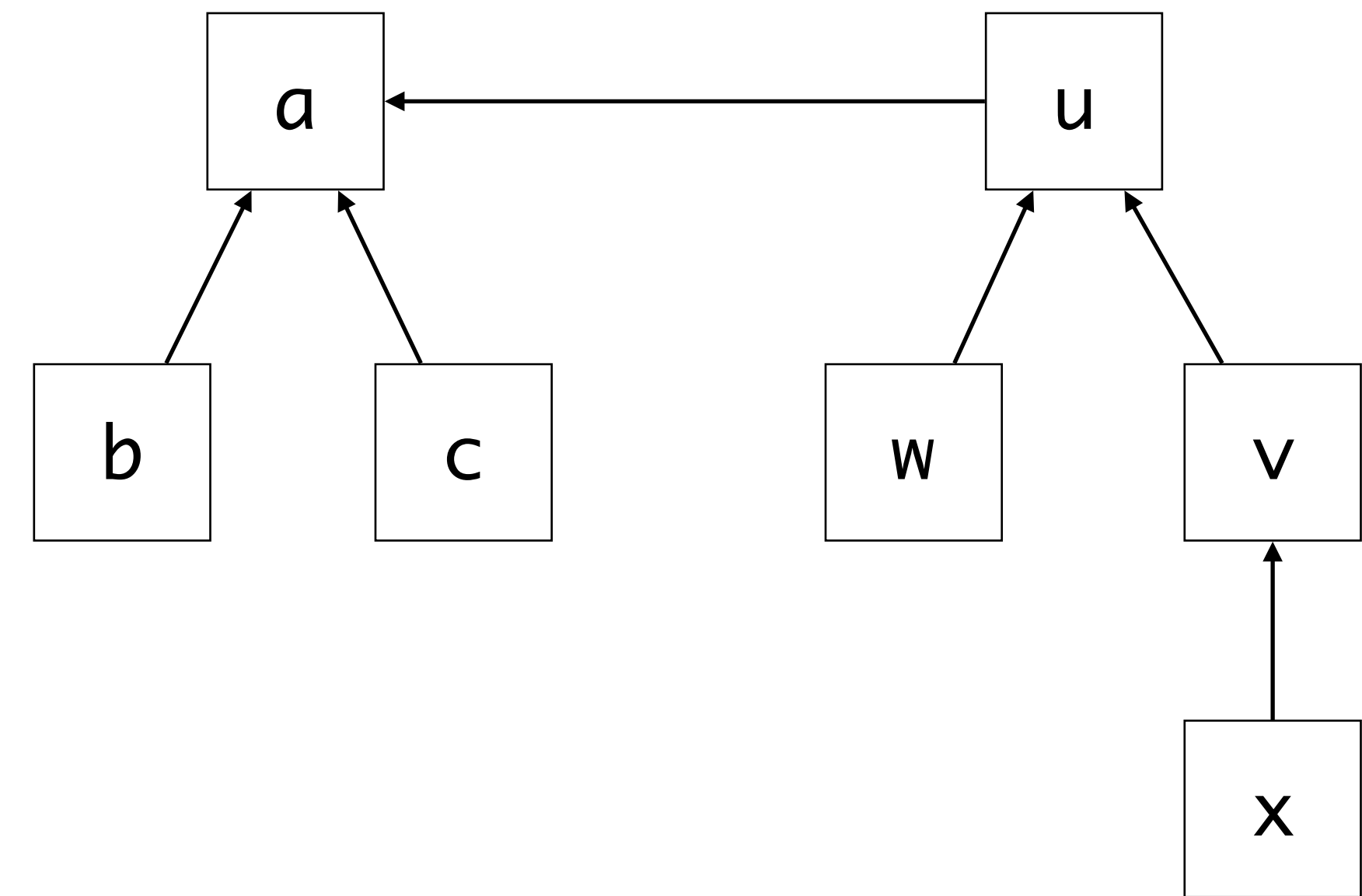
```
UNION(a1,a2):  
  b1 := FIND(a1)  
  b2 := FIND(a2)  
  LINK(b1,b2)
```

```
LINK(a1,a2):  
  rep(a1) := a2
```

```
a == b  
c == a  
u == w  
v == u  
x == v  
x == c
```



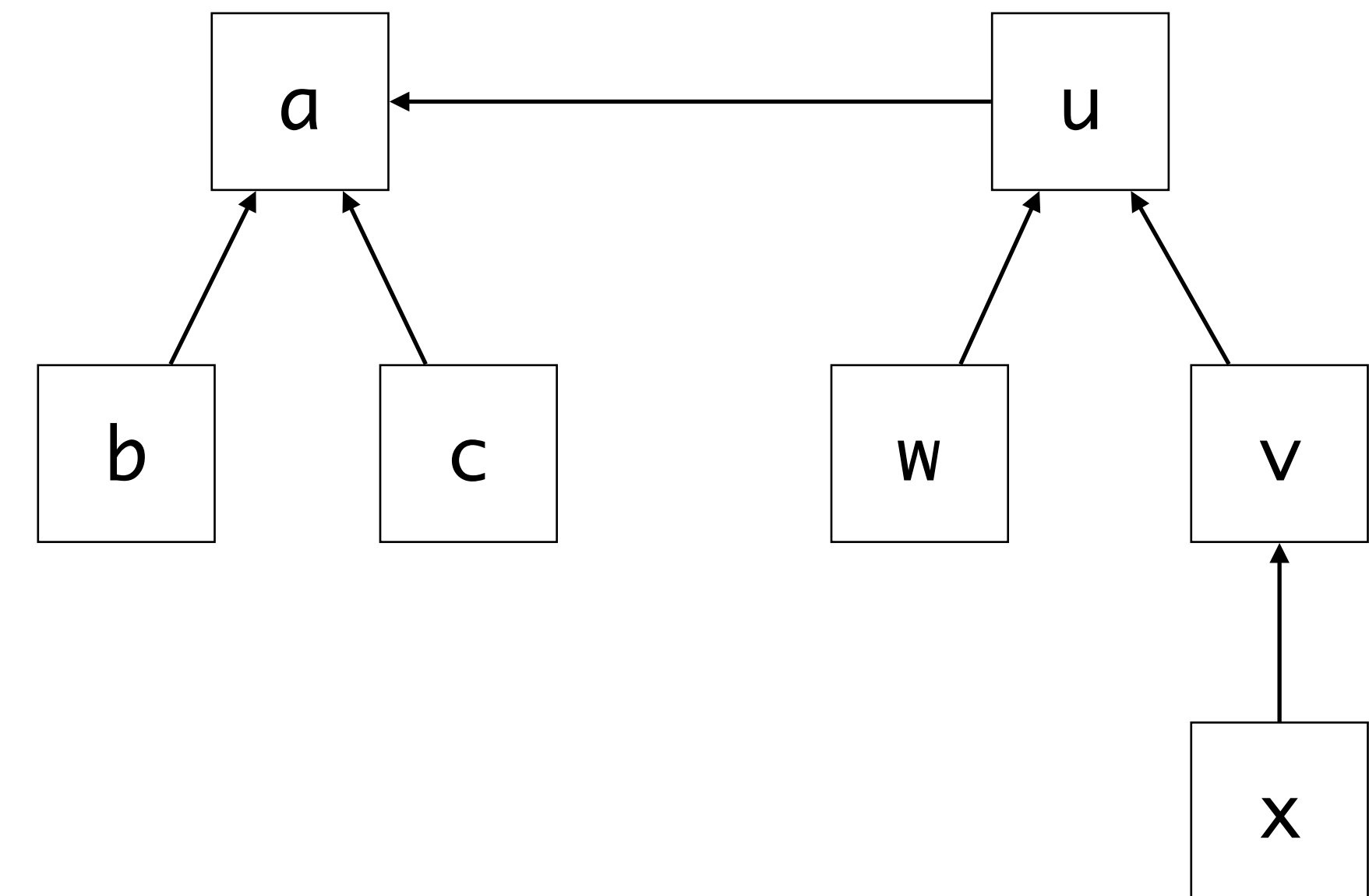
Path Compression



Path Compression

...

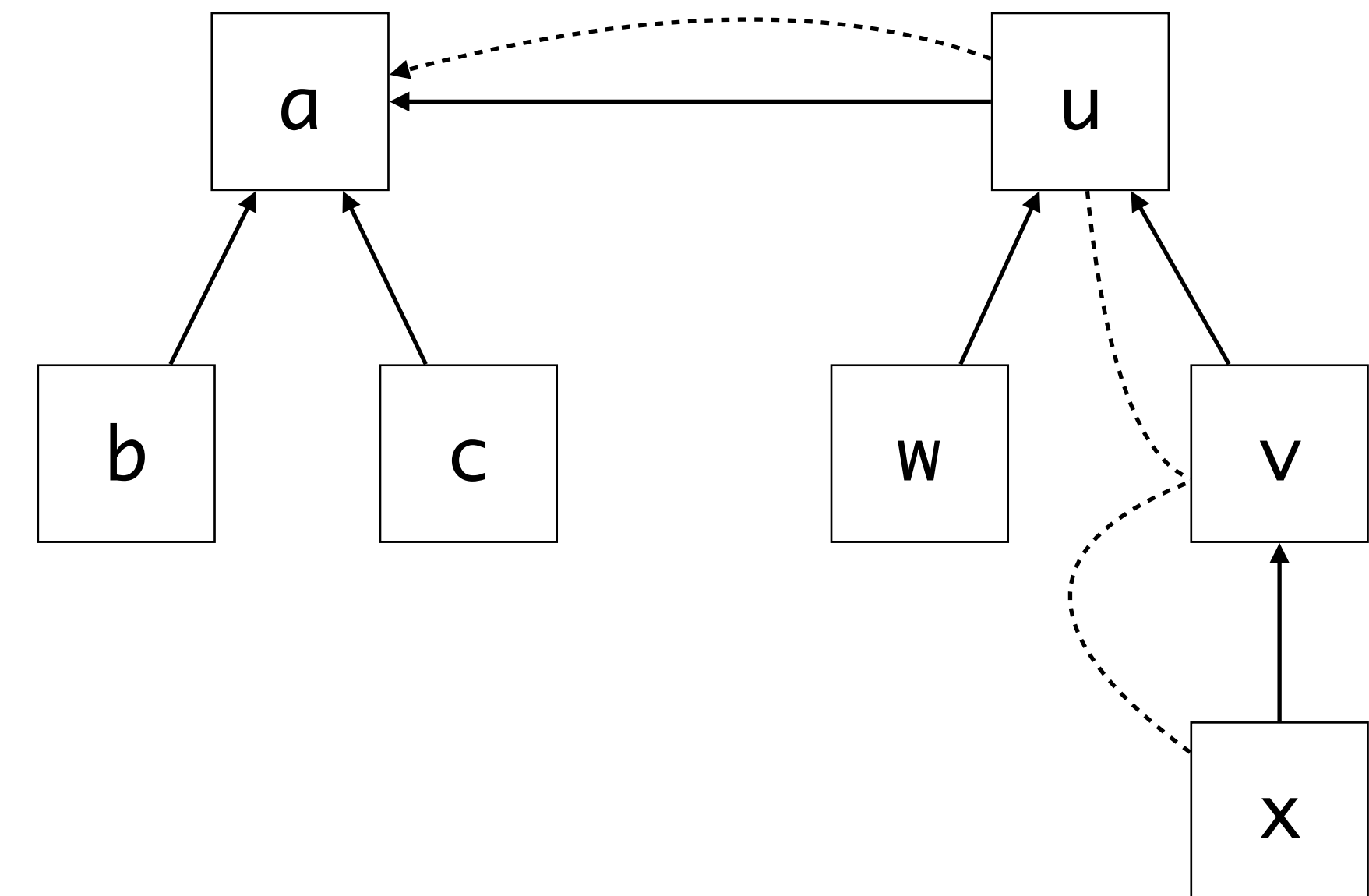
x	==	b
x	==	c
x	==	w
x	==	v



Path Compression

...

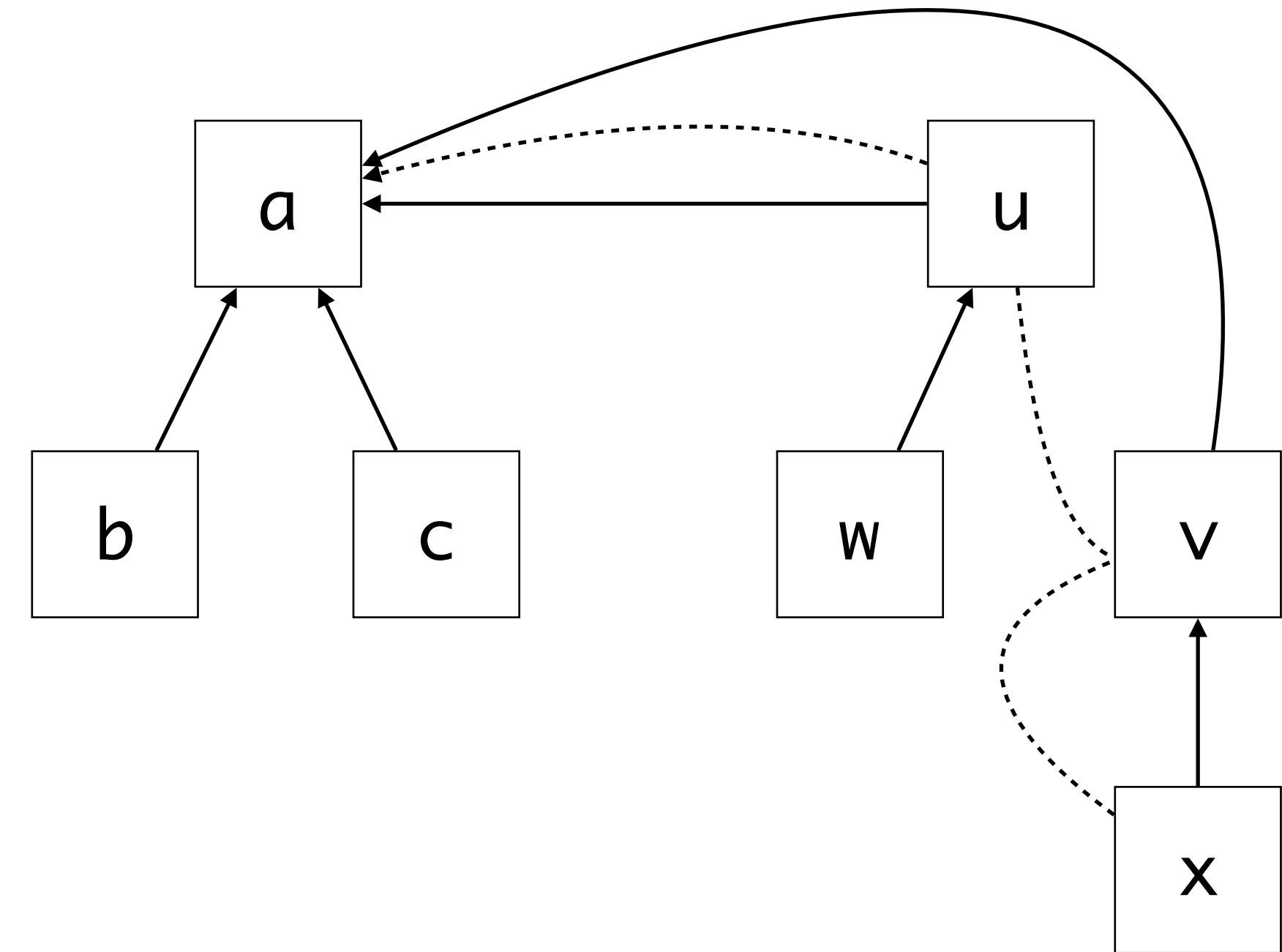
x	==	b
x	==	c
x	==	w
x	==	v



Path Compression

...

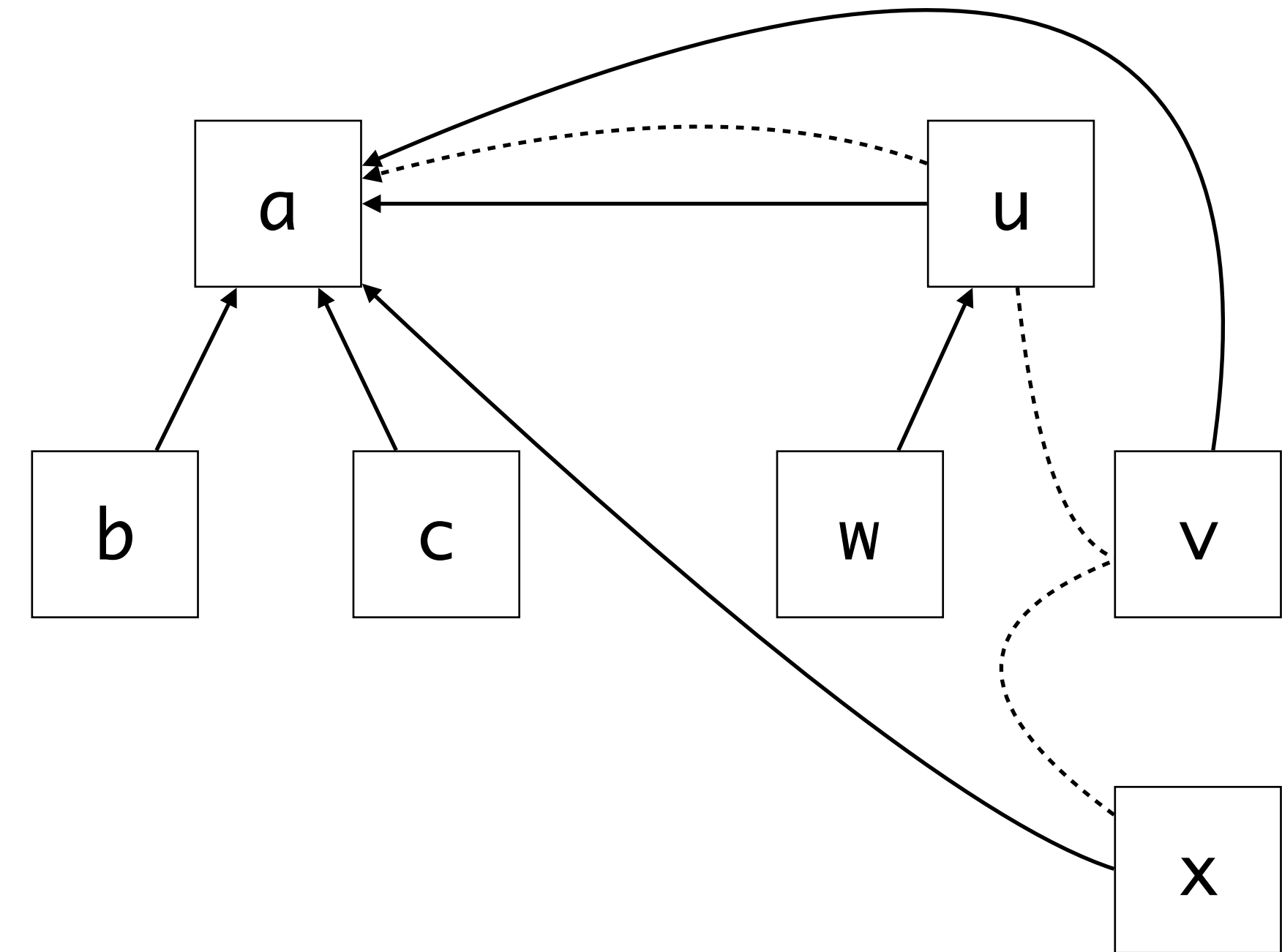
x	==	b
x	==	c
x	==	w
x	==	v



Path Compression

...

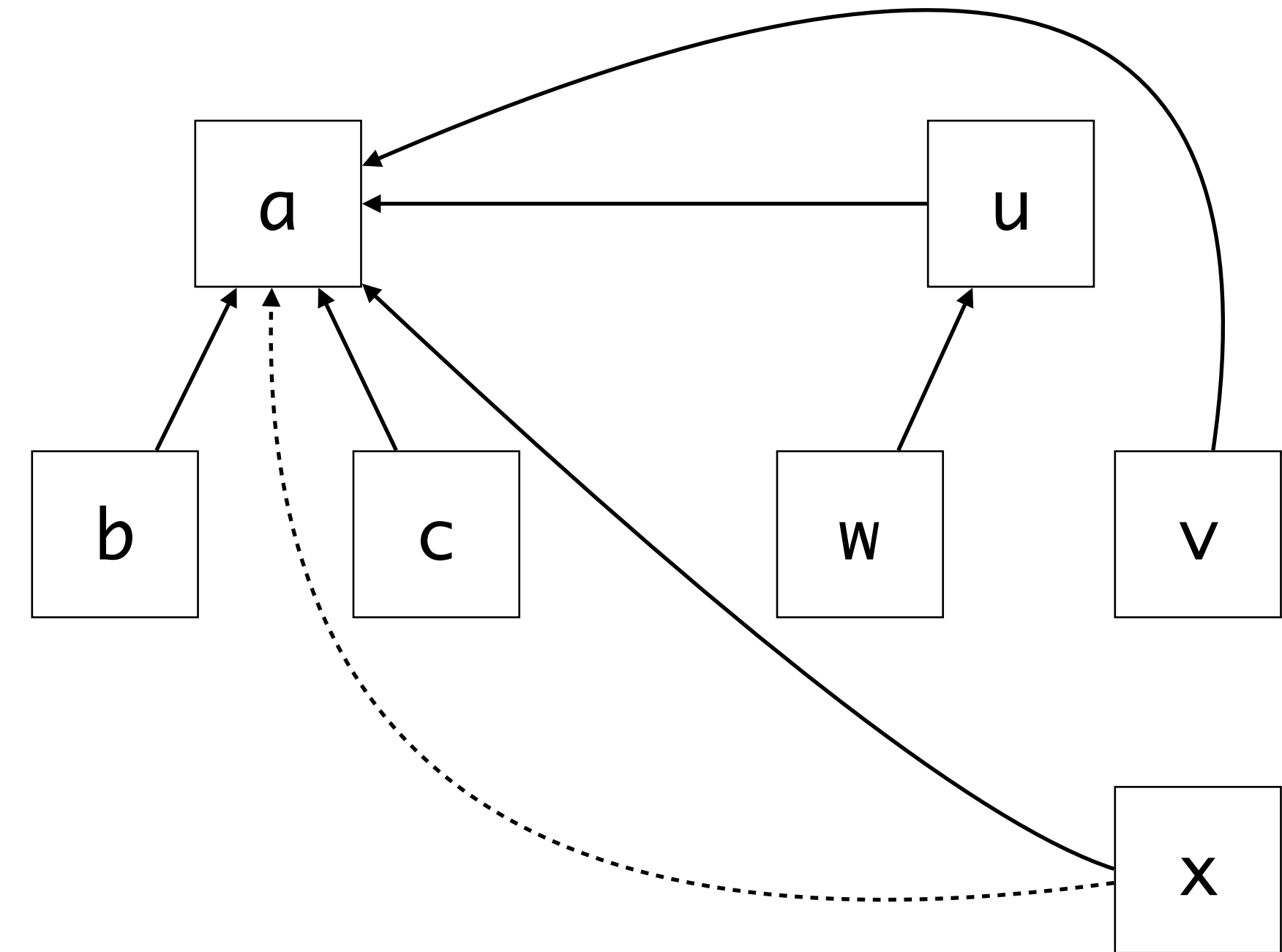
x	==	b
x	==	c
x	==	w
x	==	v



Path Compression

...

x	==	b
x	==	c
x	==	w
x	==	v



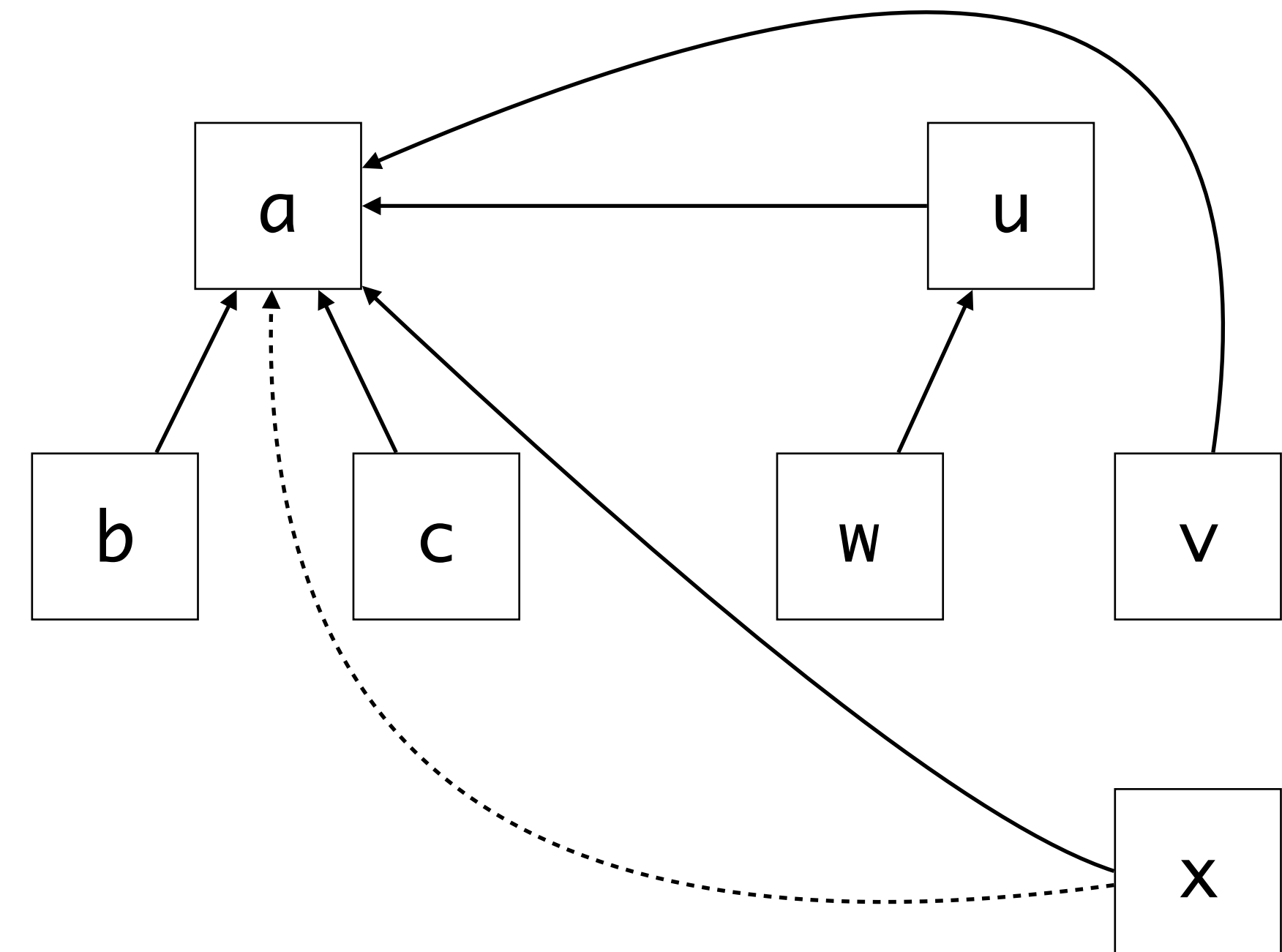
Path Compression

```
FIND(a):  
  b := rep(a)  
  if b == a:  
    return a  
  else  
    b := FIND(b)  
    rep(a) := b  
    return b
```

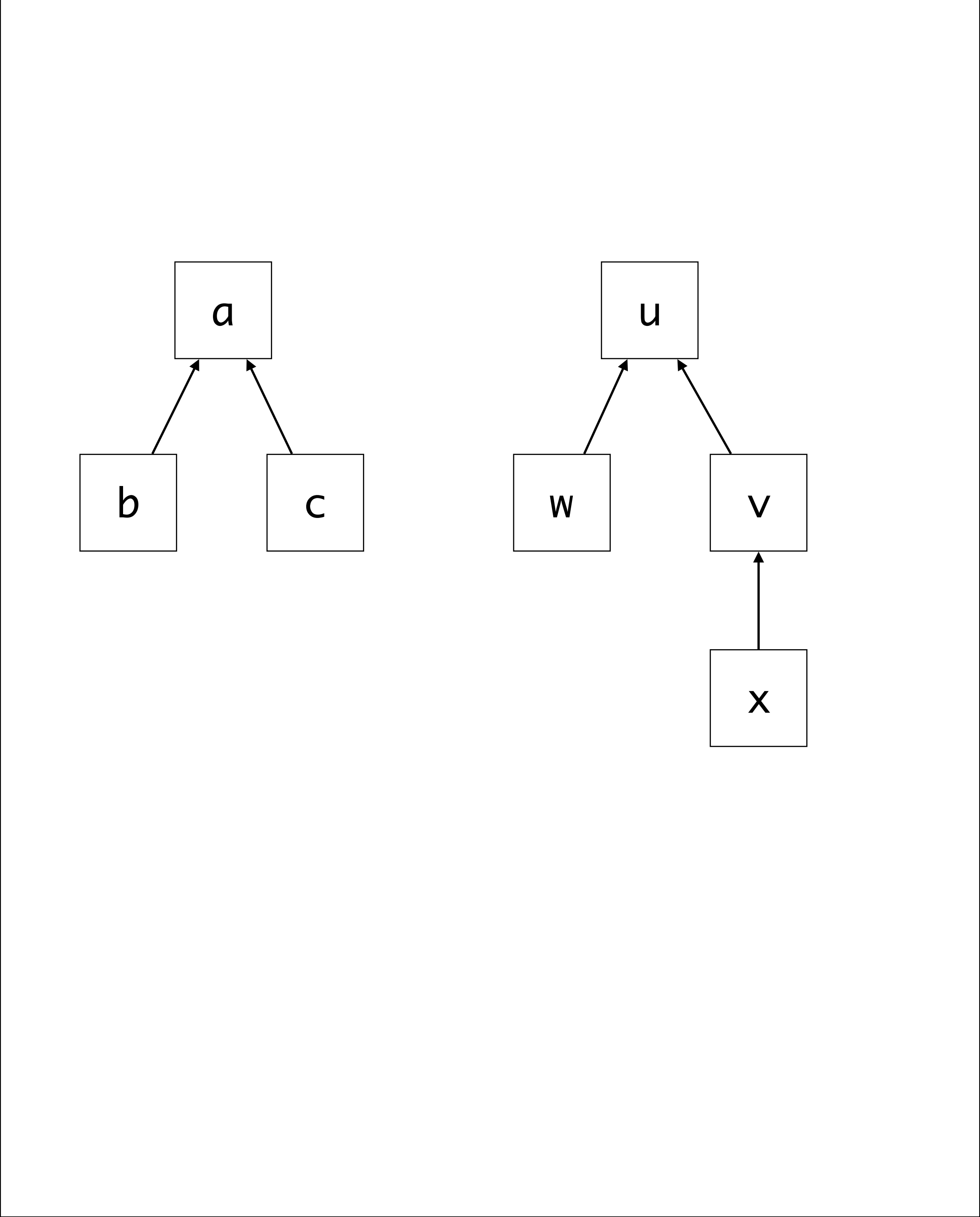
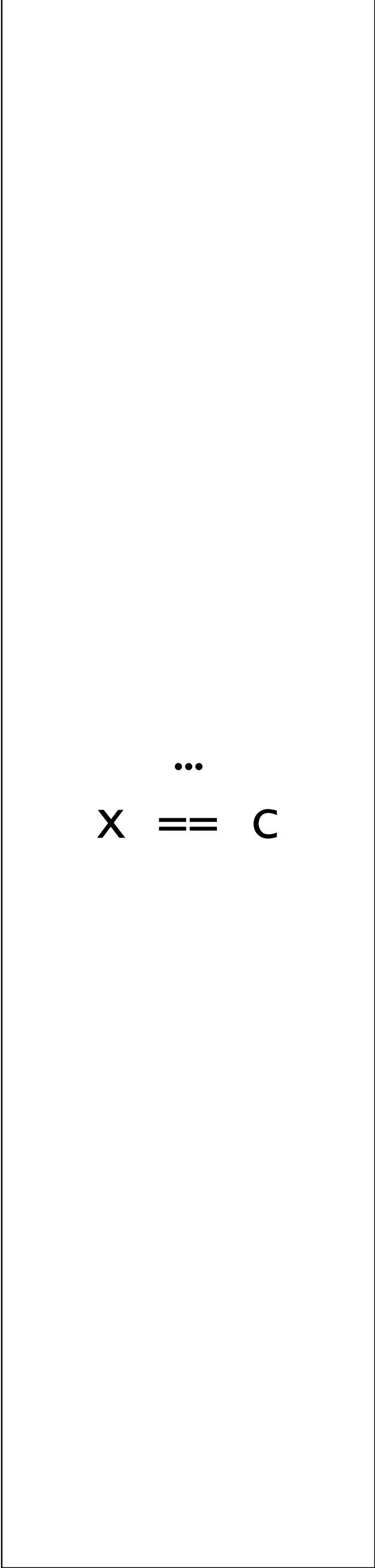
```
UNION(a1,a2):  
  b1 := FIND(a1)  
  b2 := FIND(a2)  
  LINK(b1,b2)
```

```
LINK(a1,a2):  
  rep(a1) := a2
```

```
...  
x == b  
x == c  
x == w  
x == v
```



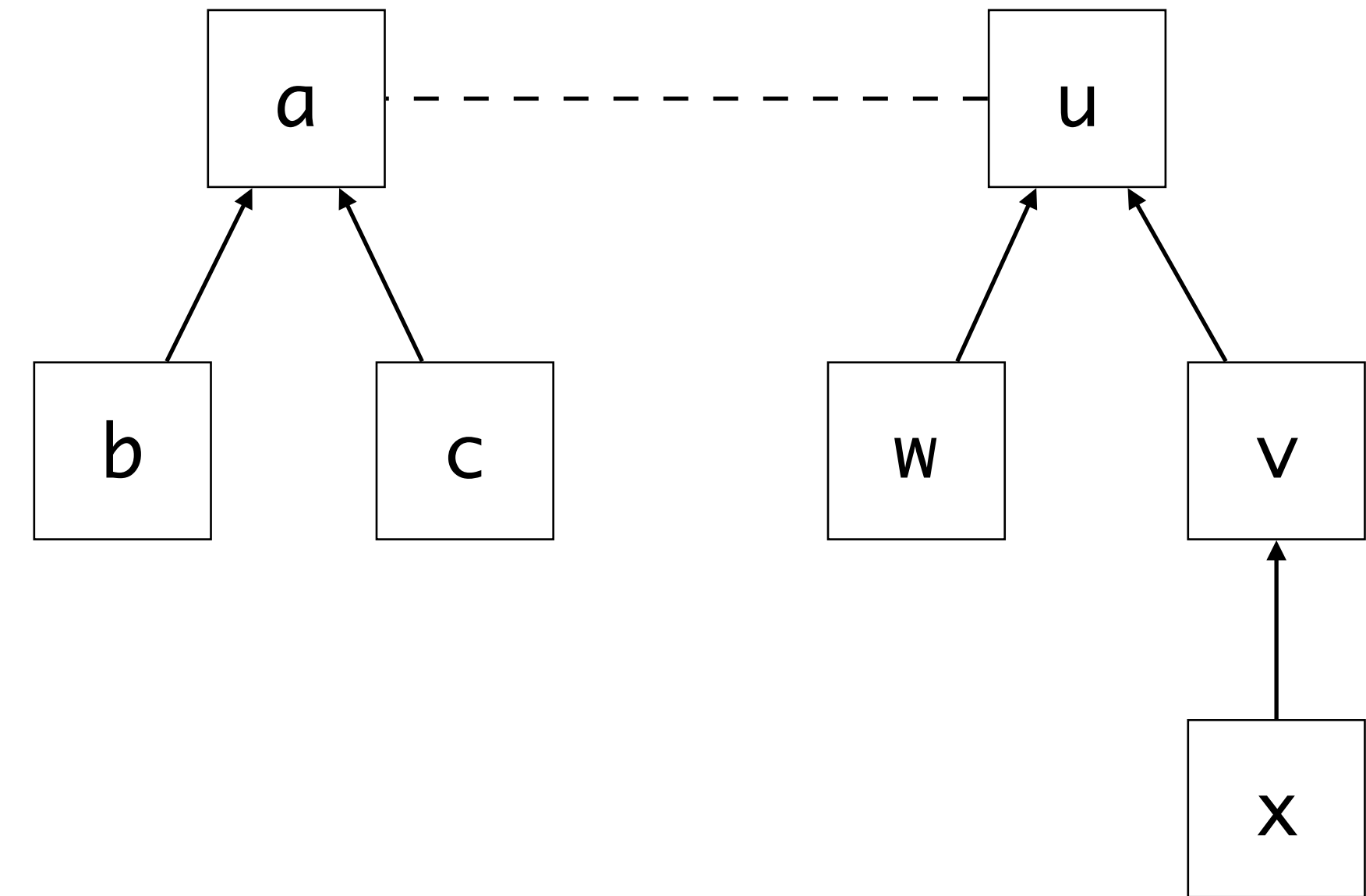
Tree Balancing



Tree Balancing

...

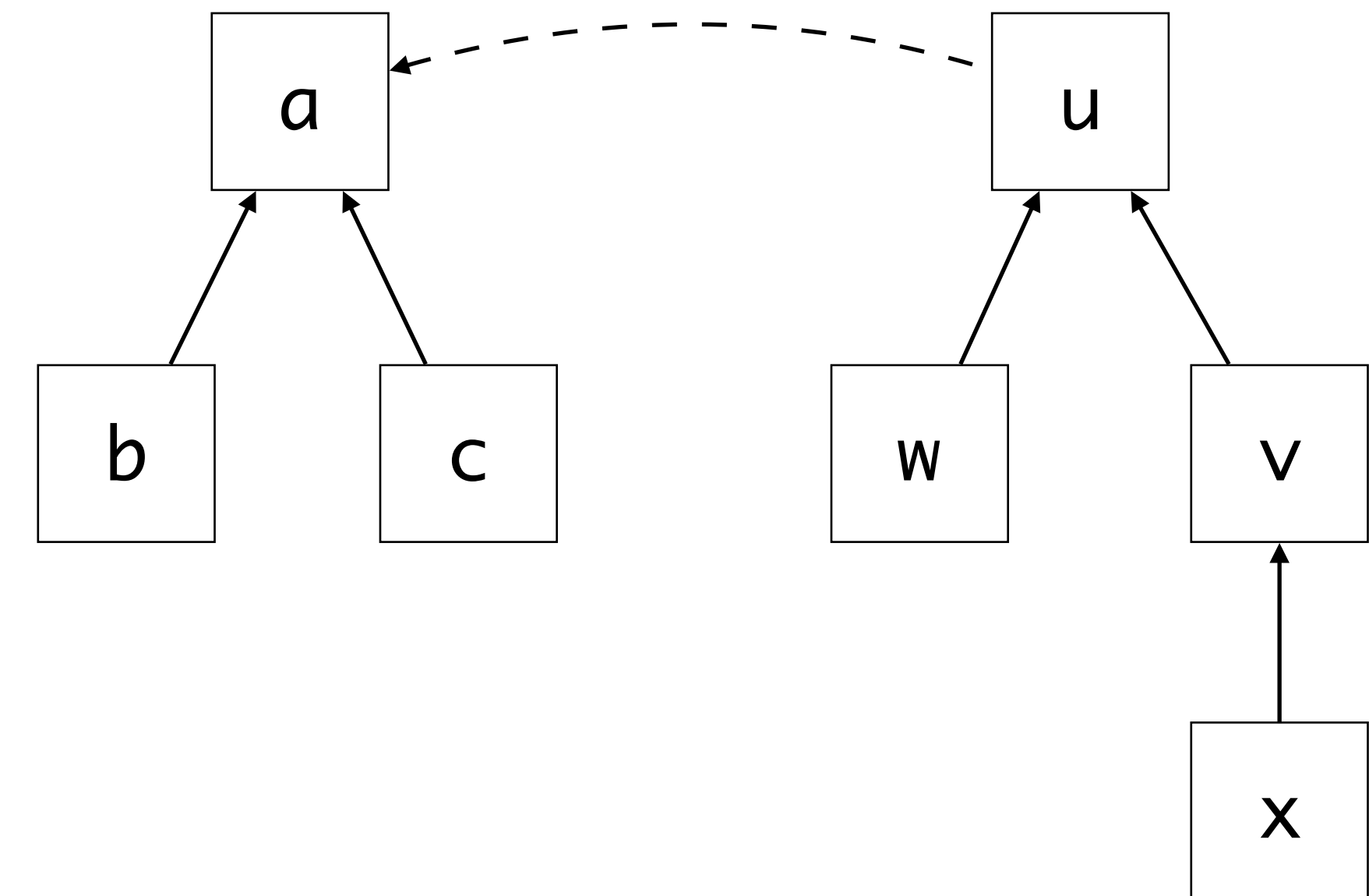
x == c



Tree Balancing

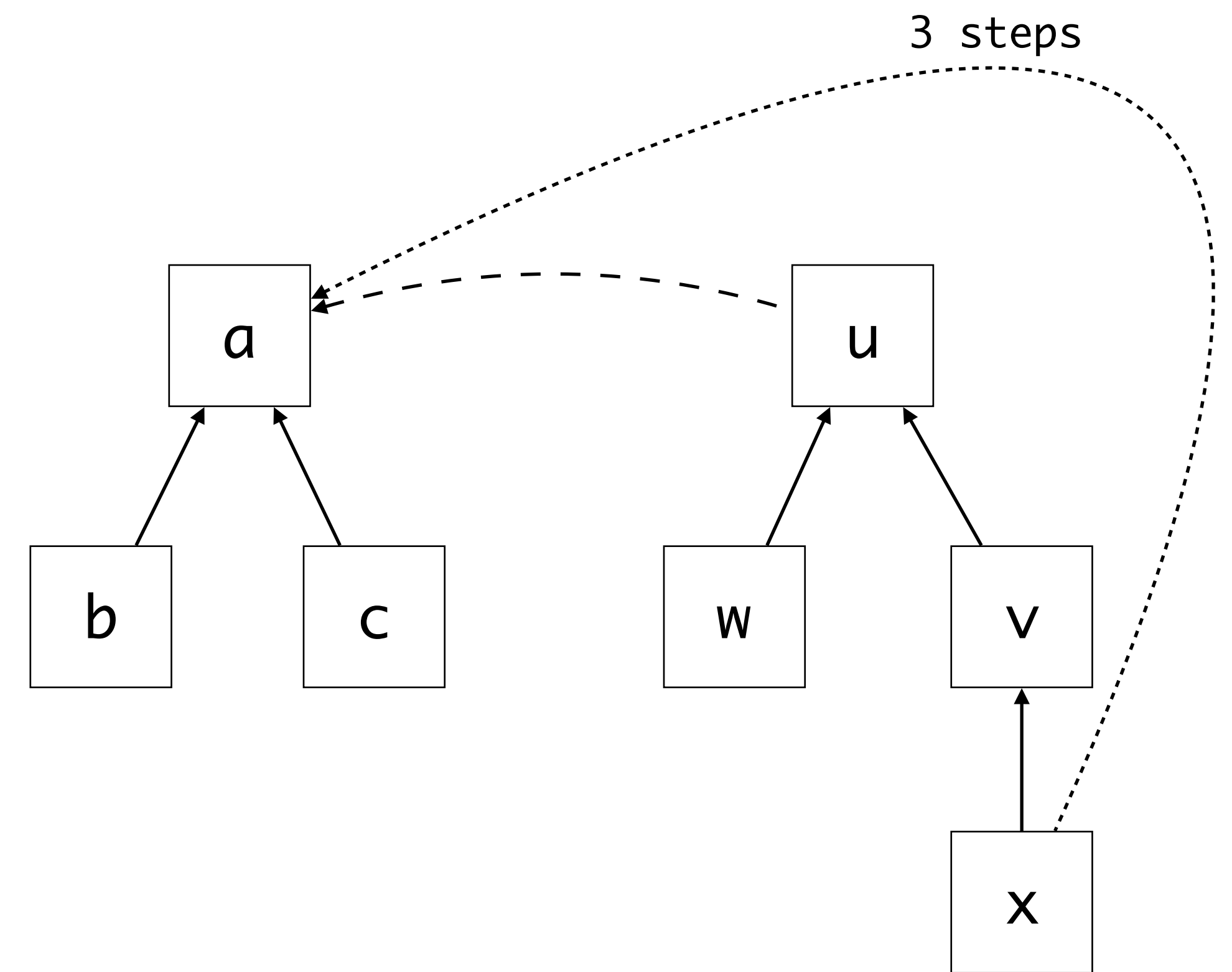
...

x == c



Tree Balancing

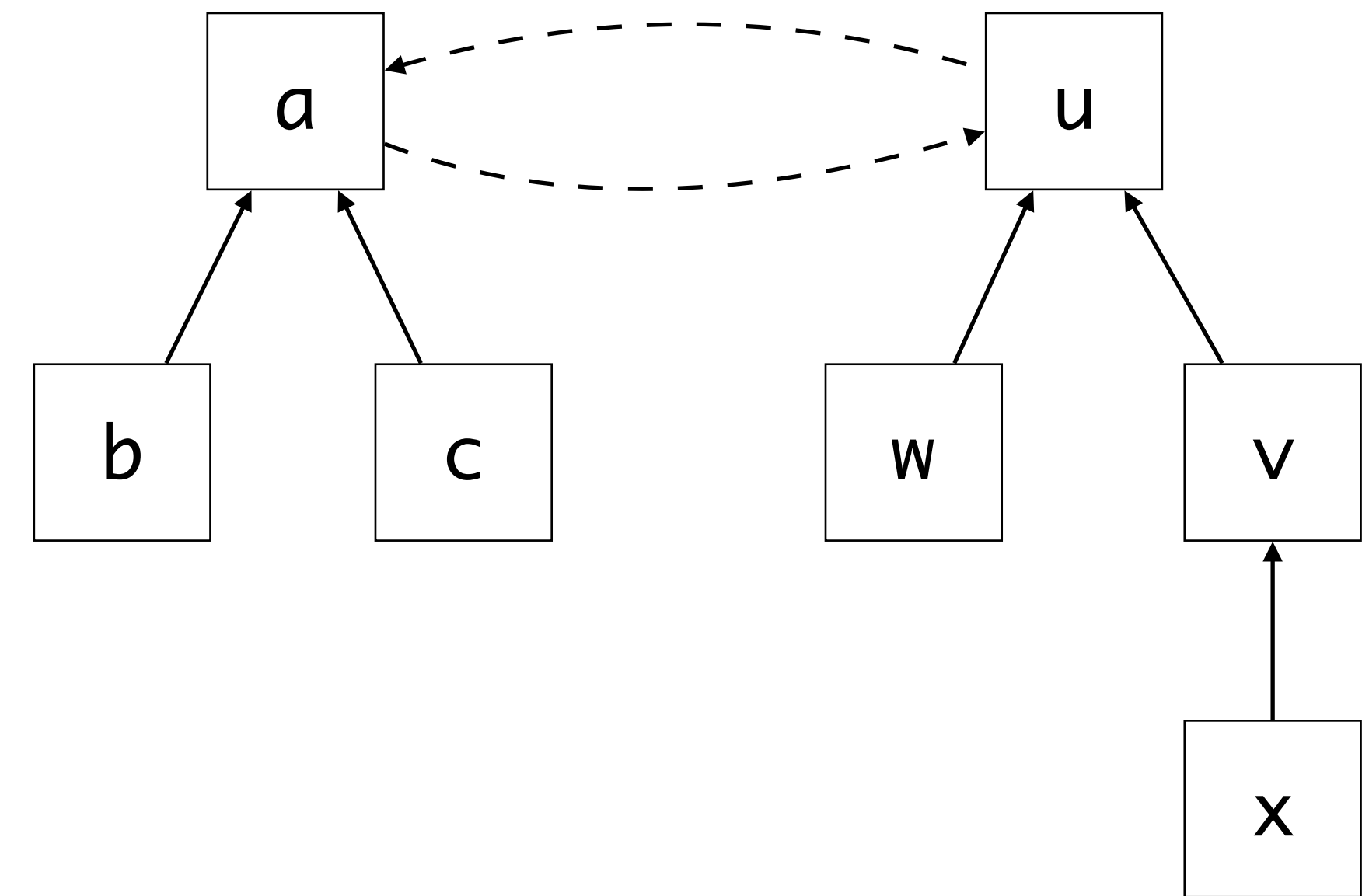
$x == \dots c$



Tree Balancing

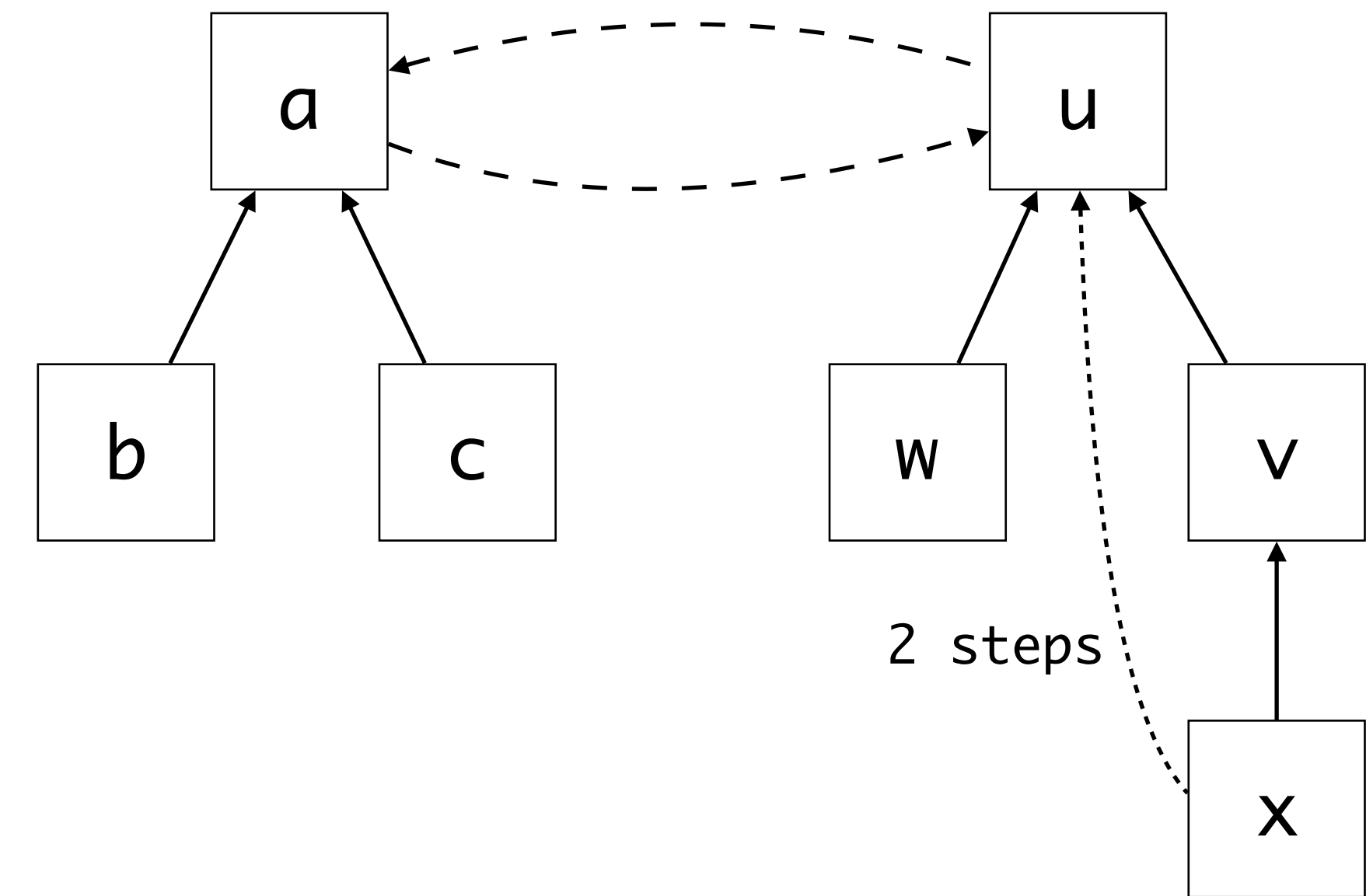
...

$x == c$



Tree Balancing

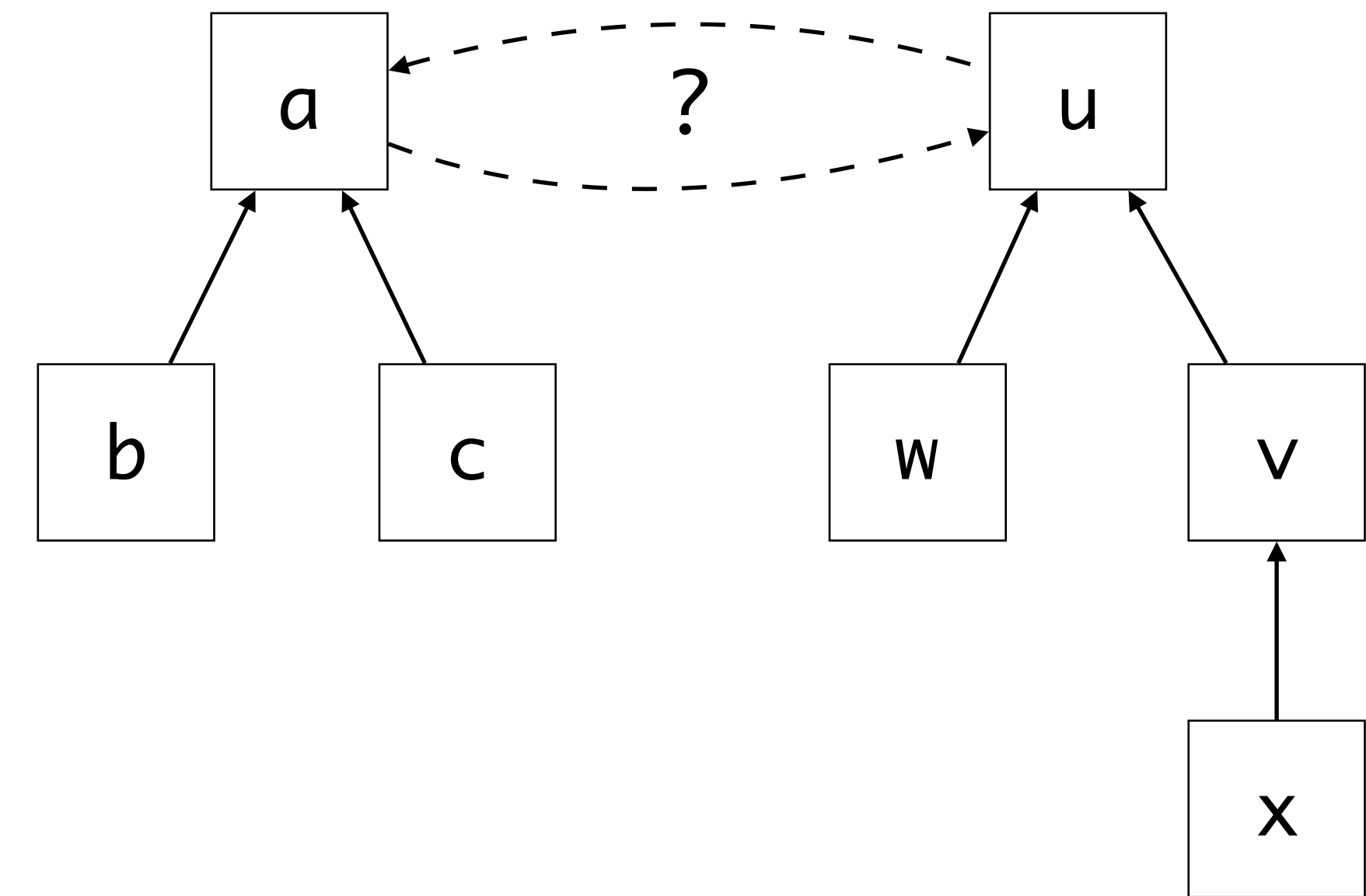
$x \stackrel{\dots}{=} c$



Tree Balancing

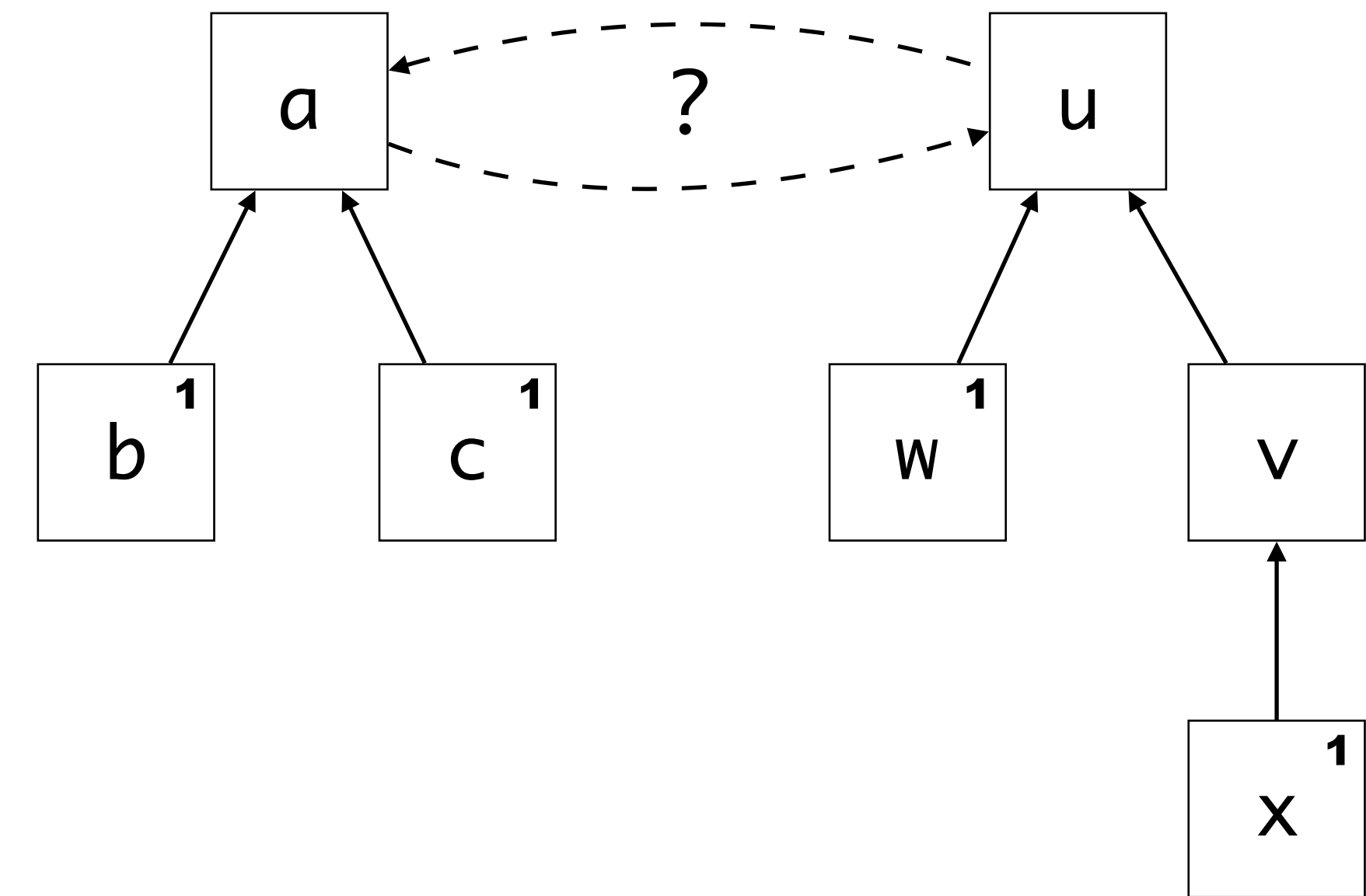
...

x == c

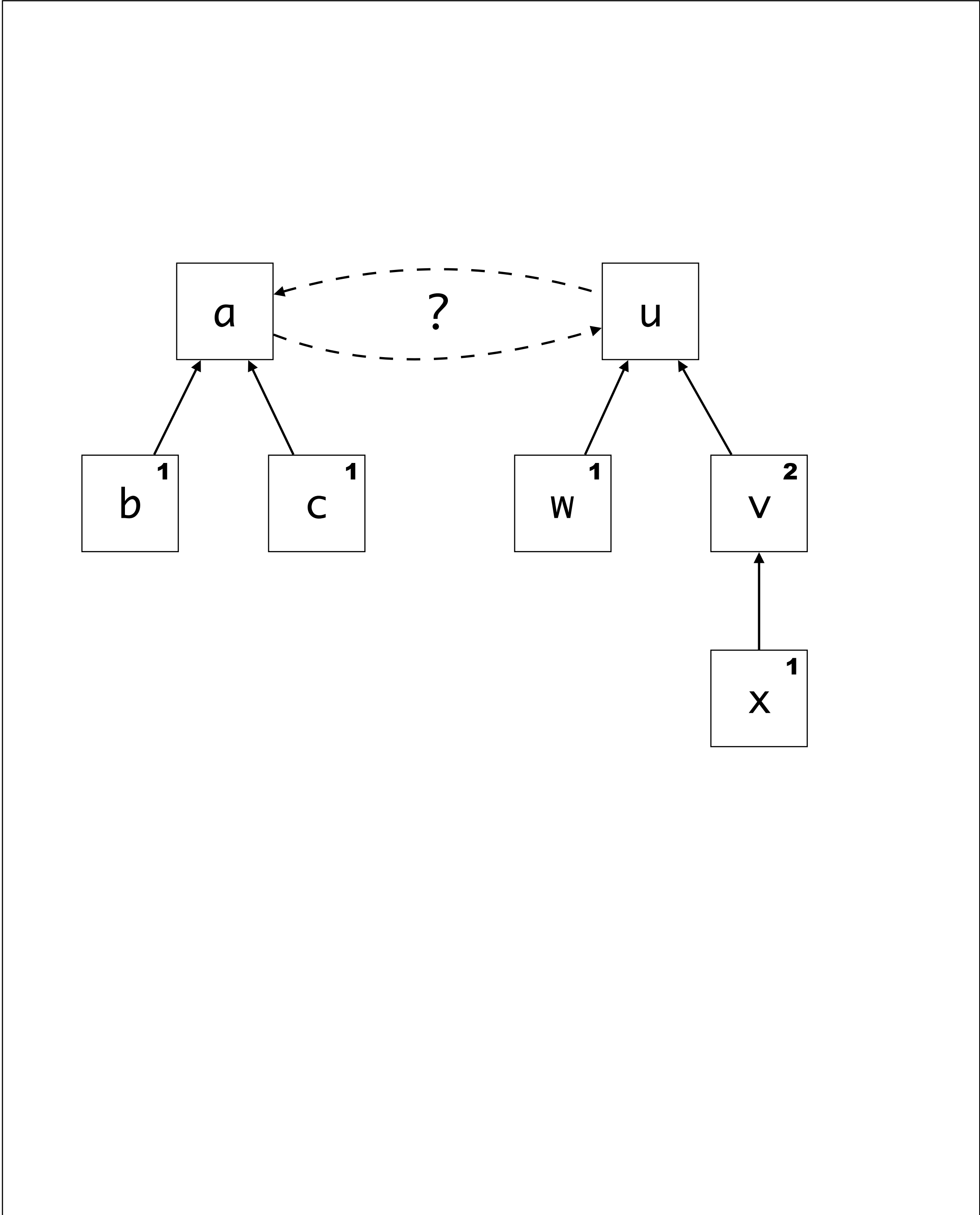
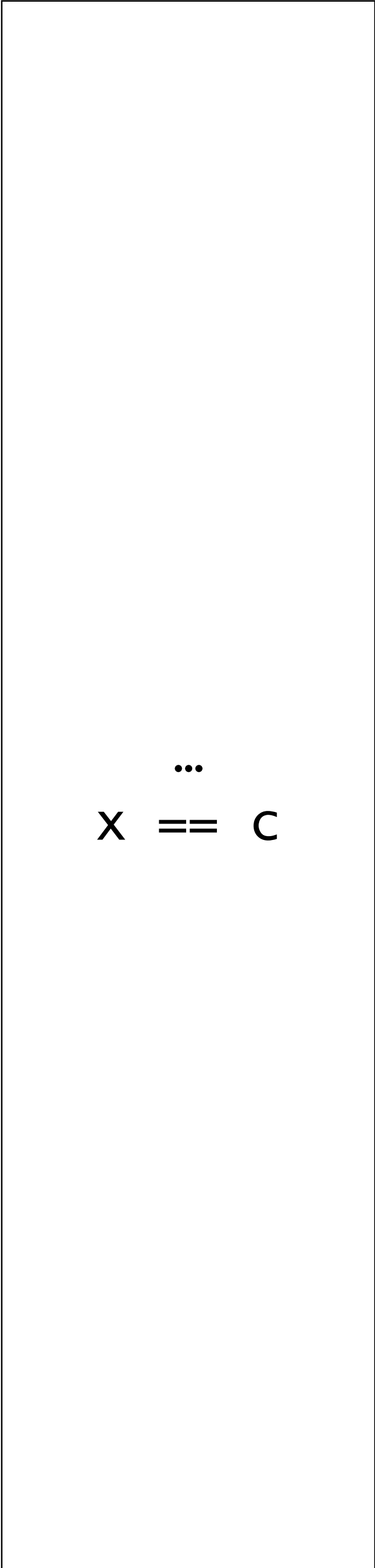


Tree Balancing

$x \stackrel{...}{=} c$

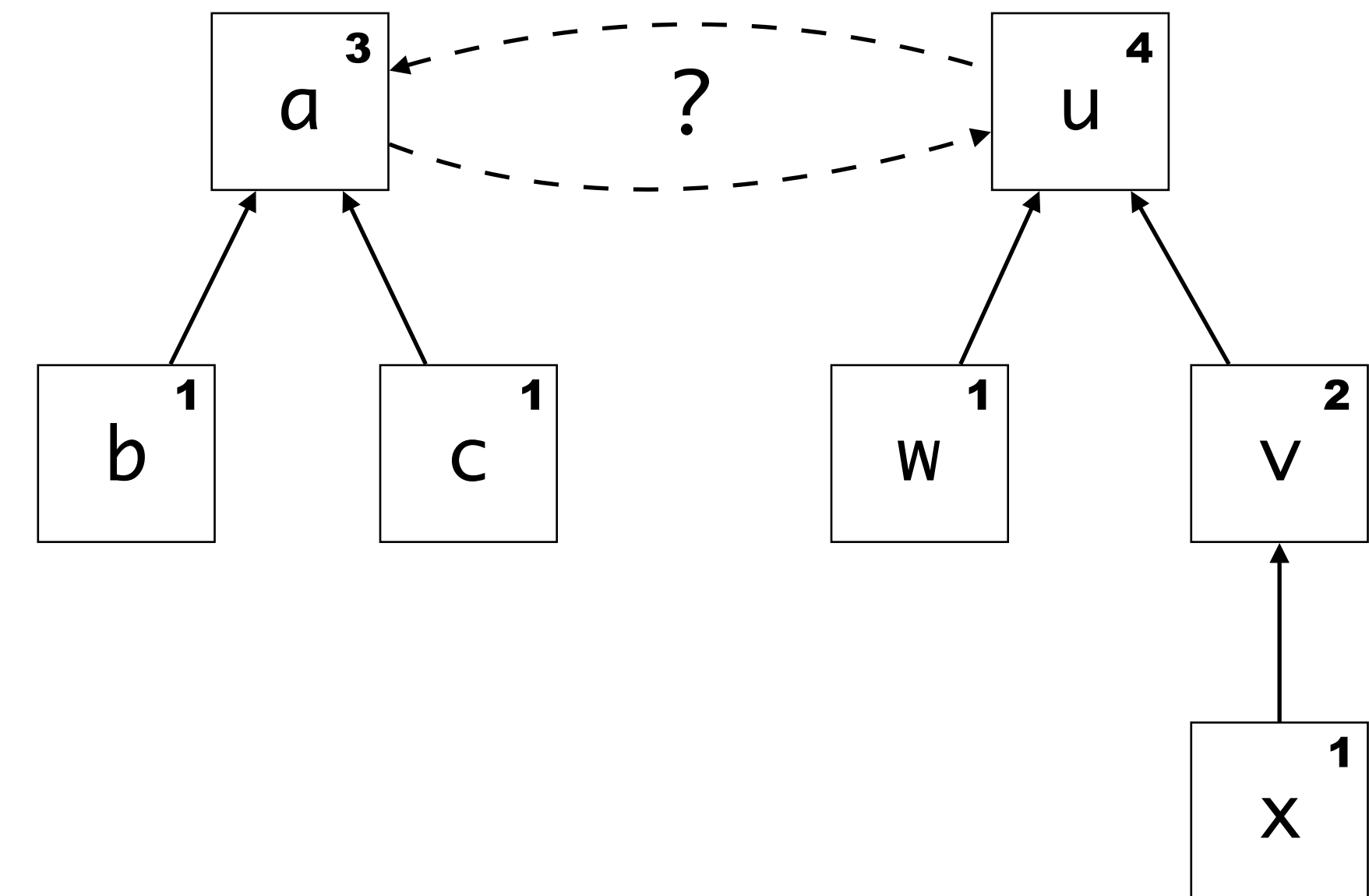


Tree Balancing

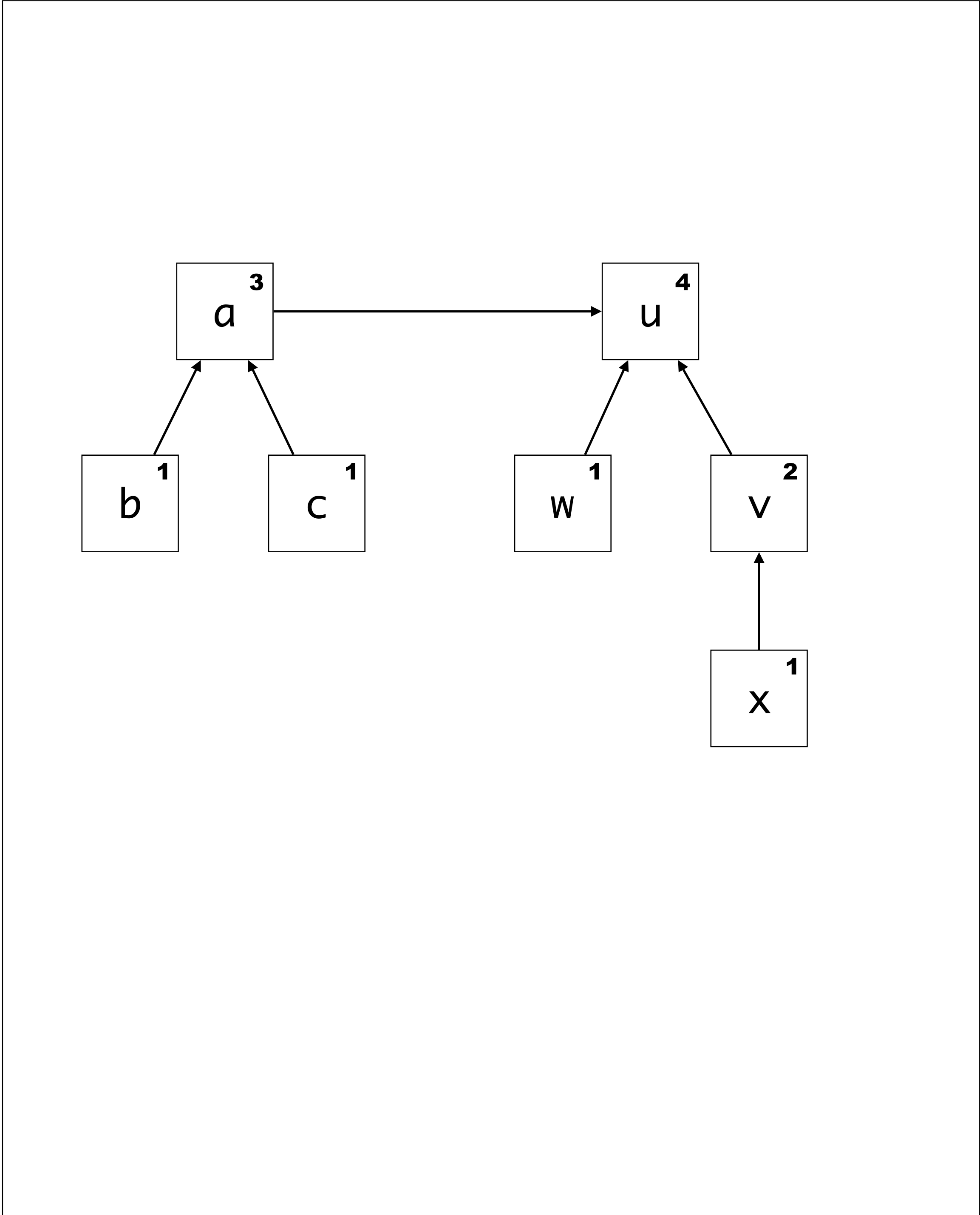
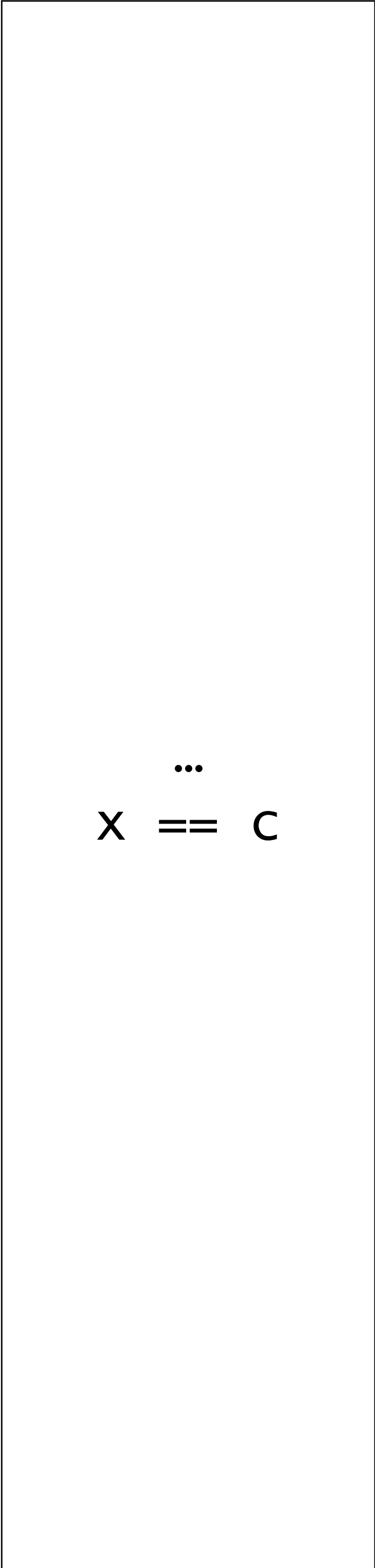


Tree Balancing

$x \stackrel{...}{=} c$



Tree Balancing



Tree Balancing

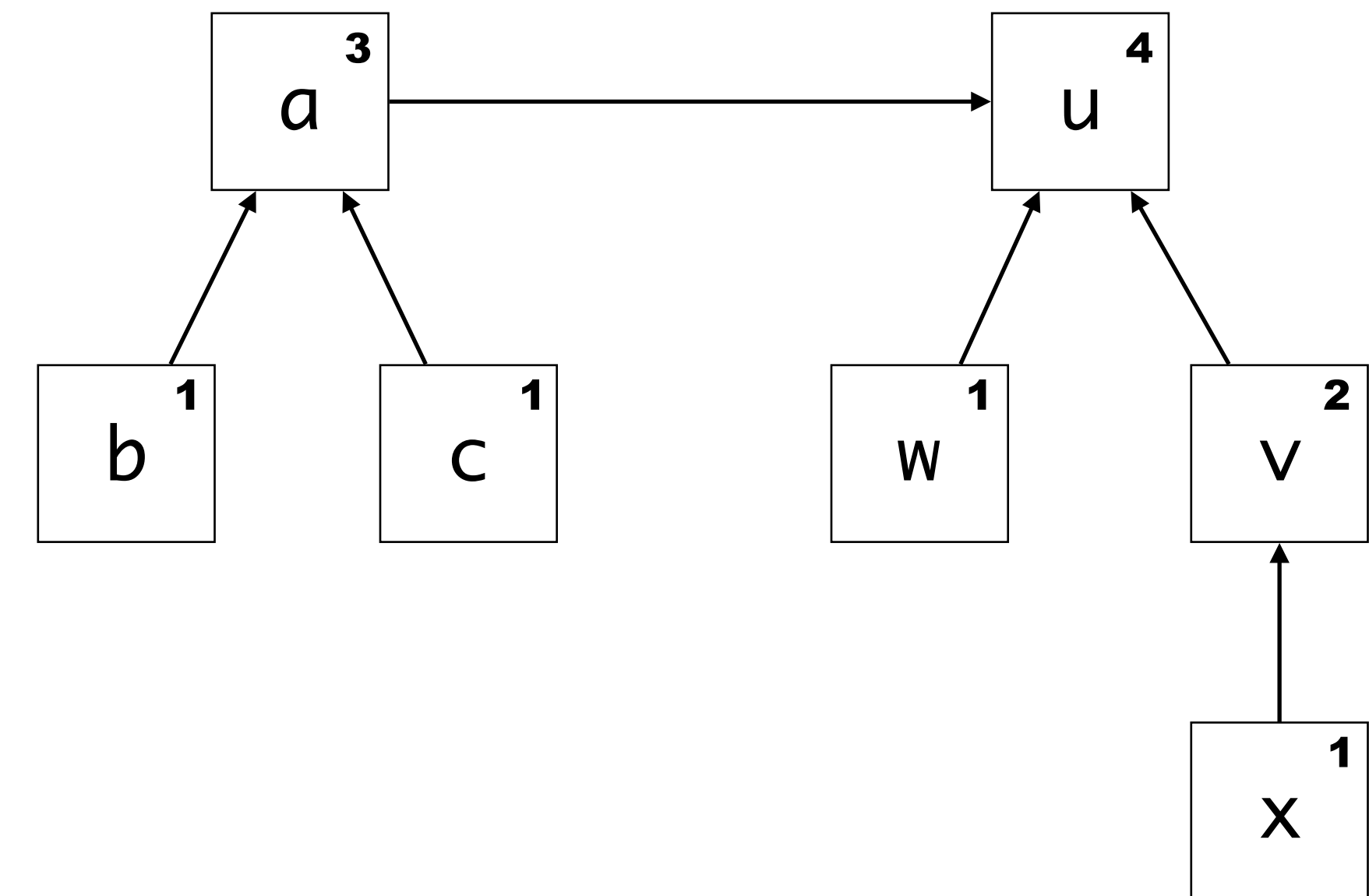
```
FIND(a):  
  b := rep(a)  
  if b == a:  
    return a  
  else  
    b := FIND(b)  
    rep(a) := b  
    return b
```

```
UNION(a1,a2):  
  b1 := FIND(a1)  
  b2 := FIND(a2)  
  LINK(b1,b2)
```

```
LINK(a1,a2):  
  if size(a2) > size(a1):  
    rep(a1) := a2  
    size(a2) += size(a1)  
  else:  
    rep(a2) := a1  
    size(a1) += size(a2)
```

...

x == c

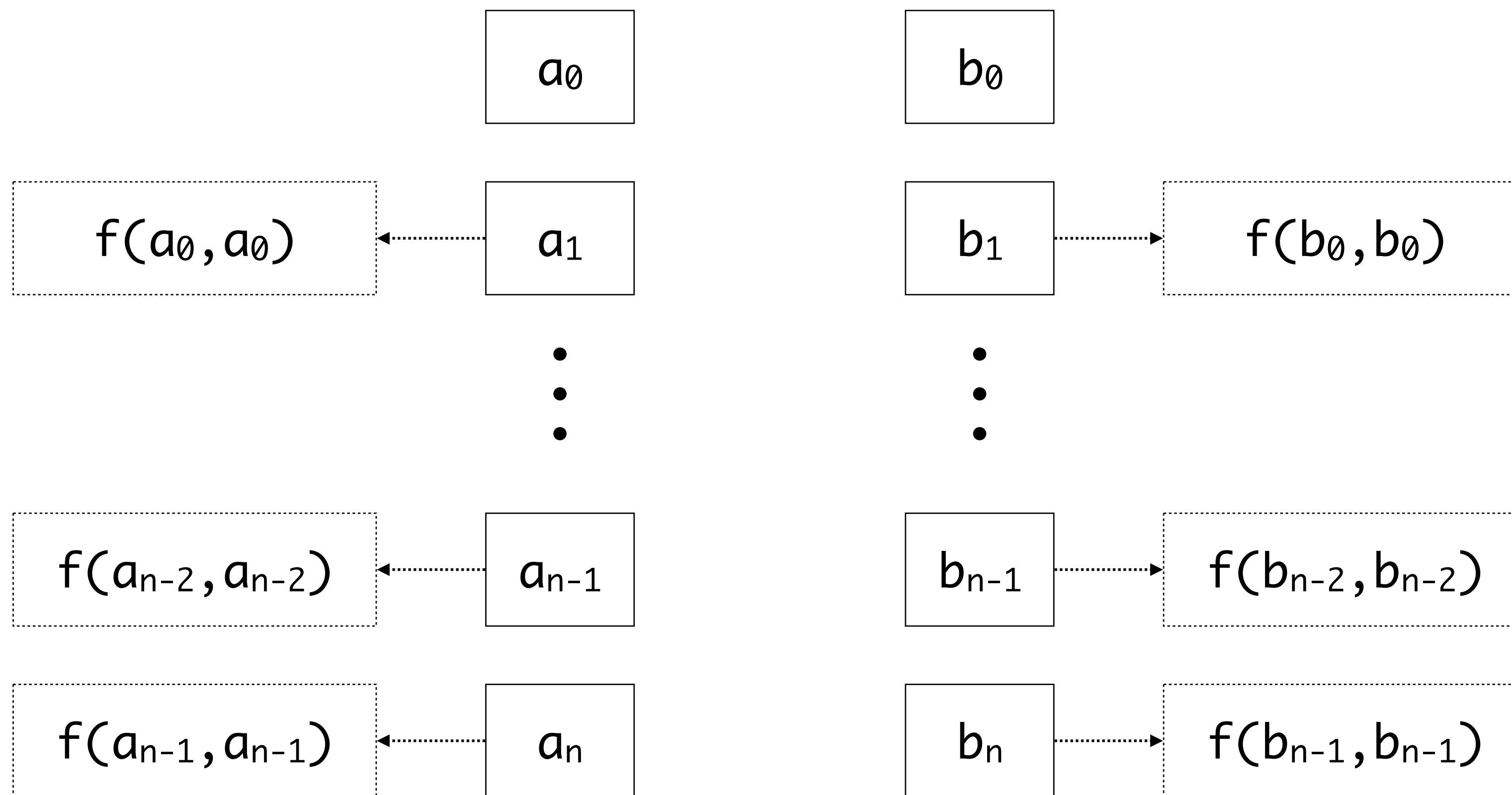


The Complex Case

$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == \\ h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$

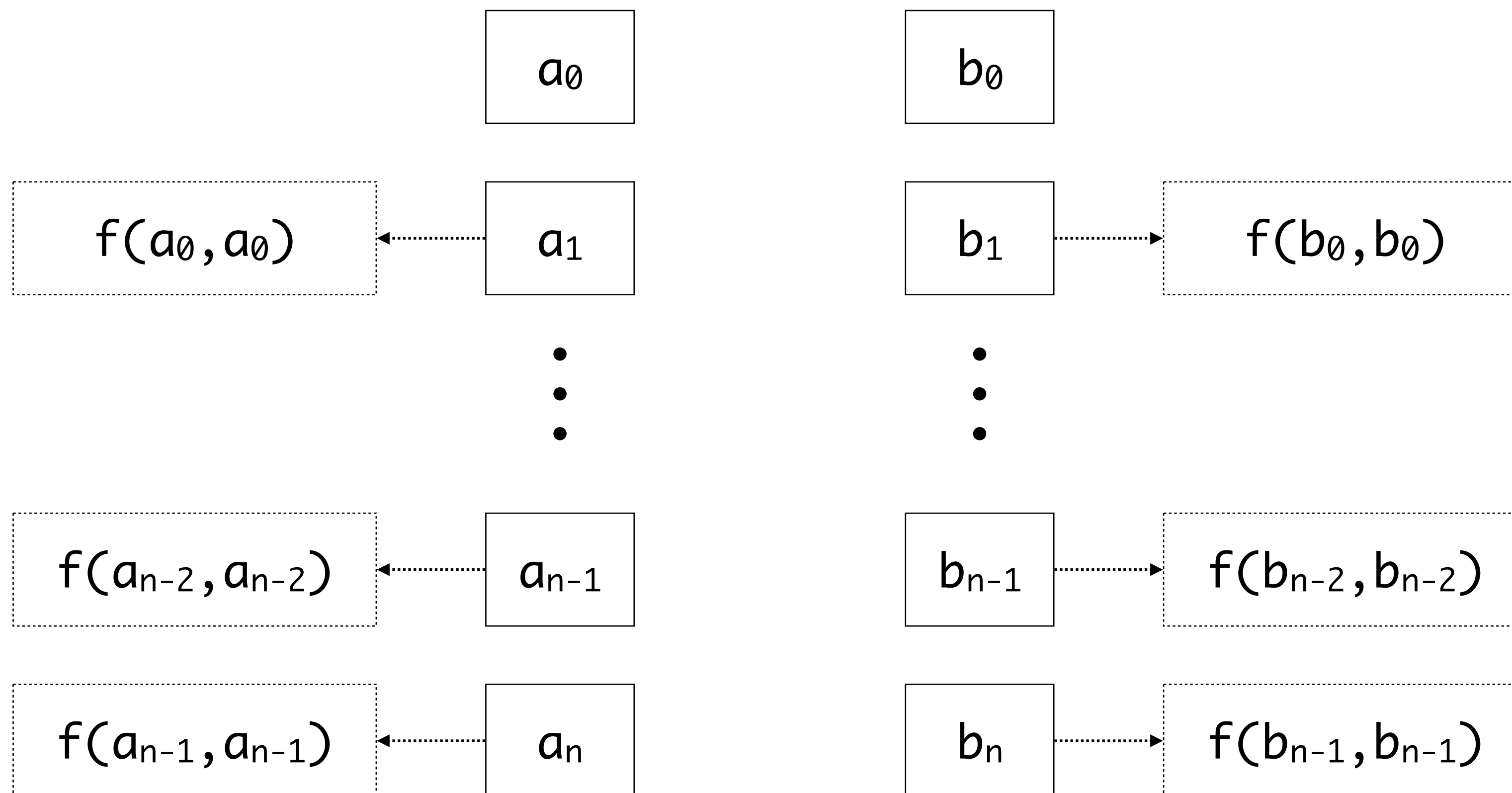
The Complex Case

$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



The Complex Case

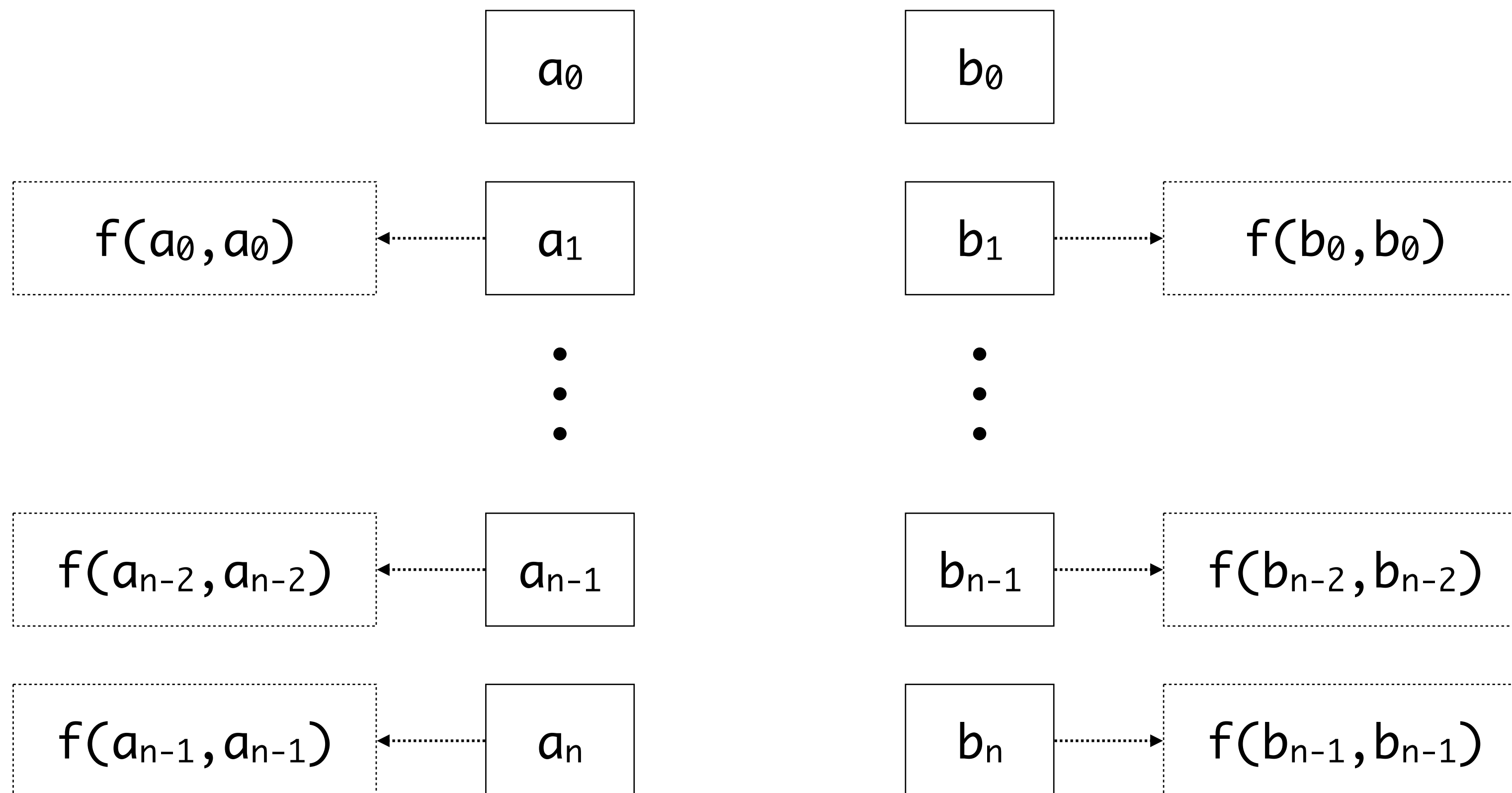
$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



$$a_n == b_n$$

The Complex Case

$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$

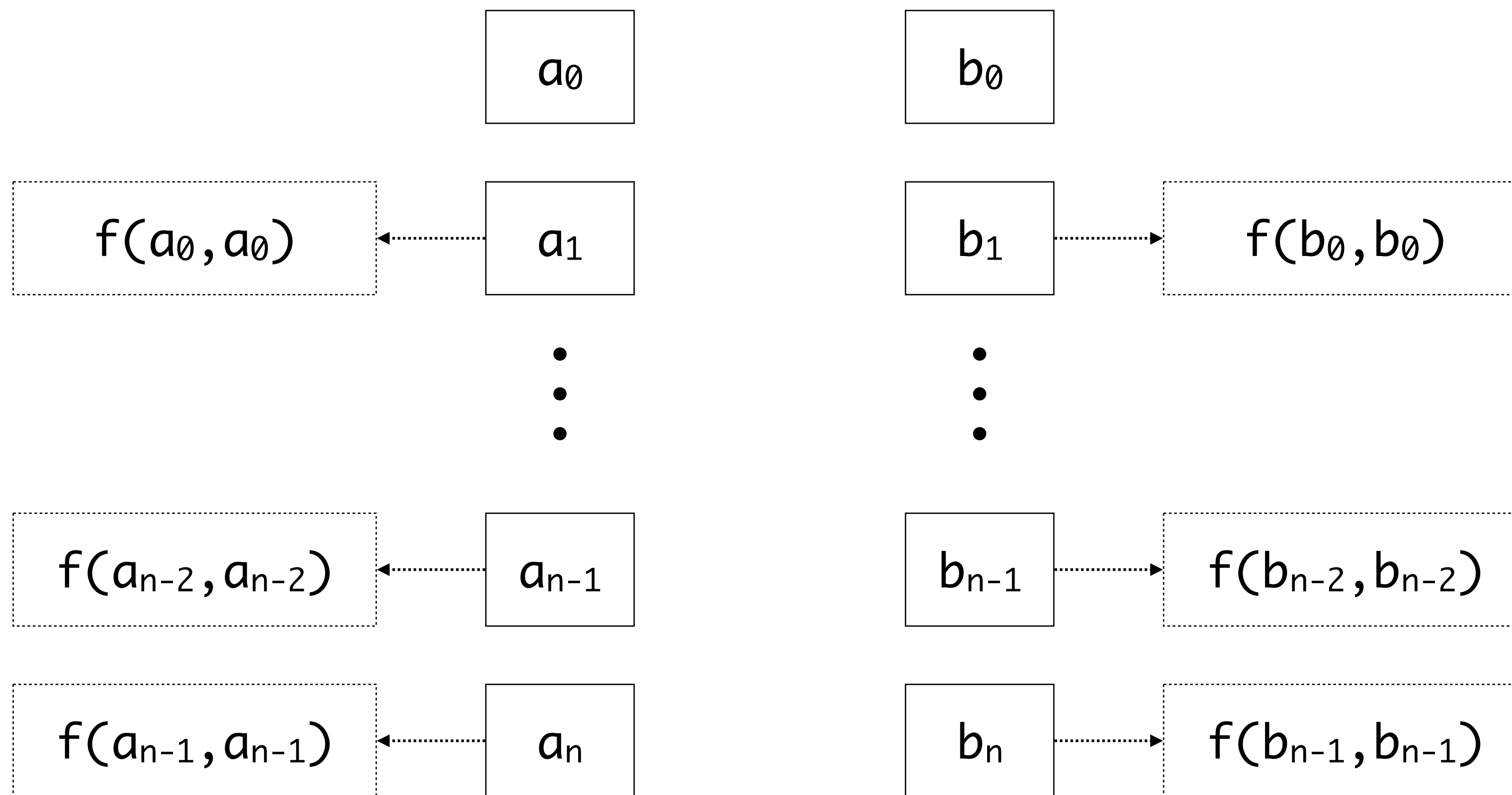


$$a_n == b_n$$

$$f(a_{n-1}, a_{n-1}) == f(b_{n-1}, b_{n-1})$$

The Complex Case

$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



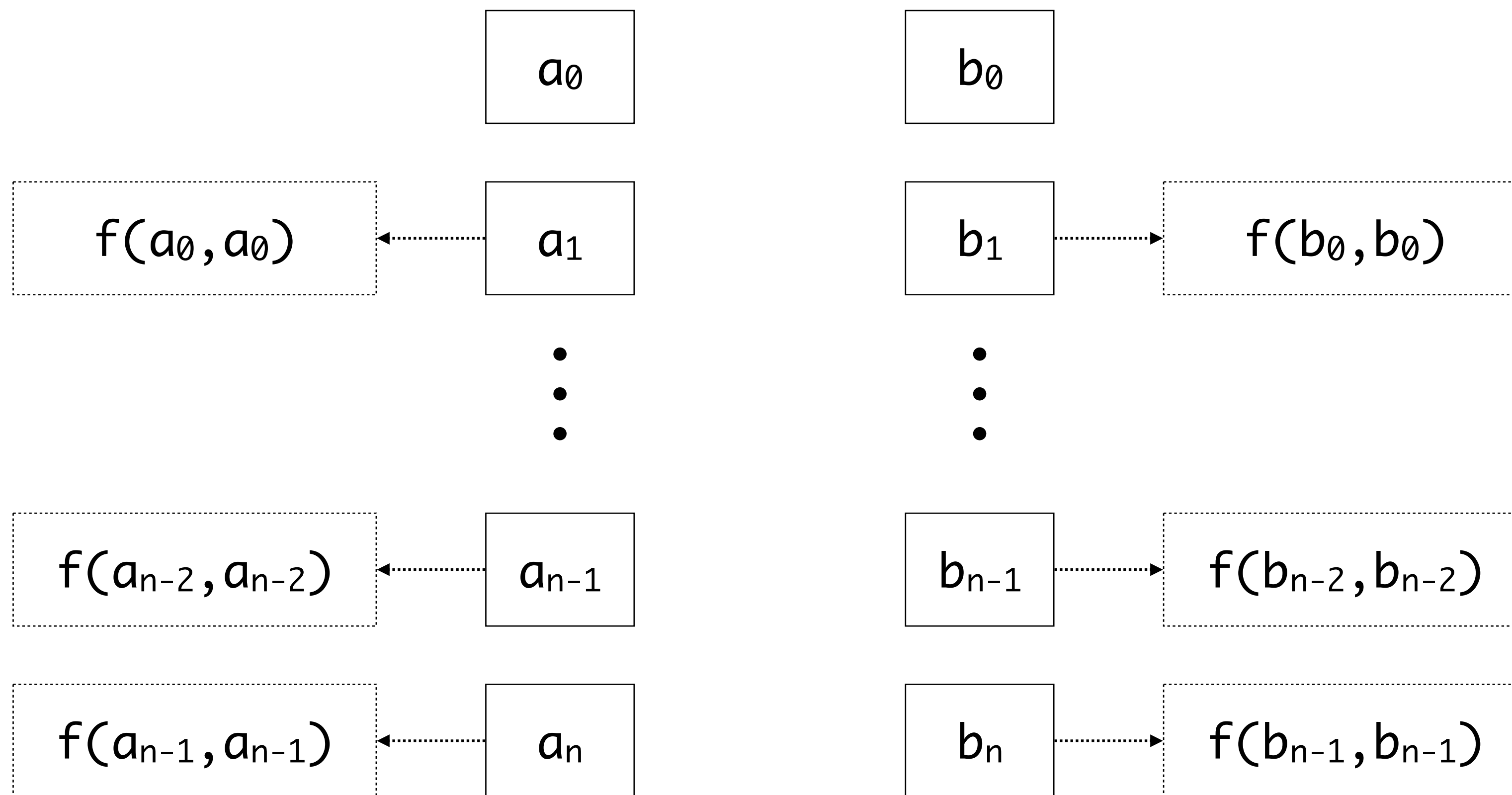
$$a_n == b_n$$

$$f(a_{n-1}, a_{n-1}) == f(b_{n-1}, b_{n-1})$$

$$a_{n-1} == b_{n-1} \quad a_{n-1} == b_{n-1}$$

The Complex Case

$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



$$a_n == b_n$$

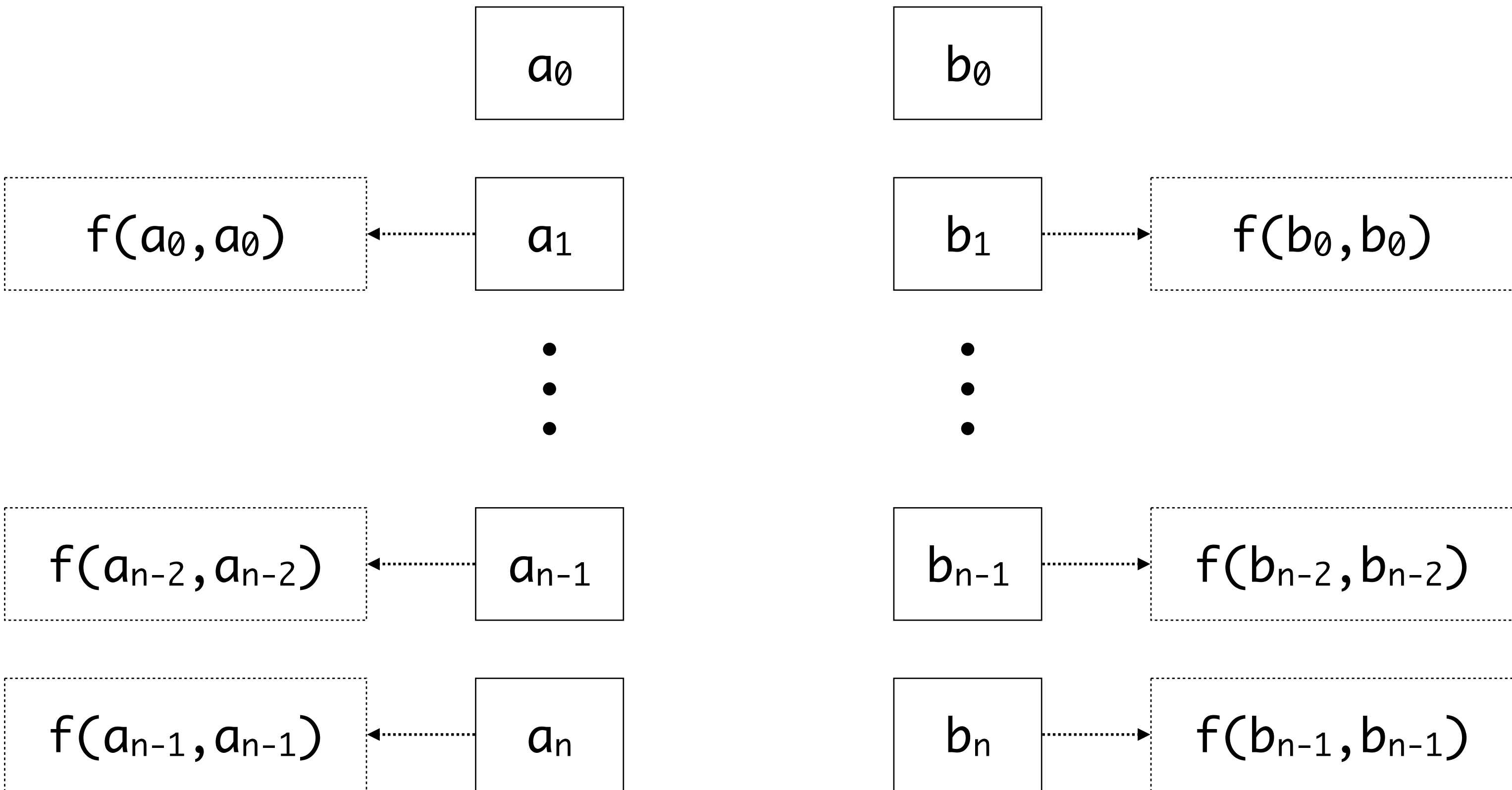
$$f(a_{n-1}, a_{n-1}) == f(b_{n-1}, b_{n-1})$$

$$a_{n-1} == b_{n-1} \quad a_{n-1} == b_{n-1}$$

$$f(a_{n-2}, a_{n-2}) == f(b_{n-2}, b_{n-2})$$

The Complex Case

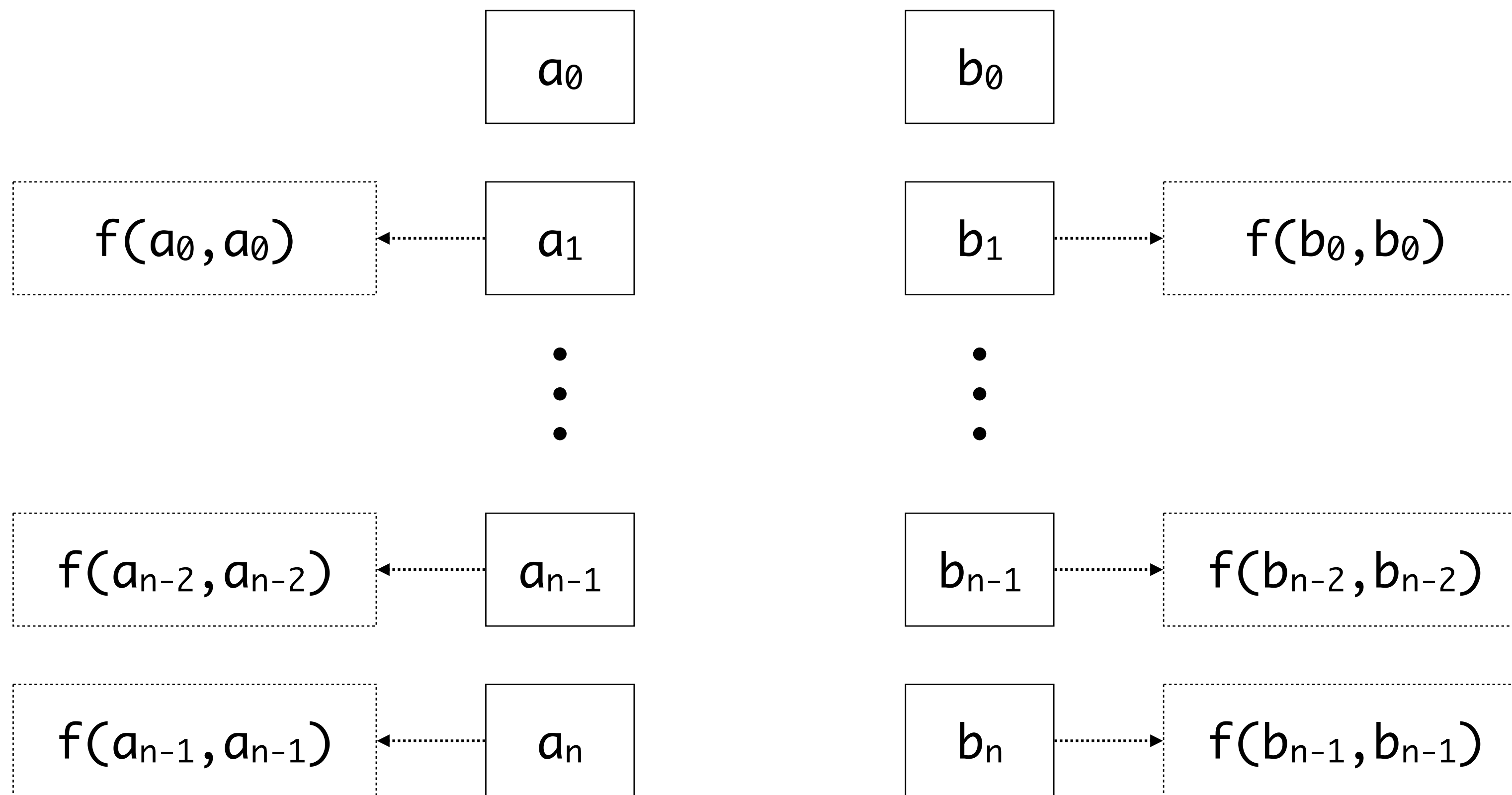
$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



$$\begin{aligned} a_n &== b_n \\ f(a_{n-1}, a_{n-1}) &== f(b_{n-1}, b_{n-1}) \\ a_{n-1} &== b_{n-1} & a_{n-1} &== b_{n-1} \\ f(a_{n-2}, a_{n-2}) &== f(b_{n-2}, b_{n-2}) \\ &\vdots \end{aligned}$$

The Complex Case

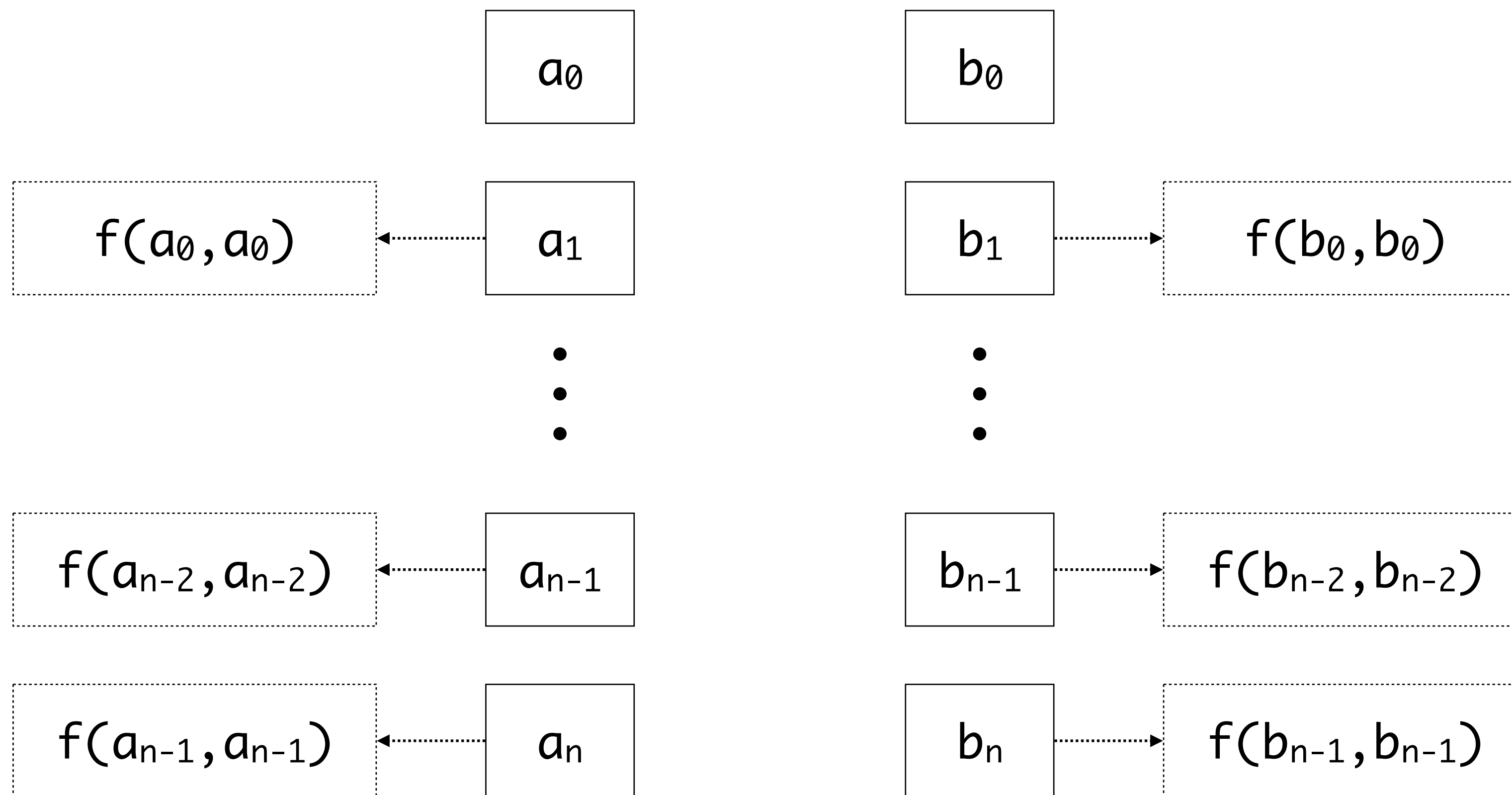
$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



$$\begin{aligned} a_n &== b_n \\ f(a_{n-1}, a_{n-1}) &== f(b_{n-1}, b_{n-1}) \\ a_{n-1} &== b_{n-1} & a_{n-1} &== b_{n-1} \\ f(a_{n-2}, a_{n-2}) &== f(b_{n-2}, b_{n-2}) \\ &\vdots \\ a_1 &== b_1 & a_1 &== b_1 \end{aligned}$$

The Complex Case

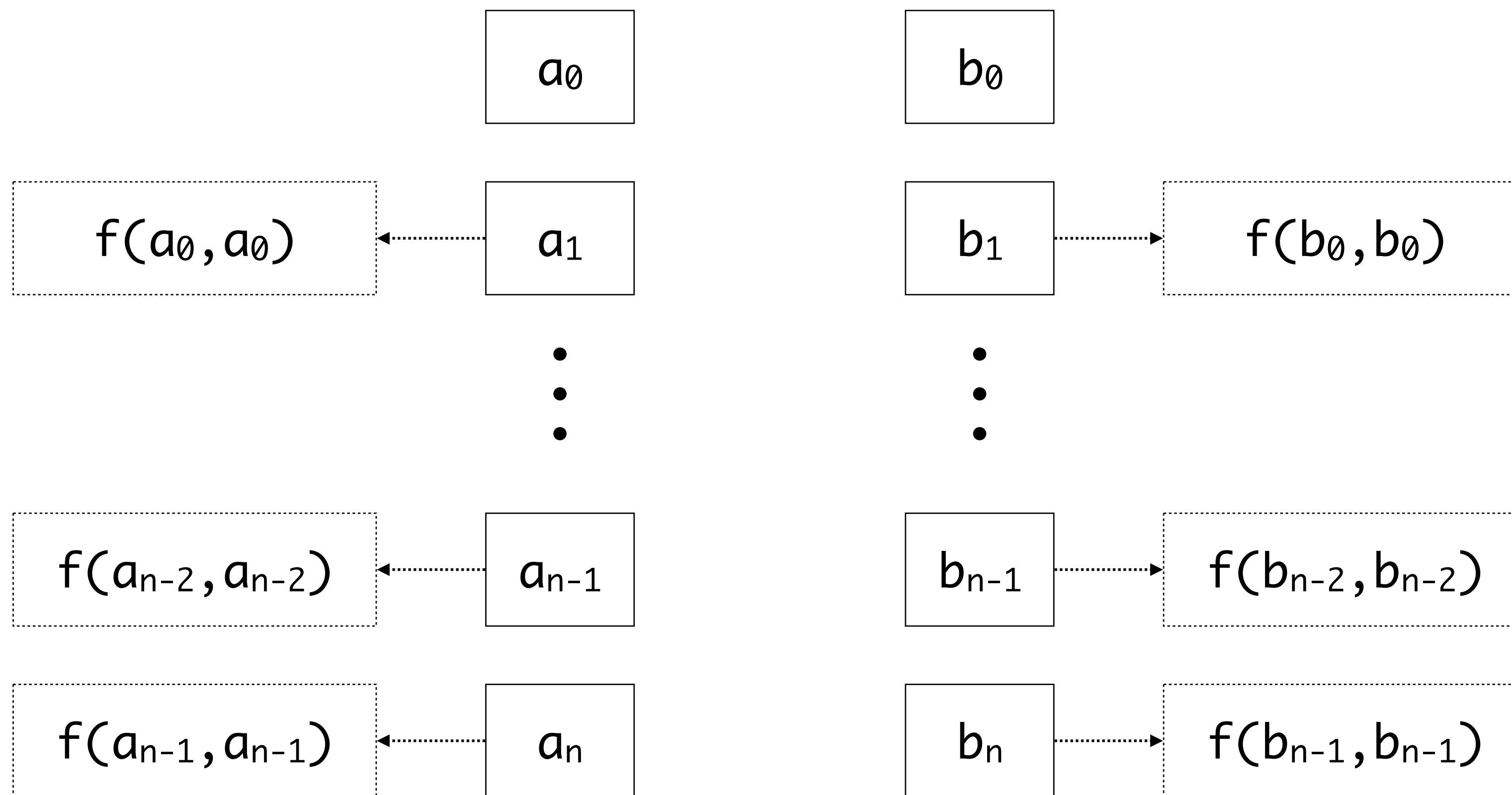
$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



$$\begin{aligned} a_n &== b_n \\ f(a_{n-1}, a_{n-1}) &== f(b_{n-1}, b_{n-1}) \\ a_{n-1} &== b_{n-1} & a_{n-1} &== b_{n-1} \\ f(a_{n-2}, a_{n-2}) &== f(b_{n-2}, b_{n-2}) \\ &\vdots \\ a_1 &== b_1 & a_1 &== b_1 \\ f(a_0, a_0) &== f(b_0, b_0) \end{aligned}$$

The Complex Case

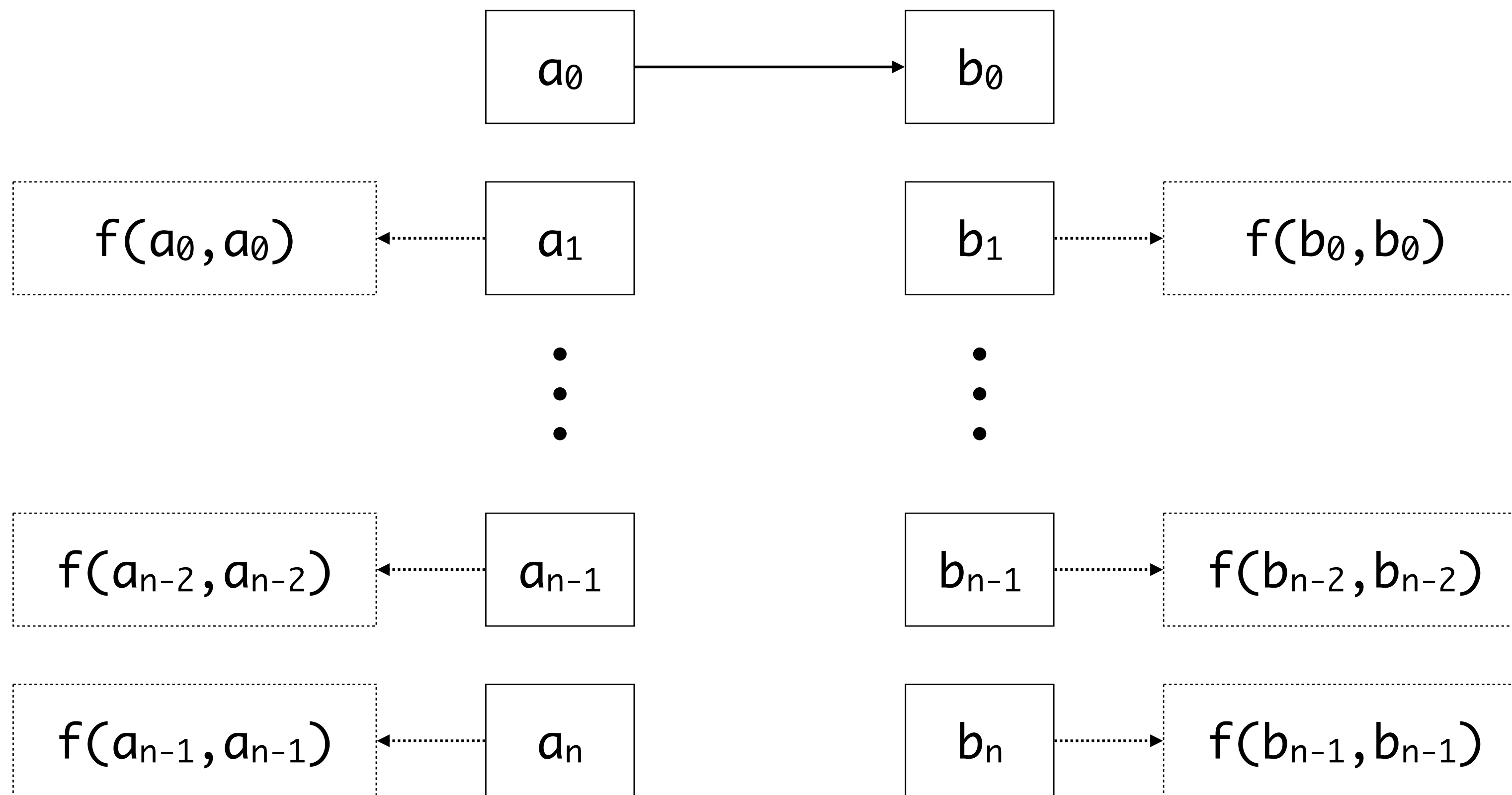
$$h(a_1, \dots, a_n, f(b_0, b_0), \dots, f(b_{n-1}, b_{n-1}), a_n) == h(f(a_0, a_0), \dots, f(a_{n-1}, a_{n-1}), b_1, \dots, b_{n-1}, b_n)$$



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The Complex Case

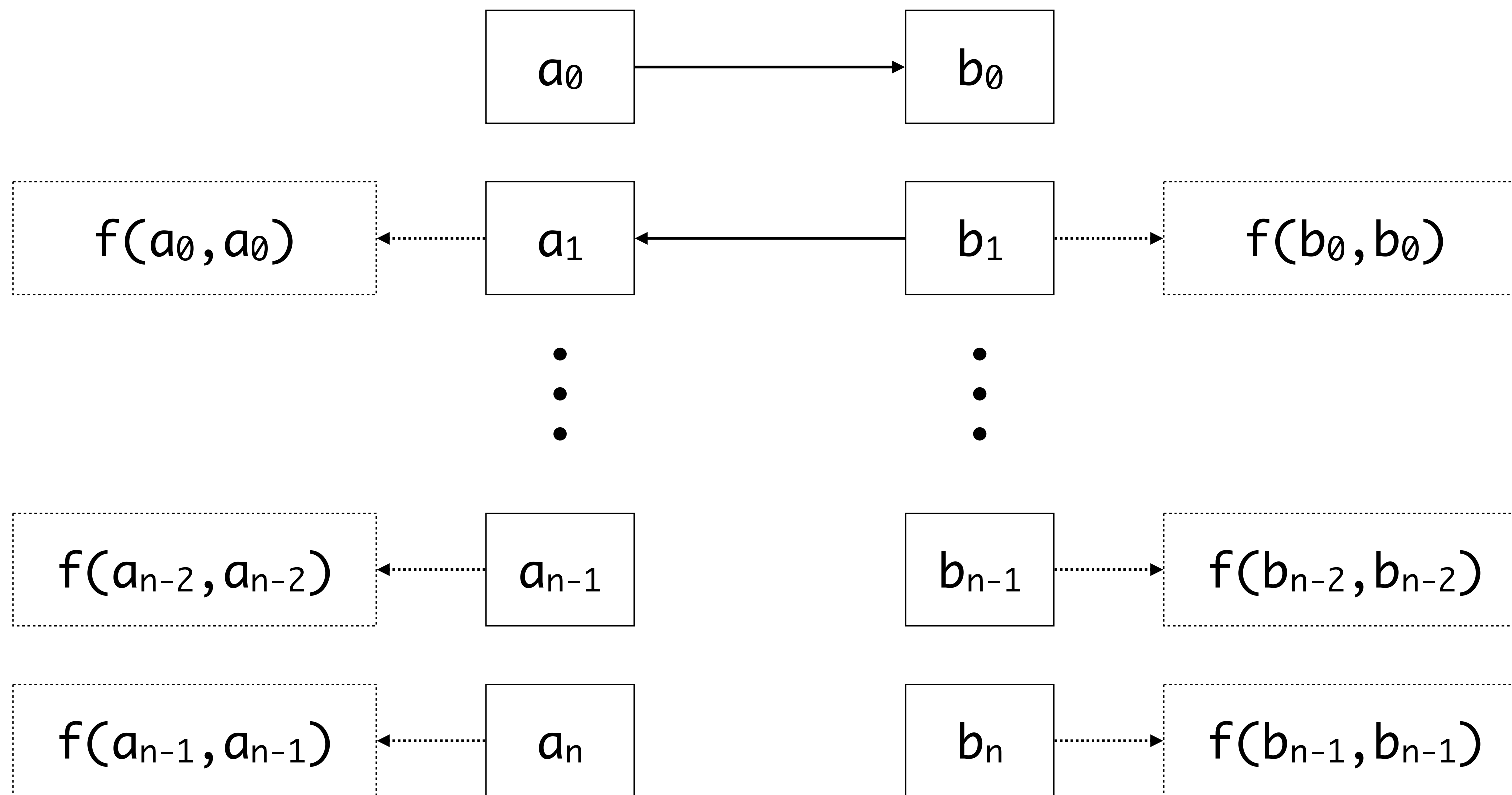
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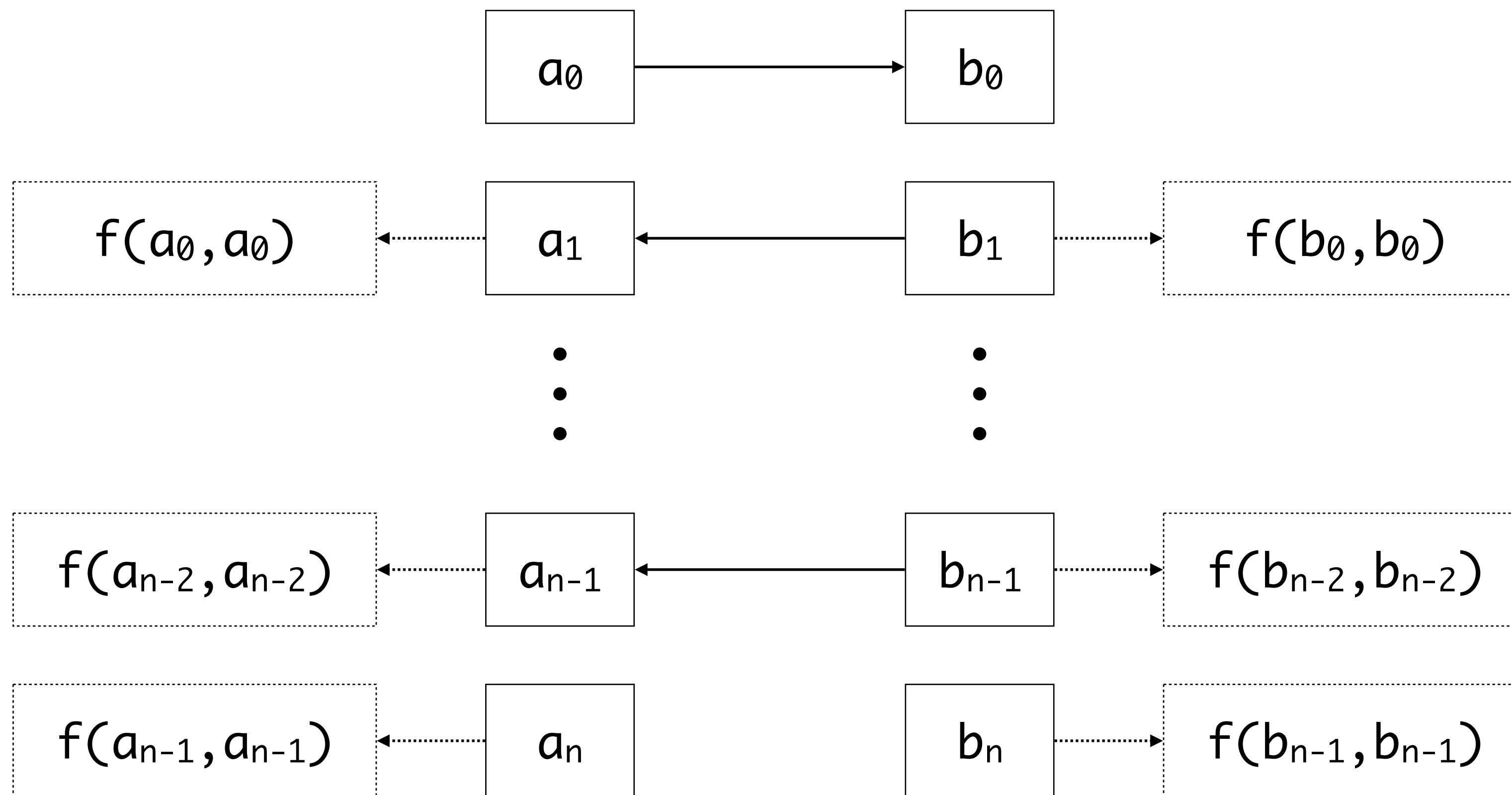
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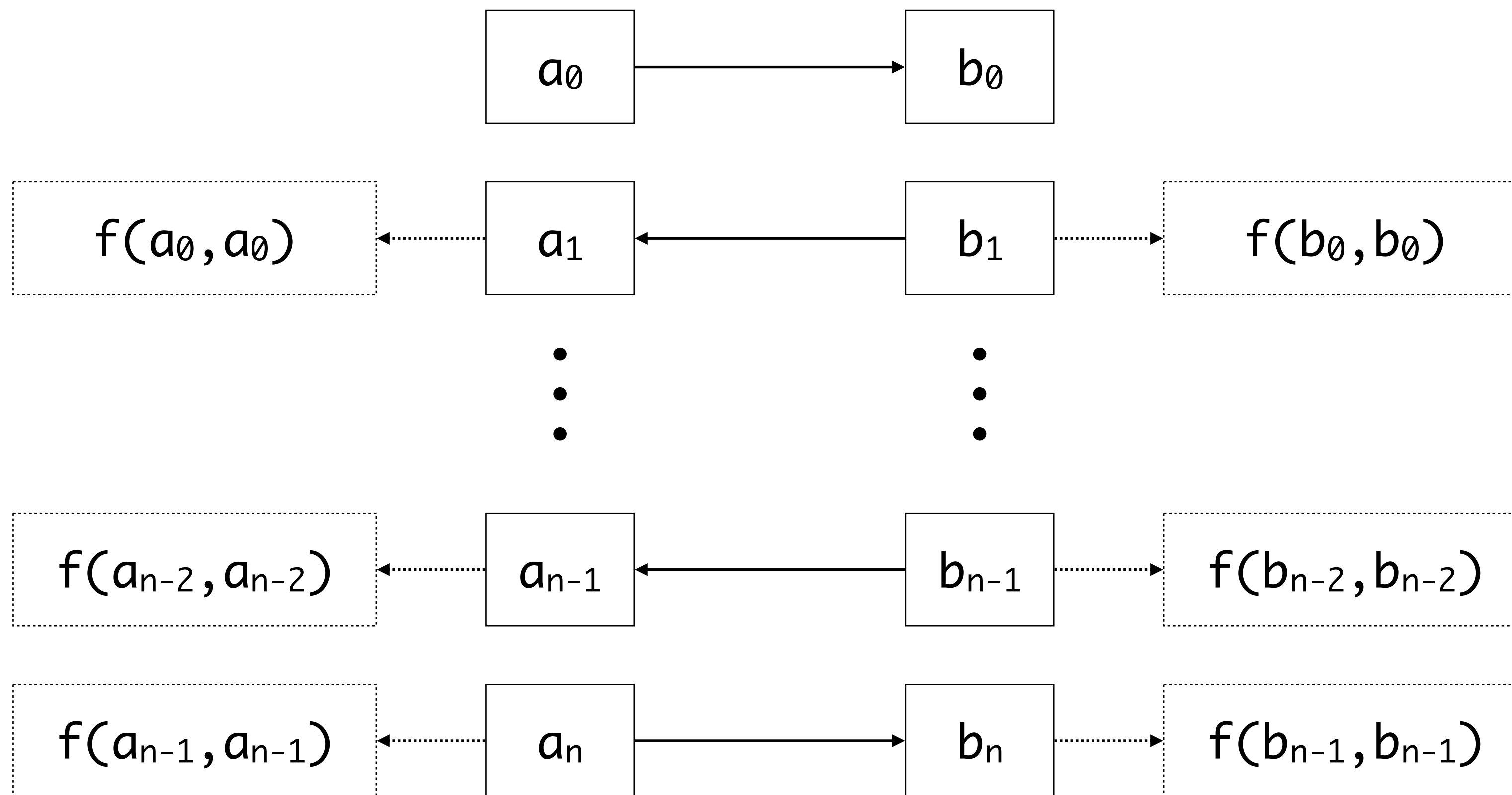
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The Complex Case

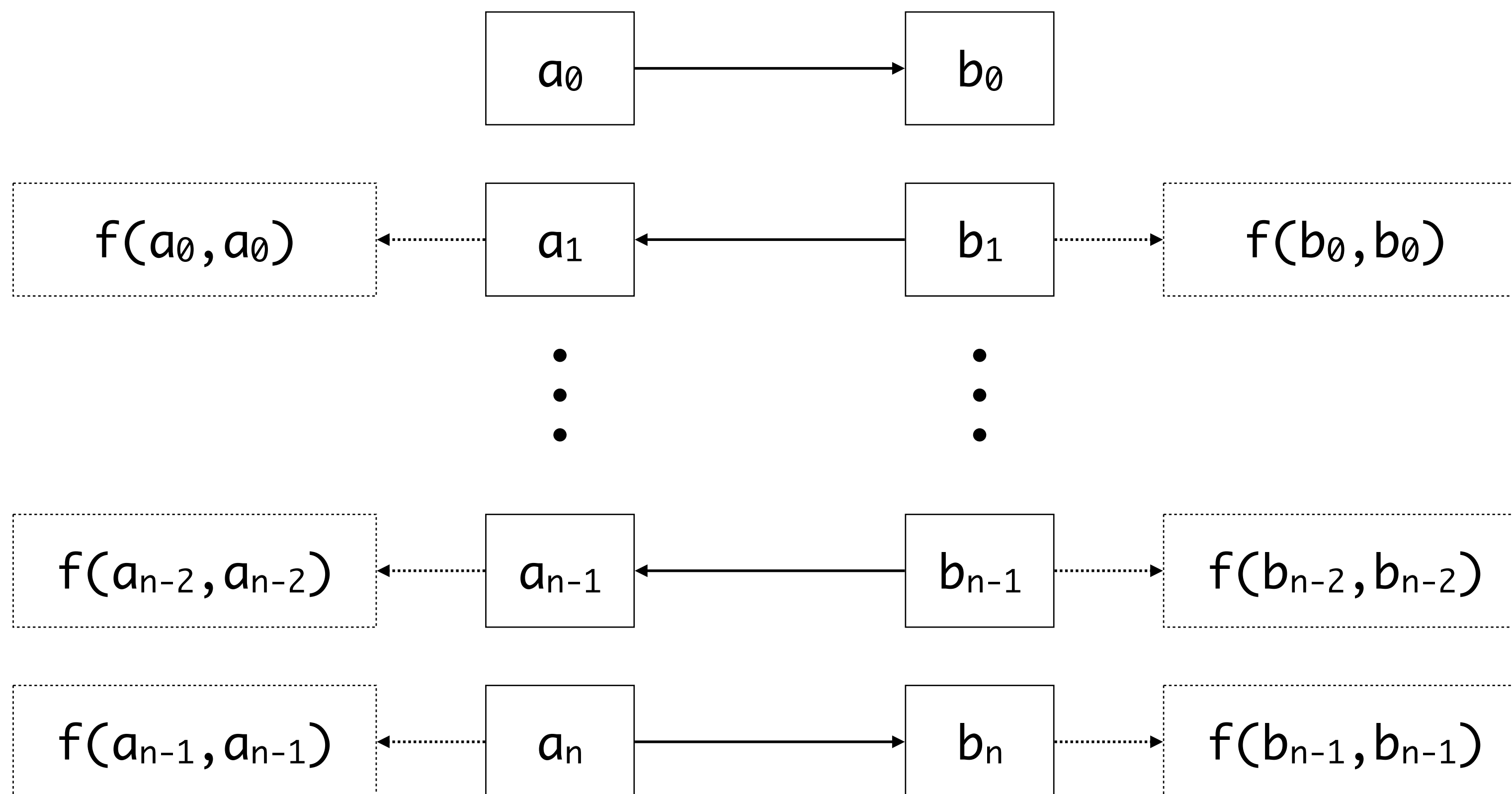
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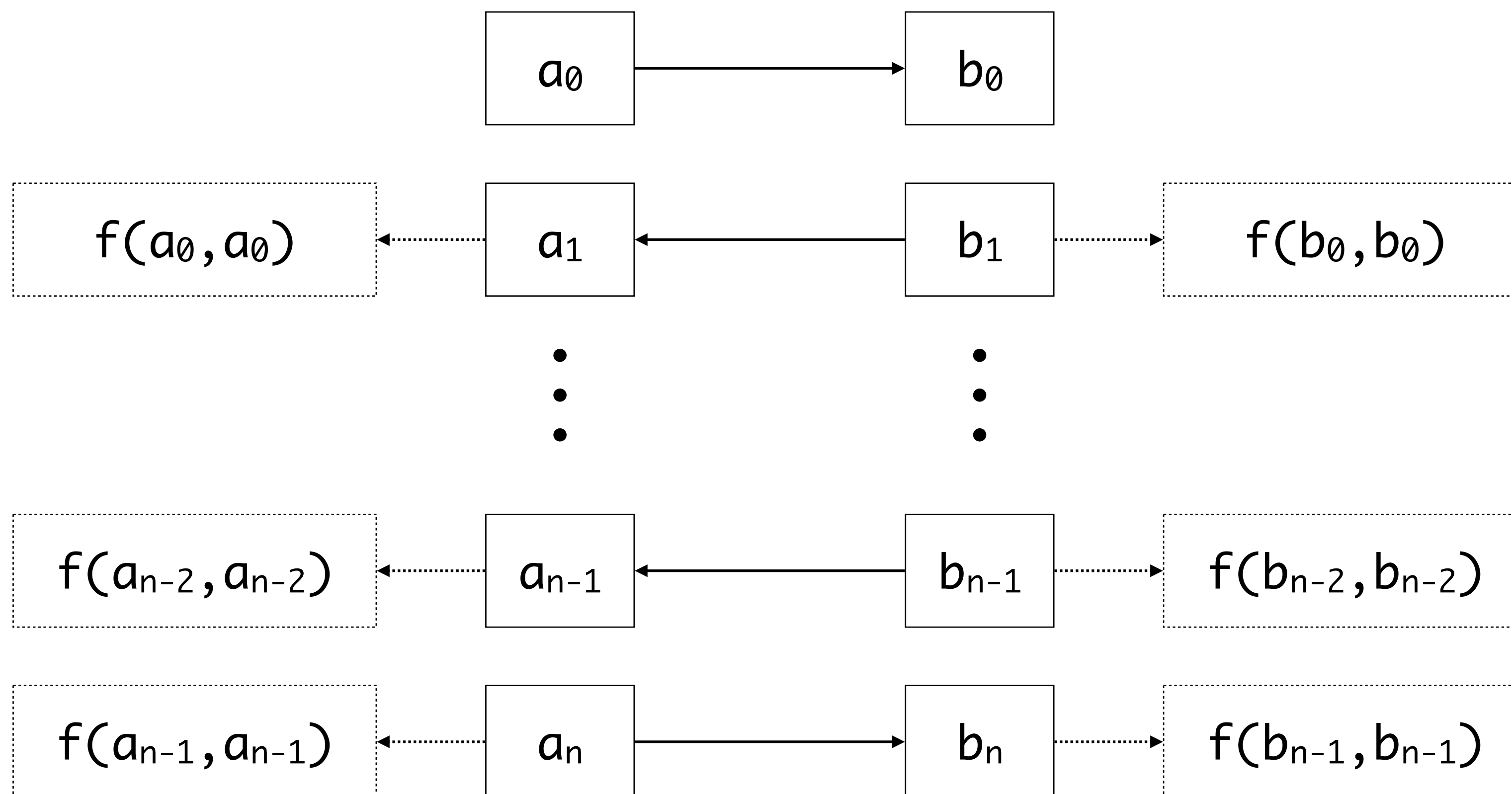


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How about occurrence checks?

The Complex Case

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How about occurrence checks? Postpone!

Union-Find

Main idea

- Represent unifier as graph
- One variable represent equivalence class
- Replace substitution by union & find operations
- Testing equality becomes testing node identity

Optimizations

- Path compression make recurring lookups fast
- Tree balancing keeps paths short

Complexity

- Linear in space and almost linear in time (technically inverse Ackermann)
- Easy to extract triangular unifier from graph
- Postpone occurrence checks to prevent traversing (potentially) large terms

Error Reporting

Reporting Type Errors

Type errors

- Types are supposed to prevent us from making mistakes
- Error messages are supposed to help us fix mistakes
- This does not always work out so well
 - ▶ Wrong error location
 - ▶ Unclear error message
 - ▶ Not always clear where inferred types come from
- Users expect concise, informative messages, at the right place

Type errors = Unsatisfiable constraints

- Constraint satisfaction is a binary property
- Language designers want to influence error messages

Error Example

```
1. let  
2.   function f(x) =  
3.     x + 1  
4. in  
5.   (f "Hello!") * 2
```

Error Example

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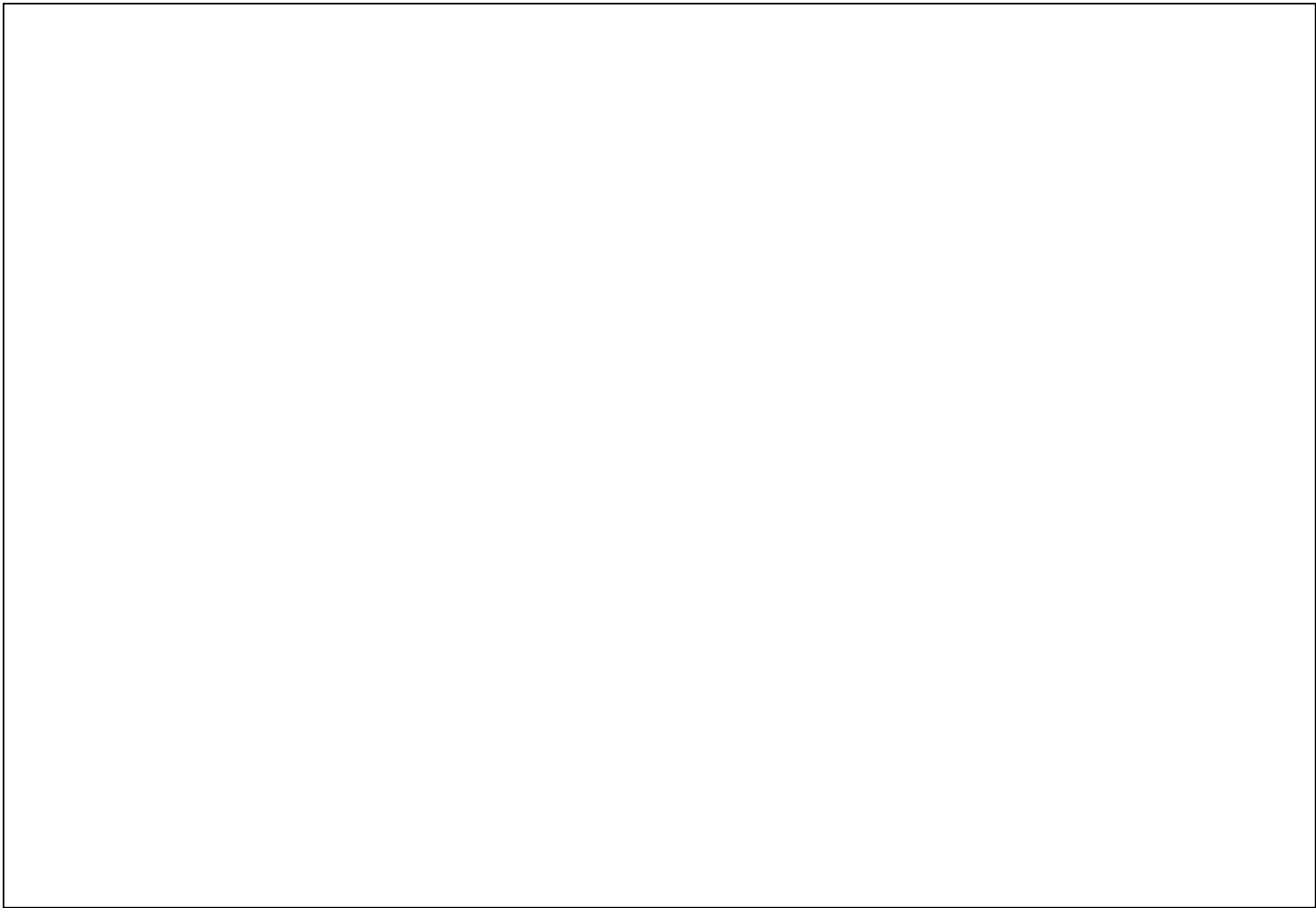
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Order of constraint solving is relevant!

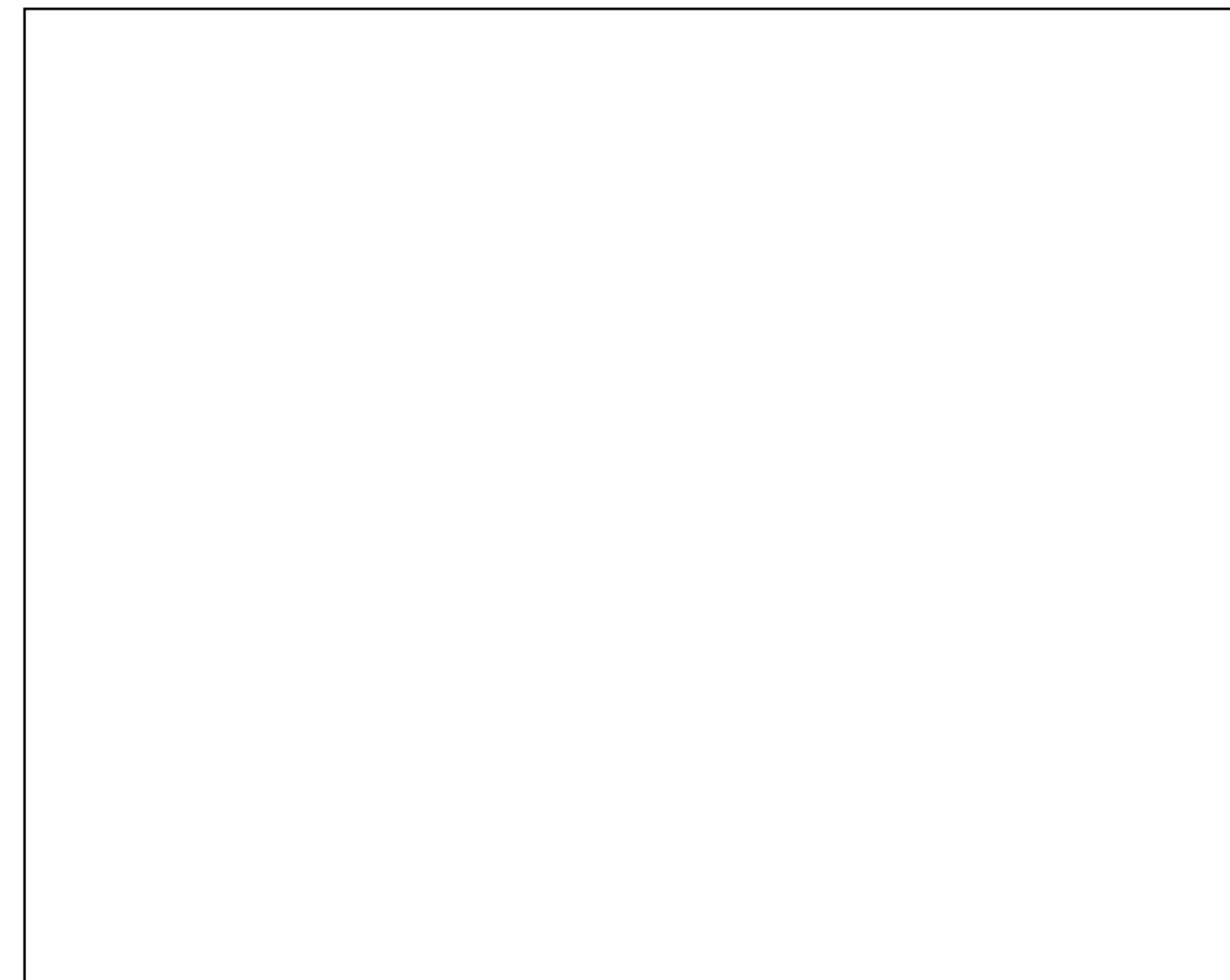
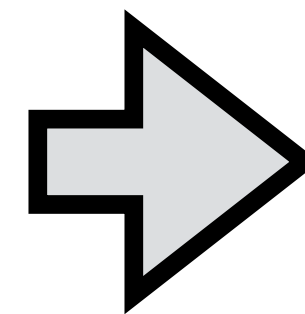
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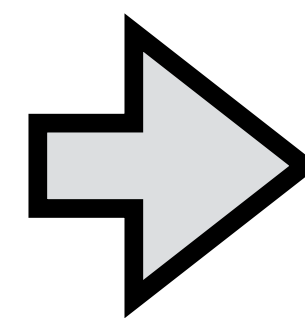
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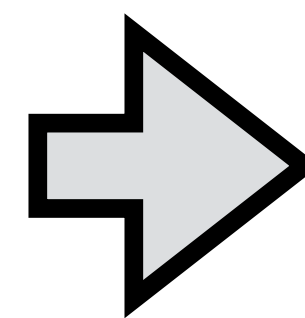

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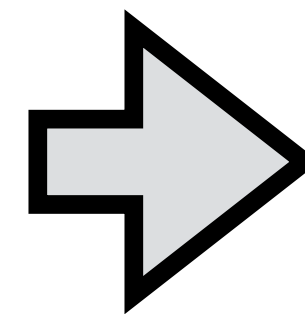
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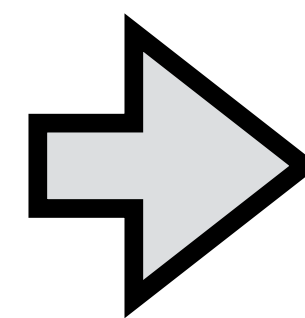
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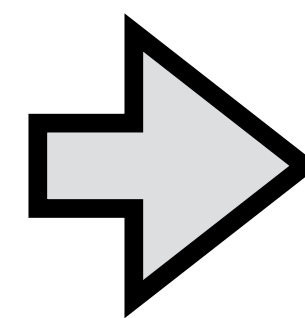
Conflict Sets

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5.   (f "Hello!") * 2
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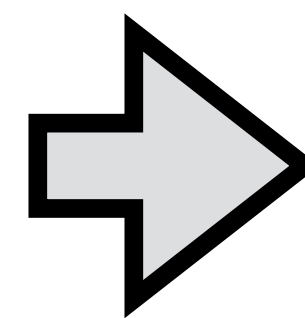
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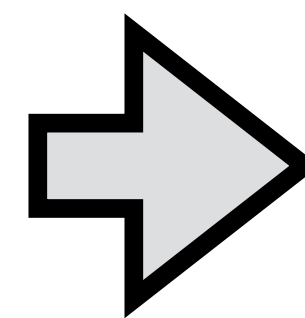
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```

A conflict set is an inconsistent subset of the constraint set

Conflict Sets and Errors

Conflict Sets

- Sets of constraint that are inconsistent together
- Multiple conflict sets might appear in one constraint set
- Conflict sets can intersect

How to find conflict sets?

- Find minimal sets that are in conflict
 - ▶ Combinatorial explosion (Min-SAT)
- Approximate conflict sets during constraint solving
 - ▶ Conflict set may be too large

Where to report errors?

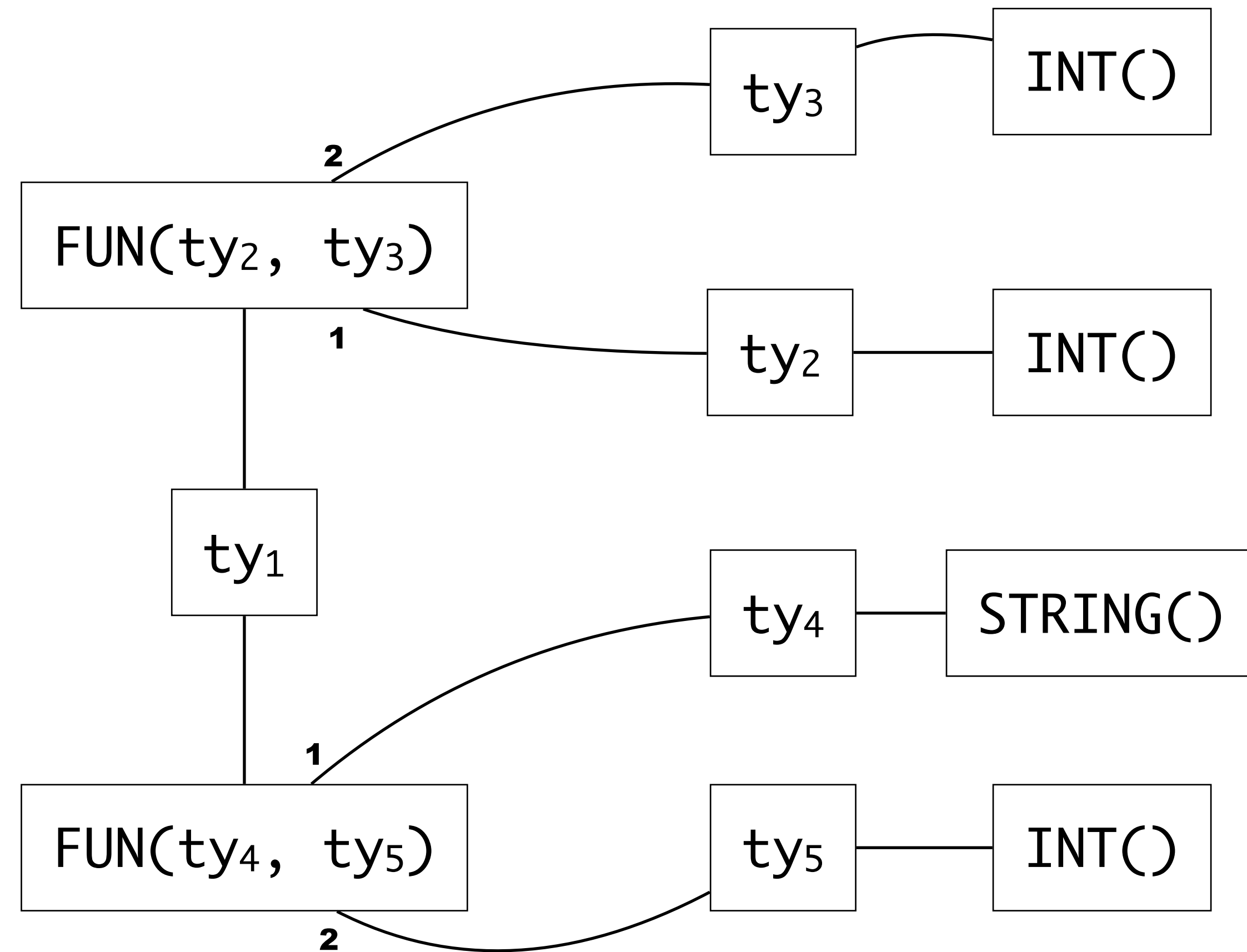
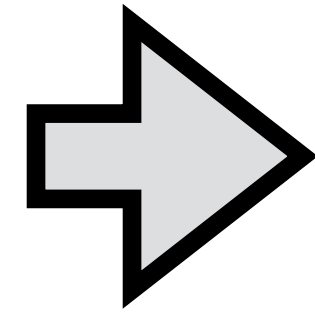
- Report the conflicting program slice
(using all conflicting constraints)
- Report on a few constraints in the conflict set
 - ▶ Remove constraints until inconsistency disappears
 - ▶ Use heuristics to efficiently select constraints

```
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4. in
5.   (f "Hello!") * 2
```

There is not always a *best* place to report the error!

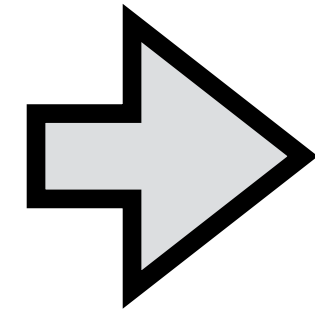
Constraint Graphs

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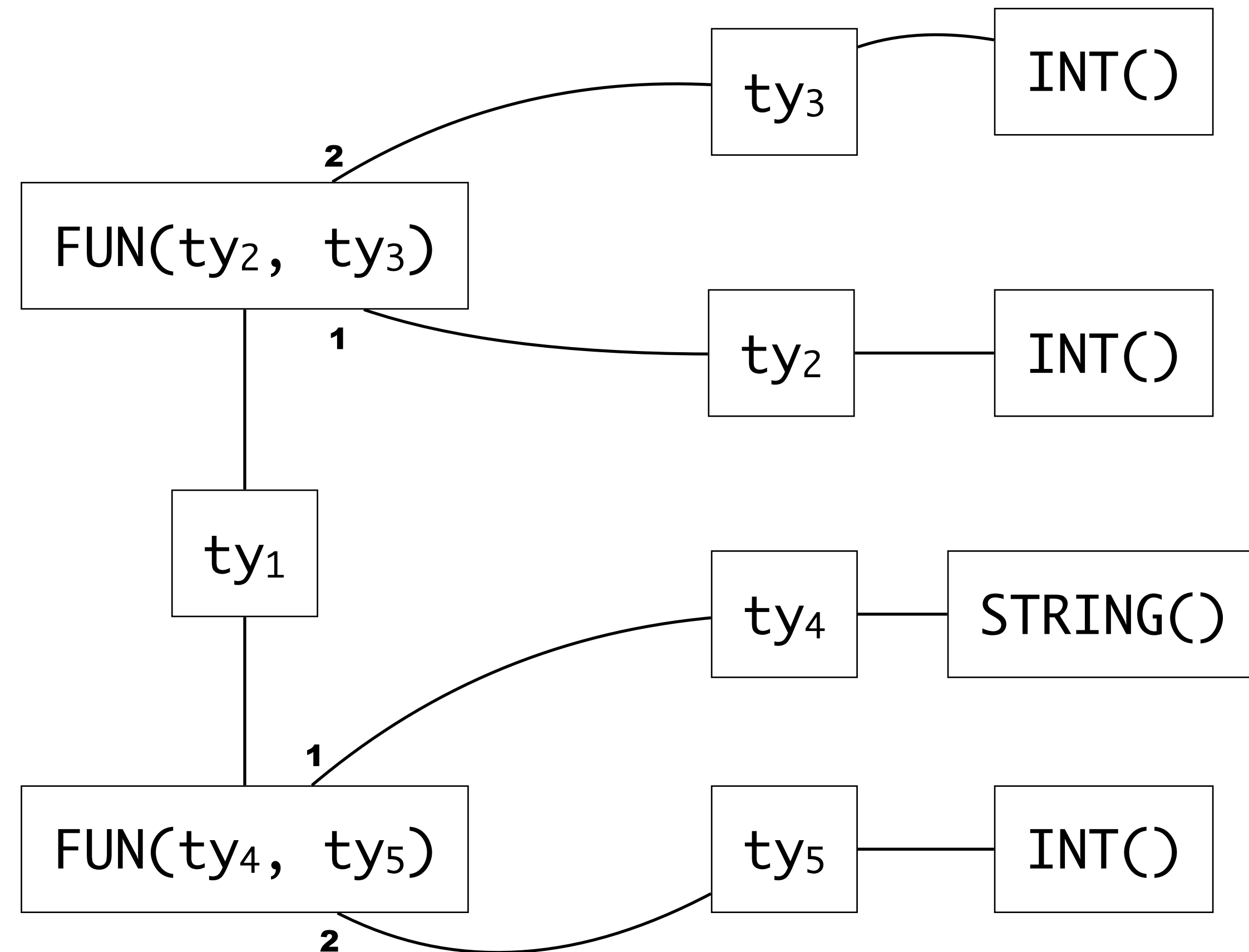


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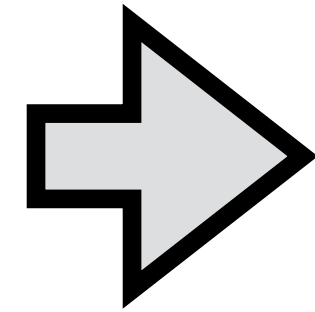


- Consider paths between non-variable terms

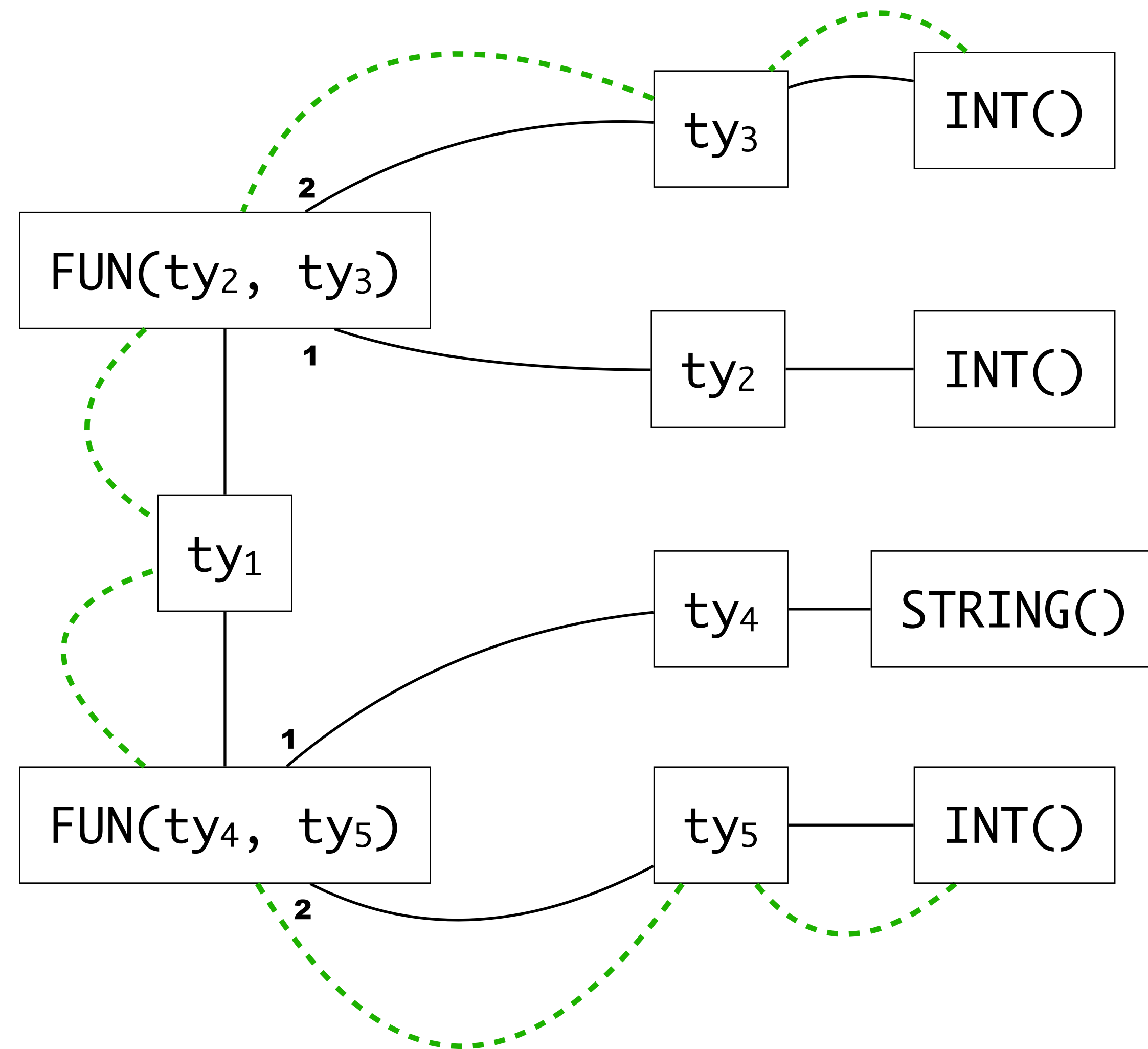


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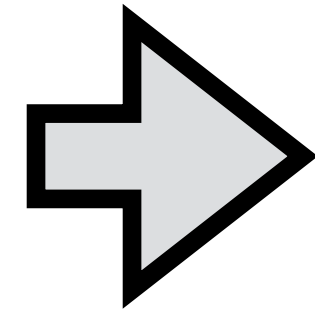


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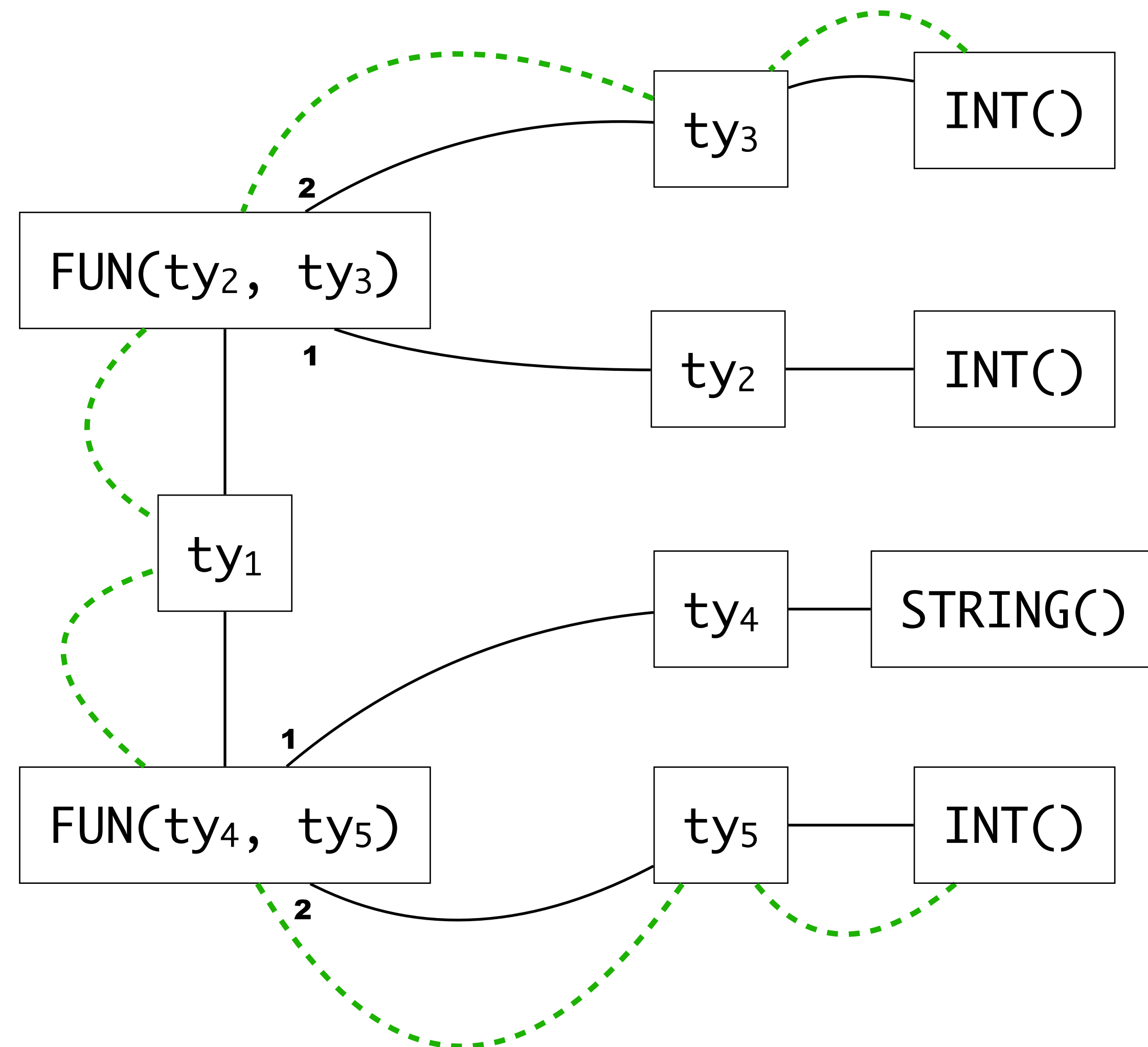


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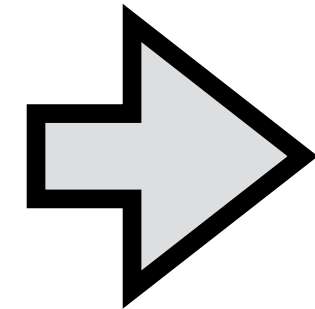


- Consider paths between non-variable terms
- Trust edges involved in many correct paths

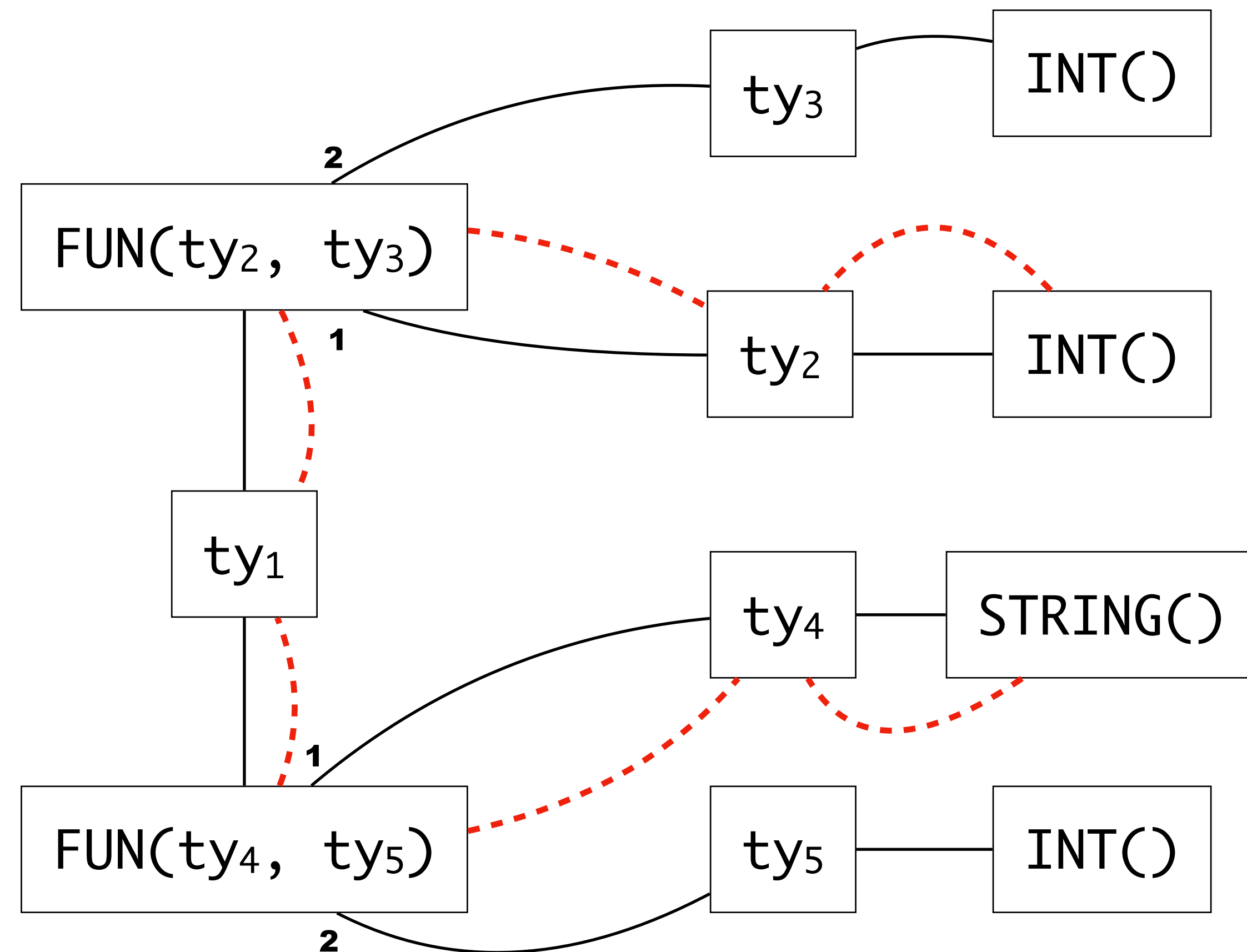


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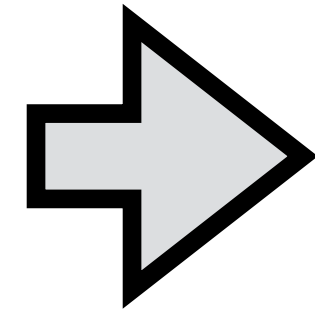


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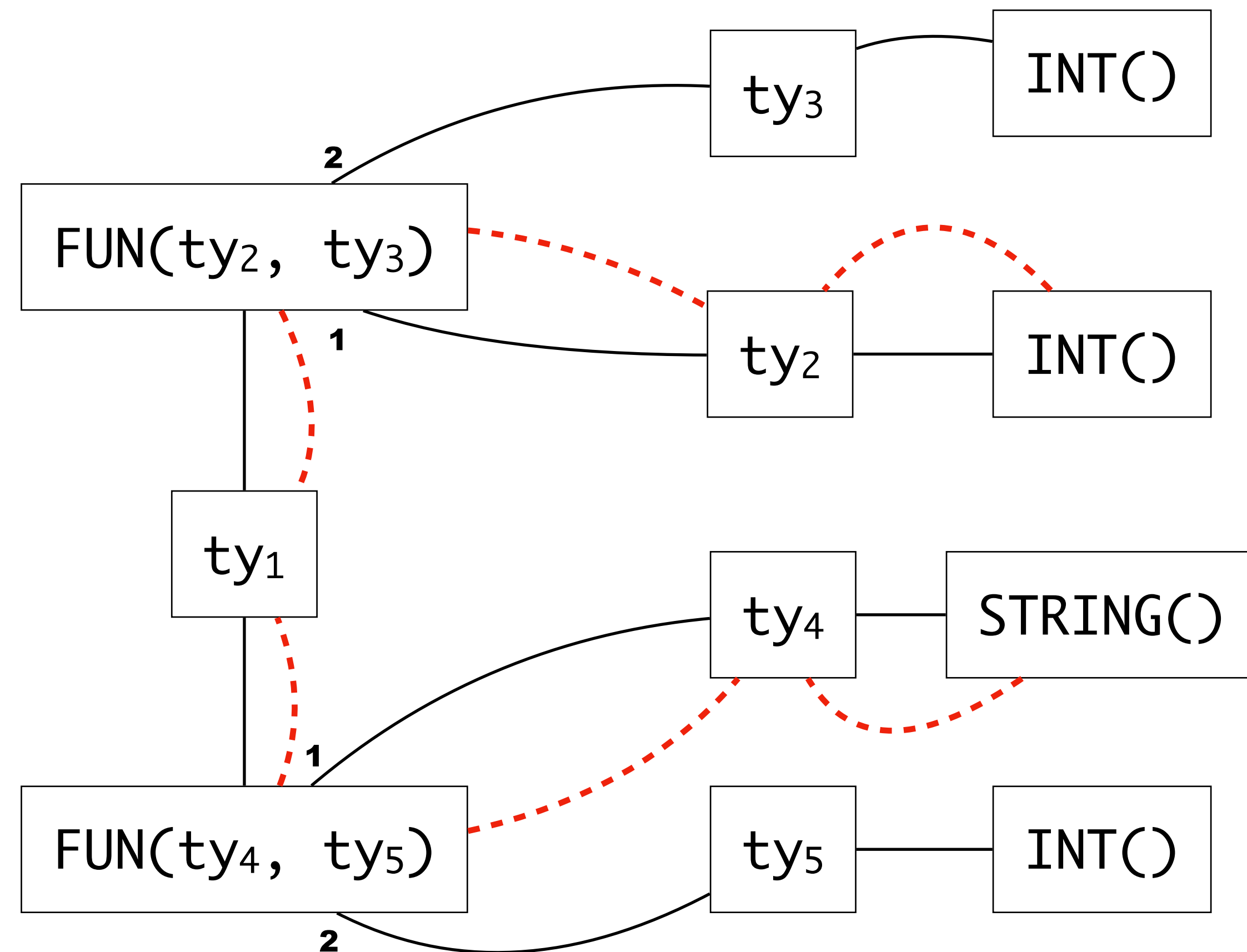


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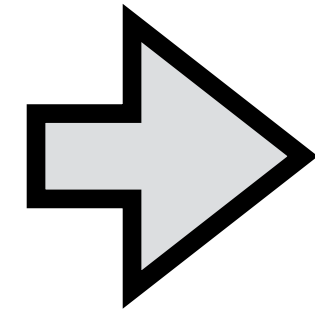


- Consider paths between non-variable terms
- Trust edges involved in many correct paths
- Suspect edges involved in many wrong paths

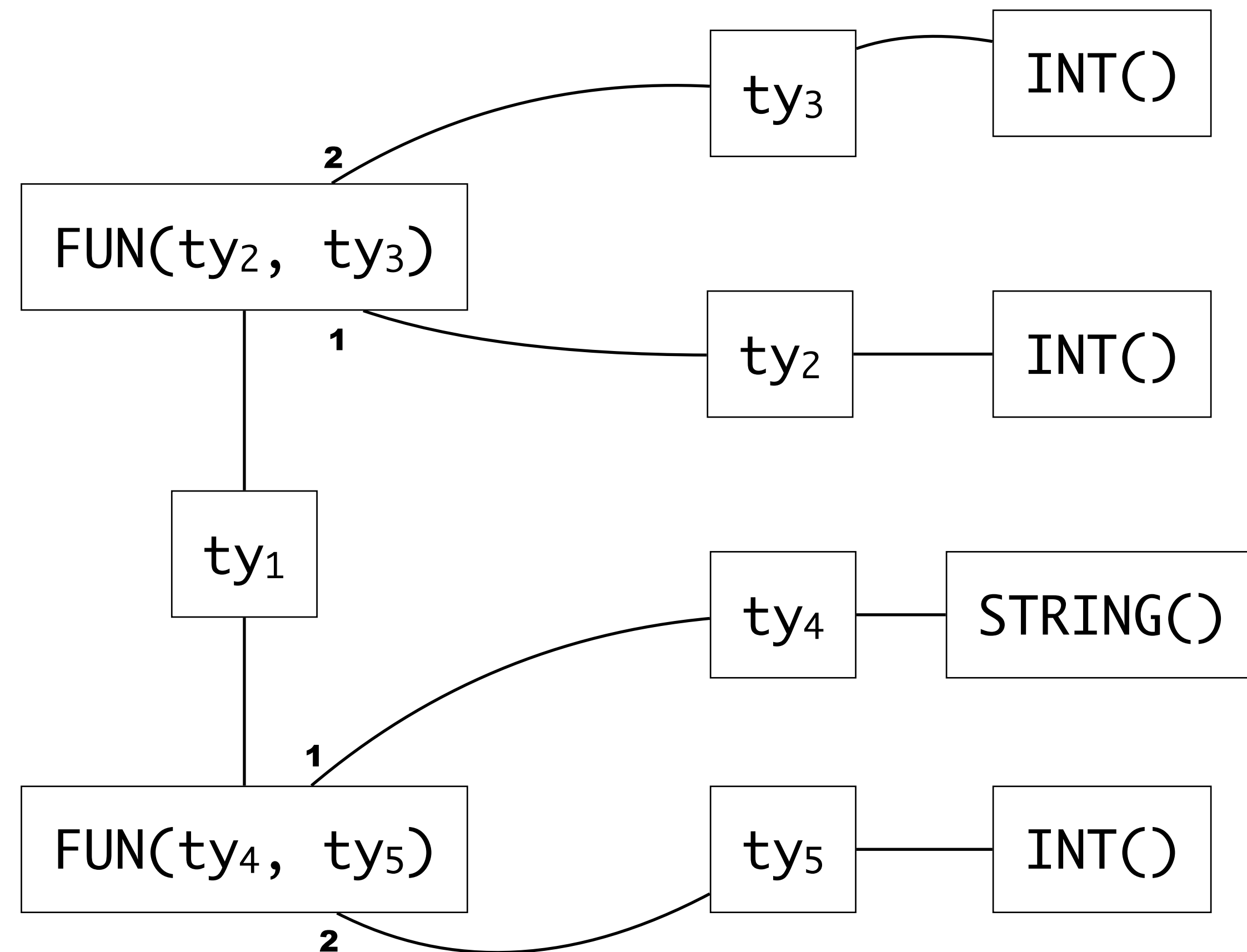


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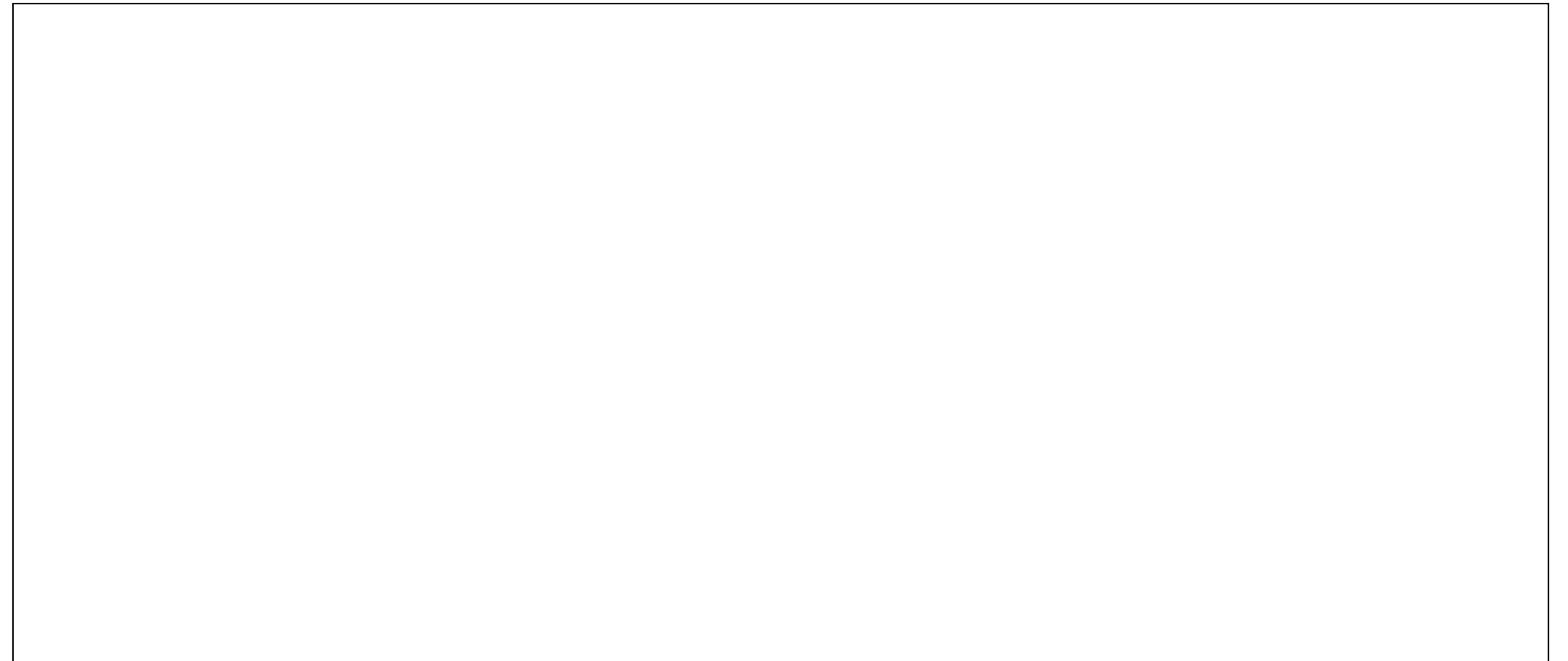
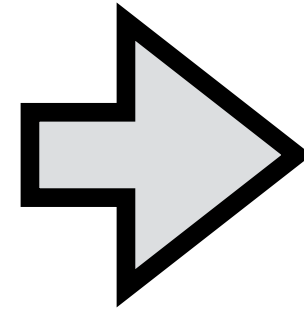


- Consider paths between non-variable terms
- Trust edges involved in many correct paths
- Suspect edges involved in many wrong paths
- Restricts constraint language and solver implementation



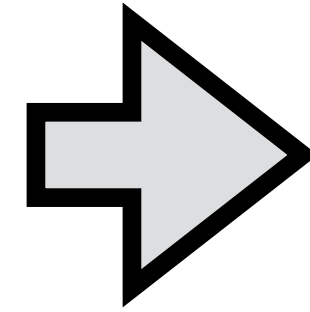
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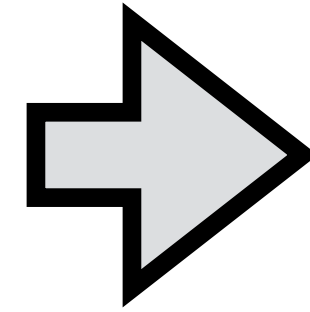
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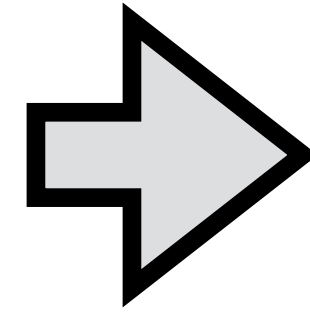
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- Track constraints during unification

Use approximated conflict sets

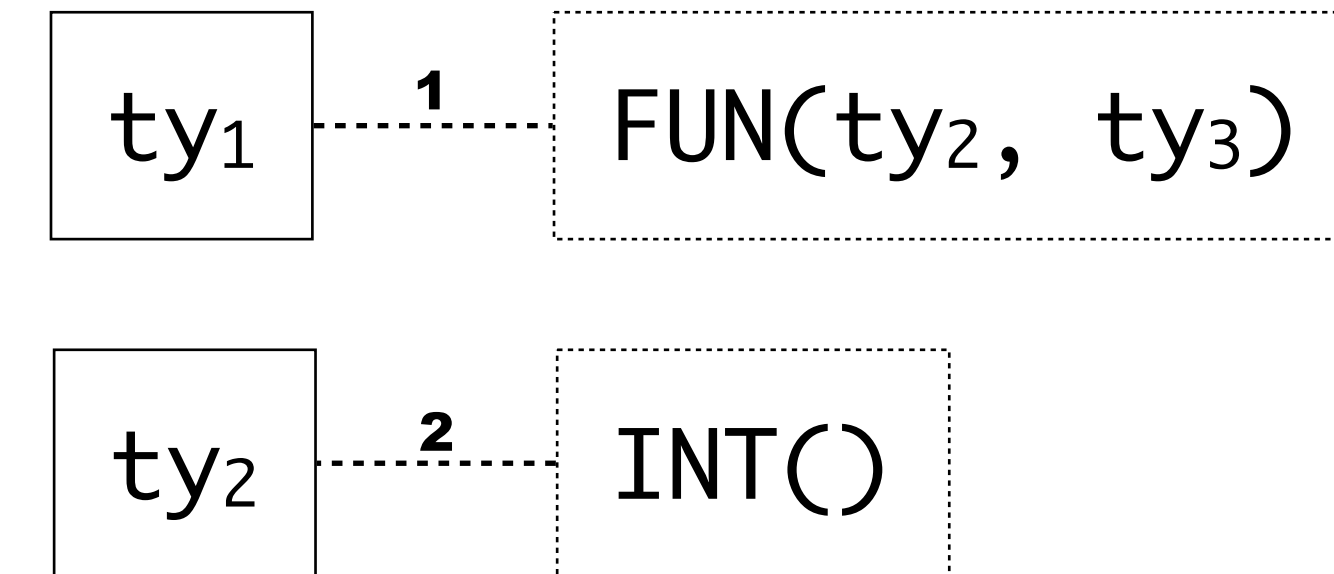
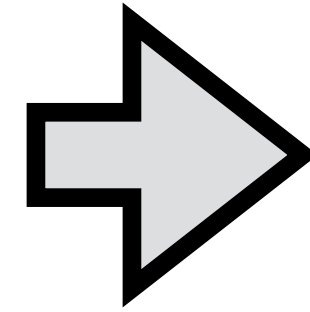
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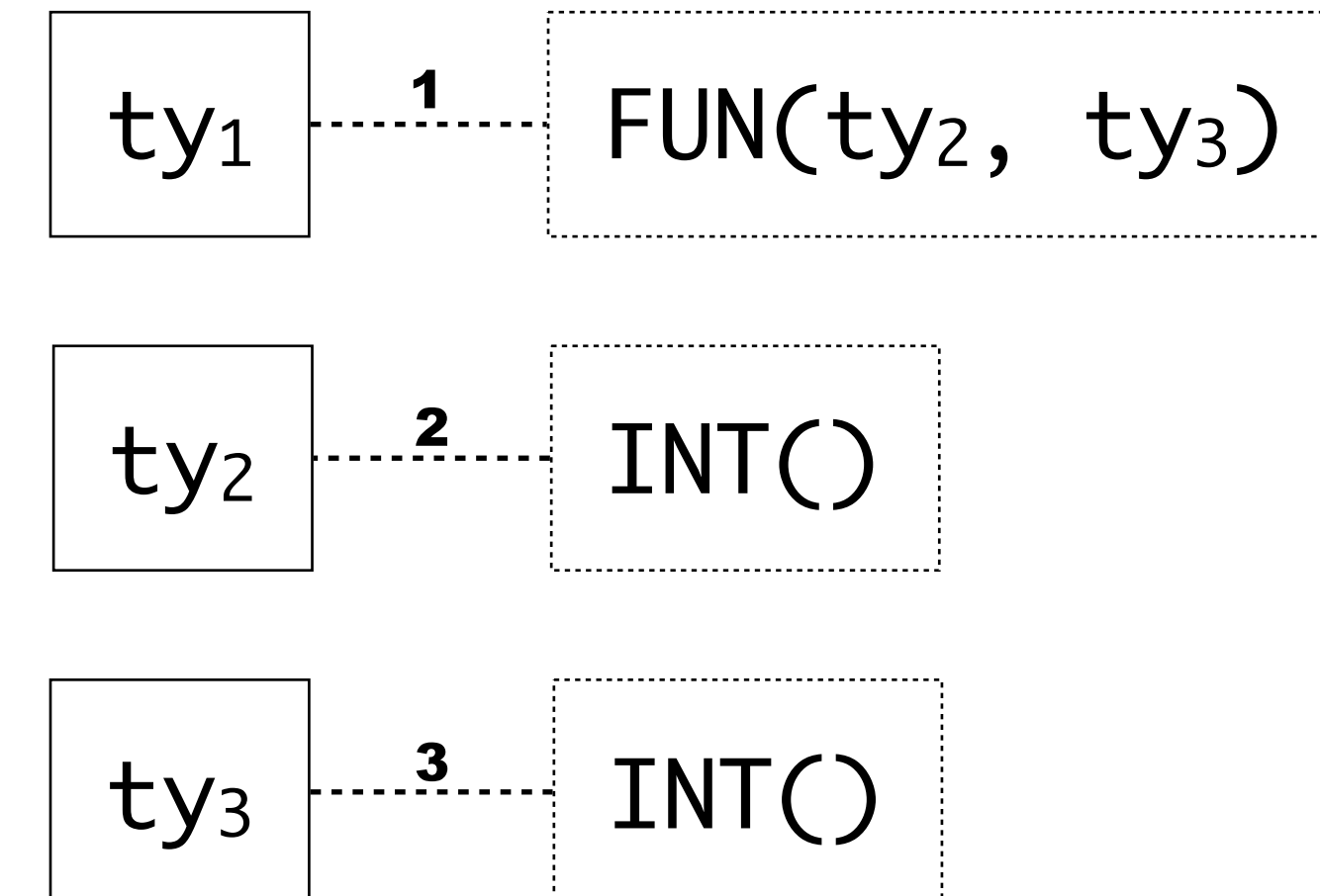
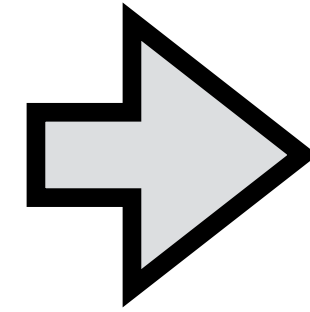
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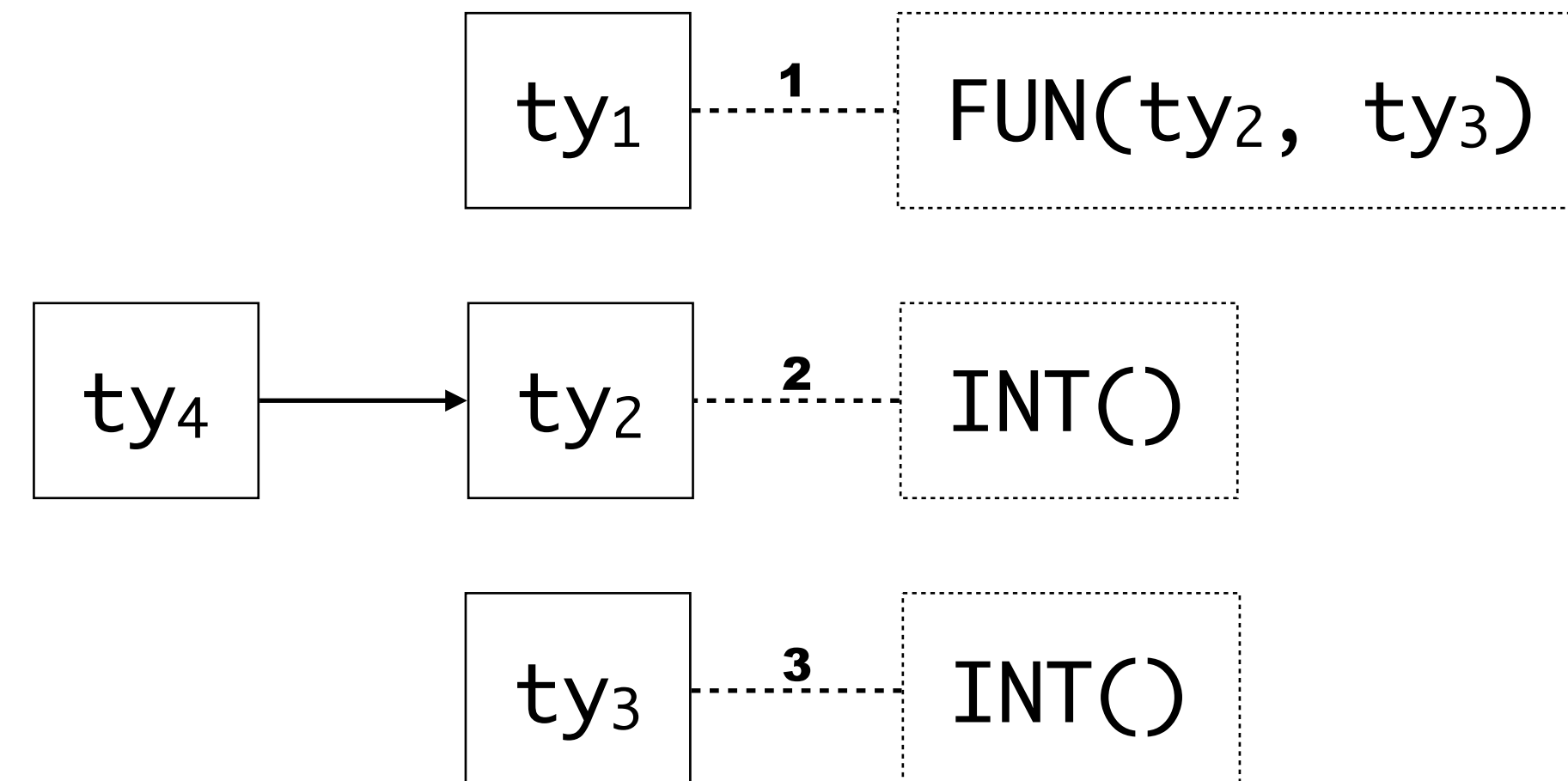
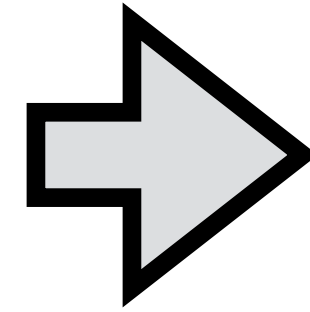
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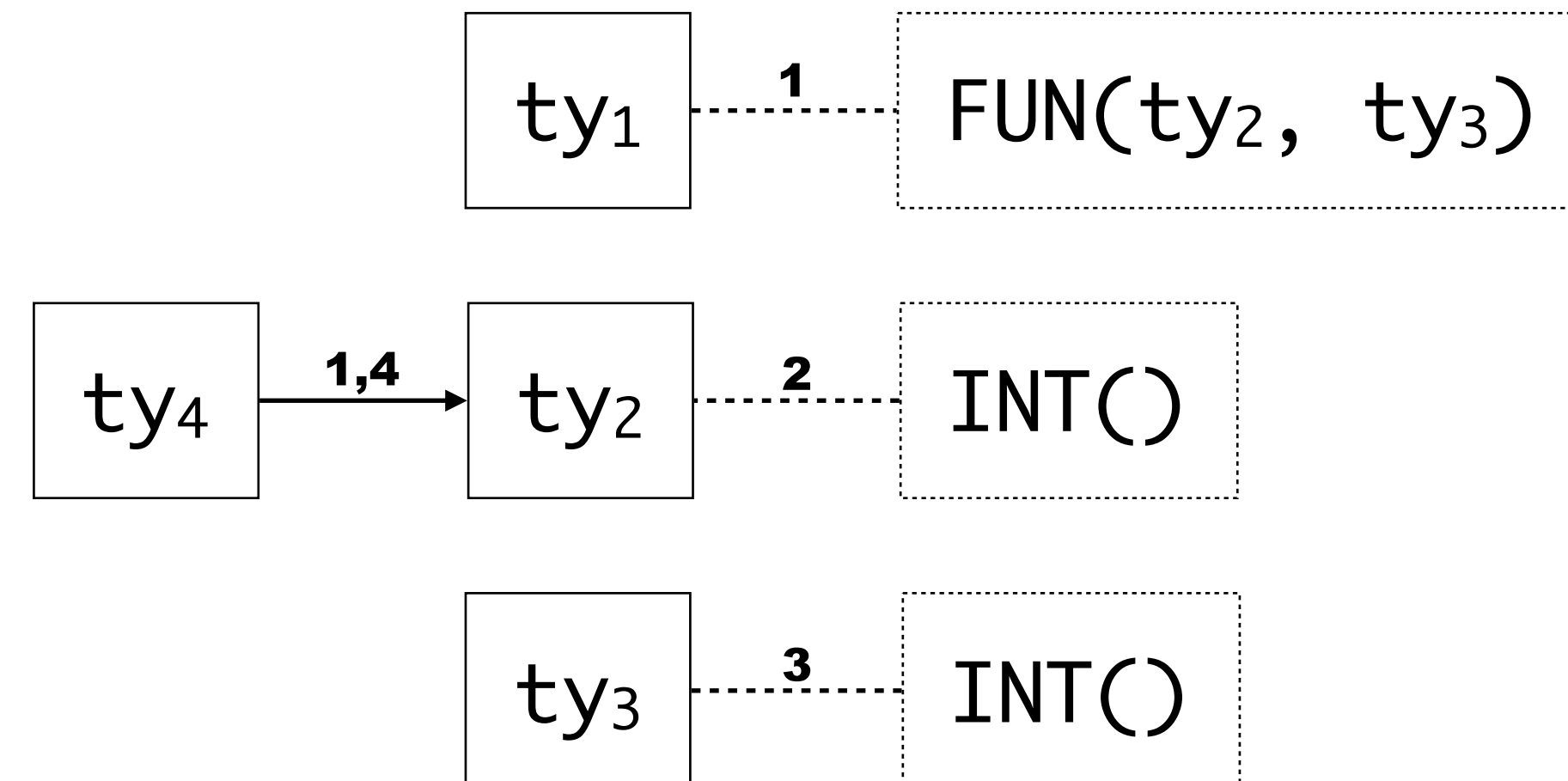
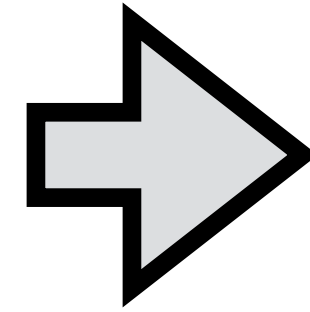
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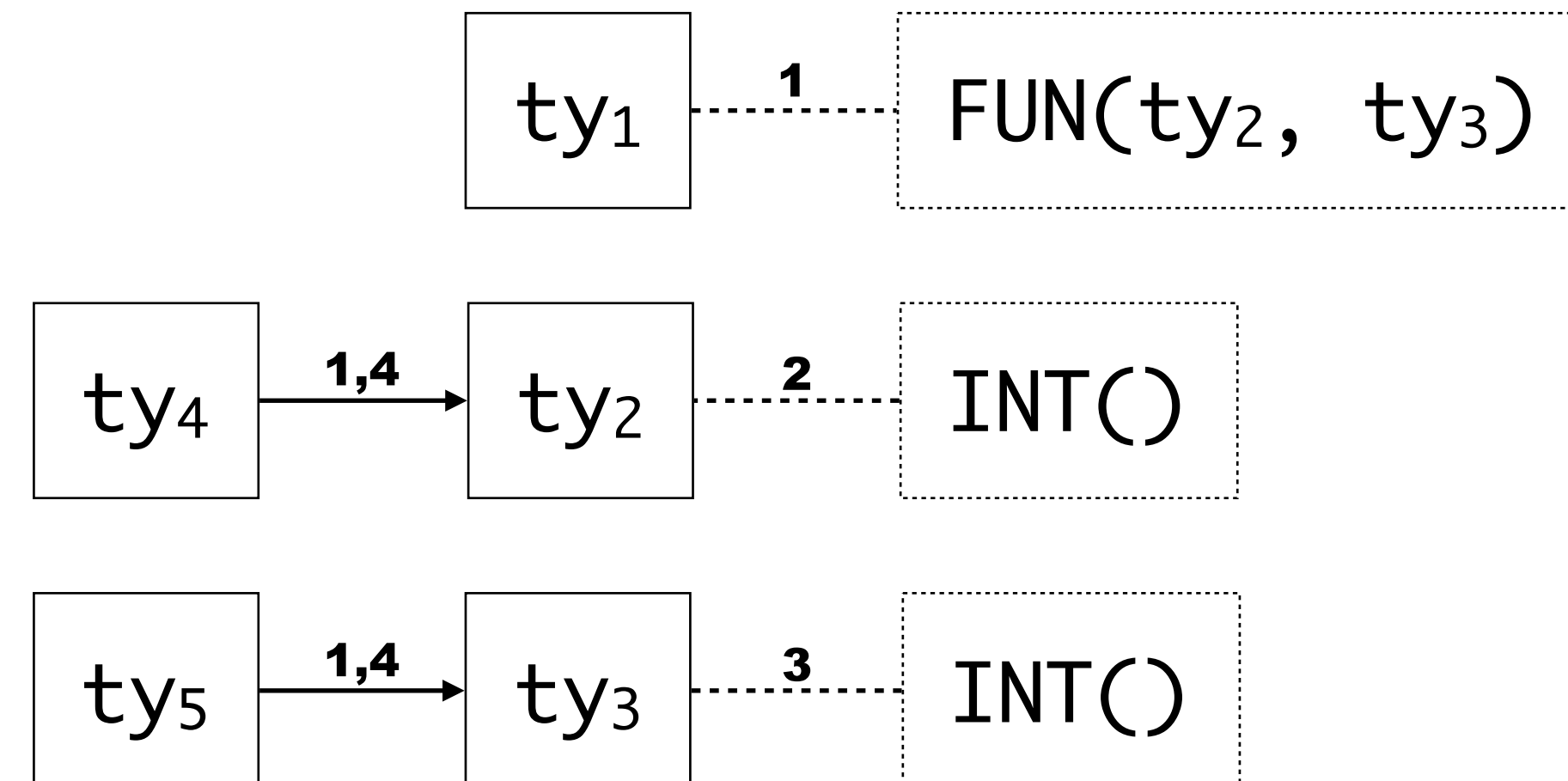
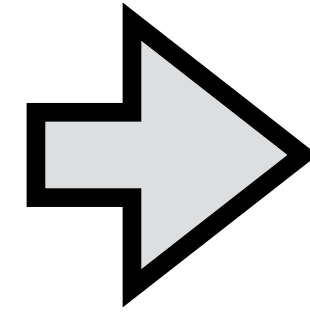
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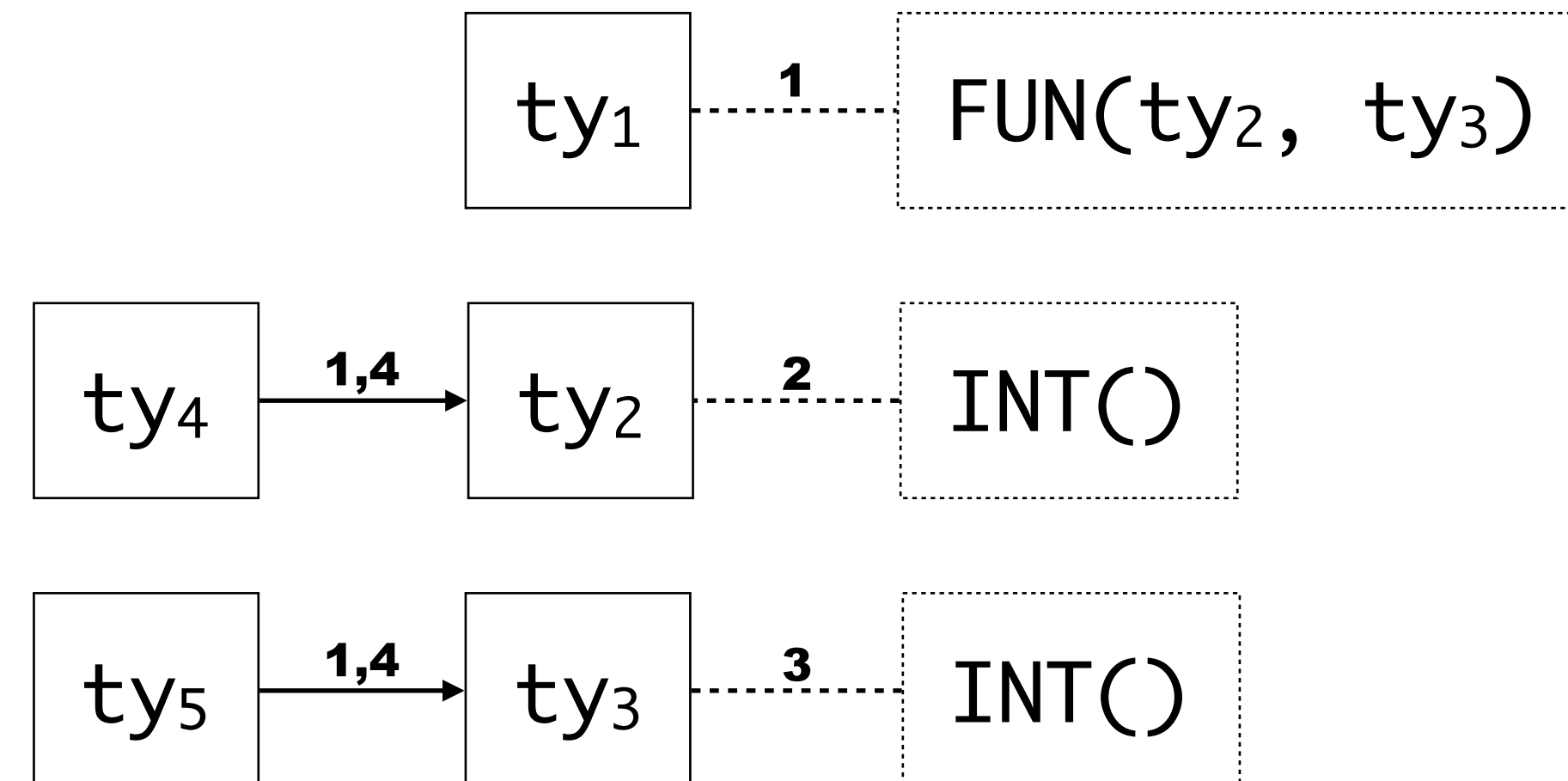
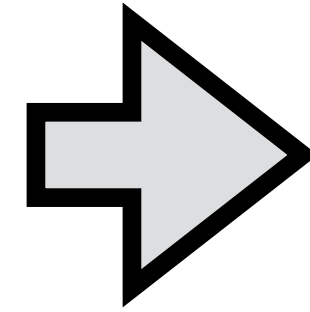
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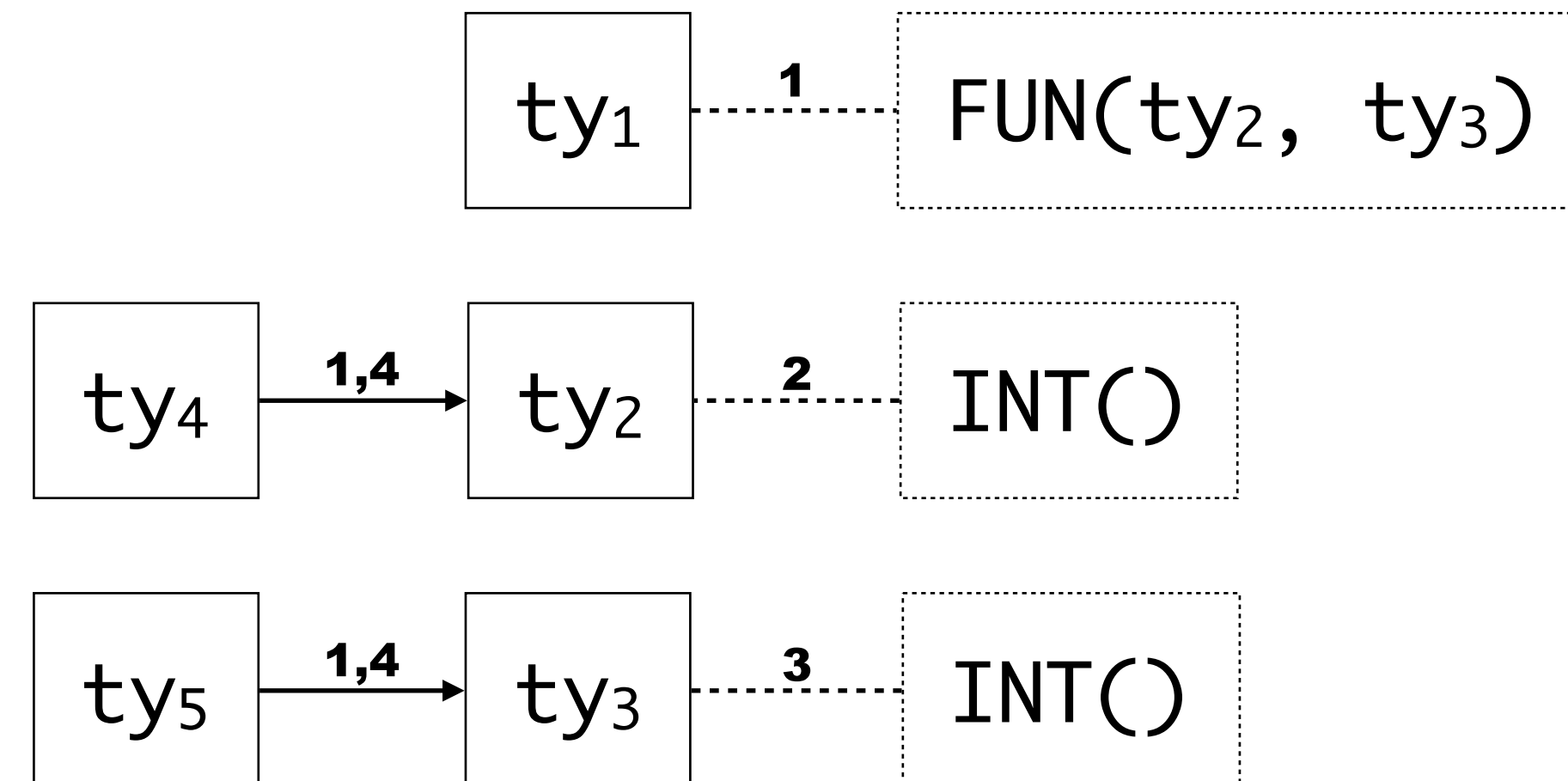
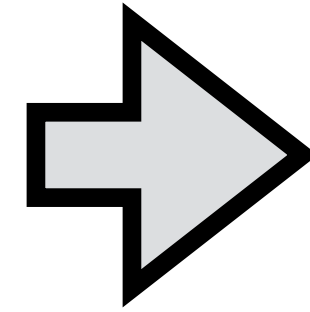


– Track constraints during unification

$FIND(ty_4) = INT()$ because of $\{1,2,4\}$

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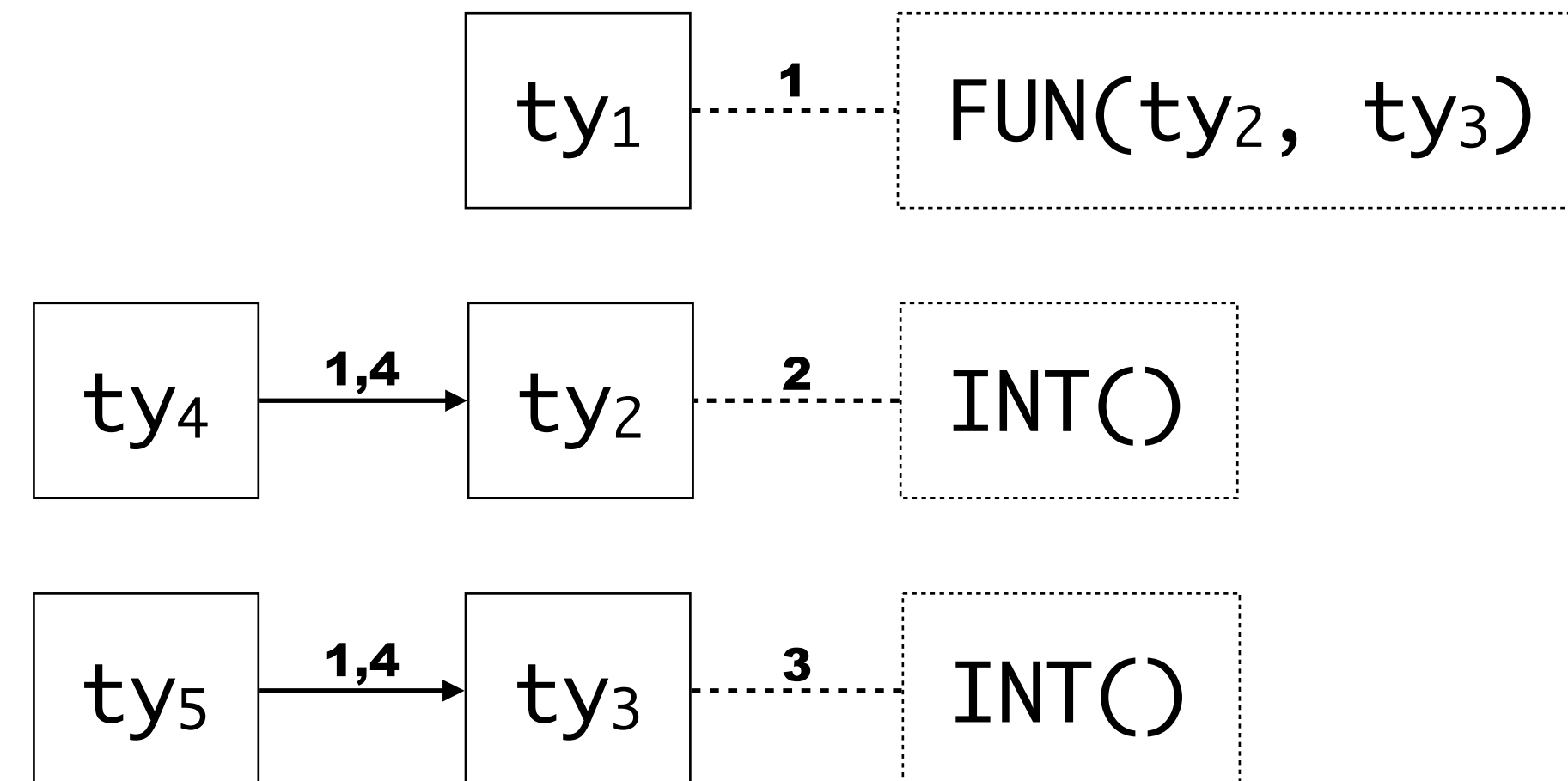
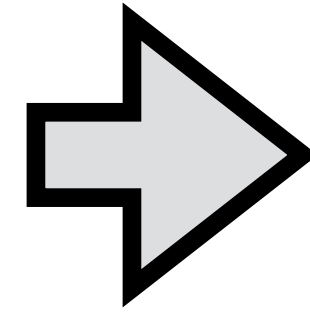


- Track constraints during unification

$FIND(ty_4) = INT()$ because of $\{1,2,4\}$
constraint 5 gives conflict set $\{1,2,4,5\}$

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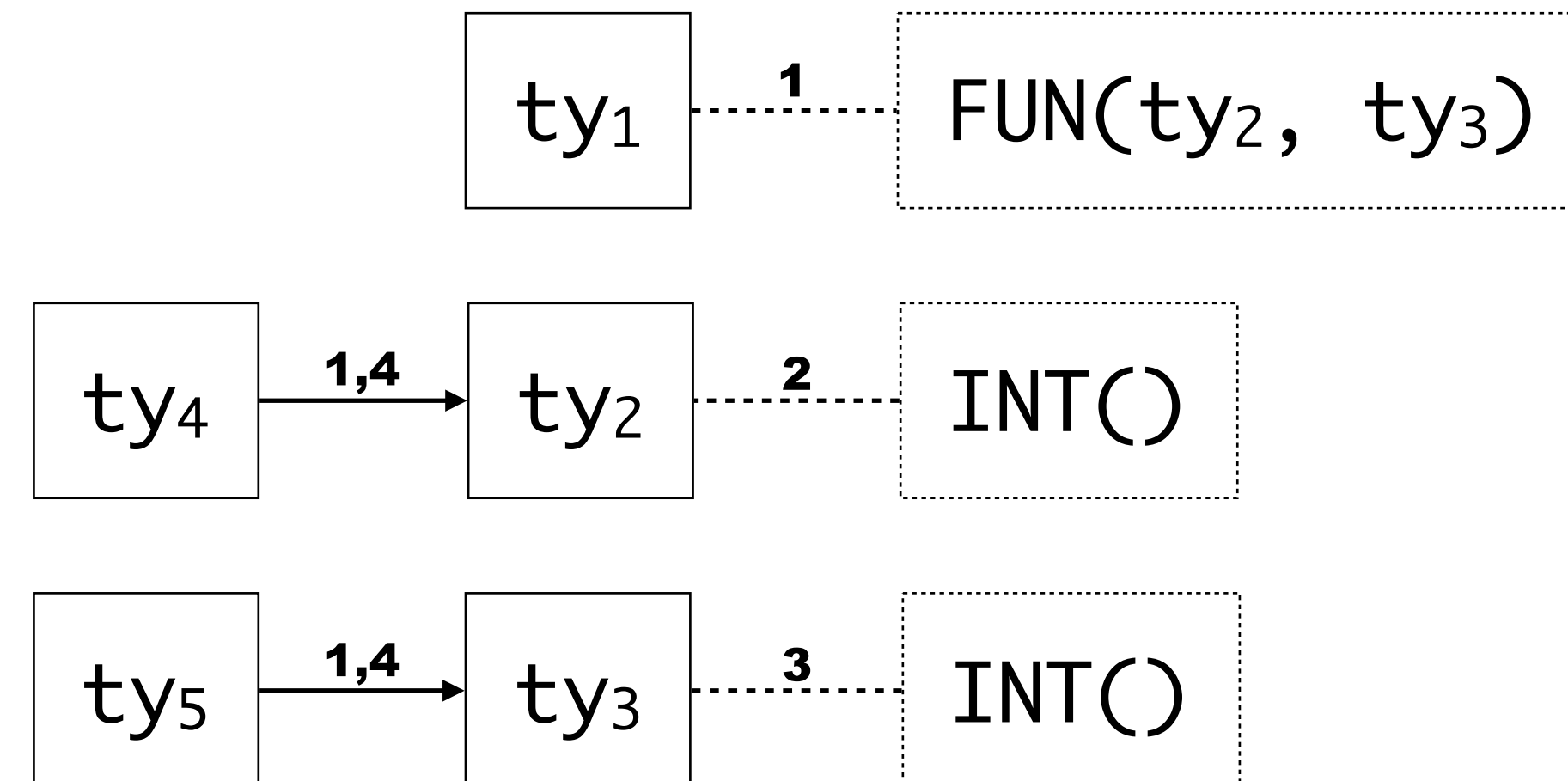
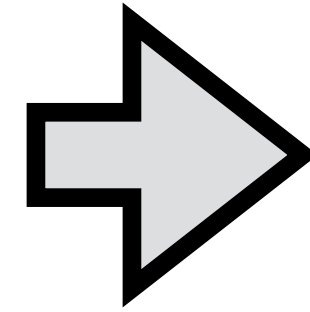


- Track constraints during unification
- Conflict set may be an over-approximation

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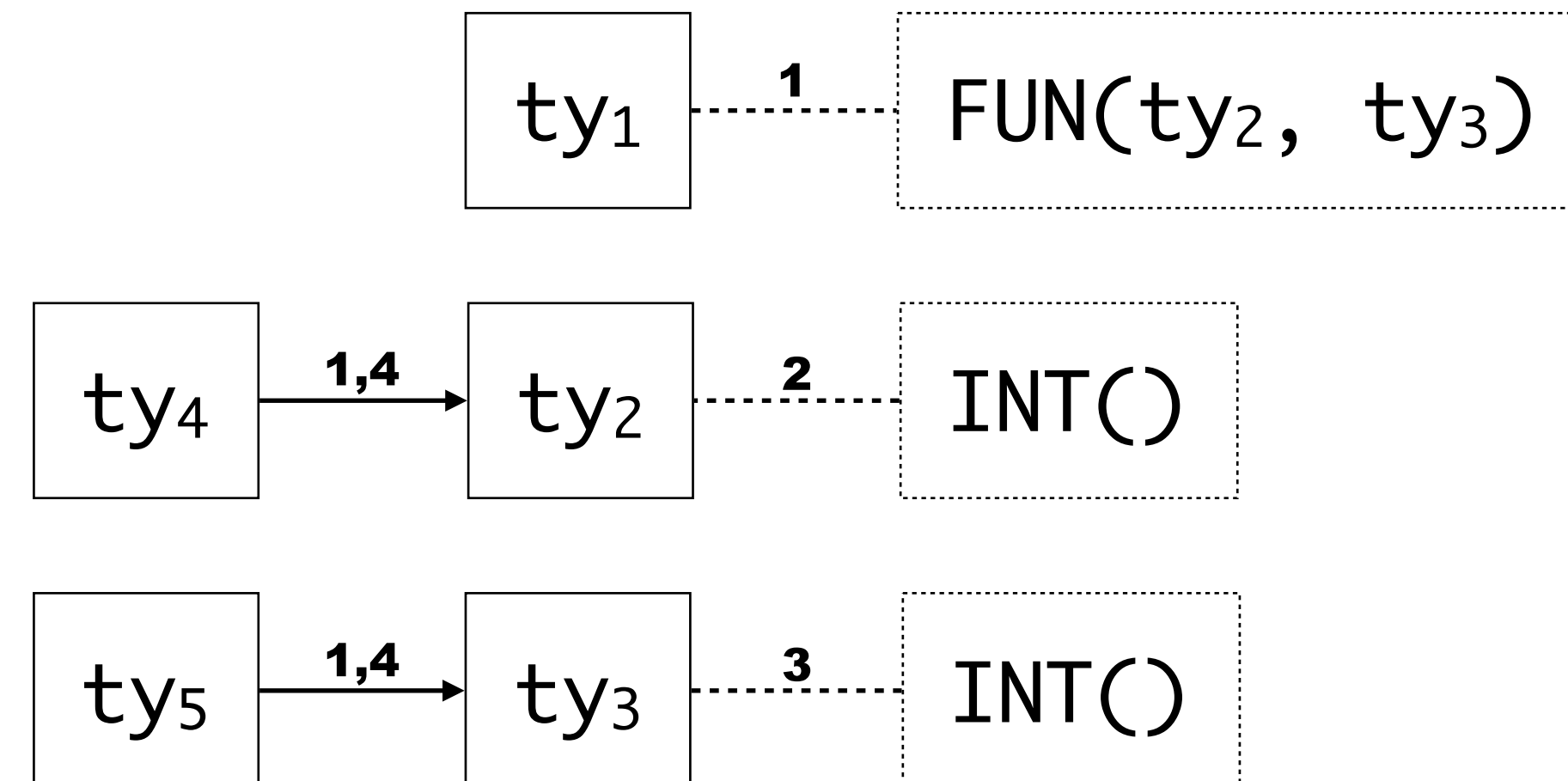
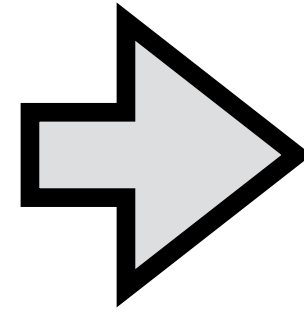


- Track constraints during unification
- Conflict set may be an over-approximation
- Iteratively drop constraints until inconsistency is gone

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- Track constraints during unification
- Conflict set may be an over-approximation
- Iteratively drop constraints until inconsistency is gone
- Solver is used as an oracle, no requirements on its implementation

$FIND(ty_4) = INT()$ because of $\{1,2,4\}$
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Which constraint is to blame?

Error reporting from conflict sets

- Select constraints that are likely causes
- Few error messages is usually preferred over many
- Avoid combinatorial explosion by using heuristics

Language independent heuristics

- Select constraints shared between multiple conflict sets
- Select constraints coming from smaller program fragments
 - ▶ Function definition type comes from the (larger) body expression
 - ▶ Function use type comes from the (smaller) use expression

Language specific heuristics

- Select constraint based on weights assigned in specification
 - ▶ Assign more weight to constraints from definitions, than constraints from use
- Select constraints with explicit error messages
 - ▶ Avoids reporting on implicit equalities that arise during constraint generation

More Aspects

Requirements on the solver

- Report (approximated) conflict sets
 - ▶ Conflict sets should be over-approximations
 - ▶ The closer to the minimal conflict set, the better
- Fast failure is important
 - ▶ Solver is called repeatedly with smaller constraint sets

How to report errors?

- Reporting ‘<long function type> expected, but got <long but slightly different function type>’ can be improved
- Can we report that first argument is different, or that number of arguments is different?

Exercises

Conclusion

Summary

Unification with Union-Find

- Represent unifier as a graph
- Testing term equality becomes testing node identity
- Really fast with path compression and tree balancing

Error Reporting

- Selecting constraints to report errors on
- Use conflict sets to find cause of inconsistencies
- Report on
 - ▶ all conflicting constraints (program slice)
 - ▶ subset of constraints that removes inconsistency
- Use heuristics to select constraints to blame