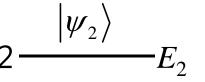
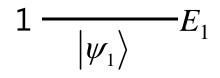
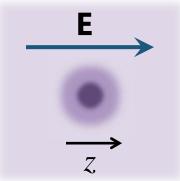
Two-level system

Take a two-level system with energies E_1 and E_2 and eigenfunctions $|\psi_1\rangle$ and $|\psi_2\rangle$ Presume the system is much smaller than an optical wavelength so an incident optical field E will be uniform across the system and take E to be polarized in the zdirection with magnitude E

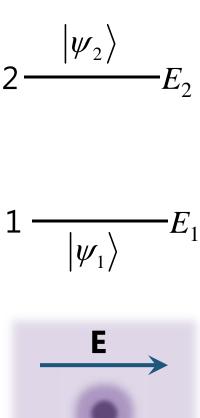


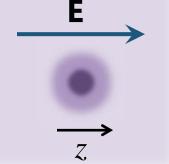




Two-level system

Here we will just treat the interaction with the electric field semiclassically We take an "electric dipole" interaction between the light and the electron in the system so that the energy change on displacing by an amount zis eEz





Hence we can take the (semiclassical) perturbing Hamiltonian as

$$\hat{H}_p = e E z \equiv -E \hat{\mu}$$

where $\hat{\mu}$ is what we will call

the electric dipole operator

with matrix elements

$$\mu_{mn} = -e \left\langle \psi_m \, \middle| \, z \middle| \psi_n \right\rangle$$

so that the matrix elements of the perturbing Hamiltonian become

$$\left(\hat{H}_{p}\right)_{mn} \equiv H_{pmn} = -E\mu_{mn}$$

We choose the states
$$|\psi_1\rangle$$
 and $|\psi_2\rangle$ to have definite parity in the z direction so with our definition $\mu_{mn}=-e\langle\psi_m|z|\psi_n\rangle$
$$\mu_{11}=\mu_{22}=0$$
 and hence with our definition $H_{pmn}=-\mathrm{E}\mu_{mn}$
$$H_{p11}=H_{p22}=0$$

We are free to choose the relative phase of the two wavefunctions such that μ_{12} is real

so that we have

$$\mu_{12} = \mu_{21} \equiv \mu_d$$

Hence the dipole operator can be written $\hat{\mu} = \begin{bmatrix} 0 & \mu_d \\ \mu_d & 0 \end{bmatrix}$

and the perturbing Hamiltonian is
$$\hat{H}_p = \begin{bmatrix} 0 & -E\mu_d \\ -E\mu_d & 0 \end{bmatrix}$$

The unperturbed Hamiltonian \hat{H}_o

is just a 2 x 2 diagonal matrix on this basis with E_1 and E_2 as the diagonal elements

So the total Hamiltonian is
$$\hat{H} = \hat{H}_o + \hat{H}_p = \begin{bmatrix} E_1 & -E\mu_d \\ -E\mu_d & E_2 \end{bmatrix}$$

The density matrix is also a 2 x 2 matrix because there are only two basis states under consideration here and in general we can write it as

$$\rho = \begin{bmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{bmatrix}$$

for this two-level system

The dipole of the system

We have not yet defined the system's state

but we can use $\overline{\langle A \rangle} = Tr(\rho \hat{A})$ to write

$$\overline{\langle \mu \rangle} = Tr(\rho \hat{\mu})$$

Using
$$\hat{\mu} = \begin{bmatrix} 0 & \mu_d \\ \mu_d & 0 \end{bmatrix}$$
 and $\rho = \begin{bmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{bmatrix}$ we have

$$\rho \hat{\mu} = \begin{bmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{bmatrix} \begin{bmatrix} 0 & \mu_d \\ \mu_d & 0 \end{bmatrix} = \begin{bmatrix} \rho_{12} \mu_d & \rho_{11} \mu_d \\ \rho_{22} \mu_d & \rho_{21} \mu_d \end{bmatrix}$$

Hence
$$\langle \mu \rangle = \mu_d \left(\rho_{12} + \rho_{21} \right)$$

We have, from $\partial \rho / \partial t = (i/\hbar) \lceil \rho, \hat{H} \rceil$ with the definitions

$$\rho = \begin{bmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{bmatrix} \text{ and } \hat{H} = \hat{H}_o + \hat{H}_p = \begin{bmatrix} E_1 & -E\mu_d \\ -E\mu_d & E_2 \end{bmatrix}$$

$$\frac{d\rho}{dt} = \frac{i}{\hbar} \left(\rho \hat{H} - \hat{H} \rho \right)$$

$$=\frac{i}{\hbar}\begin{bmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{bmatrix} \begin{bmatrix} E_1 & -\mathrm{E}\mu_d \\ -\mathrm{E}\mu_d & E_2 \end{bmatrix} - \begin{bmatrix} E_1 & -\mathrm{E}\mu_d \\ -\mathrm{E}\mu_d & E_2 \end{bmatrix} \begin{bmatrix} \rho_{11} & \rho_{12} \\ \rho_{21} & \rho_{22} \end{bmatrix}$$

$$= \frac{i}{\hbar} \begin{bmatrix} -E\mu_d (\rho_{12} - \rho_{21}) & -E\mu_d (\rho_{11} - \rho_{22}) + (E_2 - E_1)\rho_{12} \\ -E\mu_d (\rho_{22} - \rho_{11}) + (E_1 - E_2)\rho_{21} & -E\mu_d (\rho_{21} - \rho_{12}) \end{bmatrix}$$

Taking the "2 - 1" element of both sides in

$$\frac{d\rho}{dt} = \frac{i}{\hbar} \begin{bmatrix} -E\mu_d(\rho_{12} - \rho_{21}) & -E\mu_d(\rho_{11} - \rho_{22}) + (E_2 - E_1)\rho_{12} \\ -E\mu_d(\rho_{22} - \rho_{11}) + (E_1 - E_2)\rho_{21} & -E\mu_d(\rho_{21} - \rho_{12}) \end{bmatrix}$$

with
$$\hbar\omega_{21}=E_2-E_1$$
 gives

$$\frac{d\rho_{21}}{dt} = \frac{i}{\hbar} \Big[(\rho_{11} - \rho_{22}) E\mu_d - (E_2 - E_1)\rho_{21} \Big] = -i\omega_{21}\rho_{21} + i\frac{\mu_d}{\hbar} E(\rho_{11} - \rho_{22})$$

From the diagonal elements in

$$\frac{d\rho}{dt} = \frac{i}{\hbar} \begin{bmatrix} -E\mu_d(\rho_{12} - \rho_{21}) & -E\mu_d(\rho_{11} - \rho_{22}) + (E_2 - E_1)\rho_{12} \\ -E\mu_d(\rho_{22} - \rho_{11}) + (E_1 - E_2)\rho_{21} & -E\mu_d(\rho_{21} - \rho_{12}) \end{bmatrix}$$

we can examine the population difference $\rho_{11} - \rho_{22}$ between the lower and upper states
Using the Hermiticity of ρ

which tells us that
$$\rho_{12} = \rho_{21}^*$$
 we have $\frac{d}{dt}(\rho_{11} - \rho_{22}) = 2i\frac{\mu_d}{\hbar} E(\rho_{21} - \rho_{21}^*)$

Solving
$$\frac{d\rho_{21}}{dt} = -i\omega_{21}\rho_{21} + i\frac{\mu_d}{\hbar} E(\rho_{11} - \rho_{22})$$

and
$$\frac{d}{dt}(\rho_{11} - \rho_{22}) = 2i\frac{\mu_d}{\hbar} E(\rho_{21} - \rho_{21}^*)$$

covers any possible behavior of this idealized system

Note: this is not a perturbation theory analysis

Density matrix and relaxation times

Consider a fractional population difference $\rho_{11} - \rho_{22}$ between the "lower" and "upper" states Suppose that, in equilibrium, with no applied fields this difference would have a value $(\rho_{11} - \rho_{22})_{\alpha}$ Then experience might tell us that because of mechanisms such as collisions with the walls of a box or with other atoms or by spontaneous emission such systems often settle back down again to $(\rho_{11} - \rho_{22})_{a}$ with an exponential decay with some time constant T_1

Density matrix and relaxation times

Then we could hypothesize that we could add a term to

$$\frac{d}{dt}(\rho_{11} - \rho_{22}) = 2i\frac{\mu_d}{\hbar} E(\rho_{21} - \rho_{21}^*)$$

to give

$$\frac{d}{dt}(\rho_{11} - \rho_{22}) = 2i\frac{\mu_d}{\hbar} E(\rho_{21} - \rho_{21}^*) - \frac{(\rho_{11} - \rho_{22}) - (\rho_{11} - \rho_{22})_o}{T_1}$$

For E = 0, this expression would give exponential decay

back to
$$(\rho_{11} - \rho_{22}) = (\rho_{11} - \rho_{22})_o$$

with time constant T_1

Density matrix and relaxation times

We have to consider a similar process also for the off-diagonal elements of the density matrix

as in
$$\frac{d\rho_{21}}{dt} = -i\omega_{21}\rho_{21} + i\frac{\mu_d}{\hbar} E(\rho_{11} - \rho_{22})$$

To understand this, we need to understand the meaning of the off-diagonal elements ρ_{21} and ρ_{12} which we remember are defined with a relation

$$\rho_{uv} \equiv \langle \phi_u | \rho | \phi_v \rangle = \sum_{i} P_j c_u^{(j)} \left(c_v^{(j)} \right)^* \equiv \overline{c_u c_v^*}$$

```
Within any given pure state j
   the product c_u^{(j)}(c_v^{(j)})^* is in general oscillating
If we have expanded in energy eigenstates |\phi_{\mu}\rangle and |\phi_{\nu}\rangle
   of the unperturbed system
      there is a time-dependence \exp(-iE_{\mu}t/\hbar) built into c_{\mu}^{(j)}
          and a time-dependence \exp(iE_v t/\hbar) built into (c_v^{(j)})^*
             so the product c_u^{(j)} (c_v^{(j)})^*
                has an underlying oscillation of the form
                         \exp(-i(E_u - E_v)t/\hbar)
```

```
As time evolves, the system can get scattered from pure state j into another pure state k with some probability possibly even a state in which \rho_{11} and \rho_{22} are unchanged but in which the phases of the coefficients c_1^{(k)} and c_2^{(k)} are different
```

At any given time, therefore, we may have an ensemble of different possibilities for the quantum mechanical state all possibly with different phases of oscillation

In our mixed state

if we have sufficiently many such random phases that are sufficiently different

then the ensemble average of a product $c_u c_v^*$

for different u and v, i.e., $c_u c_v^*$ will average out to zero

But this ensemble average is simply

the off-diagonal density matrix element $\rho_{uv} \equiv c_u c_v^*$

Hence, off-diagonal elements contain information about the coherence of the populations in different states

```
The processes that scatter into states with different
 phases
  can be called "dephasing" processes
The simplest model is that
  dephasing processes cause
     an exponential settling
       of any off-diagonal element
          to zero
            with some time constant T_2
```

Hence we postulate adding a term $-\rho_{21}/T_2$ to

to obtain
$$\frac{d\rho_{21}}{dt} = -i\omega_{21}\rho_{21} + i\frac{\mu_d}{\hbar}\mathbb{E}(\rho_{11} - \rho_{22})$$

$$\frac{d\rho_{21}}{dt} = -i\omega_{21}\rho_{21} + i\frac{\mu_d}{\hbar}\mathbb{E}(\rho_{11} - \rho_{22}) - \frac{\rho_{21}}{T_2}$$

In the absence of an optical field E

 ho_{21} would execute an oscillation at approximately frequency ω_{21}

decaying to zero approximately exponentially with a dephasing time constant T_2

Behavior with oscillating field

We want see what happens when

we apply an oscillating electric field

$$E(t) = E_o \cos \omega t = \frac{E_o}{2} (\exp(i\omega t) + \exp(-i\omega t))$$

to our two-level system

We can simplify our algebra and results

if we define new "slowly varying" quantities

$$\beta_{21}(t) = \rho_{21}(t) \exp(i\omega t)$$
 $\beta_{12}(t) = \rho_{12}(t) \exp(-i\omega t)$

Using these quantity takes out the underlying oscillation at frequency ω from our algebra

Behavior with oscillating field

We can rewrite
$$\frac{d\rho_{21}}{dt} = -i\omega_{21}\rho_{21} + i\frac{\mu_d}{\hbar}\mathbb{E}(\rho_{11} - \rho_{22}) - \frac{\rho_{21}}{T_2}$$
 and
$$\frac{d}{dt}(\rho_{11} - \rho_{22}) = 2i\frac{\mu_d}{\hbar}\mathbb{E}(\rho_{21} - \rho_{21}^*) - \frac{(\rho_{11} - \rho_{22}) - (\rho_{11} - \rho_{22})_o}{T_1}$$

using
$$\beta_{21}(t) = \rho_{21}(t) \exp(i\omega t)$$

and dropping all terms $\propto \exp(\pm 2i\omega t)$

on the presumption that such terms will average out to zero over timescales of cycles and hence they will make relatively little contribution to the resulting values of $\rho_{11} - \rho_{22}$ and β_{12}

Bloch equations

Hence we obtain, approximately

$$\left(\frac{d}{dt}(\rho_{11}-\rho_{22}) = i\frac{\mu_d}{\hbar} E_o(\beta_{21}-\beta_{21}^*) - \frac{(\rho_{11}-\rho_{22}) - (\rho_{11}-\rho_{22})_o}{T_1}\right)$$

$$\left| \frac{d\beta_{21}}{dt} = i(\omega - \omega_{21})\beta_{21} + i\frac{\mu_d}{2\hbar} E_o(\rho_{11} - \rho_{22}) - \frac{\beta_{21}}{T_2} \right|$$

These equations are often known as the Bloch equations
They were first derived in the field of magnetic
resonance

Dipole average

We defined
$$\beta_{21}(t) = \rho_{21}(t) \exp(i\omega t)$$
 and $\beta_{12}(t) = \rho_{12}(t) \exp(-i\omega t)$
We know the density matrix is Hermitian, so $\rho_{12} = \rho_{21}^*$
so $\beta_{12} \equiv \rho_{12} \exp(-i\omega t) = \rho_{21}^* \exp(-i\omega t) = \beta_{21}^*$
We now evaluate the ensemble average
of the dipole moment of the system
which we previously deduced was $\overline{\langle \mu \rangle} = \mu_d \left(\rho_{12} + \rho_{21} \right)$
We have $\overline{\langle \mu \rangle} = \mu_d \left(\beta_{12} \exp(i\omega t) + \beta_{21} \exp(-i\omega t) \right)$
 $= 2\mu_d \left[\operatorname{Re}(\beta_{21}) \cos \omega t + \operatorname{Im}(\beta_{21}) \sin \omega t \right]$

where we used our result $\beta_{12} = \beta_{21}^*$ from above

Solving in the steady state

```
Now let us solve in the "steady state"
   with a steady monochromatic field and
     when the system has settled down
In steady state \rho_{11} - \rho_{22}
   the population difference between the states
     will have settled to some value
        so d(\rho_{11} - \rho_{22})/dt = 0
Similarly, any coherent responses will have settled down
   to follow the appropriate driving field terms
     so we expect d\beta_{21}/dt = 0 also
```

Solving in the steady state

So, setting the left-hand sides of both

$$\frac{d}{dt}(\rho_{11} - \rho_{22}) = i\frac{\mu_d}{\hbar} E_o(\beta_{21} - \beta_{21}^*) - \frac{(\rho_{11} - \rho_{22}) - (\rho_{11} - \rho_{22})_o}{T_1}$$

and
$$\frac{d\beta_{21}}{dt} = i(\omega - \omega_{21})\beta_{21} + i\frac{\mu_d}{2\hbar} E_o(\rho_{11} - \rho_{22}) - \frac{\beta_{21}}{T_2}$$

to zero

we can solve the resulting simultaneous linear equations in the two variables β_{21} and $(\rho_{11} - \rho_{22})$ the details of which are left as an exercise

Solutions in the steady state

With $\Omega = \mu_{d} E_{o} / 2\hbar$, the results are

$$\rho_{11} - \rho_{22} = (\rho_{11} - \rho_{22})_o \frac{1 + (\omega - \omega_{21})^2 T_2^2}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

$$\operatorname{Im}(\beta_{21}) = \frac{\Omega T_2 (\rho_{11} - \rho_{22})_o}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

$$\operatorname{Re}(\beta_{21}) = \frac{(\omega_{21} - \omega)\Omega T_2^2 (\rho_{11} - \rho_{22})_o}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

Behavior with oscillating field

Presume that we have some large number Nof such systems ("atoms") per unit volume The population difference (per unit volume) between the number in the lower state and the number in the higher state is therefore $\Delta N = N(\rho_{11} - \rho_{22})$ and in the absence of the optical field the population difference is $\Delta N_{o} = N(\rho_{11} - \rho_{22})_{o}$

Population difference with oscillating field

Using
$$\Delta N = N(\rho_{11} - \rho_{22})$$
 and $\Delta N_o = N(\rho_{11} - \rho_{22})_o$ we can rewrite
$$\rho_{11} - \rho_{22} = (\rho_{11} - \rho_{22})_o \frac{1 + (\omega - \omega_{21})^2 T_2^2}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$
 as
$$\Delta N = \Delta N_o \frac{1 + (\omega - \omega_{21})^2 T_2^2}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

This result tells us how the population difference varies as a function of optical intensity ($\propto \Omega^2$) and frequency ω

Polarization with oscillating field

```
In general in electromagnetism
   the (static) polarization P is defined as P = \varepsilon_{\alpha} \chi E
     where \chi is the susceptibility
When we have an oscillating field
   the response of the medium
     and hence the polarization
        can be out of phase with the electric field
           and then it is convenient
              to generalize the idea of susceptibility
```

Susceptibility with oscillating field

We can formally think of the susceptibility as a complex quantity with real and imaginary parts χ' and χ'' respectively or equivalently we can explicitly write the response to a real field $E_a \cos \omega t$ as $P = \varepsilon_o E_o (\chi' \cos \omega t + \chi'' \sin \omega t)$ It is also generally true in electromagnetism that

the polarization is the dipole moment per unit volume

Hence here we can also write

$$P = N \overline{\langle \mu \rangle}$$

Susceptibility with oscillating field

Hence using
$$\overline{\langle \mu \rangle} = 2\mu_d \left[\operatorname{Re}(\beta_{21}) \cos \omega t + \operatorname{Im}(\beta_{21}) \sin \omega t \right]$$

$$\operatorname{Im}(\beta_{21}) = \frac{\Omega T_2 \left(\rho_{11} - \rho_{22} \right)_o}{1 + \left(\omega - \omega_{21} \right)^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

$$\operatorname{Re}(\beta_{21}) = \frac{\left(\omega_{21} - \omega \right) \Omega T_2^2 \left(\rho_{11} - \rho_{22} \right)_o}{1 + \left(\omega - \omega_{21} \right)^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

$$P = \varepsilon_o \mathbb{E}_o \left(\chi' \cos \omega t + \chi'' \sin \omega t \right)$$

$$P = N \overline{\langle \mu \rangle}$$

we can write explicit formulas for χ' and χ''

Susceptibility with oscillating field

We obtain

$$\chi'(\omega) = \frac{\mu_d^2 T_2 \Delta N_o}{\varepsilon_o \hbar} \frac{(\omega_{21} - \omega) T_2}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

$$\chi''(\omega) = \frac{\mu_d^2 T_2 \Delta N_o}{\varepsilon_o \hbar} \frac{1}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

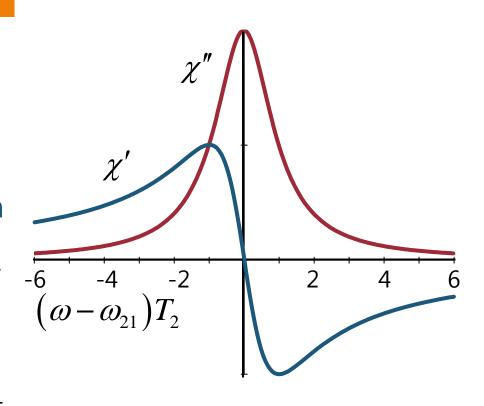
In electromagnetism, $Re(\chi) \equiv \chi'$, the in-phase response is responsible for refractive index

and the quadrature (i.e., 90° shifted) response, $\operatorname{Im}(\chi) \equiv \chi''$ is responsible for optical absorption

Small field susceptibility

For a "two-level" system for small electric field amplitude then $\Omega = \mu_d E_o / 2\hbar \approx 0$ and we have the normal "linear" refraction variation $\chi'(\omega) = \frac{\mu_d^2 T_2 \Delta N_o}{\varepsilon_o \hbar} \frac{(\omega_{21} - \omega) T_2}{1 + (\omega - \omega_{21})^2 T_2^2}$ and Lorentzian absorption $(\omega - \omega_{21}) T_2$

$$\chi''(\omega) = \frac{\mu_d^2 T_2 \Delta N_o}{\varepsilon_o \hbar} \frac{1}{1 + (\omega - \omega_{21})^2 T_2^2}$$



Absorption saturation

In
$$\chi''(\omega) = \frac{\mu_d^2 T_2 \Delta N_o}{\varepsilon_o \hbar} \frac{1}{1 + (\omega - \omega_{21})^2 T_2^2 + 4\Omega^2 T_2 T_1}$$

 Ω^2 is proportional to the electric field squared which is proportional to the intensity I of the light

Hence we can write $4\Omega^2 T_2 T_1 \equiv I / I_S$ where I_S is called the saturation intensity

Hence, for example, on resonance ($\omega_{21} = \omega$), we have $\chi''(\omega) \propto 1/(1+I/I_S)$

This equation describes "absorption saturation" often seen with the high intensities from lasers

Density matrix and perturbation theory

Now we would start with
$$\frac{\partial \rho_{mn}}{\partial t} = \frac{i}{\hbar} [\rho, \hat{H}]_{mn}$$

for the time evolution of the density matrix instead of Schrödinger's equation

We could generalize the relaxation time approximation now writing a proposed set of relations

$$\frac{\partial \rho_{mn}}{\partial t} = \frac{i}{\hbar} \left[\rho, \hat{H} \right]_{mn} - \gamma_{mn} \left(\rho_{mn} - \rho_{mno} \right)$$

 ho_{mno} is the equilibrium value for ho_{mn} and ho_{mn} is its "relaxation rate"

Density matrix and perturbation theory

One then starts with equations like

$$\frac{\partial \rho_{mn}}{\partial t} = \frac{i}{\hbar} \left[\rho, \hat{H} \right]_{mn} - \gamma_{mn} \left(\rho_{mn} - \rho_{mno} \right)$$

instead of the time-dependent Schrödinger equation and constructs a perturbation theory just as before This density matrix version is the one commonly used for calculating non-linear optical coefficients eliminating the singularities when the transition energy and the photon energy coincide