

QOC with A Single NV Center

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Qubit's Hamiltonian

In the qubit's rotating frame,

$$\hat{H} = \frac{\delta(t)}{2} \hat{\sigma}_z + \frac{\Omega_1}{2} f(t) [1 + \epsilon(t)] [\hat{\sigma}_x \cos(\phi(t)) + \hat{\sigma}_y \sin(\phi(t))]$$

where

$\Omega_1 f(t)$: modulated Rabi frequency

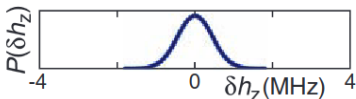
$\phi(t)$: pulse's initial phase

$\delta(t), \epsilon(t)$: noises

Physical observables are represented by

$$\bar{\rho}(t) = \frac{1}{N} \sum_n e^{-i\hat{H}_n t} \rho(0) e^{i\hat{H}_n t}$$

$\delta(t)$ as an Ornstein-Uhlenbeck Process



$$\hat{H} = \frac{\delta(t)}{2} \hat{\sigma}_z + \dots$$

Figure: (Hanson et al., 2008)

Fully relaxed OU process. Starting as a Gaussian distribution with mean 0 and variance σ^2 , the updating formula is

$$X(t + \Delta t) = X(t)e^{-(\Delta t)/\tau} + \tilde{n}\sigma\sqrt{1 - e^{-2(\Delta t)/\tau}}$$

where

\tilde{n} : unit Gaussian sample

τ : relaxation time

$$\hat{H} = \dots + \Omega_1 f(t) [1 + \epsilon(t)] \dots$$

Can be modeled by a fully-relaxed OU process with large τ .

Expressing σ and τ in terms of T_2^* and T_2^{HE}

$$0 = 4\tau e^{-T_2^{\text{HE}}/2\tau} - \tau \left(e^{-T_2^{\text{HE}}/\tau} + e^{-T_2^*/\tau} + 2 \right) + T_2^{\text{HE}} - T_2^*$$
$$\frac{1}{\sigma^2} = \tau^2 e^{-T_2^*/\tau} - \tau^2 + \tau T_2^*$$

credit: Pascual-Winter et al., 2012.

Coding: Generating OU processes

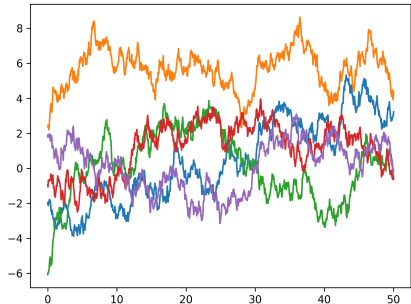
```
1 T2_star = 0.5
2 T2_Hahn = 3
3
4 delta = ou.ou_noise(T2_star=T2_star, T2_Hahn=T2_Hahn)
5 delta.sigma, delta.tau

[143] ✓ 0.0s

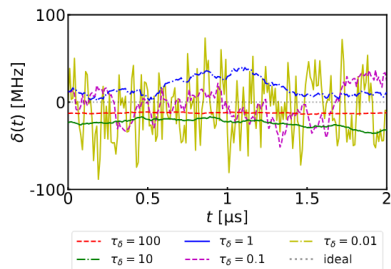
... (np.float64(2.842272904735199), np.float64(17.0233192862102))

1 tlist = np.linspace(0, 50, 1001)
2 dt = np.diff(tlist)[0]
3 sample = 5
4
5 out_arr = delta.generate(tlist, sample)
6
7 plt.plot(tlist, out_arr);

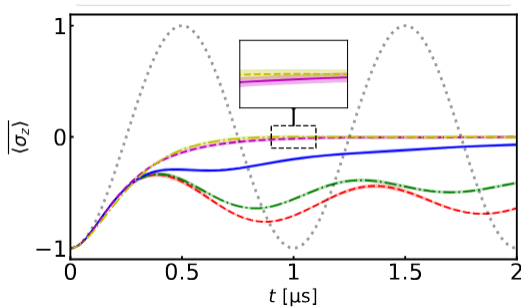
[147] ✓ 0.3s
```



Tuning τ for $\delta(t)$

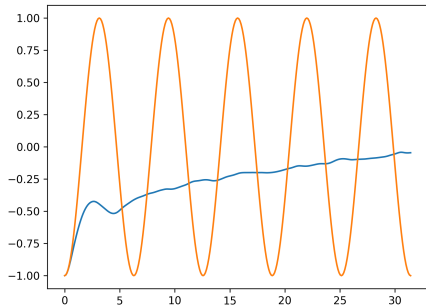


credit: Lim et al., 2024



Coding: Simulating the Rabi oscillation

```
1 qb = ou.qbevo(sample = 1000,  
2               rho_0 = ou.bloch_state(theta = np.pi,  
3                                     phi = 0),  
4               time = ou.timeline([10*np.pi, 10*np.pi/300]),  
5               Omega_1 = 1,  
6               f = 1,  
7               phi = 0,  
8               delta = delta)  
9  
10 t, sz_t = qb.run(expect = [ou.pauli()[2]],  
11                  sample_mean = True,  
12                  flatten = True)  
13  
14 qb_ideal = qb.copy(ideal=True)  
15  
16 t_ideal, sz_t_ideal = qb_ideal.run(expect = [ou.pauli()[2]],  
17                                   sample_mean = True,  
18                                   flatten = True)
```



Loose definition: Tuning variables in the system dynamics to achieve a certain goal.

Controllability: The pulsed NV center is **completely controllable**.

Complete controllability: A system is completely controllable if the Hamiltonian generates all possible gate operations (D'Alessandro, 2022).

$$\hat{H} = \frac{\delta(t)}{2} \hat{\sigma}_z + \frac{\Omega_1}{2} f(t) [1 + \epsilon(t)] [\hat{\sigma}_x \cos(\phi(t)) + \hat{\sigma}_y \sin(\phi(t))]$$

Ingredients for QOC: the system dynamics

$$\hat{H} = \frac{\delta(t)}{2} \hat{\sigma}_z + \frac{\Omega_1}{2} f(t) [1 + \epsilon(t)] [\hat{\sigma}_x \cos(\phi(t)) + \hat{\sigma}_y \sin(\phi(t))]$$

$f(t)$ is the control.

Ingredients for QOC: the control objective J

Either a **cost function** to minimize, or a **figure of merit** to maximize.

$$J_1 = 1 - \bar{F} = 1 - \frac{1}{N} \sum_n \text{tr} \left(\sqrt{\sqrt{\rho_n(T)} \rho_{\text{target}} \sqrt{\rho_n(T)}} \right)$$

or

$$J_2 = 1 - \bar{F}_{\text{gate}} = 1 - \frac{1}{4N} \sum_n \left| \text{tr} \left(\hat{U}_{\text{target}}^\dagger \hat{U}_n(T) \right) \right|^2$$

where

T : control duration, i.e. pulse length

credit: Rembold et al., 2020; Nielsen & Chuang, 2010

Ingredients for QOC: the control space restriction

Useful for excluding unphysical/impractical values.

Limit $\Omega_1 f(t)$ to not exceed some limit, e.g. $2\pi \times 5$ MHz, i.e. **limit**
 $-5 \leq f(t) \leq 5$.

The control landscape

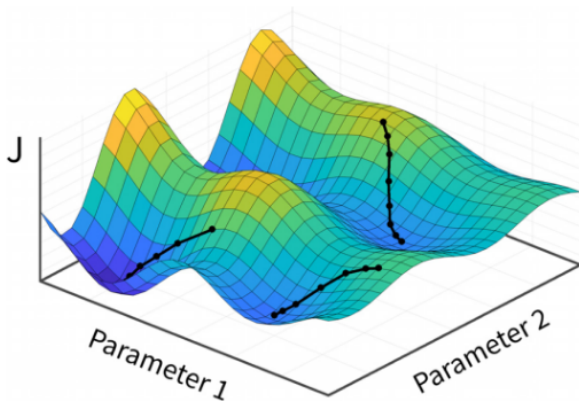


Figure: Rembold et al., 2020.

Traversing the control landscape: QOC algorithms

Type 1: **Gradient-based**

- Gradient Ascent Pulse Engineering (GRAPE).
- Krotov's method.
- Gradient Optimization Using Parameterization (GROUP).
- Gradient Optimization of Analytic Controls (GOAT).

Type 2: **Gradient-free**

- Chopped Random Basis (CRAB).
- **Dressed Chopped Random Basis (dCRAB).**

credit: Rembold et al., 2020.

- Set **superiteration** $s = 1$.
- Expand control using a **chopped basis** $\{f_k^s\}$ with **randomized basis parameters** β_k^s :

$$f^s(t) = \sum_{k=1}^{N_c} a_k^s f_k^s(\beta_k^s; t)$$

- Numerically optimize a_k^s using algorithms such as the **Nelder-Mead (NM) simplex** or **Covariance Matrix Adaptation Evolution Strategy (CMA-ES)**.
- Carry the optimized pulse in superiteration $s = 1$ over to superiteration $s = 2$. Repeat optimization.

$$f^s(t) = a_0^s f^{s-1}(t) + \sum_{k=1}^{N_c} a_k^s f_k^s(\beta_k^s; t)$$

- Rinse and repeat.

Scaling function

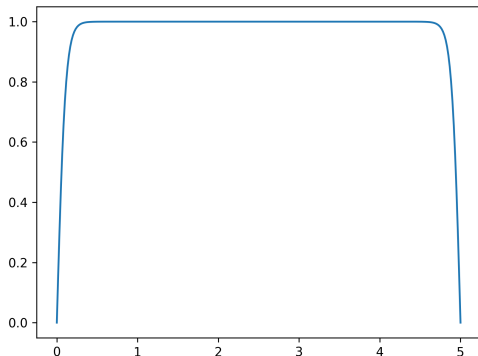
Multiplies the pulse; useful to hold the end-values at zero.

$$\Lambda(t) = \tanh \left[\sigma \sin \left(\frac{\pi t}{2T} \right) \right] \tanh \left[-\sigma \sin \left(\frac{\pi(t-T)}{2T} \right) \right]$$

where

σ : ramp steepness.

T : pulse duration.



Optimization hyperparameters

- Number N_c of basis per superiteration.
- Sampling interval $[\beta_{\min}, \beta_{\max}]$ of the randomized basis parameters β_k^s .
- Amplitude variation of a_k^s between iterations.

Coding: Specifying the control landscape

```
1 def infidelity(rho_T, rho_target):
2     return qt.fidelity(rho_T, rho_target)
3
4 qb = ou.qbevo(sample = 50,
5               rho_0 = ou.bloch_state(theta = np.pi,
6                                       phi = 0),
7               time = ou.timeline([10*np.pi, 10*np.pi/300]),
8               Omega_1 = 1,
9               f = 1,
10              phi = 0,
11              delta = delta)
12
13 cf = ou.cost_function(qbevo = qb,
14                      state_measure = infidelity)
15
16 csr = ou.control_space_restriction(lim_f = (-5, 5))
17
18 cf.pulse_index = 0
19 cf.calculate_original()
```

[8] ✓ 3.0s

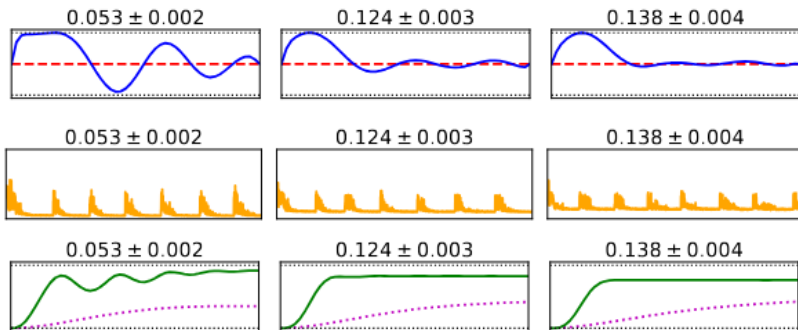
... np.float64(0.6524141490725134)

Coding: Running the optimization

```
1 res = ou.dCRAB(cost_function=cf,  
2               super_iteration=2,  
3               costfunc_goal=1e-3,  
4               p1_basis = "Fourier",  
5               p1_num_basis_vector = 5,  
6               p1_basis_parameter_lim = (1, 10))  
✓ 1m 17.1s
```

INFO oc_logger The optimization direction is minimization
INFO oc_logger QuOCS version number: 0.0.60
INFO oc_logger Direct search start time has been reset.
INFO oc_logger New record achieved. Previous FoM: 10000000000, new best FoM : 0.5489460390149822
INFO oc_logger Function evaluation number: 1, SI: 1, Sub-iteration number: 0, FoM: 0.5489460390149822
INFO oc_logger Function evaluation number: 2, SI: 1, Sub-iteration number: 0, FoM: 0.9601123969727986
INFO oc_logger Function evaluation number: 3, SI: 1, Sub-iteration number: 0, FoM: 0.8710885613090092

Example of results



credit: Lim et al., 2024

Possible tweaks

- Consider $\phi(t)$ as well.
- Consider different bases.
- Hyperparameter tuning.