

# Introduction to Database Systems

## Homework 3 : Query Processing & 2PL

### 1. Query Processing:

2-1-1 Select Operation:

$$(1) (1000+50) + 2 * (1000*50) = 101050$$

$\sigma_{(\text{position}='Manager') \wedge (\text{city}='London') \wedge (\text{Staff.branchNo}=\text{Branch.branchNo})} (\text{Staff} \bowtie \text{Branch})$

pseudo code:

```
result_set = []
```

```
for each staff_member in Staff
    for each branch in Branch
```

```
        if staff_member.branchNo == branch.branchNo AND
           staff_member.position == 'Manager' AND
           branch.city == 'London'
```

```
            result_set.add ( (staff_member, branch) )
```

```
return result_set
```

$$(2) 2 * 1000 + (1000 + 50) = 3050$$

$\sigma$  (position='Manager')  $\wedge$  (city='London') (Staff  $\bowtie$  Staff.branchNo=Branch.branchNo

Branch)

**pseudo code:**

result\_set = []

for each staff\_member in Staff

    if staff\_member.position == 'Manager'

        for each branch in Branch

            if branch.city == 'London' AND staff\_member.branchNo ==  
branch.branchNo

                result\_set.add ( (staff\_member, branch) )

return result\_set

$$(3) 1000 + 2 * 50 + 5 + (50 + 5) = 1160$$

$(\sigma_{(\text{position}='Manager')}(\text{Staff})) \bowtie \text{Staff.branchNo}=\text{Branch.branchNo} (\sigma_{\text{city}='London'}(\text{Branch}))$

$\text{city}='London'(\text{Branch})$

**Pseudo code:**

result\_set = []

selected\_staff = []

for each staff\_member in Staff

    if staff\_member.position == 'Manager'

        selected\_staff.add (staff\_member)

selected\_branches = []

for each branch in Branch

    if branch.city == 'London'

        selected\_branches.add (branch)

for each manager in selected\_staff

    for each london\_branch in selected\_branches

        if manager.branchNo == london\_branch.branchNo

            result\_set.add ( (manager, london\_branch) )

return result\_set

## 2-1-2 Join Operation:

a. Assume that we implement Nested-loops join, and both are sorted. If there are only 3 memory blocks available and the minimal block transfers are required, what is the outer relation that we should pick from  $r_1$  and  $r_2$ , and the number of block transfers and seeks required. Explain your answer with pseudo code. ( 3% for each answer)

- Pseudo code:

outer\_relation =  $r_2$  (3000 blocks)

inner\_relation =  $r_1$  (2000 blocks)

// Read each tuple in the block of outer\_relation

Seek = seek + 1

for each tuple\_outer in block of outer\_relation ( $r_2$ )

    block\_transfer = block\_transfer + 1

    //for each tuples

    For data in block

        Seek = seek + 1

        // Read each tuple in the block of inner\_relation

        for each tuple\_inner in block of inner\_relation ( $r_1$ )

            block\_transfer = block\_transfer + 1

- Total block transfers =

$nr * bs + br = 30000 * 2000 + 3000 = 60003000$

- Total block seeks =  $br + nr = 1 + 30000 = 30001$

b. Assume that we implement Nested-loops join, and none of the sorted. If there are 102 memory blocks available and the minimal block transfers are required, what is the outer relation that we should pick from r1 and r2, and the number of block transfers and seeks required. Explain your answer with pseudo code. ( 3% for each answer)

- Pseudo Code:

Outer relation = r2 (3000 blocks)

Inner relation =r1 (2000 blocks)

// Read each tuple in the block of outer\_relation

for each tuple\_outer in block of outer\_relation (r2)

Seek =seek+1

block\_transfer= block\_transfer+1

// Read each tuple in the block of inner\_relation

for each chunk of blocks of inner\_relation (r1)

Seek =seek+100

block\_transfer= block\_transfer+1

- Total block transfers:  $br + nr * (bs / 102 -$

$2) = 3000 + 30000 (2000 / 100) = 3000 + 600000 = 603000$

- Total block seeks:

$br + nr * bs = 3000 + 30000 * 2000 = 3000 + 60000000 = 60003000$

c. Assume that we implement Block Nested-loops join, and none of the sorted. If there are only 102 memory blocks available, what is the outer relation that we should pick from r1 and r2, and the number of block transfers and seeks required. Explain your answer with pseudo code. ( 3% for each answer)

- Pseudo code:

outer\_relation = r1 (2,000 blocks)

inner\_relation = r2 (3,000 blocks)

for each chunk of blocks in r1

    Seek =seek+1

    block\_transfer= block\_transfer+1

    //load chunk of outer\_relation into buffer

    for each block in r2

        Seek =seek+100

        block\_transfer= block\_transfer+1

        for each tuple\_outer in buffer of r1

            for each tuple\_inner in block of r2

- Total blocks transfers :  $br + br * (bs / 102 - 2) = 2000 + 2000 * (3000 / 100) = 2000 + 60000 = 62000$
- Seeks:  $br * bs + br = 2000 * 3000 + 2000 = 6002000$

## 2. 2PL:

2-2

T1	T2	T3	T4
Lock-S (B)	Lock-S (B)		
Read (B)	Read (B)		
Lock-X (C)	Lock-S (A)		
Read (C)	Read (A)		
Write (C)	Unlock (B)		
Unlock (C)	Unlock (A)		
Unlock (B)		Lock-X (A)	Lock-S (c)
		Write (A)	Read (C)
		Lock-X (B)	
		Write (B)	
		Unlock (A)	
		Unlock (B)	Lock-S (A)
			Read (A)
			Unlock (C)
			Unlock (A)

Minimum time is 15s.

## 2-2-2

a.

**T1** 開始並鎖定了 **A**（排他鎖定），然後讀取 **A**，接著請求對 **C** 的共享鎖定。

**T2** 鎖定了 **B**（排他鎖定），然後讀取 **B**，接著請求對 **A** 的共享鎖定。

**T3** 鎖定了 **C**（排他鎖定），然後讀取 **C**，接著請求對 **B** 的共享鎖定。

從這個情況中，我們可以看到以下死鎖循環：

- **T1** 在等待 **T3** 釋放 **C**。
- **T3** 在等待 **T2** 釋放 **B**。
- **T2** 在等待 **T1** 釋放 **A**。

這形成了一個經典的死鎖循環，其中每個事務都在等待一個由另一個事務持有的資源，而這些事務又相互等待對方，形成了一個無法打破的等待循環。沒有一個事務能夠進行下去，因為它們都在等待其他事務釋放資源。



b.

T1	T2	T3
Lock-x (A)		
Read (A)		
		Lock-X (C)
		Read (C)
Wait for T3		
Wait for T3		
	(Abort)	
		Lock-S (B)
		Read (B)
Wait for T3		
Wait for T3		
Wait for T3		
	Write (B)	
	Unlock (B)	
	Unlock (A)	
		Write (C)
		Unlock (C)
		Unlock (B)
Lock-s (C)		
Read (C)		
Write (A)		
Unlock (A)		
Unlock (C)		
	(T2 restart)	
	Lock-X (B)	
	Read (B)	
	Lock-s (A)	
	Read (A)	
	Write (B)	
	Unlock (B)	
	Unlock (A)	

c.

T1	T2	T3
Lock-x (A)		
Read (A)		
	Lock-X (B)	
	Read (B)	
Lock-s (C)		(Abort)
Read (C)		
	Wait for T1	
	Wait for T1	
Write (A)		
Unlock (A)		
Unlock (C)		
	Wait for T1	
	Wait for T1	
	Wait for T1	
	Lock-s (A)	
	Read (A)	
	Write (B)	
	Unlock (B)	
	Unlock (A)	
		(T3 restart)
		Lock-X (C)
		Read (C)
		Lock-s (B)
		Read (B)
		Write (C)
		Unlock (C)
		Unlock (B)

d.

T1	T2	T3
Lock-x (A)		
Read (A)		
		Lock-X (C)
		Read (C)
	Lock-X (B)	
	Read (B)	
Lock-s (C) (abort)		
Read (C)		
	Lock-s (A) (abort)	
	Read (A)	
		Lock-S (B)
		Read (B)
Write (A)		
Unlock (A)		
Unlock (C)		
	Write (B)	
	Unlock (B)	
	Unlock (A)	
		Write (C)
		Unlock (C)
		Unlock (B)