# Group Theory(2)

Normal Subgroup, Homomorphism, and Permutation Group

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- Review
  - Permutation Group

Review

- Normal Subgroup and Quotient Group
- Fundamental Theorem over Homomorphism
- 2 Problem Set
  - Permutation Group
  - Normal Subgroup and Quotient Group
  - Fundamental Theorem over Homomorphism
- 3 Applications and Extension(Optional)
  - Permutation Group Behind 15-Puzzle

### Outline

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## Permutation Group

### 概念之间的关系辨析:

#### For $X \neq \emptyset$ :

- 对称群( $Symmetric\ group$ ): 非空集合X上的一一变换关 于合成运算所构成的群 $S_X$ .
- 变换群(Transformation group):  $S_X$ 的任一子群.

### For |X| = n:

- n阶置换(Permutation):X上的一一变换
- n次对称群: S<sub>n</sub>
- 置换群(Permutation group):
   S<sub>n</sub>的子群.

# Cayley Theorem

### (Cayley Theorem, 1854)

每一个群都同构于一个变换群.

每一个有限群都同构与一个置换群.

### 证明要点:

- **1**  $a \in G$ ,  $\phi_a : \phi_a(x) = ax, \forall x \in G$ .  $\phi_a$  is a function.
- ②  $G_I = \{\phi_a \mid a \in G.\}$  关于变换的合成构成 $S_G$ 的子群.

## Cayley Theorem

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### 重要意义:

"Cayley's theorem puts all groups on the same footing, by considering any group (including infinite groups such as (R,+)) as a permutation group of some underlying set.

Thus, theorems which are true for permutation groups are true for groups in general."

## Cayley Theorem

$$a \in G, \phi_a : \phi_a(x) = ax, \forall x \in G.$$

Problem Set

Cayley定理在运算表中的体现:

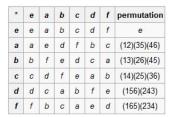


Figure: Cayley Theorem for  $S_3$ 

### Permutation

#### 置换:

X为非空集合,且|X|=n,则其上定义的双射称为X上的n元置 换。

$$e = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix} \quad \alpha = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \quad \beta = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}$$
$$\gamma = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} \quad \delta = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} \quad \varepsilon = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}$$

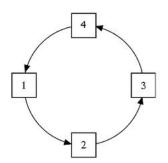
Q: S<sub>3</sub> 是最小的非交换群?

Review

### 轮换:

Review

$$\sigma=(i_1i_2\cdots i_r).$$



### Cycle

#### 轮换的性质:

 $\sigma$ ,  $\tau$  为轮换且不相交,则 $\sigma\tau = \tau\sigma$ .



Figure:  $\sigma \tau = \tau \sigma$ 

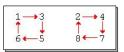
Remember: 轮换也是函数!要证明两个函数相等,可考虑他们对于每个元素的作用是相同的。通常,需要对元素进行适当分类。

# Permutation $\rightarrow$ Cycle

#### 用轮换表示置换:

- (1) 每一个置换可表为一些不相交的轮换的乘积.
- (2) n阶置换的轮换分解式在不计次序的情况下是唯一的.

$$\begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 7 & 6 & 8 \\ 3 & 4 & 5 & 7 & 6 & 8 & 1 & 2 \end{pmatrix} = (1356)(2478)$$



## Permutation $\rightarrow$ Transposition

#### 对换:

每一置换都可表为对换的乘积.

$$\sigma=(i_1i_2\cdots i_r)=(i_1i_2)(i_1i_3)\cdots (i_1i_r).$$

Q: 置换的对换表示是唯一的吗?如果不唯一,在不同的表示方式中有什么性质是保持不变的(不变式!!!)吗?

## Permutation $\rightarrow$ Transposition

### **Parity of Permutation**

每一个置换表为对换的乘积,所用对换个数的奇偶性是唯一的.

### 证明要点:

Insight:  $\sigma$ 的对换表示中对换个数与排列 $i_1, i_2, \cdots, i_n$ 的逆序数同奇偶(唯一).

群同态:

$$\mathrm{sgn}: \mathcal{S}_n \to \{-1,1\}.$$

## Permutation $\rightarrow$ Transposition

#### 计数:

 $n \ge 2$ 阶置换中,偶置换与奇置换各有 $\frac{n!}{2}$ 个.

- $f: A_n \to B_n: p \in A_n, f(p) = (a_1, a_2)p$ .
- $g: B_n \to A_n: p \in B_n, g(p) = (a_1, a_2)p$ .

### n次交错群(Alternating group)

在 $S_n$ 中,全体偶置换构成 $S_n$ 的子群,称为n次交错群.

举例:

$$A_3 = \{(1), (123), (132)\}.$$

Q: 为什么不研究全体奇置换的集合?

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# Normal Subgroup

#### 正规子群:

$$(H,*) \triangleleft (G,*) : (H,*) \leq (G,*), (\forall a \in G)(aH = Ha).$$

特别提醒: $\forall h \in H, \exists h' \in H, gh = h'g(g \in G)$ .

### 举例:

- 平凡群({e},\*)和G是正规子群。((∀a∈G)(aG = G = Ga).)
- 交换群G的一切子群都是G的正规子群。
- 群G的中心C是G的正规子群。 ( $C = \{a \mid a \in G \land \forall x \in G(ax = xa)\}.$ )

# Normal Subgroup

### 正规子群判定定理:

G为群, H为G的子群, 则:

$$H \triangleleft G \Leftrightarrow (\forall g \in G, \forall h \in H)(ghg^{-1} \in H).$$

### 商群:

设G是群,H是G的正规子群、则H的所有陪集组成的集合

$$G/H = \{ Ha \mid a \in G \}.$$

关于陪集的乘法运算(Ha)·(Hb) = H(ab)构成群, 称为G关 干H的商群。

正规子群的陪集集合提供了看待群的一种视角。一种视角就是一 种抽象。它与普通子群的抽象的区别在干它保持了运算。

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- ① 运算的封闭性(Ha·Hb = H(ab) ∈ G/H.)
- ② 结合律((Ha · Hb) · Hc = Ha · (Hb · Hc).)
- ③ 单位元(He = H.)
- ④ 逆元((Ha)<sup>-1</sup> =  $Ha^{-1}$ .)

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- ② 结合律((Ha · Hb) · Hc = Ha · (Hb · Hc).)
- 单位元(He = H.)
- 4 逆元((Ha)<sup>-1</sup> =  $Ha^{-1}$ .)

Q: 此证明哪里体现了正规子群的必要性?

如果没有. 为什么只考虑在正规子群之上定义商群呢?

### 良定义(well-defined)的运算:

$$(Ha) \cdot (Hb) = H(ab).$$

要求: H的任意两个陪集Ha, Hb的乘积是唯一确定的,与陪集代表元的选取无关

$$Ha' = Ha, Hb' = Hb,$$
  
 $Ha' \cdot Hb' = H(a'b') = (Ha')b' = (Ha)b' = (aH)b'$ 

$$= a(Hb') = a(Hb) = (aH)b = (Ha)b = H(ab) = (Ha) \cdot (Hb).$$

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$$= a(Hb') = a(Hb) = (aH)b = (Ha)b = H(ab) = (Ha) \cdot (Hb).$$

### 举例:

在群
$$S_3$$
中, $H = \{(1), (1,2)\}.$ 

$$H(1,3) = \{(1,3), (1,3,2)\} = H(1,3,2).$$

$$H(2,3) = \{(2,3), (1,2,3)\} = H(1,2,3).$$

然而, 
$$H(1,3) \cdot H(2,3) = H(1,2,3)$$
.

$$H(1,3,2) \cdot H(1,2,3) = H(1).$$



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### Homomorphism

同态:

$$\phi: G \rightarrow G'$$
:

$$\phi(ab) = \phi(a)\phi(b).$$

同态保持了群双方的运算性质. 同态是一种抽象过程, 忽略了等价类中个体之差异, 只考虑共有之特性.

$$\begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} \Longrightarrow -1 \qquad \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \Longrightarrow +1$$

$$\begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} \implies -1 \qquad \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} \implies +1$$

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### Homomorphism

G为群, H为G的正规子群,定义自然同态:

$$\sigma: \mathsf{G} o \mathsf{G}/\mathsf{H}.(\mathsf{a} \mapsto \mathsf{Ha}.)$$

$$\sigma: G \to G'.H \leq G, K \leq G'.$$

- **1**  $\sigma(H)$ 是G'的子群.
- ②  $\sigma^{-1}(K)$ 是G的子群.
- ③ 如果H是G的正规子群,则 $\sigma(H)$ 是 $\sigma(G)$ 的正规子群.
- 如果K是G′的正规子群,则σ<sup>-1</sup>(K)是G的正规子群.
- ⑤ Kerσ是G的正规子群.

### 群同态基本定理:

设 $\sigma$ 是群G到群G'的满同态,  $K = Ker\sigma$ , 则

$$G/K \cong G'$$
.

- 从同构的观点看, 群的同态像就是群的商群.
- 同态核可以看作是群与其同态像之间相似度的一个度量.

### Fundamental Thorem over Homomorphism

### 两个简单的例子:

$$\phi: Z \to Z_n$$
:

Review

$$a\mapsto \bar{a}$$
.

$$Z/\langle n\rangle \cong Z_n$$
.

$$G = \{ \alpha = (a, b) \mid a, b \in R \},\ \bar{G} = \{ a \mid a \in R \}.\ \phi : (a, b) \mapsto a, \forall (a, b) \in G.$$

$$Ker \varphi = \{(0,y)|y \in R\} = N (即 Y 轴上的所有点).$$

$$G/{\rm Ker}\varphi = \{(a,0)+N|a\in R\} = \{[0],[a],[b],[c],\cdots\}$$



### Fundamental Thorem over Homomorphism

### 利用群同态基本定理证明商群同构:

(1) 第一同构定理:  $设 \phi$  是群 G 到 G' 的同态.则

$$G/\operatorname{Ker}\phi\cong\phi(G).$$

(2) 第二同构定理: 设H ≤ G, K ⊲ G, 则

$$HK/K \cong H/(H \cap K)$$
.

(3) 第三同构定理:设H ⊲ G, K ⊲ G, K ⊆ H, 则

$$G/H \cong (G/K)/(H/K)$$
.



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# Permutation Group

#### 试证明:

$$\tau = \left(\begin{array}{cccc} 1 & 2 & \cdots & n \\ k_1 & k_2 & \cdots & k_n \end{array}\right).$$

则对任一n阶置换 $\sigma$ ,有

$$\sigma^{-1}\tau\sigma = \left(\begin{array}{ccc} \sigma(1) & \sigma(2) & \cdots & \sigma(n) \\ \sigma(k_1) & \sigma(k_2) & \cdots & \sigma(k_n) \end{array}\right).$$

解答: 置换是函数。如何证明函数相等?

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解答: 置换是函数。如何证明函数相等?

Q: What if  $\tau = (a_1, a_2, \dots, a_k)$ ?

# Permuation Group

### 试验证:

$$(i_k a \cdots b), (i_n c \cdots d)$$
不相交,则

$$(i_k,i_n)(i_k,a,\cdots,b)(i_n,c,\cdots,d)=(i_k,a,\cdots,b,i_n,c,\cdots,d).$$

$$(i_k,i_n)(i_k,a,\cdots,b,i_n,c,\cdots,d)=(i_k,a,\cdots,b)(i_n,c,\cdots,d).$$

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$$(i_k,i_n)(i_k,a,\cdots,b,i_n,c,\cdots,d)=(i_k,a,\cdots,b)(i_n,c,\cdots,d).$$

简单介绍另一种 "置换可表为不相交轮换之积"的证明方法。

## Permutation Group

已知
$$\sigma^3 = (1, 4, 3, 7, 5, 6, 2)$$
,求 $\sigma$ .

解答:

# Permutation Group

已知
$$\sigma^3 = (1, 4, 3, 7, 5, 6, 2)$$
,求 $\sigma$ .

### 解答:

$$\sigma = (1, 6, 7, 4, 2, 5, 3).$$

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#### 请证明:

在 $S_4$ 中,令

$$K = \{(1), (1,2)(3,4), (1,3)(2,4), (1,4)(2,3)\}.$$

K是 $S_4$ 的正规子群.

### 提示:

$$\sigma^{-1}\tau\sigma = \begin{pmatrix} \sigma(1) & \sigma(2) & \cdots & \sigma(n) \\ \sigma(k_1) & \sigma(k_2) & \cdots & \sigma(k_n) \end{pmatrix}.$$

#### 请证明:

设H, K都是G的子群。如果H ⊲ G且H  $\subset$  K, 则H ⊲ K。

#### 请证明:

 $\sigma: G \to G'$ , 为同态映射, H < G, K < G'.

- σ(H)是G'的子群.
- ②  $\sigma^{-1}(K)$ 是G的子群.
- **3** 如果H是G的正规子群,则 $\sigma(H)$ 是 $\sigma(G)$ 的正规子群.
- 如果K是G′的正规子群,则σ<sup>-1</sup>(K)是G的正规子群.
- ⑤ Kerσ是G的正规子群.

### 请证明:

设G为群, H1, H2为G的正规子群。则  $H_1 \cap H_2$ .  $H_1 H_2$  都是 G的正规子群。

Q: 如果 $H, K \leq G, \neg (H, K \triangleleft G)$ ?

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应用(apply)群同态基本定理证明与商群相关的同构关系的例题,请参见文件《离散数学习题解析第八周》。该文件已上传至教学网站。

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Figure: The starting position for the 15-puzzle.



Figure: The unsolvable case for the 15-puzzle.

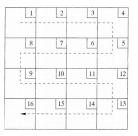


Figure: The dashed line and the numbers indicate a special ordering of the cells.

### 使用置换群建模:

Placement: blocks  $\rightarrow$  cells.

Problem: placements 变化繁多.

More Insight: "以不变应万变"

#### Slot:

block *i* 在cell *j*处:

如果blank 在cell k > j处,则称"block i is in slot j";

否则, 称"block i is in slot (j-1)".

Configuration:

$$[a_1, a_2, \cdots, a_{15}].$$

Q: Where is the blank block in the config.?

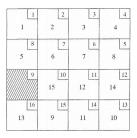


Figure: An example for configuration.

#### 考察基本元素: Moves

$$\sigma_{1,8} = (1, 2, 3, 4, 5, 6, 7)$$

$$\sigma_{2,7} = (2, 3, 4, 5, 6)$$

$$\sigma_{3,6} = (3, 4, 5)$$

$$\sigma_{5,12} = (5, 6, 7, 8, 9, 10, 11)$$

$$\sigma_{6,11} = (6, 7, 8, 9, 10)$$

$$\sigma_{7,10} = (7, 8, 9)$$

$$\sigma_{9,16} = (9, 10, 11, 12, 13, 14, 15)$$

$$\sigma_{10,15} = (10, 11, 12, 13, 14)$$

$$\sigma_{11,14} = (11, 12, 13)$$

$$\sigma_{n,n+1} = id_1, n = 1, 2, \dots, 15$$

$$\sigma_{j,j} = \sigma_{j,i}^{-1} \text{ for all relevant } i > j$$

Figure: Moving the blank from cell i to j effects

C = [1, 2, 3, 4, 8, 7, 6, 5, 14, 12, 13, 10, 15, 11, 9]. the permutation  $\sigma_{i,j}$ .

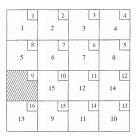


Figure: An example for configuration.

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$$\sigma_{3,6} = (3,4,5)$$

$$\sigma_{5,12} = (5,6,7,8,9,10,11)$$

$$\sigma_{6,11} = (6,7,8,9,10)$$

$$\sigma_{7,10} = (7,8,9)$$

$$\sigma_{9,16} = (9,10,11,12,13,14,15)$$

$$\sigma_{10,15} = (10,11,12,13,14)$$

$$\sigma_{11,14} = (11,12,13)$$

$$\sigma_{n,n+1} = id_1, n = 1,2,...,15$$

$$\sigma_{j,j} = \sigma_{j,i}^{-1} \text{ for all relevant } i > j$$

Figure: Moving the blank from cell i to j effects

C = [1, 2, 3, 4, 8, 7, 6, 5, 14, 12, 13, 10, 15, 11, 9]. the permutation  $\sigma_{i,j}$ .

Identifying the subgroup of  $S_{15}$  generated by these 18 cycles!



### It is actually $A_{15}$ !

#### 非完全严格证明:

- ① The 18 cycles generate all consecutive 3-cycles in  $S_{15}$ .
- 2 The consecutive 3-cycles generate all cycles in  $S_n (n \ge 3)$ .
- The 3-cycles generate  $A_n (n \ge 3)$ .

$$(1,2,\ldots,7)^{-n}(3,4,5)(1,2,\ldots,7)^n$$
 yields  $(1,2,3),\ldots,(5,6,7)$ ;  $(5,6,\ldots,11)^{-n}(7,8,9)(5,6,\ldots,11)^n$  yields  $(5,6,7),\ldots,(9,10,11)$  at  $(9,10,\ldots,15)^{-n}(11,12,13)(9,10,\ldots,15)^n$  yields  $(9,10,11),\ldots,(13,14)$ 

$$(a,b)(c,d) = (a,b,c)(a,d,c)$$
 (1)

$$(a,b)(b,c) = (a,c,b)$$
 (2)

$$(a,b)(a,b) = Id \tag{3}$$

# That's the end. Thank you.



Figure: Bring Up a Question