

# Parameterized and Runtime-tunable Snapshot Isolation in Distributed Transactional Key-value Stores

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## RVSI: Relaxed Version Snapshot Isolation

- 1 Motivation for RVSI
- 2 Definition of RVSI
- 3 CHAMELEON Prototype and RVSI Protocol
- 4 Experimental Evaluation
- 5 Related Work
- 6 Conclusion

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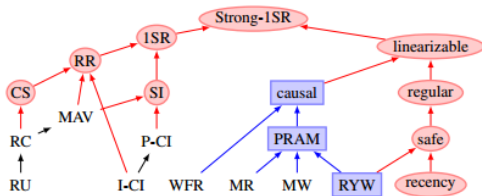
## Distributed key-value stores:



`put(K key, V val)`      `get(K key)`

Transactions are performed on a group of keys in an “all-or-none” way.

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Transactional consistency models (from [Bailis@VLDB'14])

Snapshot isolation (SI [\[Berenson@SIGMOD'95\]](#), [\[Adya@Thesis'99\]](#)):

- ▶ **Read** from the “latest” snapshot as of the time the transaction started
- ▶ No **write**-conflicting concurrent transactions

Reading the “latest” in a distributed setting  
often requires intensive coordinations.

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<sup>1</sup>GSI: Generalized Snapshot Isolation [Elnikety@SRDS'05]

<sup>2</sup>NMSI: Non-Monotonic Snapshot Isolation [Ardekani@SRDS'13]

<sup>3</sup>PL-FCV: Forward Consistent View [Aday@Thesis'99]

<sup>4</sup>PSI: Parallel Snapshot Isolation [Sovran@SOSP'11]



Reading the “latest” in a distributed setting often requires intensive coordinations.

Relaxed variants of (distributed) SI:

GSI<sup>1</sup>: allows to read from “older” snapshots

NMSI<sup>2</sup>: allows to observe non-monotonically ordered snapshots

PL-FCV<sup>3</sup>: allows a transaction to observe the updates of transactions that commit after it started

PSI<sup>4</sup>: causal ordering of transactions across sites

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Two possible drawbacks:

1. Unbounded inconsistency

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- ▶ no specification of the severity of the anomalies w.r.t SI

2. Untunable at runtime

- ▶ determined at the system design phase
- ▶ remain unchanged once the system is deployed

An online bookstore application <sup>1</sup> for motivating  
“bounded inconsistency” and “runtime-tuable”:

Title	Authors	Sales	Inventory	Ratings	Reviews	...
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<sup>1</sup>Adapted from [Guo@SIGMOD'04] and [Bernstein@SIGMOD'06].

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Customer ( $T_1$ ): Obtaining the basic info. about a book  
 ▶ *out-of-date* reviews

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Customer ( $T_1$ ): Obtaining the basic info. about a book

- ▶ *out-of-date* reviews

Bookstore Clerk ( $T_2$ ): Checking the inventory of a book

- ▶ inventory updated by concurrent transactions committed *after*  $T_2$  starts

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- ▶ inventory updated by concurrent transactions committed *after*  $T_2$  starts

Sales Analyst ( $T_3$ ): Studying sales vs. ratings of a book

- ▶ sales and ratings from *separate snapshots*

<sup>1</sup>Adapted from [Guo@SIGMOD'04] and [Bernstein@SIGMOD'06].

The idea of “parameterized and runtime-tunable snapshot isolation”.

RVSI: Relaxed Version Snapshot Isolation

$k_1$ -BV:  $k_1$ -version bounded *backward* view

$k_2$ -FV:  $k_2$ -version bounded *forward* view

$k_3$ -SV:  $k_3$ -version bounded *snapshot* view

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CHAMELEON prototype: distributed transactional key-value store

- ▶ Achieves RVSI
- ▶ Allows each transaction to tune its consistency level at runtime

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CHAMELEON prototype: distributed transactional key-value store

- ▶ Achieves RVSI
- ▶ Allows each transaction to tune its consistency level at runtime
- ▶ Deployed on Aliyun <sup>1</sup>
- ▶ Evaluates the impacts of RVSI on the transaction abort rates

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# Parameterized and Runtime-tunable Snapshot Isolation

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Transaction  $T_i$ :  $s_i \ (r_i/w_i)^+ \ c_i/a_i$

$s_i$ : start operation

$r_i/w_i$ : read/write operation

$c_i/a_i$ : commit/abort operation

Transaction  $T_i$ :  $s_i \ (r_i/w_i)^+ \ c_i/a_i$

$s_i$ : start operation

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$c_i/a_i$ : commit/abort operation

$x_i$ : version  $i$  of data item  $x$  written by  $T_i$

$r_i(x_j)$ : transaction  $T_i$  reading  $x_j$

$w_i(x_i)$ : transaction  $T_i$  writing  $x_i$

History: modelling an execution of a transactional key-value store

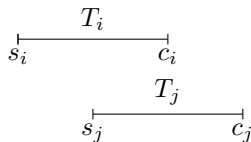
- ▶ *time-precedes partial order*  $\prec_h$  over operations

History: modelling an execution of a transactional key-value store

- ▶ *time-precedes partial order*  $\prec_h$  over operations

Two transactions are *concurrent* if

$$s_i \prec_h c_j \wedge s_j \prec_h c_i$$



A history  $h$  is in *snapshot isolation* iff it satisfies [Adya@Thesis'99]

**Snapshot Read:** All reads of transaction  $T_i$  occur at  $T_i$ 's start time.

**Snapshot Write:** No concurrent committed transactions may write the same data item. (WCF: write-conflict freedom)



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**Snapshot Read:** All reads of transaction  $T_i$  occur at  $T_i$ 's start time.

$$\forall r_i(x_{j \neq i}), w_{k \neq j}(x_k), c_k \in h : \\ (c_j \in h \wedge c_j \prec_h s_i) \wedge (s_i \prec_h c_k \vee c_k \prec_h c_j).$$

**Snapshot Write:** No concurrent committed transactions may write the same data item. (WCF: write-conflict freedom)

$$\forall w_i(x_i), w_{j \neq i}(x_j) \in h \implies (c_i \prec_h s_j \vee c_j \prec_h s_i).$$

## Principles of RVSI:

- ▶ Using parameters  $(k_1, k_2, k_3)$  to control the severity of the anomalies w.r.t SI

---

<sup>1</sup>RC: Read Committed isolation.

## Principles of RVSI:

- ▶ Using parameters  $(k_1, k_2, k_3)$  to control the severity of the anomalies w.r.t SI
- ▶  $RC^1 \supset RVSI(k_1, k_2, k_3) \supset SI$
- ▶  $RVSI(\infty, \infty, \infty) = RC$        $RVSI(1, 0, *) = SI$

---

<sup>1</sup>RC: Read Committed isolation.

*Each transaction reads data from the “latest” snapshot as of the time the transaction started.*

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RVSI relaxes “Snapshot Read” in three ways:

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staleness  $\leq k_1$

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$k_1$ -BV (Backward View): “stale” data versions staleness  $\leq k_1$

$k_2$ -FV (Forward View): “concurrent” data versions forward level  $\leq k_2$

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RVSI relaxes “Snapshot Read” in three ways:

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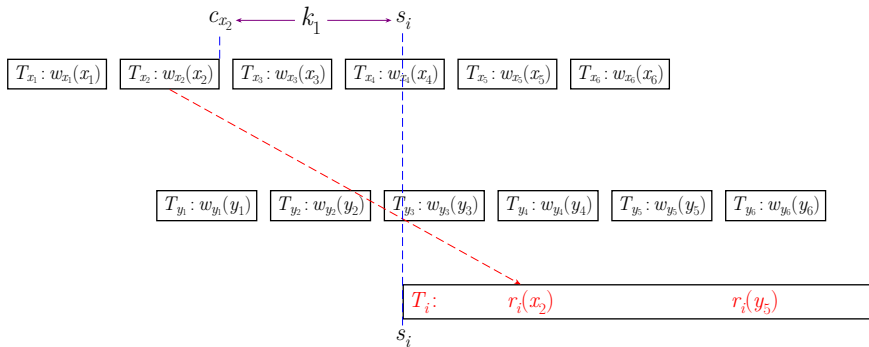
$k_3$ -SV (Snapshot View): “non-snapshot” data versions distance  $\leq k_3$

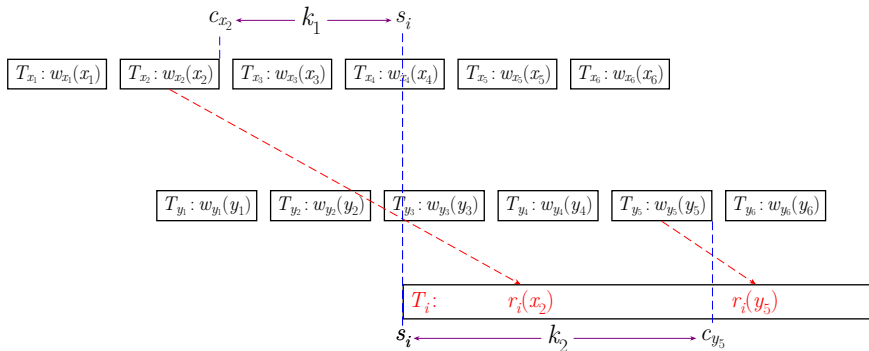
$$\boxed{T_{x_1} : w_{x_1}(x_1)} \quad \boxed{T_{x_2} : w_{x_2}(x_2)} \quad \boxed{T_{x_3} : w_{x_3}(x_3)} \quad \boxed{T_{x_4} : w_{x_4}(x_4)} \quad \boxed{T_{x_5} : w_{x_5}(x_5)} \quad \boxed{T_{x_6} : w_{x_6}(x_6)}$$

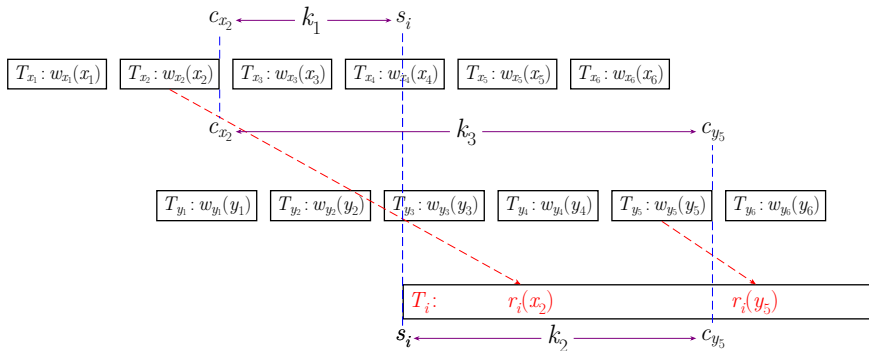
$$\boxed{T_{y_1} : w_{y_1}(y_1)} \quad \boxed{T_{y_2} : w_{y_2}(y_2)} \quad \boxed{T_{y_3} : w_{y_3}(y_3)} \quad \boxed{T_{y_4} : w_{y_4}(y_4)} \quad \boxed{T_{y_5} : w_{y_5}(y_5)} \quad \boxed{T_{y_6} : w_{y_6}(y_6)}$$

$$\boxed{\textcolor{red}{T}_i : \quad \textcolor{red}{r}_i(x_2) \quad \textcolor{red}{r}_i(y_5)}$$









$(k_1\text{-BV})$ 

$$\forall r_i(x_j), w_k(x_k), c_k \in h : \left( c_j \in h \wedge \bigwedge_{k=1}^m (c_j \prec_h c_k \prec_h s_i) \right) \Rightarrow m < k_1,$$

 $(k_2\text{-FV})$ 

$$\forall r_i(x_j), w_k(x_k), c_k \in h : \left( c_j \in h \wedge \bigwedge_{k=1}^m (s_i \prec_h c_k \prec_h c_j) \right) \Rightarrow m \leq k_2,$$

 $(k_3\text{-SV})$ 

$$\forall r_i(x_j), r_i(y_l), w_k(x_k), c_k \in h : \left( \bigwedge_{k=1}^m (c_j \prec_h c_k \prec_h c_l) \right) \Rightarrow m \leq k_3.$$

$$h \in \text{RVSI} \iff h \in k_1\text{-BV} \cap k_2\text{-FV} \cap k_3\text{-SV} \cap \text{WCF}$$

$$\text{RVSI}(\infty, \infty, \infty) = \text{RC} \quad \text{RVSI}(1, 0, *) = \text{SI}$$

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CHAMELEON prototype:

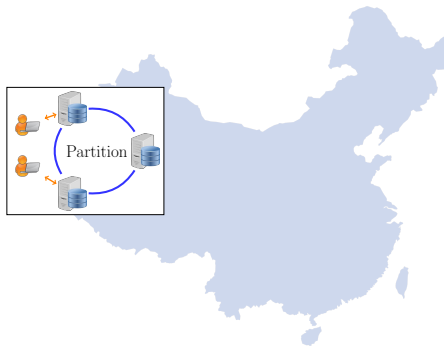
A prototype **partitioned replicated**  
distributed transactional **key-value** store

Classic **key-value** data model

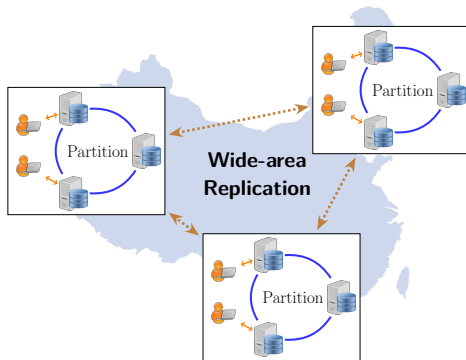
Key: (row key, column key)



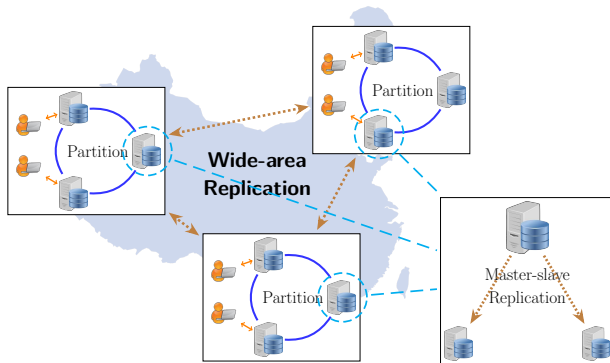




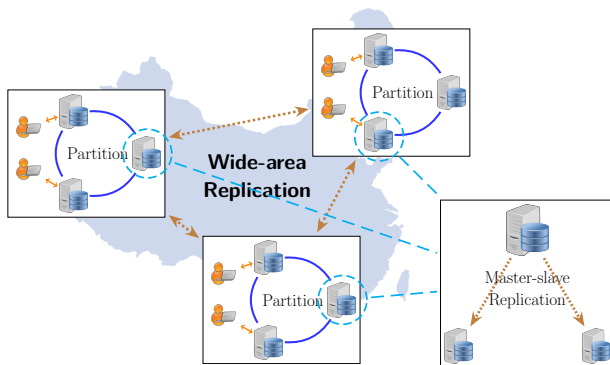
Keys are **partitioned** within a single datacenter.



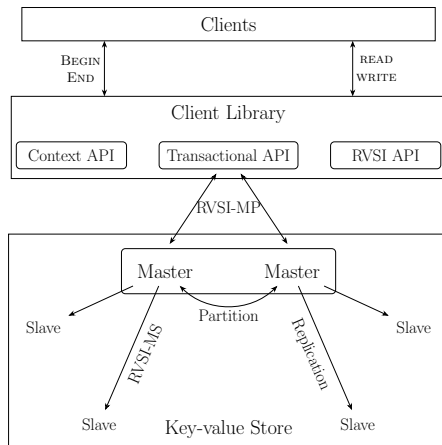
Each key is **replicated** across datacenters

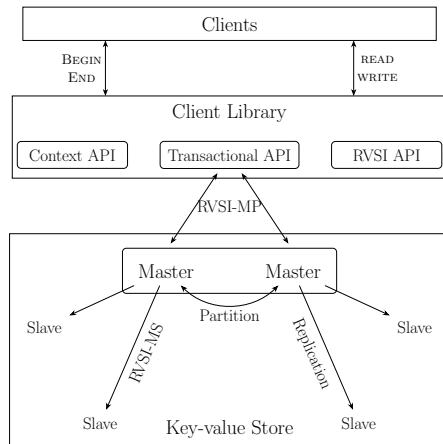


Each key is **replicated** across datacenters in a **master-slave** manner.

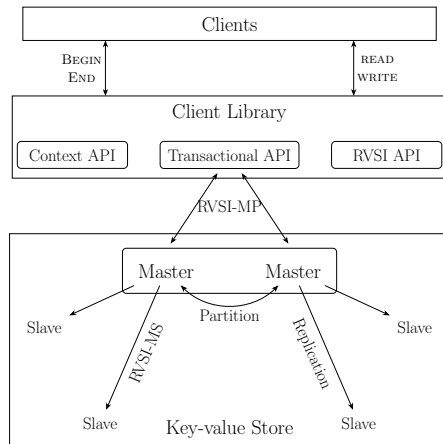


Transactions are first executed and committed on the **masters**, and are then asynchronously propagated to **slaves**.





## 1. Partitioned replicated transactional key-value store



## 2. Client library



## Code snippet for writing RVSI transactions:

```
// Initialize keys (ck1 and ck2) here

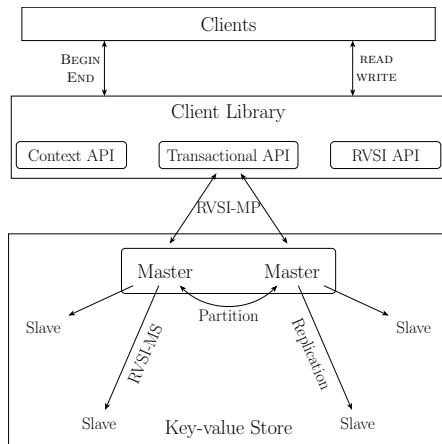
ITx tx = new RVSITx(** context **);

tx.begin();

// Read and write here

// Specify RVSI specs. (e.g., SVSpec)
RVSISpec sv = new SVSpec();
sv.addSpec({ck1, ck2}, 2);
tx.collectRVSISpec(sv);

boolean committed = tx.end();
```



### 3. RVSI protocol: RVSI-MS + RVSI-MP

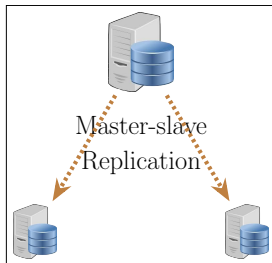
## RVSI-MS: RVSI protocol for master-slave replication

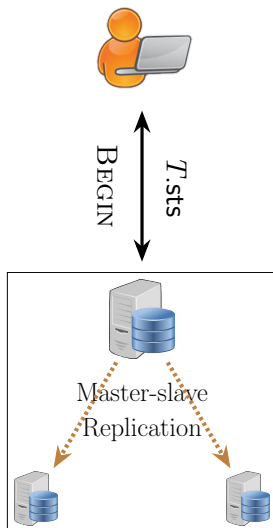
In terms of *event* generation and handling:

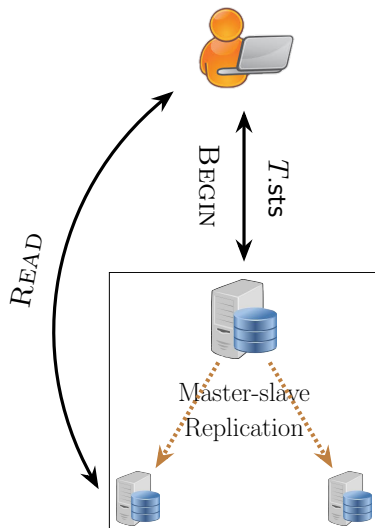
**Clients:** BEGIN, READ, WRITE, END

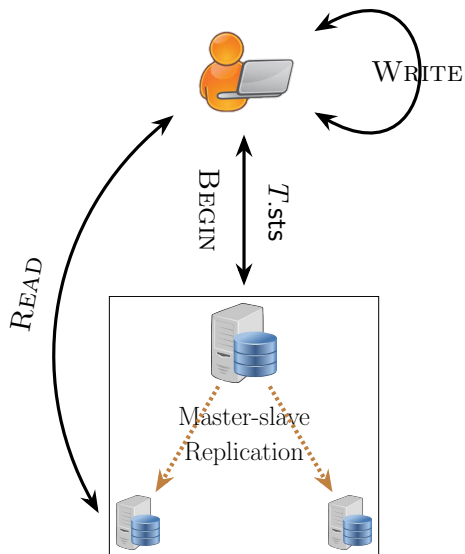
**Master:** START, COMMIT, SEND

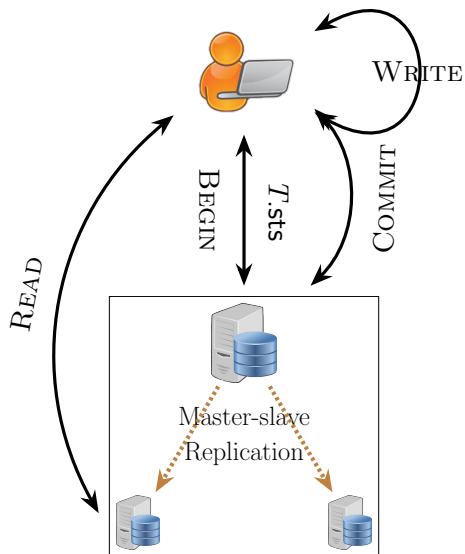
**Slaves:** RECEIVE



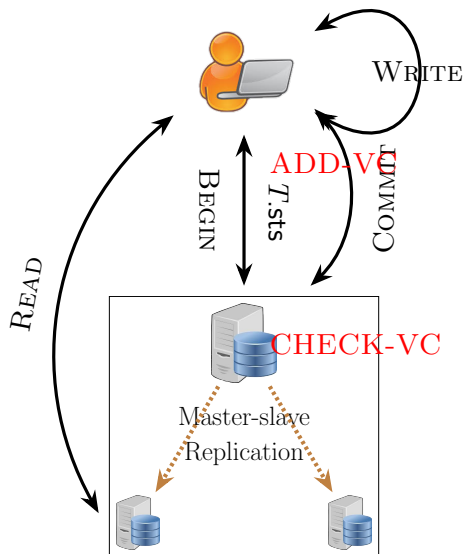












# Calculating version constraints for RVSI:

$\mathcal{O}_x(t) = \#$  of versions of  $x$  before time  $t$

$$r_i(x_j) \in T_i$$

$k_1$ -BV:

$$\mathcal{O}_x(T_i.sts) - \mathcal{O}_x(T_j.cts) < k_1$$

$k_2$ -FV:

$$\mathcal{O}_x(T_j.cts) - \mathcal{O}_x(T_i.sts) \leq k_2$$

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$k_3$ -SV:

$$r_i(x_j), r_i(y_l) \in T_i$$

$$\mathcal{O}_{\textcolor{red}{x}}(T_l.cts) - \mathcal{O}_{\textcolor{red}{x}}(T_j.cts) \leq k_3$$

---

**Algorithm 1** RVSI-MS Protocol for Executing Transaction  $T$  (Client).
 

---

```

1: procedure BEGIN()
2:    $T.sts \leftarrow$  rpc-call START() at master  $\mathcal{M}$ 
3: procedure READ( $x$ )
4:    $x.ver \leftarrow$  rpc-call READ( $x$ ) at any site
5: procedure WRITE( $x, v$ )
6:   add ( $x, v$ ) to  $T.writes$ 
7: procedure END( $T$ )
8:    $T.vc \leftarrow$  ADD-VC()
9:    $c/a \leftarrow$  rpc-call COMMIT( $T.writes, T.vc$ ) at  $\mathcal{M}$ 
  
```

---

**Algorithm 1** RVSI-MS Protocol for Executing Transaction  $T$  (Master).

$\mathcal{M}.ts$ : for start-timestamps and commit-timestamps

$\{x.ver = (x.ts, x.ord, x.val)\}$ : set of versions of  $x$

```

1: procedure START()
2:   return ++ $\mathcal{M}.ts$ 
3: procedure READ( $x$ )
4:   return the latest  $x.ver$  installed
5: procedure COMMIT( $T.writes$ ,  $T.vc$ )
6:   if CHECK-VC( $T.vc$ ) && write-conflict freedom then
7:      $T.cts \leftarrow ++\mathcal{M}.ts$ 
8:     ▷ apply  $T.writes$  locally and propagate it
9:      $T.upvers = \emptyset$                                 ▷ collect updated versions
10:    for  $(x, v) \in T.writes$  do
11:       $x.new-ver \leftarrow (T.cts, ++x.ord, v)$ 
12:      add  $x.new-ver$  to  $\{x.ver\}$  and  $T.upvers$ 
13:    broadcast  $\langle PROP, T.upvers \rangle$  to slaves
14:    return  $c$  denoting “committed”
15:  return  $a$  denoting “aborted”

```

---

**Algorithm 1** RVSI-MS Protocol for Executing Transaction  $T$  (Slave).
 

---

$x.ver = (x.ts, x.ord, x.val)$ : the latest version of  $x$

```

1: procedure READ( $x$ )
2:   return  $x.ver$ 
3: upon RECEIVED( $\langle \text{PROP}, T.upvers \rangle$ )
4:   for  $(x.ver' = (x.ts', x.ord', x.val')) \in T.upvers$  do
5:     if  $x.ord' > x.ord$  then
6:        $x.ver \leftarrow x.ver'$ 
  
```

---

Distributed transactions spanning multiple masters  
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Using the two-phase commit (2PC) protocol [[Bernstein@Book'87](#)].



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Two issues to address

Assumes a timestamp oracle [Peng@OSDI'10]:

**Client:** asks for the start-timestamp in BEGIN

**Coordinator:** asks for the commit-timestamp in COMMIT

Split the RVSI version constraints according to partitions:

$$r_i(x_j) \in T_i$$

$k_1$ -BV:

$$\mathcal{O}_{\textcolor{red}{x}}(T_i.sts) - \mathcal{O}_{\textcolor{red}{x}}(T_j.cts) < k_1$$

$k_2$ -FV:

$$\mathcal{O}_{\textcolor{red}{x}}(T_j.cts) - \mathcal{O}_{\textcolor{red}{x}}(T_i.sts) \leq k_2$$

$k_3$ -SV:

$$r_i(x_j), r_i(y_l) \in T_i$$

$$\mathcal{O}_{\textcolor{red}{x}}(T_l.cts) - \mathcal{O}_{\textcolor{red}{x}}(T_j.cts) \leq k_3$$

All version constraints involve only one data item.

---

**Algorithm 2** RVSI-MP for Executing Transaction  $T$  (Client).
 

---

```

1: procedure BEGIN()
2:   return rpc-call GETTS() at  $\mathcal{T}$ 
3: procedure END()
4:    $T.vc \leftarrow \text{ADD-VC}()$ 
5:    $c/a \leftarrow \text{rpc-call C-COMMIT}(T.writes, T.vc)$  at  $\mathcal{C}$ 
  
```

---

---

**Algorithm 2** RVSI-MP for Executing Transaction  $T$  (Timestamp Oracle).

---

$\mathcal{T}.ts$ : for start-timestamps and commit-timestamps

- 1: **procedure** GETTS()
  - 2:     **return** ++ $\mathcal{T}.ts$
-

---

**Algorithm 2** RVSI-MP for Executing Transaction  $T$  (Coordinator).
 

---

```

1: procedure C-COMMIT( $T.writes$ ,  $T.vc$ )
2:   split  $T.writes$  and  $T.vc$  with the data partitioning strategy
3:    $\triangleright$  the prepare phase:
4:   rpc-call PREPARE( $T.writes$ ,  $T.vc$ ) at each  $\mathcal{M}$ 
5:    $\triangleright$  the commit phase:
6:   if all PREPARE( $T.writes$ ,  $T.vc$ ) return true then
7:      $T.cts \leftarrow$  rpc-call GETTS() at  $\mathcal{T}$ 
8:     rpc-call COMMIT( $T.cts$ ,  $T.writes$ ) at each  $\mathcal{M}$ 
9:   else
10:    rpc-call ABORT() at each  $\mathcal{M}$ 
11:    return  $a$  denoting “aborted”
12:   if all COMMIT( $T.cts$ ,  $T.writes$ ) return true then
13:     return  $c$  denoting “committed”
14:   else
15:     return  $a$  denoting “aborted”
  
```

---

---

**Algorithm 2** RVSI-MP for Executing Transaction  $T$  (Master).
 

---

```

1: procedure PREPARE( $T.writes, T.vc$ )
2:   return CHECK-VC( $T.vc$ ) && write-conflict freedom
3: procedure COMMIT( $T.cts, T.writes$ )
4:   ▷ apply  $T.writes$  locally and propagate it
5: procedure ABORT()
6:   ▷ abort
  
```

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# Parameterized and Runtime-tunable Snapshot Isolation

## RVSI: Relaxed Version Snapshot Isolation

- 1 Motivation for RVSI
- 2 Definition of RVSI
- 3 CHAMELEON Prototype and RVSI Protocol
- 4 Experimental Evaluation**
- 5 Related Work
- 6 Conclusion



Impacts of RVSI specification  
on the *transaction abort rates* in various scenarios

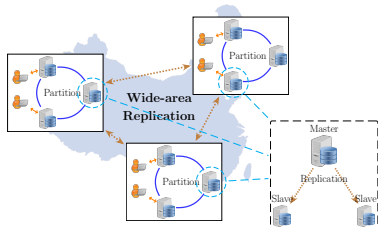
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What about performance?

- ▶ Not done yet in this work
- ▶ RVSI-MS and RVSI-MP protocols in CHAMELEON are simple

## CHAMELEON prototype on Aliyun:

- ▶ 3 datacenters <sup>1</sup>
- ▶ 3 nodes in each datacenter
- ▶ Partition & Replication
- ▶ Clients in our lab <sup>2</sup>



<sup>1</sup>Located in East China, North China, and South China, respectively.

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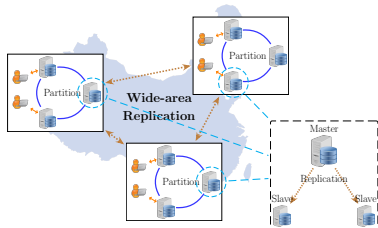
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(One-way) delays among nodes <sup>3</sup>:

Within datacenter: 1 ~ 2ms

Across datacenters: 15 ~ 25ms

Clients to nodes: 15 ~ 20ms



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Three categories of workload parameters for experiments on Aliyun.

Parameter	Value	Explanation
#keys	25 = 5 (rows) $\times$ 5 (columns)	
#clients	5, 10, 15, 20, 25, 30	
#txs/client	1000	
#ops/tx	$\sim$ Binomial(20, 0.5)	
rwRatio	1:2, 1:1, 4:1	#reads/#writes
zipfExponent	1	parameter for Zipfian distribution
minInterval	0ms	min/max/mean inter-transaction time
maxInterval	10ms	
meanInterval	5ms	
$(k_1, k_2, k_3)$	(1,0,0) (1,1,0) (1,1,1) (2,0,0) (2,0,1) (2,1,1)	

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$$h \in \text{RVS} \iff h \in k_1\text{-BV} \cap k_2\text{-FV} \cap k_3\text{-SV} \cap \text{WCF}.$$

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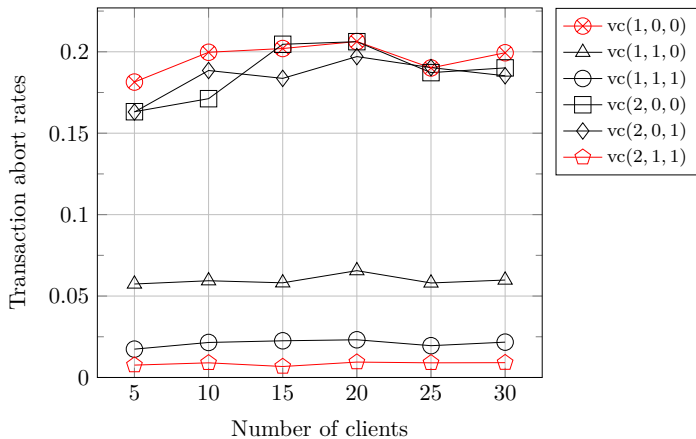
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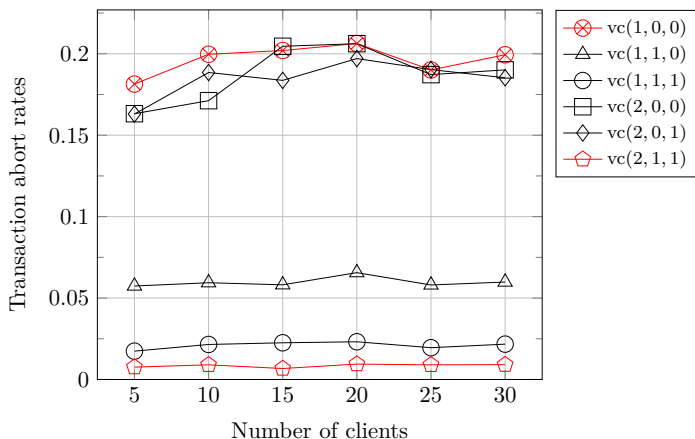
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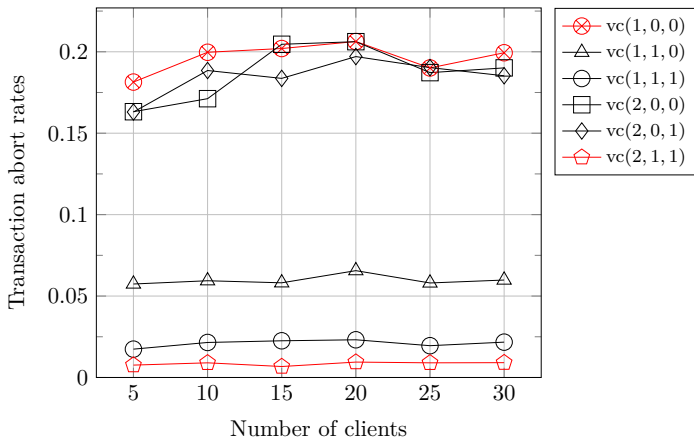
We report the results under the read-frequent workloads <sup>1</sup>.

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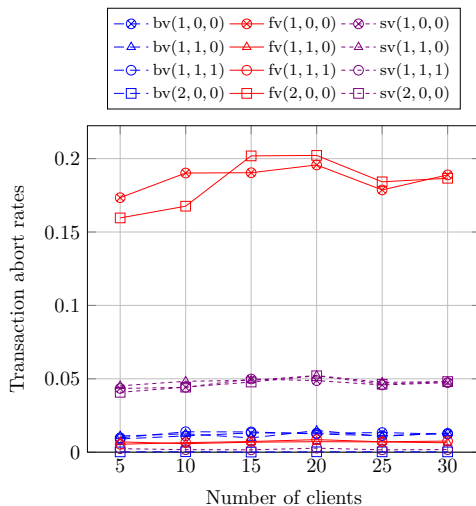


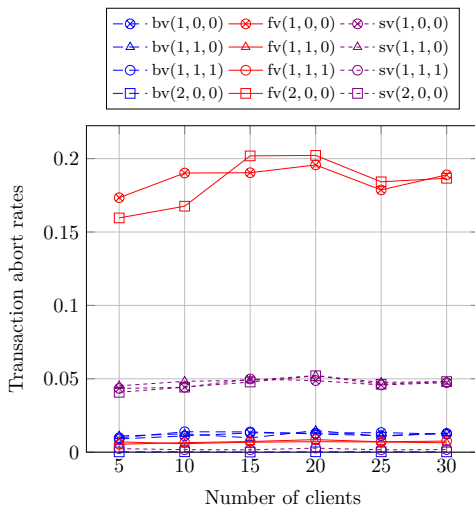
The transaction abort rates due to “vc-aborted”



The transaction abort rates due to “vc-aborted” can be **greatly reduced** by **slightly** increasing the values of  $k_1$ ,  $k_2$ , or  $k_3$ :

$$vc(1, 0, 0) = 0.1994 \implies vc(2, 1, 1) = 0.0091 \quad (\#clients = 30)$$





Most “vc-aborted” transactions abort because of violating  $k_2\text{-FV}$ .

$$fv(1, 0, 0) = 0.1889 \implies fv(2, 0, 0) = 0.1866 \implies fv(1, \mathbf{1}, 0) = 0.0064$$



Question: when does  $k_1$  for  $k_1$ -BV take effect?

It seems that  $k_1$ -BV has *little* impact on the transaction abort rates.

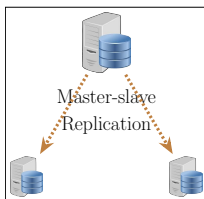
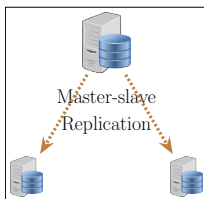
Question: when does  $k_1$  for  $k_1$ -BV take effect?

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It may be the case in the Aliyun scenarios.

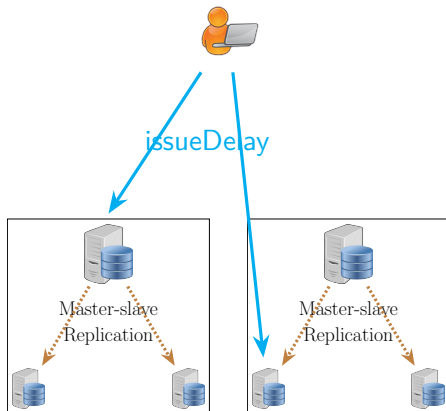
What about other scenarios?

Three types of delays for **controlled experiments** on local hosts.



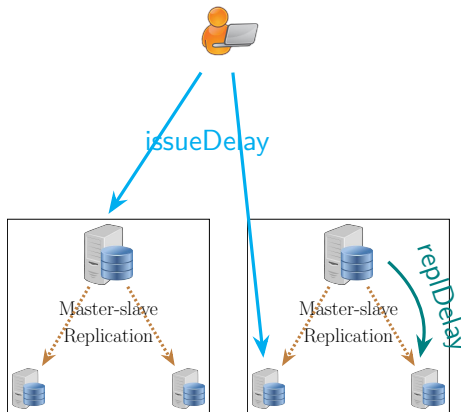
Types	Values (ms)	Explanation
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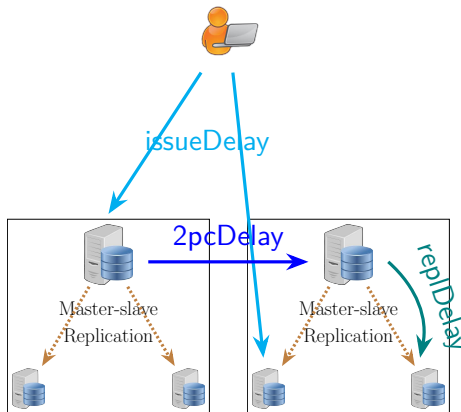
Types	Values (ms)	Explanation
<b>issueDelay</b>	5, 8, 10, 12, 15, 20	delays between clients and replicas

Three types of delays for **controlled experiments** on local hosts.

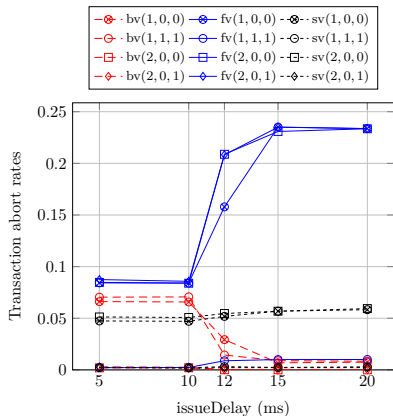


Types	Values (ms)	Explanation
<code>replDelay</code>	5, 10, 15, 20, 30	delays between masters and slaves

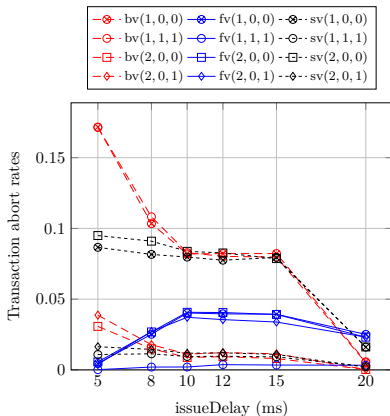
Three types of delays for **controlled experiments** on local hosts.



Types	Values (ms)	Explanation
<b>2pcDelay</b>	10, 20, 30, 40, 50	delays among masters



(Under read-frequent workloads.)



(Under write-frequent workloads.)

When the “issueDelay” gets shorter,  
the impacts of  $k_1$ -BV have begun to emerge.

Generally, RVSI *helps to reduce the transaction abort rates* when applications are willing to tolerate certain anomalies.



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$k_2$ -FV: In the Aliyun scenarios, most transactions have been aborted because of violating  $k_2$ -FV.

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- $k_2$ -FV: In the Aliyun scenarios, most transactions have been aborted because of violating  $k_2$ -FV.
- $k_1$ -BV: In controlled experiments, the impacts of  $k_1$ -BV emerge when the “issueDelay” gets shorter.
- $k_3$ -SV: Complex and challenging (involving multiple data items)

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The idea of “**bounded transactional inconsistency**” is partially inspired by the work on

- ▶ Relaxed Currency and Consistency (C&C) semantics [[Guo@SIGMOD'04](#)]
- ▶ Relaxed Currency Serializability (RC-SR) [[Bernstein@SIGMOD'06](#)]

Two differences:

- ▶ Serializability (SR) vs. SI
- ▶ Currency in real-time vs. Versions in order

Bounded transactional inconsistency (others):

**Epsilon-SR** inconsistency introduced by concurrent update transactions [Pu@SIGMOD'91] [Ramamritham@TKDE'95]

- ▶ uncommitted vs. RC (for RVSI)

**N-ignorant System** ignorant of  $\leq K$  “prior” transactions [Krishnakumar@PODS'91]

- ▶ SR vs. SI (for RVSI)

Dynamic consistency choices:

Parameterized ESR,  $N$ -ignorant, RC-SR, C&C semantics, **RVSI**

Pileus strong, intermediate, and eventual consistency  
[Kotla@MSR-TR'2013]

SIEVE a tool automating the choice of consistency levels  
[Li@ATC'14]  
(based on the theory of RedBlue consistency [Li@OSDI'12])

Salt combining ACID and BASE transactions [Xie@OSDI'14]

Multi-level a transaction model supporting four consistency levels  
[Tripathi@BigData'15]

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The idea of “parameterized and runtime-tunable snapshot isolation”.

RVSI: Relaxed Version Snapshot Isolation

$k_1$ -BV:  $k_1$ -version bounded *backward* view

$k_2$ -FV:  $k_2$ -version bounded *forward* view

$k_3$ -SV:  $k_3$ -version bounded *snapshot* view

CHAMELEON prototype: distributed transactional key-value store

- ▶ Achieves RVSI
- ▶ Allows each transaction to tune its consistency level at runtime
- ▶ Evaluates the impacts of RVSI on the transaction abort rates

Possible future work:

- ▶ Evaluate the impacts of  $k_3$ -SV on transaction abort rates
- ▶ Evaluate the performance of CHAMELEON on realistic workloads
- ▶ Study the impacts of RVSI from the perspective of developers



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