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1 |----- MODULE CC -----|
  TLA+ specification of Causal Consistency variants, including CC, CM, and CCv.
  See the paper “On Verifying Causal Consistency“ (POPL’2017).
8 EXTENDS Naturals, Sequences, FiniteSets, Functions, FiniteSetsExt,
9         RelationUtils, TLC

11 Key  $\triangleq$  Range(“abcdefghijklmnopqrstuvwxyz”) We assume single-character keys.
12 Val  $\triangleq$  Nat We assume values from Nat.
13 InitVal  $\triangleq$  0 We follow the convention in POPL’2017.
14 Oid  $\triangleq$  Nat We assume operation identifiers from Nat.

16 Operation  $\triangleq$  [type : {“read”, “write”}, key : Key, val : Val, oid : Oid]
17 R(k, v, oid)  $\triangleq$  [type  $\mapsto$  “read”, key  $\mapsto$  k, val  $\mapsto$  v, oid  $\mapsto$  oid]
18 W(k, v, oid)  $\triangleq$  [type  $\mapsto$  “write”, key  $\mapsto$  k, val  $\mapsto$  v, oid  $\mapsto$  oid]

20 Session  $\triangleq$  Seq(Operation) A session s  $\in$  Session is a sequence of operations.
21 History  $\triangleq$  SUBSET Session A history h  $\in$  History is a set of sessions.
22 |-----|
  Utility operators for operations.

26 Ops(h)  $\triangleq$  Return the set of all operations in history h  $\in$  History.
27     UNION {Range(s) : s  $\in$  h}

29 ReadOps(h)  $\triangleq$  Return the set of all read operations in history h  $\in$  History.
30     {op  $\in$  Ops(h) : op.type = “read”}

32 ReadOpsOnKey(h, k)  $\triangleq$  Return the set of all read operations on key k  $\in$  Key in history h  $\in$  History.
33     {op  $\in$  Ops(h) : op.type = “read”  $\wedge$  op.key = k}

35 WriteOps(h)  $\triangleq$  Return the set of all write operations in history h  $\in$  History.
36     {op  $\in$  Ops(h) : op.type = “write”}

38 WriteOpsOnKey(h, k)  $\triangleq$  Return the set of all write operations on key k  $\in$  Key in history h  $\in$  History
39     {op  $\in$  Ops(h) : op.type = “write”  $\wedge$  op.key = k}
40 |-----|
  Well-formedness of history h  $\in$  History:
  - TODO: type invariants
  - uniqueness of oids

47 WellFormed(h)  $\triangleq$ 
48      $\wedge$  h  $\in$  History
49      $\wedge$  Cardinality(Ops(h)) = ReduceSet(LAMBDA s, x : Len(s) + x, h, 0)
50 |-----|
  Auxiliary definitions for the axioms used in the definitions of causal consistency

54 The program order of h  $\in$  History is a union of total orders among operations in the same session
55 PO(h)  $\triangleq$  UNION {Seq2Rel(s) : s  $\in$  h}

57 The set of operations that precede o  $\in$  Operation in program order in history h  $\in$  History

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58  $POPast(h, o) \triangleq InverseImage(PO(h), o)$ 
60 The set of operations that precede  $o \in Operation$  in causal order  $co$ 
61  $CausalPast(co, o) \triangleq InverseImage(co, o)$ 
63 The restriction of causal order  $co$  to the operations in the causal past of operation  $o \in Operation$ 
64  $CausalHist(co, o) \triangleq co \mid CausalPast(co, o)$ 
66 The restriction of arbitration  $arb$  to the operations in the causal past of operation  $o \in Operation$ 
67  $CausalArb(co, arb, o) \triangleq arb \mid CausalPast(co, o)$ 
68 |-----|
    Axioms used in the definitions of causal consistency
72  $RWRegSemantics(seq, o) \triangleq$  Is  $o \in Operation$  legal when it is appended to  $seq$ 
73   IF  $o.type = \text{"write"}$  THEN TRUE
74   ELSE LET  $wseq \triangleq SelectSeq(seq, LAMBDA op : op.type = \text{"write"} \wedge op.key = o.key)$ 
75     IN IF  $wseq = \langle \rangle$  THEN  $o.val = InitVal$ 
76     ELSE  $o.val = wseq[Len(wseq)].val$ 
78  $AxCausalValue(co, o) \triangleq$ 
79   LET  $seqs \triangleq AllLinearExtensions(CausalHist(co, o), CausalPast(co, o))$ 
80   IN TRUE  $\in \{RWRegSemantics(seq, o) : seq \in seqs\}$  TODO: shortcut implementation of anyTrue for efficiency
82  $AxCausalArb(co, arb, o) \triangleq$ 
83   LET  $seq \triangleq AnyLinearExtension(CausalArb(co, arb, o), CausalPast(co, o))$  it is unique
84   IN  $RWRegSemantics(seq, o)$ 
85 |-----|
    Specification of  $CC$ 
89  $CC(h) \triangleq$  Check whether  $h \in History$  satisfies  $CC$  (Causal Consistency)
90   LET  $ops \triangleq Ops(h)$ 
91   IN  $\exists co \in SUBSET (ops \times ops) :$  TODO: to generate (given a chain decomposition)
92      $\wedge Respect(co, PO(h))$  AxCausal
93      $\wedge IsStrictPartialOrder(co, ops)$ 
94      $\wedge PrintT(\text{"co: " } \circ ToString(co))$ 
95      $\wedge \forall o \in ops : AxCausalValue(co, o)$  AxCausalValue
96 |-----|
    Specification of  $CCv$ 
    To generate possible ordering relations, not to enumerate and test them
104  $CCv(h) \triangleq$  Check whether  $h \in History$  satisfies  $CCv$  (Causal Convergence)
105   LET  $ops \triangleq Ops(h)$ 
106   IN  $\exists co \in SUBSET (ops \times ops) :$  TODO: to generate (given a chain decomposition)
107      $\wedge Respect(co, PO(h))$  AxCausal
108      $\wedge IsStrictPartialOrder(co, ops)$ 
109      $\wedge PrintT(\text{"co: " } \circ ToString(co))$ 
110      $\wedge \exists arb \in \{Seq2Rel(le) : le \in AllLinearExtensions(co, ops)\} :$  AxArb
111      $\wedge \forall o \in ops : AxCausalArb(co, arb, o)$  AxCausalArb

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112       $\wedge \text{PrintT}(\text{"arb: "} \circ \text{ToString}(\text{arb}))$ 
Version 2: re-arrange clauses
116  $CCv2(h) \triangleq$  Check whether  $h \in \text{History}$  satisfies  $CCv$  (Causal Convergence)
117   LET  $ops \triangleq Ops(h)$ 
118   IN  $\exists co \in \text{SUBSET}(ops \times ops) :$  FIXME: efficiency!!!
119      $\wedge \text{Respect}(co, PO(h))$  AxCausal
120      $\wedge \text{IsStrictPartialOrder}(co, ops)$ 
121      $\wedge \text{PrintT}(\text{"co: "} \circ \text{ToString}(co))$ 
122      $\wedge \exists arb \in \text{SUBSET}(ops \times ops) :$  to generate; not to test
123        $\wedge \text{Respect}(arb, co)$  AxArb
124        $\wedge \text{IsStrictTotalOrder}(arb, ops)$ 
125        $\wedge \forall o \in ops : \text{AxCausalArb}(co, arb, o)$  AxCausalArb
126        $\wedge \text{PrintT}(\text{"arb: "} \circ \text{ToString}(arb))$ 

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Version 1: Following the definition of POPL2017

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130  $CCv1(h) \triangleq$  Check whether  $h \in \text{History}$  satisfies  $CCv$  (Causal Convergence)
131   LET  $ops \triangleq Ops(h)$ 
132   IN  $\exists co \in \text{SUBSET}(ops \times ops) :$  FIXME: efficiency!!!
133      $\wedge \exists arb \in \text{SUBSET}(ops \times ops) :$ 
134        $\wedge \text{PrintT}(\text{"co: "} \circ \text{ToString}(co))$ 
135        $\wedge \text{PrintT}(\text{"arb: "} \circ \text{ToString}(arb))$ 
136        $\wedge \text{IsStrictPartialOrder}(co, ops)$ 
137        $\wedge \text{IsStrictTotalOrder}(arb, ops)$ 
138        $\wedge \text{Respect}(co, PO(h))$  AxCausal
139        $\wedge \text{Respect}(arb, co)$  AxArb
140        $\wedge \forall o \in ops : \text{AxCausalArb}(co, arb, o)$  AxCausalArb

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Specification of  $CM$

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145  $CM(h) \triangleq$  Check whether  $h \in \text{History}$  satisfies  $CM$  (Causal Memory)
146   FALSE TODO

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Auxiliary operators used in the checking algorithms: We consider only differentiated histories.

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152  $KeyOf(h) \triangleq$  the set of keys read or written in  $h \in \text{History}$ 
153    $\{op.key : op \in Ops(h)\}$ 

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155  $IsDifferentiated(h) \triangleq$  Is  $h \in \text{History}$  differentiated?
156    $\forall k \in KeyOf(h) :$ 
157     LET  $writes \triangleq WriteOpsOnKey(h, k)$ 
158     IN  $\forall w1 \in writes, w2 \in writes :$ 
159        $\wedge w1.val \neq w2.val$ 
160        $\wedge w1.val \neq InitVal$ 

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Auxiliary relations used in the checking algorithms

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164  $RF(h) \triangleq$  the read-from relation TODO: using infix symbolic operator???
165    $\{\langle w, r \rangle \in WriteOps(h) \times ReadOps(h) : w.key = r.key \wedge w.val = r.val\}$ 

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167  $CO(h) \triangleq$  the *CO* order defined as the transitive closure of the union of  $PO(h)$  and  $RF(h)$   
 168  $TC(PO(h) \cup RF(h))$

170  $CF(h) \triangleq$  the conflict relation  
 171 LET  $co \triangleq CO(h)$   
 172  $rf \triangleq RF(h)$   
 173  $reads \triangleq ReadOps(h)$   
 174  $writes \triangleq WriteOps(h)$   
 175 IN  $\{\langle w1, w2 \rangle \in writes \times writes :$   
 176  $\wedge w1.key = w2.key$   
 177  $\wedge w1.val \neq w2.val$   
 178  $\wedge \exists r \in reads : \langle w1, r \rangle \in co \wedge \langle w2, r \rangle \in rf\}$

All bad patterns defined in *POPL'2017* (see Table 2 of *POPL'2017*)

183  $CyclicCO(h) \triangleq Cyclic(PO(h) \cup RF(h))$

185  $WriteCOInitRead(h) \triangleq$   
 186  $\exists k \in KeyOf(h) :$   
 187  $\exists r \in ReadOpsOnKey(h, k), w \in WriteOpsOnKey(h, k) :$   
 188  $\wedge \langle w, r \rangle \in CO(h)$  *TODO: for efficiency*  
 189  $\wedge r.val = InitVal$

191  $ThinAirRead(h) \triangleq$   
 192  $\exists k \in KeyOf(h) :$   
 193  $\exists r \in ReadOpsOnKey(h, k) :$   
 194  $\wedge r.val \neq InitVal$   
 195  $\wedge \forall w \in WriteOpsOnKey(h, k) : \langle w, r \rangle \notin RF(h)$

197  $WriteCOWrite(h) \triangleq$   
 198  $\exists k \in KeyOf(h) :$   
 199  $\exists w1, w2 \in WriteOpsOnKey(h, k), r1 \in ReadOpsOnKey(h, k) :$   
 200  $\wedge \langle w1, w2 \rangle \in CO(h)$   
 201  $\wedge \langle w2, r1 \rangle \in CO(h)$  *TODO: efficiency*  
 202  $\wedge \langle w1, r1 \rangle \in RF(h)$

204  $CyclicCF(h) \triangleq$   
 205  $Cyclic(CF(h) \cup CO(h))$

207  $WriteHBInitRead(h) \triangleq$  *TODO:*  
 208 FALSE

210  $CyclicHB(h) \triangleq$  *TODO:*  
 211 FALSE

Checking algorithms of *POPL'2017* (see Table 3 of *POPL'2017*)

215  $CCAlg(h) \triangleq$  Checking algorithm for *CC* (Causal Consistency)  
 216  $\wedge \neg CyclicCO(h)$   
 217  $\wedge \neg WriteCOInitRead(h)$

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218       $\wedge \neg \textit{ThinAirRead}(h)$ 
219       $\wedge \neg \textit{WriteCORead}(h)$ 
221       $CCvAlg(h) \triangleq$  Checking algorithm for  $CCv$  (Causal Convergence)
222       $\wedge \neg \textit{CyclicCO}(h)$ 
223       $\wedge \neg \textit{WriteCOInitRead}(h)$ 
224       $\wedge \neg \textit{ThinAirRead}(h)$ 
225       $\wedge \neg \textit{WriteCORead}(h)$ 
226       $\wedge \neg \textit{CyclicCF}(h)$ 
228       $CMAlg(h) \triangleq$  TODO: Checking algorithm for  $CM$  (Causal Memory)
229      FALSE
230
230  \* Modification Historjy
230  \* Last modified Tue Apr 20 13:26:56 CST 2021 by hengxin
230  \* Created Tue Apr 01 10:24:07 CST 2021 by hengxin

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