PS5 Answer

Sienna Wang & Hengyi Xing

2024-11-07

Due 11/9 at 5:00PM Central. Worth 100 points + 10 points extra credit.

Submission Steps (10 pts)

- 1. This problem set is a paired problem set.
- 2. Play paper, scissors, rock to determine who goes first. Call that person Partner 1.
 - Partner 1 (name and cnet ID): Hengyi Xing, hengyix
 - Partner 2 (name and cnet ID): Sienna Wang, shiying
- 3. Partner 1 will accept the ps5 and then share the link it creates with their partner. You can only share it with one partner so you will not be able to change it after your partner has accepted.
- 4. "This submission is our work alone and complies with the 30538 integrity policy." Add your initials to indicate your agreement: *HX* *SW*
- 5. "I have uploaded the names of anyone else other than my partner and I worked with on the problem set **here**" (1 point)
- 6. Late coins used this pset: *1* Late coins left after submission: *1*
- 7. Knit your ps5.qmd to an PDF file to make ps5.pdf,
 - The PDF should not be more than 25 pages. Use head() and re-size figures when appropriate.
- 8. (Partner 1): push ps5.qmd and ps5.pdf to your github repo.
- 9. (Partner 1): submit ps5.pdf via Gradescope. Add your partner on Gradescope.
- 10. (Partner 1): tag your submission in Gradescope

```
import pandas as pd
import altair as alt
import geopandas as gpd
import requests
import time
from bs4 import BeautifulSoup
from datetime import datetime
import matplotlib.pyplot as plt
from matplotlib.colors import ListedColormap
from shapely.wkt import loads

import warnings
warnings.filterwarnings('ignore')
alt.renderers.enable("png")
```

RendererRegistry.enable('png')

Step 1: Develop initial scraper and crawler

1. Scraping (PARTNER 1)

```
# Fetch contents from the website
url = 'https://oig.hhs.gov/fraud/enforcement/'
response = requests.get(url)
soup = BeautifulSoup(response.text, 'lxml')
li_action = soup.find_all(
    'li', class_='usa-card card--list pep-card--minimal mobile:grid-col-12')
# Parse into a dataset
title_list = []
date_list = []
category_list = []
link_list = []
for item in li_action:
    title = item.find('a').text
    title_list.append(title)
    date = item.find('span').text
    date_list.append(date)
    category = item.find('li').text
```

```
category_list.append(category)
    link = item.find('a').attrs['href'] # Relative Path
    link = 'https://oig.hhs.gov' + link
    link_list.append(link)
df_dict = {'Title': title_list, 'Date': date_list,
           'Category': category_list, 'Link': link_list}
df_tidy = pd.DataFrame(df_dict)
print(df_tidy.head(5))
                                               Title
                                                                  Date \
O Pharmacist and Brother Convicted of $15M Medic... November 8, 2024
                                                     November 7, 2024
1 Boise Nurse Practitioner Sentenced To 48 Month...
2 Former Traveling Nurse Pleads Guilty To Tamper...
                                                     November 7, 2024
                                                     November 7, 2024
3 Former Arlington Resident Sentenced To Prison ...
4 Paroled Felon Sentenced To Six Years For Fraud...
                                                     November 7, 2024
                     Category \
O Criminal and Civil Actions
1 Criminal and Civil Actions
2 Criminal and Civil Actions
3 Criminal and Civil Actions
4 Criminal and Civil Actions
                                               Link
0 https://oig.hhs.gov/fraud/enforcement/pharmaci...
1 https://oig.hhs.gov/fraud/enforcement/boise-nu...
2 https://oig.hhs.gov/fraud/enforcement/former-t...
3 https://oig.hhs.gov/fraud/enforcement/former-a...
4 https://oig.hhs.gov/fraud/enforcement/paroled-...
# Since the list cells are too long to display
# We demonstrate a complete example here
print(link_list[0][0:80])
print(link_list[0][80:])
```

 $\verb|https://oig.hhs.gov/fraud/enforcement/pharmacist-and-brother-convicted-of-15m-medicare-medicaid-and-private-insurer-fraud-scheme/|$

2. Crawling (PARTNER 1)

```
agency_list = []
for link in link list:
    response = requests.get(link)
    soup = BeautifulSoup(response.content, "lxml")
    agency_li = soup.find("span", text=lambda t: t and "Agency" in t)
    if agency_li:
        agency_name = agency_li.find_parent("li").text.replace("Agency:",
   "").strip()
    else:
        agency_name = "missing value"
    agency_list.append(agency_name)
df_tidy["Agency"] = agency_list
print(df_tidy.head(5))
                                               Title
                                                                  Date \
O Pharmacist and Brother Convicted of $15M Medic... November 8, 2024
1 Boise Nurse Practitioner Sentenced To 48 Month...
                                                     November 7, 2024
2 Former Traveling Nurse Pleads Guilty To Tamper...
                                                     November 7, 2024
                                                     November 7, 2024
3 Former Arlington Resident Sentenced To Prison ...
4 Paroled Felon Sentenced To Six Years For Fraud...
                                                     November 7, 2024
                    Category \
O Criminal and Civil Actions
1 Criminal and Civil Actions
2 Criminal and Civil Actions
3 Criminal and Civil Actions
4 Criminal and Civil Actions
                                                Link \
0 https://oig.hhs.gov/fraud/enforcement/pharmaci...
1 https://oig.hhs.gov/fraud/enforcement/boise-nu...
2 https://oig.hhs.gov/fraud/enforcement/former-t...
3 https://oig.hhs.gov/fraud/enforcement/former-a...
4 https://oig.hhs.gov/fraud/enforcement/paroled-...
```

Agency

```
U.S. Department of Justice
November 7, 2024; U.S. Attorney's Office, Dist...
U.S. Attorney's Office, District of Massachusetts
U.S. Attorney's Office, Eastern District of Vi...
U.S. Attorney's Office, Middle District of Flo...
```

Step 2: Making the scraper dynamic

1. Turning the scraper into a function

a. Pseudo-Code (PARTNER 2)

Step 1:

The function will first check if year is earlier than 2013.

Step 2:

If the year is not earlier than 2013, we will loop through the input date to today.

Here I will use a **while** loop, which will go through each page until there is no more dates that fit in our specified date range. For each page, we will read through all enforcement action entries.

Step 3:

Here I will use a **for** loop. For each entry on that page, I will extract date to check if that entry is in the specified range, and will only process entries that are from the specified start date to today.

Step 4:

Continue to extract the title, category, and link, and append them to to the list respectively.

Step 5:

Crawl into each link to extract the agency information. And move to the next page (another round in the While loop).

Step 6:

Create dataframe and save to CSV.

b. Create Dynamic Scraper (PARTNER 2)

```
def enforce_actions_crawl(month, year):
  '''Output a CSV file including information of enforcement actions from the

    date input to today'''

  if year < 2013:
    return "Reminder: Please enter a year after 2013, as only enforcement
    → actions after 2013 are listed."
  # For year >= 2013:
  title_list = []
  date list = []
  category_list = []
  link_list = []
  agency_list = []
  url_base = "https://oig.hhs.gov/fraud/enforcement/"
  page = 1
  today = datetime.now()
  start_date = datetime(year, month, 1)
  should_continue = True
  while should_continue:
    print(f"Processing page {page}") # To help us learn the progress
    url = url base + f"?page={page}"
   response = requests.get(url)
    soup = BeautifulSoup(response.text, "lxml")
    li_action = soup.find_all(
      "li", class_="usa-card card--list pep-card--minimal

→ mobile:grid-col-12")

    if not li_action:
      break
    for item in li_action:
      # Check if the date is in the specified date range
      date_text = item.find("span").text.strip()
      action_date = datetime.strptime(date_text, "%B %d, %Y")
      if action_date < start_date:</pre>
        should_continue = False # Finish the while loop
        break
      # If within the specified date range, continue
```

```
title = item.find("a").text.strip()
     category = item.find("li").text.strip()
     link = item.find("a")["href"]
     link = "https://oig.hhs.gov" + link
     title_list.append(title)
     date_list.append(date_text)
     category_list.append(category)
     link_list.append(link)
     # Crawl each link and find agency information
     action_response = requests.get(link)
     action soup = BeautifulSoup(action response.text, "lxml")
     agency_li = action_soup.find("span", text=lambda t: t and "Agency" in
if agency_li:
       agency = agency_li.find_parent("li").text.replace("Agency:",
  "").strip()
     else:
       agency = "missing value"
     agency_list.append(agency)
   if not should continue:
     break
   page += 1
   time.sleep(1) # Avoid server block
 # Create dataframe and save to CSV file
 df_dict = {"Title": title_list, "Date": date_list, "Category":

    category_list, "Link": link_list, "Agency": agency_list}

df_tidy = pd.DataFrame(df_dict)
 csv_filename = f"enforcement_actions_{year}_{month}.csv"
 df_tidy.to_csv(csv_filename, index=False)
 print(f"Data saved to {csv_filename}")
```

And then, use the function to collect enforcement actions since 2023.1.

```
enforce_actions_crawl(1, 2023)
```

There are 1534 enforcement actions in the final dataframe.

Title Podiatrist Pays \$90,000 To Settle False Billin...

Date January 3, 2023

Category Criminal and Civil Actions

Link https://oig.hhs.gov/fraud/enforcement/podiatri...

Agency U.S. Attorney's Office, Southern District of T...

Name: 1533, dtype: object

The date of the earlist record is January 3, 2023. The title is "Podiatrist Pays \$90,000 To Settle False Billing Allegations", in the cateogry of Criminal and Civil Actions, conducted by U.S. Attorney's Office, Southern District of Texas. And the relevant link is https://oig.hhs.gov/fraud/enforcement/podiatrist-pays-90000-to-settle-false-billing-allegations/.

• c. Test Partner's Code (PARTNER 1)

```
enforce_actions_crawl(1, 2021)
```

```
# Load the CSV file
file = 'enforcement_actions_2021_1.csv'
df = pd.read_csv(path + file)
print(f'We get {len(df)} enforcement actions in our dataframe.')
print(df.iloc[3021])
```

We get 3022 enforcement actions in our dataframe.

Title The United States And Tennessee Resolve Claims...

Date January 4, 2021

Category Criminal and Civil Actions

Link https://oig.hhs.gov/fraud/enforcement/the-unit...

Agency U.S. Attorney's Office, Middle District of Ten...

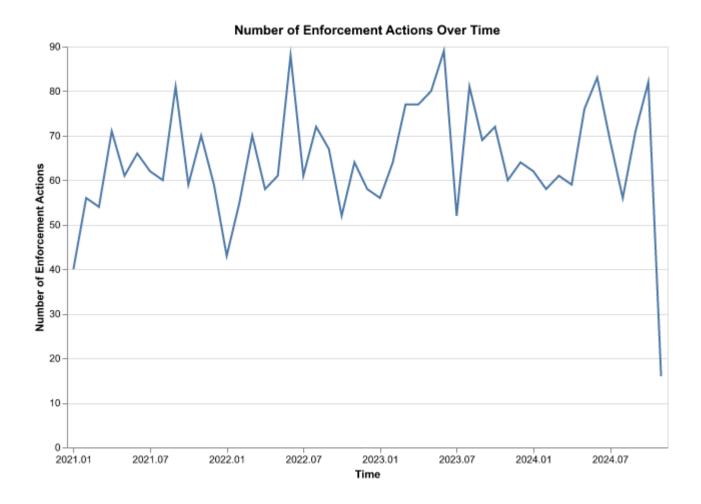
Name: 3021, dtype: object

SO we know that the earliest action scraped is the record of The United States And Tennessee Resolve Claims With Three Providers For False Claims Act Liability Relating To 'P-Stim' Devices For A Total Of \$1.72 Million, with date being 2021-01-04. The category and agency are Criminal and Civil Actions and U.S. Attorney's Office, Middle District of Tennessee, link: https://oig.hhs.gov/fraud/enforcement/the-united-states-and-tennessee-resolve-claims-with-three-providers-for-false-claims-act-liability-relating-to-p-stim-devices-for-a-total-of-172-million/

Step 3: Plot data based on scraped data

1. Plot the number of enforcement actions over time (PARTNER 2)

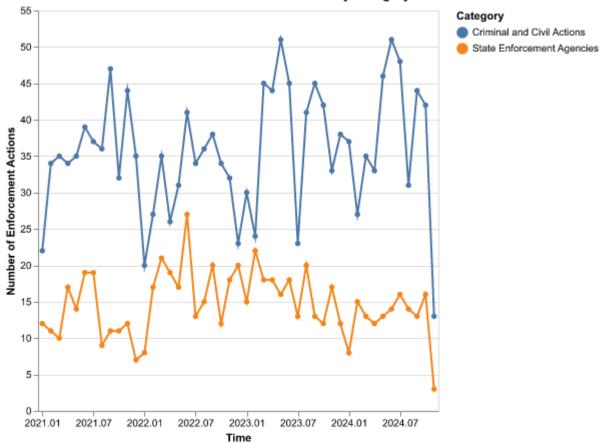
```
# Create a new "Year Month" column
df["Date"] = pd.to_datetime(df["Date"], format="%B %d, %Y")
df["Year Month"] = df["Date"].dt.strftime("%Y.%m")
# Count the number by "Year_Month"
monthly_count = df.groupby("Year_Month").size().reset_index(name="Count")
# Plot a line chart using Altair
alt.Chart(monthly_count).mark_line().encode(
    alt.X("Year_Month:0",
          title="Time",
          axis=alt.Axis(
              values=["2021.01", "2021.07", "2022.01", "2022.07",
                      "2023.01", "2023.07", "2024.01", "2024.07"],
              labelAngle=0)),
    alt.Y("Count:Q", title="Number of Enforcement Actions")
).properties(
    title="Number of Enforcement Actions Over Time",
    width=600,
    height=400
```



2. Plot the number of enforcement actions categorized: (PARTNER 1)

based on "Criminal and Civil Actions" vs. "State Enforcement Agencies"





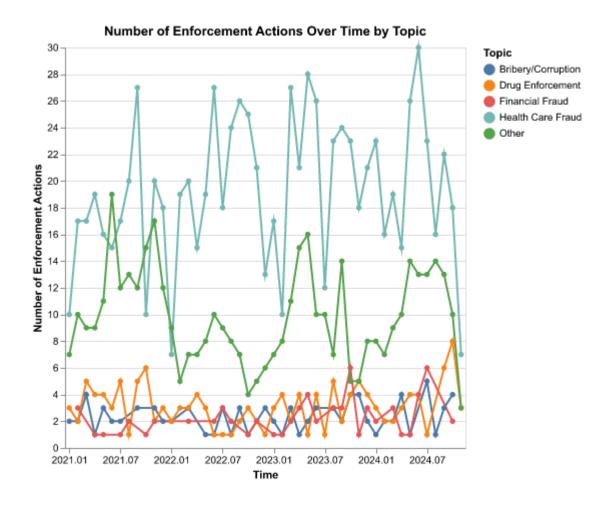
based on five topics

```
# Define topics roughly
health_care = ['Medicare', 'Medicaid', 'Healthcare',
               'Medical', 'Surgeon', 'Pharmacy', 'Medi-cal'] # 'Health Care'

→ should also be added

drug_enforcement = ['Drug', 'Drugs', 'Pill', 'Pills', 'Substance',
                    'Substances', 'Medication', 'Narcotic', 'Morphine']
bribery_corruption = ['Corruption', 'Corrupt', 'Bribery',
                      'Bribes', 'Gratuities', 'Kickback', 'Kickbacks']
financial = ['Financial', 'Monetary', 'Embezzlement', 'Marketing',
             'Laundering', 'Business', 'Bank', 'Money', 'Scheme']
def find_topic(action_name):
    1 1 1
    To match action names to five topics.
    We consider the special case of 'Health Care' phrase, because 'Health'
 → and 'Care'
    are inaccurate when matched separately.
    health_match = False
    drug_match = False
    bribery_match = False
    financial_match = False
    # Special case for "Health Care" phrase
    if 'Health Care' in action_name:
        health_match = True
    action_text = action_name.split(' ')
    for text in action_text:
        text = text.strip(',')
        if text in health_care:
            health_match = True
        if text in drug_enforcement:
            drug_match = True
        if text in bribery_corruption:
            bribery_match = True
        if text in financial:
            financial_match = True
```

```
if health_match:
        return "Health Care Fraud"
    elif drug_match:
        return "Drug Enforcement"
    elif bribery_match:
        return "Bribery/Corruption"
    elif financial_match:
        return "Financial Fraud"
    else:
        return "Other"
df_criminal = df[df['Category'] == 'Criminal and Civil Actions']
df_criminal['Topic'] = df_criminal['Title'].apply(find_topic)
# Filter out records and do monthly count
topic_count = df_criminal.groupby(
    ['Topic', 'Year_Month']).size().reset_index(name="Count")
alt.Chart(topic_count).mark_line(point=True).encode(
    alt.X("Year_Month:0",
          title="Time",
          axis=alt.Axis(
              values=["2021.01", "2021.07", "2022.01", "2022.07",
                      "2023.01", "2023.07", "2024.01", "2024.07"],
              labelAngle=0)),
    alt.Y("Count:Q", title="Number of Enforcement Actions"),
    alt.Color('Topic:N')
).properties(
    title="Number of Enforcement Actions Over Time by Topic",
    width=400,
   height=400
```

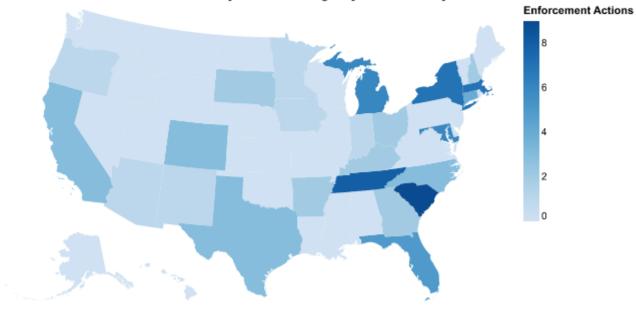


Step 4: Create maps of enforcement activity

1. Map by State (PARTNER 1)

```
file = "cb_2018_us_state_500k/cb_2018_us_state_500k.shp"
state_shapefile = gpd.read_file(path + file)
gdf_state = pd.merge(state_shapefile, state_count,
                     how='left', left_on='NAME', right_on='State')
# Fill in NAs with zero
gdf_state['Count'] = gdf_state['Count'].fillna(0)
# Choropleth Map
alt.Chart(gdf_state).mark_geoshape().encode(
    color=alt.Color('Count:Q', scale=alt.Scale(scheme='blues'),
                    legend=alt.Legend(title="Enforcement Actions"))
).project(
    type='albersUsa'
).properties(
    width=500,
    height=300,
    title='Count of Enforcement Actions by State-Level Agency since January
    2021'
```

Count of Enforcement Actions by State-Level Agency since January 2021

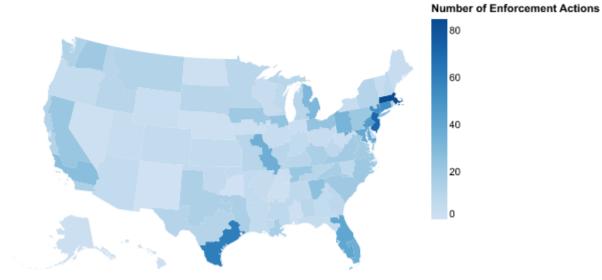


2. Map by District (PARTNER 2)

```
path = '/Users/hengyix/Documents/GitHub/DAP2-PS5-SWang/data/'
file = 'US Attorney Districts Shapefile
    simplified_20241110/geo_export_9484d0ea-f168-4c8e-ba9c-c45ba8de7107.shp'
gdf_district = gpd.read_file(path + file)
gdf_district = gdf_district.rename(columns={"judicial_d":
    "judicial_district"})
```

We find that there's a mismatch for the District of Columbia. In our collected dataset, it is named "District of Columbia", while for the shapefile, it is named "District of District of Columbia". Therefore, we change its name in our collected dataset to "District of District of Columbia" to merge conveniently. Also there are several actions taken by 2 different districts. In this case, we only keep the first one in our record.

Number of Enforcement Actions in Each US Attorney District



Extra Credit

1. Merge zip code shapefile with population

```
# Import the ZIP code shapefile
path = "/Users/hengyix/Documents/GitHub/problem-set-4-hengyi-and-sienna/data"
gdf_zip = gpd.read_file(path +

    "/gz_2010_us_860_00_500k/gz_2010_us_860_00_500k.shp")

# Import the ZIP code level population data
path = '/Users/hengyix/Documents/GitHub/DAP2-PS5-SWang/data'
df_zip_pop = pd.read_csv(path +
→ "/DECENNIALDHC2020.P1_2024-11-10T034849/DECENNIALDHC2020.P1-Data.csv")
# Drop the empty column and label row
df_zip_pop = df_zip_pop.drop(columns=["Unnamed: 3"])
df_zip_pop = df_zip_pop.iloc[1:]
# Clean the ZCTA5 and Population columns
df_zip_pop["ZCTA5"] = df_zip_pop["NAME"].str.replace("ZCTA5 ", "")
df_zip_pop = df_zip_pop.rename(columns={"P1_001N": "Population"})
# Merge the population data into the shapefile
gdf_zip = gdf_zip.merge(df_zip_pop[["ZCTA5", "Population"]], on="ZCTA5",
→ how="left")
# Show the first 5 rows
print(gdf_zip.head(5))
          GEO_ID ZCTA5
                         NAME LSAD CENSUSAREA \
0 8600000US01040 01040 01040 ZCTA5
                                           21.281
1 8600000US01050 01050 01050 ZCTA5
                                           38.329
2 8600000US01053 01053 01053 ZCTA5
                                          5.131
3 8600000US01056 01056 01056 ZCTA5
                                           27.205
4 8600000US01057 01057 01057 ZCTA5
                                          44.907
                                           geometry Population
O POLYGON ((-72.62734 42.16203, -72.62764 42.162...
                                                      38238
1 POLYGON ((-72.95393 42.34379, -72.95385 42.343...
                                                          2467
```

```
2 POLYGON ((-72.68286 42.37002, -72.68287 42.369... 2031
3 POLYGON ((-72.39529 42.18476, -72.39653 42.183... 21002
4 MULTIPOLYGON (((-72.39191 42.08066, -72.39077 ... 8152
```

2. Conduct spatial join

Here, as one zip code area could intersect with several different districts, and might lead to inaccuracy in population aggregation. Therefore, in this case, we assign these ZIP code to the district that contains its most area.

Finally, we can aggregate the population to the district level.

```
gdf_district_pop["Population"] =
    pd.to_numeric(gdf_district_pop["Population"], errors="coerce")
gdf_district_pop = gdf_district_pop.dissolve(by="judicial_district",
    aggfunc={"Population": "sum"})
```

```
gdf_district_pop = gdf_district_pop.reset_index()
print(gdf_district_pop.head(10))
                 judicial_district \
0
     Central District of California
1
       Central District of Illinois
2
                District of Alaska
               District of Arizona
3
              District of Colorado
4
5
           District of Connecticut
6
              District of Delaware
7 District of District of Columbia
8
                District of Hawaii
                 District of Idaho
9
                                           geometry
                                                     Population
O MULTIPOLYGON (((-120.34772 34.02012, -120.3538...
                                                     19132406.0
1 POLYGON ((-90.24672 41.74559, -90.24413 41.745...
                                                      2234070.0
2 MULTIPOLYGON (((-179.13027 51.21418, -179.1093...
                                                      707199.0
3 POLYGON ((-110.17572 36.9984, -110.14987 36.99...
                                                      6995090.0
4 POLYGON ((-106.32118 40.99913, -106.21758 40.9...
                                                      5708882.0
5 MULTIPOLYGON (((-73.64577 40.99601, -73.64449 ...
                                                      3553969.0
6 MULTIPOLYGON (((-75.58036 39.59946, -75.57772 ...
                                                      900370.0
7 POLYGON ((-77.1007 38.94892, -77.10053 38.9490...
                                                      631870.0
8 MULTIPOLYGON (((-175.93621 27.75999, -175.9303...
                                                     1454203.0
9 POLYGON ((-116.0491 48.44032, -116.04902 48.39...
                                                      1887633.0
```

3. Map the action ratio in each district

```
# Calculate the ratio
gdf_district_ratio["ratio"] = (
    gdf_district_ratio["count"].astype('float') /
    gdf_district_ratio["Population"].astype('float')
)
```

As mentioned in Question 4, due to the name mismatch for the District of Columbia across datasets (websites list it as "District of Columbia" or "District of Columbia General Inspector," while the shapefile labels it as "District of District of Columbia"), we resolved the inconsistency by standardizing the names. This adjustment led to a higher count of enforcement actions in DC, resulting in an exceptionally high ratio of actions per capita here (4.27e-5), compared to the next highest (1.49e-5). Consequently, our map appears lighter overall, as DC's value skews the color scale.

Ratio of Enforcement Actions in Each US Attorney District

