

## Instantons moduli spaces

GRIFT - 17-10-2023

①  $G = U(n)$  Compact group  $\downarrow$  some kinds of connections

$$\tilde{M}(G, n) = \left\{ \begin{array}{l} (\text{anti})\text{self dual } G\text{-connection on } P \text{ principal } G\text{-bundle over} \\ S^4 = \mathbb{R}^4 \cup \{\infty\}, \text{ iso } P_\infty \cong G \\ n = \text{2nd Chern class} \\ \text{instanton number} \end{array} \right\} \quad [\text{cf. Lotte talk last week}]$$

Instanton = finite energy ASD connection on  $\mathbb{R}^4$ ; Uhlenbeck removable singularity theorem: such a connection extends to  $S^4$ .

②  $G^\mathbb{C}$  = complexification of  $G = GL_n(\mathbb{C})$

$P^2 = \mathbb{C}^2 \cup \{\infty\}$  "Complex compactification of  $\mathbb{R}^4 \cong \mathbb{C}^2$ "

$O\tilde{M}(G, n) = \left\{ \text{hol } G\text{-bdl on } P^2, \text{ trivialization at } \{\infty\} \right\}$

Donaldson :  $\tilde{M}(G, n) \cong G\tilde{M}(G, n)$  homeomorphism .  $[G = U(n), G^C = GL(n, \mathbb{C})]$

Proof: quiver realisations of ① ADHM  
 ② Barth (monad presentation of v.bundles on  $\mathbb{P}^2$ )  
 + Kempf-Ness theorem: connection between HK quotient & GIT quotient.

(Underlying: Atiyah-Ward transform, going through sheaves on  $\mathbb{P}^3$ )

$\mathbb{R}^4 \cong \mathbb{C}^2$  choice of a complex structure  
 [quaternionic vector space : more complex structures]

Namely, we have a hyperkähler moment map :

$$G \rightarrow T^*(\text{End}(\mathbb{C}^r) \oplus \text{Hom}(\mathbb{C}^r, \mathbb{C}^n))$$

$$\begin{matrix} GL(n, \mathbb{C}), \\ U(n) \end{matrix} \xrightarrow{\sim} \begin{matrix} \text{End}(\mathbb{C}^n)^{\oplus 2} \oplus \text{Hom}(\mathbb{C}^r, \mathbb{C}^n) \oplus \text{Hom}(\mathbb{C}^n, \mathbb{C}^r) \\ ((B_1, B_2), I, J) \end{matrix} \xrightarrow{\mu} gl(n) \times u(n)$$

$$= \mu_C \times \mu_R.$$

$U(n) \times$   
IS

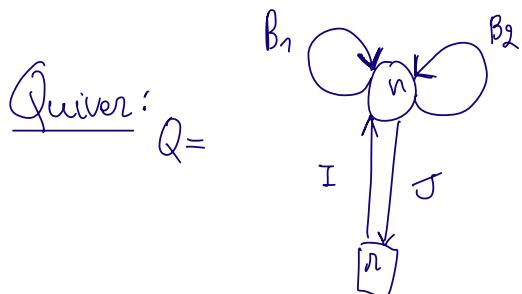
described as follows:  $\mu_C(B_1, B_2, I, J) = [B_1, B_2] + IJ$

$$\mu_R(B_1, B_2, I, J) = \frac{\sqrt{-1}}{2} ([B_1, B_1^+] + [B_2, B_2^+] + IJ^T - J^T I)$$

ADHM:  $\tilde{\mathcal{M}}(U(n), n) \subseteq \mu_C^{-1}(0) \cap \mu_R^{-1}(0) /_{U(n)}$  .  
 open given by regular locus; i.e. where  $U(n)$  acts freely

Barth:  $G\tilde{\mathcal{M}}(GL(n, \mathbb{C}), n) \subseteq \mu_C^{-1}(0) // GL_n(\mathbb{C})$   
 open given  $= \text{Spec}(\mathbb{C}[\mu_C^{-1}(0)]^{GL_n(\mathbb{C})})$   
 by regular locus, i.e. where  $GL_n(\mathbb{C})$  acts freely.

Kempf-Ness:  $(\mu_C^{-1}(0) \cap \mu_R^{-1}(0))_{U(n)}^{\text{reg}} \cong \mu_C^{-1}(0)^{\text{reg}} / GL_n(\mathbb{C})$  homeomorphism  
 between the smooth opens.  
 (regular)



Meaning: in terms of vector spaces and group action.

Rk: Hyperkähler reduction.

$\mathcal{R}(Q, n, n) := \text{End}(\mathbb{C}^n)^{\oplus 2} \oplus \text{Hom}(\mathbb{C}^n, \mathbb{C}^n) \oplus \text{Hom}(\mathbb{C}^n, \mathbb{C}^n)$  is a Hyperkähler vector space: 3 complex structures  $i, J, K = iJ$  which generate a sphere of  $\mathbb{C}$ -structures on  $\mathcal{R}(Q, n, n)$

- $\mathbb{C}^r, \mathbb{C}^n$  Hermitian in the standard way

$$(x, y) = \sum_i x_i \bar{y}_i .$$

- $x \in \text{Hom}(V, W)$  and  $x^* \in \text{Hom}(W, V)$  adjoint

$$(x v, w) = (v, x^* w) \quad v \in V, w \in W$$

- $\mathcal{R}(Q, n, n)$  becomes Hermitian  $((B_1, B_2, I, J), (B_1^I, B_2^I, I^I, J^I))$   
 $= \text{tr}(B_1 B_1^*) + \text{tr}(B_2 B_2^*) + \text{tr}(I I^*) + \text{tr}(J J^*)$

- $J: R(Q, r, n) \rightarrow R(Q, r, n)$  complex structure  
 $(B_1, B_2, I, J) \mapsto (-B_2^*, B_1^*, J^*, -I^*)$

i standard cpx structure

$$i K = i J$$

$\rightarrow R(Q, r, n)$  is { hyperkähler vector space i.e.  $H^1$ -v.space ·  
quaternionic

$\rightarrow 3$  symplectic forms :  $\omega_i, \omega_J + i\omega_K = \omega_C$

$$\omega_i((B_1, B_2, I, J), (B'_1, B'_2, J', J')) = \text{Im} \left( \text{tr}(B_1 B_1'^*) + \text{tr}(B_2 B_2'^*) + \text{tr}(I I'^*) + \text{tr}(J J'^*) \right)$$

$$\omega_C \left( \quad \right) = \text{tr} \left( B_2 B_1' - B_2' B_1 + I J' - I' J \right)$$

Action of  $U(r) \times R(Q, r, n)$  is tri-Hamiltonian i.e.  
Hamiltonian w.r.t.  $\omega_i, \omega_J$  and  $\omega_K$ .

$\rightarrow 3$  moment maps  $\mu_i, \mu_J = \mu_J + i\mu_K$

$\mu_Q$  antihermitian matrices

$$\rightarrow \mu_i^{-1}(\xi_1) \cap \mu_C^{-1}(\xi_2 + i\xi_3)/U(n) \quad \xi_j \in \text{Lie } U(n)$$

is Hyperkähler quotient as produces a new hyperkähler  
variety.

dimension =  $\dim R(Q, r, n) - 4 \dim U(n)$ .

Rk : HK structure is very rich; HK varieties are rather rare and hard to construct.

## Partial compactification

For ② : vector bundles and torsion free sheaves  
" locally free" Nakajima  
we get a nicer space, partial compactification of the previous one  
[ corresponds to Uhlenbeck partial compactification on the ASD connections side; ideal instantons ]

$M(r, n)$  moduli space of framed rk r torsion-free sheaf on  $P^2$   
w/  $c_2 = n$ :

- $\mathcal{F}$  coherent sheaf on  $P^2$
- $\mathcal{F}$  is torsion free : any subsheaf supported on a closed subscheme is trivial
- $c_1(\mathcal{F}) = n$
- $\mathcal{F}|_{\ell_\infty} \cong \mathcal{O}_{\ell_\infty}^{\oplus n}$  isomorphism.  $\ell_\infty = \{[x:y:0]\}$

Nakajima:  $M(r,n) \cong \mu^{-1}(0) //_{\chi} GL_m$  where  
 $= \text{Proj} \left( \bigoplus_{m \geq 0} \mathbb{C}[\mu^{-1}(0)]^{X^m} \right)$ . GIT.

$\det^{-1} = \chi: GL_m \rightarrow \mathbb{C}^*$  gives a linearization of The  
 trivial line bundle on  $\mu^{-1}(0)$ .

= algebro-geometric model for the moduli space of instantons.

[Motivation for the definition of quiver varieties]

Rk By Kempf-Nebus, One can realise  $M(r,n)$  has HK reduction  $\mu_{\mathbb{R}}^{-1}(i\text{Id}) \cap \mu_{\mathbb{C}}^{-1}(0) / U(n)$ .

Last: Hilbert scheme description in rk 1

$$M(1,n) \cong \text{Hilb}^n(\mathbb{C}^2) \subseteq \left\{ \mathcal{I} \subset G_{\mathbb{C}^2} \text{ codimension } n \text{ ideal} \right\}$$

Hilbert scheme of  $n$  points on  $\mathbb{C}^2$

Proof: Map  $\text{Hilb}^n(\mathbb{C}^2) \rightarrow M(1,n)$

$$\mathcal{I}_2 \in \text{Hilb}^n(\mathbb{C}^2)$$

$\mathcal{I} \subset \mathbb{A}^2 \subset \mathbb{P}^2 \rightsquigarrow \tilde{\mathcal{I}}_2 \subset G_{\mathbb{P}^2}$  ideal sheaf on  $\mathbb{P}^2$

$$\Rightarrow \tilde{\mathcal{I}}_2|_{\ell_\infty} \cong G_\infty$$

and  $\tilde{\mathcal{I}}_2$  torsion-free since  $G_{\mathbb{P}^2}$  torsion-free

$$\text{rk } 1 \rightarrowtail \tilde{\mathcal{I}}_2 \rightarrow G_{\mathbb{P}^2} \rightarrow G_{\mathbb{P}^2}/\tilde{\mathcal{I}}_2 \rightarrow 0$$

supported at fin many  
 points in  $\mathbb{P}^2$   
 $\Rightarrow \text{rank } 0$ .

$$\text{Map } M(1, n) \longrightarrow \text{Hilb}^n(\mathbb{C}^2) \quad H^*(\mathbb{P}^2) \cong \mathbb{C} \cdot 1 \oplus \mathbb{C} \cdot [l_\infty] \oplus \mathbb{C} \cdot [l_\infty]^2.$$

$\mathcal{F}$  f.f rk 1,  $\text{ch}_2(\mathcal{F}) = n$

$$\mathcal{F}|_{l_\infty} \cong G_{l_\infty} \Rightarrow \text{ch}_1(\mathcal{F}) \cdot [l_\infty] = \text{ch}_1(\mathcal{F}|_{l_\infty}) = 0$$

$$\Rightarrow \text{ch}_2(\mathcal{F}) = 0$$

④  $\mathcal{F} \hookrightarrow \mathcal{F}^{**}$  bidual.  
is on  $l_\infty$        $\mathcal{F}^{**}$  locally free  $\Rightarrow$  line bundle

$$\text{and } c_1(\mathcal{F}^{**}) = c_1(\mathcal{F}) = 0$$

$$\mathcal{F}^{**} \cong G_{\mathbb{P}^2}(d) \text{ for some } d$$

$$\rightsquigarrow d = 0$$

⑤  $\mathcal{F} \hookrightarrow G_{\mathbb{P}^2}$  iso on  $l_\infty$  :  $\mathcal{F}$  is an ideal sheaf  
defines a subscheme  $Z \subset \mathbb{P}^2$ .

and

$$\text{rk}\left(G_{\mathbb{P}^2}/\mathcal{F}\right) = 0$$

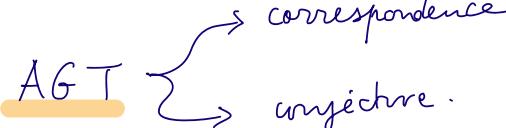
$$c_2\left(G_{\mathbb{P}^2}/\mathcal{F}\right) = -n \Rightarrow \text{length } Z = n. \quad \checkmark$$

Proposition (Poincaré polynomials)

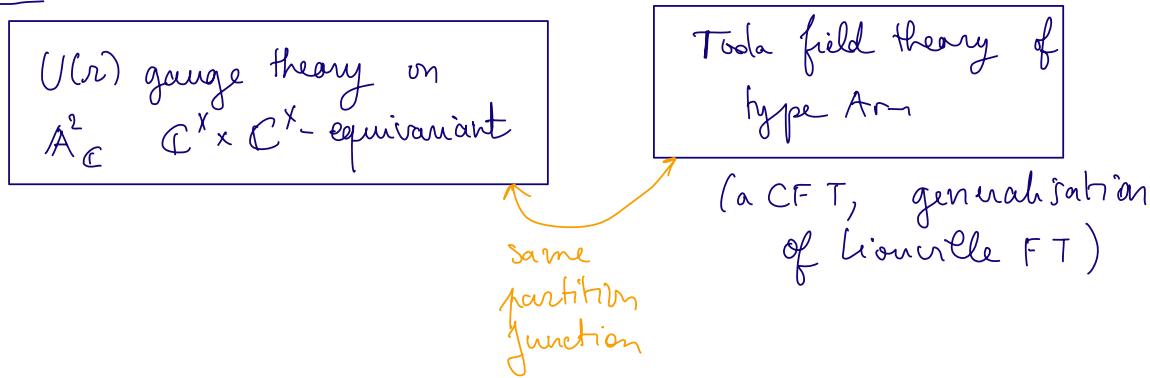
$$\text{Exp}\left(\sum_{m=1}^{\infty} t^{2m-2} q^m\right)$$

$$\begin{aligned} \sum_{n=0}^{\infty} q^n t^l \dim H^l(\text{Hilb}^n \mathbb{C}^2) &= \prod_{m=1}^{\infty} \frac{1}{1-t^{2m-2} q^m} \quad [\text{Göttsche}] \\ &= \text{PE} \left( \frac{1}{t^2} \cdot \frac{1}{1-qt^2} \right) \end{aligned}$$

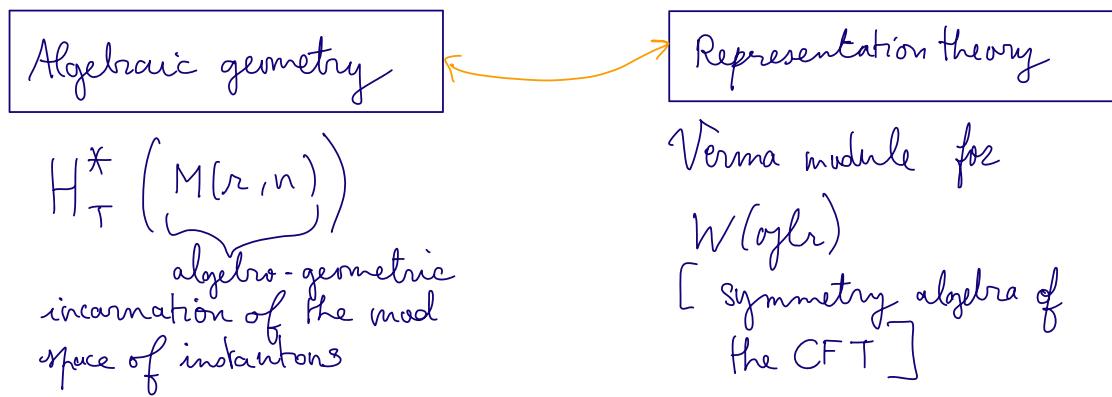
[to be compared later w/ the character of the Fock space]

AGT  correspondence  
conjecture.

Physics : Correspondence between 2 physical theories



Maths : Dictionary



①  
1 unit cohomology class  $\leftrightarrow$  Whittaker vector  
"fundamental matter"  
+ maybe other compatibilities.

AGT Theorem (SV, MO, BFN). There exists a natural representation

$$\mathcal{U}(W_{\text{spin}}^k(\mathfrak{g}_{\text{fr}})) \rightarrow \text{End}(V_r^\circ[\mathbb{C}^2])$$

Vertex algebra

[principal affine  $W$ -algebra; quantum Hamilton reduction of  $V_k(\mathfrak{g}_{\text{fr}})$ ]

algebra of modes.

such that  $V_r^\circ[\mathbb{C}^2]$  is identified w/ the universal Verma module

$M_r$  for  $W_{\text{spin}}^k(\mathfrak{g}_{\text{fr}})$

$$V_r^\circ[\mathbb{C}^2] = \bigoplus_{n \in \mathbb{N}} H_A^A \left( \underbrace{M(r, n)}_{\text{instantons}} \right) \otimes_{H_A^A(pt)} \text{Frac}(H_A^*(pt))$$

$\uparrow U(r)$

In English: Action of the affine  $W$ -algebra of  $G$  on the cohomology of the moduli space of  $G^L$ -instantons on  $\mathbb{R}^4$ .

One strategy

$M_n$  geom spaces

$$H = \bigoplus_{n \in \mathbb{N}} H_A(M_n)$$

algebra

Want to construct an action of  $A$  on  $H$ .  
 $M_{n+n+r} \leftarrow$  to be defined

Convolution diagram

$$\begin{array}{ccc} & M_n \times M_{n+r} & \\ M_n & \swarrow \quad \downarrow \quad \searrow & M_{n+r} \\ & & \end{array}$$

as operators

$$H_n \xrightarrow{\quad} H_{n+r}.$$

If  $A$  has a nice presentation (gen. & rels), can work.

## AGT in rank 1

Fuchs : good for  $r = 1$

Action of  $\mathcal{H}$  Heisenberg Lie algebra on

$$\bigoplus_{n \in \mathbb{N}} H_{\mathcal{K}}(M(1, n)) = \bigoplus_{n \in \mathbb{N}} H_{\mathcal{K}}(\mathrm{Hilb}^n(\mathbb{C}^2))$$

identifying it w/ the Fock space.

$$\mathcal{H} = \langle b_n, c, n \in \mathbb{Z}_{\geq 0} \rangle$$

$[b_n, b_m] = n \sum_{n+m} c$  .

c central

Heisenberg Lie algebra

Fock space :  $a \in \mathbb{C}^*$ .

$\mathcal{F} = \mathbb{C}[p_1, p_2, \dots]$  polynomials in variables  $p_1, p_2, \dots$

$$\mathcal{H} \cap \mathcal{F} \text{ by } \left\{ \begin{array}{l} b_n \mapsto a^m \frac{\partial}{\partial p_m} \quad m > 0 \\ \quad \quad \quad p_{-m} \cdot \quad \quad \quad m < 0 \\ c \mapsto \text{ad}_{\mathcal{F}} \end{array} \right.$$

$$\mathcal{H} = \mathbb{C}\langle b_n : n < 0 \rangle \oplus \mathbb{C} \cdot c \oplus \mathbb{C}\langle b_n : n > 0 \rangle$$

$$\mathcal{H}_-$$

$$\mathcal{H}_+ \quad \text{Abelian Lie algebra.}$$

$$\mathbb{C}_c \oplus \mathcal{H}_+ \curvearrowright \mathbb{C} \quad c \mapsto \text{mult by } a \\ \mathcal{H}_+ \mapsto \text{trivial.}$$

$\mathcal{F} = \underset{\mathbb{C}_c \oplus \mathcal{H}_+}{\mathcal{H} \otimes \mathbb{C}}$  :  $\mathcal{F}$  is a higher weight representation of  $\mathcal{H}$ .

$$d_o = \sum_m m p_m \frac{\partial}{\partial p_m} \curvearrowright \mathcal{F}$$

Character formula:  $\text{tr}_{\mathcal{F}} q^{d_o} := \sum_i q^i \dim \{ v \in \mathcal{F} \mid d_o v = i v \}$

$$= \prod_{j \geq 1} \frac{1}{1 - q^j}$$

$$= \sum_{n \geq 1} q^n \dim H^*(\text{Hilb}^n \mathbb{C}^2).$$

Next step: Make  $\bigoplus_{n \geq 0} H^*(\text{Hilb}^n \mathbb{C}^2)$  the Fock space.

$\leadsto$  Nakajima operators.

$$X^{[n]} := \text{Hilb}^n(\mathbb{C}^2)$$

Nakajima operators : induced by correspondences and the convolution formalism.

$$n \geq 0, \ell \geq 1 . \quad X^{[n+\ell, n]} \subset X^{[n+\ell]} \times X^{[n]}$$

||

$$\left\{ (I \subset J) , \text{ supp}(J/I) \subset \mathbb{C}^2 \text{ is a point} \right\}$$

$$\begin{array}{ccc} X^{[n+\ell, n]} & \rightarrow & \mathbb{C}^2 \\ (I \subset J) & \mapsto & \text{supp}(J/I) . \\ & & \begin{array}{c} X^{[n+\ell, n]} \\ \downarrow \\ X^{[n+\ell]} \times \mathbb{C}^2 \times X^{[n]} \end{array} \\ & \begin{array}{c} p_1 \\ \swarrow \\ X^{[n+\ell]} \end{array} & \begin{array}{c} p_2 \\ \downarrow \\ \mathbb{C}^2 \end{array} & \begin{array}{c} p_3 \\ \searrow \\ X^{[n]} \end{array} \end{array}$$

$$b_\ell : H_*(X^{[n]}) \rightarrow H_*(X^{[n+\ell]})$$

$$\alpha \mapsto p_{1*} \left( p_{23}^* ([\mathbb{C}^2] \otimes \alpha) \cap [X^{[n+\ell, n]}] \right)$$

creation operator: adds points

$$b_{-\ell} : H_*(X^{[n+\ell]}) \rightarrow H_*(X^{[n]})$$

$$\alpha \mapsto (-1)^\ell p_{1*} \left( p_{12}^* ([pt] \otimes \alpha) \cap [X^{[n+\ell, n]}] \right)$$

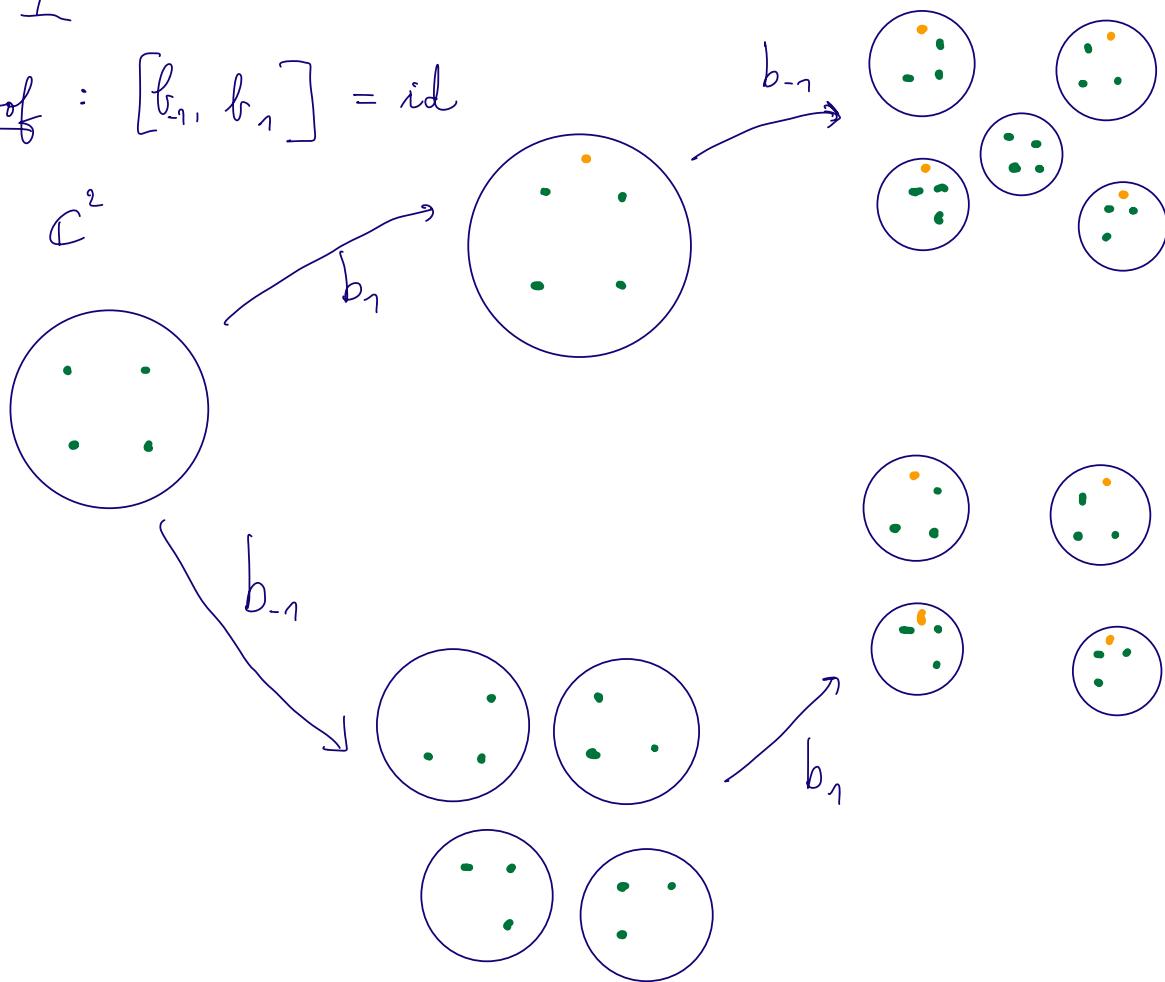
annihilation operator: delete points.

Theorem [Nakajima–Grojnowski)

$$[b_\ell, b_m] = \ell \delta_{\ell+m} \text{id} \quad \text{on } \bigoplus_{n \geq 0} H_*(X^{(n)}).$$

Fock space representation of  $H$ .

$$\text{Proof : } [b_1, b_1] = \text{id}$$



Details : intersection theory considerations.

Remark : We did not use the  $\mathbb{C}^*$ -action on  $\mathbb{C}^2$ . This story adapts well to equivariant cohomology and has been worked out by Vasserot

Higher ranks: Action of  $\text{W}_{\text{Lie}}(\mathfrak{gl}(r))$  on some Lie algebra

$$H_r := \bigoplus_{n \geq 0} H^*(M(r, n))$$

↗ quiver variety interpretation.  
possibly a torus.

Uses localisation techniques and stable envelopes theory.

\* Caveat to adapt the rank one 1 method: no known presentation of  $\text{W}_{\text{Lie}}(\mathfrak{gl}(r))$  by generators and relations.

\* Solution: use auxiliary algebras, constructed geometrically and acting on  $H_r$ .

- \* Yangian defined w/ RTT formalism and geometric R-matrices
- \* W-algebra implicitly described as kernel of screening operators.

$T = (\mathbb{C}^*)^r \curvearrowright M(r, n)$  by acting on the framing.

$$M(r, n)^T \cong M(1, n)^{\times r}$$

After localising,

$$\bigoplus_{n \geq 0} H^*(M(r, n)) \cong \bigoplus H^*(M(r, n)^T) \underbrace{H^*(M(1, n))^{\otimes r}}$$

action of  $\mathcal{H}^{\otimes r}$  r copies of Heisenberg.

Action of Heisenberg on  $\bigoplus_{n>0} H^+(M(1,n))$  obtained as in Nakajima or via stable envelopes and a Yangian.

Then, use that  $\mathcal{W}(\mathfrak{gl}(r))$  is the intersection of the kernels of screening operators (Feigin - Frenkel).  
That is a long story.

Stable envelopes: long and complicated story!