# Universal Virasoro Constraints for Additive Categories

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### Structure of the talk.

- 1. History and background
- 2. Geometric formulation of Virasoro constraints and the main claim
- 3. Reformulation in terms of vertex algebras
  - 3.1 Joyce's construction of VA's
  - 3.2 The conformal element
  - 3.3 Virasoro constraints make virtual fundamental classes into physical states
- 4. Main results for quivers and varieties

### Witten's conjecture

1. The moduli space of algebraic pointed curves  $\overline{\mathcal{M}}_{g,n}$  parametrizing semistable<sup>1</sup>  $(C, x_1, \ldots, x_n)$ :

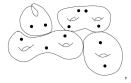


Figure:  $(C, x_1, \dots, x_n)$  where C has genus 7 here, n = 11, and  $x_1, \dots, x_{11}$  are represented by the black dots.

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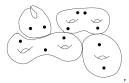


Figure:  $(C, x_1, \dots, x_n)$  where C has genus 7 here, n = 11, and  $x_1, \dots, x_{11}$  are represented by the black dots.

2. There are line bundles  $L_i \to \overline{\mathcal{M}}_{g,n}$  which at each C are given by  $T_C^*|_{X_i}$ :

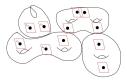


Figure:  $L_i|_{(C,x_1,\dots,x_n)} = T_C^*|_{x_i}$ 

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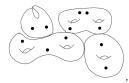


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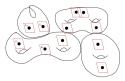


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3. Denote the powers of the first Chern classes by  $\tau_d := \psi_i^d := c_1(L_i)^d$ 

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# Witten's conjecture II

#### 1. Define the potential

$$F(\vec{t}) = \sum_{g \geq 0} \left\langle \exp\left[\sum_{d \geq 0} \tau_d t_d\right] \right\rangle_g \lambda^{2g-2} = \int_{\substack{\sum \\ g,n \geq 0}} \left[\overline{\mathcal{M}}_{g,n}\right] \lambda^{2g-2} \exp\left[\sum_{d \geq 0} \tau_d t_d\right].$$

which collects the invariants

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2. The interpretation of Witten's conjecture by Dijkgraaf, Verlinde, Verlinde (90') states that the  $\tau$ -function

$$au = \exp\left[-\frac{1}{2}F(x, \vec{t})\right]$$

satisfies Virasoro constraints.

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3. DVV showed that Witten's conjecture is equivalent to the Virasoro constraints

$$L_k \tau = 0$$
, for  $k \ge -1$ 

# Proof of Witten's conjecture

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Figure: Kontsevich, Okounkov and Mirzakhani have received Fields medals in part for their work on Witten's conjecture.

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under the condition that  $\sum_i a_i = n$ .



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- 5. Virasoro constraints for toric 3-folds X were transported to stationary descendents of PT stable pairs using the GW-PT correspondence by Moreira-Oblomkov-Okounkov-Pandharipande (20'). Dimensional reduction was used to prove these constraints for Hilb<sup>n</sup>(S) a rather long round-about proof, which hides how natural the Virasoro constraints on the sheaf side are.

One thing that separates GW theory from sheaves is the linearity of the latter. It
is manifested by working with additive categories. This additivity is in fact
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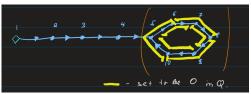
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- 4. Some examples to see how much variety the theory offers:

	Without framing	With framing
Sheaves	Gieseker stable torsion-free	Bradlow pairs
	sheaves on curves or surface,	on curves or surfaces,
	dimension 1 sheaves	DT/PT pairs on $\leq$ 4-folds,
	on surfaces, Fano 3-folds, CY fourfolds <sup>3</sup>	Quot schemes
Quivers with relations (quasi-smooth, CY4)	Bridgeland stable quiver representations	Framed quiver representations: e.g., Grassmanians and Flag varieties

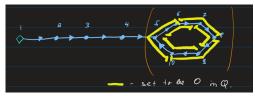
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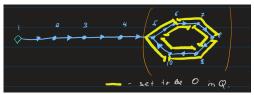


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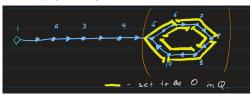
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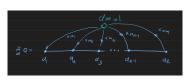


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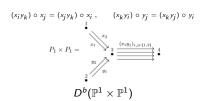
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Flag



## Virasoro constraints for quivers and sheaves

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$$\mathbb{D}^{Q} = \operatorname{Sym}[\![\tau_{i}(v), i > 0, v \in V]\!] \qquad \mathbb{D}^{X} = \operatorname{Sym}[\![\tau_{i}^{H}(v), i > 0, v \in B]\!] \\ B \subset H^{*}(X) \text{ is a basis that respects} \\ \text{the Hodge grading}$$

The Virasoro operators  $L_k = T_k + R_k$  for  $k \ge -1$  will now be differential operators on  $\mathbb{D}^{Q/X}$ .

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 The realization map: depends on a choice of a universal object. We use it when integrating against [M]<sup>vir</sup>

$$\begin{array}{ll} \tau_i(v) \mapsto \operatorname{ch}_i(\mathbb{U}_v) & \tau_i^H(v) = \pi_{2,*}\left(\pi_1^*(\bar{v})\operatorname{ch}_{i+p}(\mathbb{G})\right) \\ \mathbb{U}_v \text{ is a universal vector} & \mathbb{G} \text{ is a universal sheaf on } X \times M \\ \operatorname{space} \text{ at } v & v \in H^{p,q}(X), \ \overline{v} \text{ its Poincaré dual} \end{array}$$

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  $v \in H^{p,q}(X), \ \overline{v}$  its Poincaré dual

3. Euler pairing and Todd classes

$$\begin{array}{l} \operatorname{td}(Q) = \operatorname{id} - A^Q + S^Q : \mathbb{Z}^V \to \mathbb{Z}^V = K^0(Q) \\ A^Q_{v,w} = \#\{e \in E : t(e) = v, h(e) = w\} \\ S^Q_{v,w} = \#\{r \in R : t(r) = v, h(r) = w\} \\ \operatorname{Euler pairing }_{X} \chi(\overline{e}, \overline{d}) = \langle \overline{e}, \operatorname{td}(Q) \overline{d} \rangle \end{array}$$

$$\begin{array}{l} \mathsf{Todd} \ \mathsf{class} \ \mathsf{td}(X) : \mathsf{K}^0(X,\mathbb{Q}) \cong H^*(X) \to H^*(X) \\ \mathsf{Euler} \ \mathsf{pairing} \ \chi(v,w) = \int_X v^\vee \cdot w \cdot \mathsf{td}(X) \end{array}$$

1. Quadratic terms from the diagonal pushforward

$$\begin{array}{l} \Delta_* \operatorname{td}(Q) = \sum_{v \in V} \operatorname{td}(Q) \cdot v \boxtimes v \\ =: \sum_{v} v^L \otimes v^R \in \mathbb{Z}^V \otimes \mathbb{Z}^V \end{array}$$

$$\begin{array}{l} \Delta_* \operatorname{td}(X) = \sum_{v \in B} \operatorname{td}(X) \cdot \overline{v} \boxtimes v \\ =: \sum_v v^L \otimes v^R \in H^*(X) \otimes H^*(X), \\ \overline{v} \in \overline{B} \text{ satisfy } \int_X w \cdot \overline{v} = \delta_{w,v} \end{array}$$

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2. Virasoro operators  $L_k = T_k + R_k$ 

The operators 
$$\mathsf{R}_k$$
 are the same 
$$\mathsf{R}_k \big( \tau_i^{(H)}(v) \big) = i^{(k+1)} \tau_{i+k}^{(H)}(v)$$
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 All of this can be done for any nice additive category! This will be clear from the proof.

# Defining Virasoro constraints

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 Naïve guess (not quite correct): If M is fine and carries a virtual fundamental class [M]<sup>vir</sup>, then

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 Instead, we need to make up for the non-uniqueness of the choice of a universal object. Use another operator S<sup>k</sup> compatible with the universal object. It can also absorb fixing determinants of sheaves.

Claim (B.-Lim-Moreira(22'), B.(23'))

Let M be a fine moduli space of stable objects with a virtual fundamental class, then it often satisfies Virasoro constraints

$$\int_{[M]^{\text{vir}}} (\mathsf{L}_k + \mathsf{S}_k)(D) = 0 \qquad \text{for} \quad k \ge 0, D \in \mathbb{D}^{Q/X} \,.$$



#### Weiht zero Virasoro constraints

1. To avoid talking about  $S_k$ , we introduced the weight-zero operator

$$L_{\mathsf{wt}=0} = \sum_{n \ge -1} \frac{(-1)^n}{(n+1)!} \mathsf{L}_n \circ \mathsf{R}_{-1}^{n+1} \,.$$

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### Lemma (BLM)

The previous claim is equivalent to

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2. This formulation a) is independent of the choice of the universal object when it exists, b) can be defined formally without the universal object.

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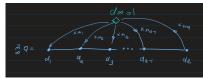


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and choosing the right stability condition, we obtain the flag variety as a moduli space of representations of this quiver. We also identify  $\mathcal{Q}_V = \mathbb{U}_V$ .

3. The only non-constant descendents are  $\tau_i(v) = \operatorname{ch}_i(\mathcal{Q}_v)$  for  $v \neq \infty, 1$ . We see then that

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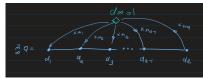
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and that  $R_k$  only acts on these descendents.

4. Choosing a polynomial D in  $\operatorname{ch}_i(\mathcal{Q}_v)$  for  $v \geq 2$ , Virasoro constraints tell us that

$$\int_{\mathsf{Flag}} \big(\mathsf{T}_k + \mathsf{R}_k\big)(D) = 0 \qquad \text{for} \quad k \ge 0.$$

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5. Define homology classes  $t_{k,v}$  as duals of  $\tau_k(v)$ :

$$\tau_k(v) \cap (-) = \frac{\partial}{\partial t_{k,v}}.$$

6. The homology of  $\mathcal{M}_{\overline{d}}$  is the polynomial algebra

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1. Let us introduce the notation  $\left\langle \tau_{k_1}(v_1) \cdots \tau_{k_n}(v_n) \right\rangle_M = \int_{[M]^{\text{vir}}} \tau_{k_1}(v_1) \cdots \tau_{k_n}(v_n)$ 

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- 4. As before  $T_k$  is a second order differential operator in t's and  $R_k$  is a degree changing operator

$$\begin{split} T_k &= \sum_{i+j=k} i ! j! \tau_i \tau_j \big( \mathrm{td}(Q) \big) \cap , \\ R_k &= \sum_{\substack{j \geq 1 \\ j \geq 1}} j_{(k+1)} \, t_{j-k,\nu} \frac{\partial}{\partial t_{j,\nu}} \, . \end{split}$$

Here  $a_{(b)} = a(a-1)\cdots(a-b+1)$  is the falling factorial.

# Virasoro constraints for sheaves: the operators

1. The homology version of Virasoro constraints:

$$(L_k+S_k)\,\iota_*[M]^{\mathrm{vir}}=0\qquad\text{for}\quad k\geq 0$$
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2. The operator on the second line is defined by

$$L_{\text{wt}=0} = \sum_{n \ge -1} \frac{(-1)^n}{(n+1)!} T^{n+1} \circ L_n.$$

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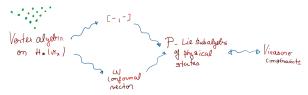
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- The Lie bracket comes from a vertex algebra H<sub>\*</sub>(M<sub>Q</sub>) leading to the following schematic of the proof



 I mainly use Joyce's geometric vertex algebras and wall-crossing for proving Virasoro constraints



- 2. This wall-crossing allows us to compare virtual fundamental classes  $[M_{\overline{d}}^{\sigma}]^{\mathrm{vir}}, [M_{\overline{d}}^{\sigma'}]^{\mathrm{vir}}$  of  $\sigma$  and  $\sigma'$ -stable representations in terms of some Lie bracket [-,-] on the quotient  $K_* = H_*(\mathcal{M}_Q)/T$ .
- The Lie bracket comes from a vertex algebra H<sub>\*</sub>(M<sub>Q</sub>) leading to the following schematic of the proof



The upshot is not only the interpretation that VFC's satisfying Virasoro
constraints are physical states, but also that this property is preserved under
changing stability conditions.

1. We never discussed what happens when we have strictly semistables. In this case, there is a geometric way of using the framed quiver to define classes  $[M_{\overline{d}}^{\sigma}]^{\text{in}} \in K_*$  counting semistables.

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3. The wall-crossing formula becomes

$$\begin{split} [M_{\overline{d}}^{\sigma}] &= \sum_{\substack{\overline{d}_i = \delta_V, v \in V: \\ \sum_{i=1}^I \overline{d}_i = \overline{d}}} \tilde{U}(\overline{d}_1, \dots, \overline{d}_I; \sigma_0, \sigma) \\ & \left[ \dots \left[ \left[ [M_{\overline{d}_1}^{\sigma_0}], [M_{\overline{d}_2}^{\sigma_0}] \right], [M_{\overline{d}_3}^{\sigma_0}] \right] \dots, [M_{\overline{d}_I}^{\sigma_0}] \right] \end{split}$$

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4. This means that all the information about  $[M_{\overline{d}}^{\sigma}]^{\rm in}$  is already contained in the Lie algebra structure.

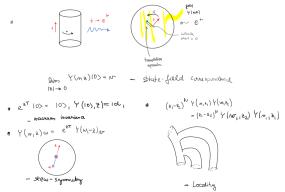
### Vertex algebras

- 1. A vertex algebra is the data of a  $\mathbb{Z}$ -graded vector space  $V_*$  over  $\mathbb{C}$  together with
  - 1.1 a vacuum vector  $|0\rangle \in V_0$ ,
  - 1.2 a linear operator  $T: V_* \to V_{*+2}$  called the translation operator,
  - 1.3 and a state-field correspondence which is a degree 0 linear map

$$Y \colon V_* \longrightarrow \operatorname{End}(V_*)[\![z,z^{-1}]\!] ,$$

denoted by  $Y(a,z):=\sum_{n\in\mathbb{Z}}a_{(n)}z^{-n-1}$  , where  $\deg(z)=-2$ .

These need to satisfy some axioms that have enlightening interpretations in terms of CFTs



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  - 1.3 The complex

$$\mathsf{Ext}_{\mathcal{Q}} = \bigoplus_{v \in V \setminus F} \mathcal{U}_{v}^{\vee} \boxtimes \mathcal{U}_{v} \xrightarrow{\varphi_{E}} \bigoplus_{e \in \mathcal{E}} \mathcal{U}_{t(e)}^{\vee} \boxtimes \mathcal{U}_{h(e)} \xrightarrow{s_{R}} \bigoplus_{r \in \mathcal{R}} \mathcal{U}_{t(r)}^{\vee} \boxtimes \mathcal{U}_{h(r)}$$

on  $\mathcal{M}_Q \times \mathcal{M}_Q$  is the last piece necessary to write down Y(v,z). Satisfies:

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2. The vertex algebra  $V_*$  was shown to be a lattice vertex algebra by Joyce (17'), which is the most natural vertex algebra with the underlying graded vector space

$$V_* = \mathbb{Q}[\mathbb{Z}^V] \otimes \mathsf{Sym}[t_{i,v}, i > 0, v \in V].$$

# The geometric construction of a Lie algebra

1. The stacky quotient of  $\mathcal{M}_Q$  by  $B\mathbb{G}_m$  is denoted by  $\mathcal{M}_Q^{\mathrm{rig}}$ . As one would expect, there is roughly the correspondence

$$K_* = V_{*+2}/TV_* = H_{*+vdim_{\mathbb{C}}}(\mathcal{M}_Q^{rig})$$
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 .

- 2. Now there are two roles that  $K_*$  plays:
  - 2.1 The classes  $[M]^{vir}$  live in  $K_*$  even if M is not fine.
  - 2.2 K\* has a Lie bracket:

$$[\overline{v},\overline{w}] = \overline{v_0w}\,,\quad \forall v,w \in V_*\,.$$

1. A conformal element  $\omega \in V_4$  leads to a field  $Y(\omega, z) = \sum_{k \in \mathbb{Z}} L_k z^{-k-2}$  with

$$[L_n, L_m] = (n-m)L_{m+n} + \frac{n^3-n}{12}\delta_{n+m,0} \cdot C,$$

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2. In general, there is no  $\omega$  in the geometric  $V_*$  when the symmetrized pairing  $\chi_{\text{sym}}(v,w)=\chi(v,w)+\chi(w,v)$  is degenerate. However, one can define a larger vertex algebra containing  $\omega$  such that  $L_k$ 's restrict to  $V_*$ .

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- 3. To make everything simpler, I will assume that  $\chi_{\text{sym}}$  is non-degenerate, so that there exists a dual basis  $\hat{v} \subset \hat{V}$  to V. Then

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#### Remark

When working with varieties X, we need to include  $H^{\text{odd}}(X) \cong K^1(X,\mathbb{Q})$  into the lattice. One can still write  $\omega = \frac{1}{2} \sum_{v \in B} t_{1,v}^H t_{1,v}^H$  where  $^H$  denotes some holomorphic grading shift leading to odd degrees. The conformal charge is given by  $\chi(X)$ .

## Physical states and the main claim

 Before stating the main observation of the works BLM(22') and B.(23'), I will remark that

$$\int_{[M^{\sigma}_{\overline{J}}]^{\text{in}}} L_{\text{wt}=0}(D) = 0 \qquad \text{for} \quad D \in H^*(\mathcal{M}_Q)$$

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2. Borcherds gave a definition of physical states  $p \in P \subset V_2$  as satisfying the equations

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# Theorem (BLM(22'), B. (23'))

The condition that  $[M_{\alpha}^{\sigma}]^{\text{in}}$  satisfies Virasoro constraints is equivalent to it being a physical state with respect to the  $\omega$  given above. I.e.  $[M_{\alpha}^{\sigma}]^{\text{in}} \in \check{P}$ . In particular, wall-crossing, stated in terms of iterated Lie brackets, preserves Virasoro

In particular, wall-crossing, stated in terms of iterated Lie brackets, preserves Virasoro constraints from the RHS to the LHS of the formula.

#### Theorem

Virasoro constraints hold for the following cases:

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#### Remark

In the second point, I used derived equivalences of the surfaces to quivers. This is the first proof of Virasoro constraints for sheaves on surfaces independent of Witten's conjecture. Using a universality of Virasoro constraints for  $\operatorname{Hilb}^n(S)$ , gives an independent proof of Virasoro constraints for any surface S. In particular, this establishes them as an autonomous phenomenon.