

## Clustering, Self Organizing Maps and Embedding

In this exercise we fit one- and two-dimensional Self-Organizing Maps (SOMs) to toy data.

### 11.1 1d Self-Organizing Map for 2d data (4 points)

- (a) Generate  $p = 1000$  data points uniformly distributed in the rectangle  $\mathbf{x} \in [0, 2] \times [0, 1]$
- (b) Implement a one-dimensional self-organizing map (Kohonen network, online algorithm for SOMs) using a Gaussian neighborhood function

$$h_{qp} = e^{-\frac{(q-p)^2}{2\sigma^2}}$$

- (c) Fit different maps with  $k \in \{4, 8, 16, 32, 64, 128\}$  nodes (prototypes) to the data.  
**Note:** Anneal both the learning rate  $\varepsilon$  and the neighborhood width  $\sigma$ . The start value  $\sigma_0$  has to be large enough to unfold the randomly initialized (scrambled) map in the first iterations.
- (d) Plot the final map in the data space, i.e. the locations of the prototypes and their connections, for each number of nodes  $k$ .

### 11.2 1d Self-Organizing Maps for 3d data (2 points)

- (a) Download and visualize the data contained in the file `spiral.csv`. It contains data described by three coordinates  $x, y, z$ .
- (b) Adapt your previous SOM with Gaussian neighborhood function to fit one dimensional maps with  $k \in \{16, 32, 64, 128\}$  nodes to this dataset.
- (c) Initialize your map as a line along the  $z$  axis, i.e. with  $x = 0, y = 0$ , and  $z = 0, \dots, 5$ .
- (d) Plot the final maps in the data space.

### 11.3 2d Self-Organizing Maps for 3d data (3 points)

- (a) Visualize the 3d-data in the file `bowl.csv`.
- (b) Adapt your previous SOM to fit two-dimensional maps with  $k \times k$  (cartesian) grid topology for e.g.  $k \in \{8, 16, 32\}$  nodes (depending on your computing resources) to this dataset.
- (c) Initialize the prototypes randomly or in an informed way (e.g. arranged as small grid centered on the data mean and spread along the first 2 principal directions of the data).
- (d) For at least 2 different values of  $\sigma$ , plot the map (prototype locations and their “connections”)
  - (i) at  $t_0$ , (ii) an intermediate point, and (iii) in the final configuration in the data space.
- (e) Suggest how to visualize the data points in map space (e.g. to do dimensionality reduction).

Total points: 10