

# Writing Parsers and Compilers with PLY

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# Overview

- Crash course on compilers
- An introduction to PLY
- Notable PLY features (why use it?)
- Experience writing a compiler in Python

# Background

- Programs that process other programs
- Compilers
- Interpreters
- Wrapper generators
- Domain-specific languages
- Code-checkers

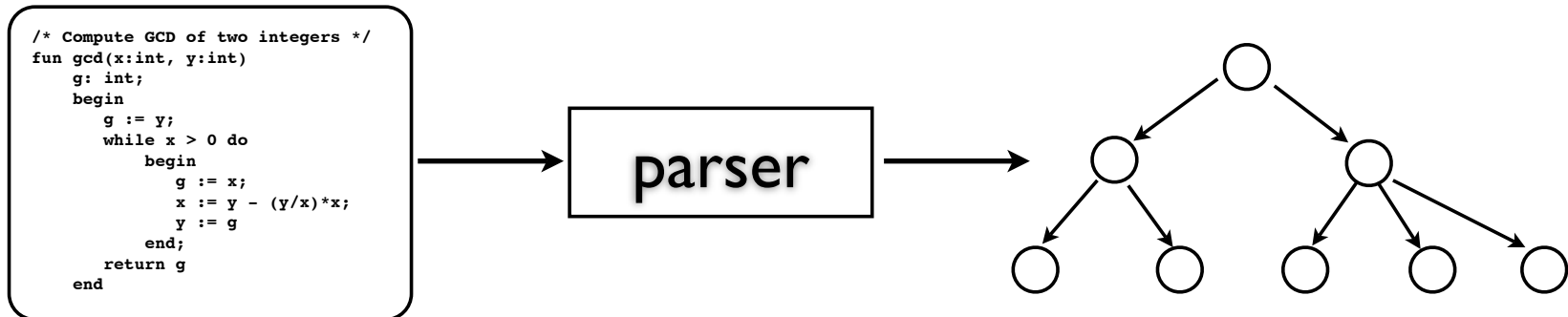
# Example

- Parse and generate assembly code

```
/* Compute GCD of two integers */  
fun gcd(x:int, y:int)  
  g: int;  
  begin  
    g := y;  
    while x > 0 do  
      begin  
        g := x;  
        x := y - (y/x)*x;  
        y := g  
      end;  
    return g  
  end
```

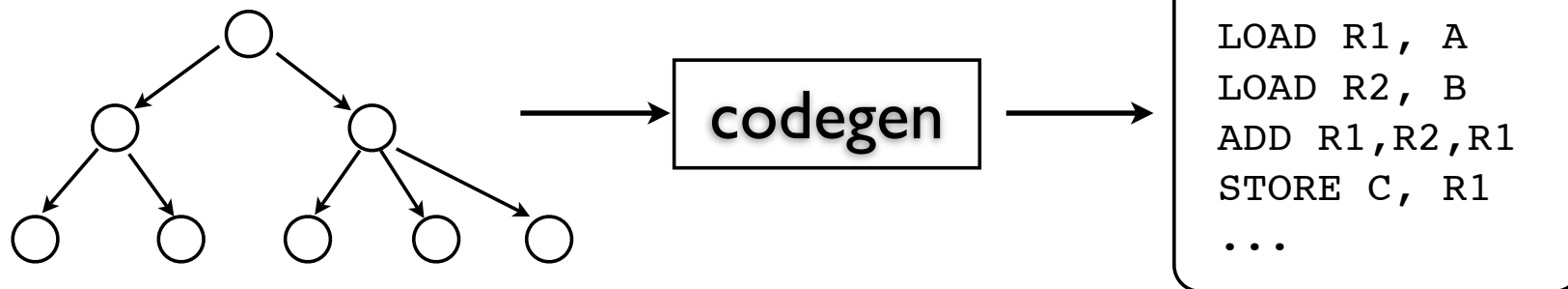
# Compilers I01

- Compilers have multiple phases
- First phase usually concerns "parsing"
- Read program and create abstract representation



# Compilers I01

- Code generation phase
- Process the abstract representation
- Produce some kind of output

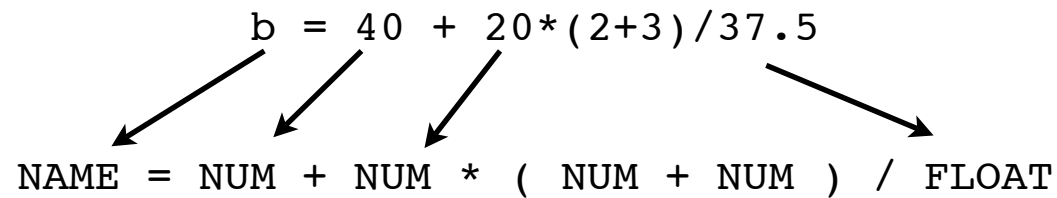


# Commentary

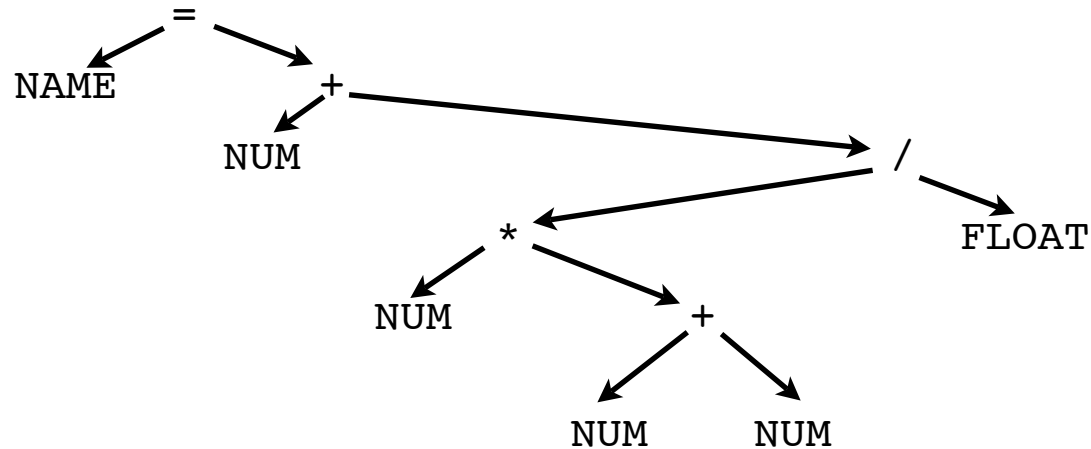
- There are many advanced details
- Most people care about code generation
- Yet, parsing is often the most annoying problem
- A major focus of tool building

# Parsing in a Nutshell

- Lexing : Input is split into tokens



- Parsing : Applying language grammar rules





# Lex & Yacc

- Programming tools for writing parsers
- Lex - Lexical analysis (tokenizing)
- Yacc - Yet Another Compiler Compiler (parsing)
- History:
  - Yacc : ~1973. Stephen Johnson (AT&T)
  - Lex : ~1974. Eric Schmidt and Mike Lesk (AT&T)
- Variations of both tools are widely known
- Covered in compilers classes and textbooks

# Lex/Yacc Big Picture

lexer.l

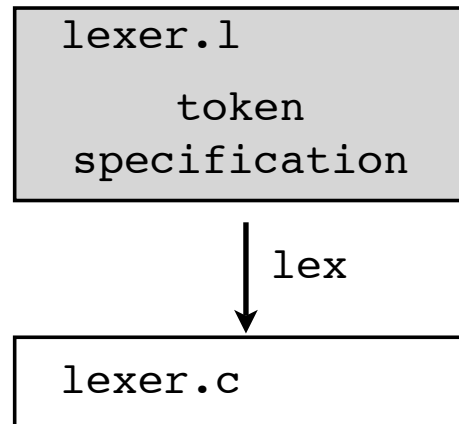
token  
specification

# Lex/Yacc Big Picture

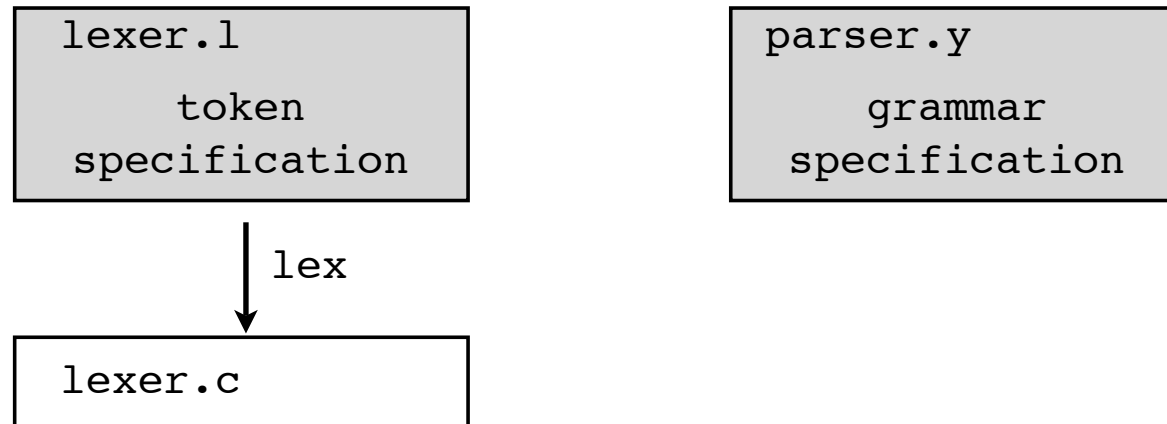
lexer.l

```
/* lexer.l */
%{
#include "header.h"
int lineno = 1;
%}
%%
[ \t]* ;      /* Ignore whitespace */
\n            { lineno++; }
[0-9]+        { yylval.val = atoi(yytext);
               return NUMBER; }
[a-zA-Z_][a-zA-Z0-9_]* { yylval.name = strdup(yytext);
               return ID; }
\+           { return PLUS; }
-            { return MINUS; }
\*           { return TIMES; }
\/           { return DIVIDE; }
=            { return EQUALS; }
%%
```

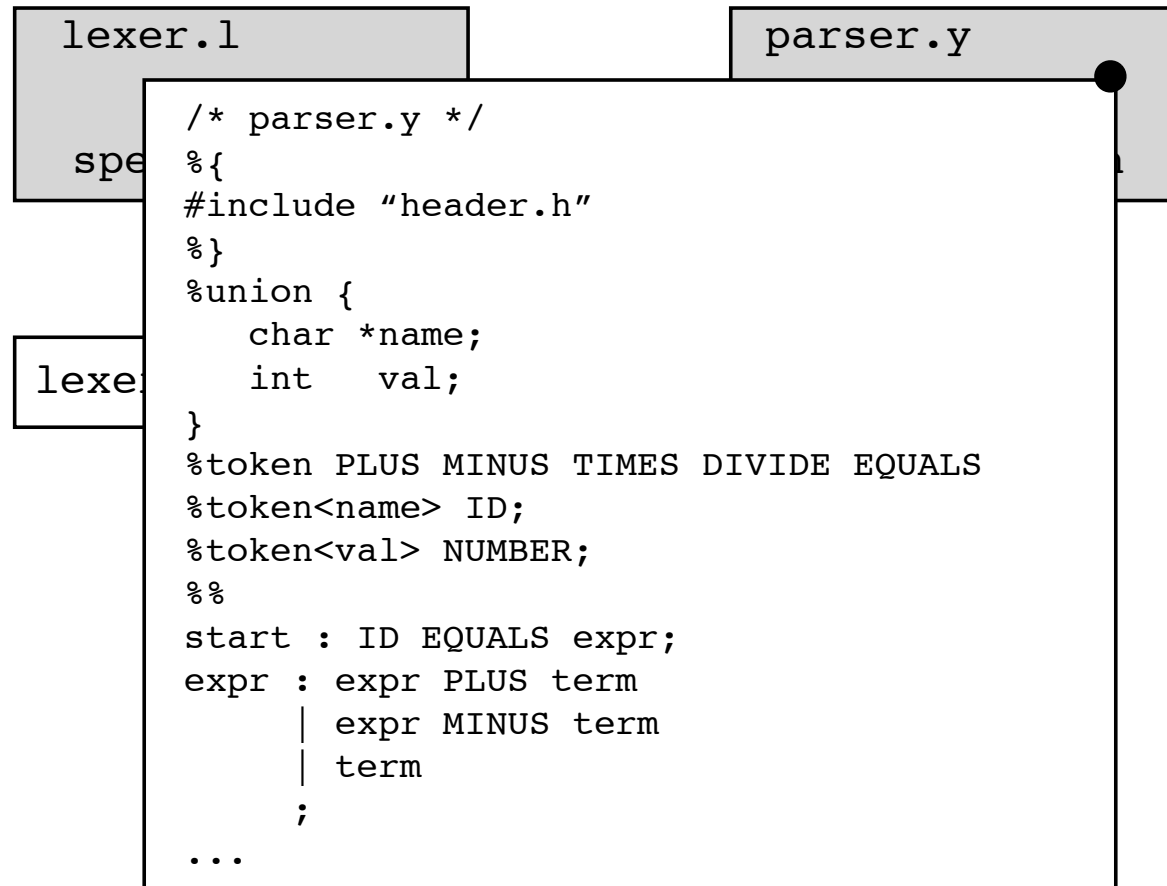
# Lex/Yacc Big Picture



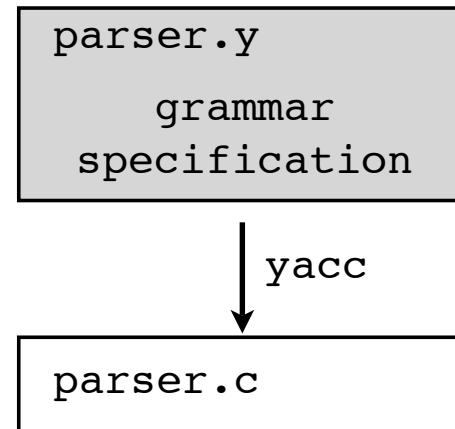
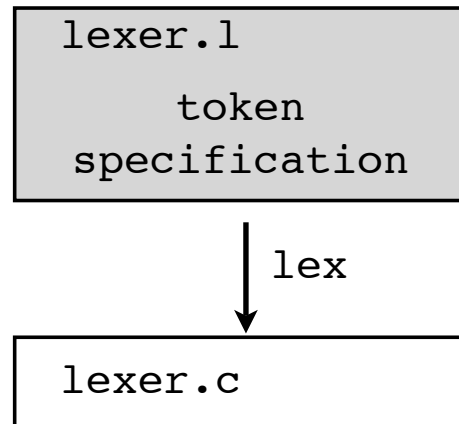
# Lex/Yacc Big Picture



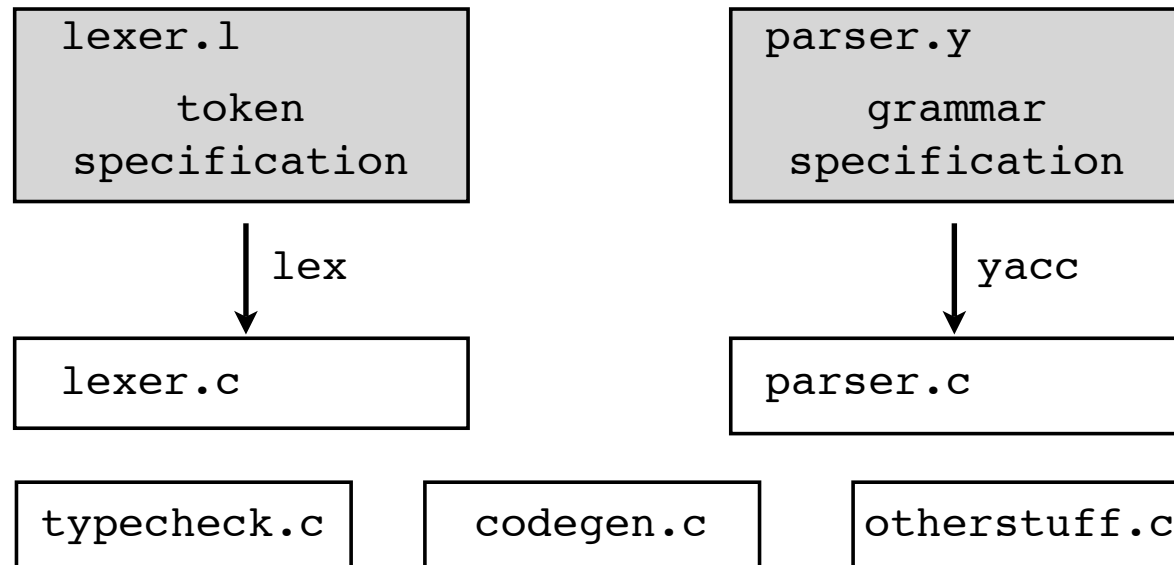
# Lex/Yacc Big Picture



# Lex/Yacc Big Picture

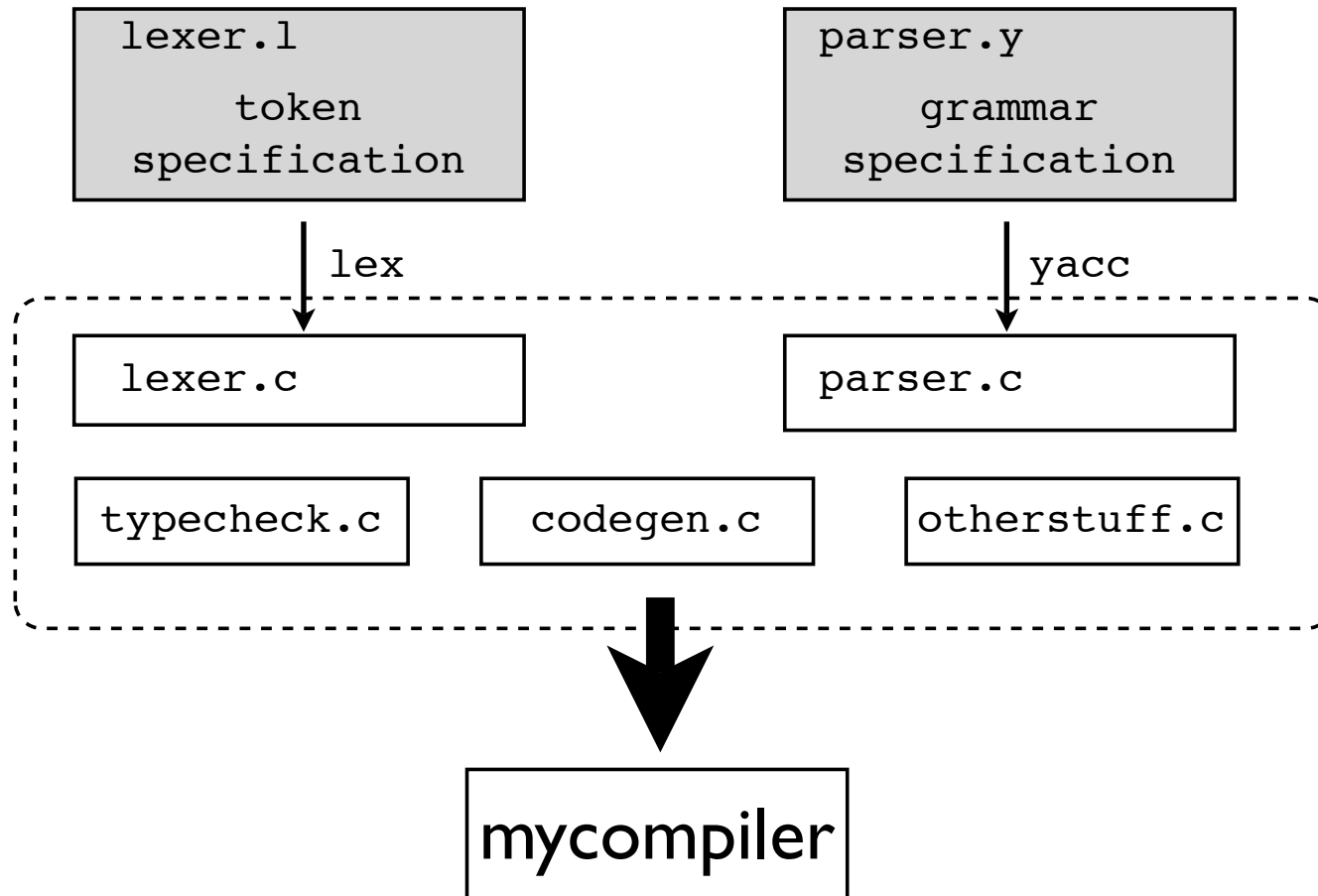


# Lex/Yacc Big Picture





# Lex/Yacc Big Picture



# What is PLY?

- PLY = Python Lex-Yacc
- A Python version of the lex/yacc toolset
- Same functionality as lex/yacc
- But a different interface
- Influences : Unix yacc, SPARK (John Ayccock)

# Some History

- Late 90's : "Why isn't SWIG written in Python?"
- 2001 : Taught a compilers course. Students write a compiler in Python as an experiment.
- 2001 : PLY-1.0 developed and released
- 2001-2005: Occasional maintenance
- 2006 : Major update to PLY-2.x.

# PLY Package

- PLY consists of two Python modules

```
ply.lex  
ply.yacc
```

- You simply import the modules to use them
- However, PLY is not a code generator

# ply.lex

- A module for writing lexers
- Tokens specified using regular expressions
- Provides functions for reading input text
- An annotated example follows...

# ply.lex example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUMBER', 'PLUS', 'MINUS', 'TIMES',
          'DIVIDE', 'EQUALS' ]
t_ignore = ' \t'
t_PLUS   = r'\+'
t_MINUS  = r'\-'
t_TIMES  = r'\*'
t_DIVIDE = r'\/'
t_EQUALS = r'='
t_NAME   = r'[a-zA-Z_][a-zA-Z0-9_]*'

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()           # Build the lexer
```

# ply.lex example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUMBER', 'PLUS', 'MINUS', 'TIMES',
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t_ignore = ' \t'
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def t_NUMBER(t):
    r'\d+'
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lex.lex()                # Build the lexer
```



tokens list specifies  
all of the possible tokens

# ply.lex example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUMBER', 'PLUS', 'MINUS', 'TIMES',
           'DIVIDE', 'EQUALS' ]
t_ignore = ' \t'
t_PLUS   ← = r'\+'
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t_EQUALS = r'='
t_NAME   = r'[a-zA-Z_][a-zA-Z0-9_]*'

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()                                # Build the lexer
```

Each token has a matching  
declaration of the form  
**t\_TOKNAME**



# ply.lex example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUMBER', 'PLUS', 'MINUS', 'TIMES',
          'DIVIDE', 'EQUALS' ]
t_ignore = ' \t'
t_PLUS    = r'\+'
t_MINUS    = r'\-'
t_TIMES    = r'\*'
t_DIVIDE   = r'\/'
t_EQUALS   = r'='
t_NAME     = r'[a-zA-Z_][a-zA-Z0-9_]*'

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()           # Build the lexer
```

These names must match

# ply.lex example

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def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()                # Build the lexer
```

Tokens are defined by  
regular expressions



# ply.lex example

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t_PLUS   = r'\+'
t_MINUS  = r'\-'
t_TIMES  = r'\*' ←
t_DIVIDE = r'\/'
t_EQUALS = r'='
t_NAME   = r'[a-zA-Z_][a-zA-Z0-9_]*'

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()                # Build the lexer
```

For simple tokens,  
strings are used.

# ply.lex example


```
import ply.lex as lex
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          'DIVIDE', 'EQUALS' ]

t_ignore = ' \t'
t_PLUS   = r'\+'
t_MINUS  = r'\-'
t_TIMES  = r'\*'
t_DIVIDE = r'\/'
t_EQUALS = r'\='
t_NAME   = r'[a-zA-Z_]

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()                # Build the lexer
```

Functions are used when  
special action code  
must execute



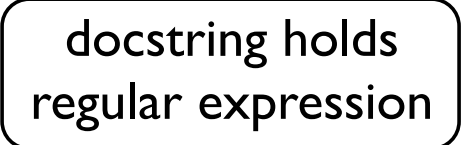
# ply.lex example

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def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()                # Build the lexer
```



A callout box with a black border and rounded corners contains the text "docstring holds regular expression". An arrow points from this box to the **r'\d+'** line in the `t_NUMBER` function definition.

# ply.lex example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUM',
          'DIVIDE', 'E' ]

t_ignore = ' \t' ←
t_PLUS    = r'\+'
t_MINUS   = r'\-'
t_TIMES   = r'\*'
t_DIVIDE  = r'\/'
t_EQUALS  = r'='
t_NAME    = r'[a-zA-Z_][a-zA-Z0-9_]*'

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t

lex.lex()                # Build the lexer
```

Specifies ignored  
characters between  
tokens (usually whitespace)

# ply.lex example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUMBER', 'PLUS', 'MINUS', 'TIMES',
          'DIVIDE', 'EQUALS' ]

t_ignore = ' \t'
t_PLUS   = r'\+'
t_MINUS  = r'\-'
t_TIMES  = r'\*'
t_DIVIDE = r'\/'
t_EQUALS = r'='
t_NAME   = r'[a-zA-Z_][a-zA-Z0-9_]*'

def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t
```

**lex.lex()** ←

Builds the lexer  
by creating a master  
regular expression

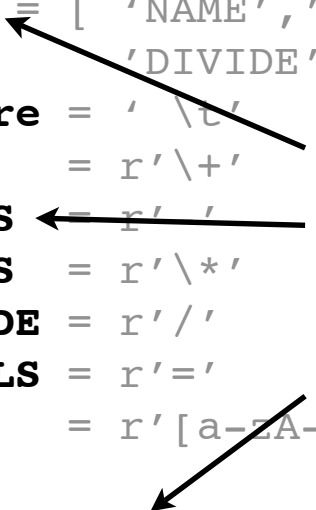
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def t_NUMBER(t):
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lex.lex()                # Build the lexer
```



The diagram illustrates the use of introspection in the ply.lex module. A rounded rectangular box on the right contains the text "Introspection used to examine contents of calling module." Three arrows originate from this box and point to specific elements in the code: the first arrow points to the `tokens` list, the second arrow points to the `t_MINUS` token definition, and the third arrow points to the `t_NUMBER` function definition.



# ply.lex example

```
import ply.lex as lex
tokens = [ 'NAME', 'NUMBER', 'PLUS', 'MINUS', 'TIMES',
            'DIVIDE', 'EQUALS' ]
```

```
t_ignore = ' \t'
```

```
t_PLUS   = r'\+'
```

```
t_MINUS = r'\-'
```

```
t_TIMES = r'\*'
```

```
t_DIVIDE = r'\/'
```

```
t_EQUALS = r'='
```

```
t_NAME   = r'[a-zA-Z_][a-zA-Z0-9_]*'
```

```
def t_NUMBER(t):
    r'\d+'
    t.value = int(t.value)
    return t
```

```
lex.lex()           # Build
```

Introspection used  
to examine contents  
of calling module.

```
__dict__ = {
    'tokens' : [ 'NAME' ...],
    't_ignore' : ' \t',
    't_PLUS' : '\\\+',
    ...
    't_NUMBER' : <function ...
}
```

# ply.lex use

- Two functions: `input()` and `token()`

```
...
lex.lex()          # Build the lexer
...
lex.input("x = 3 * 4 + 5 * 6")
while True:
    tok = lex.token()
    if not tok: break

    # Use token
    ...
```

# ply.lex use

- Two functions: `input()` and `token()`

```
...
lex.lex()          # Build the lexer
...
lex.input("x = 3 * 4 + 5 * 6")
while True:
    tok = lex.token()
    if not tok: break

    # Use token
...
```

`input()` feeds a string  
into the lexer

# ply.lex use

- Two functions: `input()` and `token()`

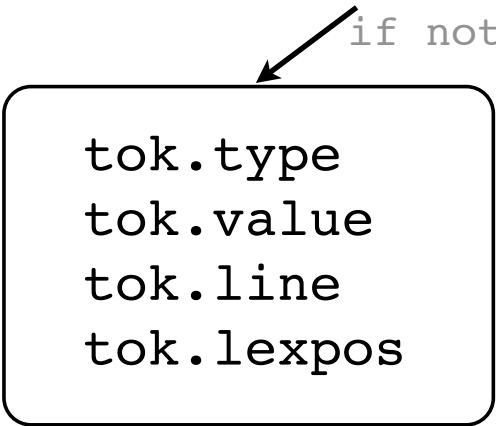
```
...  
lex.lex()          # Build the lexer  
...  
lex.input("x = 3 * 4 + 5 * 6")  
while True:  
    tok = lex.token() ←  
    if not tok: break  
  
    # Use token  
    ...
```

`token()` returns the  
next token or None

# ply.lex use

- Two functions: input() and token()

```
...  
lex.lex()          # Build the lexer  
...  
lex.input("x = 3 * 4 + 5 * 6")  
while True:  
    tok = lex.token()  
    if not tok: break
```



tok.type  
tok.value  
tok.line  
tok.lexpos

token

# ply.lex use

- Two functions: `input()` and `token()`

```
...  
lex.lex()          # Build the lexer  
...  
lex.input("x = 3 * 4 + 5 * 6")  
while True:  
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    if not tok: break
```

**tok.type**  
tok.value  
tok.line  
tok.lexpos

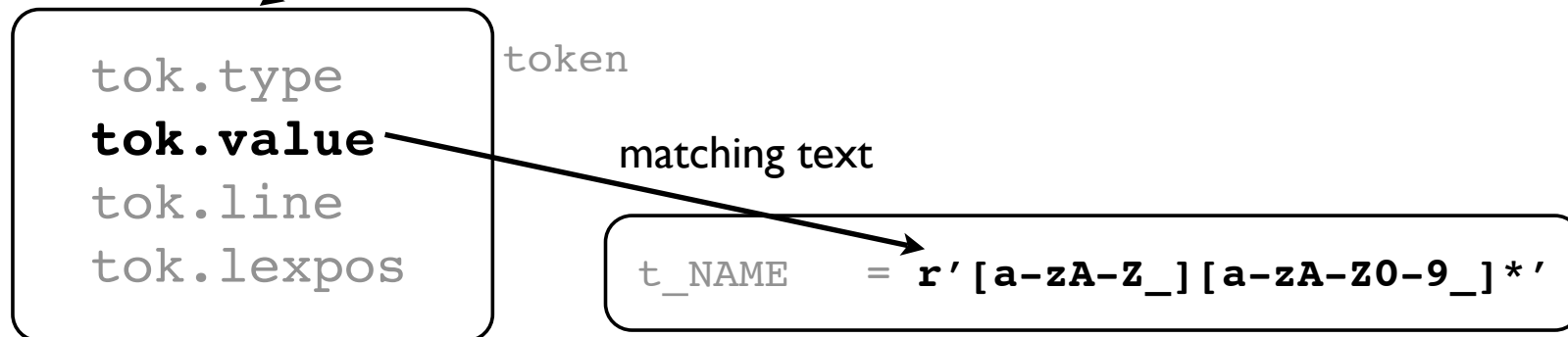
token

t\_**NAME** = r'[a-zA-Z\_][a-zA-Z0-9\_]\*'

# ply.lex use

- Two functions: `input()` and `token()`

```
...  
lex.lex()          # Build the lexer  
...  
lex.input("x = 3 * 4 + 5 * 6")  
while True:  
    tok = lex.token()  
    if not tok: break
```



# ply.lex use

- Two functions: `input()` and `token()`

```
...  
lex.lex()          # Build the lexer  
...  
lex.input("x = 3 * 4 + 5 * 6")  
while True:  
    tok = lex.token()  
    if not tok: break
```

`tok.type`

`tok.value`

**`tok.line`**

**`tok.lexpos`**

token

Position in input text



# ply.lex Commentary

- Normally you don't use the tokenizer directly
- Instead, it's used by the parser module