



# Algorithm Efficiency

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## A review on algorithm

## What is Algorithm?

- An algorithm is
  - a strictly defined **finite** sequence of **well-defined** steps (statements, often called instructions or commands)
  - that provides the solution to a problem.



## Algorithm

- Give some examples of algorithms.



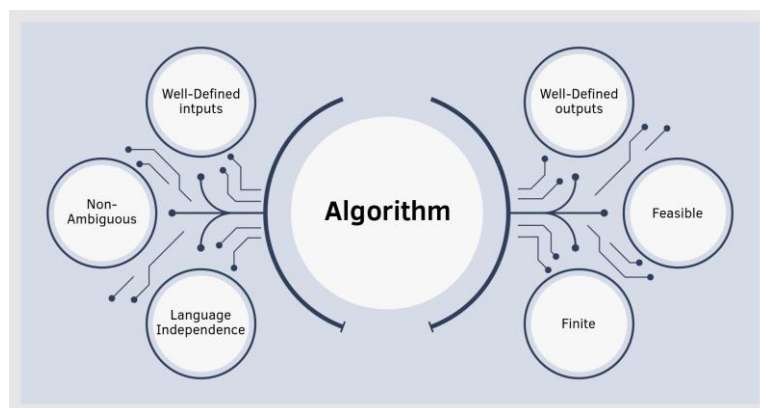
## An Example

- Input: No
- Output: what do you think about the output?
- Step 1. Assign  $\text{sum} = 0$ . Assign  $i = 0$ .
- Step 2.
  - Assign  $i = i + 1$
  - Assign  $\text{sum} = \text{sum} + i$
- Step 3. Compare  $i$  with 10
  - if  $i < 10$ , back to step 2.
  - otherwise, if  $i \geq 10$ , go to step 4.
- Step 4. return  $\text{sum}$

## Characteristics of Algorithms

- **Finiteness**  
For any input, the algorithm must terminate after a finite number of steps.
- **Correctness**  
Always correct. Give the same result for different run time.
- **Definiteness**  
All steps of the algorithm must be precisely defined.
- **Effectiveness**  
It must be possible to perform each step of the algorithm correctly and in a finite amount of time.

## Characteristics of Algorithms



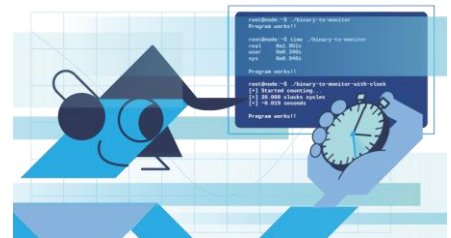
## Algorithm Efficiency

- The two factors of Algorithm Efficiency are:
  - **Time Factor:** Time is measured by counting the number of key operations.
  - **Space Factor:** Space is measured by counting the maximum memory space required by the algorithm.

## Measuring Efficiency of Algorithms

- Can we compare two algorithms (in time factor) like this?
  - Implement those algorithms (into programs)
  - Calculate the execution time of those programs
  - Compare those two values of time measurement.

Is it fair in this measuring process?





## Measuring Efficiency of Algorithms

- Difficulties with comparing programs instead of algorithms
  - How are the algorithms coded?
  - What computer should you use?
  - What data should the programs use?



## Measuring Efficiency of Algorithms

- Comparison of algorithms should focus on **significant differences** in efficiency
- Employ mathematical techniques that analyze algorithms **independently** of specific implementations, computers, or data.

## Execution Time of Algorithm

- Time complexity is measured by counting the **primitive operations** for the computation that the algorithm needs to perform.
  - Comparisons
  - Assignments
- Derive an algorithm's time requirement as a function of **the problem size**
  - Algorithm A requires  $n^2/5$  time unit to solve a problem of size  $n$ .
  - Algorithm B requires  $5 \times n$  time unit to solve a problem of size  $n$ .

## Execution Time of Algorithm

- Traversal of linked nodes – example:

```
Node<ItemType>* curPtr = headPtr;      ← 1 assignment
while (curPtr != nullptr)              ← n + 1 comparisons
{
    cout << curPtr->getItem() < endl;    ← n writes
    curPtr = curPtr->getNext();          ← n assignments
} // end while
```

- Assignment:  $a$  time units.
- Comparison:  $c$  time units.
- Write:  $w$  time units.
- Displaying data in linked chain of  $n$  nodes requires time proportional to  $n$



## Execution Time of Algorithm

- Nested loops

```
for (i = 1 through n)
  for (j = 1 through i)
    for (k = 1 through 5)
      Task T
```

- Task  $T$  requires  $t$  time units.



## Previous Example

- **Step 1.** Assign  $\text{sum} = 0$ . Assign  $i = 0$ .
- **Step 2.**
  - Assign  $i = i + 1$
  - Assign  $\text{sum} = \text{sum} + i$
- **Step 3.** Compare  $i$  with **10**
  - if  $i < 10$ , back to step 2.
  - otherwise, if  $i \geq 10$ , go to step 4.
- **Step 4.** Return  $\text{sum}$

How many

- Assignments?
- Comparisons?





## Another Example

- **Step 1.** Assign  $\text{sum} = 0$ . Assign  $i = 0$ .
- **Step 2.**
  - Assign  $i = i + 1$
  - Assign  $\text{sum} = \text{sum} + i$
- **Step 3.** Compare  $i$  with  $n$ 
  - if  $i < n$ , back to step 2.
  - otherwise, if  $i \geq n$ , go to step 4.
- **Step 4.** Return  $\text{sum}$

How many

- Assignments?
- Comparisons?



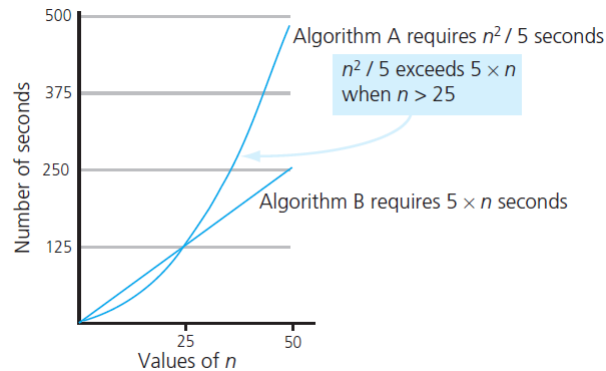
## Algorithm Growth Rates

- Measure algorithm's time requirement **as a function** of problem size
- Compare algorithm efficiencies for **large problems**
- Look only at **significant differences**.



## Algorithm Growth Rates

- Time requirements as a function of the problem size  $n$



## Analysis and Big O Notation



## Big O Notation

### ○ Definition:

- Algorithm A is order  $f(n)$ 
  - Denoted  $O(f(n))$
- If constants  $k$  and  $n_0$  exist
- Such that A requires **no more** than  $k \times f(n)$  time units to solve a problem of size  $n \geq n_0$ .

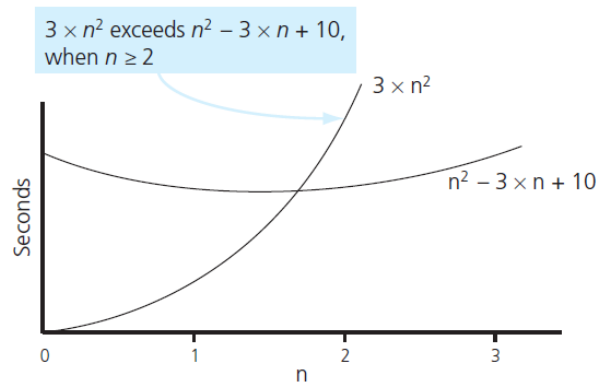


## Example

- An algorithm requires  $n^2 - 3 \times n + 10$  (time units). What is the order of algorithm?
  - Hint: Find the values  $k$  va  $n_0$ .



## Example



The graphs of  $3 \times n^2$  and  $n^2 - 3 \times n + 10$



## Another Example

- How about the order of an algorithm requiring

$$(n + 1) \times (a + c) + n \times w$$

time units?



## Another Example

- Another algorithm requires  $n^2 + 3 \times n + 2$  time units. What is the order of this algorithm?



## Common Growth-Rate Functions

- $f(n) =$ 
  - 1: Constant
  - $\log_2 n$ : Logarithmic
  - $n$ : Linear
  - $n \times \log_2 n$ : Linearithmic
  - $n^2$ : Quadratic
  - $n^3$ : Cubic
  - $2^n$ : Exponential

## Common Growth-Rate Functions

- Order of growth of some common functions

$$O(1) < O(\log_2 n) < O(n) < O(n \times \log_2 n) < O(n^2) < O(n^3) < O(2^n)$$

## Common Growth-Rate Functions

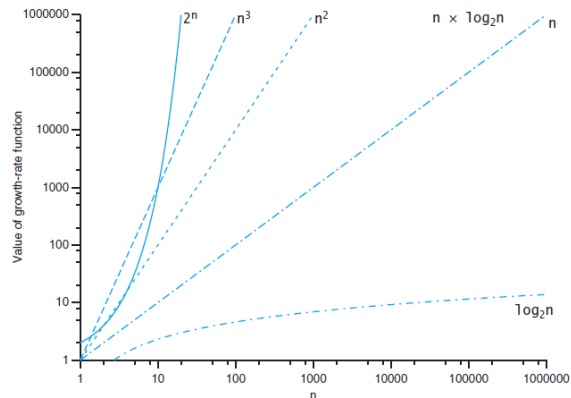
- A comparison of growth-rate functions in **tabular form**

Function	n					
	10	100	1,000	10,000	100,000	1,000,000
1	1	1	1	1	1	1
$\log_2 n$	3	6	9	13	16	19
n	10	$10^2$	$10^3$	$10^4$	$10^5$	$10^6$
$n \times \log_2 n$	30	664	9,965	$10^5$	$10^6$	$10^7$
$n^2$	$10^2$	$10^4$	$10^6$	$10^8$	$10^{10}$	$10^{12}$
$n^3$	$10^3$	$10^6$	$10^9$	$10^{12}$	$10^{15}$	$10^{18}$
$2^n$	$10^3$	$10^{30}$	$10^{301}$	$10^{3,010}$	$10^{30,103}$	$10^{301,030}$



## Common Growth-Rate Functions

- A comparison of growth-rate functions in **graphical form**



## Properties of Growth-Rate Functions

- Ignore low-order terms
- Ignore a multiplicative constant in the high-order term
- $O(f(n)) + O(g(n)) = O(f(n) + g(n))$



## Some Useful Results

### ○ Constant Multiplication:

- If  $f(n)$  is  $O(g(n))$  then  $c.f(n)$  is  $O(g(n))$ , where  $c$  is a constant.

### ○ Polynomial Function:

- $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$  is  $O(x^n)$ .



## Some Useful Results

### ○ Summation Function:

- If  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$
- Then  $f_1(n) + f_2(n)$  is  $O(\max(g_1(n), g_2(n)))$

### ○ Multiplication Function:

- If  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$
- Then  $f_1(n) \times f_2(n)$  is  $O(g_1(n) \times g_2(n))$





## Quiz

Are these functions of order  $O(x)$ ?

- a)  $f(x) = 10$
- b)  $f(x) = 3x + 7$
- c)  $f(x) = 2x^2 + 2$



## Quiz

What are the order of the following functions?

- $f(n) = (2 + n)(3 + \log_2 n)$
- $f(n) = 11 \log_2 n + \frac{n}{2} - 3542$
- $f(n) = n(3 + n) - 7n$
- $f(n) = \log_2(n^2) + n$



## Quiz

○ What are the order of the following functions?

- $f(n) = 3^n + n^5$
- $f(n) = 5n^3 + 100n^2 + 200$
- $f(n) = n \log n + 500n$
- $f(n) = \sqrt{n} + \log n$
- $f(n) = n! + 2^n$



## Notes

○ Use like this:

- $f(x)$  is  $O(g(x))$ , or
- $f(x)$  is of order  $g(x)$ , or
- $f(x)$  has order  $g(x)$



## Algorithm Efficiency



## Algorithm Efficiency

- Best case scenario
- Worst case scenario
- Average case scenario



## An Algorithm to Analyze

- Input:
- Output:
  
- **Step 1.** Set the first integer the temporary maximum value (`temp_max`).
- **Step 2.** Compare the current value with the `temp_max`.
  - If it is greater than, assign the current value to `temp_max`.
- **Step 3.** If there is other integer in the list, move to next value. Back to step 2.
- **Step 4.** If there is no more integer in the list, stop.
- **Step 5.** return `temp_max` (the maximum value of the list).



## Another Algorithm to Analyze

- Input:
- Output:
  
- Step 1. Assign  $i = 0$
- Step 2. While  $i < n$  and  $x \neq a_i$ , increase  $i$  by 1.  
    while ( $i < n$  and  $x \neq a_i$ )  
         $i = i + 1$
- Step 3.
  - If  $i < n$ , return  $i$ .
  - Otherwise ( $i \geq n$ ), return  $-1$  to tell that  $x$  does not exist in list  $a$ .



## Another Algorithm to Analyze

- Use comparisons for counting.
- Worst case:
  - When it occurs?
  - How many operations?
- Best case:
  - When it occurs?
  - How many operations?



## Another Algorithm to Analyze

- Use comparisons for counting.
- Average case:
  - If  $x$  is found at position  $i^{\text{th}}$ , the number of comparisons is  $2i + 1$ .
  - The average number of comparisons is:
$$\frac{3+5+7+\dots+(2n+1)}{n} = \frac{2(1+2+3+\dots+n)+n}{n} = \frac{2\frac{n(n+1)}{2}+n}{n} = n+2$$

## Analysis of Algorithms

- Decide **n** – the input size
- Identify the algorithm's **basic operation** (as a rule, it is in the innermost loop)
- Check whether the number of times the basic operation is executed depends only on n
  - If it depends on some additional property, specify the **worst-case** for Big-Oh
- Set up a **sum** expressing the number of times the algorithm's basic operation is executed.
- Find a closed-form formula for the count and **establish its order of growth**.

## Analysis of Algorithms

- **Example:** Check whether all the elements in a given array of  $n$  elements are distinct.

```
UniqueElements(A[0..n - 1])  
//Determines whether all the elements in a given array are distinct  
//Input: An array A[0..n - 1]  
//Output: Returns "true" if all the elements in A are distinct  
// and "false" otherwise  
for i ← 0 to n - 2 do  
    for j ← i + 1 to n - 1 do  
        if A[i] = A[j] Basic operation  
            return false  
return true
```



## Analysis of Algorithms

□ Worst-case:

$$\begin{aligned} C_{\text{worst}}(n) &= \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [(n-1) - (i+1) + 1] = \sum_{i=0}^{n-2} (n-1-i) \\ &= \sum_{i=0}^{n-2} (n-1) - \sum_{i=0}^{n-2} i = (n-1) \sum_{i=0}^{n-2} 1 - \frac{(n-2)(n-1)}{2} \\ &= (n-1)^2 - \frac{(n-2)(n-1)}{2} = \frac{(n-1)n}{2} \approx \frac{1}{2}n^2 \end{aligned}$$



## Keeping Your Perspective

- If problem size always small, ignore an algorithm's efficiency
- Weigh trade-offs between algorithm's time and memory requirements
- Compare algorithms for both style and efficiency



## Exercises



## Exercise

- Propose an algorithm to calculate the value of  $S$  defined below. What order does the algorithm have?

$$S = 1 + \frac{1}{2} + \frac{1}{6} + \dots + \frac{1}{n!}$$

- How many comparisons, assignments are there in the following code fragment with the size  $n$ ?

```
sum = 0;
for (i = 0; i < n; i++)
{
    std::cin >> x;
    sum = sum + x;
}
```



## Exercise

How many assignments are there in the following code fragment with the size  $n$ ?

```
for (i = 0; i < n ; i++)
    for (j = 0; j < n; j++)
    {
        C[i][j] = 0;
        for (k = 0; k < n; k++)
            C[i][j] = C[i][j] + A[i][k]*B[k][j];
    }
```

## Exercise

- Give the order of growth (as a function of  $N$ ) of the running time of the following code fragment:

```
int sum = 0;
for (int n = N; n > 0; n /= 2)
    for (int i = 0; i < n; i++)
        sum++;
```

## Exercise

- Give the order of growth (as a function of  $N$ ) of the running time of the following code fragment:

```
int sum = 0;
for (int i = 1; i < N; i *= 2)
    for (int j = 0; j < i; j++)
        sum++;
```

## Exercise

- Give the order of growth (as a function of  $N$ ) of the running time of the following code fragment:

```
int sum = 0;
for (int i = 1; i < N; i *= 2)
    for (int j = 0; j < N; j++)
        sum++;
```



## Questions and Answers