

# Theory of Computation

## Example of NP

$SAT = \{ \phi \mid \phi \text{ is a satisfiable boolean expression} \}$

$3SAT = \{ \phi \mid \phi \text{ is satisfiable 3 cnf boolean expression} \}$

$CLIQUE = \{ \langle G, k \rangle \mid G \text{ graph with clique of size } k \}$

$HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ graph with a hamiltonian path from } s \text{ to } t \}$

$3COLOR = \{ \langle G \rangle \mid \text{Graph } G, 3 \text{ colorable} \}$

$Subset-sum = \{ \langle S, t \rangle \mid S = \{x_1, \dots, x_n\}, s' \subseteq S : s' \text{ sum} = t \}$

Def<sup>n</sup>  $L$  is NP-Complete

i)  $L \in NP$

ii)  $\forall L' \in NP, L' \leq_p L$

remark

If  $\exists L$  NP-Complete,

$L \in P$ , then  $P = NP$

Thm: If  $A \in NP$  and  $B \leq_p A$ ,  $B$  is NP complete, then  $A$  NP-Complete.

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Thm:  $3SAT \leq_p CLIQUE$  (Since we proved  $CLIQUE \in NP$ , this will imply  $CLIQUE$  is NP-Complete)

Proof: Let  $\phi$  be a  $k$  term boolean expression in 3CNF.

$$\phi = (a_1 \vee b_1 \vee c_1) \wedge \dots \wedge (a_k \vee b_k \vee c_k)$$

We map this expression to some graph  $\langle G, k \rangle$ ,  $(\phi \mapsto \langle G, k \rangle)$ , such that

$$\phi \in 3SAT \iff \langle G, k \rangle \in CLIQUE$$

and  $f$  is computable in polytime

Let the vertex set of  $G$ ,  $V$  be defined by

$$V = \{V_a, V_b, V_c, \dots, V_{a_k}, V_{b_k}, V_{c_k}\}$$

s.t. size of  $V$ ,  $|V| = 3k$ .

and edge set of  $G$ ,  $E$  be almost fully connected except for . . . .

- 1) Vertices in same Clause
- 2) Vertices  $x_i, \bar{x}_i$  (negated versions of each other)

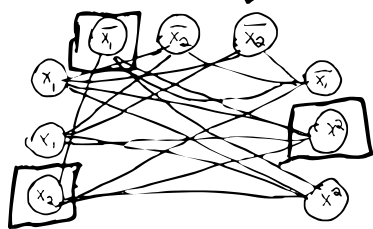
i.e.

$$E = \{(v, v') \mid v, v' \in V\} \setminus \left\{ \bigcup_{i=1}^k \{(V_{a_i}, V_{b_i}), (V_{b_i}, V_{c_i}), (V_{c_i}, V_{a_i})\} \right. \\ \left. \setminus \{(v, v') \mid v \text{ and } v' \text{ correspond to negated literals i.e. } v = x_i, v' = \bar{x}_i\} \right\}$$

i.e.

$$\Phi = (x_1 \vee \bar{x}_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_2)$$

transforms  $\Downarrow$  to



$$x_1 = F, x_2 = T$$

Computation Time

- Input  $3k$  literal

$$|V| = 3k = O(k)$$

$$|E| = \binom{3k}{2} = O(k^2)$$

$$\text{So } f \in \text{TIME}(k^2) \subseteq P$$

side remark

$$A \Leftrightarrow B \equiv$$

$$A \Rightarrow B \wedge B \Rightarrow A$$

OR

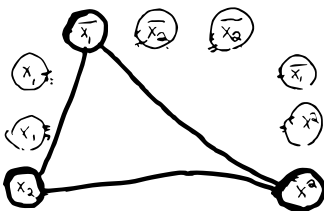
$$(A \Rightarrow B) \wedge (\neg A \Rightarrow \neg B)$$

$$1) \phi \in 3SAT \Rightarrow \langle G, k \rangle \in CLIQUE$$

Assume  $\phi$  has a satisfying assignment. This means in each clause has a true literal. Choose one true literal in each clause. There are  $k$  clauses, so we picked  $k$  nodes. This forms a  $k$  clique because each pair of nodes come from different clauses, and does not fall under first exception. Secondly, both nodes correspond to a true literal, so cannot be  $x_i, \bar{x}_i$  pair since both can't be true simultaneously.

$$2) \langle G, k \rangle \in CLIQUE \Rightarrow \phi \in 3SAT$$

Assume we have identified a clique. So we have  $k$ -nodes all connect. Since we know no nodes in same clause are connected, can't have 2 nodes in the same clause, thus each vertex corresponds to different clause (pigeonhole,  $k \leq \text{clauses}$ ,  $k$  nodes). Use these  $k$  nodes to assign true values to literals they represent. Since we can never select negated versions, and we have 1 true literal per clause, this is a valid and satisfying assignment.



$$\neg x_1 = T \quad ; \quad x_2 = T \quad \Rightarrow \quad \begin{matrix} x_1 = F \\ x_2 = T \end{matrix}$$

Thm

$3SAT \leq_p \text{HAMPATH}$  (which will prove HAMPATH is NP complete)

Proof:

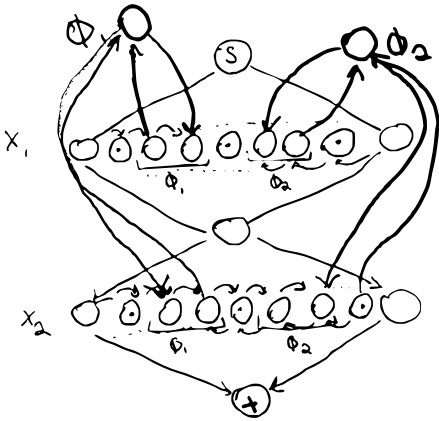
Want a mapping

$$\phi \xrightarrow{F} \langle G, s, t \rangle$$

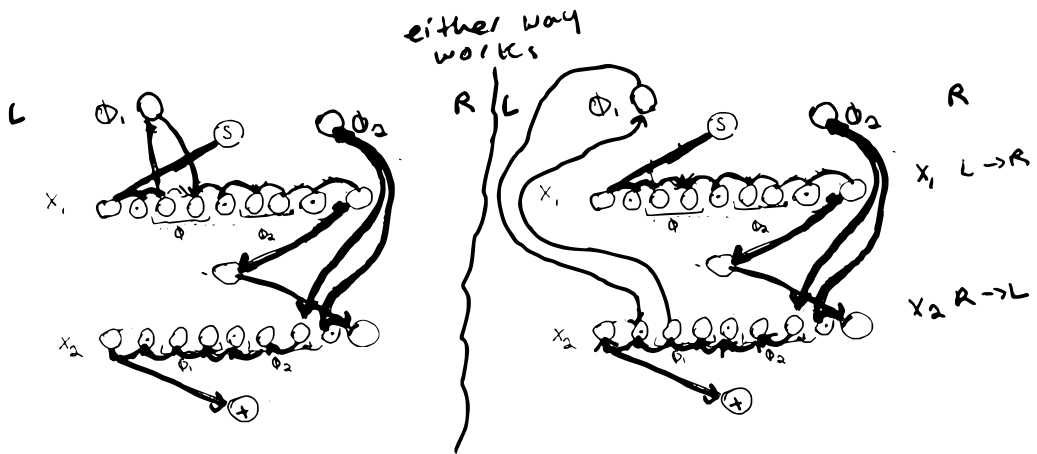
$\phi \in \text{CNF}$

s.t.  $\phi \in 3SAT \iff \langle G, s, t \rangle \in \text{HAMPATH}$

ex  $\phi = (\underbrace{x_1 \vee x_1 \vee \bar{x}_2}_{\phi_1}) \wedge (\underbrace{\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2}_{\phi_2})$



• vertex is  
buffer vertex



for example

Since  $X_1 = T$  traverse diamond  $L \rightarrow R$   
 and  $X_2 = F$  traverse diamond  $R \rightarrow L$   
 not possible coz we need to  
 visit  $\Phi_1$

for each detour, for each clause  $\Phi$   
 choose one of the true literals  $X_r$  or  
 $\bar{X}_r$ , then when traversing the diamond  
 correspondingly to  $X_r$ , take the detour to  
 $\Phi_j$ . Since  $X_r$  makes the clause  $\Phi_j$  true if

- $X_i = T$ , then  $X_i$  in  $\Phi$ , we are traversing  $L \rightarrow R$  and detour is hooked  $L \rightarrow R$  so we can take detour
- $X_i = F$ ,  $\bar{X}_i$  in  $\Phi_j$ , then we are traversing  $R \rightarrow L$ , this detour is hooked  $R \rightarrow L$  so we can take detour

Given a satisfying assignment  $\phi \Rightarrow$  ham path  $G$ ,  $S$  to  $T$ .

- Assuming a ham path  $S \rightarrow T$  exists a satisfying assignment

Can extract a satisfying assignment

i.e.  $L \rightarrow R$  through  $x_i = T$  if  $L \leftarrow R$  then

$x_i = F$ .

$\hookrightarrow$  If we do detour at  $A_1$  and go to diff diamond, then we can never incorporate the next node  $A_2$  into a hamiltonian path.