



中山大學

SUN YAT-SEN UNIVERSITY

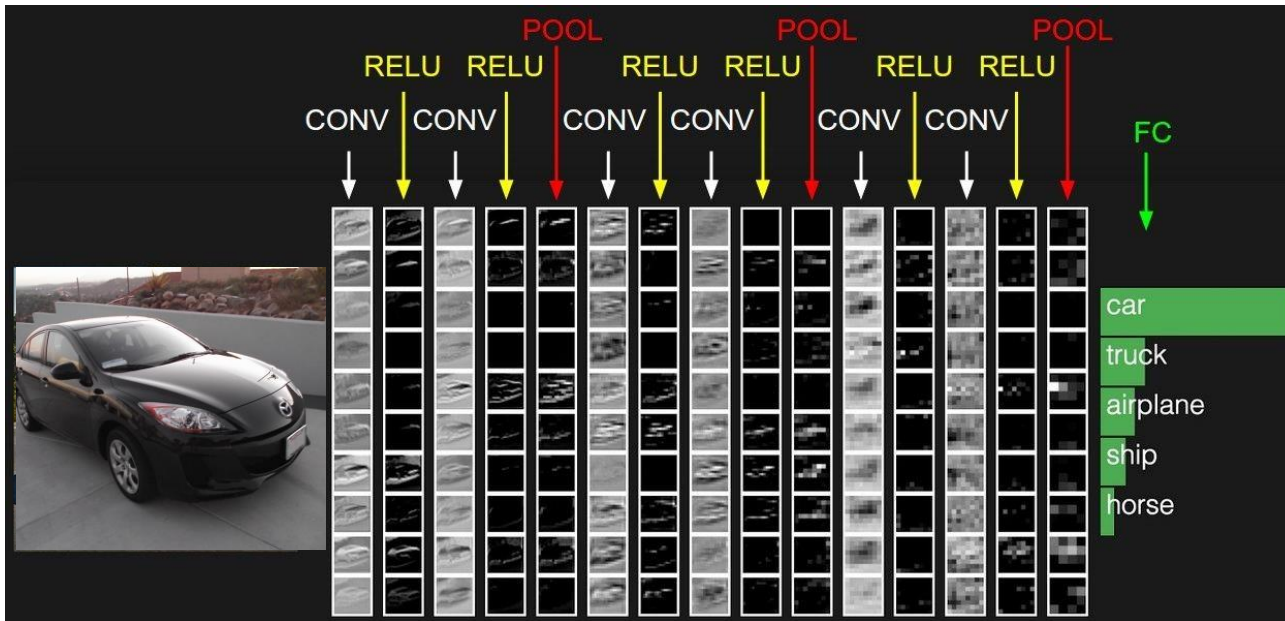
# Lecture 20: CNN Architectures

**Pattern Recognition and Computer Vision**

Guanbin Li,

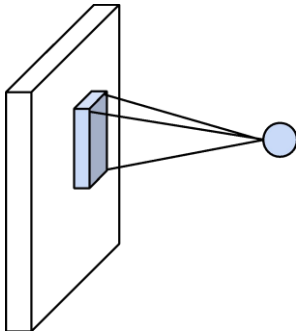
School of Computer Science and Engineering, Sun Yat-Sen University

# Recap: Convolutional Neural Networks

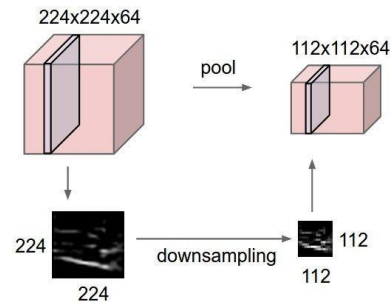


# Components of CNNs

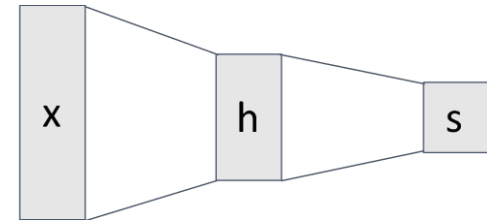
## Convolution Layers



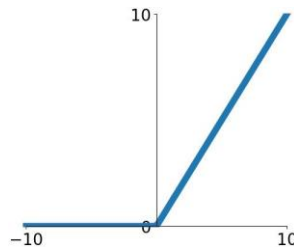
## Pooling Layers



## Fully-Connected Layers



## Activation Function



## Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

# Batch Normalization

---

Consider a single layer  $y = Wx$

The following could lead to tough optimization:

- Inputs  $x$  are not *centered around zero* (need large bias)
- Inputs  $x$  have different scaling per-element (entries in  $W$  will need to vary a lot)

Idea: force inputs to be "nicely scaled" at each layer!

# Batch Normalization

---

[Ioffe and Szegedy, 2015]

“you want zero-mean unit-variance activations? just make them so.”

consider a batch of activations at some layer. To make each dimension zero-mean unit-variance, apply:

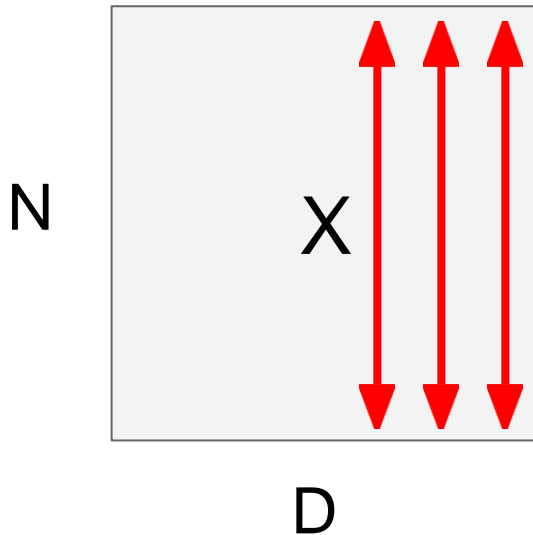
$$\hat{x}^{(k)} = \frac{x^{(k)} - \mathbb{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

this is a vanilla  
differentiable function...

# Batch Normalization

[Ioffe and Szegedy, 2015]

**Input:**  $x : N \times D$



$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel mean,  
shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel var,  
shape is D

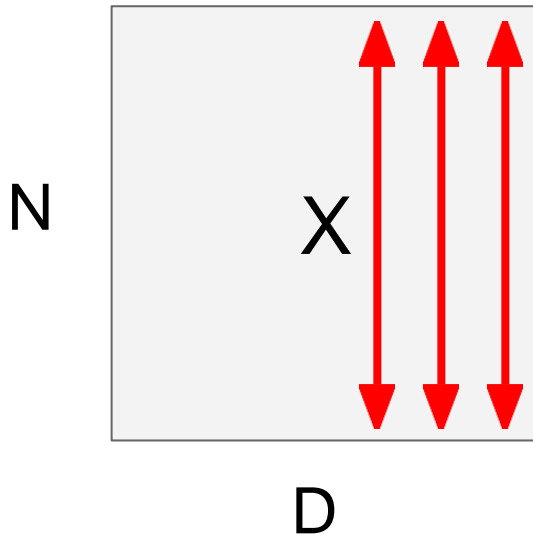
$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,  
Shape is N x D

# Batch Normalization

[Ioffe and Szegedy, 2015]

**Input:**  $x : N \times D$



$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel mean,  
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$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel var,  
shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,  
Shape is N x D

**Problem:** What if zero-mean, unit variance is too hard of a constraint?

# Batch Normalization

[Ioffe and Szegedy, 2015]

**Input:**  $x : N \times D$

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel mean,  
shape is D

**Learnable scale and  
shift parameters:**

$$\gamma, \beta : D$$

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel var,  
shape is D

Learning  $\gamma = \sigma$ ,  
 $\beta = \mu$  will recover  
the identity function!

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,  
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,  
Shape is N x D



# Batch Normalization

Estimates depend on minibatch;  
can't do this at test-time!

**Input:**  $x : N \times D$

**Learnable scale and shift parameters:**

$$\gamma, \beta : D$$

Learning  $\gamma = \sigma$ ,  
 $\beta = \mu$  will recover  
the identity function!

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel mean,  
shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel var,  
shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,  
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,  
Shape is N x D

# Batch Normalization: Test-Time

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**Input:**  $x : N \times D$

**Learnable scale and shift parameters:**

$$\gamma, \beta : D$$

$\mu_j =$  (Running) average of values seen during training

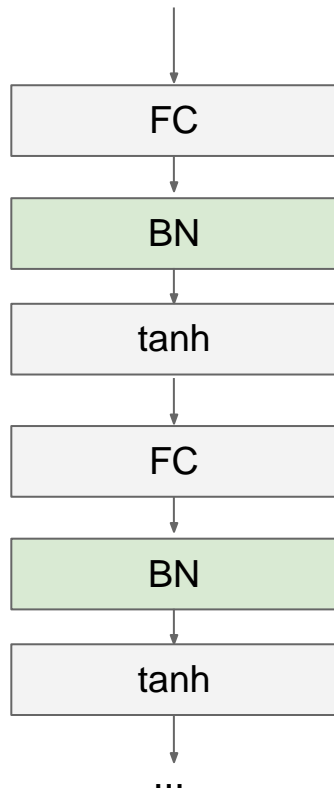
$\sigma_j^2 =$  (Running) average of values seen during training

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

During testing batchnorm becomes a linear operator!  
Can be fused with the previous fully-connected or conv layer

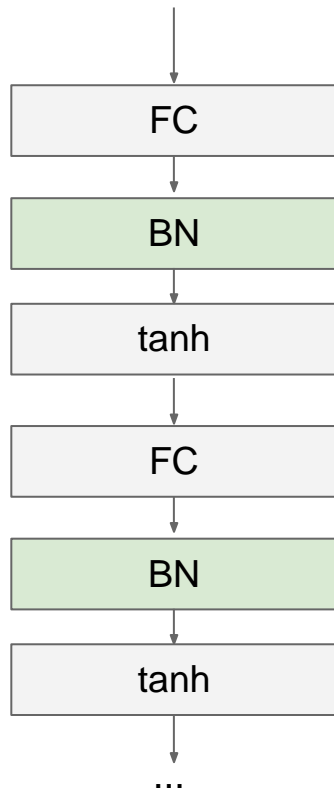
# Batch Normalization



Usually inserted after Fully Connected or Convolutional layers, and before nonlinearity.

$$\hat{x}^{(k)} = \frac{x^{(k)} - \mathbb{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

# Batch Normalization



- Makes deep networks **much** easier to train!
- Improves gradient flow
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Zero overhead at test-time: can be fused with conv!
- Behaves differently during training and testing: this is a very common source of bugs!

# Batch Normalization for ConvNets

---

Batch Normalization for  
**fully-connected** networks

$$\mathbf{x}: \mathbf{N} \times \mathbf{D}$$

Normalize 

$$\boldsymbol{\mu}, \boldsymbol{\sigma}: 1 \times \mathbf{D}$$

$$\boldsymbol{\gamma}, \boldsymbol{\beta}: 1 \times \mathbf{D}$$

$$\mathbf{y} = \boldsymbol{\gamma} (\mathbf{x} - \boldsymbol{\mu}) / \boldsymbol{\sigma} + \boldsymbol{\beta}$$

Batch Normalization for  
**convolutional** networks  
(Spatial Batchnorm, BatchNorm2D)

$$\mathbf{x}: \mathbf{N} \times \mathbf{C} \times \mathbf{H} \times \mathbf{W}$$

Normalize   

$$\boldsymbol{\mu}, \boldsymbol{\sigma}: 1 \times \mathbf{C} \times 1 \times 1$$

$$\boldsymbol{\gamma}, \boldsymbol{\beta}: 1 \times \mathbf{C} \times 1 \times 1$$

$$\mathbf{y} = \boldsymbol{\gamma} (\mathbf{x} - \boldsymbol{\mu}) / \boldsymbol{\sigma} + \boldsymbol{\beta}$$

# Layer Normalization

**Batch Normalization** for  
fully-connected networks

$$\mathbf{x}: \mathbf{N} \times \mathbf{D}$$

Normalize



$$\boldsymbol{\mu}, \boldsymbol{\sigma}: 1 \times \mathbf{D}$$

$$\gamma, \beta: 1 \times \mathbf{D}$$

$$\mathbf{y} = \gamma (\mathbf{x} - \boldsymbol{\mu}) / \boldsymbol{\sigma} + \beta$$

**Layer Normalization** for  
fully-connected networks  
Same behavior at train and test!  
Can be used in recurrent networks

$$\mathbf{x}: \mathbf{N} \times \mathbf{D}$$

Normalize



$$\boldsymbol{\mu}, \boldsymbol{\sigma}: \mathbf{N} \times 1$$

$$\gamma, \beta: 1 \times \mathbf{D}$$

$$\mathbf{y} = \gamma (\mathbf{x} - \boldsymbol{\mu}) / \boldsymbol{\sigma} + \beta$$

Ba, Kiros, and Hinton, "Layer Normalization", arXiv 2016

# Instance Normalization

**Batch Normalization** for  
convolutional networks

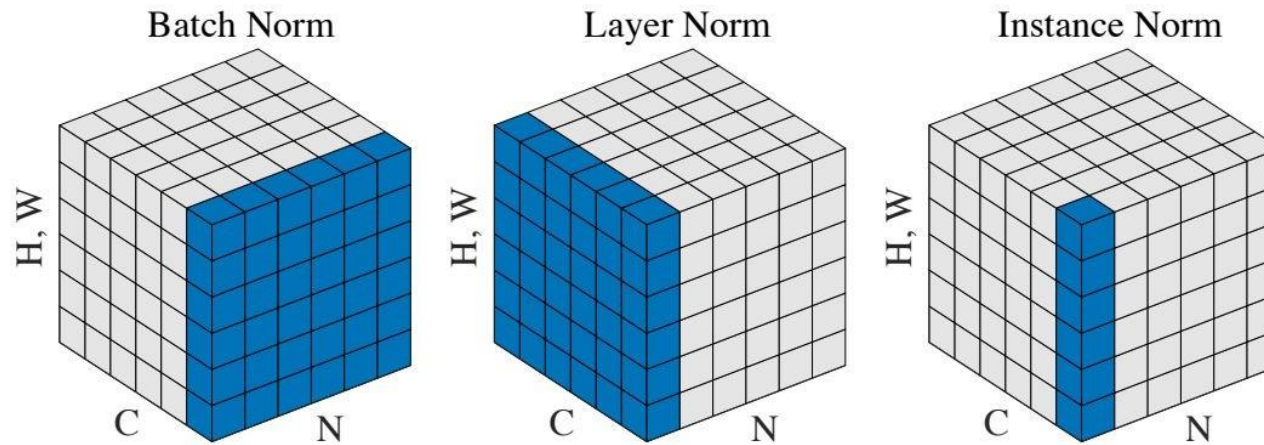
$$\begin{array}{l}
 \mathbf{x} : N \times C \times H \times W \\
 \text{Normalize} \quad \downarrow \quad \downarrow \quad \downarrow \\
 \boldsymbol{\mu}, \boldsymbol{\sigma} : 1 \times C \times 1 \times 1 \\
 \gamma, \beta : 1 \times C \times 1 \times 1 \\
 \mathbf{y} = \gamma (\mathbf{x} - \boldsymbol{\mu}) / \boldsymbol{\sigma} + \beta
 \end{array}$$

**Instance Normalization** for  
convolutional networks  
Same behavior at train / test!

$$\begin{array}{l}
 \mathbf{x} : N \times C \times H \times W \\
 \text{Normalize} \quad \quad \quad \downarrow \quad \downarrow \\
 \boldsymbol{\mu}, \boldsymbol{\sigma} : N \times C \times 1 \times 1 \\
 \gamma, \beta : 1 \times C \times 1 \times 1 \\
 \mathbf{y} = \gamma (\mathbf{x} - \boldsymbol{\mu}) / \boldsymbol{\sigma} + \beta
 \end{array}$$

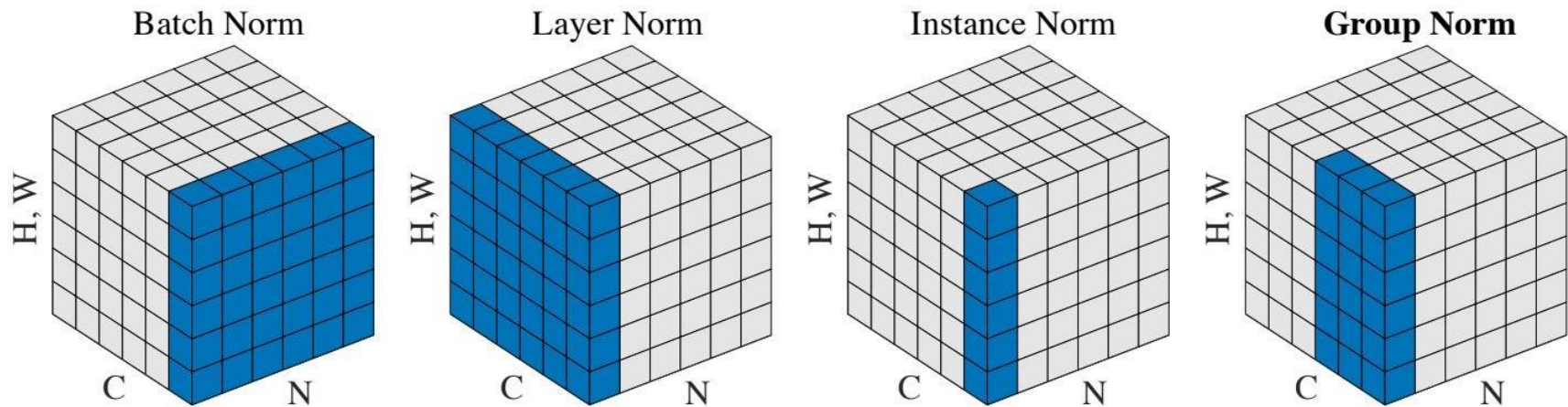
Ulyanov et al, Improved Texture Networks: Maximizing Quality and Diversity in Feed-forward Stylization and Texture Synthesis, CVPR 2017

# Comparison of Normalization Layers





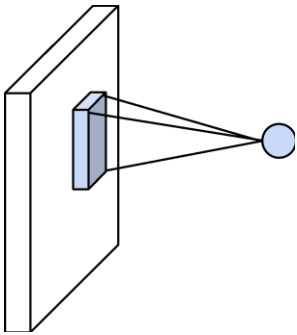
# Group Normalization



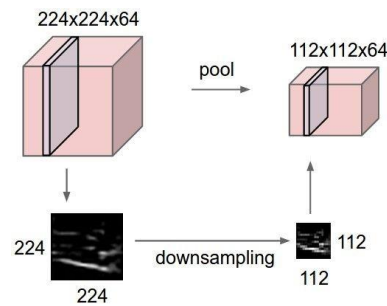
Wu and He, "Group Normalization", ECCV 2018

# Components of CNNs

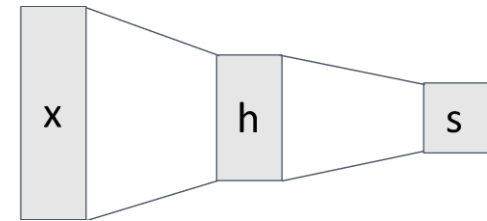
## Convolution Layers



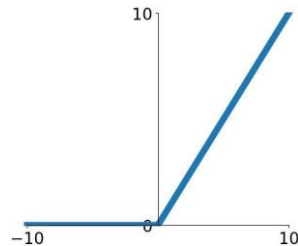
## Pooling Layers



## Fully-Connected Layers



## Activation Function

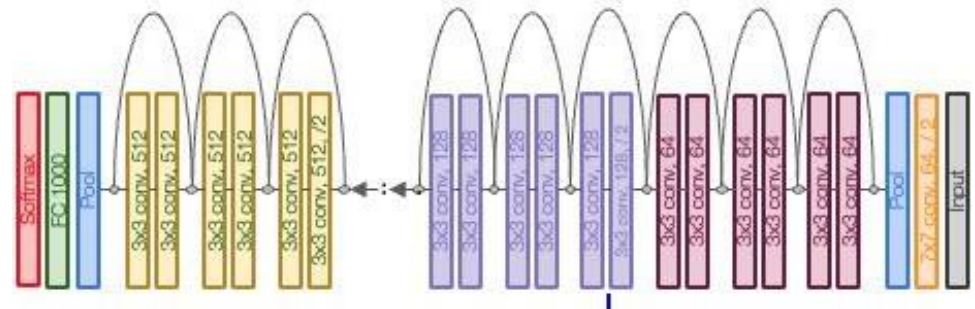
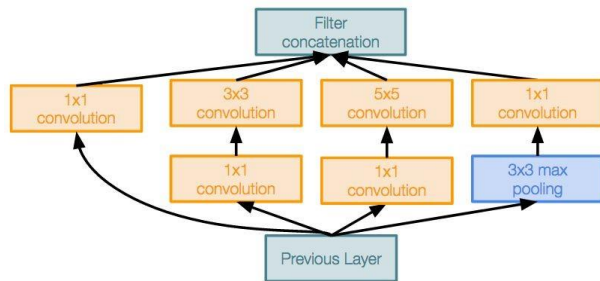
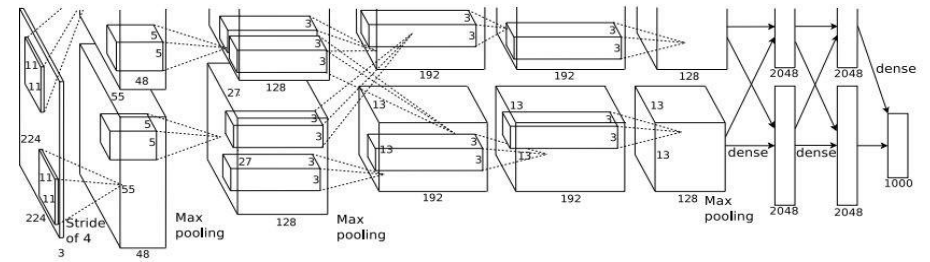
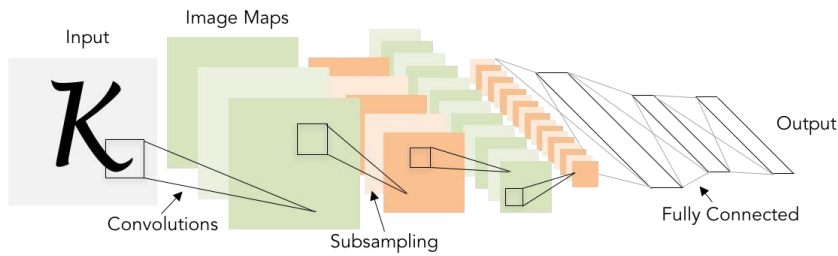


## Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

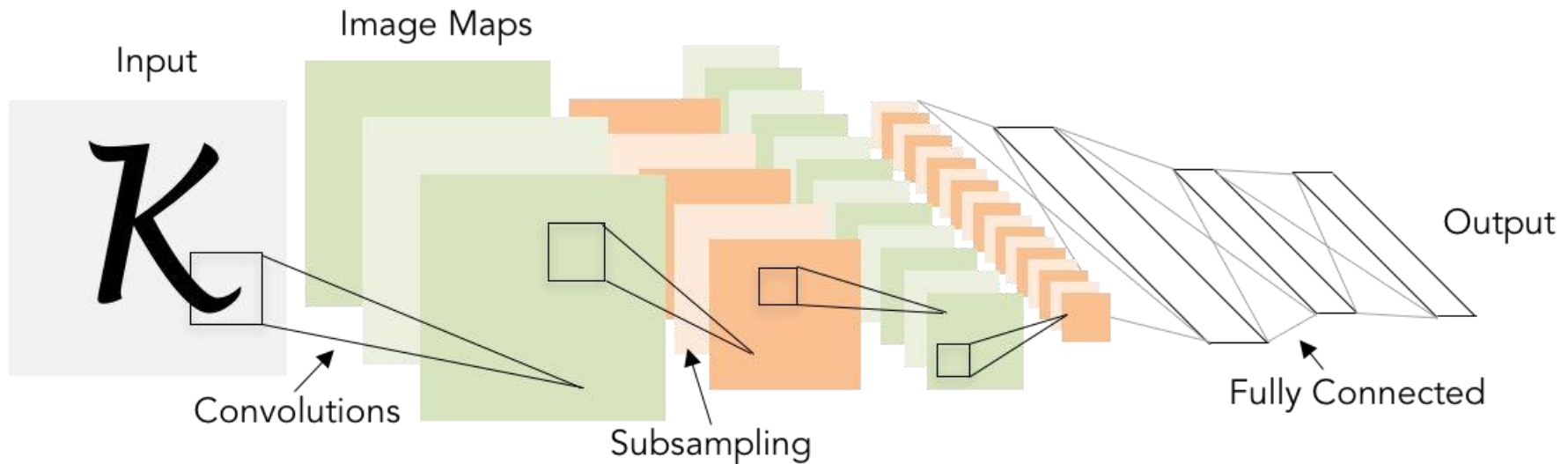
**Question:** How should we put them together?

# Today: CNN Architectures



# Review: LeNet-5

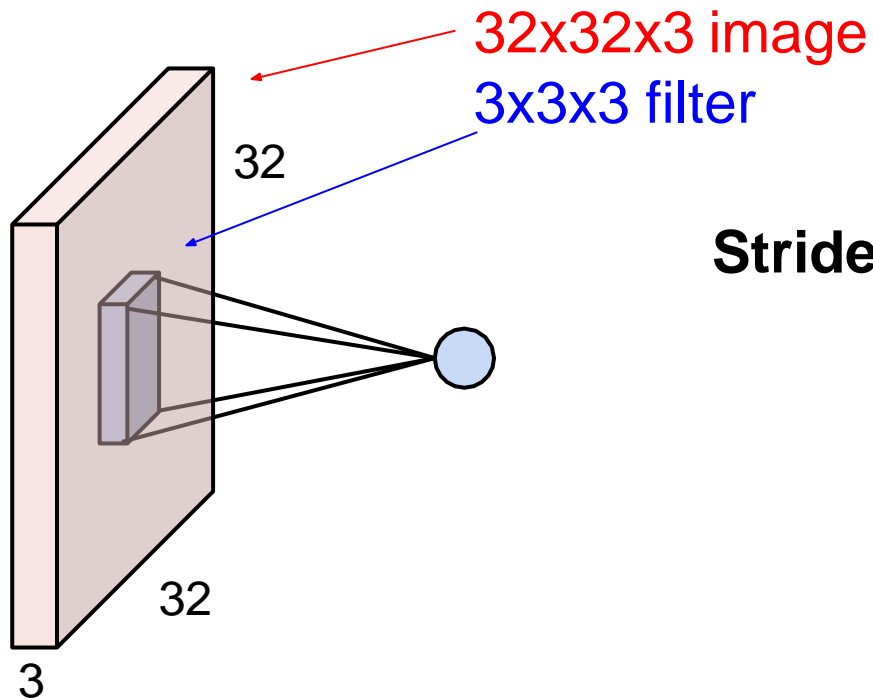
[LeCun et al., 1998]



Conv filters were 5x5, applied at stride 1

Subsampling (Pooling) layers were 2x2 applied at stride 2  
i.e. architecture is [CONV-POOL-CONV-POOL-FC-FC]

# Review: Convolution



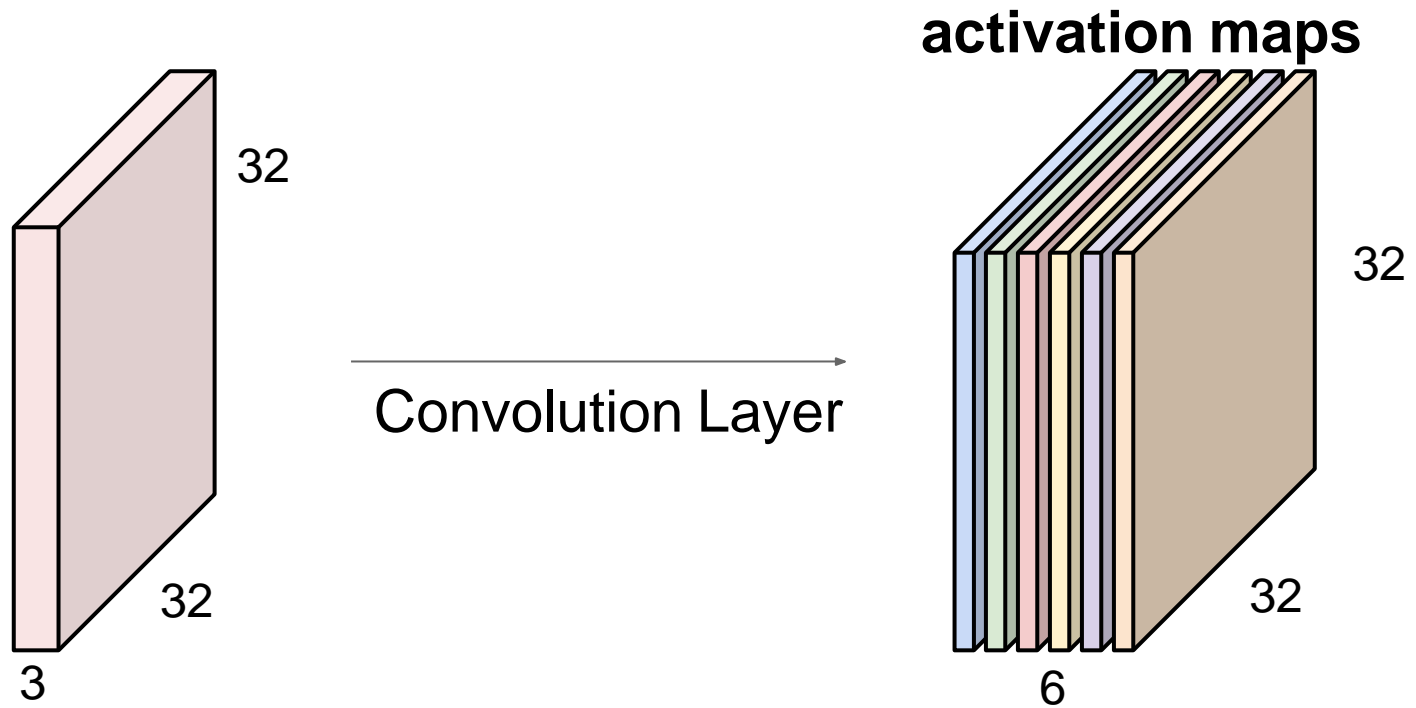
0	0	0	0	0	0				
0									
0									
0									
0									
0									

**Stride:** Downsample output activations

0	0	0	0	0	0				
0									
0									
0									
0									

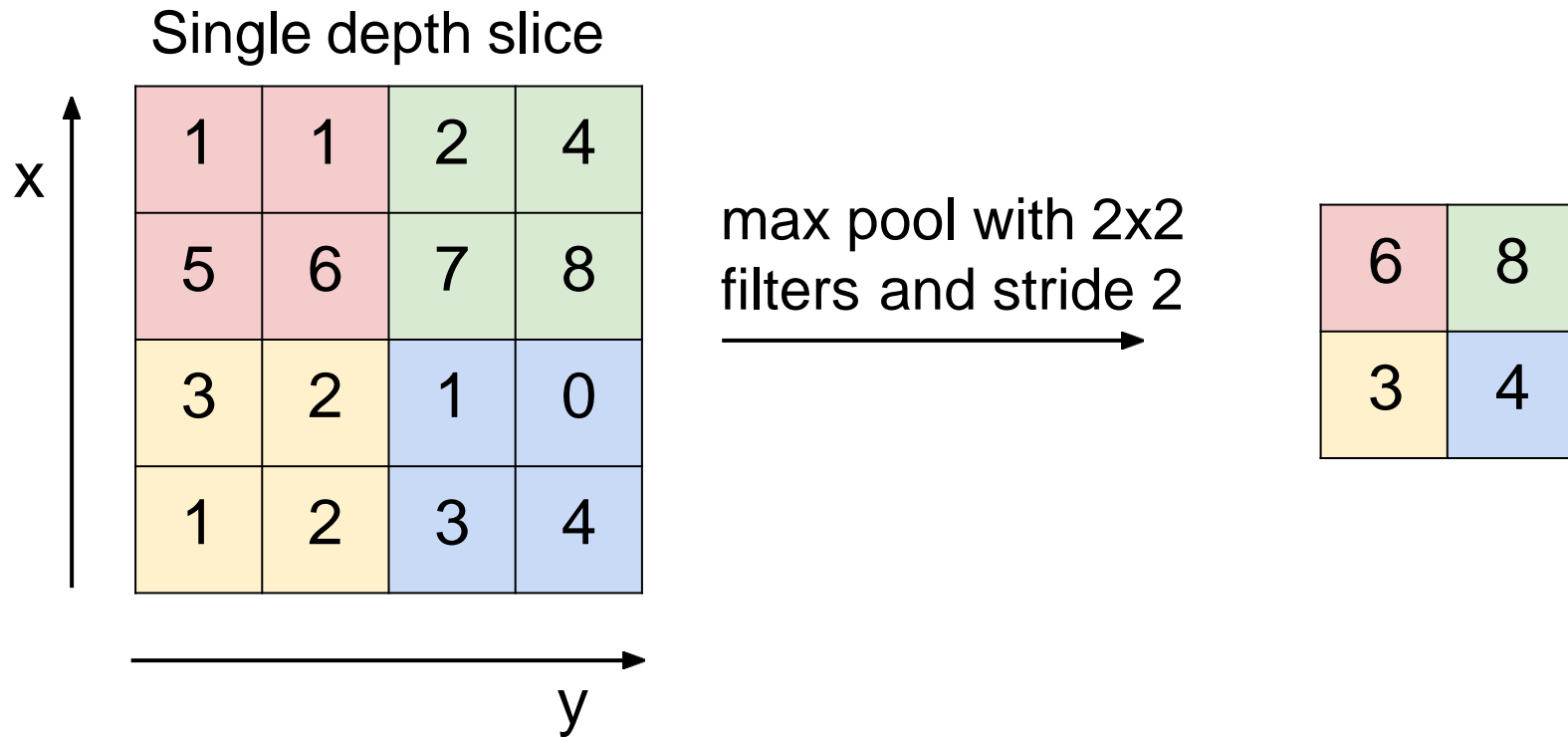
**Padding:** Preserve input spatial dimensions in output activations

# Review: Convolution



Each conv filter outputs a “slice” in the activation

# Review: Pooling



# Today: CNN Architectures

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## Case Studies

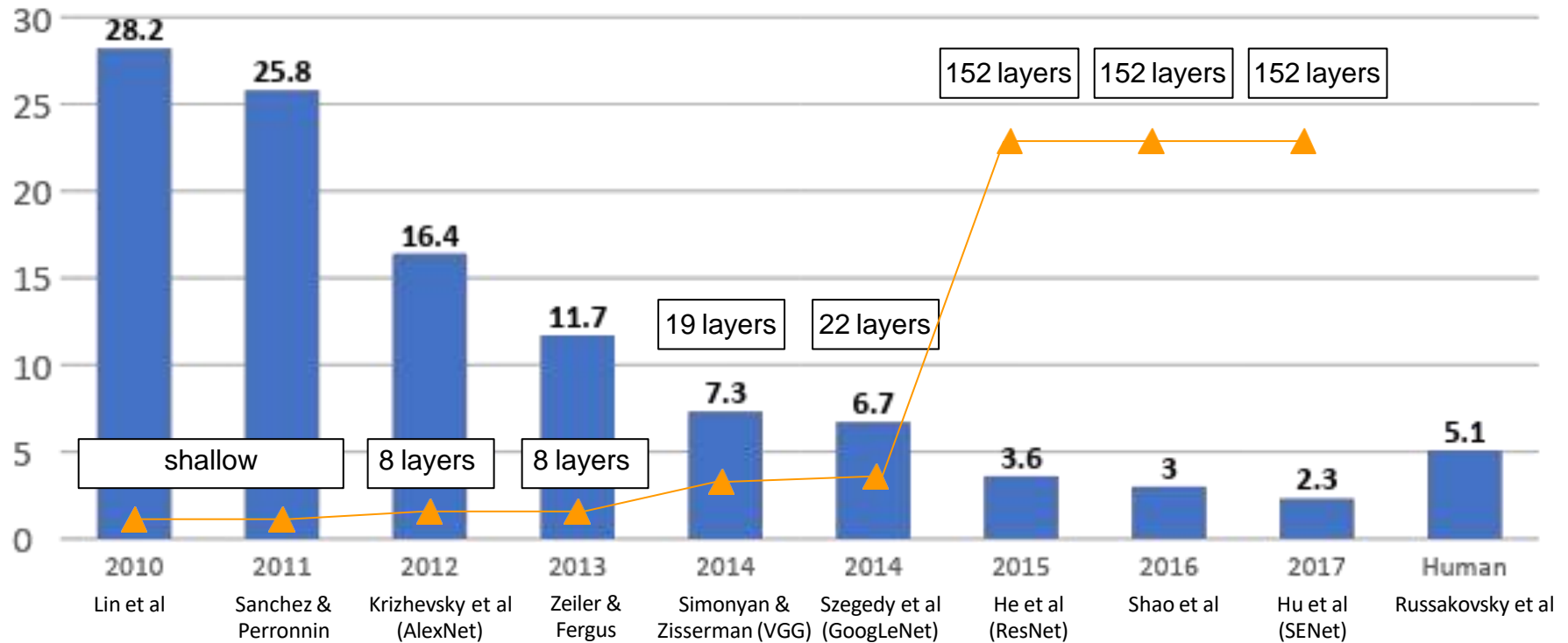
- AlexNet
- VGG
- GoogLeNet
- ResNet

## Also....

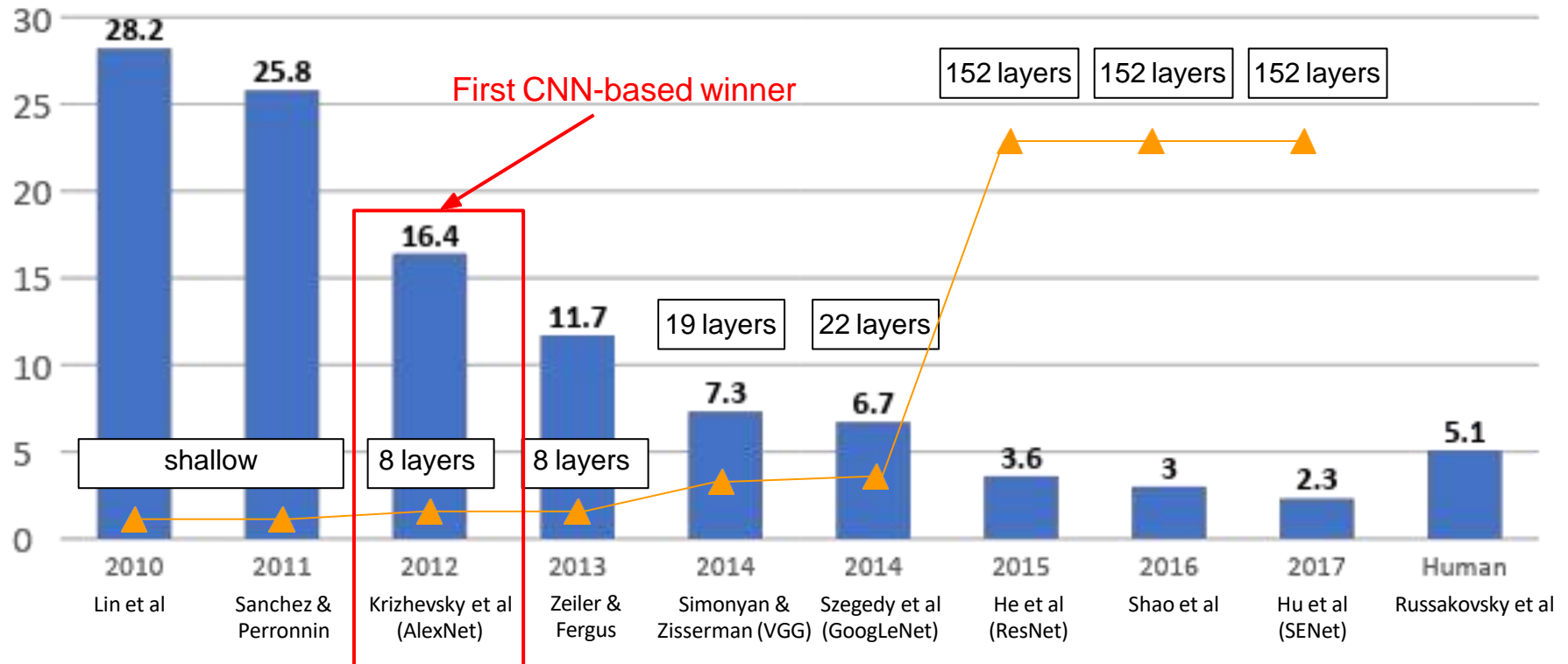
- SENet
- Wide ResNet
- ResNeXT
- DenseNet
- MobileNets
- NASNet
- EfficientNet



# ImageNet Large Scale Visual Recognition Challenge winners



# ImageNet Large Scale Visual Recognition Challenge winners



# Case Study: AlexNet

[Krizhevsky et al. 2012]

## Architecture:

CONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

FC7

FC8

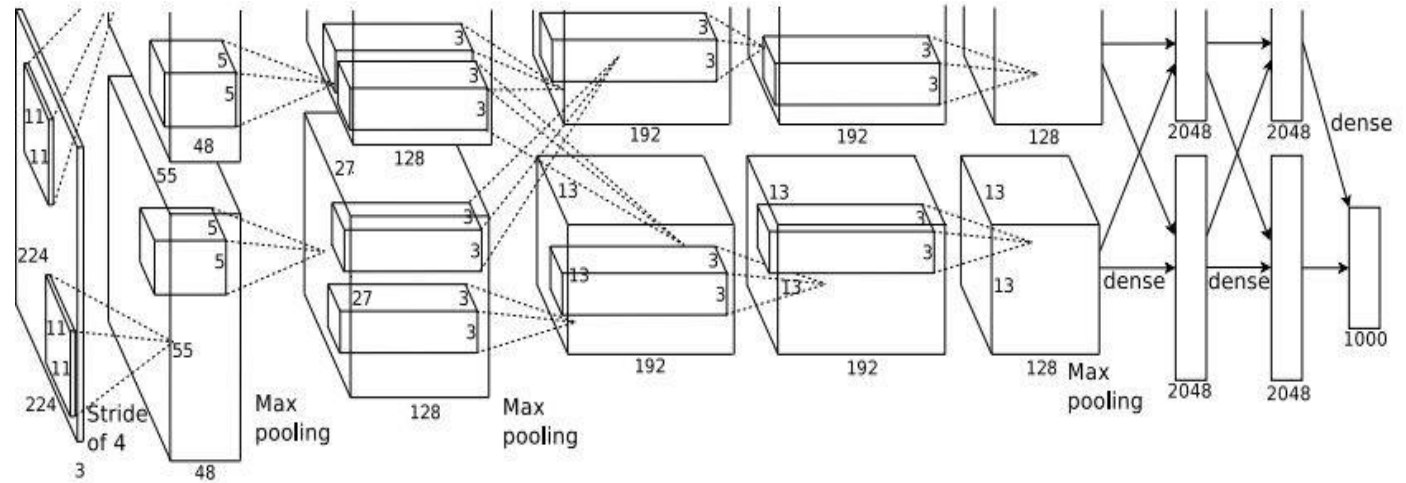


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

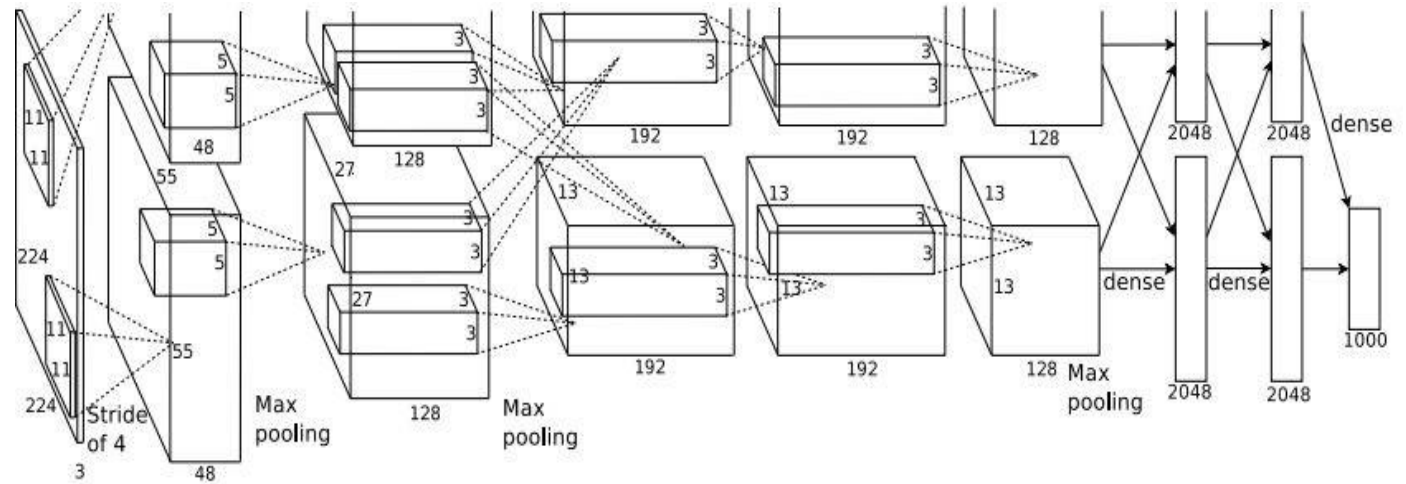
The diagram illustrates a 3D CNN architecture for video classification. The input is a 3D volume of size 224x224x3. It is processed by two Max pooling layers (stride of 4) to produce volumes of size 55x55x48 and 27x27x128. These are then processed by two more Max pooling layers to produce volumes of size 13x13x192 and 13x13x128. The final output is a 1000-dimensional vector.

$$W' = (W - F + 2P) / S + 1$$
 $\Rightarrow$ 

28

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

$$W' = (W - F + 2P) / S + 1$$

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

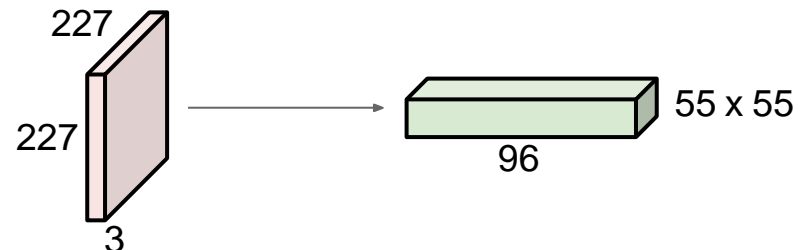
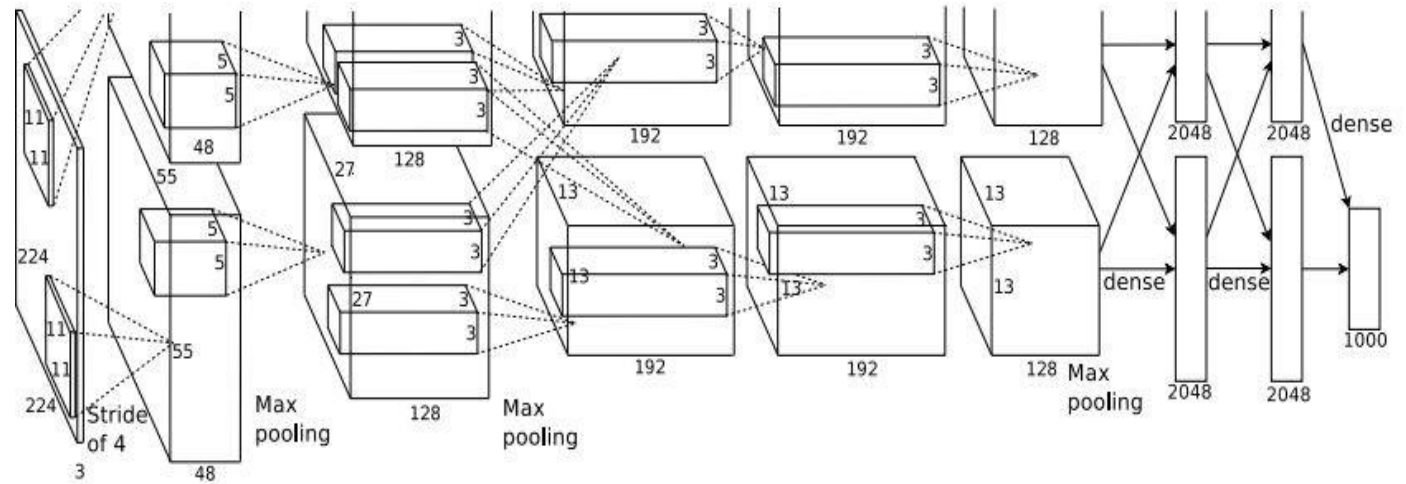


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

Q: What is the total number of parameters in this layer?

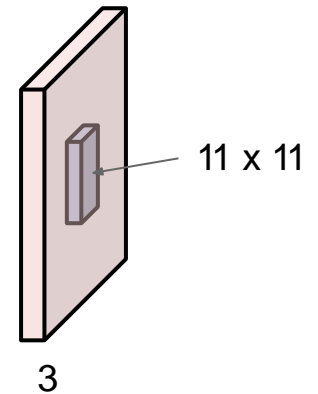
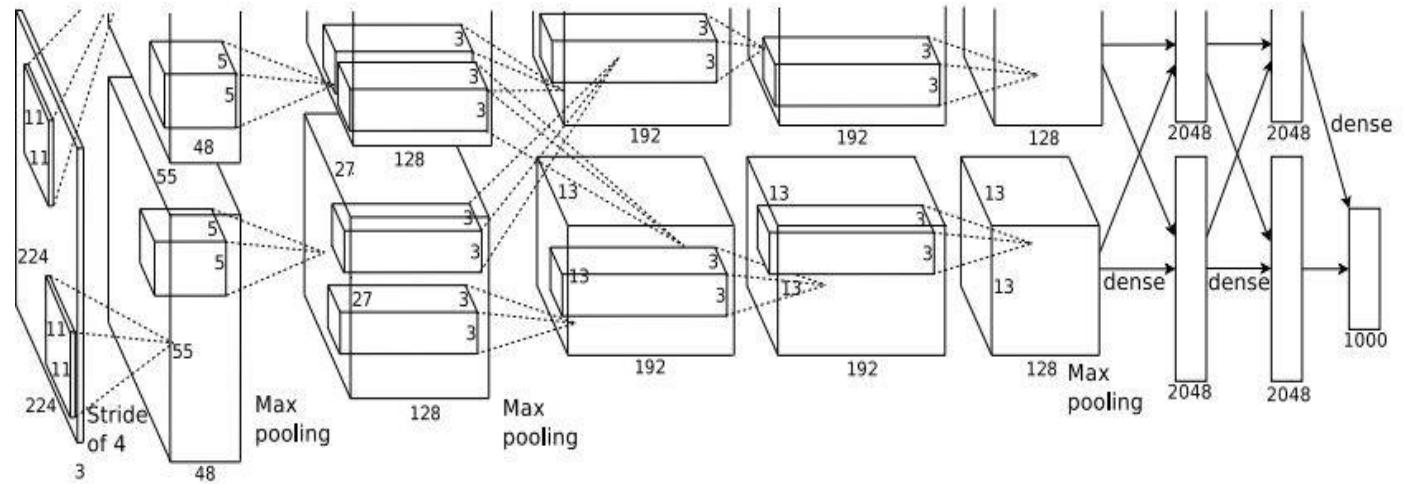


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

Parameters:  $(11 \times 11 \times 3 + 1) \times 96 = \mathbf{35K}$

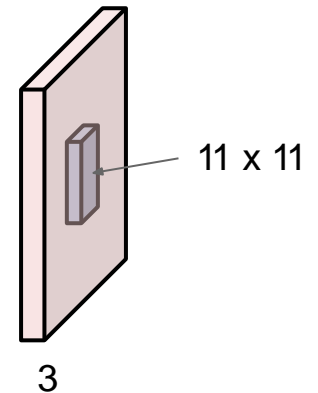
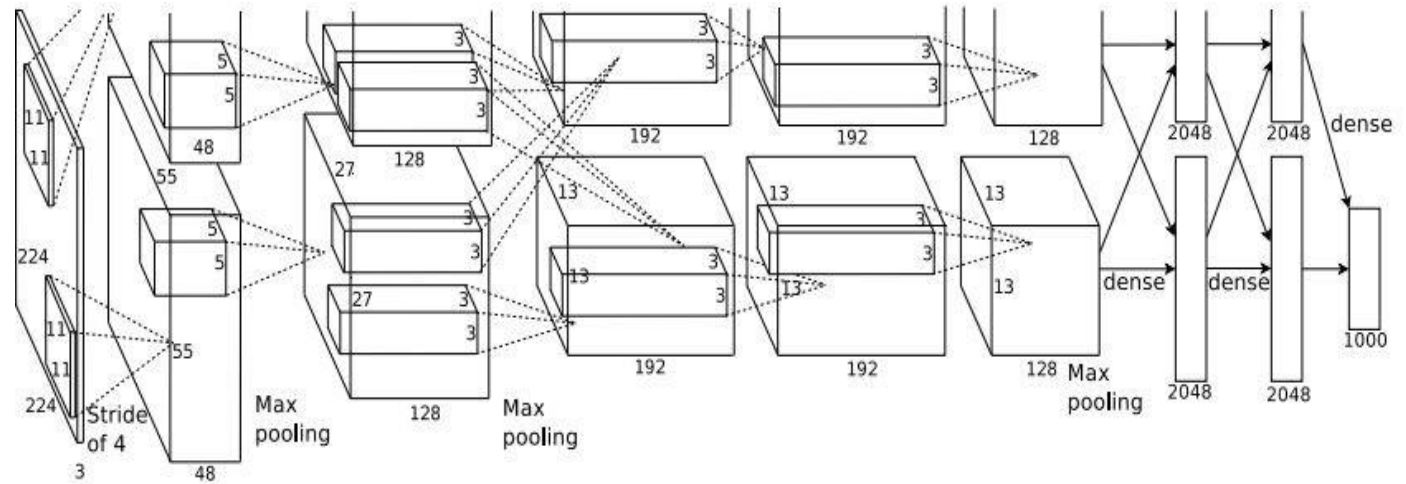


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

**Second layer (POOL1):** 3x3 filters applied at stride 2

Q: what is the output volume size? Hint:  $(55-3)/2+1 = 27$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.



[illegible]

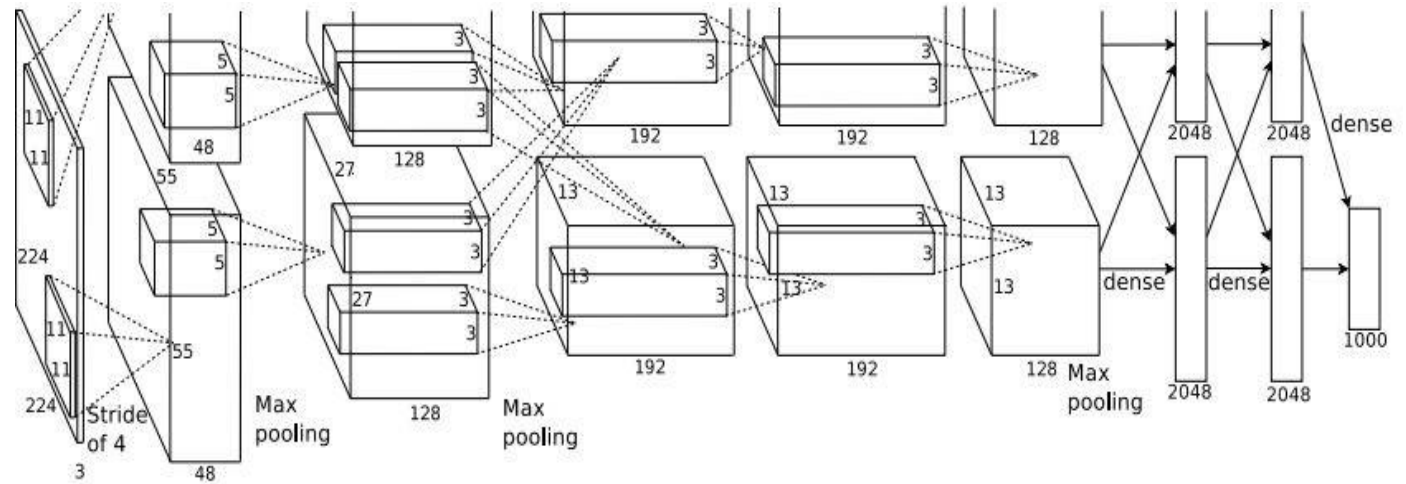
33

[illegible]

34

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

After POOL1: 27x27x96

...

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

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Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)

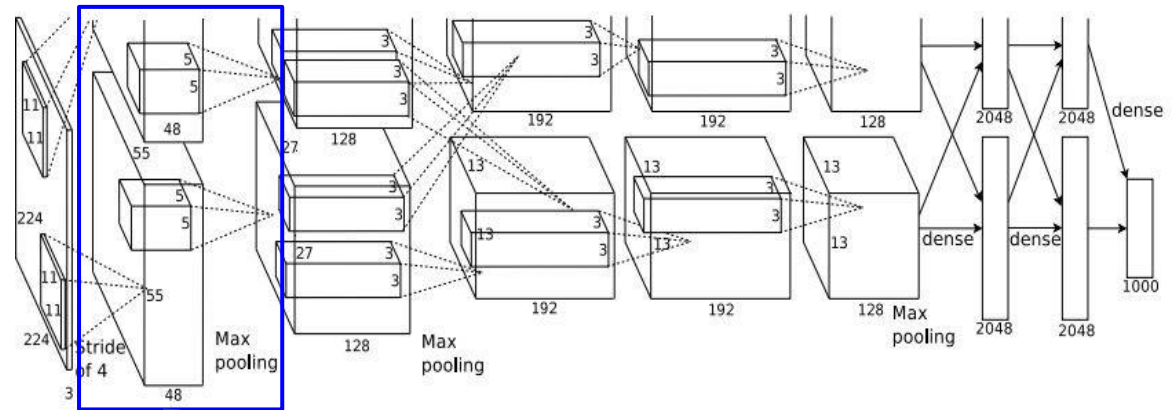
# Case Study: AlexNet

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## Details/Retrospectives:

- first use of ReLU
- used LRN layers (not common anymore)
- heavy data augmentation
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate  $1e-2$ , reduced by 10 manually when val accuracy plateaus
- L2 weight decay  $5e-4$
- 7 CNN ensemble: 18.2%  $\rightarrow$  15.4%

# Case Study: AlexNet



$[55 \times 55 \times 48] \times 2$

Full (simplified) AlexNet architecture:

$[227 \times 227 \times 3]$  INPUT

$[55 \times 55 \times 96]$  **CONV1**: 96  $11 \times 11$  filters at stride 4, pad 0

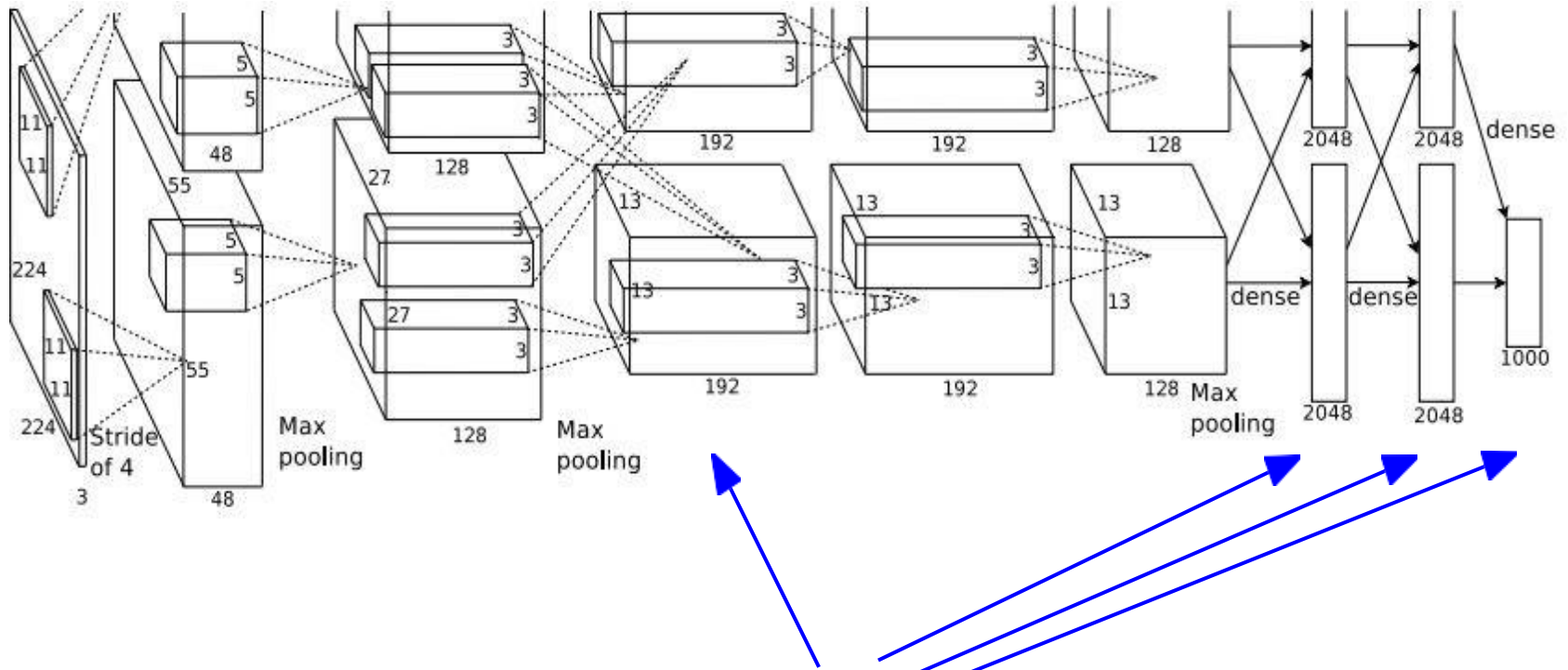
$[27 \times 27 \times 96]$  **MAX POOL1**:  $3 \times 3$  filters at stride 2

.....

Historical note: Trained on GTX 580 GPU with only 3 GB of memory.  
Network spread across 2 GPUs, half the neurons (feature maps) on each GPU.



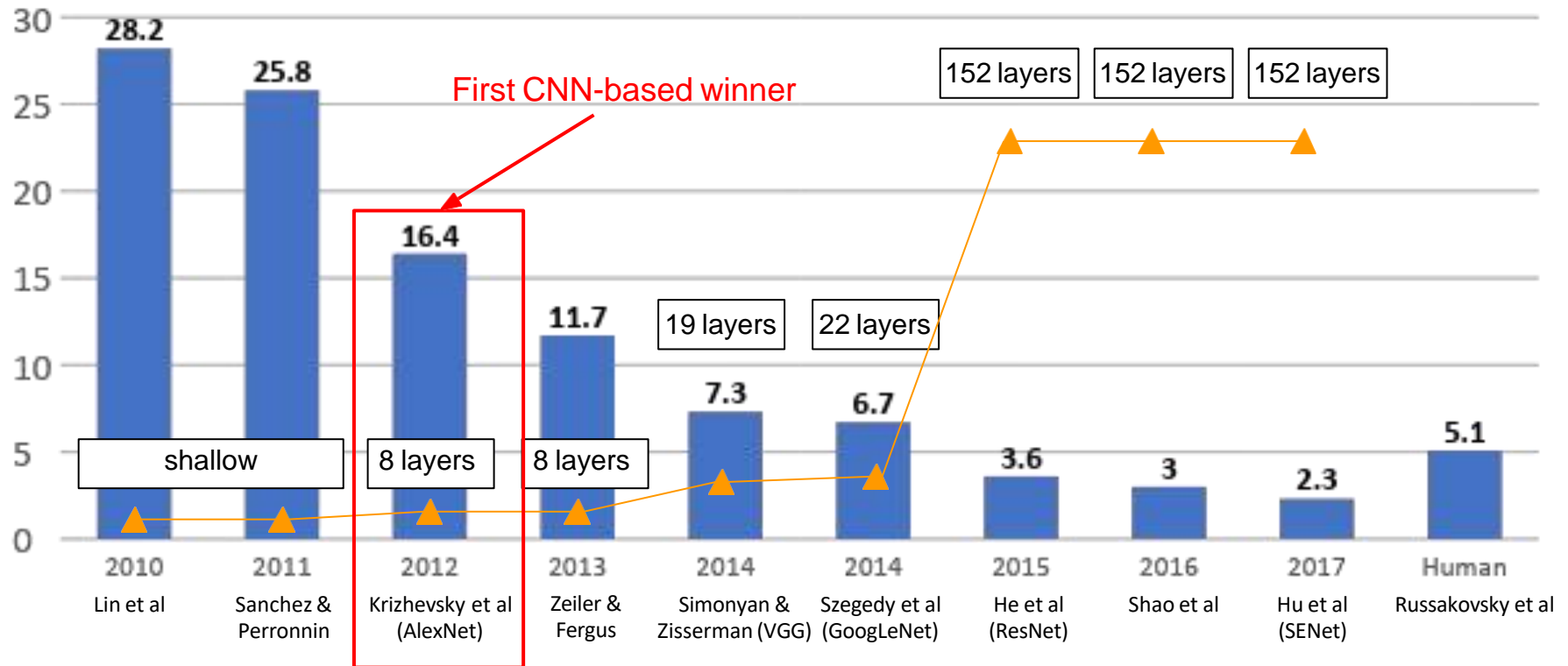
# Case Study: AlexNet



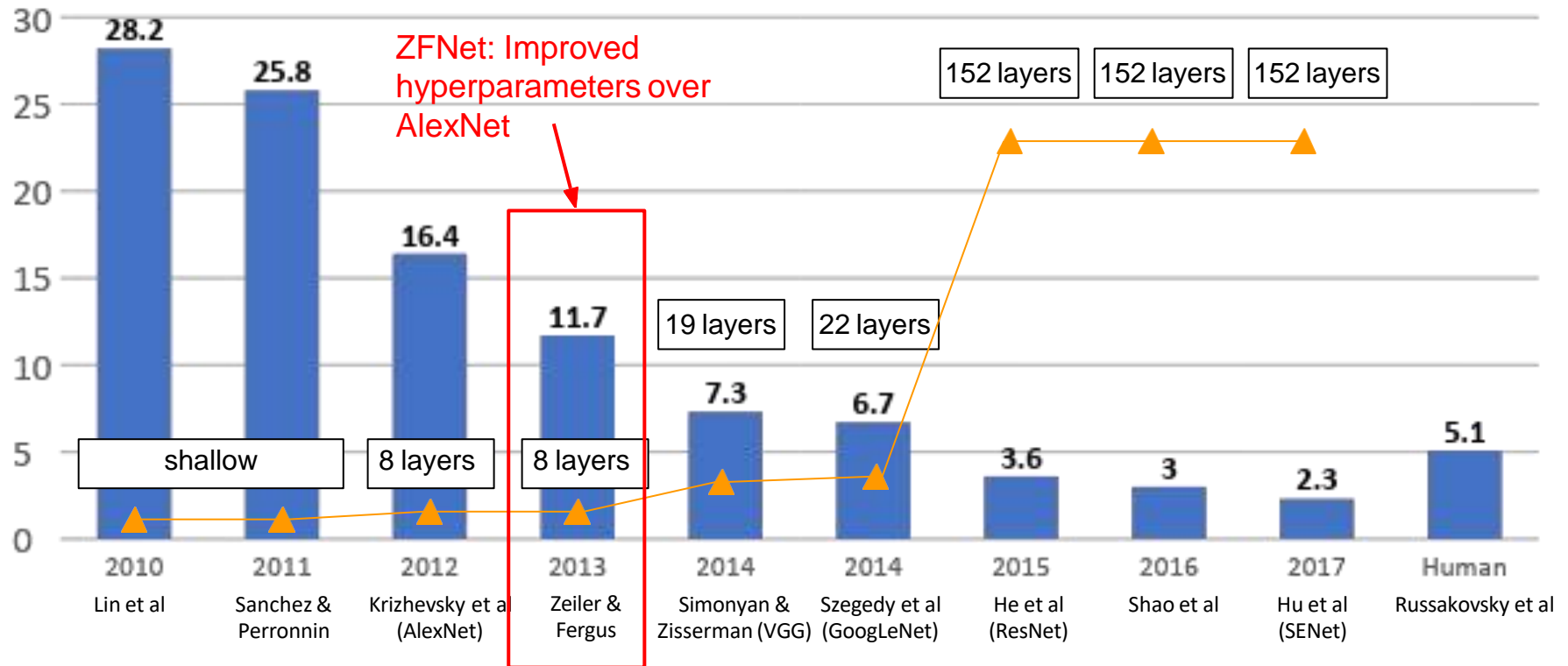
CONV3, FC6, FC7, FC8:  
Connections with all feature maps in preceding layer, communication across GPUs



# ImageNet Large Scale Visual Recognition Challenge winners

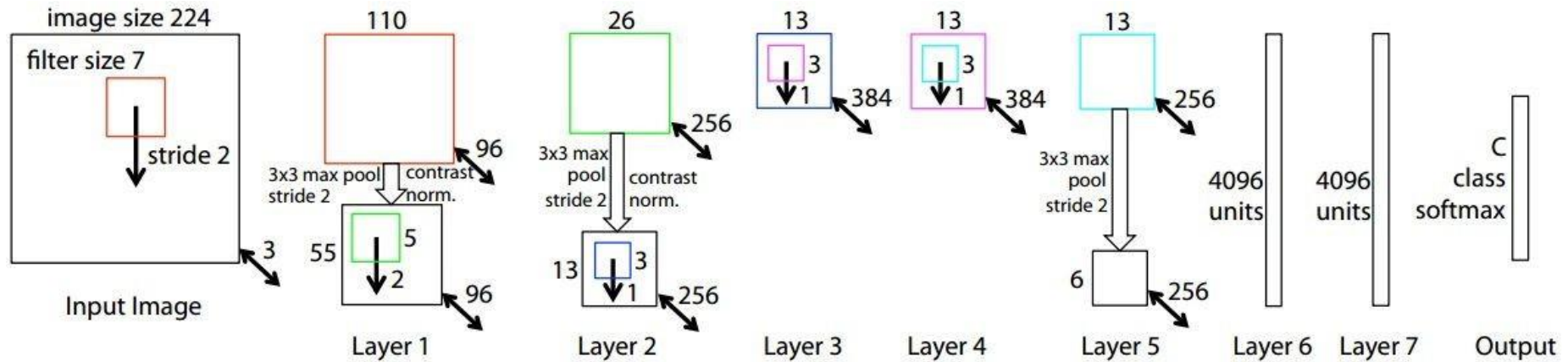


# ImageNet Large Scale Visual Recognition Challenge winners



# ZFNet

[Zeiler and Fergus, 2013]



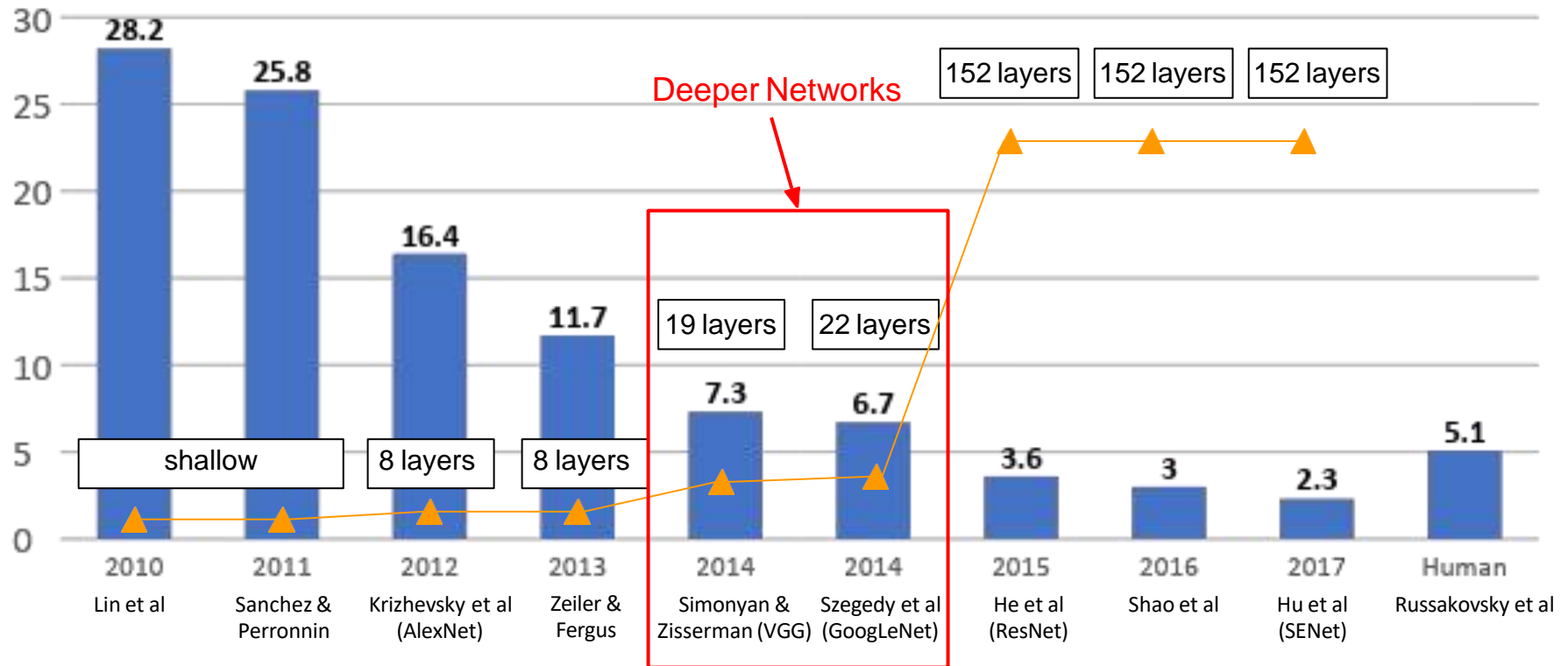
AlexNet but:

CONV1: change from (11x11 stride 4) to (7x7 stride 2)

CONV3,4,5: instead of 384, 384, 256 filters use 512, 1024, 512

ImageNet top 5 error: 16.4% -> 11.7%

# ImageNet Large Scale Visual Recognition Challenge winners



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Small filters, Deeper networks

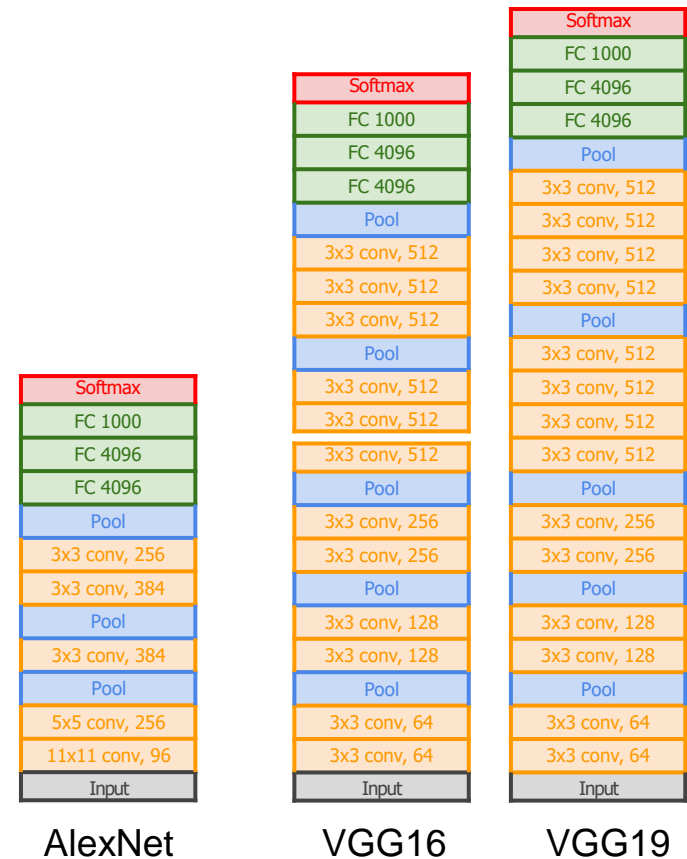
8 layers (AlexNet)

-> 16 - 19 layers (VGG16Net)

Only 3x3 CONV stride 1, pad 1  
and 2x2 MAX POOL stride 2

11.7% top 5 error in ILSVRC'13 (ZFNet)

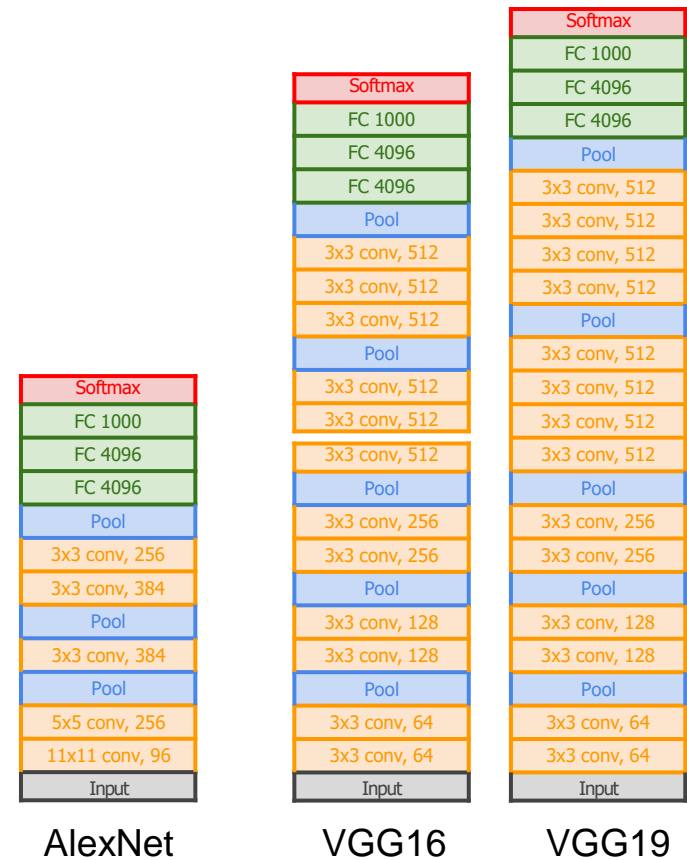
-> 7.3% top 5 error in ILSVRC'14



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)



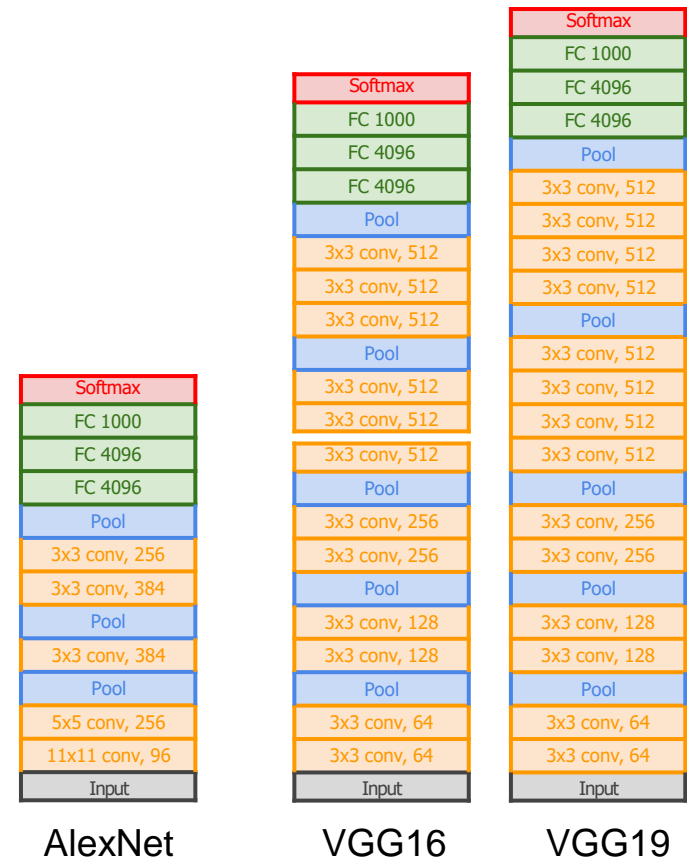
# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

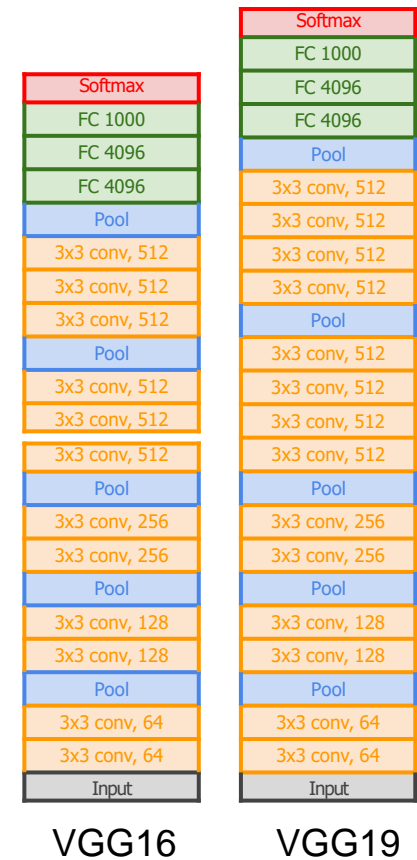
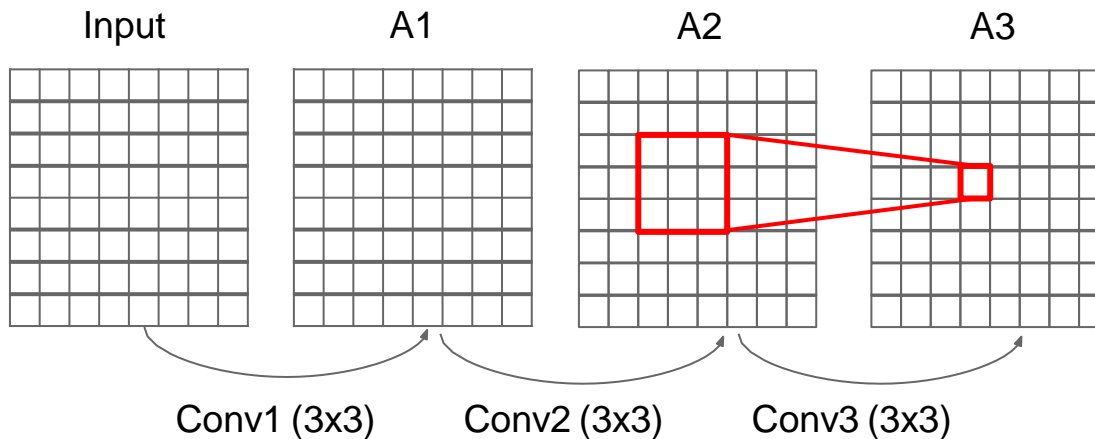
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?

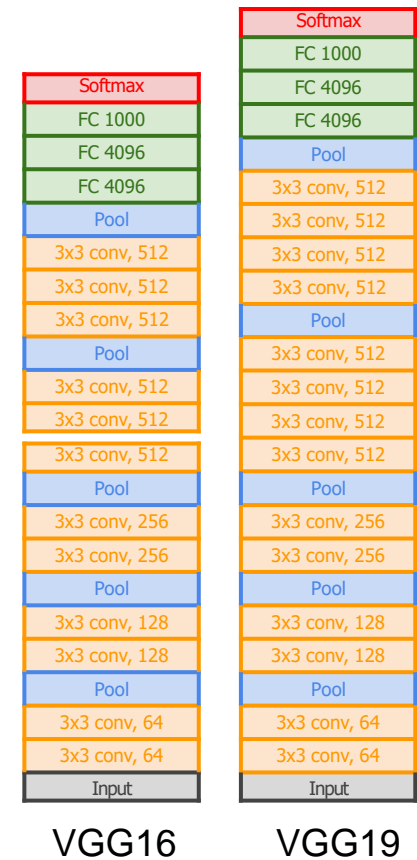
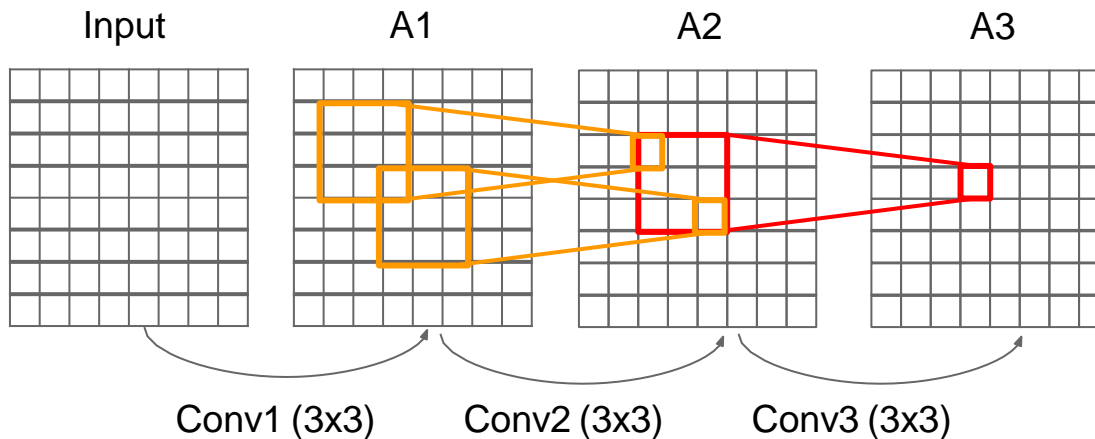




# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

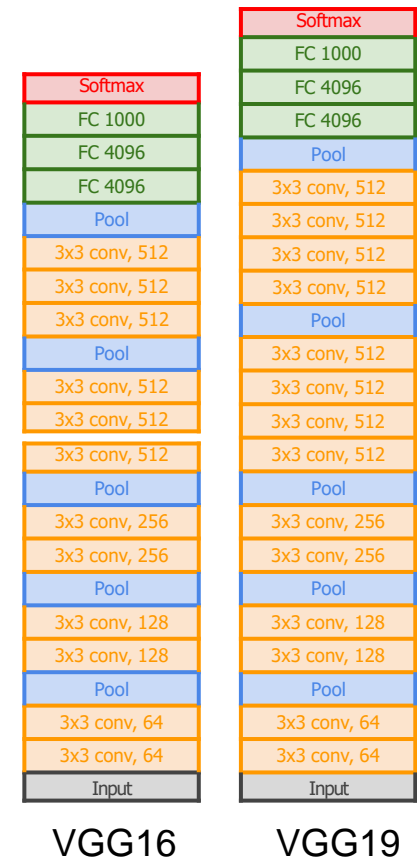
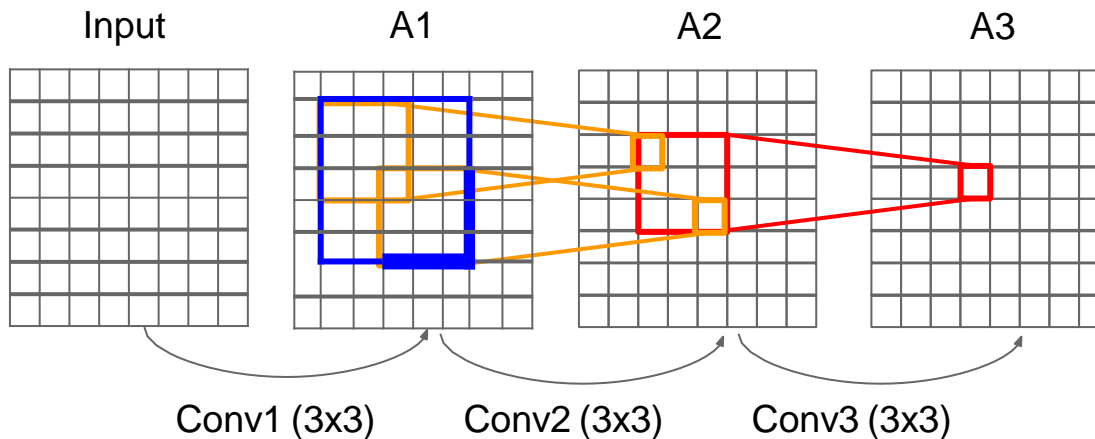
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

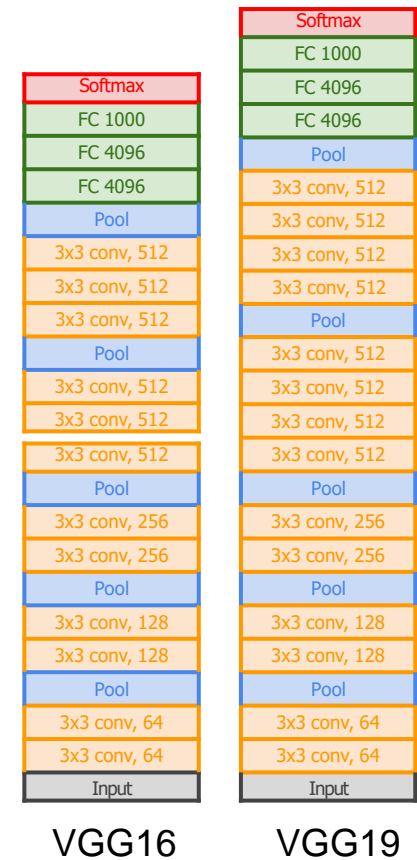
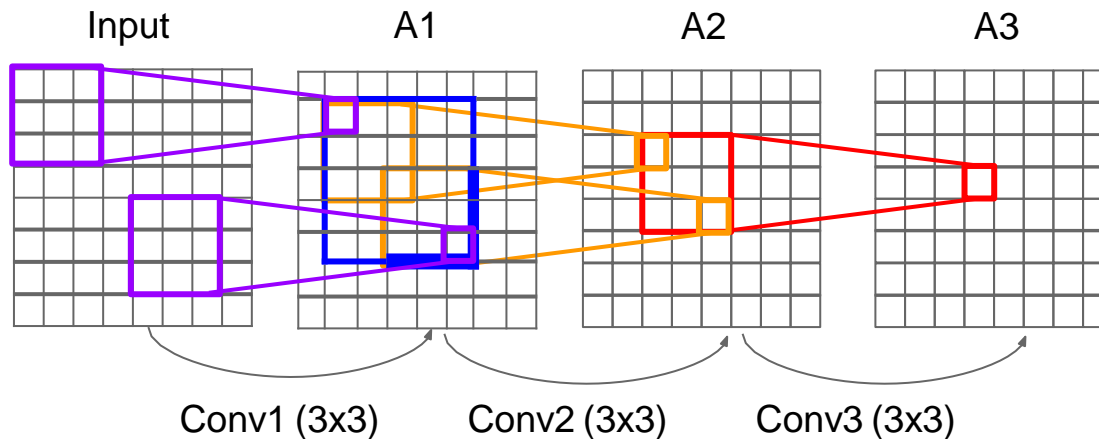
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

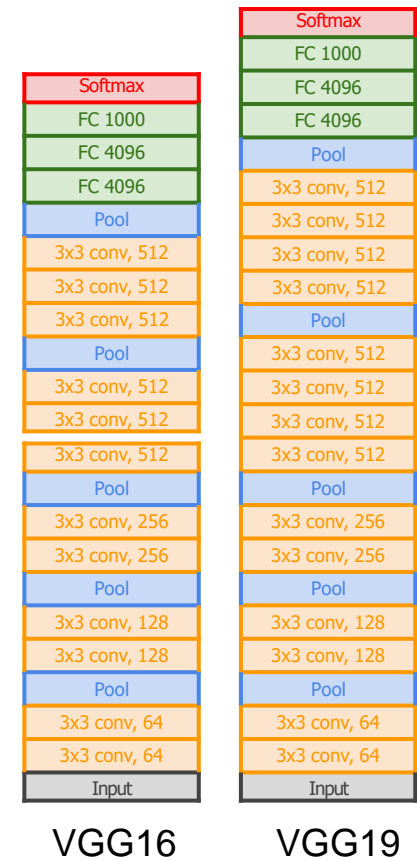
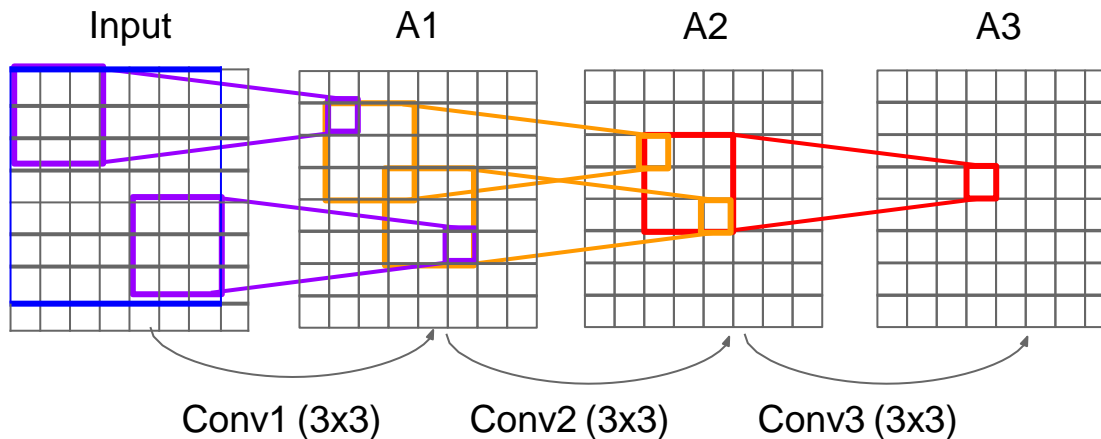
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



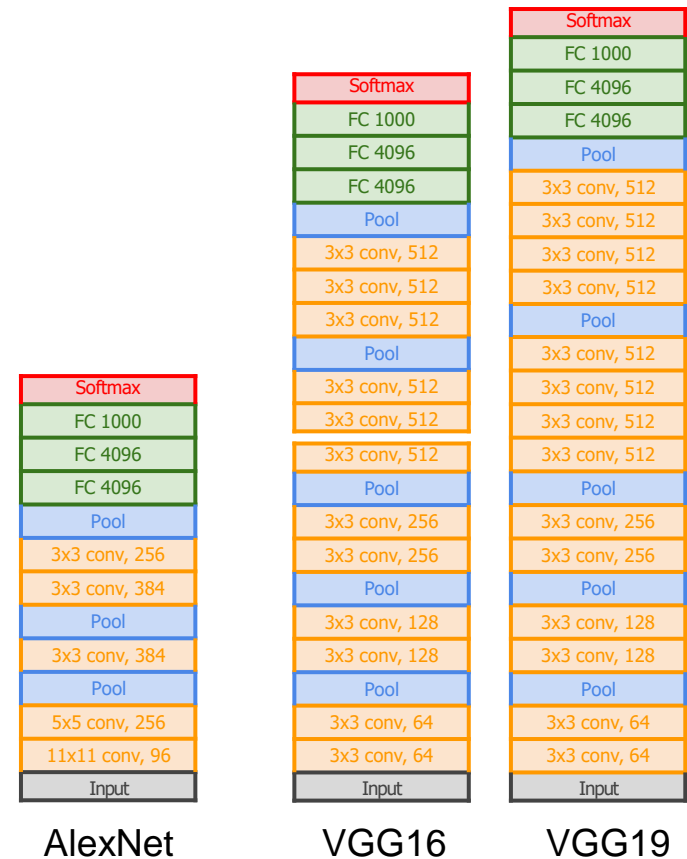
# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

[7x7]



# Case Study: VGGNet

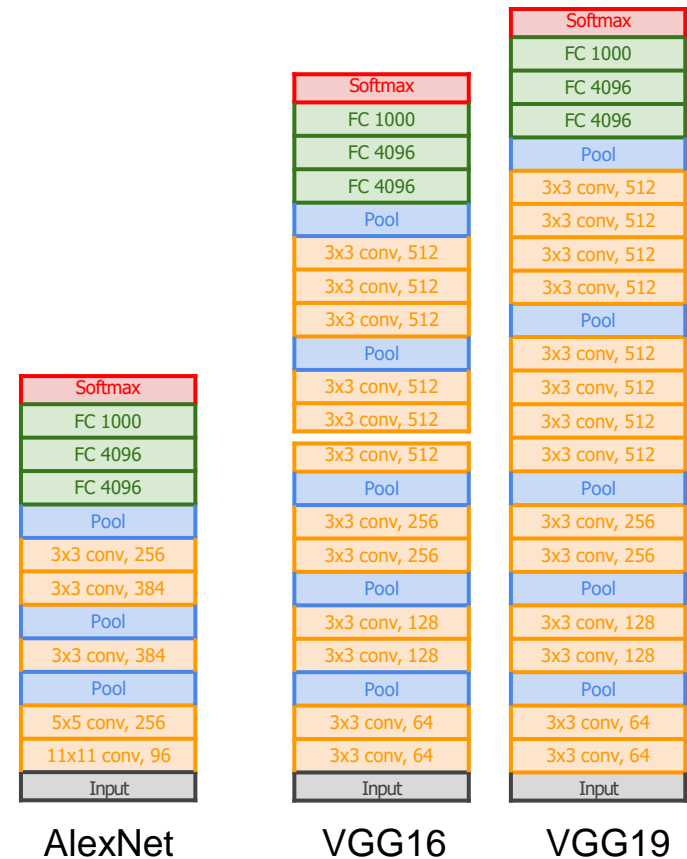
[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

But deeper, more non-linearities

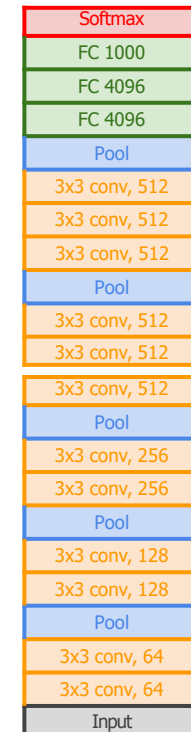
And fewer parameters:  $3 * (3^2 C^2)$  vs.  $7^2 C^2$  for  $C$  channels per layer



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

INPUT: [224x224x3] memory:  $224*224*3=150K$  params: 0 (not counting biases)  
 CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*3)*64 = 1,728$   
 CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*64)*64 = 36,864$   
 POOL2: [112x112x64] memory:  $112*112*64=800K$  params: 0  
 CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*64)*128 = 73,728$   
 CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*128)*128 = 147,456$   
 POOL2: [56x56x128] memory:  $56*56*128=400K$  params: 0  
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*128)*256 = 294,912$   
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$   
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$   
 POOL2: [28x28x256] memory:  $28*28*256=200K$  params: 0  
 CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*256)*512 = 1,179,648$   
 CONV3-512: [28x28x512] memory:  $28*28*512=400K$  params:  $(3*3*512)*512 = 2,359,296$   
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 POOL2: [14x14x512] memory:  $14*14*512=100K$  params: 0  
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 POOL2: [7x7x512] memory:  $7*7*512=25K$  params: 0  
 FC: [1x1x4096] memory: 4096 params:  $7*7*512*4096 = 102,760,448$   
 FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$   
 FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$



VGG16

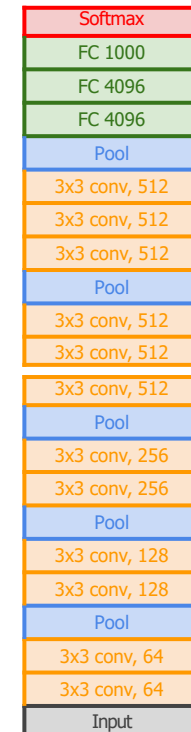
# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

INPUT: [224x224x3] memory:  $224*224*3=150K$  params: 0 (not counting biases)  
 CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*3)*64 = 1,728$   
 CONV3-64: [224x224x64] memory:  $224*224*64=3.2M$  params:  $(3*3*64)*64 = 36,864$   
 POOL2: [112x112x64] memory:  $112*112*64=800K$  params: 0  
 CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*64)*128 = 73,728$   
 CONV3-128: [112x112x128] memory:  $112*112*128=1.6M$  params:  $(3*3*128)*128 = 147,456$   
 POOL2: [56x56x128] memory:  $56*56*128=400K$  params: 0  
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*128)*256 = 294,912$   
 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$   
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 FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$   
 FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$

**TOTAL memory:**  $24M * 4 \text{ bytes} \sim 96MB / \text{image}$  (for a forward pass)

**TOTAL params:** 138M parameters



VGG16



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

INPUT: [224x224x3] memory:  $224*224*3=150K$  params: 0 (not counting biases)  
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 CONV3-256: [56x56x256] memory:  $56*56*256=800K$  params:  $(3*3*256)*256 = 589,824$   
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 FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$   
 FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$

Note:

Most memory is in early CONV

Most params are in late FC

TOTAL memory:  $24M * 4 \text{ bytes} \sim 96MB$  / image (only forward!  $\sim 2$  for bwd)

TOTAL params: 138M parameters

# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

INPUT: [224x224x3]    memory:  $224*224*3=150K$     params: 0    (not counting biases)

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POOL2: [7x7x512]    memory:  $7*7*512=25K$     params: 0

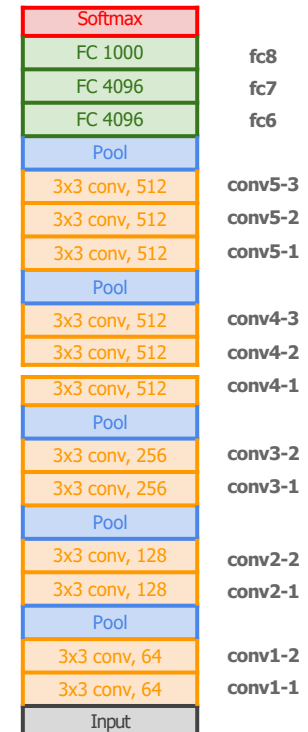
FC: [1x1x4096]    memory: 4096    params:  $7*7*512*4096 = 102,760,448$

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VGG16

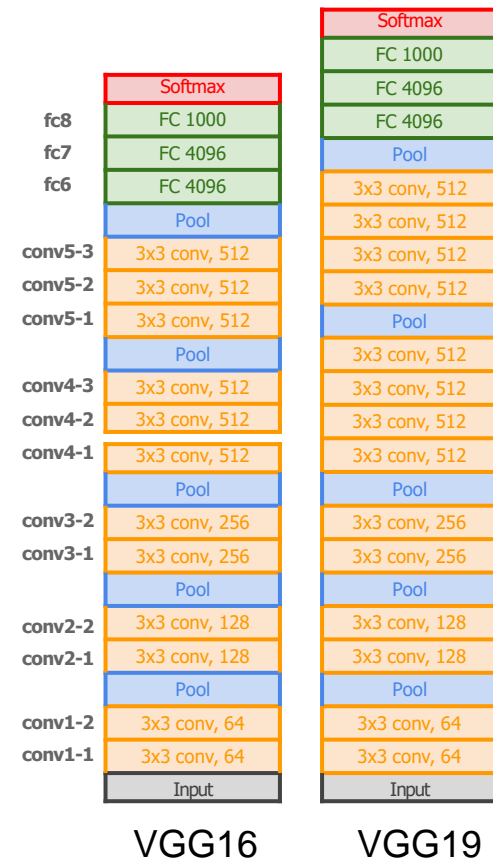
Common names

# Case Study: VGGNet

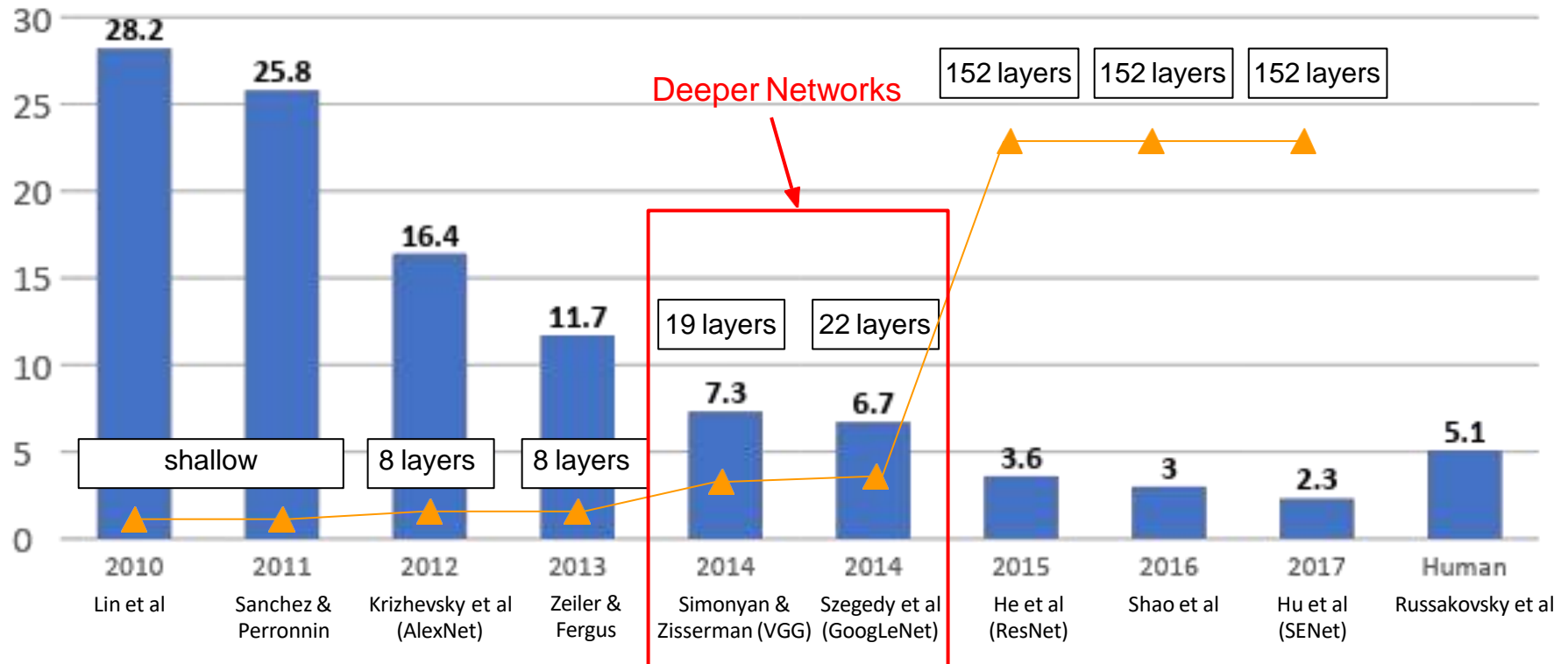
[Simonyan and Zisserman, 2014]

## Details:

- ILSVRC'14 2nd in classification, 1st in localization
- Similar training procedure as Krizhevsky 2012
- No Local Response Normalisation (LRN)
- Use VGG16 or VGG19 (VGG19 only slightly better, more memory)
- Use ensembles for best results
- FC7 features generalize well to other tasks



# ImageNet Large Scale Visual Recognition Challenge winners

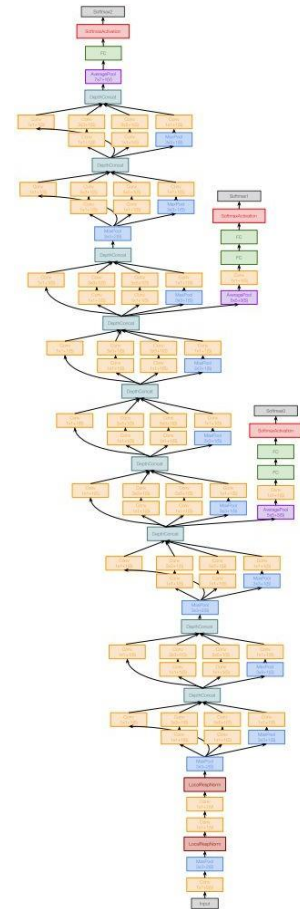
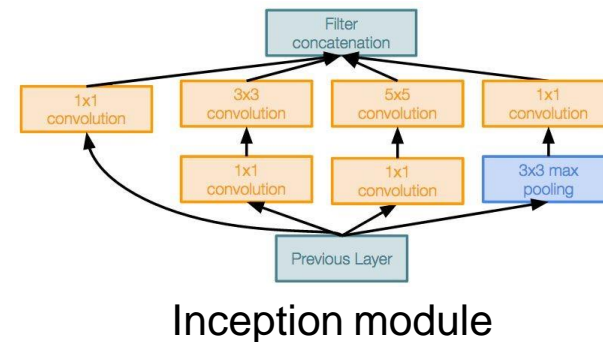


# Case Study: GoogLeNet

[Szegedy et al., 2014]

Deeper networks, with computational efficiency

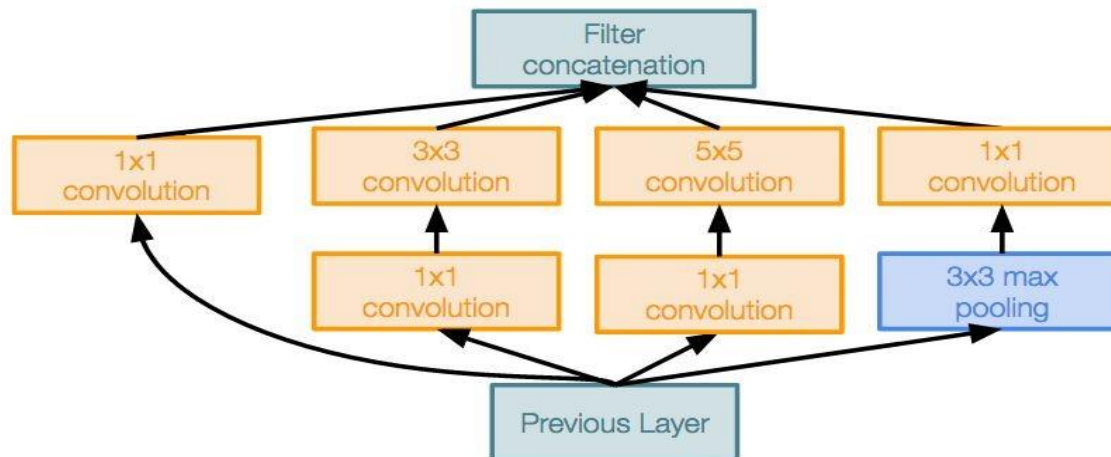
- ILSVRC'14 classification winner (6.7% top 5 error)
- 22 layers
- Only 5 million parameters!  
12x less than AlexNet  
27x less than VGG-16
- Efficient “Inception” module
- No FC layers



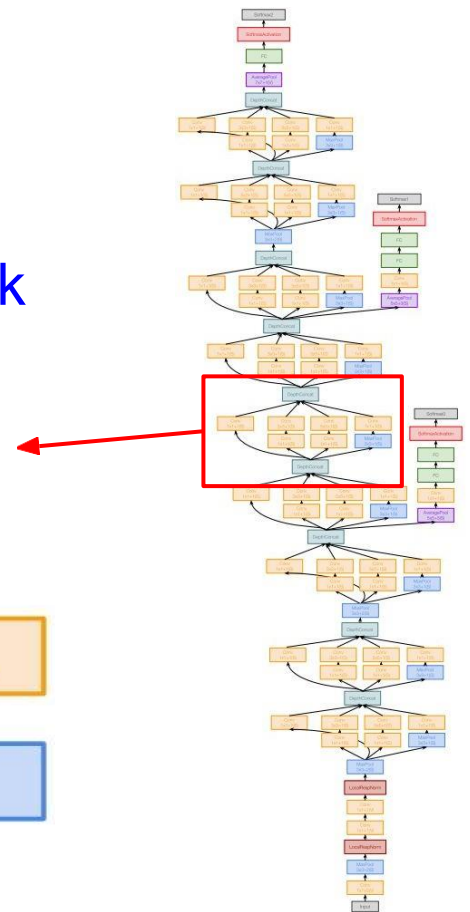
# Case Study: GoogLeNet

[Szegedy et al., 2014]

“Inception module”: design a good local network topology (network within a network) and then stack these modules on top of each other

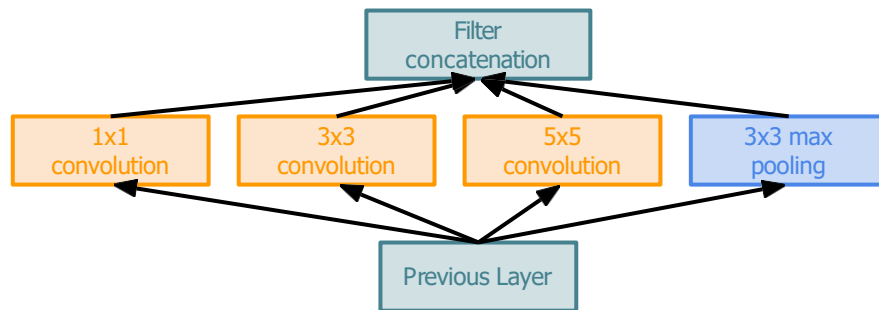


Inception module



# Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

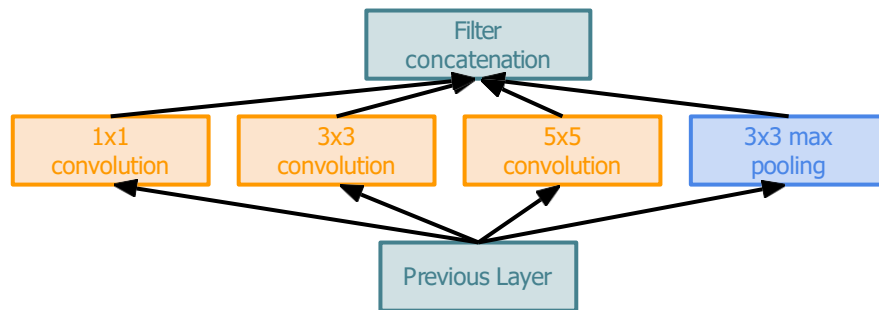
Apply parallel filter operations on the input from previous layer:

- Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
- Pooling operation (3x3)

Concatenate all filter outputs together channel-wise

# Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

Apply parallel filter operations on the input from previous layer:

- Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
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Concatenate all filter outputs together channel-wise

Q: What is the problem with this?  
[Hint: Computational complexity]

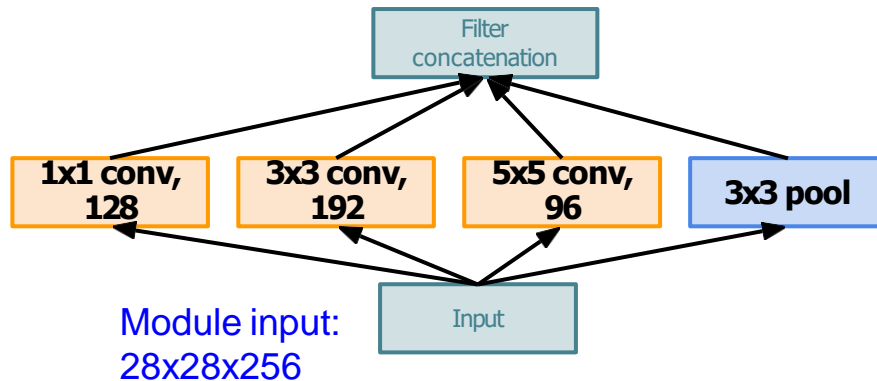


# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q1: What are the output sizes  
of all different filter operations?



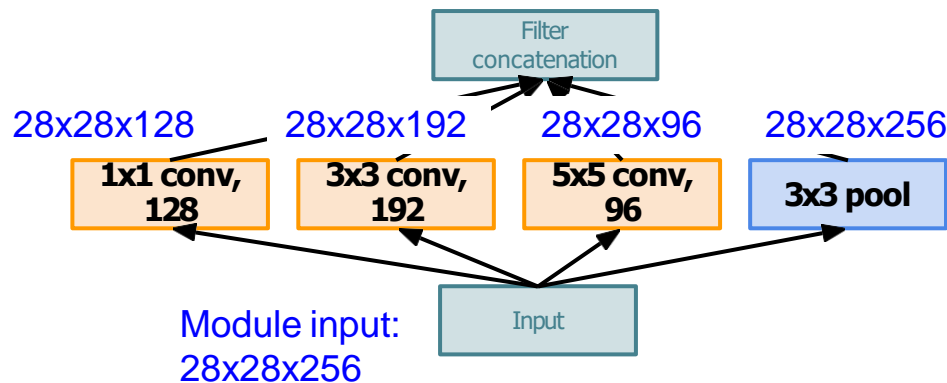
Naive Inception module

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q1: What are the output sizes  
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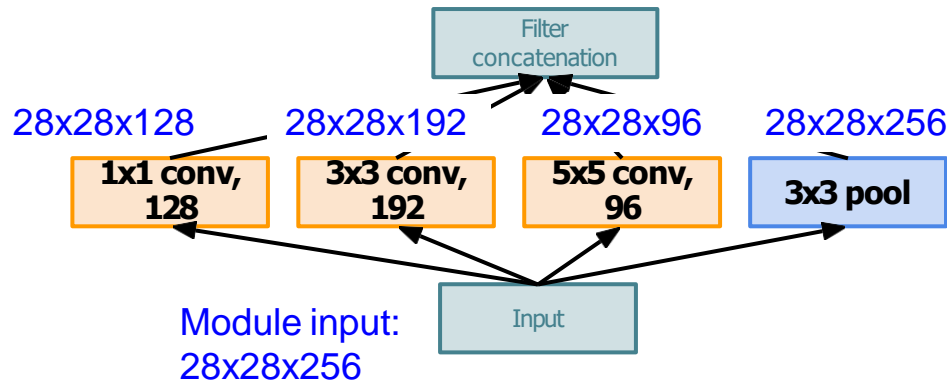
Naive Inception module

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q2: What is output size after  
filter concatenation?



Naive Inception module

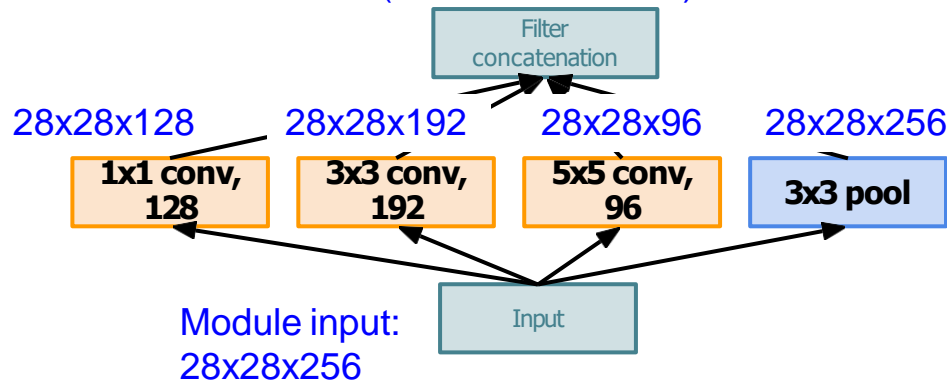
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q2: What is output size after filter concatenation?

$$28 \times 28 \times (128 + 192 + 96 + 256) = 28 \times 28 \times 672$$



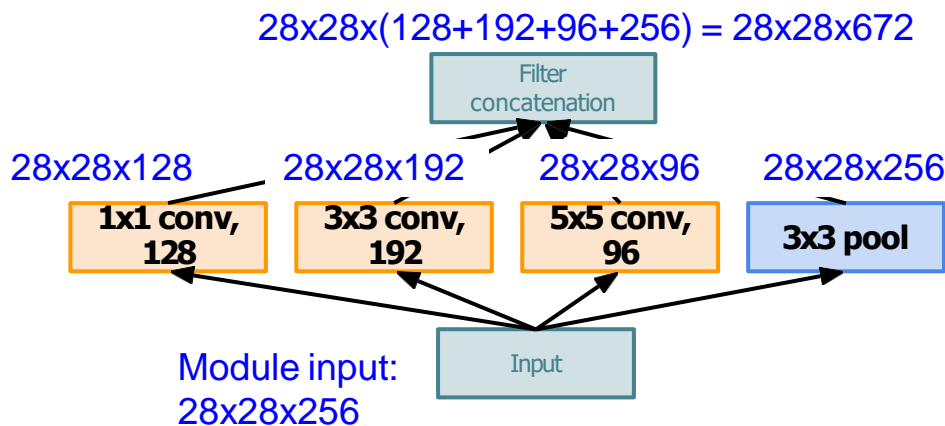
Naive Inception module

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q2: What is output size after filter concatenation?



Naive Inception module

**Conv Ops:**

[1x1 conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$

[3x3 conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 256$

[5x5 conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 256$

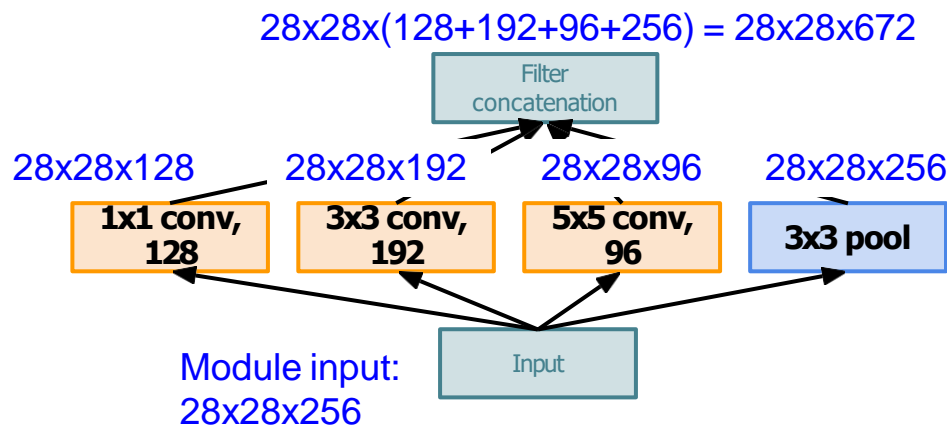
**Total: 854M ops**

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q2: What is output size after filter concatenation?



Naive Inception module

## Conv Ops:

[ $1 \times 1$  conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$   
 [ $3 \times 3$  conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 256$   
 [ $5 \times 5$  conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 256$

**Total: 854M ops**

Very expensive compute

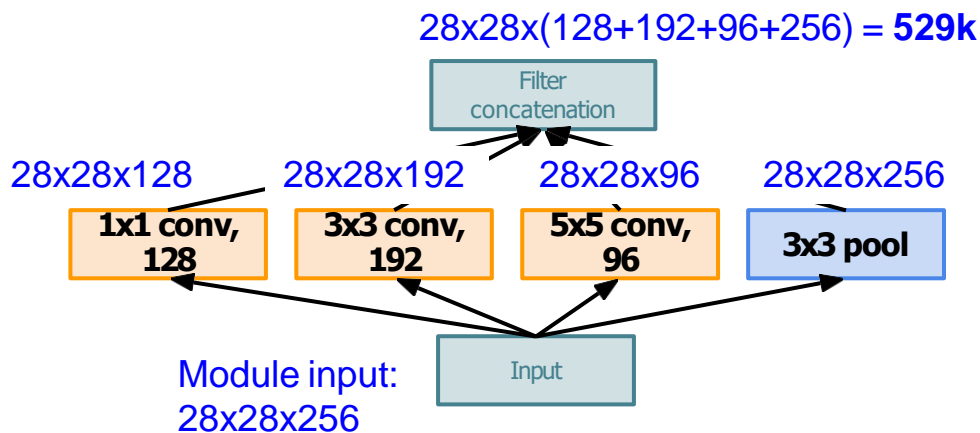
Pooling layer also preserves feature depth, which means total depth after concatenation can only grow at every layer!

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example: Q2: What is output size after filter concatenation?

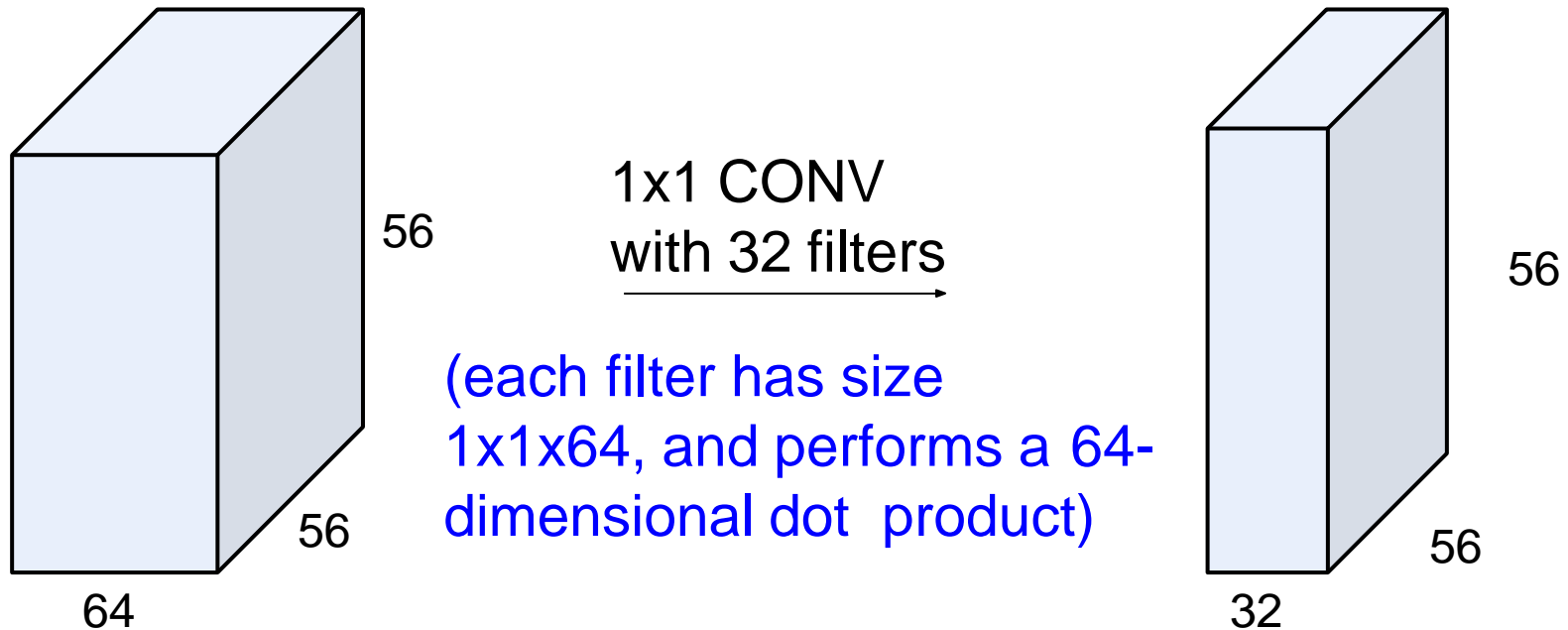


Naive Inception module

Solution: “bottleneck” layers that use  $1 \times 1$  convolutions to reduce feature channel size

# Review: 1x1 convolutions

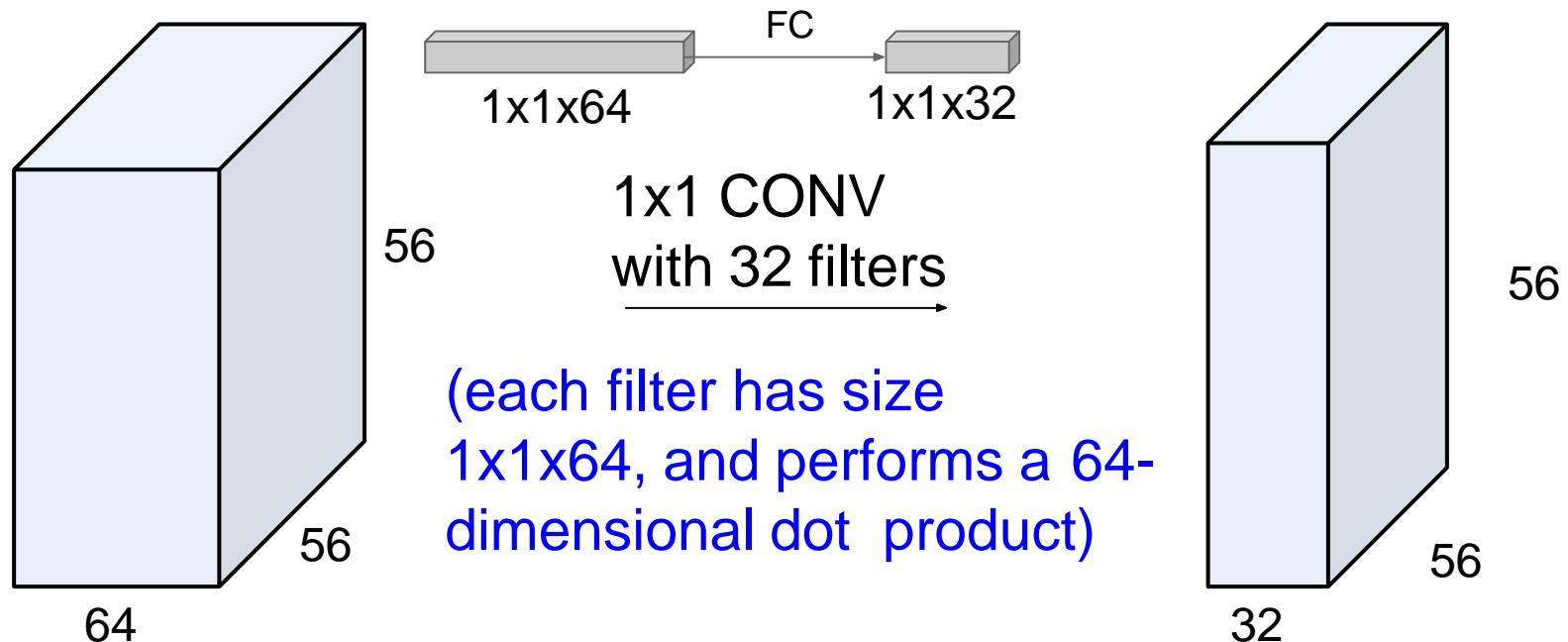
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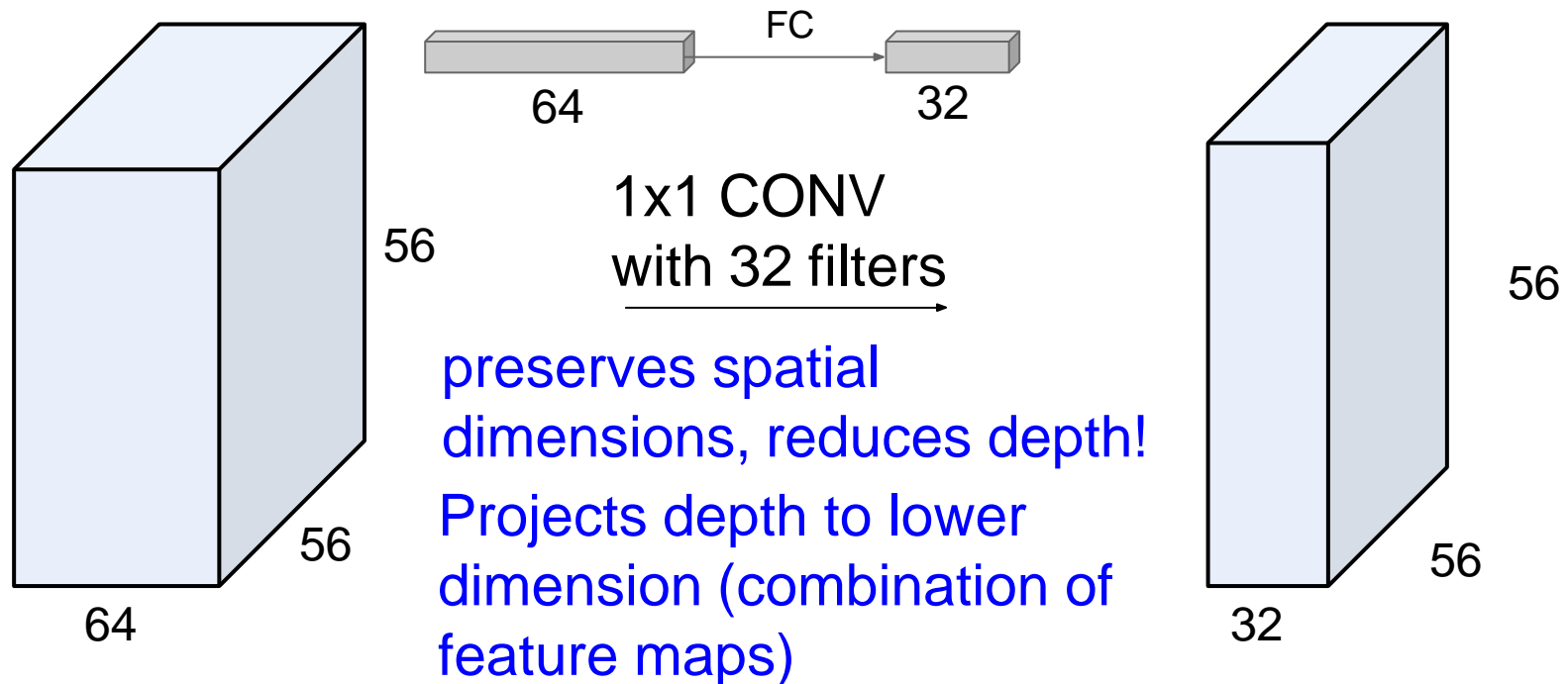
# Review: 1x1 convolutions

Alternatively, interpret it as applying the same FC layer on each input pixel



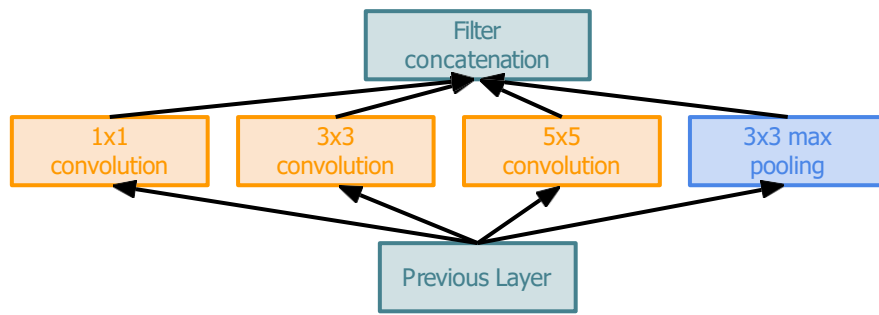
# Review: 1x1 convolutions

Alternatively, interpret it as applying the same FC layer on each input pixel



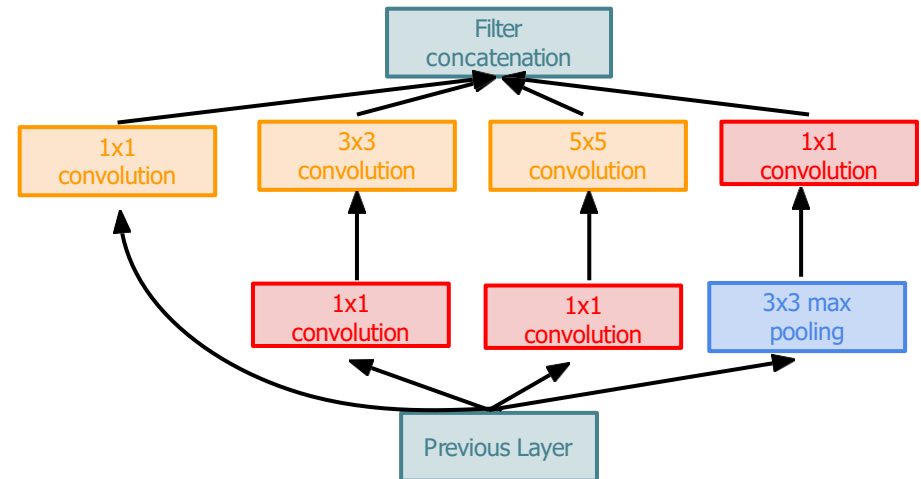
# Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

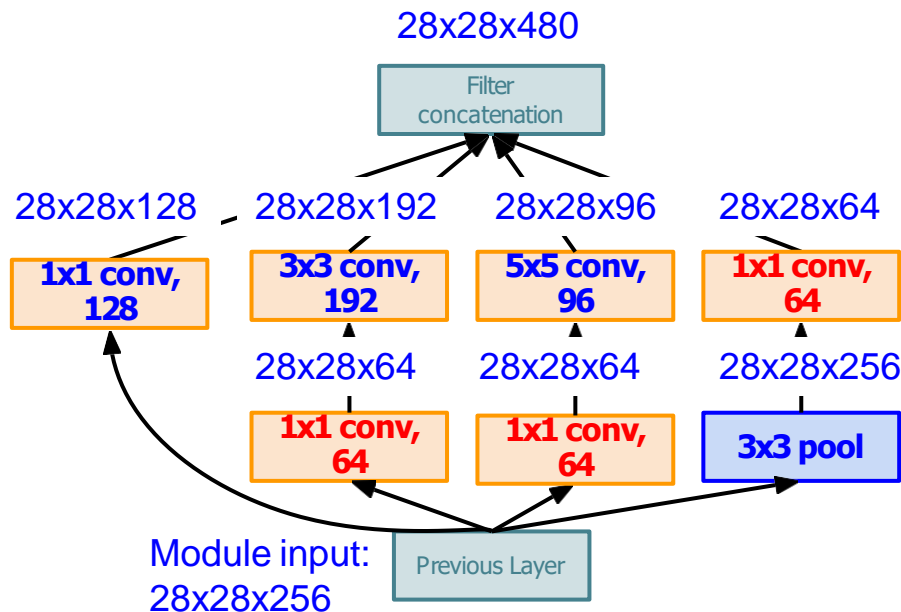
1x1 conv “bottleneck” layers



Inception module  
with dimension reduction

# Case Study: GoogLeNet

[Szegedy et al., 2014]



Inception module  
with dimension reduction

Using same parallel layers as naive example, and adding “1x1 conv, 64 filter” bottlenecks:

## Conv Ops:

[1x1 conv, 64] 28x28x64x1x1x256  
 [1x1 conv, 64] 28x28x64x1x1x256  
 [1x1 conv, 128] 28x28x128x1x1x256  
 [3x3 conv, 192] 28x28x192x3x3x64  
 [5x5 conv, 96] 28x28x96x5x5x64  
 [1x1 conv, 64] 28x28x64x1x1x256

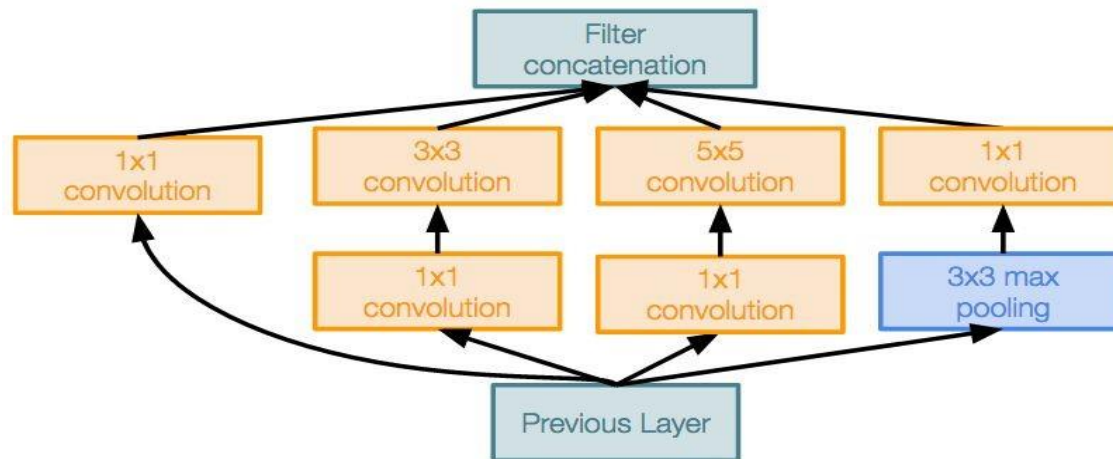
**Total: 358M ops**

Compared to 854M ops for naive version  
 Bottleneck can also reduce depth after pooling layer

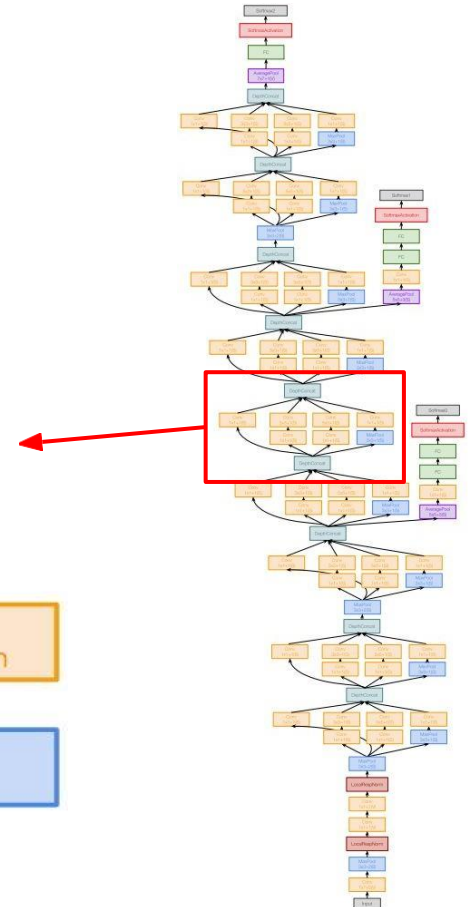
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Stack Inception modules with dimension reduction on top of each other



Inception module

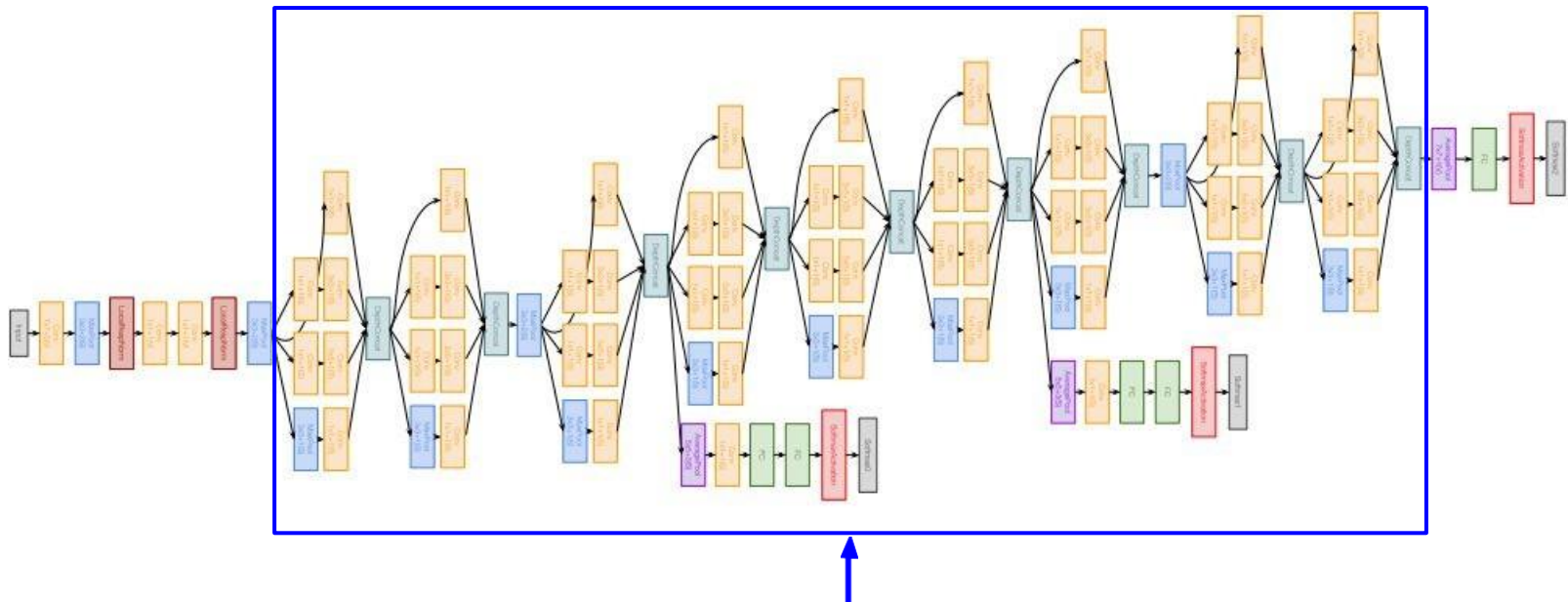




# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture

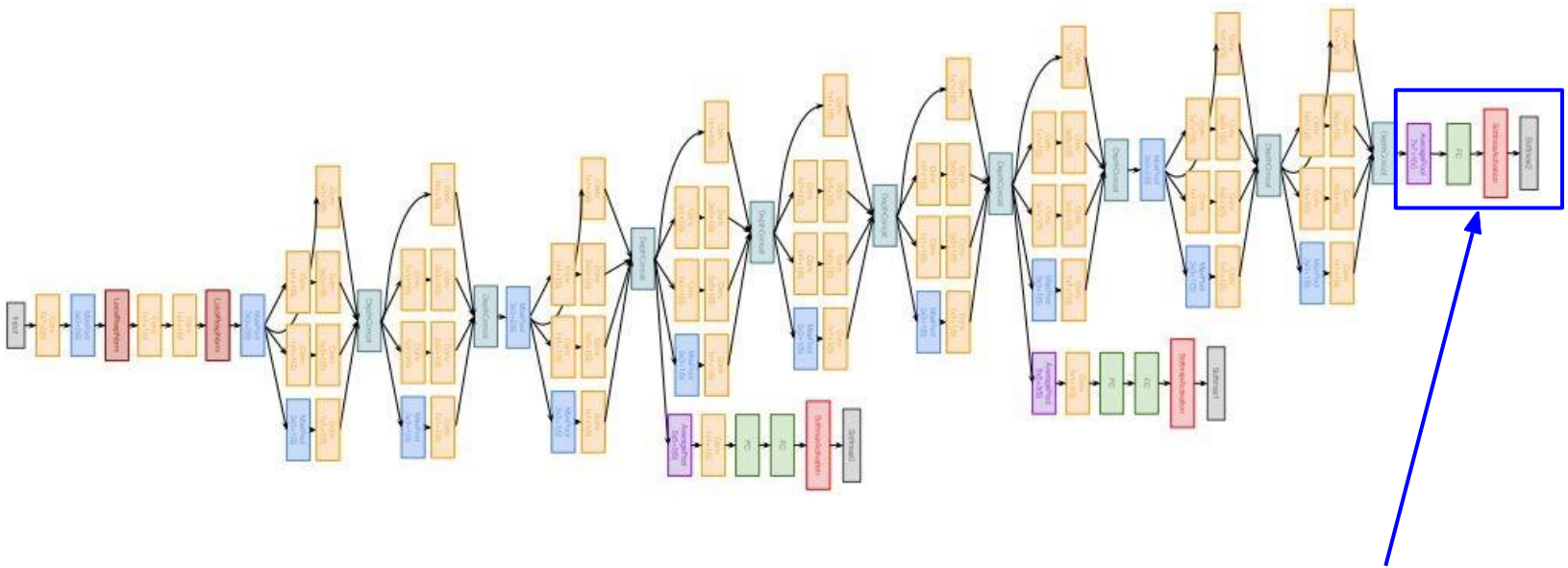


Stacked Inception Modules

# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture



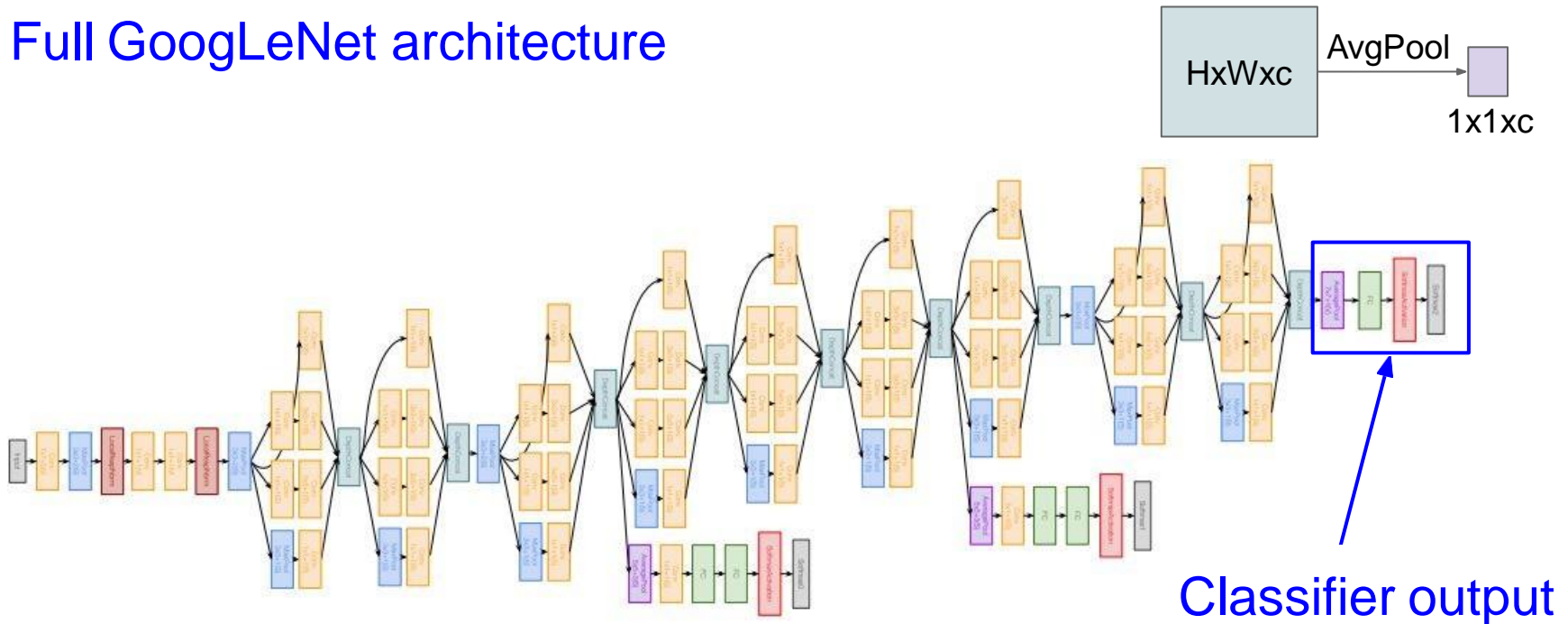
Classifier output



# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture

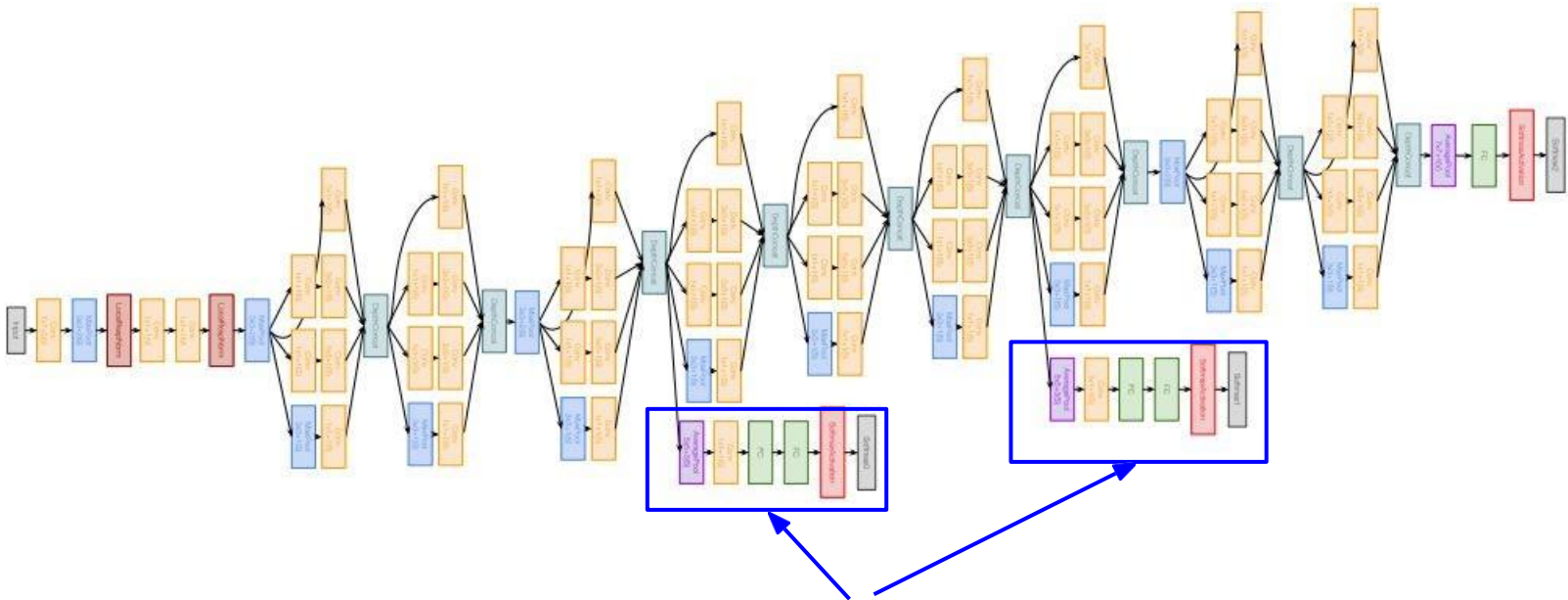


Note: after the last convolutional layer, a global average pooling layer is used that spatially averages across each feature map, before final FC layer. No longer multiple expensive FC layers!

# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture

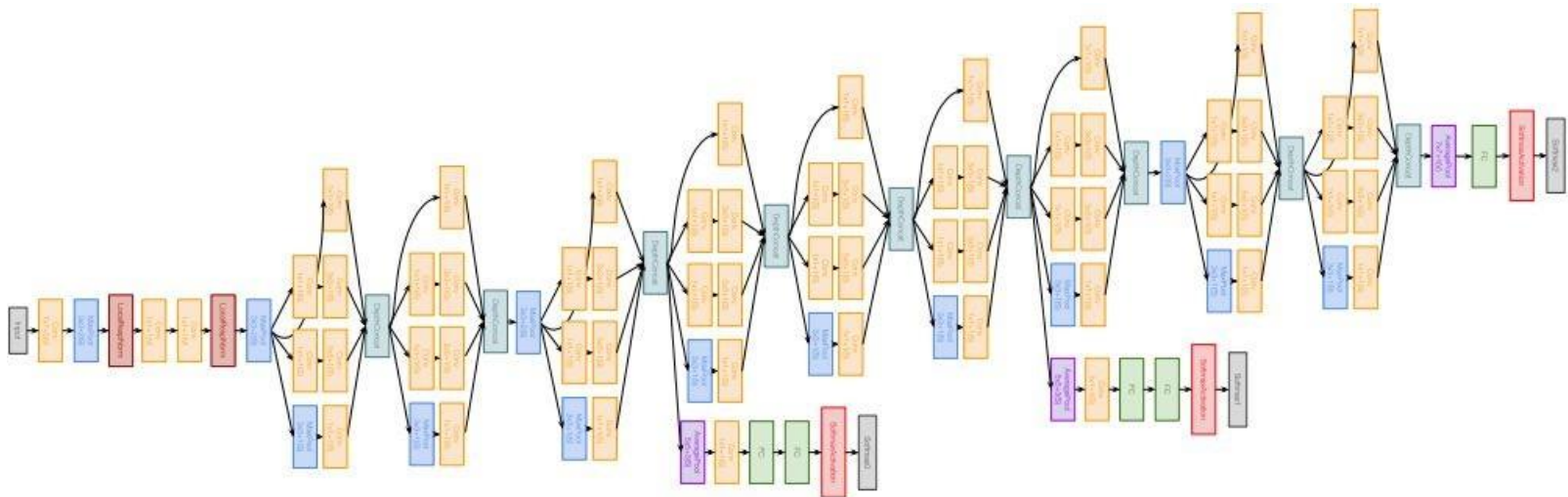


Auxiliary classification outputs to inject additional gradient at lower layers (AvgPool-1x1Conv-FC-FC-Softmax)

# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture



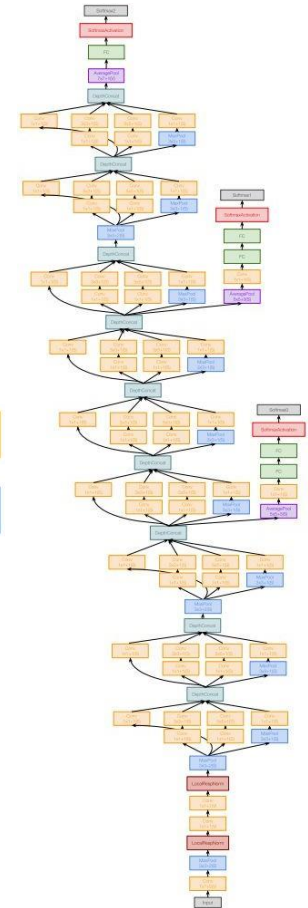
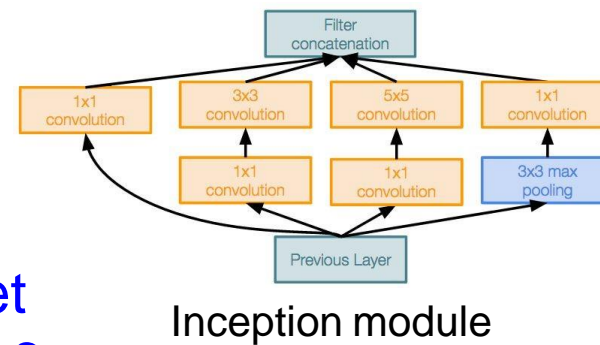
22 total layers with weights  
 (parallel layers count as 1 layer => 2 layers per Inception module.  
 Don't count auxiliary output layers)

# Case Study: GoogLeNet

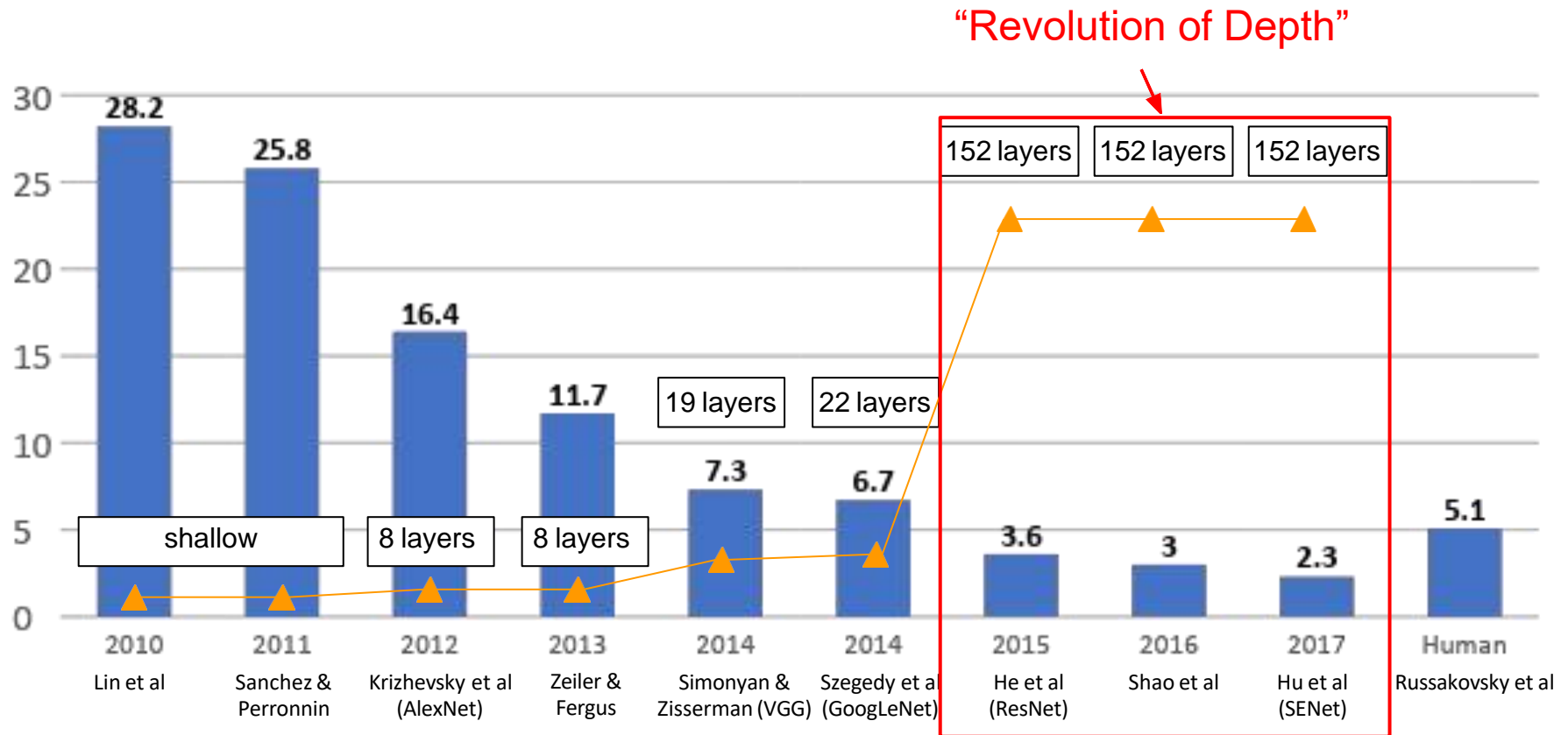
[Szegedy et al., 2014]

Deeper networks, with  
computational efficiency

- 22 layers
- Efficient “Inception” module
- Avoids expensive FC layers
- 12x less params than AlexNet
- 27x less params than VGG-16
- ILSVRC’14 classification winner (6.7% top 5 error)



# ImageNet Large Scale Visual Recognition Challenge winners

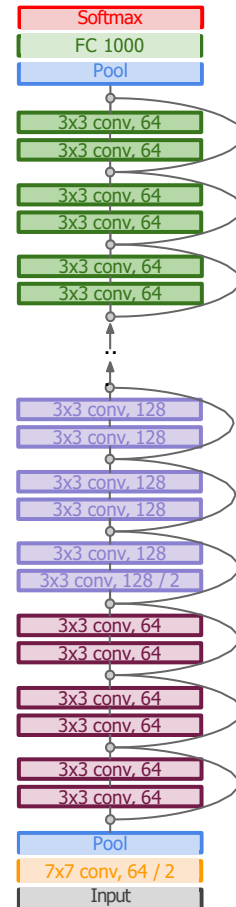
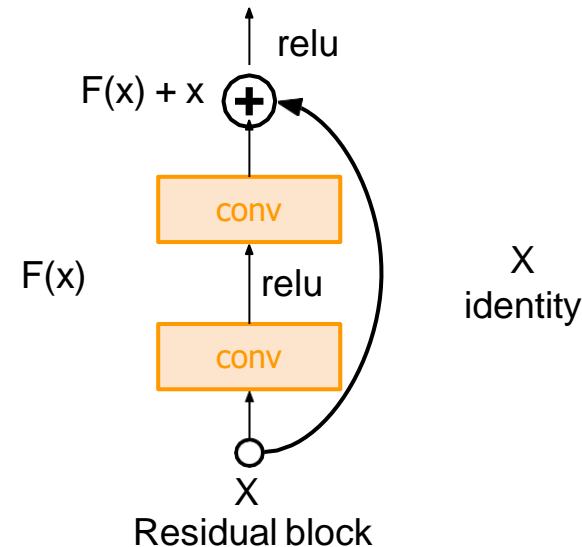


# Case Study: ResNet

[He et al., 2015]

Very deep networks using residual connections

- 152-layer model for ImageNet
- ILSVRC'15 classification winner (3.57% top 5 error)
- Swept all classification and detection competitions in ILSVRC'15 and COCO'15!



# Case Study: ResNet

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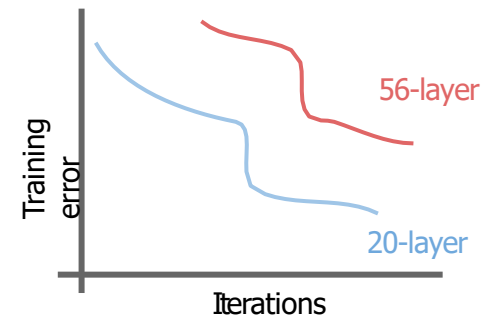
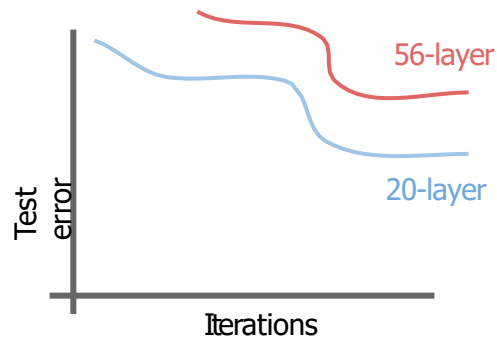
*[He et al., 2015]*

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?

# Case Study: ResNet

[He et al., 2015]

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?

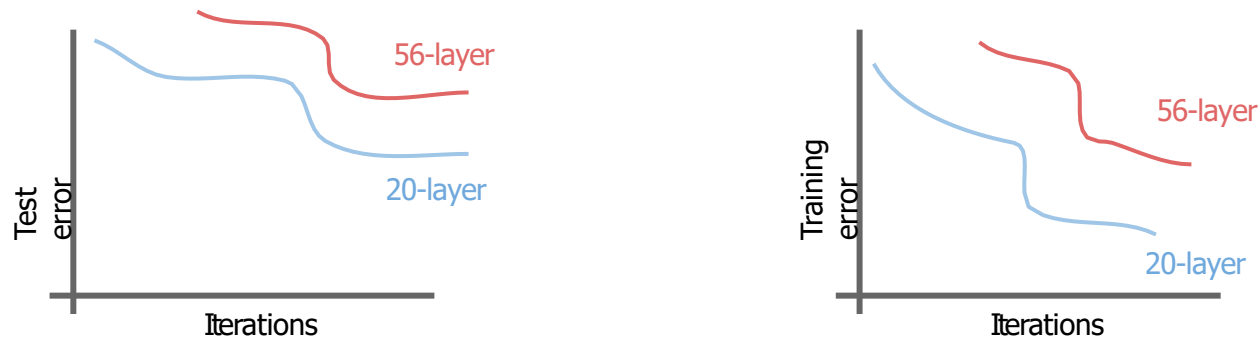




# Case Study: ResNet

[He et al., 2015]

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



56-layer model performs worse on both test and training error  
-> The deeper model performs worse, but it's **not caused by overfitting!**

# Case Study: ResNet

---

*[He et al., 2015]*

Fact: Deep models have more representation power (more parameters) than shallower models.

Hypothesis: the problem is an *optimization* problem,  
**deeper models are harder to optimize**

# Case Study: ResNet

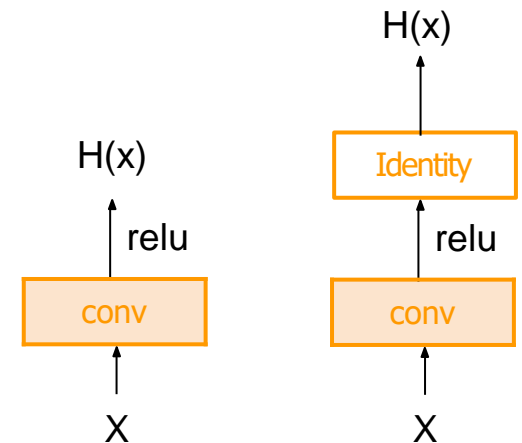
[He et al., 2015]

Fact: Deep models have more representation power (more parameters) than shallower models.

Hypothesis: the problem is an *optimization* problem, deeper models are harder to optimize

What should the deeper model learn to be at least as good as the shallower model?

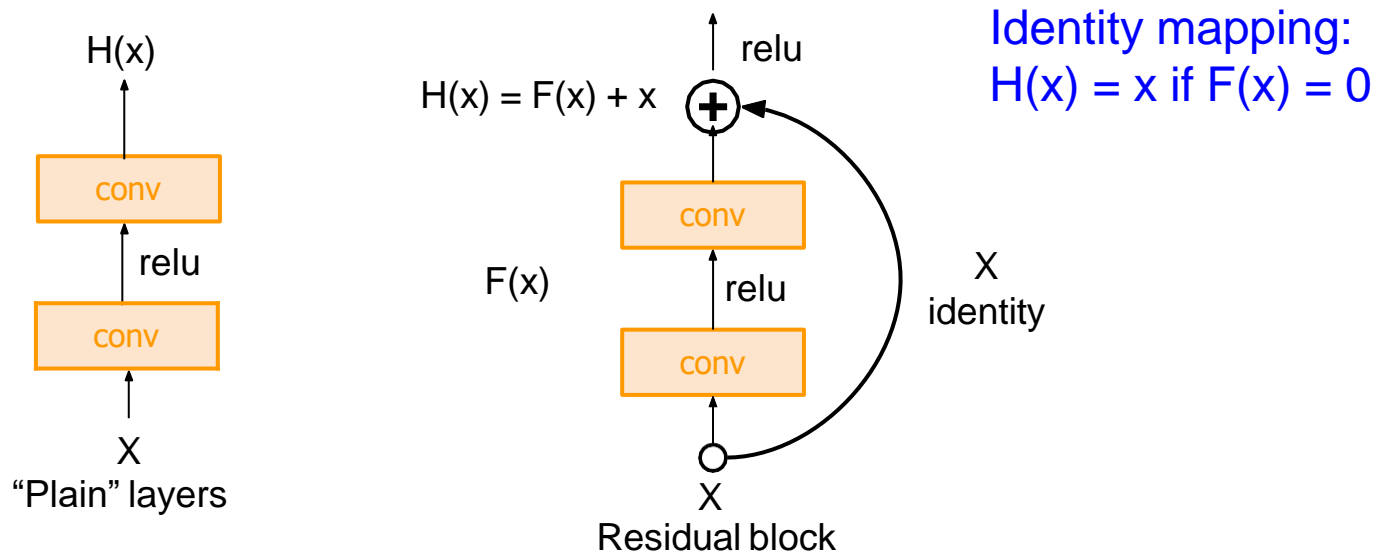
A solution by construction is copying the learned layers from the shallower model and setting additional layers to identity mapping.



# Case Study: ResNet

[He et al., 2015]

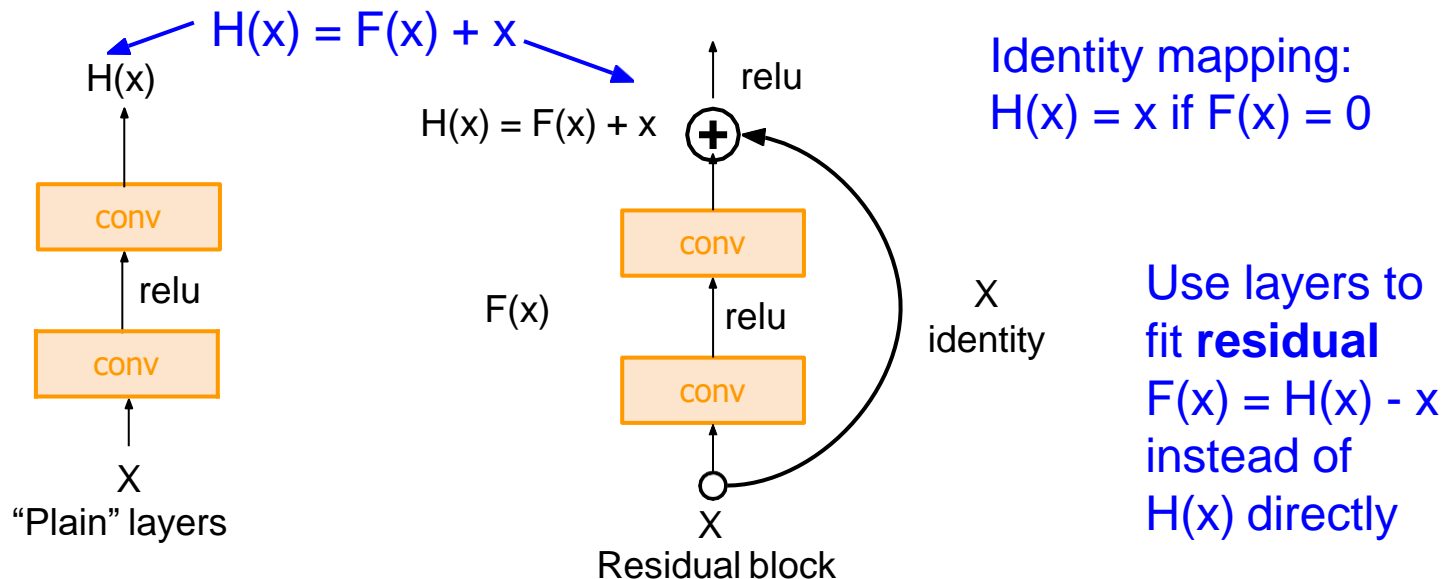
Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping



# Case Study: ResNet

[He et al., 2015]

Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping

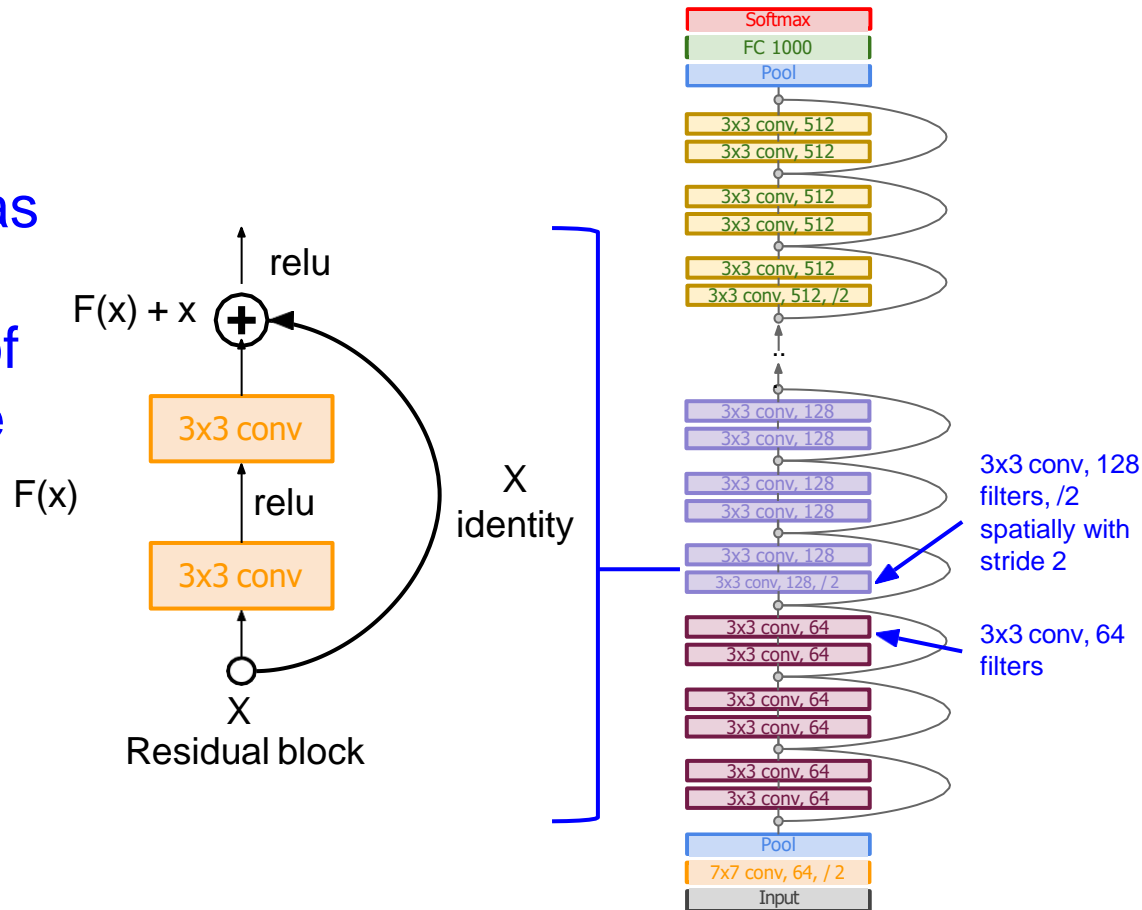


# Case Study: ResNet

[He et al., 2015]

## Full ResNet architecture:

- Stack residual blocks
  - Every residual block has two 3x3 conv layers
  - Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)
- Reduce the activation volume by half.

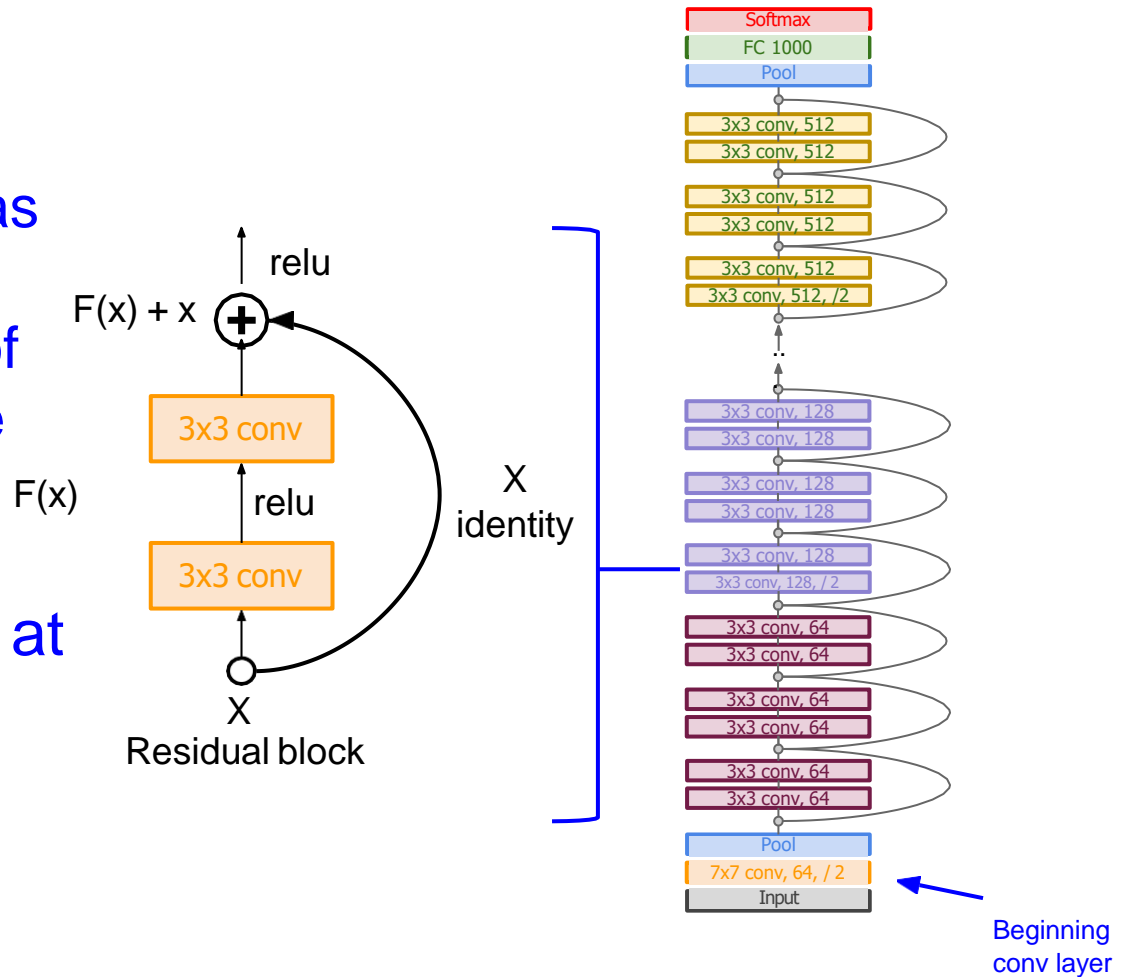


# Case Study: ResNet

[He et al., 2015]

## Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)
- Additional conv layer at the beginning (stem)

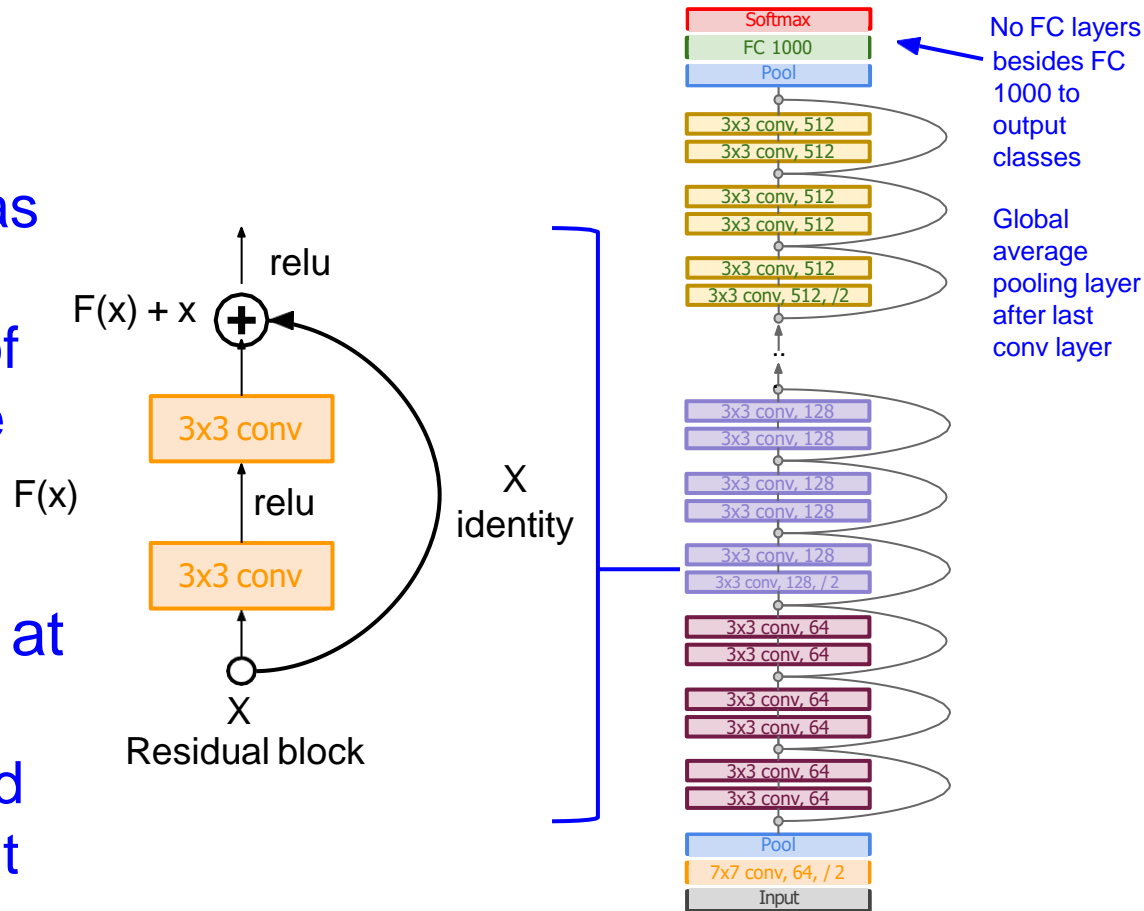


# Case Study: ResNet

[He et al., 2015]

## Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)
- Additional conv layer at the beginning (stem)
- No FC layers at the end (only FC 1000 to output classes)
- (In theory, you can train a ResNet with input image of variable sizes)

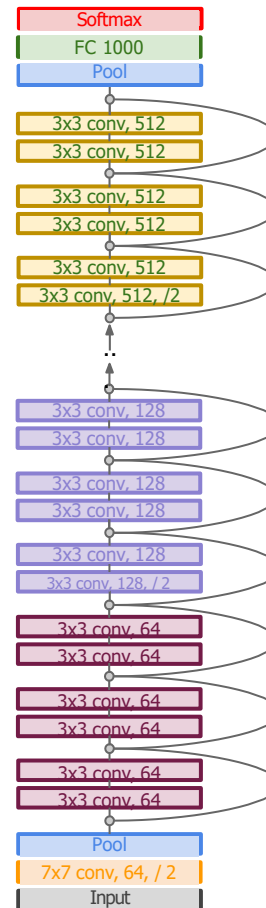




# Case Study: ResNet

[He et al., 2015]

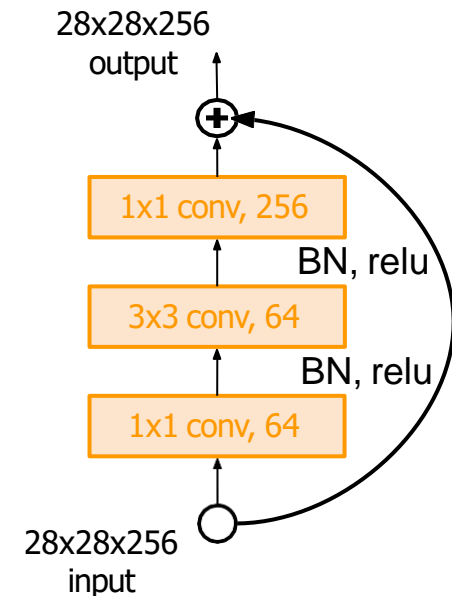
Total depths of 18, 34, 50,  
101, or 152 layers for  
ImageNet



# Case Study: ResNet

[He et al., 2015]

For deeper networks  
(ResNet-50+), use “bottleneck”  
layer to improve efficiency  
(similar to GoogLeNet)



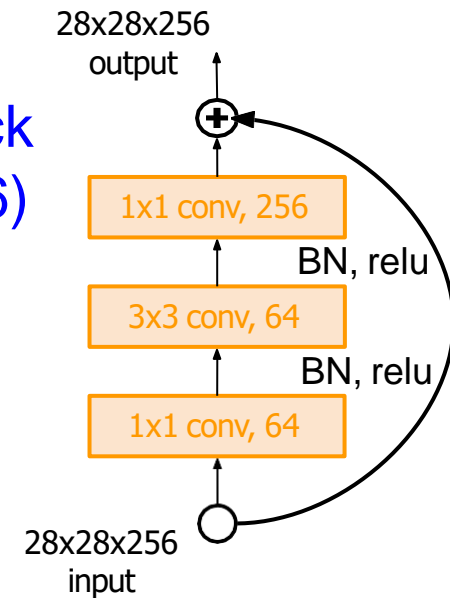
# Case Study: ResNet

[He et al., 2015]

1x1 conv, 256 filters projects back  
to 256 feature maps (28x28x256)

3x3 conv operates over only 64  
feature maps

1x1 conv, 64 filters to project to  
28x28x64



# Case Study: ResNet

---

*[He et al., 2015]*

Training ResNet in practice:

- Batch Normalization after every CONV layer
- Xavier initialization from He et al.
- SGD + Momentum (0.9)
- Learning rate: 0.1, divided by 10 when validation error plateaus
- Mini-batch size 256
- Weight decay of  $1e-5$
- No dropout used

# Case Study: ResNet

[He et al., 2015]

## MSRA @ ILSVRC & COCO 2015 Competitions

- **1st places** in all five main tracks

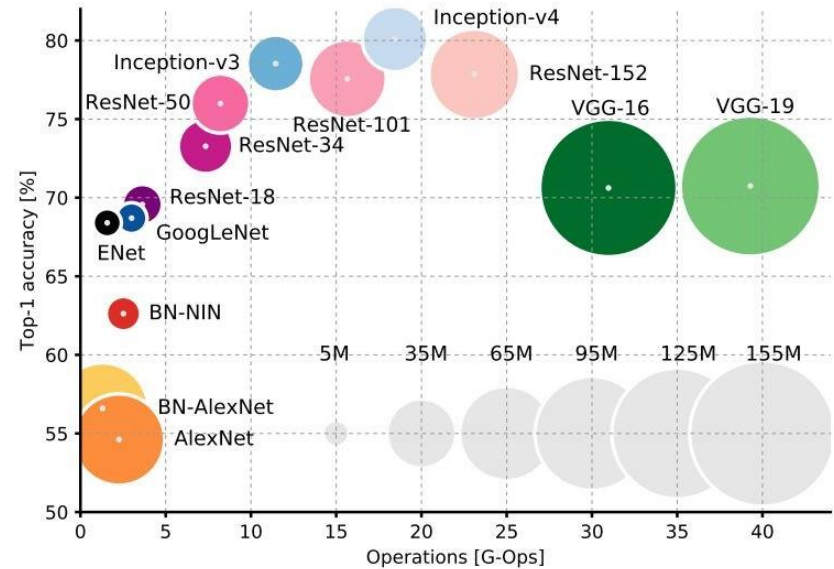
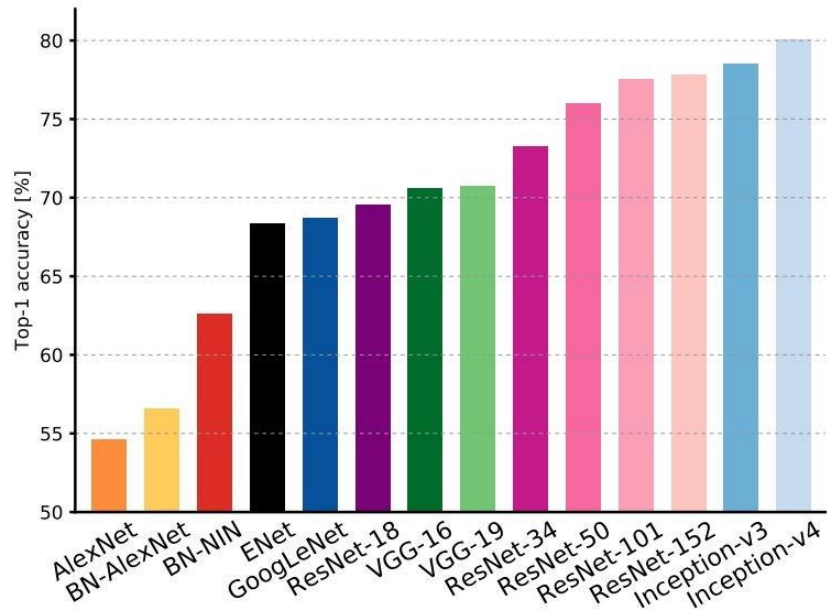
- ImageNet Classification: “Ultra-deep” (quote Yann) **152-layer** nets
- ImageNet Detection: **16%** better than 2nd
- ImageNet Localization: **27%** better than 2nd
- COCO Detection: **11%** better than 2nd
- COCO Segmentation: **12%** better than 2nd

## Experimental Results

- Able to train very deep networks without degrading (152 layers on ImageNet, 1202 on Cifar)
- Deeper networks now achieve lower training error as expected
- Swept 1st place in all ILSVRC and COCO 2015 competitions

ILSVRC 2015 classification winner (3.6% top 5 error)  
-- better than “human performance”! (Russakovsky 2014)

# Comparing complexity

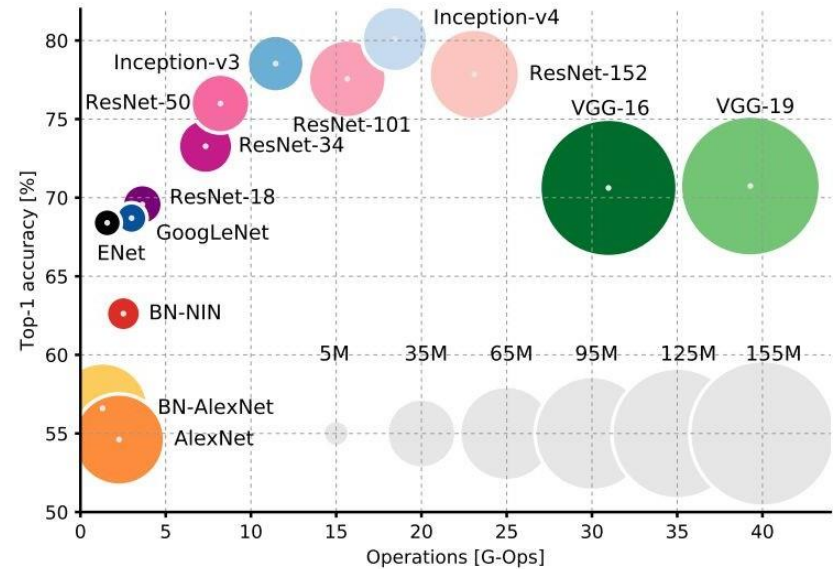
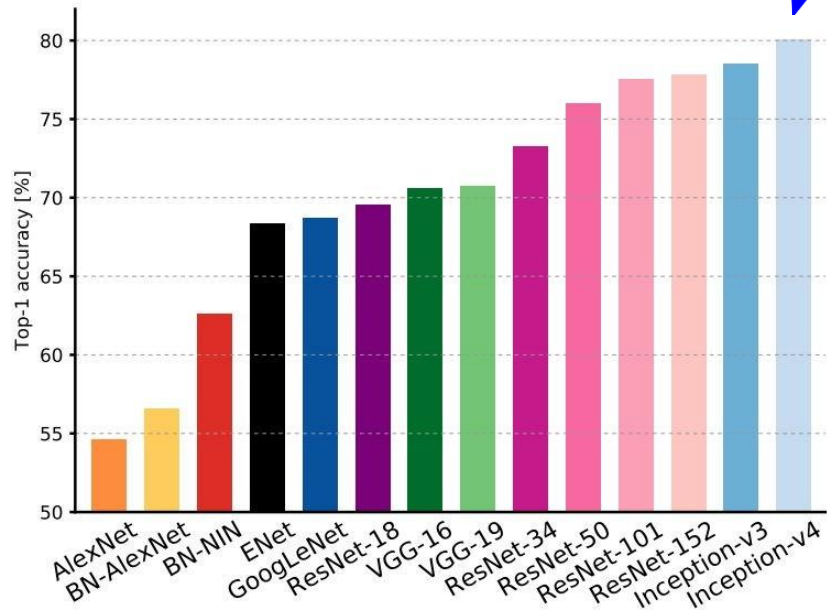


## An Analysis of Deep Neural Network Models for Practical Applications

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# Comparing complexity

Inception-v4: Resnet + Inception!

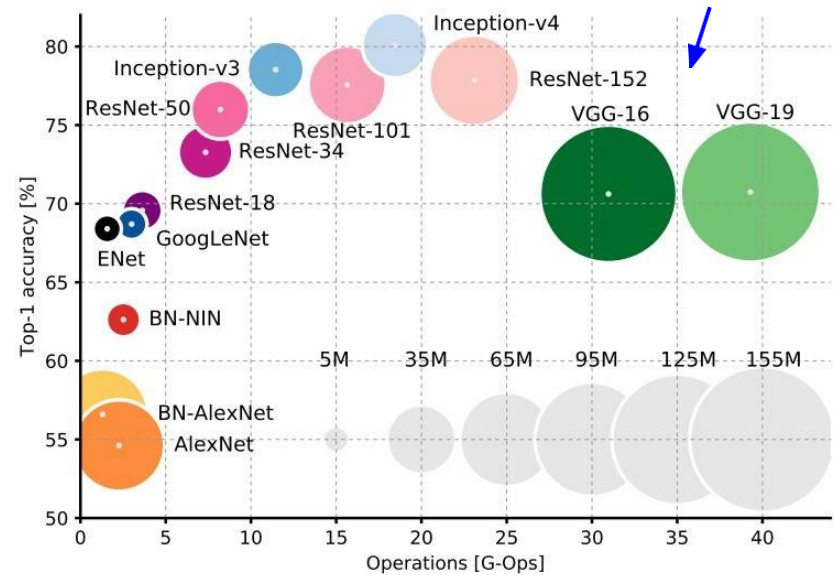
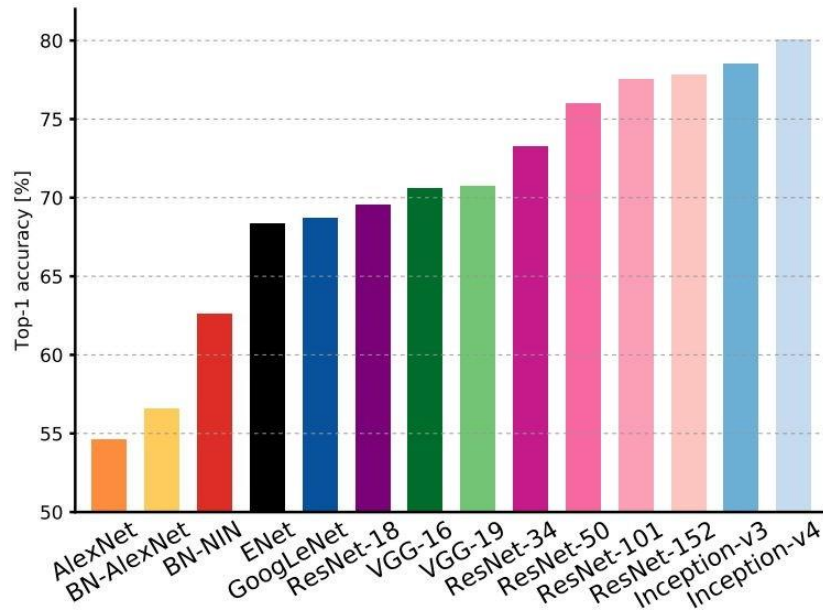


## An Analysis of Deep Neural Network Models for Practical Applications

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# Comparing complexity

VGG: most parameters,  
most operations

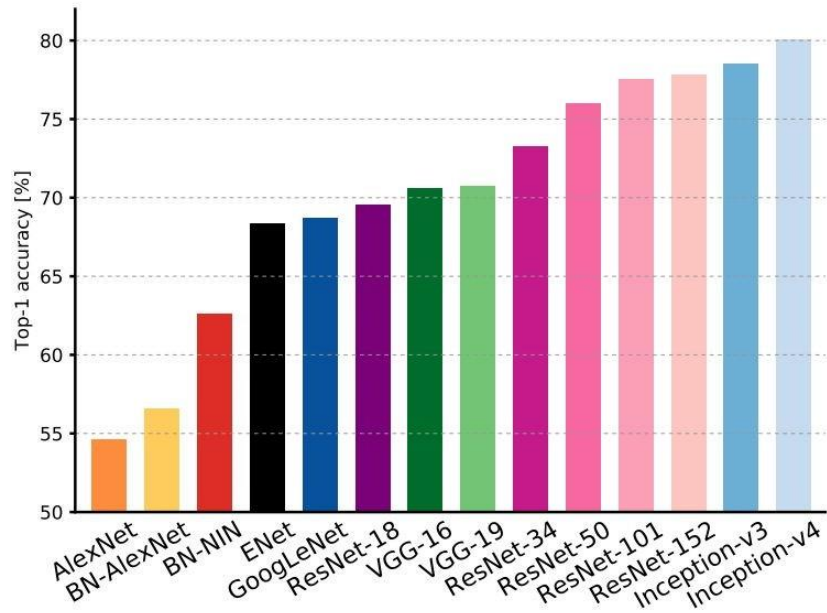


## An Analysis of Deep Neural Network Models for Practical Applications

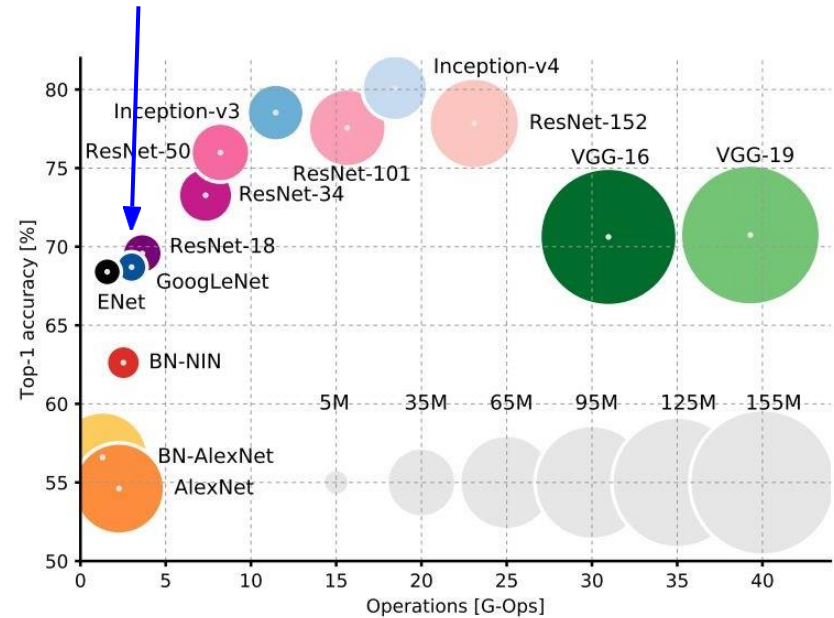
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# Comparing complexity



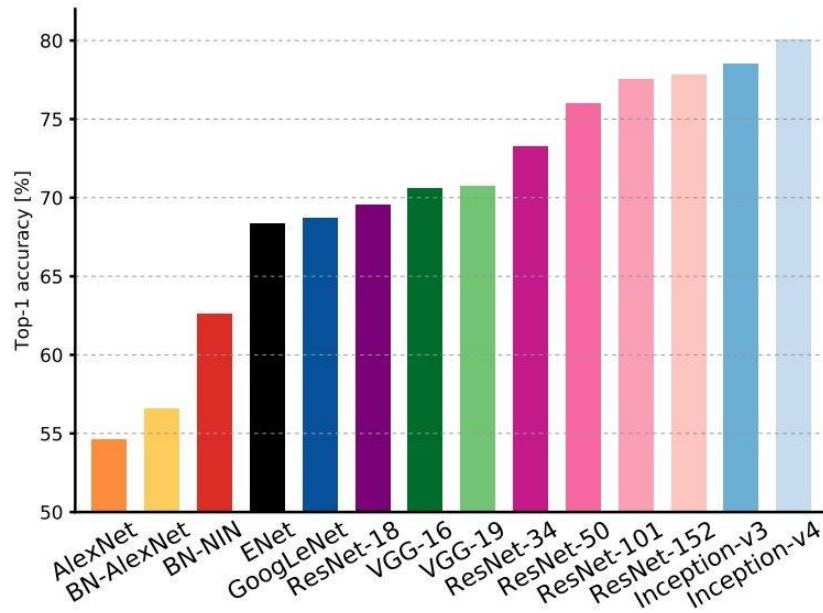
GoogLeNet:  
most efficient



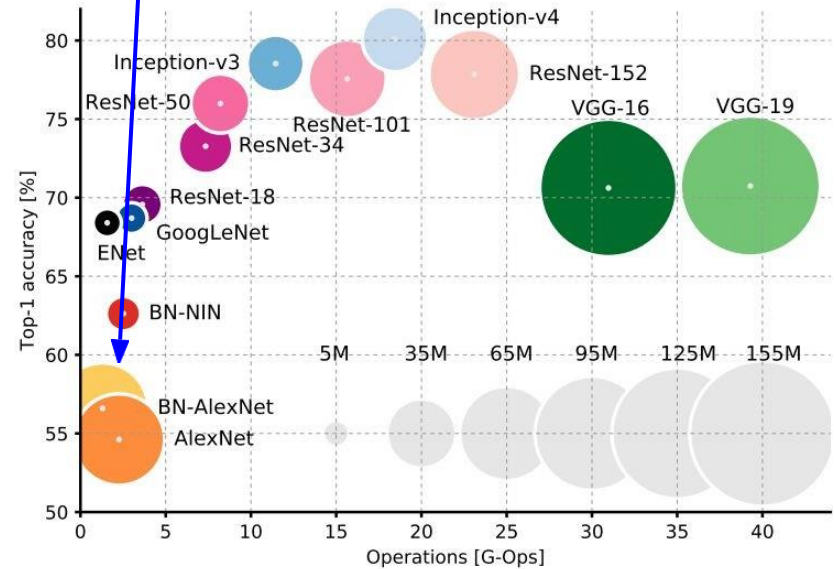
An Analysis of Deep Neural Network Models for Practical Applications, 2017.

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# Comparing complexity



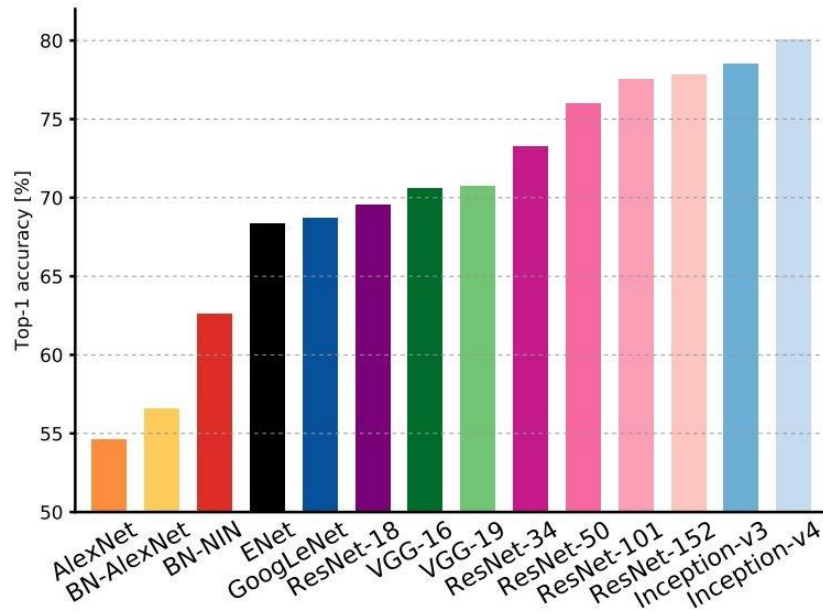
AlexNet:  
Smaller compute, still memory heavy, lower accuracy



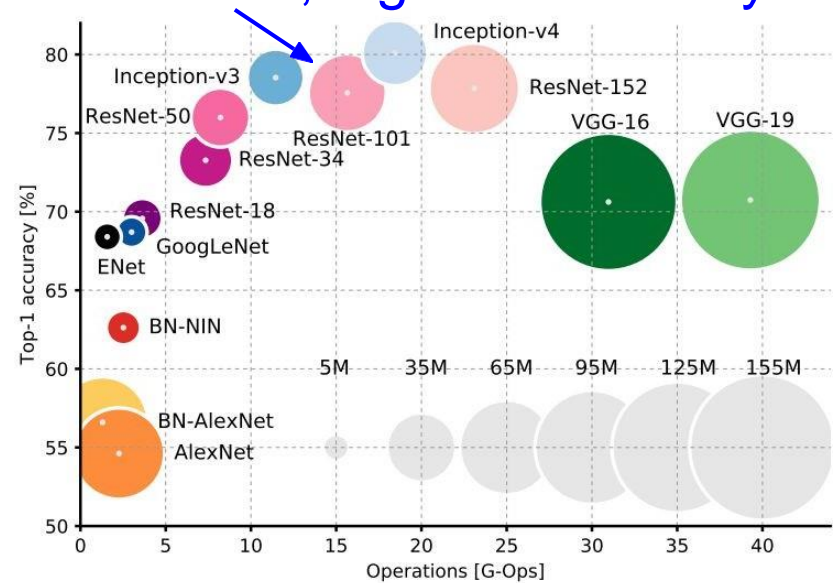
## An Analysis of Deep Neural Network Models for Practical Applications

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# Comparing complexity



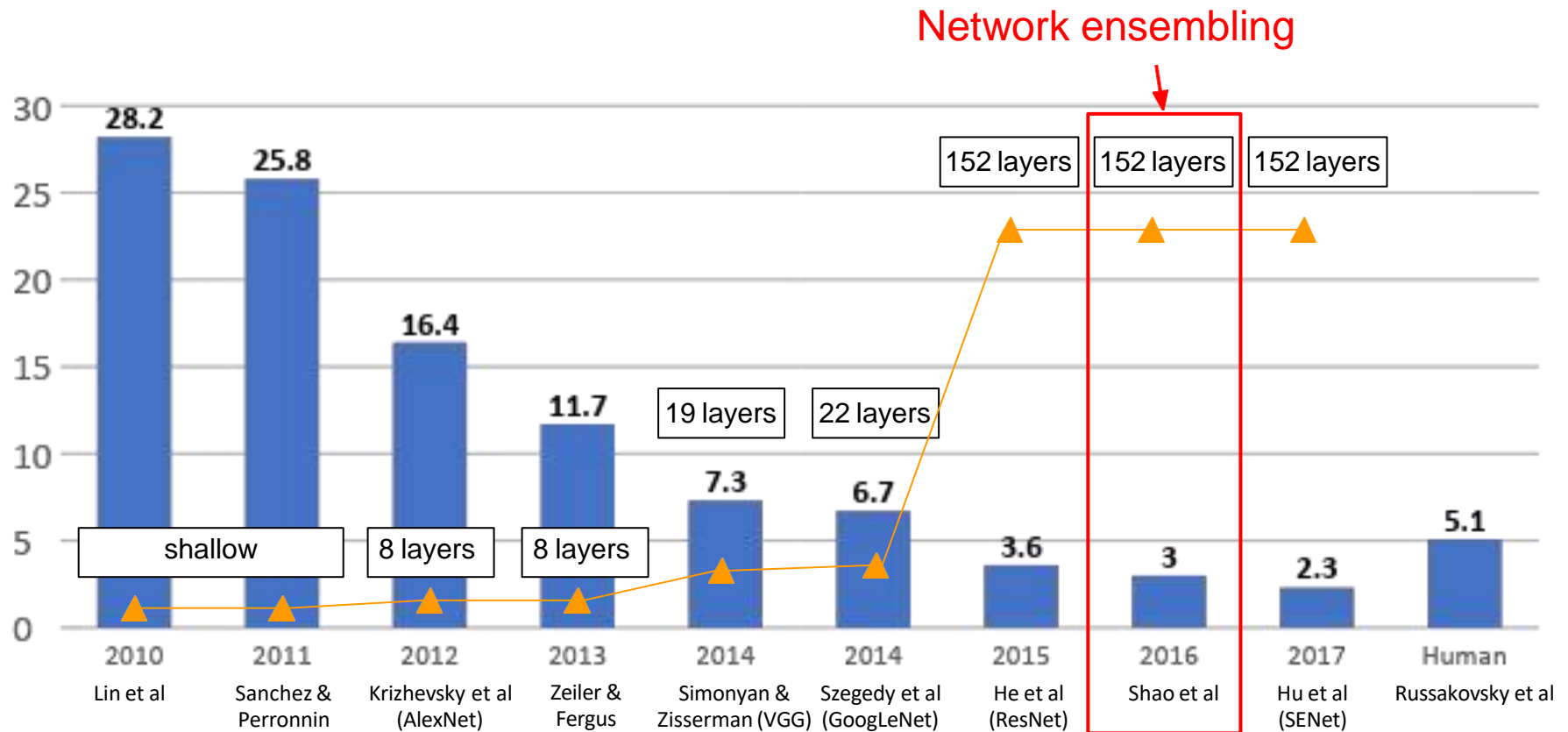
**ResNet:**  
Moderate efficiency depending on model, highest accuracy



## An Analysis of Deep Neural Network Models for Practical Applications

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# ImageNet Large Scale Visual Recognition Challenge winners



# Improving ResNets

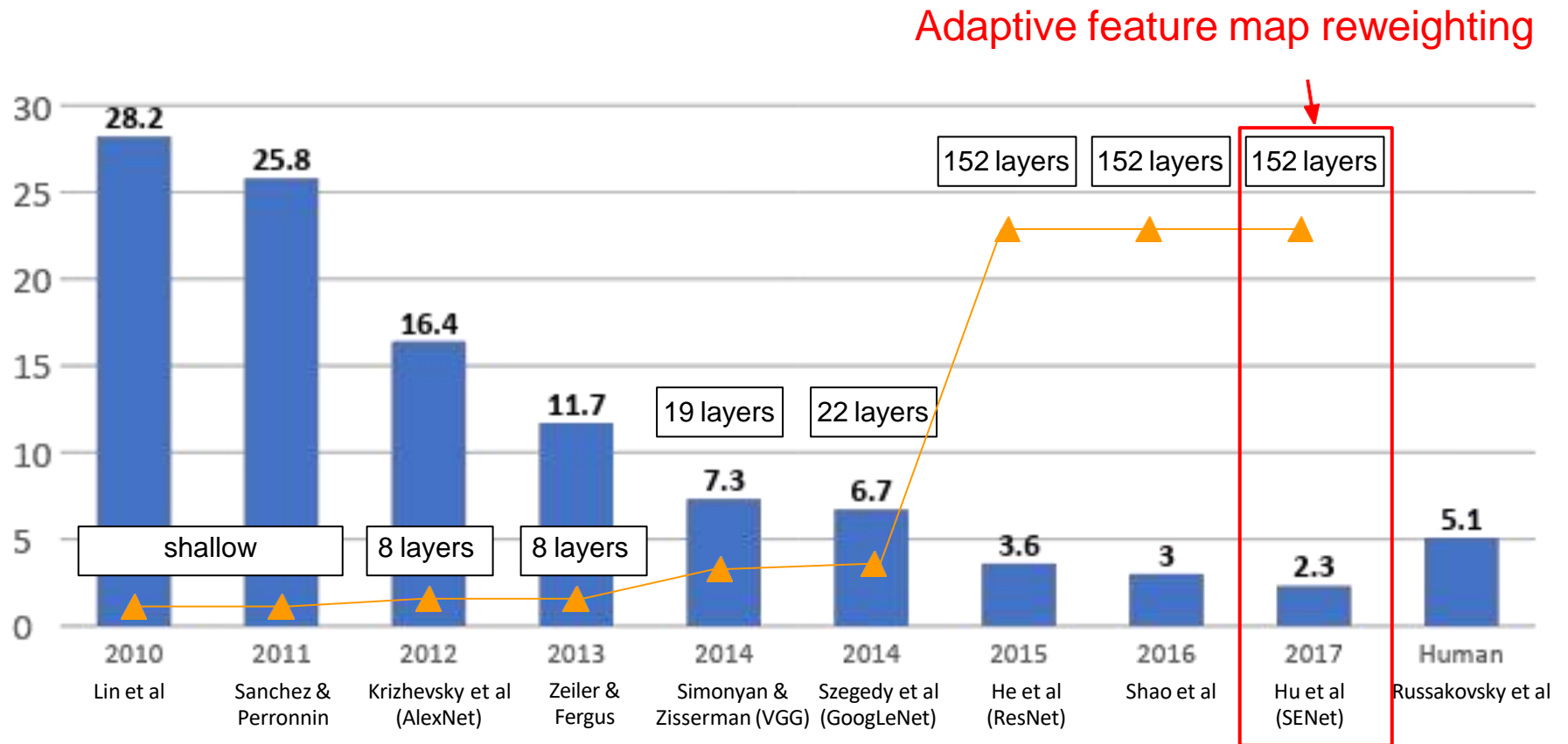
## “Good Practices for Deep Feature Fusion”

[Shao et al. 2016]

- Multi-scale ensembling of Inception, Inception-Resnet, Resnet, Wide Resnet models
- ILSVRC'16 classification winner

	Inception-v3	Inception-v4	Inception-Resnet-v2	Resnet-200	Wrn-68-3	Fusion (Val.)	Fusion (Test)
Err. (%)	4.20	4.01	3.52	4.26	4.65	2.92 (-0.6)	2.99

# ImageNet Large Scale Visual Recognition Challenge winners

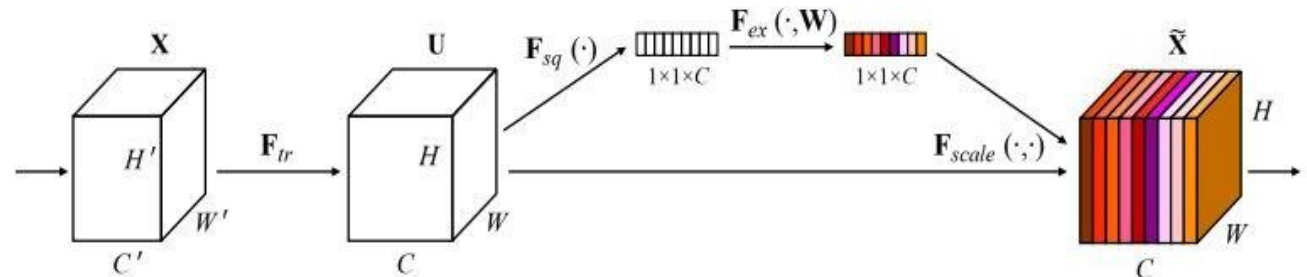
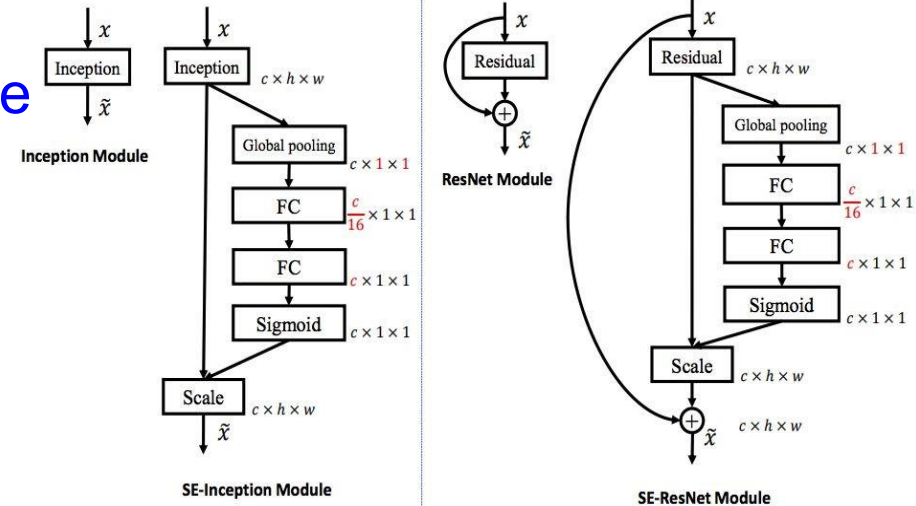


# Improving ResNets

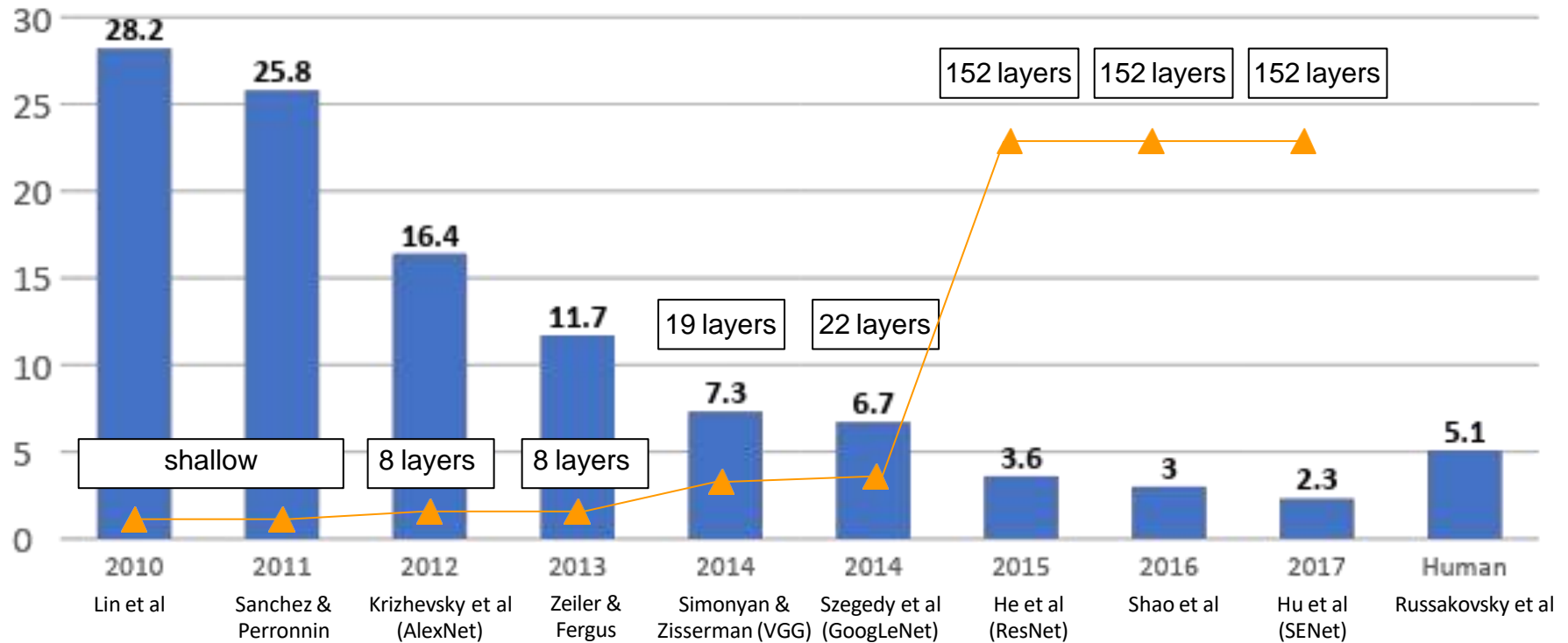
## Squeeze-and-Excitation Networks (SENet)

[Hu et al. 2017]

- Add a “feature recalibration” module that learns to adaptively reweight feature maps
- Global information (global avg. pooling layer) + 2 FC layers used to determine feature map weights
- ILSVRC'17 classification winner (using ResNeXt-152 as a base architecture)



# ImageNet Large Scale Visual Recognition Challenge winners





# ImageNet Large Scale Visual Recognition Challenge winners

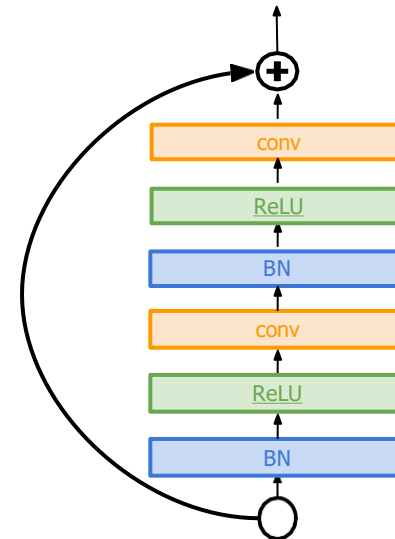


# Improving ResNets

## Identity Mappings in Deep Residual Networks

[He et al. 2016]

- Improved ResNet block design from creators of ResNet
- Creates a more direct path for propagating information throughout network
- Gives better performance

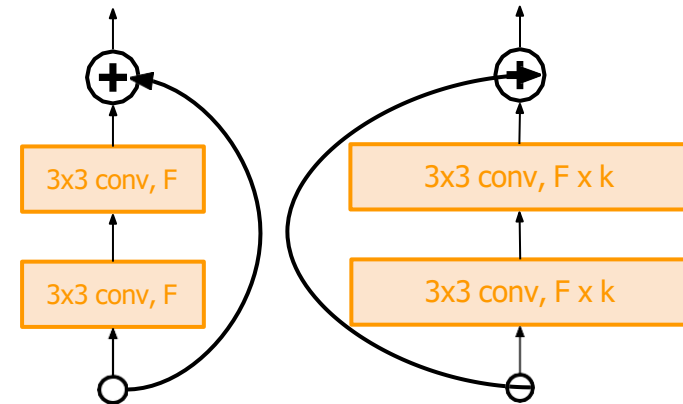


# Improving ResNets

## Wide Residual Networks

[Zagoruyko et al. 2016]

- Argues that residuals are the important factor, not depth
- Use wider residual blocks ( $F \times k$  filters instead of  $F$  filters in each layer)
- 50-layer wide ResNet outperforms 152-layer original ResNet
- Increasing width instead of depth more computationally efficient (parallelizable)



Basic residual block

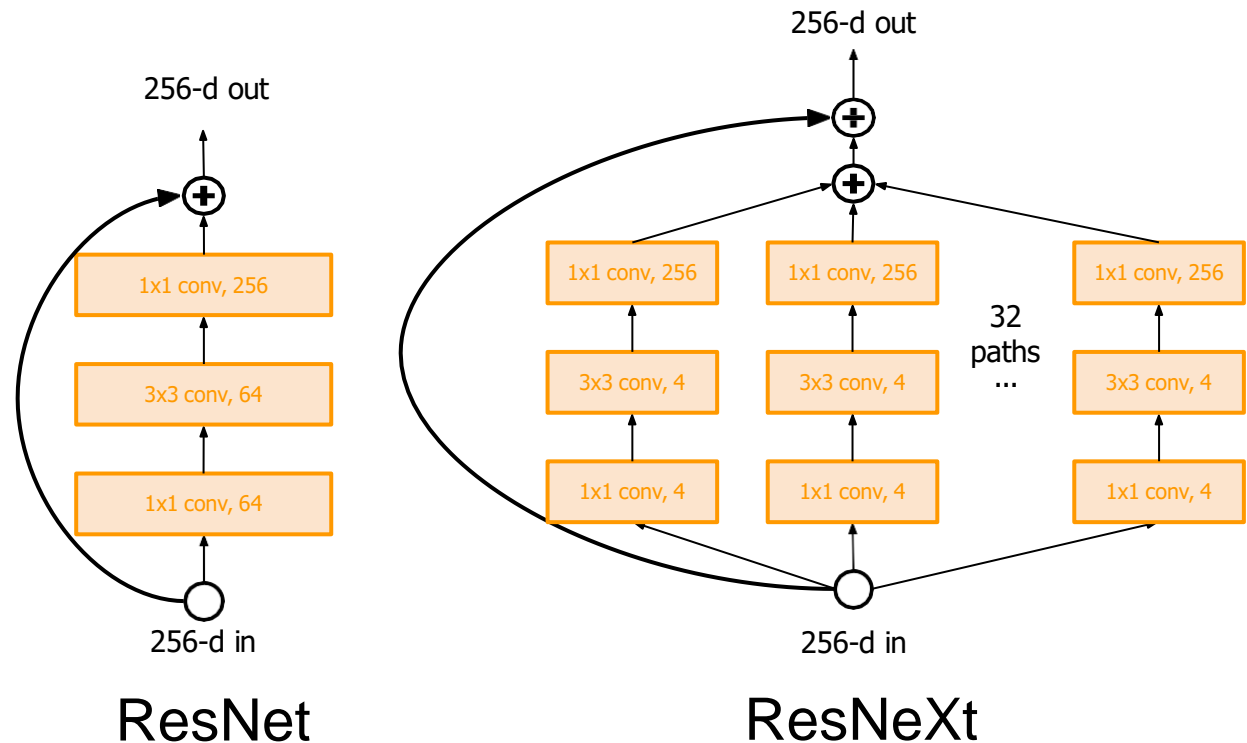
Wide residual block

# Improving ResNets

## Aggregated Residual Transformations for Deep Neural Networks (ResNeXt)

[Xie et al. 2016]

- Also from creators of ResNet
- Increases width of residual block through multiple parallel pathways (“cardinality”)
- Parallel pathways similar in spirit to Inception module

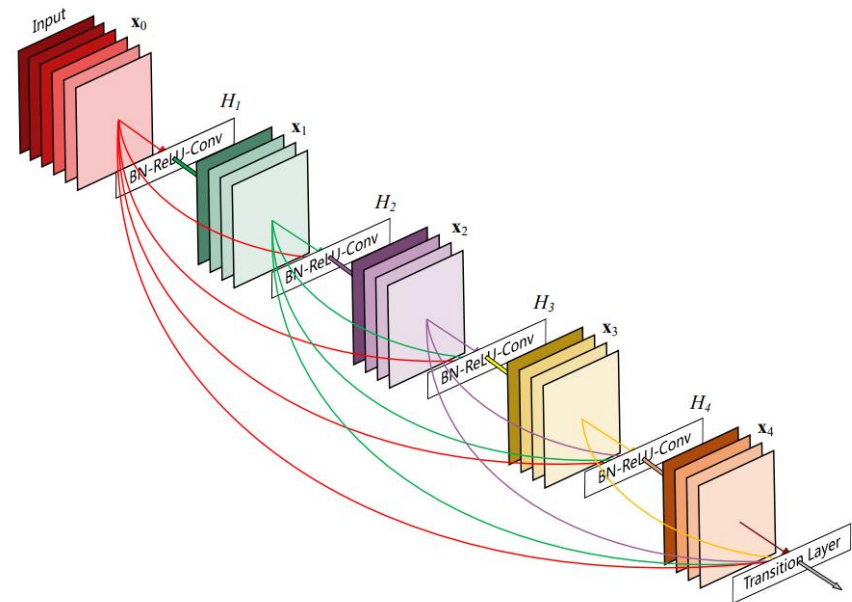


# Other ideas

## Densely Connected Convolutional Networks (DenseNet)

[Huang et al. 2017]

- Dense blocks where each layer is connected to every other layer in feedforward fashion
- Alleviates vanishing gradient, strengthens feature propagation, encourages feature reuse
- Showed that shallow 50-layer network can outperform deeper 152 layer ResNet

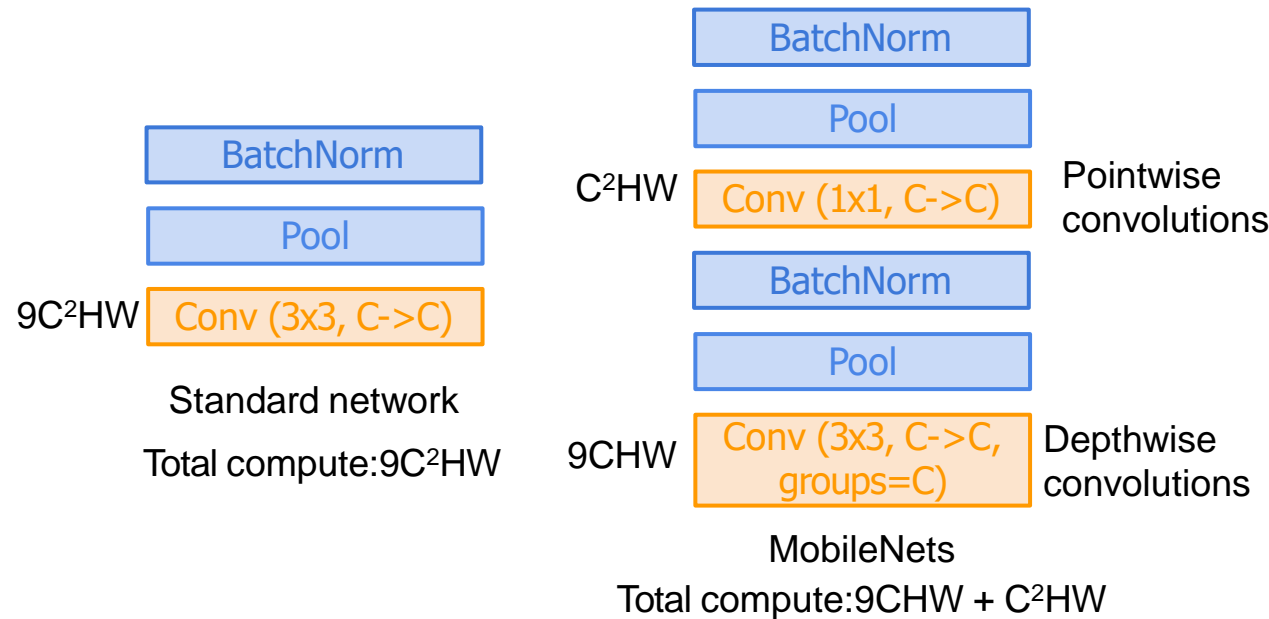


# Efficient networks

## MobileNets: Efficient Convolutional Neural Networks for Mobile Applications

[Howard et al. 2017]

- Depthwise separable convolutions replace standard convolutions by factorizing them into a depthwise convolution and a  $1 \times 1$  convolution
- Much more efficient, with little loss in accuracy
- Follow-up MobileNetV2 work in 2018 (Sandler et al.)
- ShuffleNet: Zhang et al, CVPR 2018

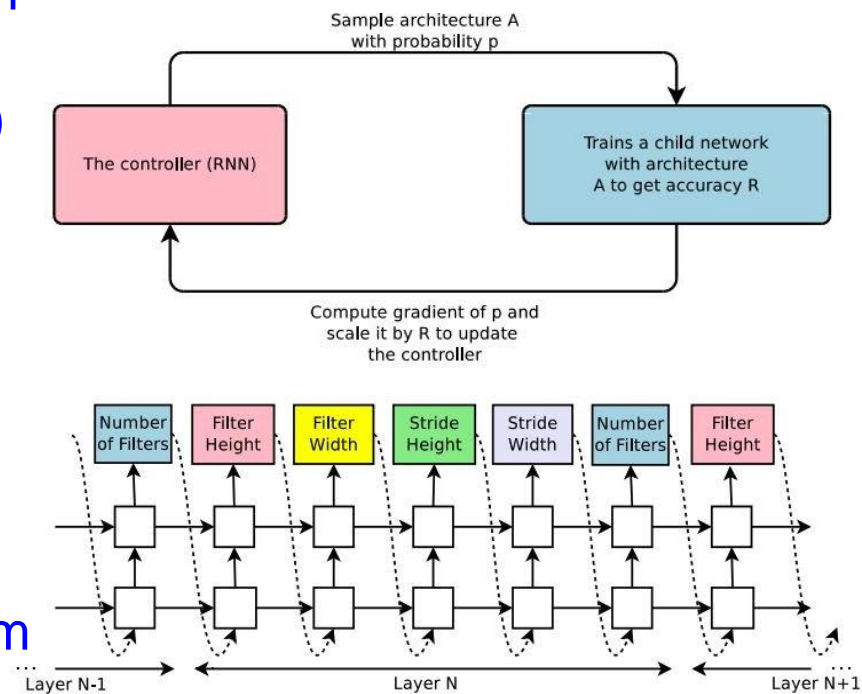


# Learning to search for network architectures

## Neural Architecture Search with Reinforcement Learning (NAS)

[Zoph et al. 2016]

- “Controller” network that learns to design a good network architecture (output a string corresponding to network design)
- Iterate:
  - 1) Sample an architecture from search space
  - 2) Train the architecture to get a “reward”  $R$  corresponding to accuracy
  - 3) Compute gradient of sample probability, and scale by  $R$  to perform controller parameter update (i.e. increase likelihood of good architecture being sampled, decrease likelihood of bad architecture)

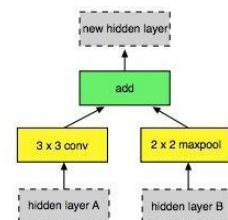
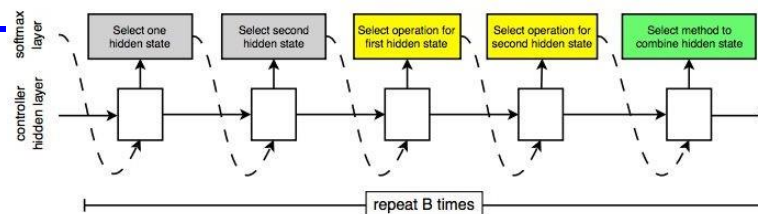
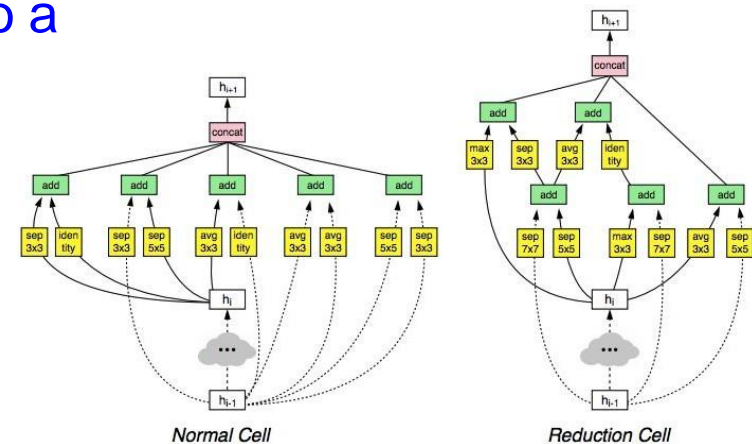


# Learning to search for network architectures

## Learning Transferable Architectures for Scalable Image Recognition

[Zoph et al. 2017]

- Applying neural architecture search (NAS) to a large dataset like ImageNet is expensive
- Design a search space of building blocks (“cells”) that can be flexibly stacked
- NASNet: Use NAS to find best cell structure on smaller CIFAR-10 dataset, then transfer architecture to ImageNet
- Many follow-up works in this space e.g. AmoebaNet (Real et al. 2019) and ENAS (Pham, Guan et al. 2018)





# But sometimes smart heuristic is better than NAS

## EfficientNet: Smart Compound Scaling

[Tan and Le. 2019]

- Increase network capacity by scaling width, depth, and resolution, while balancing accuracy and efficiency.
- Search for optimal set of compound scaling factors given a compute budget (target memory & flops).
- Scale up using smart heuristic rules

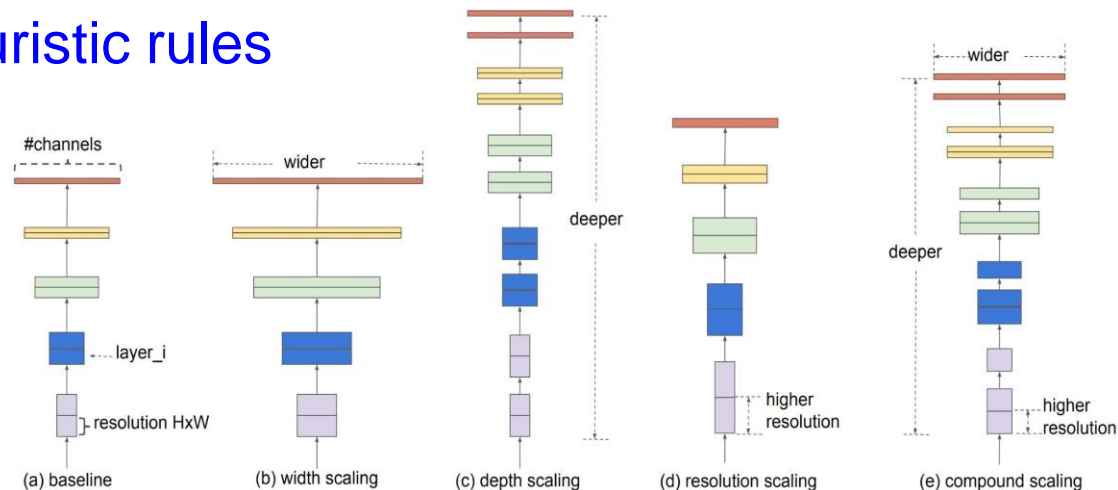
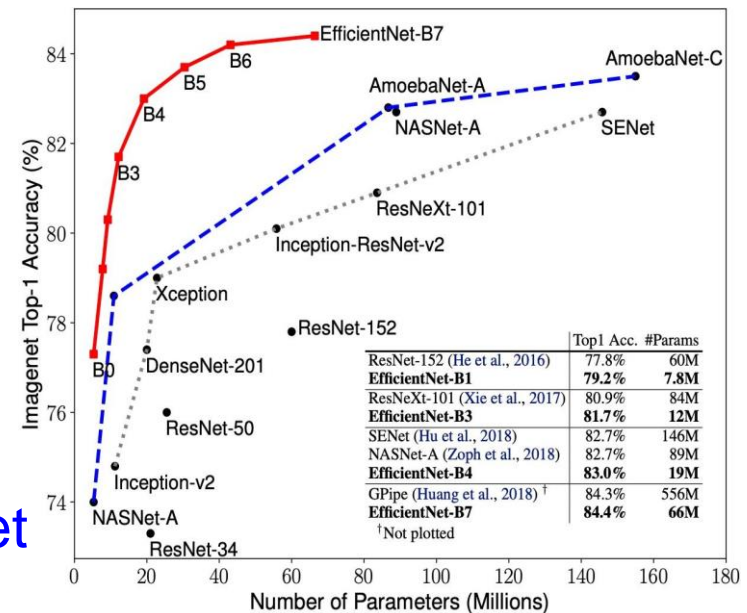
$$\text{depth: } d = \alpha^\phi$$

$$\text{width: } w = \beta^\phi$$

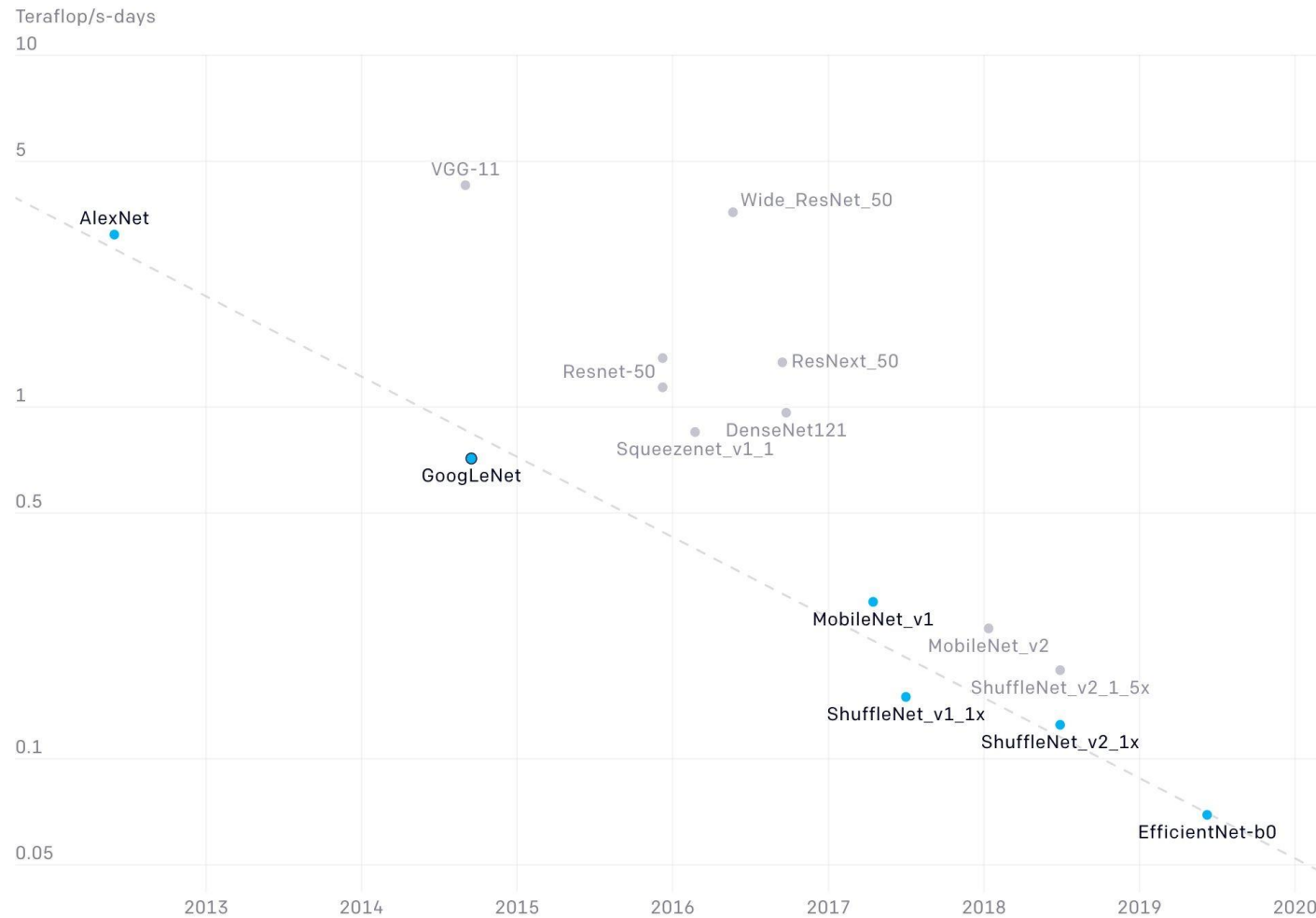
$$\text{resolution: } r = \gamma^\phi$$

$$\text{s.t. } \alpha \cdot \beta^2 \cdot \gamma^2 \approx 2$$

$$\alpha \geq 1, \beta \geq 1, \gamma \geq 1$$



# Efficient networks



<https://openai.com/blog/ai-and-efficiency/>

# Summary: CNN Architectures

---

## Case Studies

- AlexNet
- VGG
- GoogLeNet
- ResNet

## Also....

- SEnet
- Wide ResNet
- ResNeXT
- DenseNet
- MobileNets
- NASNet
- EfficientNet

# Main takeaways

---

**AlexNet** showed that you can use CNNs to train Computer Vision models.

**ZFNet**, **VGG** shows that bigger networks work better

**GoogLeNet** is one of the first to focus on efficiency using 1x1 bottleneck convolutions and global avg pool instead of FC layers

**ResNet** showed us how to train extremely deep networks

- Limited only by GPU & memory!
- Showed diminishing returns as networks got bigger

After ResNet: CNNs were better than the human metric and focus shifted to Efficient networks:

- Lots of tiny networks aimed at mobile devices: **MobileNet**, **ShuffleNet** **Neural Architecture Search** can now automate architecture design

# Summary: CNN Architectures

---

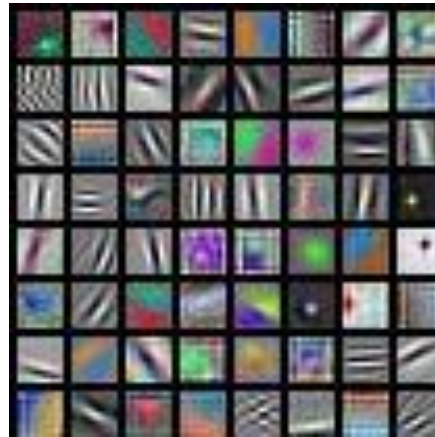
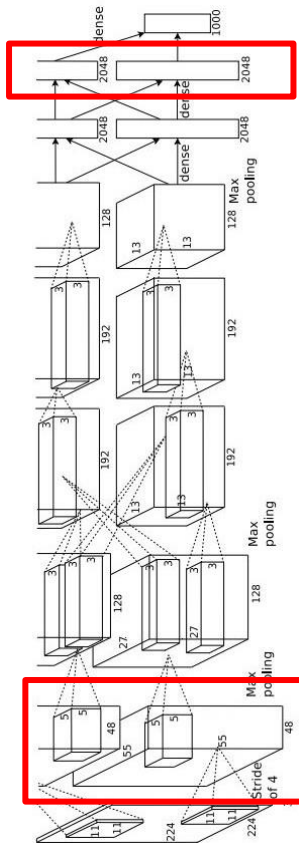
- Many popular architectures are available in model zoos.
- ResNets are currently good defaults to use.
- Networks have gotten increasingly deep over time.
- Many other aspects of network architectures are also continuously being investigated and improved.

# Transfer learning

---

You need a lot of a data if you want to train/use CNNs?

# Transfer Learning with CNNs



AlexNet:  
64 x 3 x 11 x 11

Test image

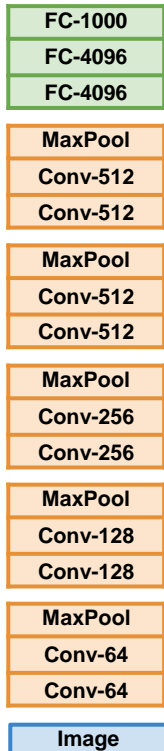
L2 Nearest neighbors in feature space



# Transfer Learning with CNNs

---

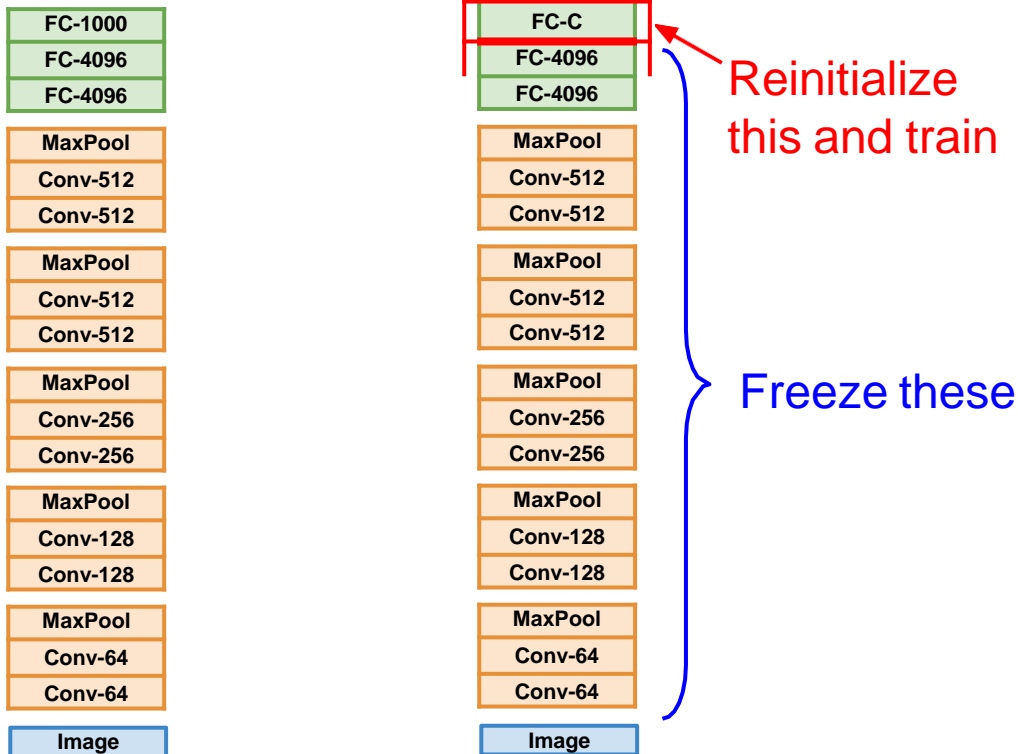
## 1. Train on Imagenet





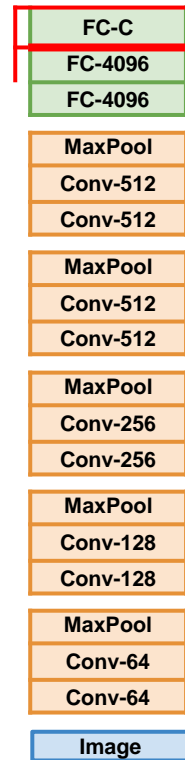
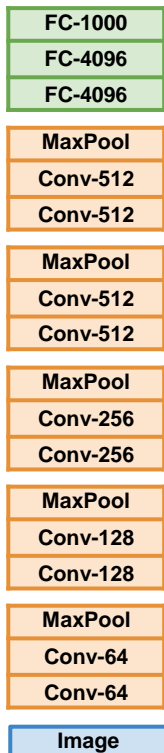
# Transfer Learning with CNNs

1. Train on Imagenet    2. Small Dataset (C classes)



# Transfer Learning with CNNs

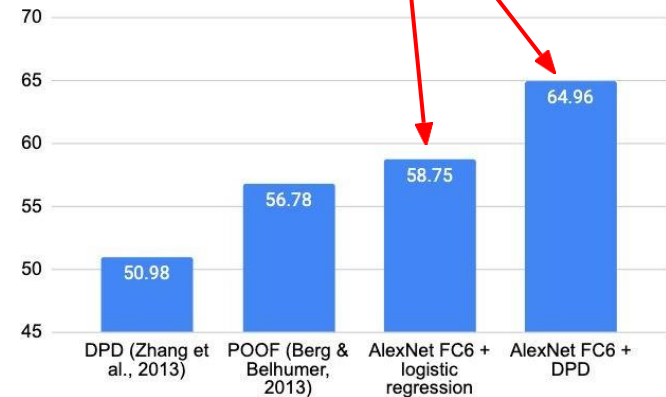
1. Train on Imagenet
2. Small Dataset (C classes)



Reinitialize this and train

Freeze these

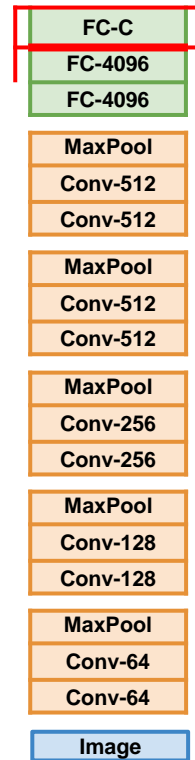
Finetuned from AlexNet



Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014

# Transfer Learning with CNNs

1. Train on Imagenet    2. Small Dataset (C classes)    3. Bigger dataset



Reinitialize  
this and train

Freeze these



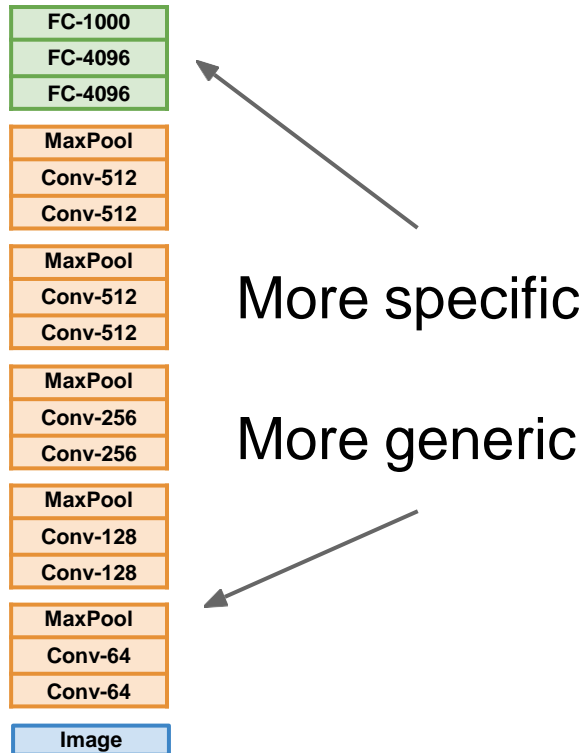
Train these

With bigger dataset,  
train more layers

Freeze these

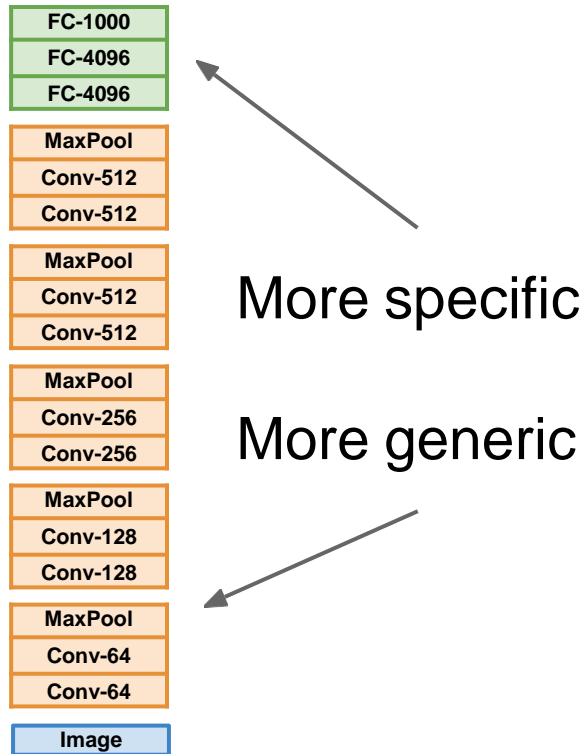
Lower learning rate  
when finetuning;  
1/10 of original LR is  
good starting point

# Transfer Learning with CNNs



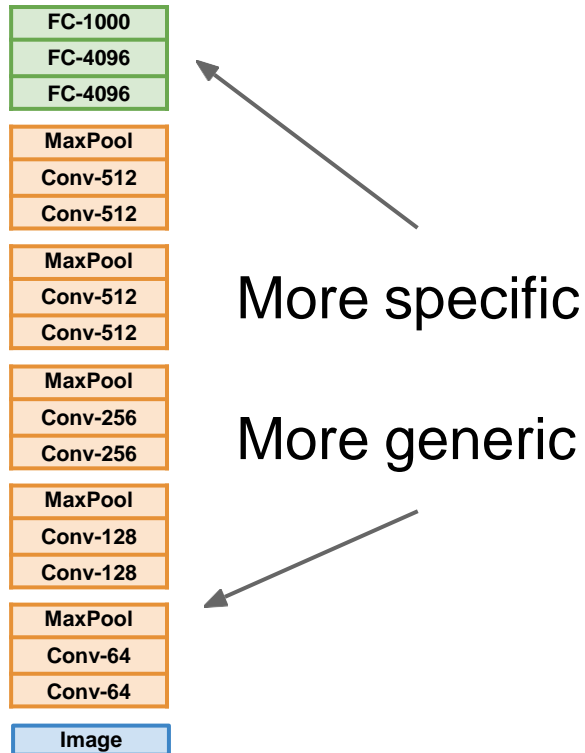
	very similar dataset	very different dataset
very little data	?	?
quite a lot of data	?	?

# Transfer Learning with CNNs



	very similar dataset	very different dataset
very little data	Use Linear Classifier on top layer	?
quite a lot of data	Finetune a few layers	?

# Transfer Learning with CNNs

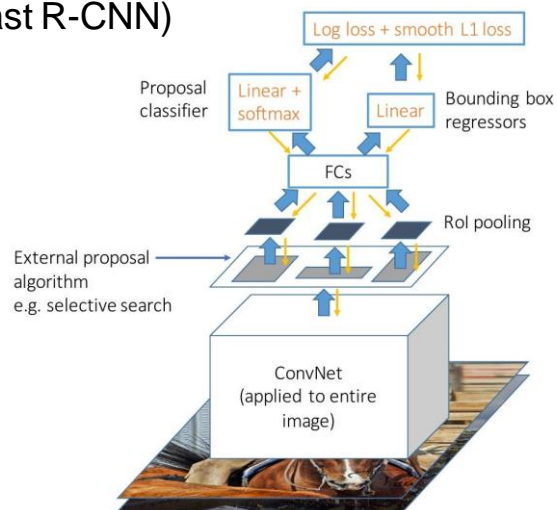


	very similar dataset	very different dataset
very little data	Use Linear Classifier on top layer	You're in trouble... Try linear classifier from different stages
quite a lot of data	Finetune a few layers	Finetune a larger number of layers

# Transfer learning with CNNs is pervasive...

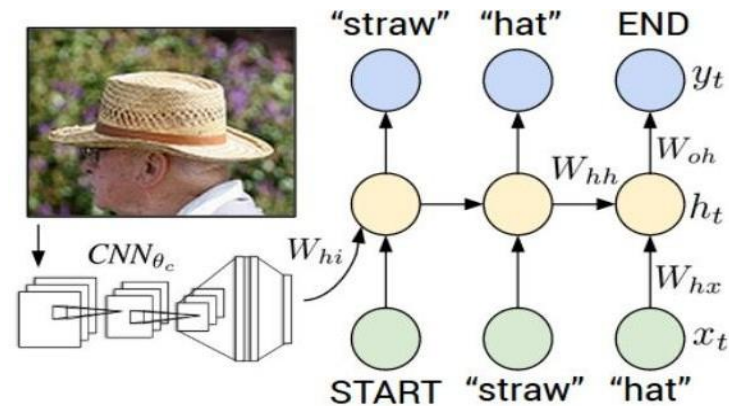
(it's the norm, not an exception)

## Object Detection (Fast R-CNN)



Girshick, "Fast R-CNN", ICCV 2015  
Figure copyright Ross Girshick, 2015. Reproduced with permission.

## Image Captioning: CNN + RNN

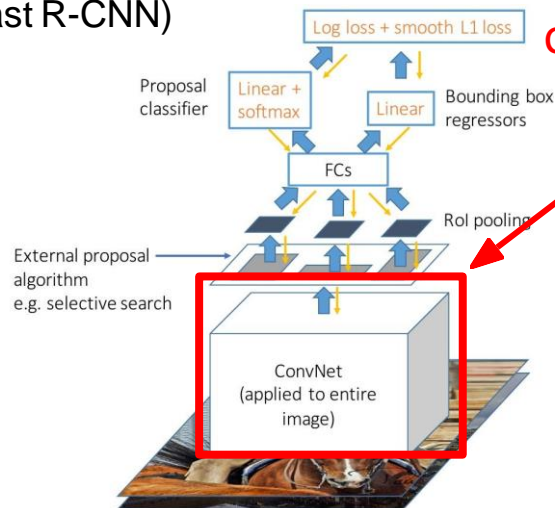


Karpathy and Fei-Fei, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015  
Figure copyright IEEE, 2015. Reproduced for educational purposes.

# Transfer learning with CNNs is pervasive...

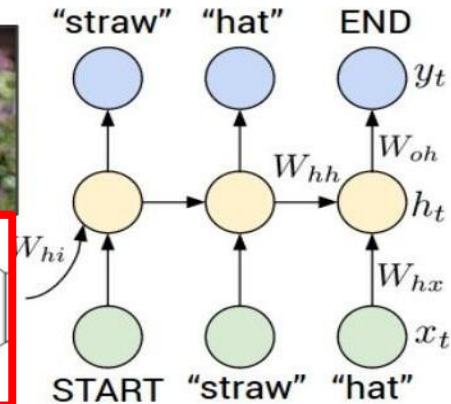
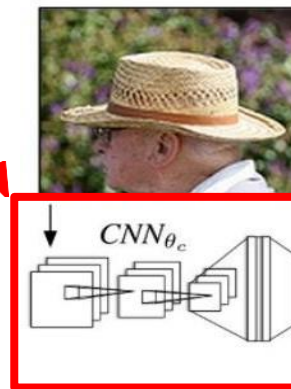
(it's the norm, not an exception)

Object Detection  
(Fast R-CNN)



CNN pretrained  
on ImageNet

Image Captioning: CNN + RNN



Girshick, "Fast R-CNN", ICCV 2015  
Figure copyright Ross Girshick, 2015. Reproduced with permission.

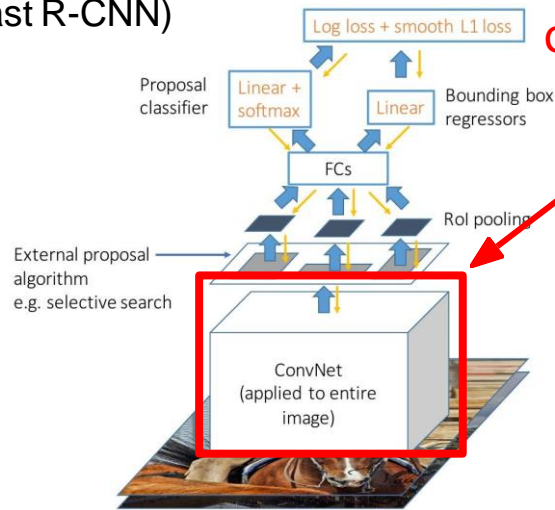
Karpathy and Fei-Fei, "Deep Visual-Semantic Alignments for  
Generating Image Descriptions", CVPR 2015  
Figure copyright IEEE, 2015. Reproduced for educational purposes.



# Transfer learning with CNNs is pervasive...

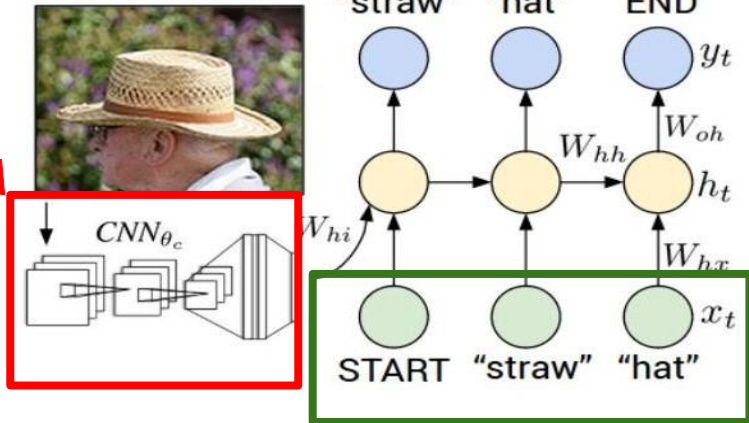
(it's the norm, not an exception)

Object Detection  
(Fast R-CNN)



CNN pretrained  
on ImageNet

Image Captioning: CNN + RNN

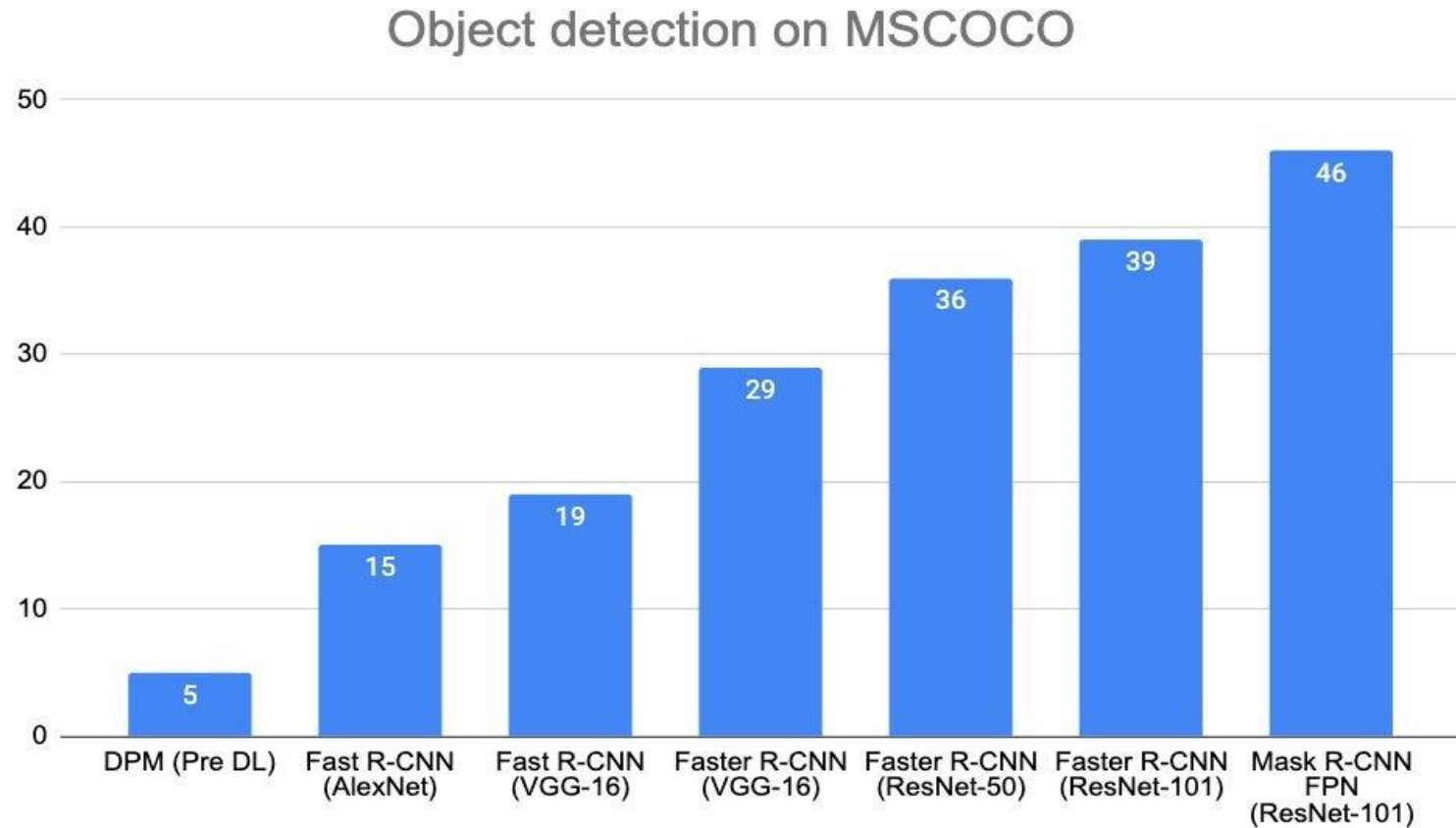


Word vectors pre-trained  
with word2vec

Karpathy and Fei-Fei, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015  
Figure copyright IEEE, 2015. Reproduced for educational purposes.

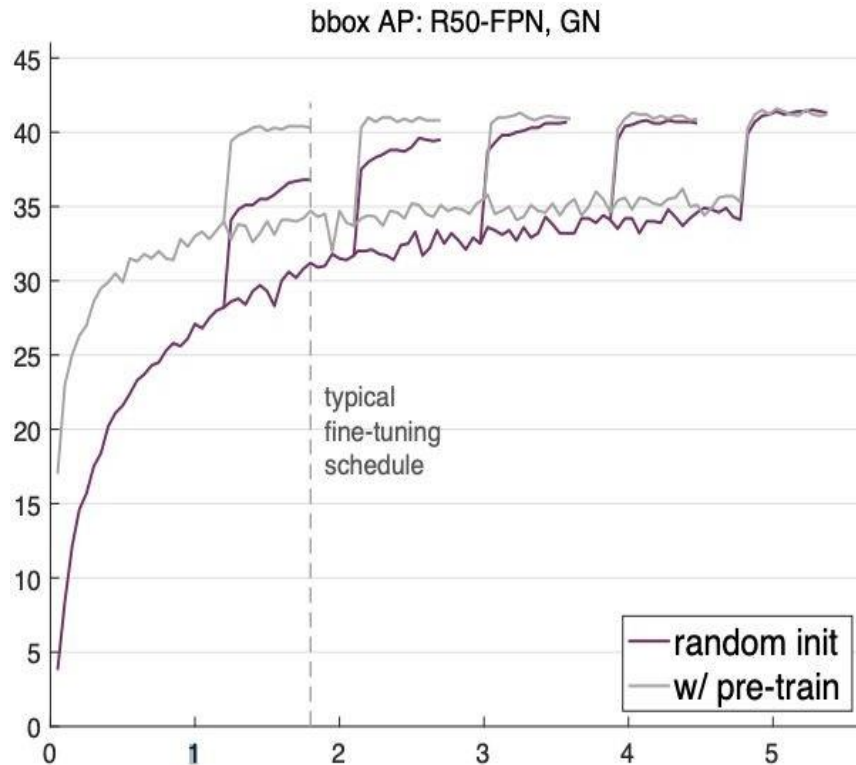
Girshick, "Fast R-CNN", ICCV 2015  
Figure copyright Ross Girshick, 2015. Reproduced with permission.

# Transfer learning with CNNs - Architecture matters



# Transfer learning with CNNs is pervasive...

But recent results show it might not always be necessary!



Training from scratch can work just as well as training from a pretrained ImageNet model for object detection

But it takes 2-3x as long to train.

They also find that collecting more data is better than finetuning on a related task

He et al, "Rethinking ImageNet Pre-training", ICCV 2019  
Figure copyright Kaiming He, 2019. Reproduced with permission.

## Takeaway for your projects and beyond:

Have some dataset of interest but it has  $< \sim 1\text{M}$  images?

1. Find a very large dataset that has similar data, train a big ConvNet there
2. Transfer learn to your dataset

Deep learning frameworks provide a “Model Zoo” of pretrained models so you don’t need to train your own

TensorFlow:

<https://github.com/tensorflow/models>

PyTorch:

<https://github.com/pytorch/vision>



中山大學

SUN YAT-SEN UNIVERSITY

*Next time:*

## ***Training Neural Networks***

**Pattern Recognition and Computer Vision**

Guanbin Li,

School of Computer Science and Engineering, Sun Yat-Sen University