

INTRODUCTION

DATA/MSML 603: Principles of Machine Learning



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Machine Learning

- Question: What is Machine Learning? What comes to your mind?



Source: <https://bestarion.com/what-is-artificial-intelligence/>



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Machine Learning

“Learning is any process by which a system improves performance from experience.”

Herbert Simon, *Nobel Prize winner in economics and leader in AI*



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Machine Learning

Definition by Tom Mitchell (1998):

- Machine Learning is the study of algorithms that:
 - Improve their performance (P)
 - At some task (T)
 - With experience (E)
 - A well-defined learning task is given by $\langle P, T, E \rangle$



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Machine Learning (1/4)

Traditional programming:



Machine learning:



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Machine Learning (2/4)

- When do we need machine learning?
 - Human expertise does not exist (navigating on Mars)
 - Human cannot explain their expertise (speech recognition)
 - Models must be customized (personalized medicine)
 - Models are based on huge amounts of data (genomics)



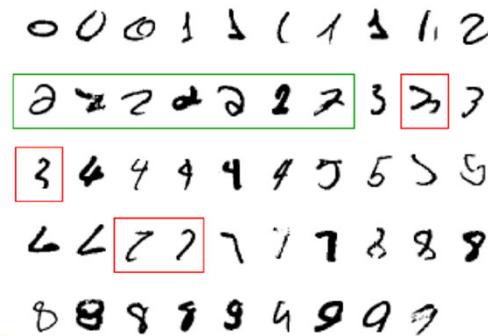
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Machine Learning (3/4)

A classic example of a task that requires machine learning

- It is rather hard to distinguish what is a '2'



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Machine Learning (4/4)

Some more examples of tasks that are best solved by a learning algorithm:

- **Recognizing patterns**
 - Facial identities or facial expressions
 - Handwritten or spoken words
 - Medical images
- **Generating anomalies**
 - Unusual credit card transactions
 - Unusual patterns of sensor readings in a nuclear power plant
 - Unusual activities in a network
- **Generating patterns**
 - Generating images or motion sequences



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Sample Applications

- Web search
- Computational biology
- Finance
- E-commerce
- Space exploration and exoplanet discovery
- Robotics
- Information extraction
- Social networks
- Debugging software
- Network management



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Samuel's Checkers-Player

"Machine Learning: Field of study that gives computers the ability to learn without being explicitly programmed." –Arthur Samuel (1959)



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Defining the Learning Task (1/2)

- Improve on task **T**, with respect to performance metric **P**, based on experience **E**
 - T: Playing checkers
 - P: Percentage of games won against an arbitrary opponent
 - E: Playing practice games against itself
 - T: Recognizing hand-written words
 - P: Percentage of words correctly classified
 - E: Database of human-labeled images of handwritten words



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Defining the Learning Task (2/2)

- Improve on task **T**, with respect to performance metric **P**, based on experience **E**
 - T: Driving on four-lane highways using vision sensors
 - P: Average distance traveled before a human-judged error
 - E: A sequence of images and steering commands recorded while observing a human driver



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Two Key Problems In Machine Learning

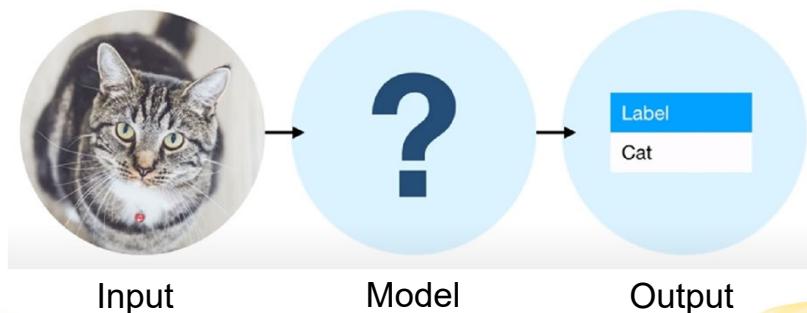


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Two Kinds of Problem (1/4)

- According to the types of output ground truth
- Classification:** the output ground truth is discretized values (or labels)



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Two Kinds of Problem (2/4)

- According to the types of output ground truth
- Classification:** the output ground truth is discretized values (or labels)
- Regression:** the output ground truth is continuous values

Training Data	
Age (days)	Weight (grams)
2	49
12	122
8	74
21	205
4	80



Test Data	
Age (days)	Weight (grams)
18	?



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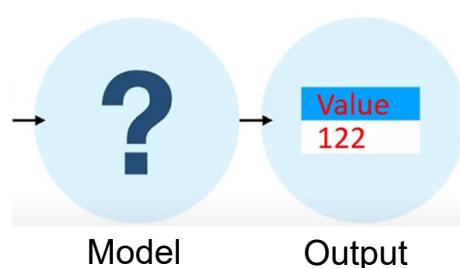
Two Kinds of Problem (3/4)

- According to the types of output ground truth
- Classification:** the output ground truth is discretized values (or labels)
- Regression:** the output ground truth is continuous values



18 days

Input



Model

Output



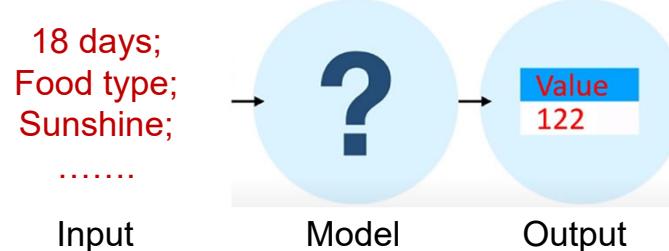
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Two Kinds of Problem (4/4)



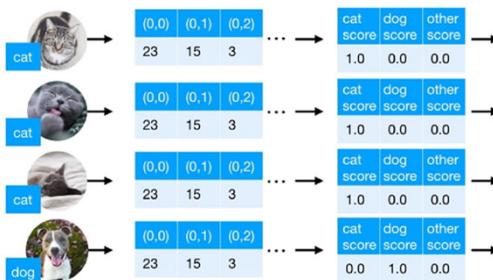
- According to the types of output ground truth
- Classification:** the output ground truth is discretized values (or labels)
- Regression:** the output ground truth is continuous values



Two Procedures

Machine Learning

What should we do to use the models in either classification or regression?



MODEL

Two procedures

1: Training: learn the parameters in a model

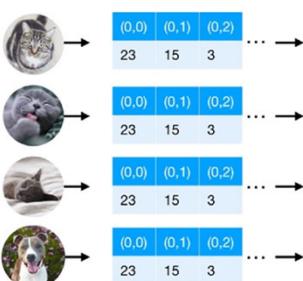


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Machine Learning

What should we do to use the models in either classification or regression?



MODEL

Two procedures

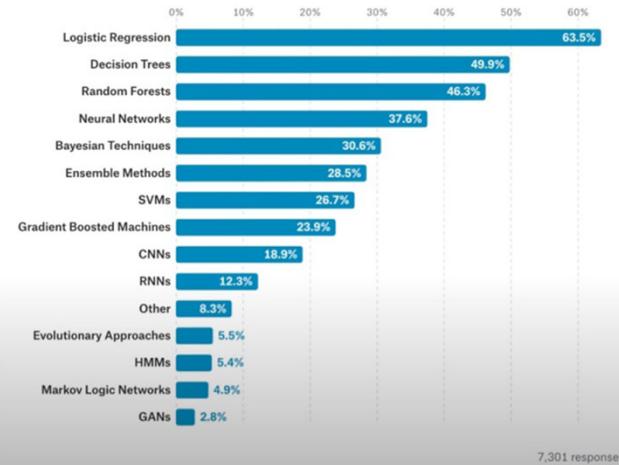
2: Testing: use an unseen input to go through the model with the learned parameters



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Kaggle Survey: Most Common ML Models



Source: <https://www.vidora.com/general/kaggle-survey/>

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State of the Art Applications of Machine Learning



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Security

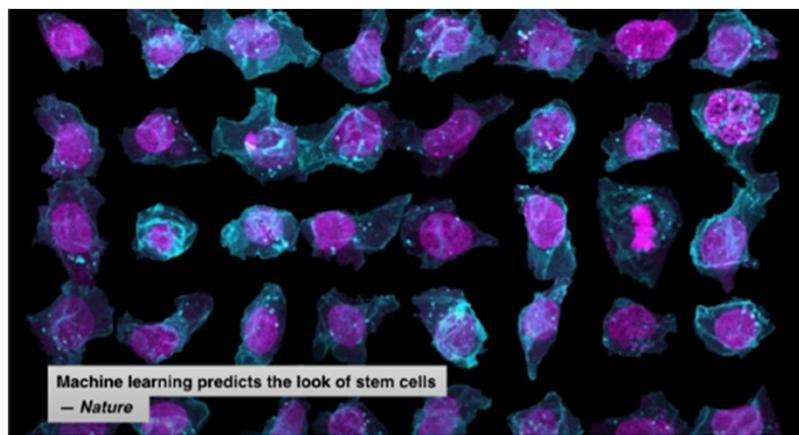


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Biology



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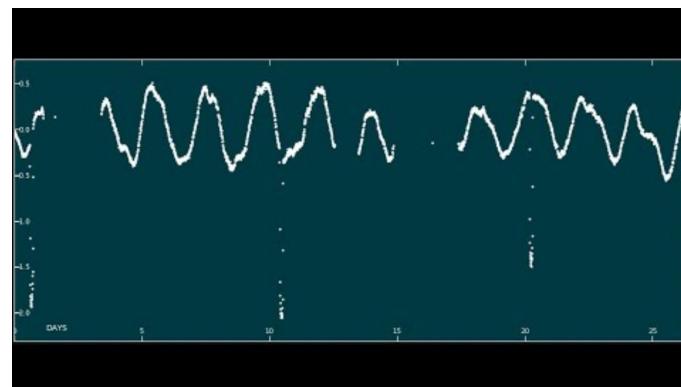
Asteroid Tracking



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Planet Hunters

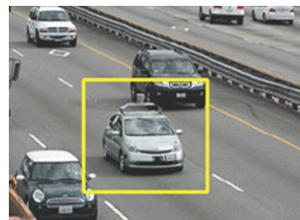


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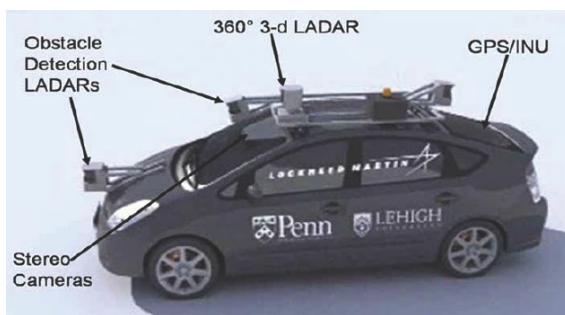
Autonomous Cars

- Nevada made it legal for autonomous cars to drive on roads in June 2011
- As of August 2024, 24 states allow driverless operations (in seven states, no human driver is required with SAE Level 4 or 5 capable AI)



Autonomous Car Sensors

- Penn's autonomous car (Ben Franklin Racing Team)

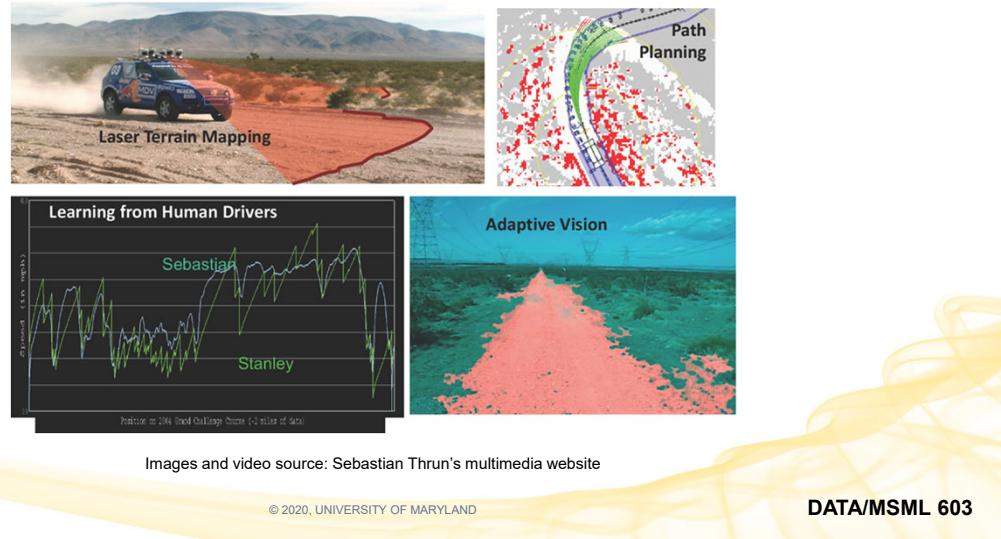


SELF-DRIVING CAR
RESEARCH
STUDIO



<https://vaderlab.wordpress.com/the-ben-franklin-racing-team/>

Autonomous Car Technology



Autonomous Car

Train in Germany, Test in The USA: Making 3D Object Detectors Generalize
CVPR2020

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Abstract

In the domain of autonomous driving, deep learning has substantially improved the 3D object detection accuracy for LiDAR and stereo camera data alike. While deep networks are great at generalization, they are also notorious to overfit to all kinds of spurious artifacts, such as brightness, car sizes and models, that may appear consistently throughout the data. In fact, most datasets for autonomous driving are collected within a narrow subset of cities within one country, typically under similar weather conditions. In this paper we consider the task of adapting 3D object detectors from one dataset to another. We observe that naively, this appears to be a very challenging task, resulting in drastic drops in accuracy levels. We provide extensive experiments to investigate the true adaptation challenges and

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Deep Learning in the Headlines

BUSINESS NEWS

MIT
Technology
Review

Is Google Cornering the Market on Deep Learning?

A cutting-edge corner of science is being wooed by Silicon Valley, to the dismay of some academics.

By Antonio Regalado on January 29, 2014



This week, Google [spent \\$800 million](#) to acquire DeepMind Technologies, a startup based in

London that has developed a deep learning system that can learn to play video games.

[Read more](#)

BloombergBusinessweek
Technology

Associations

The Race to Buy the Human Brains Behind Deep Learning Machines

By Antonio Regalado on January 21, 2014

intelligence projects. "DeepMind is bona fide in terms of its research capabilities and depth," says Peter Lee, who leads Microsoft Research.

According to Lee, Microsoft, Facebook ([FB](#)), and Google find themselves in a battle for deep learning talent. Microsoft has gone from four full-time deep learning experts to 70 in the past three years. "We would have more if the talent was there to

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community content

Featured

Deep Learning's Role in the Age of Robots

BY JULIAN GREEN, JETPAC 05.02.14 2:56 PM



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Deep Learning in the Headlines



(source: [trymaverick.com](#))



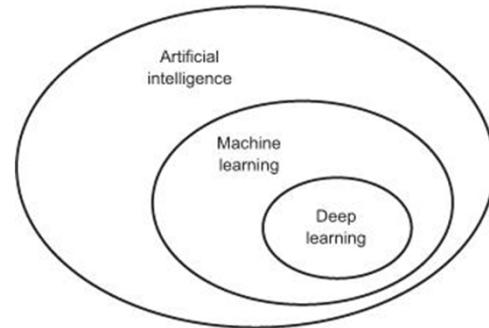
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Machine Learning and Deep Learning

- AI is the most general
- Many types of ML algorithms:
 - Nearest Neighbor
 - Naive Bayes
 - Decision Trees
 - Linear Regression
 - Support Vector Machines (SVMs)
 - Neural Networks
- Deep learning is associated with neural networks



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Commercial Area

The New York Times

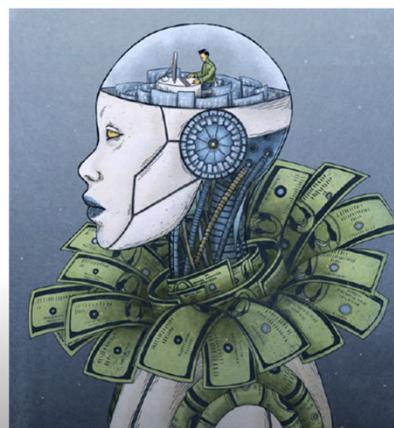
Tech Giants Are Paying
Huge Salaries
for Scarce AI Talent

Bloomberg

Sky-High Salaries Are the
Weapons in the AI Talent War

Forbes

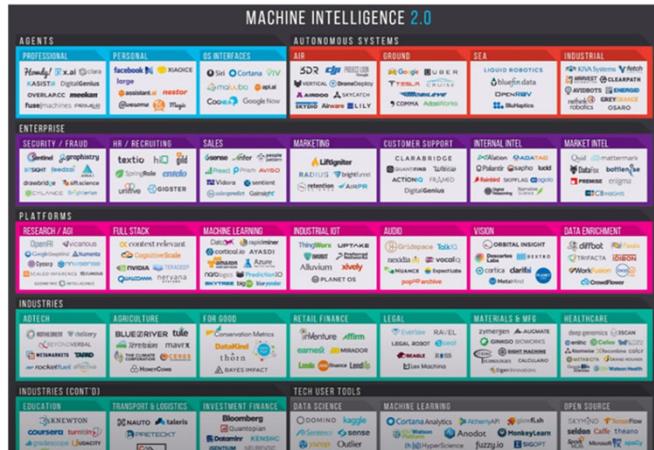
Artificial Intelligence Will Relieve
Skills Shortages, If We Could Find
Enough People to Build It



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Commercial Area



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Generative models

- More cool generative models
 - 1D-Text-Visual answering
 - 2D-Image-Style face
 - 3D-Video-Fake face
 - 3D-3D shapes or scene
 - 4D-3D video

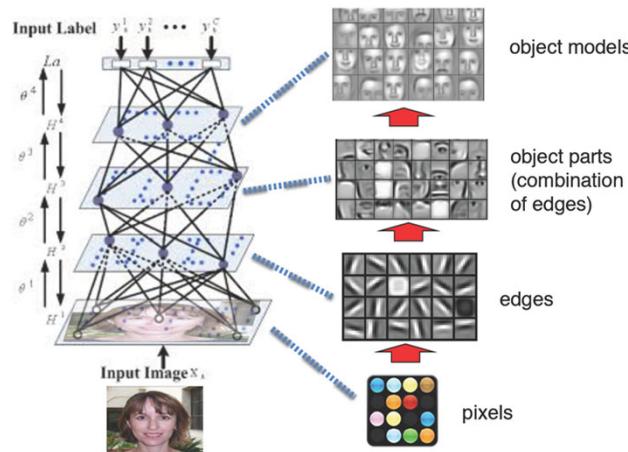
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Deep Belief Net on Face Images

Based on materials
by Andrew Ng

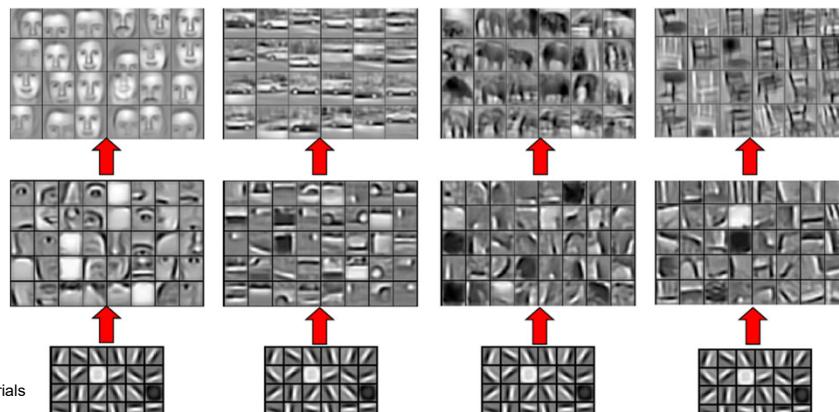


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Learning of Object Parts

Based on materials
by Andrew Ng

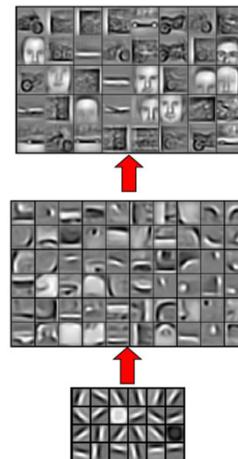


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Training on Multiple Objects

- Trained on 4 classes (cars, faces, motorbikes, airplanes).
 - Second layer: Shared-features and object-specific features.
 - Third layer: More specific features.



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Scene Labeling via Deep Learning

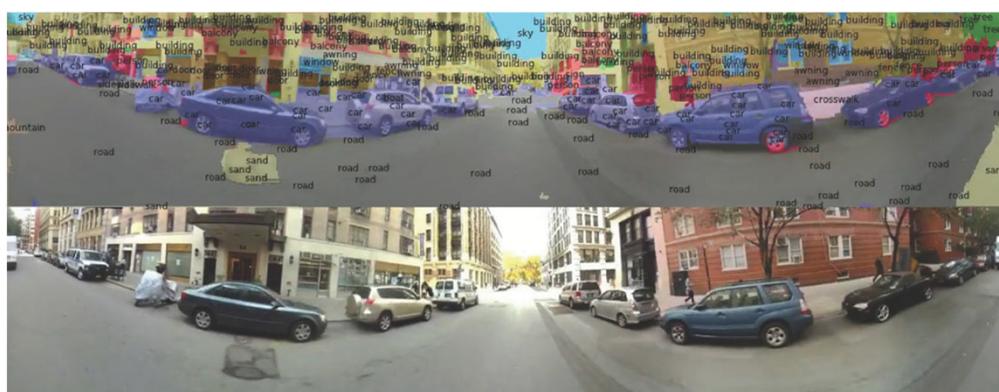


Image source: Farabet et al. ICML 2012, PAMI 2013

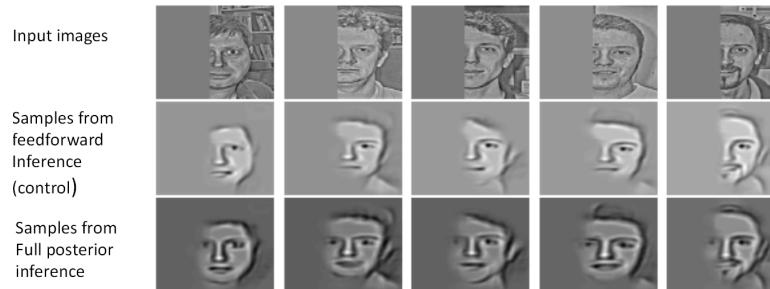


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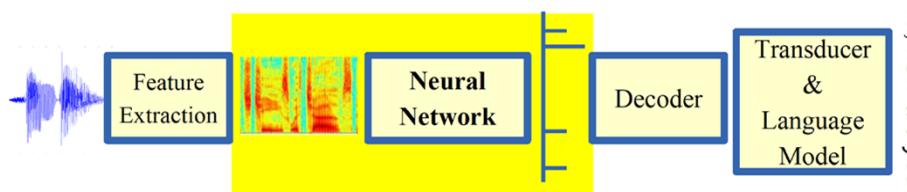
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Inference From Deep Learned Models

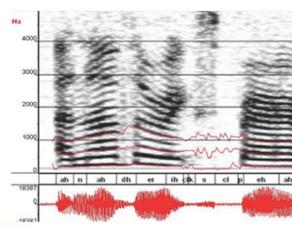
- Generating posterior samples from faces by “filling in” experiments (cf. Lee and Mumford, 2003). Combine bottom-up and top-down inference.



Typical Speech Recognition System



ML used to predict phone states from the sound spectrogram



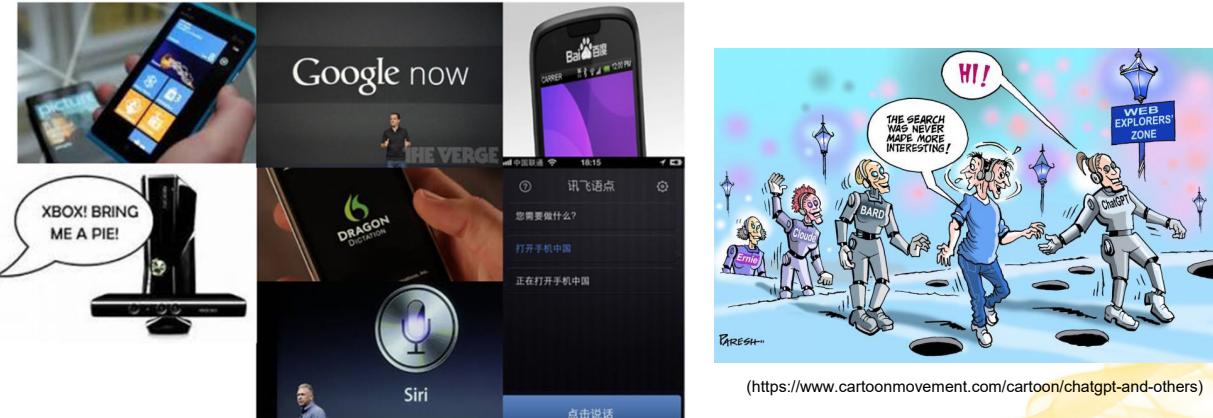
Deep learning has state-of-the-art results

# Hidden Layers	1	2	4	8	10	12
Word Error Rate %	16.0	12.	11.	10.9	11.	11.1

Baseline GMM performance = 15.4%

[Zeller et al. “On rectified linear units for speech recognition” ICASSP 2013]

Impact of Deep Learning in Speech Technology



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Types of Learning

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Types of Learning

- **Supervised (inductive) learning**
 - Given: training data + desired outputs (labels)
- **Unsupervised learning**
 - Given: training data (without desired outputs)
- **Semi-supervised learning**
 - Given: training data + a few desired outputs
- **Reinforcement learning**
 - Rewards from sequence of actions



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Supervised Learning



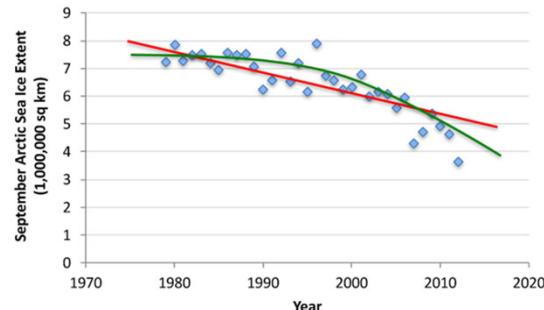
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Machine Learning (1/4)

Supervised vs. Unsupervised

- **Supervised learning:** Given training data + desired output (labels)
- Given $(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots, (x_n, y_n)$
- Learn a function $f(x)$ to predict y given x
- **y is real-valued == regression**



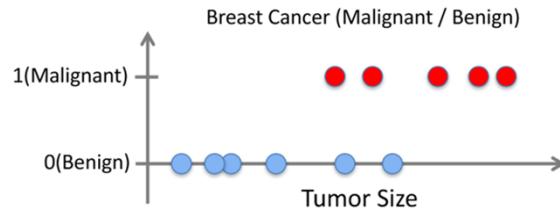
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Machine Learning (2/4)

Supervised vs. Unsupervised

- **Supervised learning:** Given training data + desired output (labels)
- Given $(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots, (x_n, y_n)$
- Learn a function $f(x)$ to predict y given x
- **y is categorical == classification**



Based on materials by Andrew Ng

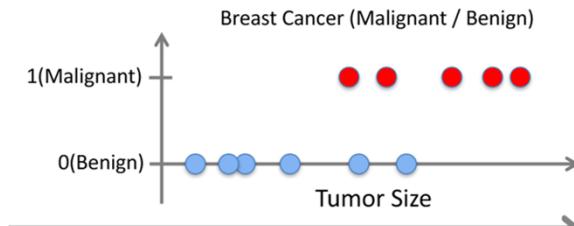
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Machine Learning (3/4)

Supervised vs. Unsupervised

- **Supervised learning:** Given training data + desired output (labels)
- Given $(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots, (x_n, y_n)$
- Learn a function $f(x)$ to predict y given x
- y is categorical == **classification**



Based on materials by Andrew Ng



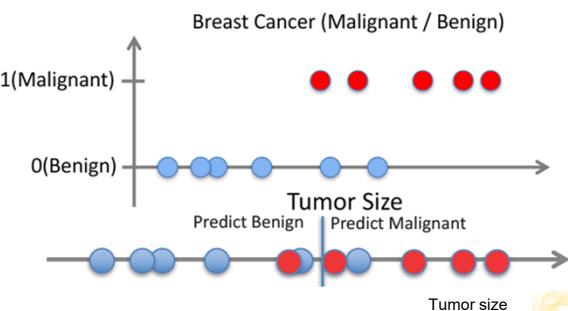
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Machine Learning (4/4)

Supervised vs. Unsupervised

- **Supervised learning:** Given training data + desired output (labels)
- Given $(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots, (x_n, y_n)$
- Learn a function $f(x)$ to predict y given x
- y is categorical == **classification**



Based on materials by Andrew Ng



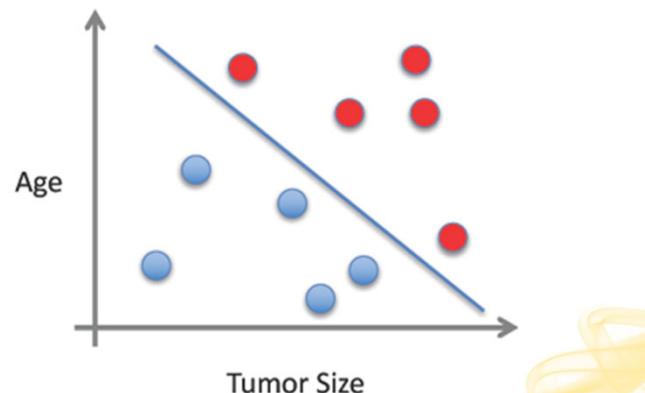
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Supervised Learning

x Can Be Multi-dimensional

- Each dimension corresponds to an attribute
 - Clump Thickness
 - Uniformity of Cell Size
 - Uniformity of Cell Shape

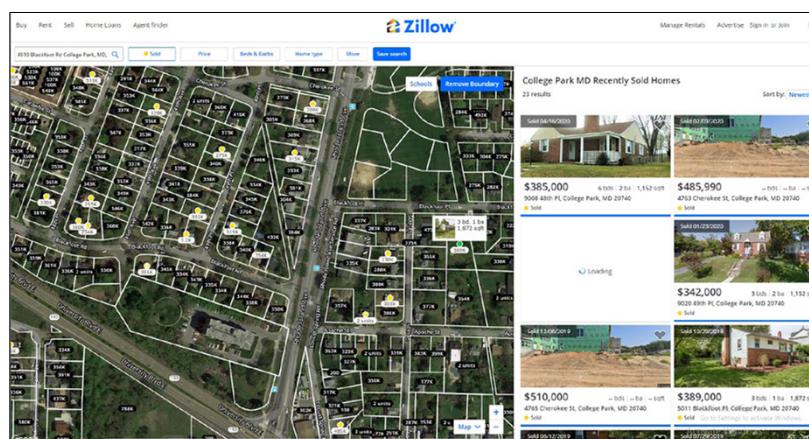


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House Price Prediction



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Unsupervised Learning



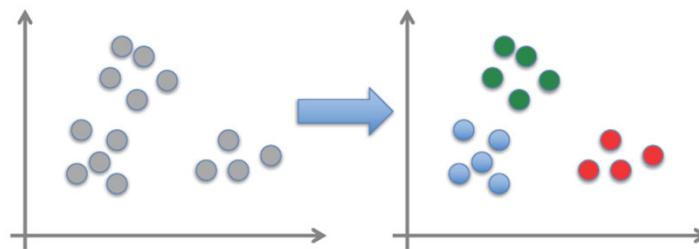
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Unsupervised Learning (1/2)

- Given x_1, x_2, \dots, x_n (without labels)
- Output hidden structure behind the x's
 - E.g., clustering



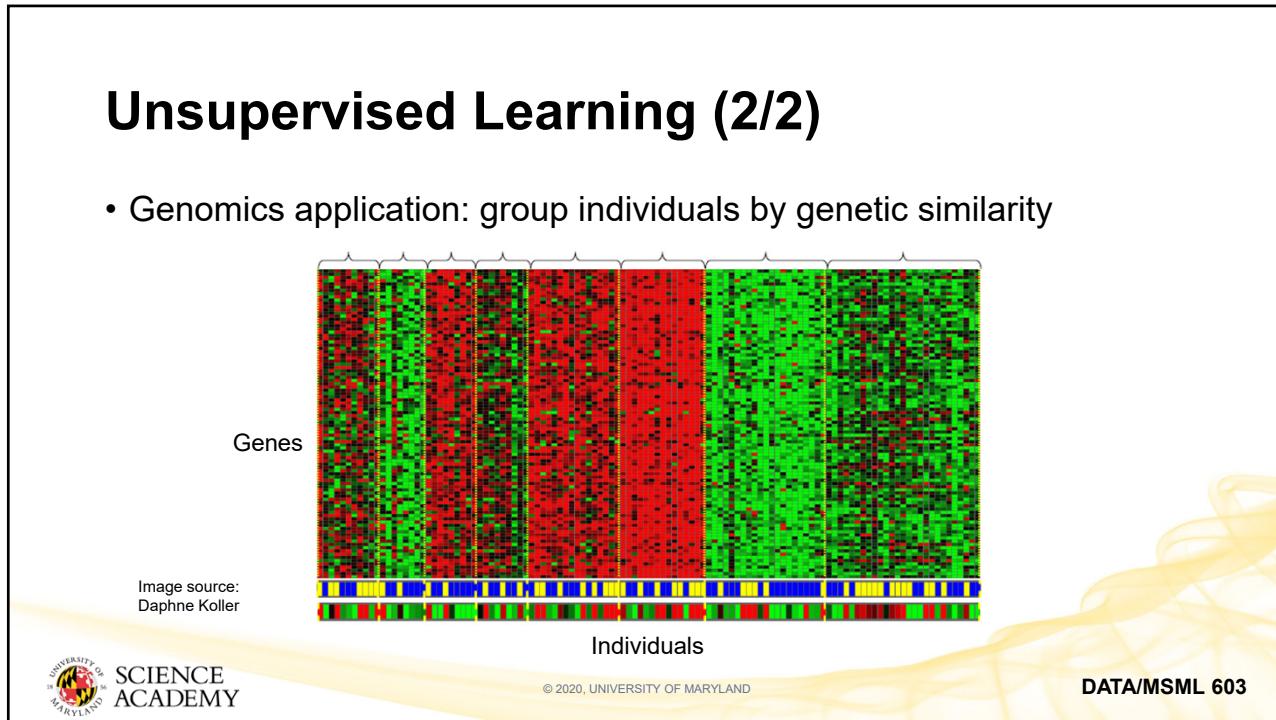
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Unsupervised Learning (2/2)

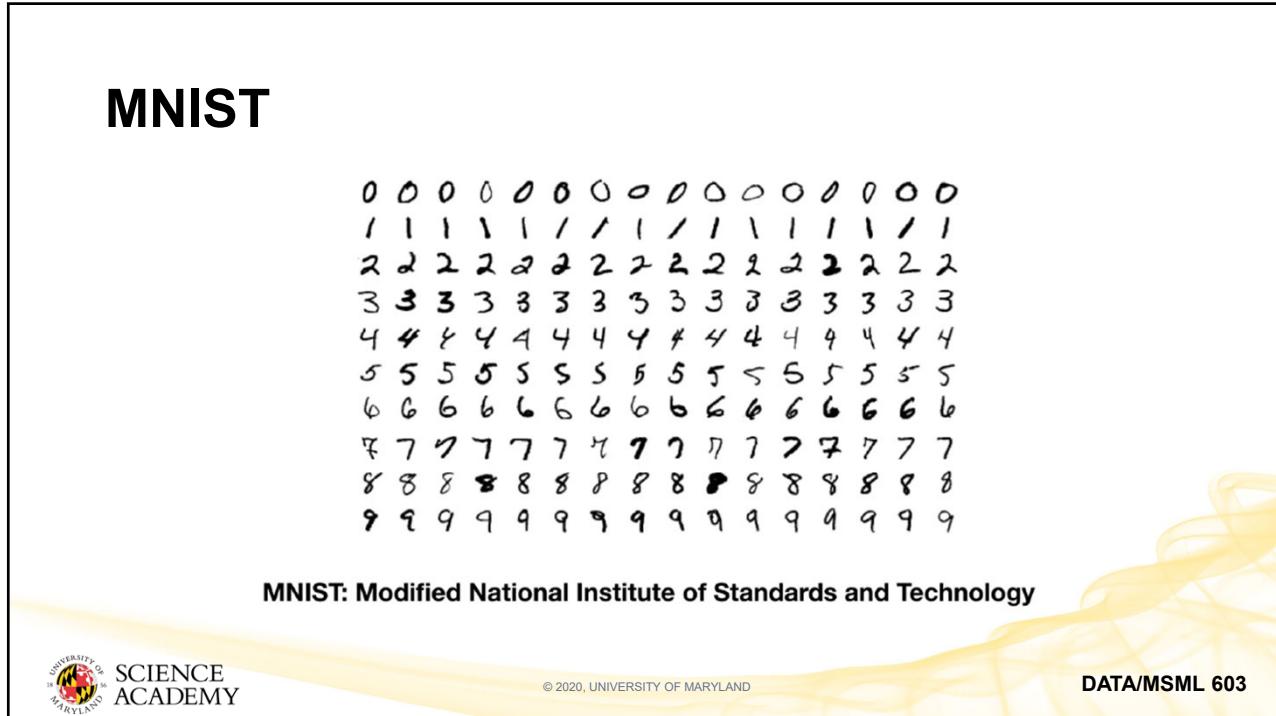
- Genomics application: group individuals by genetic similarity



MNIST

0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3
 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
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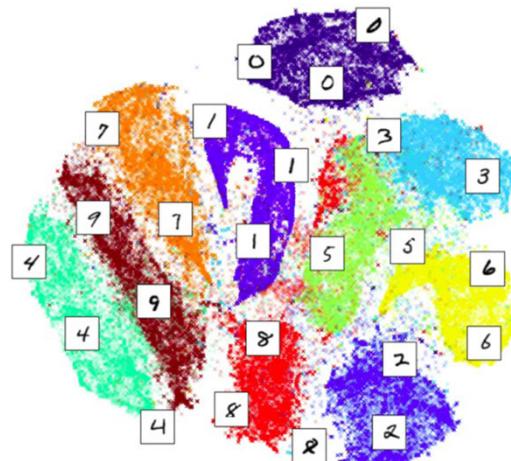
MNIST: Modified National Institute of Standards and Technology



Visualization of MNIST (1/2)

- t-SNE

(t-distributed
Stochastic
Neighbor
Embedding)

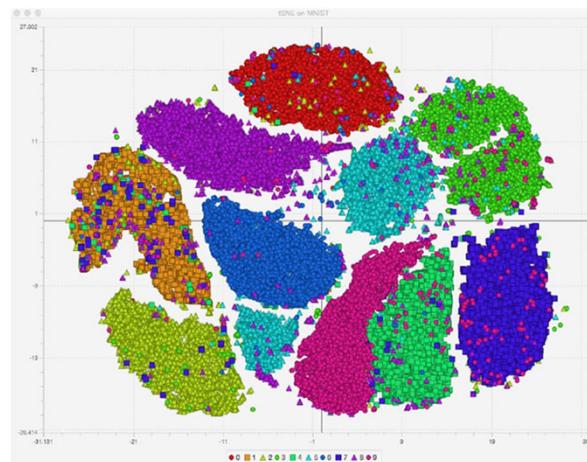


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Visualization of MNIST (2/3)

- t-SNE



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Data (1/2)

Label	Description	Examples
0	T-Shirt/Top	
1	Trouser	
2	Pullover	
3	Dress	
4	Coat	
5	Sandals	
6	Shirt	
7	Sneaker	
8	Bag	
9	Ankle boots	

- Widely used dataset

- [Fashion MNIST](#)

- Training: 60,000 examples,
testing: 10,000 examples
(28x28 grayscale image).

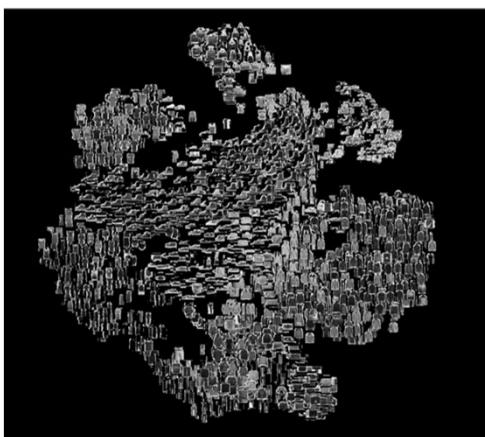


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Data (2/2)



- Widely used dataset

- [Fashion MNIST](#)

T-SNE
visualization



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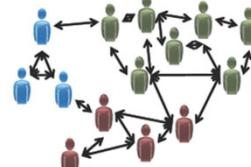
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Unsupervised Learning (1/3)



Organize computing clusters



Social network analysis



Market segmentation



Astronomical data analysis

Based on materials by Andrew Ng



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Unsupervised Learning (2/3)

- Independent component analysis (ICA) – separate a combined signal into its original sources

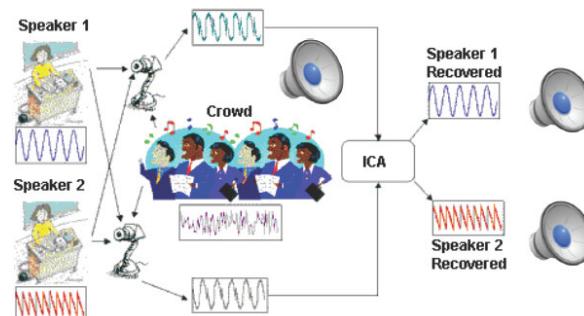


Image source: statsoft.com.



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Unsupervised Learning (3/3)

- Independent component analysis – separate a combined signal into its original sources

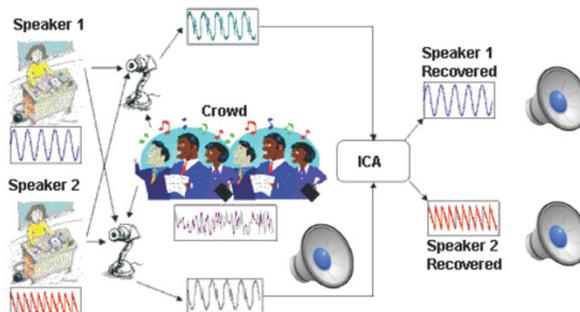
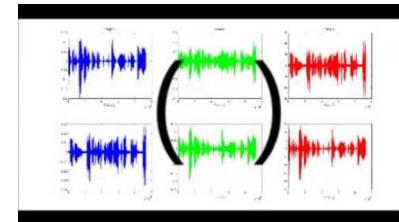


Image source: statsoft.com.



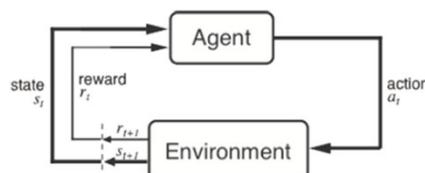
Reinforcement Learning

Reinforcement Learning

- Given a sequence of states and actions with (delayed) rewards, output a policy
 - Policy is a mapping from states' actions that tells you what to do in a given state
- Examples:
 - Credit assignment problem
 - Game playing (chess, Go, etc.)
 - Robot in a maze or path planning with obstacles
 - Balance a pole on your hand



The Agent-Environment Interface



Agent and environment interact at discrete time steps : $t = 0, 1, 2, K$

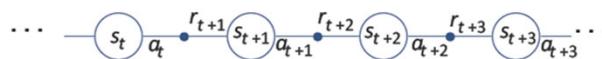
Agent observes state at step t : $s_t \in S$

produces action at step t : $a_t \in A(s_t)$

gets resulting reward : $r_{t+1} \in \mathcal{R}$

and resulting next state : s_{t+1}

Slide credit:
Sutton & Barto



Reinforcement Learning



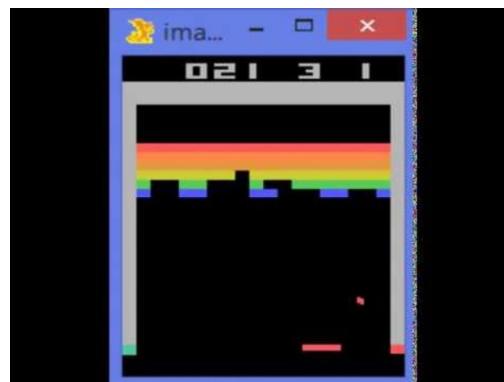
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Reinforcement Learning

- Google DeepMind



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Inverse Reinforcement Learning

- Learn policy from user demonstrations
- Stanford Autonomous Helicopter



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Framing a Learning Problem

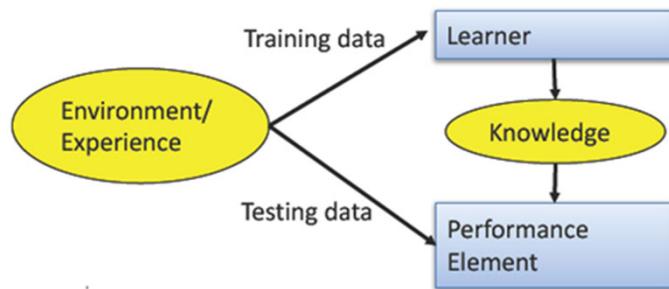
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Designing a Learning System

- Learn policy from user demonstrations
- Choose exactly what is to be learned
 - i.e. the **target function**
- Choose how to represent the target function
- Choose a learning algorithm to infer the target function from the experience



Based on slide by Ray Mooney

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Training vs. Test Distribution

- We generally assume that the training and test examples are independently drawn from the same overall distribution of data
 - We call this "**i.i.d**" which stands for "**independent and identically distributed**"
- If examples are not independent, requires **collective classification**
- If test distribution is different, requires **transfer learning**
 - Transfer learning is a machine learning technique where a model trained on one task is re-purposed for a second related task

ML In a Nutshell

- Tens of thousands of machine learning algorithms
 - Hundreds new every year
- Every ML algorithm has three components:
 - **Representation**
 - **Optimization** (paid advertisement: focus of MSML 604)
 - **Evaluation**

Slide credit: Pedro Domingos

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Various Function Representations

- **Numerical functions**
 - Linear regression
 - Neural networks
 - Support vector machines
- **Symbolic functions**
 - Decision trees
 - Rules in propositional logic
 - Rules in first-order predicate logic
- **Instance-based functions**
 - Nearest-neighbor
 - Case-based
- **Probabilistic Graphical Models**
 - Naive Bayes
 - Bayesian networks
 - Hidden-Markov Models (HMMs)
 - Probabilistic Context Free Grammars (PCFGs)
 - Markov networks

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Various Search/Optimization Algorithms

- **Gradient Descent**

- Perceptron
- Backpropagation

- **Dynamic Programming**

- HMM (hidden Markov model) Learning
- PCFG (probabilistic context-free grammars) Learning

- **Divide and Conquer**

- Decision tree induction
- Rule learning

- **Evolutionary Computation**

- Genetic Algorithms (GAs)
- Genetic Programming (GP)
- Neuro-evolution



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Evaluation

- Accuracy
- Precision and recall
- Squared error
- Likelihood
- Posterior probability

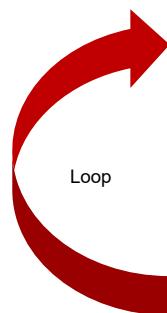
- Cost / Utility
- Margin
- Entropy
- K-L divergence...



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ML in Practice



- Understand domain, prior knowledge, and goals
- Data integration, selection, cleaning, pre-processing, etc.
- Learn models
- Interpret results
- Consolidate and deploy discovered knowledge



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Lessons Learned About Learning

- Learning can be viewed as using direct or indirect experience to approximate a chosen target function
- Function approximation can be viewed as a search through a space of hypotheses (representations of functions) for one that best fits a set of training data
- Different learning methods assume different hypothesis spaces (representation languages) and/or employ different search techniques



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What We'll Cover in This Course

Supervised learning

- Decision tree induction
- Linear regression
- Logistic regression
- Support vector machines & kernel methods
- Model ensembles
- Bayesian learning
- Neural networks & deep learning
- Learning theory

Unsupervised learning

- Clustering
- Dimensionality reduction

Evaluation

- Applications

Our focus will be on applying machine learning to real applications



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Resources

- Google
- Github
- Optional textbook
 - [Pattern Recognition and Machine Learning](#) by Christopher M. Bishop



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