# Recent Advances in Speaker Diarization

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Interspeech 2014 Tutorial

### **Outline**

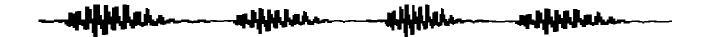
- 1. Introduction
- 2. Speech processing
- 3. Voice activity detection
- 4. Classic diarization methods
- 5. Speaker recognition
- 6. Advanced diarization methods
  - Geometry
  - Clustering
  - Techniques

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  - Techniques

### Definition

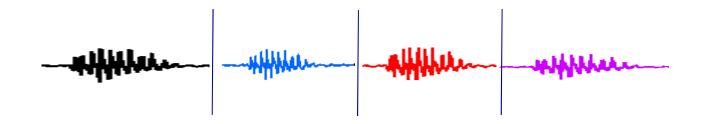
"Who spoke when"



Speaker identities are unknown

### Definition

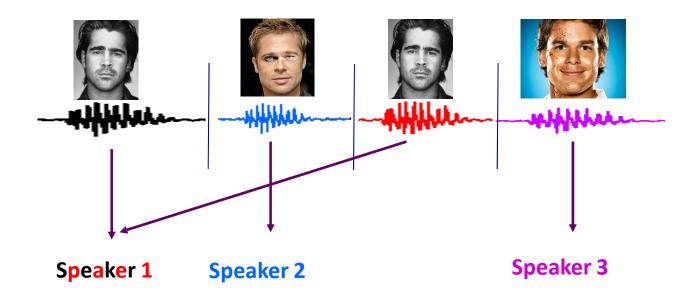
"Who spoke when"



- Speaker identities are unknown
- Speaker change detection (speaker segmentation)

### Definition

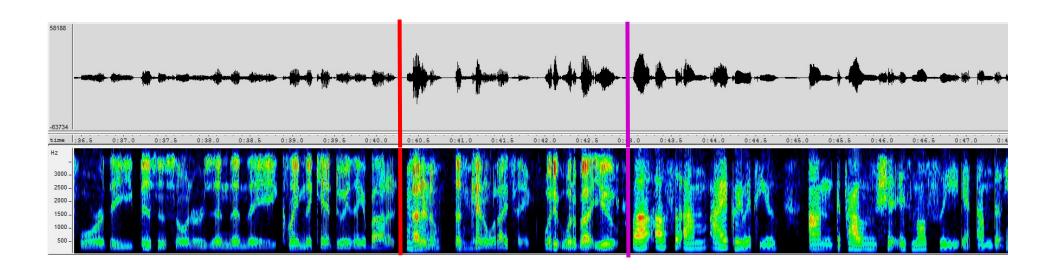
#### "Who spoke when"



- Speaker identities are unknown
- Speaker change detection (speaker segmentation)
- Speaker clustering

# Example

What is the correct boundary – red or purple?



# Meetings



- Number of participants is unknown
- Close talk / far-field microphones
  - Noisy data
  - Reverberation
- Spontaneous speech

# **Broadcast News (BN)**



- Number of participants is unknown
- News: High quality, planned speech
- Conversations: Spontaneous
- Mixed sources: close-talk mic, telephone
- Background music, commercials

# **Telephone Conversations**



- Two speakers
  - Realistic data may contain 3 speakers or more
- Spontaneous speech

### **Applications**

- Enable speaker adaption in speech recognition systems
- Enable speaker recognition in multi-speaker data
  - Summed phone calls
- Pre-processing for speech analytics
  - Agent-customer segmentation in call-centers
- Pre-processing for speech transcription and speech translation
  - Accurate speaker turns are key to good performance
- Displaying speaker turns and speaker labels
  - Transcription services (meetings)

### **Basic Functionalities**

- Voice activity detection
  - Detection of speech vs. non-speech (silence, noise, music)
  - Speech may be mixed with noise or music
- Speaker change detection
  - Find timestamps for which speaker before timestamp is different than speaker after timestamp
- Speaker clustering
  - Associate speaker turns according to speaker identity

### Performance Measures

| Measure                      | Description   |
|------------------------------|---|
| False Acceptance (FA)        | Probability of classifying non-speech as speech           |
| Miss Detection (MD)          | Probability of classifying speech as non-speech           |
| Speaker Error Rate (SER)     | Probability of speech to be assigned to the wrong speaker |
| Diarization Error Rate (DER) | FA + MD + SER   |

#### Forgiveness collar

- Regions around speaker boundaries are not scored
- In NIST Evaluations forgiveness collar is 0.25 sec

### **Outline**

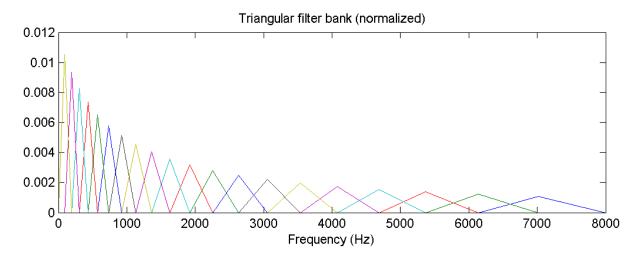
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### Feature Extraction

- Speech is divided into frames (100/s)
- Signal in each frame is assumed to be stationary
- Frames are parameterized by vectors representing their spectral characteristics using MFCCs (Mel Frequency Cepstral Coefficients)
- Delta MFCCs (and delta-delta) are usually concatenated to the MFCCs

### **MFCCs**

- Take the Fourier transform of a frame
- Map power spectrum into the Mel-scale, using triangular overlapping windows



- Take logs of the powers
- Take the discrete cosine transform of the log powers

# **Modeling Speech Segments**

- Given a sequence of MFCC features  $X=x_1,...,x_t$
- Model sequence by a stochastic model
  - Gaussian Mixture Model (GMM)
  - Hidden Markov Model (HMM)
- Models can be used to
  - Learn a class of segments and classify a segment X

$$p(C|X) = \frac{p(X|C)p(C)}{p(X)}$$

Map segments into a vector space

$$X \to pdf(X)$$

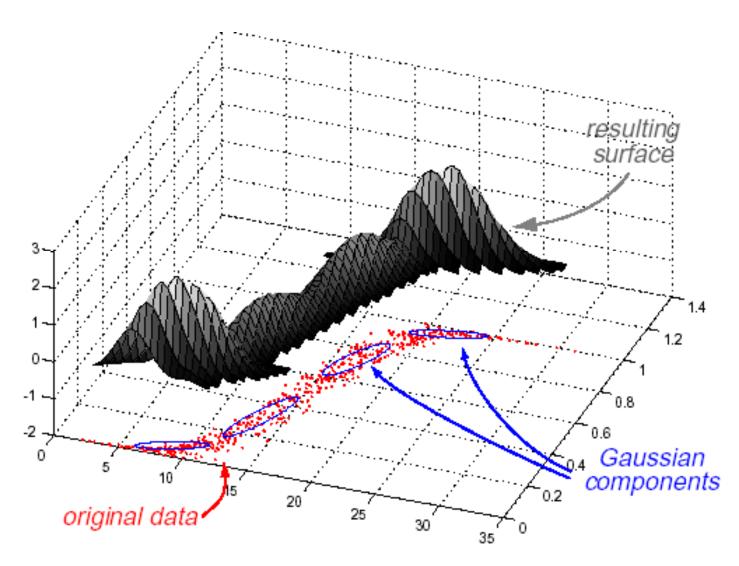
# Gaussian Mixture Model (GMM)

A GMM is a convex combination of normal PDFs

$$p(x) = \sum_{g} w_{g} p(x \mid N(\mu_{g}, \Sigma_{g}))$$
$$\sum_{g} w_{g} = 1$$

- Most interesting PDFs may be reasonably approximated by GMMs
- Interpretation
  - 1. Gaussian index g is drawn from a generalized Bernoulli distribution  $\{w_g\}$
  - 2. Observation x is drawn from normal distribution  $N(\mu_g, \Sigma_g)$

# GMMs in 2D

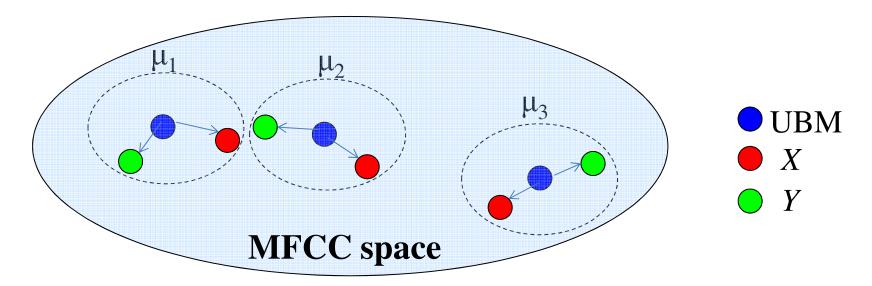


# **GMMs** for Speech Modeling

- Frame independence assumption
  - Segment is a bag of frames
- Simplistic interpretation
  - Every phoneme is modeled by a single normal distribution
  - Mixture weights represent prior phoneme probabilities
- Realistic interpretation
  - Every phoneme is modeled by a low-order GMM

# Universal Background Model (UBM)

- 1. Train a Universal GMM on data collected from many segments
- 2. Adapt a GMM for segment X by applying MAP
   (Maximum A-Posteriori) adaptation to the UBM
   → all GMMs are aligned



### **GMMs Supervectors**

- Given segments X and Y, what is p(Y|X)?
- Classic approach
  - Estimate GMM  $G_X$  from X
  - Compute the log-likelihood of Y

$$\log p(Y|X) = \log p(Y|G_X) = \sum_{t} \log \left( \sum_{g} w_g p(y_t \mid N(\mu_g, \Sigma_g)) \right)$$

- Disadvantages
  - Inefficient for scoring a session against multiple models
  - Inflexible to modifying modeling assumptions

# **GMMs Supervectors (2)**

- Supervector approach
  - Estimate both  $G_X$  and  $G_Y$
  - Average log-likelihood → Negative cross entropy

$$\frac{1}{|Y|}\log p(Y|G_X) \longrightarrow \int_f p(f|G_Y)\log p(f|G_X)df = -H(G_Y,G_X)$$

Matching-based approximation

$$H(G_X, G_Y) \cong \frac{1}{2} \sum_{g=1}^{\infty} w_g \left(\mu_g^X - \mu_g^Y\right)^T \Sigma_g^{-1} \left(\mu_g^X - \mu_g^Y\right) + \text{const}$$

Supervector transform T

$$T: X \to x \quad ; \quad x_{g*D+d} = \sqrt{w_g} \frac{\mu_{g,d}^{G_X}}{\sigma_{g,d}}$$
$$\Rightarrow \frac{1}{|Y|} \log p(Y|G_X) \cong x^T y + C_X + C_Y$$

# GMMs Supervectors (3)

#### Advantages

- Efficient
- Enables (or simplifies) modifying GMM assumptions
  - Channel compensation: Nuisance Attribute Projection
  - Inter-segment variability modeling
  - SVMs for classification
  - Factor analysis
  - Joint Factor analysis

# Hidden Markov Model (HMM)

- Define a set of states  $\{s_1, s_2, \dots, s_N\}$
- Process moves from one state to another generating a sequence of states :  $S_{i_1}, \ldots, S_{i_t}, \ldots, S_{i_T}$
- Markov assumption: probability of each subsequent state depends only on identity of previous state:

$$p(s_{i_t} \mid s_{i_1}, s_{i_2}, ..., s_{i_{t-1}}) = p(s_{i_t} \mid s_{i_{t-1}})$$

• States are hidden, we only see the emitted observations  $O = o_1, ..., o_t, ..., o_T$ 

# Hidden Markov Model (2)

- To define an HMM, the following distributions have to be specified:  $\lambda = (\pi, A, B)$ 
  - Initial probabilities vector  $\pi$

$$\pi_i = p(s_i)$$

Transition probabilities matrix A

$$a_{ij} = p(s_i \mid s_j)$$

– Emission PDFs  $b_i$ 

$$b_i(o_t) = p(o_t \mid s_i)$$

#### 1. Evaluation

Given the observation sequence O and a model  $\lambda$ , how do we efficiently compute the likelihood of the observations  $p(O|\lambda)$  ?

#### 2. Decoding

Given the observation sequence O, and the model  $\lambda$ , how do we find the optimal state sequence?

#### 3. Training

How do we learn the model parameters  $\lambda$  to maximize  $p(O|\lambda)$  ?

#### 1. Evaluation

Efficiently compute the likelihood  $p(O|\lambda)$  ?

Solution

Dynamic programming

Use

Model selection

$$p(\lambda|O) = \frac{p(O|\lambda)p(\lambda)}{p(O)}$$

#### 2. Decoding

Find the optimal state sequence

Solution

The **Viterbi** algorithm finds the optimal sequence using dynamic programming

$$\underset{q_1, q_2, ..., q_T}{\text{arg max }} p(s_1, s_2, ..., s_T = q_1, q_2, ..., q_T | O, \lambda)$$

Alternatively, posterior probabilities may be computed

$$p(s_t = q_t | O, \lambda)$$

#### 3. Training

Learning the model parameters  $\lambda$ 

#### Solution

- i. Initialize either state sequence  $s_1, s_2, ..., s_T$  or model  $\lambda$
- ii. Iterate (EM algorithm)
  - Given posteriors, estimate model
  - Given model, estimate posteriors

### **HMM** - Examples

- Speech recognition
  - Each context-dependent phoneme is represented by
     3-5 HMM states
  - Labeled speech is used to train the HMM
- Speaker diarization
  - Each speaker is represented by an HMM state
  - When number of speakers is unknown, how do we set the number of states?
  - How do we train the HMM?

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# Voice Activity Detection (VAD)

#### Goal

 Segment speech into speech and non-speech (silence, noise, tones, music)

#### Uses

- Non-speech removal (SID, LID, diarization, ASR)
- Sentence segmentation (diarization, ASR)
- Speech detection (enhancement, transmission)

#### Performance measures

False acceptance / miss-detection

# Features for VAD: Energy

- Log-energies can be computed per sub-band
- Log-energy should be normalized
  - Find 90% and 10% percentiles and set threshold to a weighted average
  - Estimate one Gaussian for speech, and one for silence
- Issues
  - Low SNRs
  - Music and tones
  - Mix of loud and weak speakers

### Features for VAD: Pitch

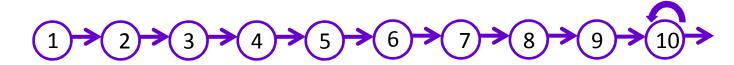
- Existence of pitch is a cue for speech
- Unvoiced speech should be covered by some sort of smoothing over time
- Issues
  - Reliable and robust pitch detection
  - Music and tones

### Features for VAD: MFCC

- MFCCs contain the required information
- Advanced modeling techniques are required
  - ASR
  - Phonetic decoding
- Issues
  - Availability of training data
  - Language dependency
  - Robustness
- Variants
  - PLP (Perceptually Linear Predictive)
  - FDLP (Frequency domain linear prediction)

### **HMM** for VAD

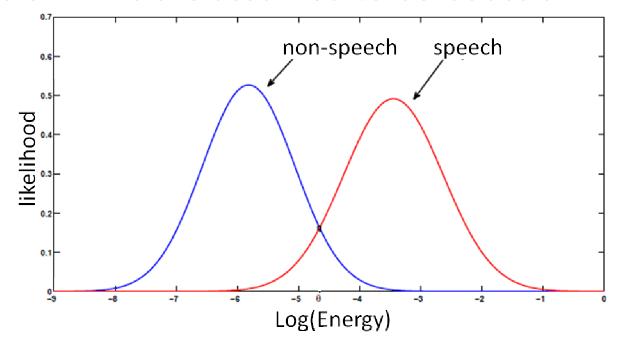
 Each class (speech, silence, noise, music, tone) is represented by a sequence of states



- Minimum duration is enforced by number of states
- Transition probabilities
  - either hand tuned or trained
- Emission PDFs
  - either hand tuned or trained

## HMM for VAD: Example #1

- Features: log-energies
- Emission PDFs are assumed to be Gaussian



Gaussians may be estimated using EM

## HMM for VAD: Example #2

- Features: MFCCs
- Emission PDFs are pre-trained on labeled data
  - GMMs
  - SVMs
- HMM is primarily used for Viterbi decoding
- Emission PDFs may be retrained using segmentation

## Phoneme recognizer for VAD

- Phonetic decoding is used to transcribe the signal into
  - Phonemes
  - Silence
  - Noise
- The Hungarian phoneme recognizer tool is widely used for telephone speech [Schwarz 09']
- Issues
  - Language dependency
  - Robustness
  - Time complexity

## Segmental Modeling for VAD

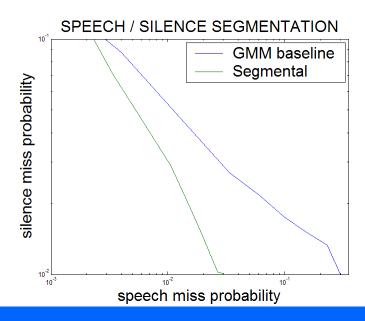
- High level features are extracted on the segment level
  - Audio is segmented into evenly spaced overlapping segments (length is 300ms)
  - Every segment is represented by a GMM supervector
  - Data (train & test) is represented by sequences of supervectors
- Audio classes modeled in supervector space using GMMs
- HMM is used to integrate local scores

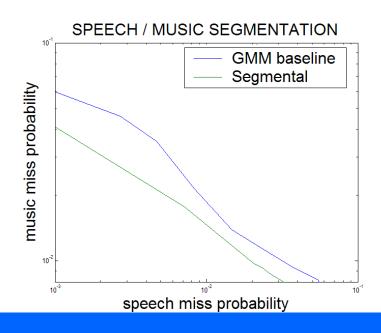
H.A. "Segmental modeling for speech segmentation", in Proc. ICASSP 2007

## Segmental Modeling: Experiments

Data: BN in Arabic (GALE)

| System         | speech vs. silence<br>EER in (%) | Speech vs. music<br>EER in (%) |
|----------------|----------------------------------|--------------------------------|
| Baseline (GMM) | 2.9                              | 1.4                            |
| Segmental      | 1.7                              | 1.3                            |





## Deep Neural Networks (DNN) for VAD

### Setup

- DARPA RATS: audio transmitted over extremely noisy and/or highly distorted channels
- VADs are channel dependent
- HMM is used on top of GMM/DNN

#### Baseline

GMMs with 1024 Gaussians/class

G. Saon et al., "The IBM Speech Activity Detection System for the DARPA RATS Program", in Proc. Interspeech, 2013.

### **DNNs: Features**

- PLP Cepstra + probability of voicing
  - Mean and variance normalization (for Cepstra only)
  - ARMA filtering per dimension with a temporal window of 20 frames (for Cepstra only)
  - Splicing of 17 consecutive frames
  - LDA projection to 40 dimensions
  - Augment with  $1^{st}$ ,  $2^{nd}$  and  $3^{rd}$  deltas (40  $\rightarrow$  4x40)
- FDLP (13)
  - Same processing as for PLP Cepstra

### **DNNs: Architecture**

- 3 hidden layers: 1024 neurons each
- Output layer has 3 neurons:
   Speech, noisy-silence and no-transmission

### **Training**

- 1. Fully train *n* hidden layers
- 2. Use trained network to initialize a network with n+1 hidden layers
- 3. Iterate to 1

## **DNNs for VAD - Results**

| Method                | Features   | Amount of training data (in hrs) | DEV1<br>(EER in %) | DEV2<br>(EER in %) |
|-----------------------|------------|----------------------------------|--------------------|--------------------|
| GMM                   | PLP+v      | 200                              | 2.0                | 3.3                |
| GMM                   | PLP+v      | 2000                             | 2.0                | 3.3                |
| NN<br>1 hidden layer  | PLP+v      | 200                              | 1.8                | 2.6                |
| NN<br>2 hidden layers | PLP+v      | 200                              | 1.7                | 2.6                |
| NN<br>2 hidden layers | PLP+v+FDLP | 2000                             | 1.5                | 2.3                |
| NN<br>3 hidden layers | PLP+v+FDLP | 2000                             | 1.2                | 2.1                |

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### Classic Diarization Methods

### Components

- VAD
- Segmentation
- Clustering
- Viterbi resegmentation

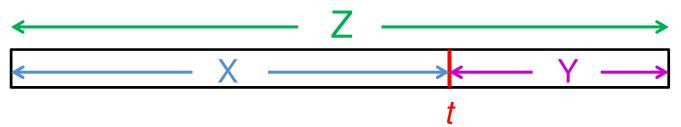
### **Architectures**

Bottom-up vs. top-down

### Number of speakers

Two vs. unknown

## **Speaker Change Detection**



- H<sub>0</sub>: no speaker change at time t
- H₁: speaker change point at time t

$$L_0 = \log p(Z \mid M_Z)$$
  
$$L_1 = \log p(X \mid M_X) + \log p(Y \mid M_Y)$$

M: statistical model (usually a Gaussian)

- GLR criterion [Gish 91']:  $d_{GLR} = L_1 L_0$
- BIC criterion [Chen 98']:  $d_{BIC} = d_{GLR} (P_1 P_o)$
- KL2-dist [Seigler 97']:  $d_{KL2} = KL(M_X, M_Y) + KL(M_Y, M_X)$
- CLLR [Reynolds 98']:  $d_{CLLR} = \log \frac{p(X|M_Y)}{p(X|UBM)} + \log \frac{p(Y|M_X)}{p(Y|UBM)}$

## **Bayesian Information Criterion**

• A criterion for model selection among a set of models  $\{M_i\}$ 

$$BIC(M_i) = \log p(X \mid M_i) - \frac{1}{2} \lambda (\# M_i) \log |X|$$
penalty

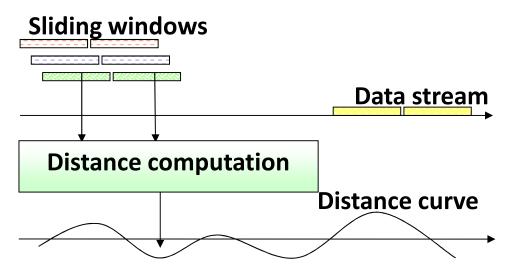
- In theory λ=1 but is tuned in practice
- $\#M_i$ : the number of parameters in model  $M_i$
- |X|: length of X (number of frames)
- For Gaussian models in R<sup>d</sup>:

$$\Delta BIC = |Z| \log |\hat{\Sigma_Z}| - |X| \log |\hat{\Sigma_X}| - |Y| \log |\hat{\Sigma_Y}| - \frac{\lambda}{2} \left(d + \frac{d(d+1)}{2}\right) \left(\log |Z|\right)$$

## Segmentation

### Fixed-size analysis running window

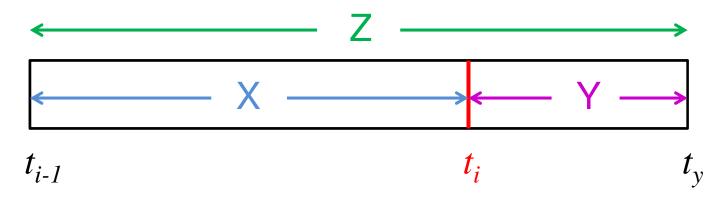
ΔBIC is used for distance computation



- Issues
  - Short window: ΔBIC is too noisy
  - Long window: short speaker-turns may be missed

## Segmentation

Variable-size analysis running window



- $t_{i-1}$  is latest detected speaker change
- $[t_{i-1} t_y]$  is variable-size analysis window increasing until speaker change is detected
- $t_i$  is hypothesized speaker change
- Issues: past errors may be propagated

## Segmentation

### Other BIC-based approaches

- Top-down speaker change detection [Wu 06']
  - Find single best scoring speaker change analyzing whole session (if score is too low, terminate)
  - Partition utterance using detected speaker change
  - Recursively apply method on both parts of the partitioned utterance
- Dynamic programming [Cettolo 05']
  - Find optimal segmentation according to BIC criterion

## **Speaker Clustering**

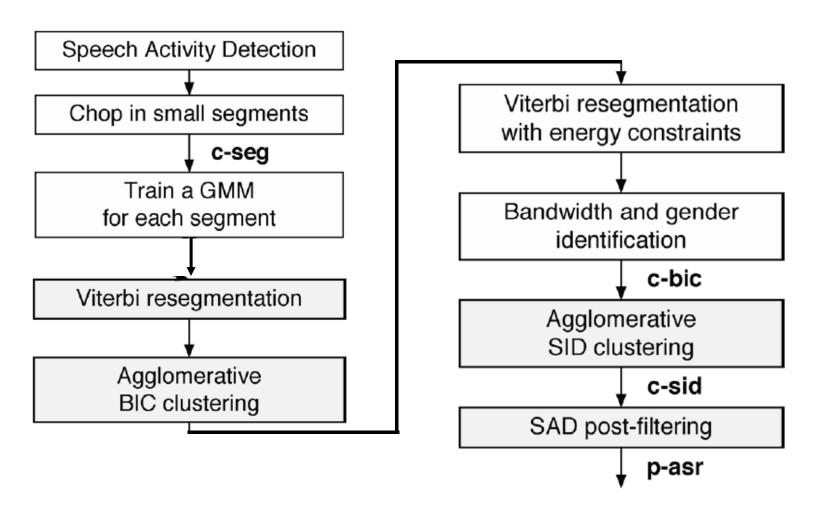
Hierarchical agglomerative clustering (HAC) [Chen 98'; Barras 06']

- Initiate each segment as a distinct cluster
- Iterate:
  - Find closets pair of clusters
    - Distance measure: ΔBIC / CLLR
  - Merge closets pair
  - Stopping criteria
    - Local BIC
    - Global BIC

## Viterbi Resegmentation

- GMMs/HMMs are trained per cluster
- Viterbi is used for obtaining a new segmentation
- Energy local minima [Barras 06'] may be used to refine boundaries
  - Boundaries are shifted to the nearest point of low energy within an interval of 1s
  - Purpose is to avoid cutting words
- GMMs/HMMs are re-estimated
- Process may be reiterated

## Multistage Diarization for BN (LIMSI)



C. Barras, et al., "Multi-Stage Speaker Diarization of Broadcast News," IEEE TASLP, vol. 14, no. 5, 2006 (and image credit)

## **Agglomerative SID Clustering**

### **Purposes**

- Once an initial clustering is early stopped, more complex models can be used with more data per cluster
- Robust modeling is necessary to regroup multiple clusters obtained from a single speaker (due to noise, music, etc.)

## **Agglomerative SID Clustering**

### **Outline**

- Features: 15 MFCC+15 ΔMFCC+ ΔEnregy
- Feature warping [Pelecanos 01']
- Each cluster is used to train a speaker recognition model
- Agglomerative clustering is performed separately for each gender and bandwidth condition using CLLR

$$d_{CLLR} = \frac{1}{|X|} \log \frac{p(X|M_Y)}{p(X|UBM)} + \frac{1}{|Y|} \log \frac{p(Y|M_X)}{p(Y|UBM)}$$

• Stopping criterion: comparing  $d_{CLLR}$  to a threshold  $\delta$ 

## Multistage Diarization for BN: Results

#### **Datasets**

- NIST RT-04F (Fall 2004 Rich Transcription evaluation)
- The French data from the ESTER BN evaluation

| System                            | RT-04F test<br>DER (in %) | ESTER test<br>DER (in %) |
|-----------------------------------|---------------------------|--------------------------|
| No agglomerative SID clustering   | 17                        | 13.8                     |
| With agglomerative SID clustering | 9.1                       | 11.5                     |

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## **Speaker Recognition**

### **Definition**

Given enrollment session X and test session Y, are they the same speaker?

### Progress of the state-of-the-art

| Algorithm                   | Year | EER (in %) |
|-----------------------------|------|------------|
| GMM                         | 1995 | >10        |
| GMM-UBM + score-norm        | 2000 | 6.2        |
| GMM-supervectors            | 2004 | 6.2        |
| NAP / WCCN / Eigen-channels | 2005 | 3.6        |
| JFA                         | 2006 | 1.4        |
| i-vectors + PLDA            | 2011 | 1.0        |

Setup: NIST 10' SRE, telephone data

## Speaker Recognition: GMMs

Every speaker is treated as a bag-of-frames

#### **Enrollment**

 Speaker is modeled by a GMM using ML estimation (EM training)

#### **Test**

- Likelihood of every test-frame is computed given enrolled GMM
- Score is average log-likelihood of test frames

$$S(Y|X|) = \frac{1}{|Y|} \log p(Y|X) = \frac{1}{|Y|} \log \prod_{t=1}^{|Y|} p(y_t|X) = \langle \log p(y_t|G_X) \rangle$$

## Speaker Recognition: GMM-UBM

- A UBM is estimated from a large dev-set
- UBM is used as a prior for speaker models

### **Enrollment**

 Speaker is modeled by a GMM using MAP adaptation from the UBM (which serves as a prior)

## Speaker Recognition: Score Norm

$$p(S|X) = \frac{p(X|S)p(S)}{p(X)}$$

- 1. UBM based normalization:  $p(X) \cong p(X|UBM)$
- 2. In practice, score normalization methods improve accuracy
- 3. Normalization works because it cancels out some effects of channel, noise and modeling inaccuracies
- 4. Is beneficial for normalizing scores in diarization

### **Znorm**

- Znorm [Auckenthaler 00'] standardizes the distribution of scores for speaker S given a calibration set of imposter test sessions
- Let  $\varphi(S,Y)$  be the score of session Y for speaker S
- Estimate mean and variance of  $\varphi(S,\cdot)$

$$\mu_{Z}(S,\cdot) = E_{Y}\varphi(S,Y)$$

$$\sigma_{Z}(S,\cdot) = \sqrt{V_{Y}\varphi(S,Y)}$$

• Standardize  $\varphi(S,Y)$ 

$$\varphi_{Znorm}(S,Y) = \frac{\varphi(S,Y) - \mu_Z(S,\cdot)}{\sigma_Z(S,\cdot)}$$

### **Tnorm**

- Tnorm [Auckenthaler, 00'] standardizes the distribution of scores for test session Y given a calibration set of imposter speakers
- Estimate mean and variance of  $\varphi(\cdot, Y)$

$$\mu_T(\cdot, Y) = E_S \varphi(S, Y)$$

$$\sigma_T(\cdot, Y) = \sqrt{V_S \varphi(S, Y)}$$

• Standardize  $\varphi(S,Y)$ 

$$\varphi_{Tnorm}(S,Y) = \frac{\varphi(S,Y) - \mu_T(\cdot,Y)}{\sigma_T(\cdot,Y)}$$

## **Combining Znorm and Tnorm**

### **ZTnorm** [H.A. 05']

- Apply Znorm followed by Tnorm
- Calibration scores for Tnorm must be Znorm-ed

$$\mu_{ZT}(\cdot, Y) = E_S \varphi_{Znorm}(S, Y)$$

$$\sigma_{ZT}(\cdot, Y) = \sqrt{V_S \varphi_{Znorm}(S, Y)}$$

• Standardize  $\varphi(S,Y)$ 

$$\varphi_{ZTnorm}(S,Y) = \frac{\varphi_{Znorm}(S,Y) - \mu_{ZT}(\cdot,Y)}{\sigma_{ZT}(\cdot,Y)}$$

### Snorm [Shum 10']

Sum Znorm and Tnorm scores

$$\varphi_{Snorm}(S,Y) = \varphi_{Znorm}(S,Y) + \varphi_{Tnorm}(S,Y)$$

## Speaker Recognition: GMM Supervectors

Similar modeling as for GMM-UBM

### Paradigm shift [H.A. 04']

- Embed both enrollment and test sessions into a supervector space using GMM parameters
- Compute score in the embedded space

$$\varphi(X,Y) = \sum_g \left( \sqrt{w_g} \Sigma_g^{-\frac{1}{2}} \mu_g^X \right)^T \left( \sqrt{w_g} \Sigma_g^{-\frac{1}{2}} \mu_g^Y \right)$$
Normalized GMM-supervector of  $X$  Normalized GMM-supervector of  $Y$ 

Improved flexibility and speed

## **Modeling Session Variability**

PDF of frame is dependent on both speaker and session

- Inter-session intra-speaker variability (ISISV)
- channel

#### Methods

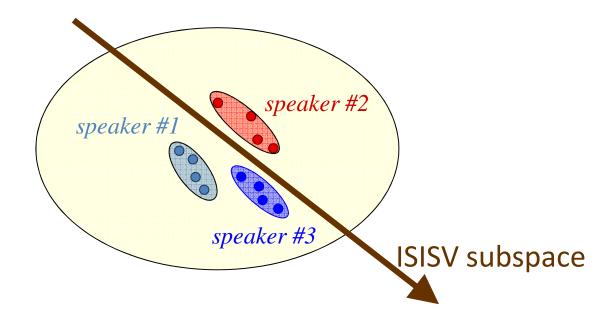
- ISIS [H.A. 05']
- WCCN [Hatch 06']
- NAP [Campbell 06']

### Framework

- Assume ISISV is shared among all speakers
- Estimate variability from dev data
- Use ISISV modeling for supervector cleanup/better scoring

## Nuisance Attribute Projection (NAP)

- Sessions are mapped into supervectors
- ISISV is assumed to be restricted to a low dimensional subspace
- ISISV subspace is estimated from a dev set



## **NAP (2)**

### ISISV estimation

1. Compute the within-speaker covariance matrix

$$\hat{\mathbf{W}} = \frac{1}{S} \sum_{s=1}^{S} \frac{1}{n_s} \sum_{i=1}^{n_s} \left( z_i^s - \overline{z}^s \right) \left( z_i^s - \overline{z}^s \right)^T$$

- 2. Find top eigenvectors using PCA  $\rightarrow$  V
- 3. Projection  $P=(I-VV^T)$  removes the estimated ISISV subspace

### **Enrollment**

• Apply P on enrollment supervector:  $x \rightarrow Px$ 

### Scoring

No need of applying P on test supervector y

$$\varphi_{NAP}(x, y) = (Px)^T Py = x^T P^T Py = (Px)^T y$$

# Within Class Covariance Normalization (WCCN)

#### **Motivation**

- ISISV and Inter-speaker subspaces are not disjoint
- Instead of removing the ISISV subspace, deemphasize it

#### **Estimation**

- W is estimated similarly to NAP and inverted
- W may be smoothed

or

$$\dot{\mathbf{W}} = (1 - \alpha)\hat{\mathbf{W}} + \alpha \mathbf{I}$$

$$\ddot{\mathbf{W}} = (1 - \alpha)\hat{\mathbf{W}} + \alpha \cdot \operatorname{diag}(\hat{\mathbf{W}})$$

### Scoring

$$\varphi_{WCCN}(x, y) = x^{\mathrm{T}} \mathbf{W}^{-1} y$$

#### Joint Modeling of Session & Speaker Variabilities

#### **Innovation**

- Inter-speaker variability (ISV) is modeled jointly with ISISV
- Supervectors are estimated using MAP adaptation with strong priors (contrary to the NAP/WCCN framework)

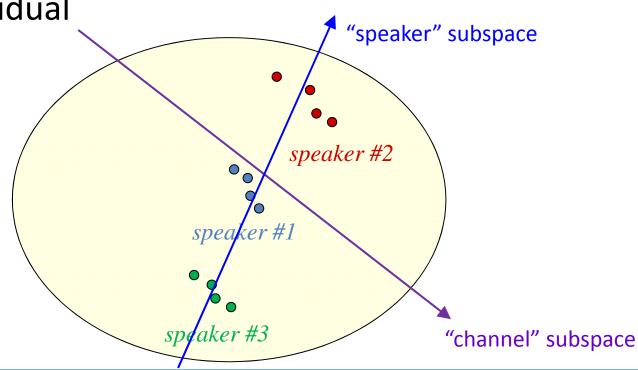
#### Methods

- JFA [Kenny 08']
- i-vector PLDA [Dehak 11'; Kenny 10'; Romero 11']

# Joint Factor Analysis (JFA)

- Sessions are mapped into supervectors
- ISISV is restricted to a low-dimensional subspace

 ISV is decomposed into a low-dimensional subspace and a residual



#### JFA Model

$$M = m + Vy + Dz + Ux$$

M: supervector for a given session

*m*: overall mean (UBM supervector)

s: speaker dependent supervector

V: rectangular matrix of low-rank (eigenvoices)

D: diagonal matrix

y,z: random vectors with standard normal prior (speaker factors)

c: channel dependent supervector

U: rectangular matrix of low rank (eigenchannels)

x: random vector with standard normal prior (channel factors)

## JFA: Speaker Supervector Estimation

1. Estimate Baum-Welch (BW) statistics for enrollment session

- Counts: 
$$N_g = \sum_t p(g \mid X_t)$$

- Sums: 
$$F_g = \sum_t p(g \mid X_t) X_t$$
 using 
$$p(g \mid X_t) = \frac{w_g p(X_t \mid N(\mu_g, \Sigma_g))}{\sum_{\widetilde{g}} w_{\widetilde{g}} p(X_t \mid N(\mu_g, \Sigma_g))}$$

- Estimate MAP values of speaker factors y and z given BW statistics
- 3. Estimated speaker supervector is  $\hat{s} = V\hat{y} + D\hat{z}$

### JFA Scoring

#### Fast scoring [Glembeck 09']

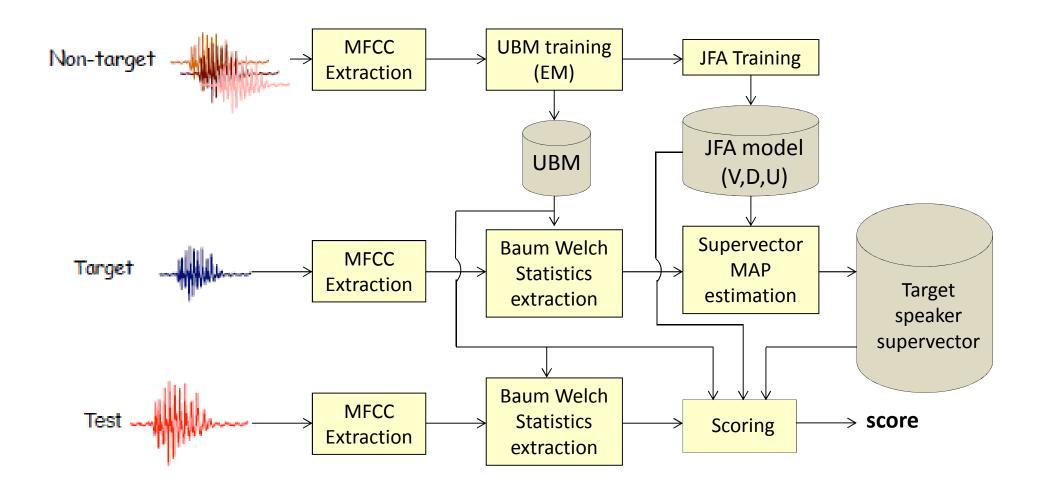
- 1. Estimate BW statistics for test session Y
- 2. Estimate MAP values of channel factors *x* given BW statistics
- 3. Remove channel and UBM effect from BW statistics

$$\widetilde{F} = F - NUx - Nm$$

4. Linear Scoring  $\frac{\hat{S}^t \Sigma^{-1} \tilde{F}}{|Y|}$ 

 $\Sigma$  is a stacking of the UBM covariance matrices

#### JFA Outline



#### i-vectors

Factor analysis as a high-level feature extractor

$$M = m + T\phi$$

*M*: supervector for a given session

*m*: overall mean (UBM supervector)

T: rectangular matrix of low-rank (total variability matrix)

 $\phi$ : standard normal random vector (i-vector)

- i-vectors capture both speaker and channel variabilities
- i-vectors are extracted symmetrically for both enrollment and test sessions

#### i-vector Extraction

1. Estimate Baum-Welch (BW) statistics

- Counts: 
$$N_g = \sum_t p(g \mid X_t)$$

- Sums: 
$$F_g = \sum_t p(g \mid X_t) X_t$$

using 
$$p(g|X_t) = \frac{w_g p(X_t | N(\mu_g, \Sigma_g))}{\sum_{\widetilde{g}} w_{\widetilde{g}} p(X_t | N(\mu_g, \Sigma_g))}$$

2. i-vector MAP estimate is  $\hat{\phi} = L^{-1}T^T\Sigma^{-1}F$  with  $L = I + T^T\Sigma^{-1}NT$  and  $\Sigma$  is a stacking of the UBM covariance matrices

#### Probabilistic Linear Discriminant Analysis (PLDA)

The PLDA framework assumes that i-vectors distribute according to:

$$\phi = \mu + s + c$$

 $\phi$  - i-vector

 $\mu$  - global mean

s - speaker component

c - channel / ISISV component

s and c are assumed to distribute normally:

$$s \sim N(0, B), c \sim N(0, W)$$

• The PLDA model is parameterized by  $\{\mu, B, W\}$ 

#### **PLDA:** Details

#### **PLDA** training

Given a dev set, hyper-parameters W and B are trained using EM

#### **PLDA** scoring

Given i-vectors x, y:

score = 
$$\frac{p(x, y|H_{=})}{p(x, y|H_{\neq})} = \frac{p(x, y|H_{=})}{p(x)p(y)}$$

with

$$p(x, y|H_{=}) = \int_{s} p(x|s)p(y|s)p(s)ds = N\left(\begin{bmatrix} x \\ y \end{bmatrix}; \begin{bmatrix} \mu \\ \mu \end{bmatrix}, \begin{bmatrix} \Sigma_{tot} & B \\ B & \Sigma_{tot} \end{bmatrix}\right)$$

$$p(x) = \int_{s} p(x|s)p(s)ds = N(x; \mu, \Sigma_{tot})$$

$$\Sigma_{tot} = B + W$$

#### PLDA – Details (cont.)

PLDA scoring (cont.)

for  $\mu$ =0:

$$score = x^{T}Qx + y^{T}Qy + 2x^{T}Py + const$$

with

$$Q = \sum_{tot}^{-1} - \left(\sum_{tot} - B\sum_{tot}^{-1} B\right)^{-1}$$

$$P = \Sigma_{tot}^{-1} B \left( \Sigma_{tot} - B \Sigma_{tot}^{-1} B \right)^{-1}$$

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- 5. Speaker recognition
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  - Geometry
  - Clustering
  - Techniques

## Advanced Diarization Methods: Geometry

- 1. High level features
- 2. Intra-speaker variability modeling
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## High-Level Features

High-level features may be used to parameterize

- Segments
- Clusters
- Superframes
  - Audio is divided into evenly spaced segments (typically 1s)
  - Superframes may overlap



#### Kernel-PCA

- Define a set of anchor sessions  $s_1,...,s_n$
- Choose a kernel (e.g. the supervector-based kernel)

$$\varphi(X,Y) = \sum_{g} \left( \sqrt{w_g} \Sigma_g^{-\frac{1}{2}} \mu_g^X \right)^T \left( \sqrt{w_g} \Sigma_g^{-\frac{1}{2}} \mu_g^Y \right)$$

• Apply the Kernel-PCA framework to define high-level feature  $T(X) \in \mathbb{R}^n$ 

$$T: X \rightarrow R^n = V \begin{pmatrix} \dots \\ \varphi(X, s_i) \\ \dots \end{pmatrix}$$

where V stacks the eigenvectors of matrix  $\Gamma: \Gamma_{i,j} = \varphi(s_i, s_j)$ 

H.A., "Trainable speaker diarization", in Proc. Interspeech, 2007

#### Supervectors

 Represent audio (segment/cluster/superframe) with a GMM supervector

$$T: X \to x$$

$$x_{g*D+d} = \sqrt{w_{g,d}^{UBM}} \frac{\mu_{g,d}^{X}}{\sigma_{g,d}^{UBM}}$$

- UBM may be trained on
  - Development data [H.A. 12']
  - Processed session [H.A. 10']

H.A., "Unsupervised Compensation of Intra-Session Intra-Speaker Variability for Speaker Diarization", in Proc. *Speaker Odyssey*, 2010

# **Speaker Factors**

Represent a superframe with speaker factors y

$$M = m + Vy$$

*M*: supervector for a given superframe

*m*: overall mean (UBM supervector)

V: rectangular matrix of low-rank (eigenvoices)

y: random vector with a standard normal prior (speaker factors)

- Typically 20 speaker factors are used
- FA model is trained on development data

F. Castaldo, et al., "Stream based speaker segmentation using speaker factors and eigenvoices," in Proc. ICASSP, 2008.

#### i-vectors

Represent a superframe with an i-vector

$$M = m + T\phi$$

*M* : supervector for a given session

*m* : overall mean (UBM supervector)

T: rectangular matrix of low-rank (total variability matrix)

 $\phi$ : standard normal random vector (**i-vector**)

- i-vector dimension is 100
- FA Model is trained on development data

S. Shum, "Unsupervised Methods for Speaker Diarization," S.M. Thesis, MIT Department of Electrical Engineering and Computer Science, 2011

## Advanced Diarization Methods: Geometry

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## Intra-Session Intra-Speaker Variability

Intra-session intra-speaker variability (ISISV) is why speaker diarization is such a challenge

- Phonetic variability
- Energy level variability
- Acoustic (speaker intrinsic)
- Speech rate
- Non-speech rate (VAD errors)

ISISV can be modeled and compensated

# **Modeling ISISV**

High-level features of a given speaker assumed to distribute normally, with a shared covariance matrix

$$h_t^s \sim N(\mu_s, W)$$

#### Use of ISISV modeling in Diarization

Define a distance measure using WCCN [H.A. 2007]

$$\varphi_{WCCN}(x,y) = x^{\mathrm{T}}W^{-1}y$$

- Compensation of ISISV using NAP [H.A. 2010]
  - A subspace is found applying PCA on  $\it W$
  - Subspace is removed from high-level features
- Compensation of low-level features (fNAP) [H.A., 11']

# Supervised Estimation of ISISV

#### Given a labeled dataset

i, j, s: session, superframe and speaker indices

 $z_{i,j}^{s}$ : high-level features

 $\overline{z}_i^s$ : mean of high-level features of speaker s in session i

$$\hat{W} = \frac{1}{S} \sum_{s=1}^{S} \frac{1}{n_s} \sum_{i=1}^{n_s} \frac{1}{n_{s,i}} \sum_{i=1}^{n_{s,i}} \left( z_{i,j}^s - \overline{z}_i^s \right) \left( z_{i,j}^s - \overline{z}_i^s \right)^T$$

## Unsupervised Estimation of ISISV

Given a time series of high-level features  $h_1,...,h_T$  $h_t$  is modeled as a sum of two components

$$h_t = \mu_{s_t} + n_t$$

#### where

 $\mu_{S_t}$ : speaker dependent mean of the speaker at time t

 $n_t$ : intra-speaker inter-session variability;  $n_t \sim N(0, W)$ 

H.A., "Unsupervised Compensation of Intra-Session Intra-Speaker Variability for Speaker Diarization", in Proc. *Speaker Odyssey*" 2010

# Unsupervised Estimation of ISISV (2)

Consider the difference between two consecutive high level features:

$$h_{t} - h_{t-1} = \mu_{s_{t}} - \mu_{s_{t-1}} + n_{t} - n_{t-1}$$

B: between speaker covariance matrix

 $\tau$ : mean speaker turn length

$$W = \frac{1}{2} \operatorname{cov}(h_{t} - h_{t-1}) - \frac{B}{\tau}$$

$$\cong \frac{1}{2} \operatorname{cov}(h_{t} - h_{t-1})$$

$$\hat{W} = \frac{1}{2(T-1)} \sum_{t=2}^{T} (h_{t} - h_{t-1})(h_{t} - h_{t-1})^{T}$$

## Advanced Diarization Methods: Geometry

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## PLDA for Speaker Clustering

PLDA scores are used to score cluster pairs

#### **Experiments**

- Data: COST278 multilingual pan-European BN database
  - Training: Two hours per language
  - Test: One hour per language
- GMM (256 Gaussians), i-vectors (400 dimensional), 200 speaker and channel factors
- 36% relative error reduction over a BIC-based AHC baseline

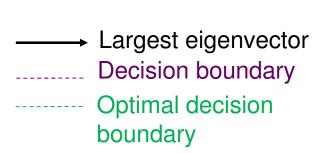
J. Silovsky, et al., "PLDA-based Clustering for Speaker Diarization of Broadcast Streams", in Proc. Interspeech, 2011

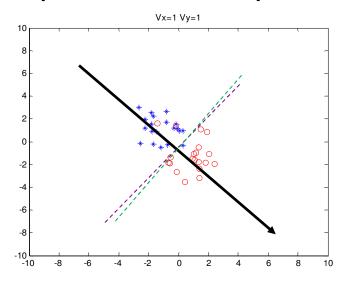
## Advanced Diarization Methods: Geometry

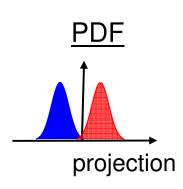
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## PCA for Speaker Diarization

- PCA is applied on high-level features (superframes)
- Most suitable when number of speakers is known
  - Works very well for diarization of a 2-speaker conversation
- A pre-processing step of ISISV compensation is key







H.A., "Unsupervised Compensation of Intra-Session Intra-Speaker Variability for Speaker Diarization", in Proc. *Speaker Odyssey*" 2010

## Advanced Diarization Methods: Geometry

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## **Spectral Clustering**

- Given a set of parameterized superframes  $S=\{h_1,...h_n\}$
- Form affinity matrix

$$A_{i,j} = \begin{cases} e^{-d^2(h_i, h_j)/2\sigma^2} & i \neq j \\ 0 & i = j \end{cases}$$

- Define diagonal matrix  $D_{i,i} = \sum_{k} a_{i,k}$
- Form matrix  $L = D^{-\frac{1}{2}}AD^{-\frac{1}{2}}$
- Stack k largest eigenvectors of L to form columns of matrix X
  - k can be chosen according to eigenvalues analysis
- Length normalized rows of X form new parameterization:

$$h_i \to X_i / ||X_i||$$

S. Shum, et al., "On the Use of Spectral and Iterative Methods for Speaker Diarization," in Proc. Interspeech, 2012

## Advanced Diarization Methods: Geometry

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#### Score Normalization

- Score normalization is very effective for speaker recognition
- In [H.A. 07'] it was shown that score normalization is effective for speaker diarization
  - The need for normalization is more significant for non-BIC based approaches
  - Normalization was done using segments from a development set

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#### Advanced Diarization Methods: Clustering

- 1. K-means
- 2. Integer Linear Programming (ILP)
- Fully Bayesian using Variational Bayes (VB)

## Advanced Diarization Methods: Clustering

- 1. K-means
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### K-Means

- K-means is used to cluster superframes/clusters parameterized by high-level features
- Number of clusters
  - Known a-priori [H.A. 12']
  - Estimated using analysis of the eigenvectors obtained in spectral clustering [Shum 12']:
    - Eigenvalues are modeled to exhibit exponential decay
    - $\rightarrow$  Set k according to the first eigenvalue that deviates from the exponential model

## Advanced Diarization Methods: Clustering

- 1. K-means
- 2. Integer Linear Programming (ILP)
- Fully Bayesian using Variational Bayes (VB)

# Integer Linear Programming (ILP)

Given an initial clustering of size N

- Clusters should ne highly pure
- Speakers may be divided into several clusters

Every cluster is parameterized with an i-vector

Other high-level features may be used

Find optimal clustering of the i-vectors

- Minimize number of clusters
- Minimize the dispersion of the i-vectors

G. Dupuy et al., "Recent Improvements on ILP-based Clustering for Broadcast News Speaker Diarization", in Proc. Speaker Odyssey, 2014

Minimize: 
$$\sum_{k \in C} x_{k,k} + \frac{1}{\delta} \sum_{j \in C} \sum_{k \in K_j} d(k,j) x_{k,j}$$

Subject to:  $x_{k,j} \in \{0,1\}$   $k \in K_j, j \in C$ 

$$\sum_{k \in K_j} x_{k,j} = 1 \qquad j \in C$$

$$x_{k,j} - x_{k,k} < 0 \qquad k \in K_j, j \in C$$

C: the set of i-vectors  $C = \{1,...,N\}$ 

 $x_{k,k}$ : a binary variable equal to 1 when i-vector k is a center

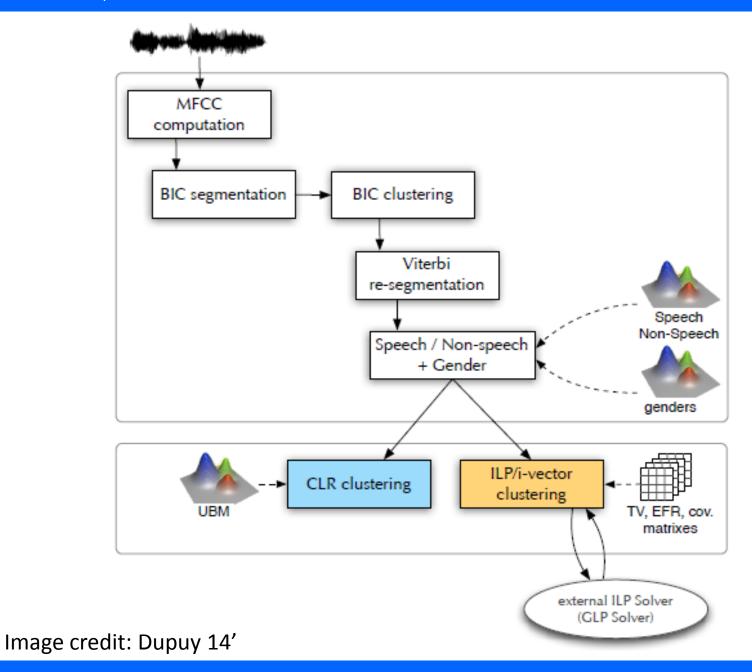
d(k, j): distance between i-vectors k and j

 $\delta$  : normalization factor

 $x_{k,i}$ : a binary variable equal to 1 when i-vector j is assigned

to center k

 $K_i$ : all i-vector within a radius of  $\delta$  from i-vector j



### **ILP: Results**

#### **Datasets**

- REPERE 2012 French evaluation campaign
- 28 TV shows recorded from French TV

| HAC/GMM   |         | ILP/i-vector      |         |
|-----------|---------|-------------------|---------|
| Threshold | DER (%) | Distance $\delta$ | DER (%) |
| 0.0       | 19.55   | 75                | 17.01   |
| -0.1      | 18.80   | 80                | 16.60   |
| -0.2      | 19.76   | 85                | 15.94   |
| -0.3      | 17.57   | 90                | 15.45   |
| -0.4      | 17.69   | 95                | 15.45   |
| -0.5      | 17.83   | 100               | 15.03   |
| -0.6      | 17.70   | 105               | 14.70   |
| -0.7      | 16.22   | 110               | 15.56   |
| -0.8      | 17.26   | 115               | 15.46   |
| -0.9      | 17.44   | 120               | 15.33   |
| -1.0      | 18.29   | 125               | 16.18   |

G. Dupuy et al., "Recent Improvements on ILP-based Clustering for Broadcast News Speaker Diarization", in Proc. Speaker Odyssey, 2014

## Advanced Diarization Methods: Clustering

- 1. K-means
- 2. Integer Linear Programming (ILP)
- 3. Fully Bayesian using Variational Bayes (VB)

# **Bayesian Model Selection**

• Given data X and model set  $\{m\}$ , optimal model is given by

$$\underset{m}{\operatorname{arg\,max}} p(m|X) = \underset{m}{\operatorname{arg\,max}} \frac{p(X|m)p(m)}{p(X)}$$

• Assuming p(m) is uniform, maximize the marginal distribution, integrating over the parameters  $\theta$  and hidden variables H:

$$p(X|m) = \int p(X,\theta,H|m)dHd\theta$$

- The marginal distribution is intractable
- Laplace approximation → BIC criterion

$$\log p(X|m)_{BIC} = \log p(X|\hat{\theta}, m) - \frac{p}{2}\log N$$

# Variational Bayes (VB)

#### **Motivation**

- Estimating p(X|m) is useful for model selection but is usually intractable due to the integration on parameters  $\theta$  and hidden variables H
- Maximizing the posterior distribution  $p(\theta, H|X, m)$  is useful for optimizing the parameters of the model and finding optimal values for the hidden variable but is also usually intractable

# Variational Bayes: Method

- The posterior distribution  $p(\theta, H|X, m)$  is approximated by the variational distribution  $q(\theta, H) = q(\theta)q(H)$
- $F(\theta,H)$  is defined as the variational free energy:

$$F(\theta, H) = \int q(\theta, H) \log \frac{p(X, \theta, H|m)}{q(\theta, H)} dH d\theta$$

For any distribution q:

$$\log p(X|m) = F(\theta, H) + KL(q(\theta, H) || p(\theta, H|X, m))$$

• Variational learning aims at maximizing  $F(\theta,H)$  which is a lower bound for the evidence  $\log p(X|m)$ 

## Variational Bayesian: EM Estimation

### Maximizing free energy

#### Iterate:

1. Fix  $q(\theta)$  and optimize q(H):

$$\ln q(H) = E_{\theta} [\ln p(X, \theta, H)] + \text{const}$$

where 
$$E_{\theta}[\cdot] = \int \cdot q(\theta) d\theta$$

2. Fix q(H) and optimize  $q(\theta)$ :

$$\ln q(\theta) = E_H [\ln p(X, \theta, H)] + \text{const}$$

- The constants ensure integration to 1
- Convergence is guarantied
- Free energy increases in every step

## **VB** for Speaker Diarization

#### Define

- $H=\{H_t\}$  where  $H_t$  indicates the speaker identity of superframe t
- $\theta$ ={ $\theta_s$ } where  $\theta_s$  indicates the speaker factors under an eigenvoice factor analysis model M=m+Vy

Alternate between estimating two types of posterior distributions until convergence

- Superframe—based posteriors for H (soft clustering)
- Speaker-based posteriors for  $\theta$

Kenny et al., "Diarization of Telephone Conversations using Factor Analysis", IEEE Journal of Selected Topics in Signal Processing, December 2010

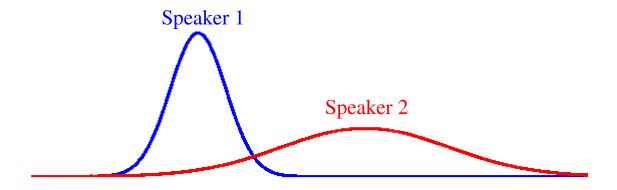
## **Superframe Posteriors**

$$q_t^1, \dots, q_t^S$$
 $\longleftarrow$  1s  $\longrightarrow$ 

 $q_t^s$ : Posterior probability that speaker s is talking at superframe t

$$q_t^s = p(H_t = s)$$

# Speaker Posteriors (2 speakers)



Mean = Point estimate of speaker factors Variance = Uncertainty

 $y_s$ : Speaker factors for speaker s

 $a_s$ : Mean of posterior distribution for speaker s

 $\Lambda_s^{-1}$ : Covariance of posterior distribution for speaker s

$$y_s \sim N(a_s, \Lambda_s^{-1})$$

Image credit: Kenny 10'

## VB for Speaker Diarization: EM

1. Given Superframe posteriors  $q_t^s$  estimate speaker posteriors  $(a_s, \Lambda_s^{-1})$ 

$$\Lambda_s = I + V^* \left( \sum_{t=1}^T q_t^s N_t \right) \Sigma^{-1} V$$

$$a_s = \Lambda_s^{-1} V^* \Sigma^{-1} \left( \sum_{t=1}^T q_t^s \widetilde{F}_t \right)$$

 $N_t$ : BW counts for superframe t

 $\widetilde{F}_t$ : BW centralized (using UBM means) sums for superframe t

# VB for Speaker Diarization: EM (2)

2. Given speaker posteriors and speaker priors  $\pi_s$ , estimate superframe posteriors

$$\widetilde{q}_t^s = \pi_s p(x_t | y_s = a_s) e^{-\frac{1}{2} \operatorname{tr}(V^* N_t \Sigma^{-1} V \Lambda_s^{-1})}$$

$$q_t^s = \frac{\widetilde{q}_t^s}{\sum_{s} \widetilde{q}_t^s}$$

Given superframe posteriors estimate speaker priors

$$\pi_s = \frac{1}{T} \sum_{t=1}^T q_t^s$$

## **VB for Two-Speaker Diarization**

- 1. Divide session into 1s superframes
- 2. Extract BW statistics from each superframe
- EM estimation:
  - Initialize with random superframe posteriors
  - Iterate EM for estimating speaker and superframe posteriors
- 4. Construct GMMs for each speaker using hard decisions
- 5. Re-segment the data using Viterbi
- Use resulting segments instead of superframes to run a 2<sup>nd</sup> pass (steps 2-6)

## Experiments

### Configuration

- Raw MFCCs (no feature warping)
- 1024 Gaussians
- 300 speaker factors

#### Results on NIST 08' data

|                                   | DER (in %) | DER std (in %) |
|-----------------------------------|------------|----------------|
| AHC +Viterbi resegmentation       | 6.8        | 12.3           |
| AHC + Soft Viterbi resegmentation | 3.5        | 8.0            |
| VB                                | 1.9        | 5.6            |
| VB with multiple initializations  | 1.0        | 3.5            |

 Soft Viterbi resegmentation: use speaker posteriors instead of hard Viterbi decisions

Kenny et al., "Diarization of Telephone Conversations using Factor Analysis", IEEE Journal of Selected Topics in Signal Processing, December 2010

# **VB: Choosing Number of Speakers**

- 1. Apply VB for different number of speakers S and choose S that maximizes the evidence
- Optimization of the number of speakers within the VB framework
  - Better than the latter approach [Valente 10']
- 3. Sticky HDP-HMM [Fox 11', Shum 13']
  - HDP: Hierarchical Dirichlet processes
  - HMM is used for temporal modeling

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## Advanced Diarization Methods: Techniques

- 1. Exploiting a-priori acoustic information
- 2. Handling overlapping speech
- 3. Short sessions and online processing
- 4. Modeling speaker-turn dynamics

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- 2. Handling overlapping speech
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- 4. Modeling speaker-turn dynamics

## **Exploiting A-Priori Acoustic Information**

#### Blind speaker diarization

- Speakers are unknown to the system
- No a-priori information on speaker space

### A-priori knowledge

- Some speakers may be known in advance
- Broader acoustic information may be available (gender, SNR, accent, etc.)

H.A., "Speaker Diarization using A Priori Acoustic Information", in Proc. Interspeech, 2011

### Tasks with Prior Information

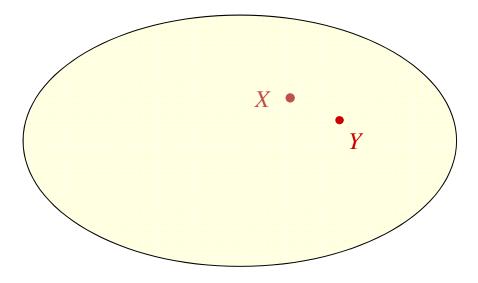
### Two known speakers

- Standard approach: Train a GMM/HMM for each speaker and find Viterbi segmentation
- Problems: channel mismatch, inter-session variability
- Can we do better? Can we integrate blind-speaker diarization principles with the direct SID approach?

### A mix of known and unknown speakers

- Call-centers: agent vs. customer
- Personal assistance system: user vs. other speakers
- BN: anchors, correspondents, politicians

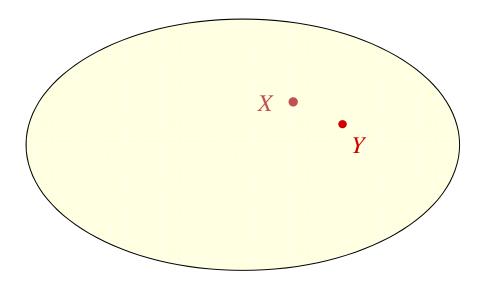
### Are segments *X* and *Y* from the same speaker ?



High level feature space

### Are segments *X* and *Y* from the same speaker ?

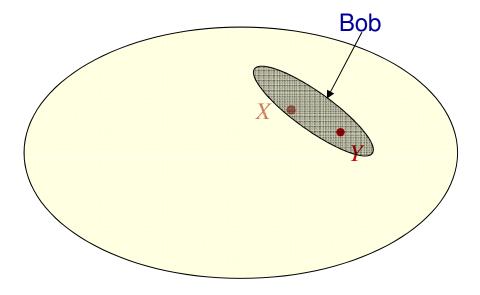
 In previous slides we have shown how to score the similarity between X and Y ("Geometry")



High level feature space

### Are segments X and Y from the same speaker?

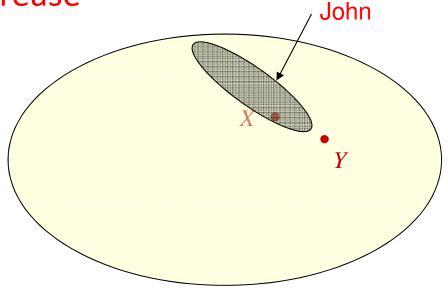
- In previous slides we have shown how to score the similarity between X and Y ("Geometry")
- Given an a priori speaker Bob, probability of match should increase



High level feature space

### Are segments X and Y from the same speaker?

- In previous slides we have shown how to score the similarity between X and Y ("Geometry")
- Given an a priori speaker John, probability of match should decrease



High level feature space

### **Outline of Method**

Given a pair of segments X and Y

1. Score using acoustic similarity only is

$$f(X,Y) = \log \frac{p(Y|X)}{p(Y)}$$

2. Score with a priori information *Inf* is

$$f_{Inf}(X,Y) = \log \frac{p(Y|X,Inf)}{p(Y|Inf)}$$

3. Encode *Inf* in the feature domain: find transformation *T* for which

$$f_{Inf}(X,Y) = f(T(X),T(Y))$$

## Scoring Acoustic Similarity

$$f(X,Y) = (x-u)^T \Sigma^{-1}(y-u)$$

#### with

- x and y are supervectors (or other high level features)
- u is the UBM supervector
- Σ is the inter-speaker intra-session covariance matrix

# Integrating A Priori Information

- 1. Let  $C_1, ..., C_k$  be a set of disjoint speakers
- 2. Assume supervectors of speaker  $C_i$  distribute normally with mean  $\mu_i$  and covariance  $\Lambda$  (inter-session variability):

$$C_i \sim N(\mu_i, \Lambda)$$

- 3. Let  $C_{\scriptscriptstyle 0}$  be the complement of  $\mathrm{U}C_{\scriptscriptstyle i}$ 
  - approximated with the UBM:  $C_0$ ~ $N(\mu_0,\Lambda_0)$
- 4. We obtain:

$$f_{Inf}(X,Y) = \log \frac{p(Y|X,Inf)}{p(Y|Inf)} = \log \frac{\sum_{i} p(C_{i}|X)p(Y|X,C_{i})}{\sum_{i} p(C_{i})p(Y|C_{i})}$$

# Integrating A Priori Information (2)

1. We assume that inter-session covariance matrix  $\Lambda$  is proportional to the intra-session covariance matrix  $\Sigma$ :

$$\Lambda = (\alpha - 1)\Sigma$$

2. It is shown in [H.A. 11'] that

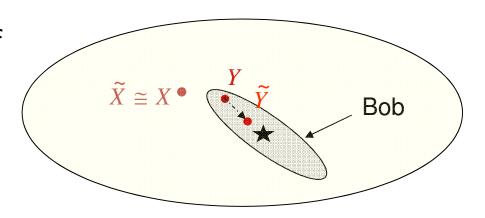
$$f_{Inf} \cong (\hat{x} - u)^T \Sigma_{\alpha}^{-1} (y - u)$$

with 
$$\hat{x} = (1 - \frac{1}{\alpha})x + \frac{1}{\alpha} \sum_{i} p(\hat{C}_{i}|x)\mu_{i}$$
  
$$\Sigma_{\alpha} = (1 - \frac{1}{\alpha})\Sigma$$

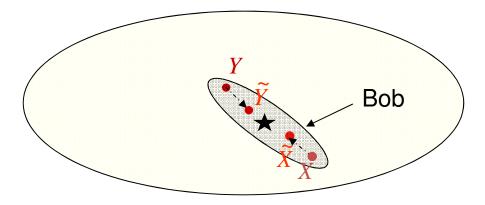
$$\hat{C}_i \sim N(\mu_i, \Lambda + \Sigma)$$

# Illustration: A Single A-Priori Speaker

- Y is attracted to the center of Bob's distribution
- Distance between X and Y is increased



- Both X and Y are attracted to the center of Bob's distribution
- Distance between X and Y is decreased



### Encoding the Information in the Feature Domain

- Instead of modifying the high-level features,
   we modify the low level features (MFCCs)
- Requirement for modified features  $\widetilde{X}, \widetilde{Y}$ :

$$f_{Inf}(X,Y) \cong f(\widetilde{X},\widetilde{Y})$$

Solution [H.A. 11']:

Use the fNAP [Vair 06'] method

$$\widetilde{O}(t) = \left(1 - \frac{1}{2\alpha}\right)O(t) + \frac{1}{2\alpha}\sum_{i} p\left(\widehat{C}_{i}|x(t)\right)\sum_{m} \gamma_{m}(t)\mu_{i,m}$$

- -x(t) : supervector for the segment centered at time t
- $\gamma_m(t)$  : UBM Gaussian m occupancy probability at frame t
- $\mu_{i,m}$  : mean of the m-th Gaussian for speaker i

## Experiments – Call Center

- Summed telephone conversations between a known agent and an unknown customer
- Agent GMMs are trained using 100 summed sessions (automatically diarized) per agent
- Test setup
  - 400 sessions
  - 25% shorter than 30 sec
  - Reference segmentation is errorful
  - Often a third (unlabeled) speaker exists

| System            | Baseline<br>SER (in %) | Agent model SER (in %) |
|-------------------|------------------------|------------------------|
| BIC-based         | 16.3                   | 12.4                   |
| Supervector-based | 14.1                   | 10.2                   |

## Advanced Diarization Methods: Techniques

- 1. Exploiting a-priori acoustic information
- 2. Handling overlapped speech
  - HMM/GMM based [Boakye 11']
  - Convolutive Non-Negative Sparse Coding [Geiger 11']
- 3. Short sessions and online processing
- 4. Modeling speaker-turn dynamics

# Handling Overlapped Speech

#### Motivation

- Particularly important for multiparty meetings
- Cause of missed speech errors
- Degrades the purity of speaker models

#### Framework

- 1. Detection
- 2. Exclusion from clustering stage
- 3. Labeling

## **HMM-based Overlapped Speech Detection**

- HMM-GMM system with 3 classes:
  - Non-speech
  - Speech
  - Overlapped speech
- 3 states/class, 256 Gaussians
- HMMs are trained on ASR forced-aligned data

### Features for HMM-Based Detection

Features (in decreasing order of usefulness)

LPC residual energy, spectral flatness, RMS energy, harmonicity, modulation spectrogram features, MFCCs, harmonic energy ratio, diarization posterior entropy, kurtosis and zero-crossing rate

- 1. 50ms frames
- 2. Feature warping
- 3. PCA for decorrelation
- 4. Feature selection using KL-distance (selected)

K. Boakye, et al., "Improved Overlapped Speech Handling for Speaker Diarization," in Proc. Interspeech, 2011

# Overlapped Speech Labeling

For each detected segment

- 1. Produce a speaker-ID score for each speaker
- 2. The two top-scoring speakers are selected for labeling
- 3. Exception:

If

Original segment label was associated with a third speaker

then

Third speaker and top scoring speaker are chosen

K. Boakye, et al., "Improved Overlapped Speech Handling for Speaker Diarization," in Proc. Interspeech, 2011

# Overlapped Speech: Results

#### Data

- AMI Meeting Corpus (100 hrs)
- Single-channel far-field microphone signals
- Multi-site data
- Data contains roughly 15% overlapped speech

### Overlapped speech detection

Precision=55%, Recall=40%

### Impact on DER

- 12% relative improvement (15% for oracle detection)
- Improvement mainly due to overlapped speech exclusion

K. Boakye, et al., "Improved Overlapped Speech Handling for Speaker Diarization," in Proc. Interspeech, 2011

### Non-Negative Sparse Coding (CNSC)

A non-negative matrix  $X \in R_{M \times N}^{\geq 0}$  is represented as:

$$X \approx WH$$

where  $W \in R_{M \times K}^{\geq 0}$  and  $H \in R_{K \times N}^{\geq 0}$  form the bases and base activations respectively

**Optimization** 

$$\left(\hat{W}, \hat{H}\right) = \underset{W,H}{\operatorname{argmin}} \left\| X - WH \right\|_{F}^{2} + \lambda \sum_{i,j} H_{i,j}$$

where  $\lambda$  controls the sparseness of the resulting representation Shortcoming

NNSC fails to capture correlation between adjacent frames in X that is inherent in speech signals

J. T. Geiger, et al., "Speech overlap detection using convolutive non-negative sparse coding: New improvements and insights," in Proc. EUSICPCO, 2011

## Convolutive Non-Negative Sparse Coding (CNSC)

• A non-negative matrix  $X \in R_{M \times N}^{\geq 0}$  is represented as:

$$X \approx \sum_{p=0}^{p-1} W_p \overset{p \to}{H}$$

where  $W_p \in R_{M \times K}^{\geq 0}$  and  $H \in R_{K \times N}^{\geq 0}$  form the bases and base activations respectively

- P is the convolution range
- is a column shift operator which shifts p columns of the matrix to the right. Vacated columns are filled with zeros

#### **Optimization**

A non-convex optimization problem which is solved by an iterative optimization

J. T. Geiger, et al., "Speech overlap detection using convolutive non-negative sparse coding: New improvements and insights," in Proc. EUSICPCO, 2011

## **CNSC** for Overlapped Speech Detection

- CNSC bases are learnt for individual speakers
- Interval of alleged overlapping speech is decomposed into speaker components

#### Base learning

- Features: spectral magnitude
- Learn CNSC base W for each speaker using pure speech
- Base patterns are concatenated to create a global basis W<sup>G</sup>

### **Decomposition**

• Spectral magnitude features are decomposed at the frame level with  $W^G$  kept fixed and H set to minimize the optimization criterion

J. T. Geiger, et al., "Speech overlap detection using convolutive non-negative sparse coding: New improvements and insights," in Proc. EUSICPCO, 2011

# CNSC for Overlapped Speech Detection (2)

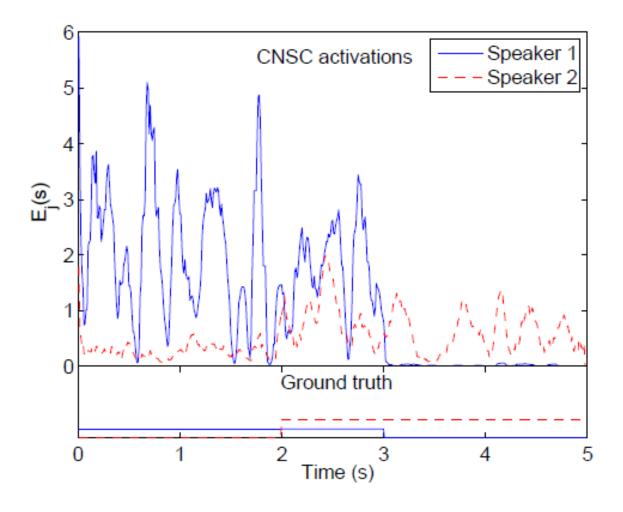


Image credit: Geiger 11'

# Results: CNSC Compared to HMM/GMM

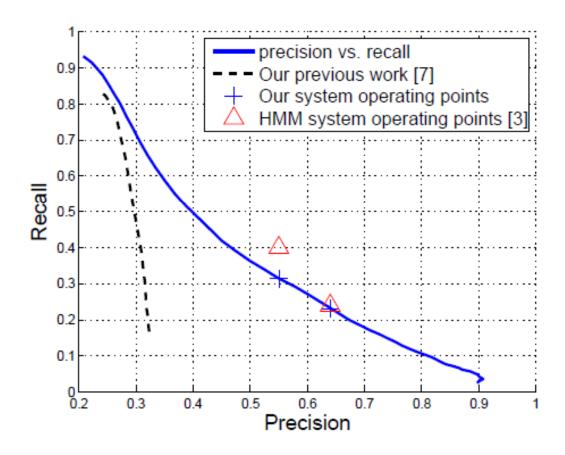


Image credit: Geiger 11'

## Advanced Diarization Methods: Techniques

- 1. Exploiting a-priori acoustic information
- 2. Handling overlapped speech
- 3. Short sessions and online processing
- 4. Modeling speaker-turn dynamics

# **Short Sessions and Online Processing**

### **Problem description**

- Diarization is inherently **not** a sequential process
- Accuracy depends on session length
- Accuracy depends on amount of speech per speaker

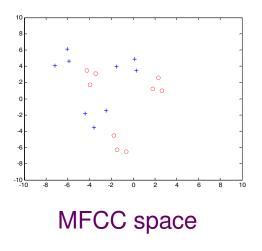
### Reference System: Two-speaker Diarization [H.A. 2010]

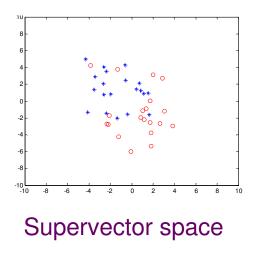
- 1. Supervector parameterization of superframes
- 2. Intra-speaker variability compensation using NAP
- 3. PCA for soft classification of superframes into speakers
- 4. Viterbi-based segmentation
- 5. Viterbi resegmentation

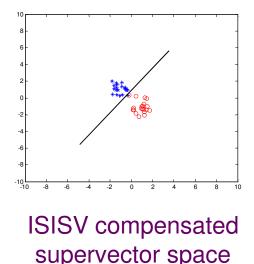
H. A. et al., "Online Two Speaker Diarization", in Proc. Speaker Odyssey, 2012

# Offline System Overview

- 1. Speech is parameterized as a time-series of supervectors representing overlapping short segments (superframes)
  - → PDF for each speaker is uni-modal
- 2. Intra-speaker variability is compensated







# Offline System - Details

### 1. Audio parameterization

- Train a session-dependent UBM
- Define 1 second superframes (90% overlap)
- Superframes → supervectors

### 2. ISISV modeling

- Estimate ISISV covariance matrix from session
- Compute NAP projection
- Compensate supervectors

# Offline System – Details (2)

### 3. Compute LLRs

Goal compute  $\log p(x_t|s_i)$ 

 $x_t$ : supervector at time t

 $s_i$ : speaker i

- Compute covariance matrix of supervectors
- Find top eigenvector
- Project supervectors onto top eigenvector to obtain estimated LLRs

### 4. Viterbi segmentation

- Find an optimal smooth segmentation w.r.t. estimated LLRs
- 5. Viterbi re-segmentation in MFCC space
  - Several iterations

# Offline System - Shortcomings

### Short sessions: accuracy degrades due to

- Insufficient data for estimation of UBM, ISISV, PCA
- Increased probability of an under-represented speaker

### Inherently offline

- UBM estimation
- ISISV estimation
- PCA in supervector space
- Viterbi smoothing
- Viterbi re-segmentation

# System Modification

#### **UBM**

Train offline using dev-set

#### **ISISV**

- Estimate ISISV using dev-set
- Estimation is unsupervised (without speaker-turn labels)
- Estimation is done by pooling the difference supervector between each pair of adjacent superframes

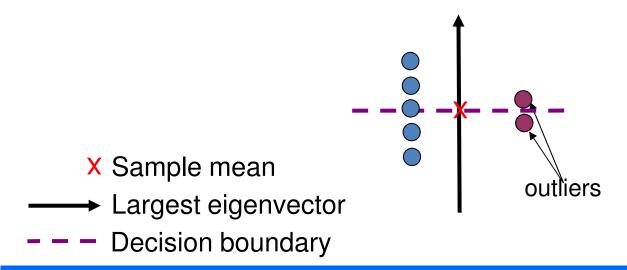
#### **GMM** order

GMM order is reduced to 16 Gaussians

## Robustness to Short Sessions

### When speakers are highly unbalanced

Top eigenvector may point to a wrong direction



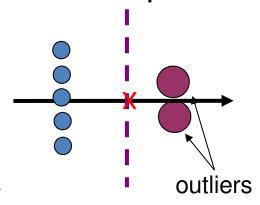
# Robustness to Short Sessions (2)

### When speakers are highly unbalanced

Top eigenvector may point to a wrong direction

### Outlier-emphasizing PCA

- Assign higher weights to outliers
- Outliers found by selecting top 10% supervectors with largest distance to the sample mean

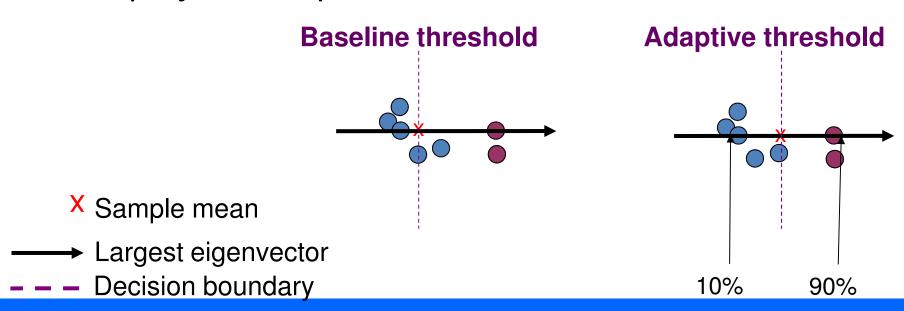


- X Sample mean
- → Largest eigenvector
- – Decision boundary

# Robustness to Short Sessions (3)

### When speakers are highly unbalanced

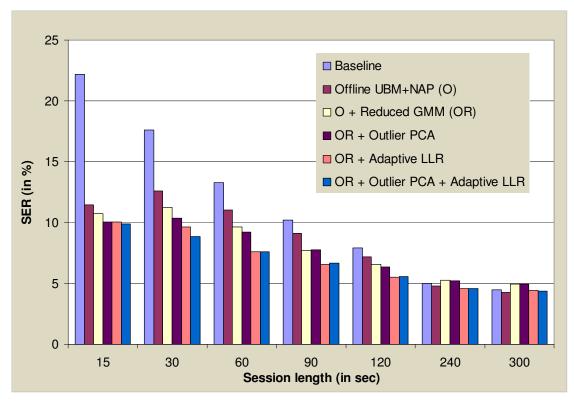
- Setting a proper classification threshold is a challenge
   Adaptive threshold setting
- Averaging the values for the 10 and 90 percentiles of the projected supervectors



## **Datasets and Protocol**

- NIST-2005 SRE
- Stereo phone calls artificially summed
- Ground-truth derived from ASR transcripts provided by NIST
- No forgiveness collar
- Short sessions with less than 3s per speaker are removed
  - → Results for 15s sessions may be better than those for 30s sessions because sessions are more speaker balanced

## Robustness to Short Sessions: Results



| Session length (in s)  | 15   | 30   | 60   | 90   | 120 | 240 | 300 |
|------------------------|------|------|------|------|-----|-----|-----|
| Baseline SER (in %)    | 22.2 | 17.6 | 13.3 | 10.2 | 7.9 | 5.0 | 4.4 |
| Improved SER (in %)    | 9.9  | 8.8  | 7.6  | 6.7  | 5.6 | 4.6 | 4.4 |
| Error reduction (in %) | 55   | 50   | 43   | 35   | 30  | 9   | 0   |

## Online Diarization: Scheme

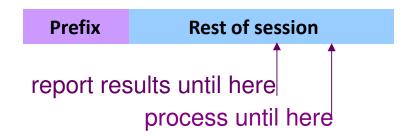


### Offline processing

- Prefix must be short
- Prefix length may be adaptive
- Outcome:
  - Speaker models
  - Other parameters

### Online processing

- Initialize models from prefix processing
- Update models periodically
- Online processing with a delay



## Online VAD

- VAD is energy-based
- Threshold is set according to energy histogram
- Viterbi is used for smoothing

Prefix Rest of session

### Offline processing

- Prefix length is 15s
- Energy histogram is computed
- Energy threshold is set using energy histogram
- VAD is computed for prefix

#### Online processing

- Histogram taken from prefix (updated periodically)
- Viterbi forward table is computed online
- Partial backtracking is used for decoding with a latency (0.1s)

# Online Segmentation & Clustering

#### Online front-end

- MFCC extraction
- Supervectors extraction
- Intra-speaker variability compensation

Prefix Rest of session

### Offline processing

- PCA is computed
- Supervectors are projected
- Viterbi smoothing
- Viterbi re-segmentation

### Online processing

- PCA statistics are accumulated
- PCA periodically recomputed
- Viterbi forward table is computed online
- Partial backtracking is used for decoding with a latency

## Online Diarization: Results

### SER as a function of the prefix length

- Delay parameter = 0.2s
- Sessions with an under-represented speaker in prefix (<3s) are excluded</li>

| Prefix (in sec) | 15  | 30  | 45  | 60  | 90  | 120 | 300 |
|-----------------|-----|-----|-----|-----|-----|-----|-----|
| SER (n %)       | 6.4 | 5.7 | 5.6 | 5.5 | 5.1 | 4.8 | 4.4 |

#### **Conclusions**

- Good accuracy for >3s per speaker in prefix
- Latency is 1.3s

### **Time Complexity**

- 50xRT for the offline system
- 30xRT for the online system

## Advanced Diarization Methods: Techniques

- 1. Exploiting a-priori acoustic information
- 2. Handling overlapped speech
- 3. Short sessions and online processing
- 4. Modeling speaker-turn dynamics

# Modeling Speaker-Turn Dynamics

#### **Motivation**

- Different speakers have different roles
- Role affects
  - Distribution of speaker-turn durations
  - Interaction patterns

#### Goal

#### Use role detection for

- Setting a speaker dependent minimum duration constraint
- Training a social role n-gram model for use as prior information on speaker interaction patterns

F. Valente et al., "Speaker diarization of meetings based on speaker role n-gram models," in Proc. ICASSP, 2011

# **Conversation Analysis**

- Roles are stable behavioral patterns that speakers exhibit during the conversations and influence the way people take-turns in the conversation
- Types of roles:
  - Formal roles: the chairperson in a meeting or the moderator in a debate
  - Functional roles: the function that each speaker has in a spontaneous conversation, e.g., Information provider, Information seeker, Orienter, etc.
  - Social roles: the way each speaker relates to others in the discussion, e.g., Progatonist, Supporter, Gatekeeper, etc.

### Social Role Dataset

#### Corpus

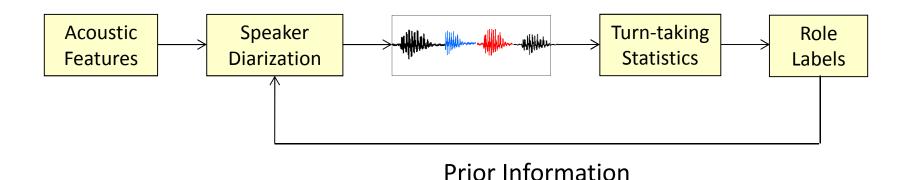
- AMI Meeting Corpus: scenario meetings subset
- 4 participants play the role of a design team

#### Roles

- Protagonist drives the conversation, asserts its authority and assumes a personal perspective
- Supporter shows a cooperative attitude demonstrating attention and acceptance and provides technical and relational support
- Neutral passively accepts other speaker's ideas
- Gatekeeper acts like group moderator

## **Outline**

- Speaker diarization is used to extract features for role recognition
- Role recognition is performed
- 3. Recognized roles are used to improve diarization



F. Valente et al., "Speaker diarization of meetings based on speaker role n-gram models," in Proc. ICASSP, 2011

# Social Role Recognition

#### Feature extraction

- Acoustic features
- Turn-taking patterns
- Turn duration
- Total speaking time

A high-dimensional feature vector is created per speaker

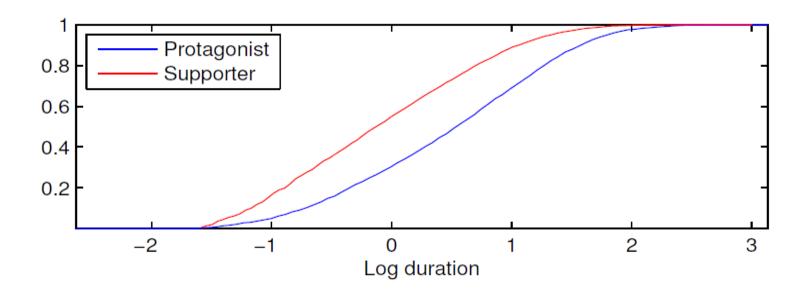
#### Classification

A linear support vector machine (SVM)

# Role-based Speaker Diarization

### Speaker-turn length

- Distribution of turn length is role dependent
- Role-dependent minimal turn-length is estimated empirically



# Role-based Speaker Diarization (2)

#### Speaker-turn N-Gram

A Trigram model was found to yield lowest perplexity

|            | Unigram | Bigram | Trigram |
|------------|---------|--------|---------|
| Perplexity | 4.4     | 3.5    | 2.9     |

- Trigrams are estimated from the training data
- Viterbi segmentation is modified to support the trigram model

## Results

#### **Datasets**

- AMI meetings
- RT 07′, RT 09′ meetings

| Dataset    | Baseline<br>SER (in %) | Role-based<br>SER (in %) | Relative Error<br>Reduction (in %) |
|------------|------------------------|--------------------------|------------------------------------|
| AMI        | 17.6                   | 14.8                     | 16                                 |
| RT 07',09' | 10.2                   | 8.9                      | 13                                 |

# Summary

- 1. Voice activity detection
  - HMM, phoneme recognizer, segmental, DNNs
- 2. Classic diarization methods
  - BIC, AHC, Agglomerative SID clustering, Viterbi resegmentation
- 3. Advanced diarization methods
  - Geometry
    - High level features
    - Intra-speaker variability modeling
    - PLDA
    - PCA
    - Spectral clustering
    - Score normalization

# Summary

- 3. Advanced diarization methods (Cont.)
  - Clustering
    - K-means
    - Integer Linear Programming (ILP)
    - Fully Bayesian using Variational Bayes (VB)
  - Techniques
    - Exploiting a-priori acoustic information
    - Handling overlapped speech
    - Short sessions and online processing
    - Modeling speaker-turn dynamics

#### VAD

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