

## CSCE-629 Homework 7

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### Exercise 18.1-3

- There are 5 keys, and the minimum degree is 2
- The number of keys per node  $n$ ,  $1 \leq n \leq 3$
- The number of children per node  $n + 1$ ,  $2 \leq n + 1 \leq 4$

From the given conditions, we can get the B-trees shown in the below figure.

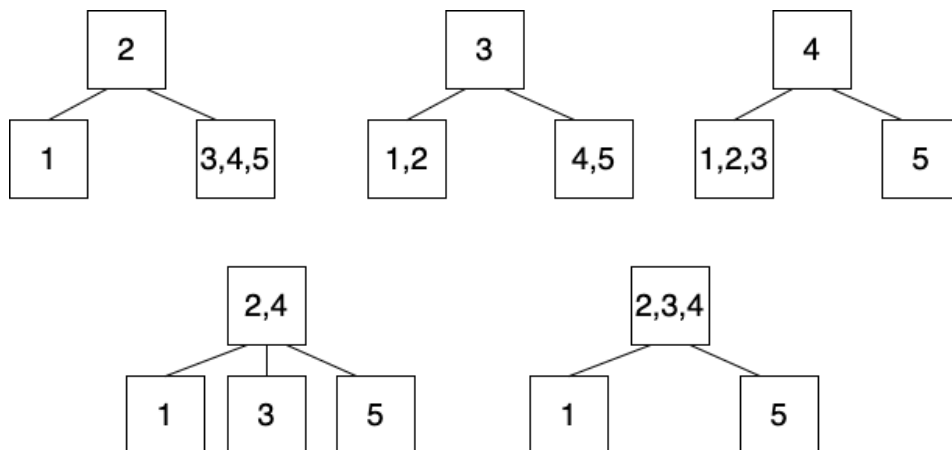


Figure 1: The B-trees of minimum degree 2 that represent  $\{1, 2, 3, 4, 5\}$ .

## Exercise 18.2-1

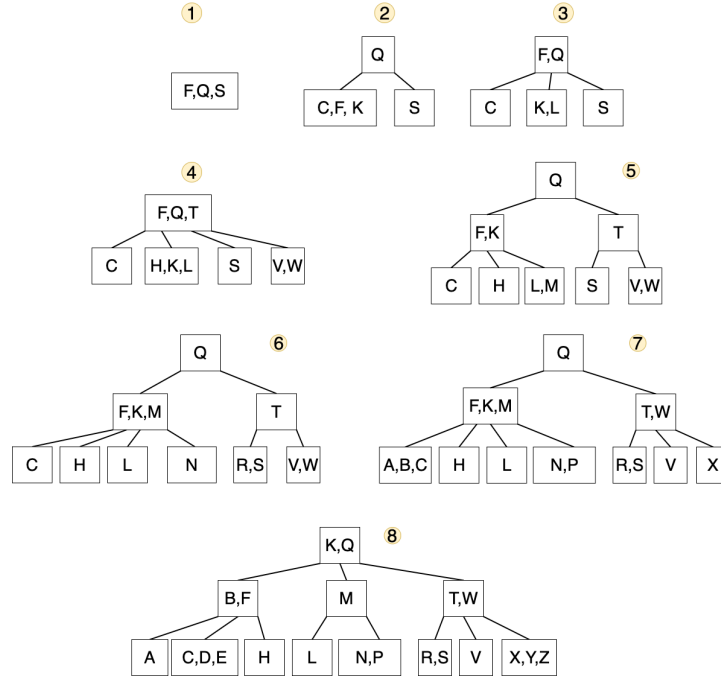


Figure 2: Results of inserting the keys.

## Exercise 18.2-3

### 1. Find the minimum key

Since the  $x.n$  keys stored in non-decreasing order, and  $x.key_i$  separate the ranges of keys stored in each subtree. We could call  $\text{B-TREE-MINIMUM}(T.root)$ , and recursively call the algorithm until find the minimum value on the leaf.

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#### Algorithm 1 B-TREE-MINIMUM( $x$ )

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1 if  $x == NIL$ 
2   return  $NIL$ 
3 if  $x.leaf$ 
4   return  $x.key_1$ 
5 return  $\text{B-TREE-MINIMUM}(x.c_1)$ 

```

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### 2. Find the Predecessor

To find the predecessor of key  $k$ , we use the below  $\text{B-TREE-PREDECESSOR}(x, k, w, i)$ , where  $x = w.c_j$ . Initially, we call  $\text{B-TREE-PREDECESSOR}(T.root, k, NIL, 0)$ , we set  $w$  to  $NIL$  because the  $T.root$  has no parent.

The idea is use the search algorithm to find the key, and we also keep tracking the parent node. When we found the key  $k == x.key_i$ , we find its predecessor by the following rules.

- If  $x$  is leaf, and  $i > 1$ , the predecessor is  $x.key_{i-1}$
- If  $x$  is not leaf, the predecessor is the maximum key in the subtree rooted at  $x.c_i$
- If  $x$  is leaf and  $i == 1$ , we need to trace the call stack, and find the first parent node  $w$ , where we find  $k$  through  $w.c_j$ , and  $j > 1$ , then  $w.key_{j-1}$  is the predecessor
- If we cannot find such parent node in the previous rule, it means  $k$  is the smallest key, so we return  $NIL$

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**Algorithm 2** B-TREE-PREDECESSOR( $x, k, w, j$ )

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1  $i = 1$ 
2 while  $i \leq x.n$  and  $k > x.key_i$ 
3    $i = i + 1$ 
4 if  $k == x.key_i$ 
5   if  $x.leaf$ 
6     if  $i > 1$  return  $(x.key_{i-1})$ 
7     else return  $NIL$ 
8   else
9     return B-TREE-MAXIMUM( $x.c_i$ )
10 else DISK-READ( $x.c_i$ )
11    $y = \text{B-TREE-PREDECESSOR}(x.c_i, k, x, i)$ 
12   if  $y == NIL$  and  $w \neq NIL$  and  $j > 1$ 
13     return  $w.key_{j-1}$ 
14   return  $y$ 

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**Algorithm 3** B-TREE-MAXIMUM( $x$ )

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1 if  $x == NIL$ 
2   return  $NIL$ 
3 if  $x.leaf$ 
4   return  $x.key_n$ 
5 return B-TREE-MAXIMUM( $x.c_{x.n+1}$ )

```

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