

# Abstract Algebra by Dummit and Foote

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## Notes

Any injective or bijective map (either or suffices) from a set of  $n$  elements to another set of  $n$  elements is necessarily bijective.

All cyclic groups are Abelian, but an Abelian group is not necessarily cyclic.

For some element  $a \in A$ ,  $\bar{a}$  is the equivalence class of  $a$ .

The go-to method for proving equality of sets is inclusion in both directions.

## Basics and Groups

**Definition 1.4 - Image/Range:** Let  $S$  and  $T$  be two sets, and let  $f : S \rightarrow T$  be a map. We define the *image* (also known as *range*) of  $f$  to be:

$$\text{Im}(f) := \{y \in T \mid \exists x \in S \text{ such that } f(x) = y\}.$$

**Definition 1.5 - Preimage:** Let  $f : S \rightarrow T$ , and suppose  $U \subseteq T$ . Then we define the *preimage* of  $U$  under  $f$  to be

$$f^{-1}(U) := \{s \in S \mid f(s) \in U\}.$$

**Definition 1.13 - Equivalence Relation:** An *equivalence relation* on a set  $S$  is a subset  $U \subseteq S \times S$  satisfying:

1. Reflexive:  $\forall x \in S, (x, x) \in U$
2. Symmetric:  $(x, y) \in U \iff (y, x) \in U$
3. Transitive: Given  $x, y, z \in S$ ,  $(x, y) \in U$  and  $(y, z) \in U \implies (x, z) \in U$ .

We often write  $x \sim y$  to mean that  $x, y$  are equivalent.

**Definition 1.14 - Equivalence Class.** Let  $\sim$  be an equivalence relation on the set  $S$ . Let  $x \in S$ . The *equivalence class* containing  $x$  is the subset

$$[x] := \{y \in S \mid y \sim x\} \subset S.$$

**Definition 1.16 - Partition:** Let  $S$  be a set. Let  $\{X_i\}$  be a collection of subsets for  $i \in I$ , some index set. We say that  $\{X_i\}$  forms a *partition* of  $S$  if each  $X_i$  is non-empty, they are pairwise disjoint and their union is  $S$ .

### 3. Groups

**Definition - Group:** A group is a set  $G$ , together with a binary operation  $*$ , such that the following hold:

1. (Associativity):  $(a * b) * c = a * (b * c)$ ,  $\forall a, b, c \in G$ .
2. (Existence of identity):  $\exists e \in G$  such that  $a * e = e * a = a$ ,  $\forall a \in G$ .
3. (Existence of inverses): Given  $a \in G$ ,  $\exists b \in G$  such that  $a * b = b * a = e$ .

We define a **direct product** of groups for two groups  $A, B$  by  $A \times B = \{(a, b) | a \in A, b \in B\}$ , and  $(a_1, b_1)(a_2, b_2) = (a_1 a_2, b_1 b_2)$ . Then  $A \times B$  also forms a group.

**Definition - Abelian.** A group  $(G, *)$  is called *Abelian* if it satisfies

$$a * b = b * a, \forall a, b \in G.$$

This is also called the *commutative property*.

**Definition - Order of an Element:** For  $G$  a group and  $x \in G$  define the order of  $x$  to be the smallest positive integer  $n$  such that  $x^n = 1$ , and denote this integer by  $|x|$ . In this case  $x$  is said to be of **order**  $n$ . If no positive power of  $x$  is the identity, the order of  $x$  is defined to be infinity and  $x$  is said to be of **infinite order**.

**Definition - Homomorphism:** Let  $(G, *)$  and  $(H, \circ)$  be two groups. A *homomorphism*  $f$ , from  $G$  to  $H$ , is a map of sets  $f : G \rightarrow H$ , such that  $f(x * y) = f(x) \circ f(y)$ ,  $\forall x, y \in G$ . If  $G = H$  and  $f = Id_G$  we call  $f$  the *identity homomorphism*.

**Definition - Isomorphism:** A homomorphism  $f : G \rightarrow H$  which is bijective is called an *isomorphism*. Two groups are said to be isomorphic if there exists an isomorphism between them.

**Definition - Endomorphism/Automorphism:** A homomorphism from a group to itself (i.e.  $f : G \rightarrow G$ ) is called an *endomorphism*. An endomorphism which is also an isomorphism is called an *automorphism*.

**Proposition 3.6/3.7/3.8:**

- 3.6. Identity is unique.
- 3.7. Inverses are unique.
- 3.8. For  $x, y \in G$ ,  $(x * y)^{-1} = y^{-1} * x^{-1}$ .

**Proposition 3.9 - Homomorphism Facts:** Let  $(G, *)$  and  $(H, \circ)$  be two groups with identities,  $e_G$  and  $e_H$ , respectively, and  $f : G \rightarrow H$  a homomorphism.

1.  $f(e_G) = e_H$ ,
2.  $f(x^{-1}) = (f(x))^{-1}$ ,  $\forall x \in G$ .

**Definition - Subgroup.** Let  $(G, *)$  be a group. A subgroup of  $G$  is a subset  $H \subset G$  such that

1.  $e \in H$ ,
2.  $x, y \in H \implies x * y \in H$ ,
3.  $x \in H \iff x^{-1} \in H$ .

**Proposition** Let  $H, K \subset G$  be subgroups, then  $H \cap K \subset G$  is also a subgroup of  $G$ .

**Definition:** Let  $(G, *)$  be a group and let  $H \subset G$  be a subgroup. Let us define a relation on  $G$  using  $H$  as follows: given  $x, y \in G$ ,

$$x \sim y \iff x^{-1} * y \in H.$$

**Definition - Left Coset:** The equivalence class, or *left coset*, containing  $x$  equals

$$xH := \{x * h | h \in H\} \subset G.$$

**Corollary 3.15:** Hence for  $x, y \in G$ ,  $xH = yH \iff x^{-1} * y \in H$ .

An immediate consequence of Corollary 3.15 is that if  $y \in xH$ , then  $yH = xH$ . Thus left cosets can generally be written with different representations in front.

**Definition 3.17 - Index.** Let  $(G, *)$  be a group and  $H \subset G$  a subgroup. We denote by  $G/H$  the set of left cosets of  $H$  in  $G$ . If the size of this set is finite then we say that  $H$  has *finite index* in  $G$ . In this case we write

$$(G : H) = |G/H|,$$

and call it the *index* of  $H$  in  $G$ .

**Lagrange's Theorem:** Let  $(G, *)$  be a finite group and  $H \subset G$  a subgroup. Then  $|H|$  divides  $|G|$ .

**Definition - Group of Permutations:** Let  $\Sigma(s)$  denote the group of permutations of a set  $S$ .

**Definition - Dihedral Group:** Let  $D_{2n}$  represent the symmetries of an  $n$ -gon as a result of actions on the object in 3 dimensions.  $|D_{2n}| = 2n$ , and  $D_{2n} = \langle r, s | r^n = s^2 = 1, rs = sr^{-1} \rangle$ .

**Definition - Generators:** A subset  $S$  of elements of a group  $G$  with the property that every element of  $G$  can be written as a (finite) product of elements of  $S$  and their inverses is called a set of *generators* of  $G$ . We shall indicate this notationally by writing  $G = \langle S \rangle$  and say  $G$  is *generated by*  $S$  or  $S$  *generates*  $G$ . Any equations that the generators must satisfy in  $G$  are called **relations**. A **presentation** of  $G = D_{2n}$  is  $D_{2n} = \langle r, s | r^n = s^2 = 1, rs = sr^{-1} \rangle$ .

The **symmetric group**  $S_\Omega$  is denotes the set of all bijections (permutations) from  $\Omega$  to itself.

$S_n$  defines the symmetric group of degree  $n$ , where the set of elements is  $\{1, 2, \dots, n\}$  and  $|S_n| = n!$ .

$S_n$  is non-Abelian for all  $n \geq 3$ .

Disjoint cycles commute.

**Definition - Field:**

1. A *field* is set  $F$  with two binary operations  $+$  and  $\cdot$  on  $F$  such that  $(F, +)$  is an Abelian group, with identity  $0$ , and  $(F - \{0\}, \cdot)$  is also an Abelian group, and the following *distribute* law holds:

$$a \cdot (b + c) = (a \cdot b) + (a \cdot c), \text{ for all } a, b, c \in F.$$

2. For any field  $F$  let  $F^\times = F - \{0\}$ .

Let  $GL_n(F)$  be the set of all  $n \times n$  matrices whose entries come from  $F$  and whose determinant is non-zero.  $GL_n(F)$  is called the **general linear group of degree  $n$** .

Theorems at the end of 1.4:

1. If  $F$  is a field and  $|F| < \infty$ , then  $|F| = p^m$  for some prime  $p$  and integer  $m$ ,
2. if  $|F| = q < \infty$ , then  $|GL_n(F)| = (q^n - 1)(q^n - q) \dots (q^n - q^{n-1})$ .

**Definition - Quaternion Group:** The *Quaternion group*,  $Q_8$ , is defined by

$$Q_8 = \{1, -1, i, -i, j, -j, k, -k\}$$

with product  $\cdot$  computed as follows:

1.  $1 \cdot a = a \cdot 1 = a$ , for all  $a \in Q_8$
2.  $(-1) \cdot (-1) = 1, (-1) \cdot a = a \cdot (-1) = -a$
3.  $i \cdot i = j \cdot j = k \cdot k = -1$
4.  $i \cdot j = k, j \cdot i = -k$
5.  $j \cdot k = i, k \cdot j = -i$
6.  $k \cdot i = j, i \cdot k = -j$

$$Q_8 = \langle i, j | i^4 = 1, j^2 = i^2, ji = ij^{-1} \rangle.$$

For an isomorphism  $\varphi : G \rightarrow H$ , we have the following properties:

1.  $|G| = |H|$ .
2.  $G$  is Abelian iff  $H$  is Abelian.
3. For all  $x \in G$ ,  $|x| = |\varphi(x)|$ .
4. If we have a presentation for  $G = \langle s_1, s_2, \dots, s_n \rangle$ , then  $H$  is generated by  $\langle r_1, r_2, \dots, r_n \rangle = \langle \varphi(s_1), \varphi(s_2), \dots, \varphi(s_n) \rangle$ , and the relations among the  $s_i$ 's hold similarly for the  $r_i$ 's (since  $s_i$ 's are elements of  $G$ ).

**Definition - Group Action:** A *group action* of a group  $G$  on a set  $A$  is a map from  $G \times A \rightarrow A$  satisfying the following properties:

1.  $g_1 \cdot (g_2 \cdot a) = (g_1 g_2) \cdot a$ , for all  $g_1, g_2 \in G, a \in A$ ,
2.  $1 \cdot a = a$ , for all  $a \in A$

Alternatively, we say that  $G$  is a group acting on a set  $A$ .

Define  $\sigma_g : A \rightarrow A, \sigma_g(a) = g \cdot a$ , then there are 2 important facts

1. for each fixed  $g \in G$ ,  $\sigma_g$  is a permutation of  $A$ , and
2. the map  $\varphi : G \rightarrow S_A$  defined by  $\varphi(g) = \sigma_g$  is a homomorphism.  $\varphi$  is called the *permutation representation* associated to the given action.

In particular, this could be called a left action, as a right action could be defined similarly.

The action defined by  $ga = a, \forall g \in G, a \in A$ , is called the *trivial action* and  $G$  is said to *act trivially* on  $A$ .

If  $G$  acts on  $A$  and each element of  $G$  induce different permutations of  $A$ , then the action is said to be *faithful*, i.e. injective.

The *kernel* of the action  $G$  on  $A$  is defined to be  $\{g \in G | ga = a, \forall a \in A\}$ , namely the elements of  $G$  which fix all the elements of  $A$ . The kernel of the trivial action is all of  $G$ .

**Definition - Subgroup:** Let  $G$  be a group. The subset  $H$  of  $G$  is a *subgroup* of  $G$  if  $H$  is nonempty and  $H$  is closed under products and inverses (i.e.,  $x, y \in H$  implies  $x^{-1} \in H$  and  $xy \in H$ ). If  $H$  is a subgroup of  $G$  we shall write  $H \leq G$ . If  $H \neq G$ , then we may write  $H < G$  to signify a proper subgroup.

The *trivial subgroup* refers to a subgroup which contains only the identity element.

If  $C \leq B$ , and  $B \leq A$ , then we have that  $C \leq A$ , or  $C$  is a subgroup of  $A$ . This property is called *transitivity*.

**Proposition 2.1 (Subgroup Criterion):** A subset  $H$  of a group  $G$  is a subgroup if and only if

1.  $H \neq \emptyset$ , and
2. for all  $x, y \in H, xy^{-1} \in H$ .

**Definition - Centralizers:** Define  $C_G(A) = \{g \in G | gag^{-1} = a, \forall a \in A\}$ . This subset of  $G$  is called the *centralizer* of  $A$  in  $G$ . Since  $gag^{-1} = a$  iff  $ga = ag$ ,  $C_G(A)$  is the set of elements of  $G$  which commute with every element of  $A$ .

The center of any group  $G$  is a subset of the centralizer of any subset  $A$  in  $G$ .

**Definition - Center:** Define  $Z(G) = \{g \in G | gx = xg, \forall x \in G\}$  as the set of elements commuting with all elements of  $G$ . This subset of  $G$  is called the *center* of  $G$ .

**Definition - Normalizer:** Define the *normalizer* of  $A$  in  $G$  to be  $N_G(A) = \{g \in G | gAg^{-1} = A\}$ .

By definition,  $C_G(A) \leq N_G(A)$ .

Each of centralizers, center, and normalizer form subgroups of  $G$ .

**Definition - Stabilizer:** If a group  $G$  is acting on a set  $S$ , for a fixed element  $s \in S$ , we define the *stabilizer* of  $s$  in  $G$  as

$$G_s = \{g \in G | g \cdot s = s\}.$$

The stabilizer also forms a subgroup of  $G$ .

**Definition - Kernel of a Group Action:** The *kernel* of an action  $G$  on  $S$  is defined as  $\ker(G) = \{g \in G | g \cdot s = s, \forall s \in S\}$ .

**Definition - Cyclic:** A group  $H$  is cyclic if  $H$  can be generated by a single element, i.e.,  $H = \{x^n | n \in \mathbb{Z}\}$ , where the usual operation is shorted-handed as multiplication (powers of  $x$ ).

In additive notation we may write that  $H = \{nx | n \in \mathbb{Z}\}$ . In either case we write that  $H = \langle x \rangle$  and say that  $H$  is generated by  $x$ , or  $x$  is a generator of  $H$ .

**Proposition 2.2:** If  $H = \langle x \rangle$ , then  $|H| = |x|$  (where if one side of the equality is infinite then so is the other). More specifically,

1. if  $|H| = n < \infty$ , then  $x^n = 1$  and  $1, x, \dots, x^{n-1}$  are all distinct elements of  $H$ , and
2. if  $|H| = \infty$ , then  $x^n = 1 \iff n = 0$  and  $x^a \neq x^b$  for all  $a \neq b$  in  $\mathbb{Z}$ .

**Theorem 2.4:** Any two cyclic groups of the same order are isomorphic. More specifically,

1. if  $n \in \mathbb{Z}^+$  and  $\langle x \rangle$  and  $\langle y \rangle$  are both cyclic groups of order  $n$ , then the map

$$\begin{aligned} \varphi : \langle x \rangle &\rightarrow \langle y \rangle \\ x^k &\mapsto y^k \end{aligned}$$

is well defined and is an isomorphism.

2. if  $\langle x \rangle$  is an infinite cyclic group, the map

$$\begin{aligned} \varphi : \mathbb{Z} &\rightarrow \langle x \rangle \\ k &\mapsto x^k \end{aligned}$$

is well defined and is an isomorphism.

For each  $n \in \mathbb{Z}^+$ , let  $Z_n$  denote the cyclic group of order  $n$ , written multiplicatively. Note that up to isomorphism,  $Z_n \cong \mathbb{Z}/n\mathbb{Z}$  is the unique cyclic group of order  $n$ . Similarly,  $\mathbb{Z}$  (additively) will be used to denote the infinite cyclic group.

**Proposition 2.5:** Let  $G$  be a group,  $x \in G$ , and let  $a \in \mathbb{Z} - \{0\}$ .

1. If  $|x| = \infty$ , then  $|x^a| = \infty$ .
2. If  $|x| = n < \infty$ , then  $|x^a| = \frac{n}{(n, a)}$ , where  $(n, a)$  is the GCD of  $n$  and  $a$ .
3. In particular, if  $|x| = n < \infty$  and  $a$  is a positive integer dividing  $n$ , then  $|x^a| = \frac{n}{a}$ .

**Proposition 2.6:** Let  $H = \langle x \rangle$ .

1. Assume  $|x| = \infty$ , then  $H = \langle x^a \rangle$  iff  $a = \pm 1$ .
2. Assume  $|x| = n < \infty$ , then  $H = \langle x^a \rangle$  iff  $(a, n) = 1$ . In particular, the number of generators of  $H$  is  $\varphi(n)$ , where  $\varphi$  is Euler's Totient function.

**Theorem 2.7:** Let  $H = \langle x \rangle$  be a cyclic group.

1. Every subgroup of  $H$  is cyclic. More precisely, if  $K \leq H$ , then  $K = \{1\}$  or  $K = \langle x^d \rangle$ .
2. If  $|H| = \infty$ , then for any distinct nonnegative integers  $a$  and  $b$ ,  $\langle x^a \rangle \neq \langle x^b \rangle$ . Furthermore, for every integer  $m$ ,  $\langle x^m \rangle = \langle x^{|m|} \rangle$ .
3. If  $|H| = n$ , then for each positive integer  $a$  dividing  $n$  there is a unique subgroup of  $H$  of order  $a$ . This is the subgroup  $\langle x^d \rangle$ , where  $d = n/a$ . Furthermore, for every integer  $m$ ,  $\langle x^m \rangle = \langle x^{(n, m)} \rangle$  so that the subgroups of  $H$  correspond bijectively with the positive divisors of  $n$ .

For any subgroup  $H \leq G$  which contains the element  $x$ ,  $\langle x \rangle$  is contained within  $H$ . As the inclusion of  $\langle x \rangle$  simply ensures that the axioms of closure and inverse exist within  $H$ , for the given element  $x$ .

**Definition - Subgroup Generated by a Subset:** If  $A$  is any subset of the group  $G$ , define

$$\langle A \rangle = \bigcap_{\substack{A \subseteq H \\ H \leq G}} H$$

to be the *subgroup of  $G$  generated by  $A$* .

For multiple subsets  $A, B \subseteq G$ , we write  $\langle A, B \rangle = \langle A \cup B \rangle$ .

**Definition - Words:** Let

$$\overline{A} = \{a_1^{\epsilon_1} a_2^{\epsilon_2} \dots a_n^{\epsilon_n} | n \in \mathbb{Z}, n \geq 0 \text{ and } a_i \in A, \epsilon_i = \pm 1 \text{ for each } i\},$$

where  $\overline{A} = \{1\}$  if  $A = \emptyset$ . This is called the *words*, or the set of all finite products of  $A$  and inverses of elements of  $A$ . Note that each of the  $a_i$ 's in the definition are not necessarily distinct.

**Proposition 2.9:**  $\overline{A} = \langle A \rangle$ .

Another way of writing

$$\langle A \rangle = \{a_1^{\alpha_1} a_2^{\alpha_2} \dots a_n^{\alpha_n} | \text{for each } i, a_i \in A, \alpha_i \in \mathbb{Z}, a_i \neq a_{i+1} \text{ and } n \in \mathbb{Z}^+\}.$$

If  $G$  is Abelian, then

$$\langle A \rangle = \{a_1^{\alpha_1} a_2^{\alpha_2} \dots a_n^{\alpha_n} | \alpha_i \in \mathbb{Z} \text{ for each } i\}.$$

**Definition - Lattice:** A lattice of a group  $G$  is essentially a graph with  $G$  at the top, and  $1$  at the bottom, with subgroups of increasing order as you go up. Any two subgroups  $A, B$  of  $G$  are connected via a line upwards if  $B \leq A$ .

**Definition - Join:** Given subgroups  $H, K \leq G$ , we define the join of  $H$  and  $K$   $\langle H, K \rangle$  as the “smallest” subgroup containing both  $H$  and  $K$ .

A similar concept for the largest subgroup contained within two subgroups  $A, B$  is  $A \cap B$ , which is necessarily a subgroup by proposition 2.8.

**Definition - Fiber:** For a homomorphism  $\varphi : G \rightarrow H$ , the *fibers* of  $\varphi$  are the sets of elements of  $G$  projecting to single elements of  $H$ . This can be viewed as the inverse of a homomorphism, i.e. the fiber of some element  $h \in H$  is  $\{g \in G | \varphi(g) = h\}$ . We would call this the fiber above  $h$ .

For fibers  $X_a, X_b$ , we define  $X_{ab} = X_a X_b$ .

The set of fibers forms a group.

## Quotient Groups and Homomorphisms

**Definition - Kernel:** For a homomorphism  $\varphi : G \rightarrow H$ , the kernel of  $\varphi$  is

$$\ker \varphi = \{g \in G | \varphi(g) = 1_H\}.$$

**Proposition 3.1:** For a homomorphism  $\varphi : G \rightarrow H$ ,

1.  $\varphi(1_G) = 1_H$ .
2.  $\varphi(g^{-1}) = \varphi(g)^{-1}$
3.  $\varphi(g^n) = \varphi(g)^n$ , for all  $n \in \mathbb{Z}$ .
4.  $\ker \varphi$  is a subgroup of  $G$ .
5.  $\text{Im } \varphi$  forms a subgroup of  $H$ .

**Definition - Quotient Group:** Let  $\varphi : G \rightarrow H$  be a homomorphism with kernel  $K$ . The *quotient group*, or factor group,  $G/K = \overline{G}$  (read  $G$  modulo  $K$  or  $G \bmod k$ ), is the group whose elements are the fiber of  $\varphi$  with group operation defined above: namely if  $X$  is the fiber above  $a$  and  $Y$  is the fiber above  $b$  then the product of  $X$  and  $Y$  is defined to be the fiber of above the product  $ab$ .

**Proposition 3.2:** Let  $\varphi : G \rightarrow H$  be a homomorphism with kernel  $K$ . Let  $X \in G/K$  be the fiber above  $a$ , i.e.,  $X = \varphi^{-1}(a)$ . Then

1. for any  $u \in X$ ,  $X = \{uk | k \in K\}$ , and similarly
2. for any  $u \in X$ ,  $X = \{ku | k \in K\}$ .

Then this proposition is basically stating that a fiber over some element can basically be defined as a “shifting” of a **representative**<sup>1</sup> of that fiber by the kernel set. An easy example of this would be some homomorphism  $\varphi : \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$ , which would have  $1, 1 \pm n, 1 \pm 2n, \dots$  as the fiber for the element  $1 \in \mathbb{Z}/n\mathbb{Z}$ , or that the fiber of 1 is just any preimage of 1 under  $\varphi$  shifted by some  $kn, k \in \mathbb{Z}$ , as  $kn \equiv 0 \pmod{n}$ , where 0 is the identity representative in the additive group.

**Definition - Left and Right Cosets:** For any  $N \leq G$  and any  $g \in G$ , the left and right cosets of  $N$  in  $G$  are defined as

$$gN = \{gn | n \in N\} \text{ and } Ng = \{ng | n \in N\},$$

respectively. An element of a coset is called a *representative* for the coset.

For additive groups we may instead write  $g + N$  or  $N + g$ .

**Theorem 3.3:** Let  $G$  be a group and let  $K$  be the kernel of some homomorphism from  $G$  to another group. Then the set whose elements are the left cosets of  $K$  in  $G$  with operation defined by

$$uK \circ vK = (uv)K$$

forms a group  $G/K$ . This statement also holds for right coset.

In simpler terms, theorem 3 is essentially stating that modding out by the kernel is equivalent to reducing the group to left (or right) cosets of it's kernel with the operation defined above.

**Proposition 3.4:** Let  $N$  be any subgroup of the group  $G$ . The set of left cosets of  $N$  in  $G$  form a partition of  $G$ . Furthermore, for all  $u, v \in G$ ,  $uN = vN$  if and only if  $v^{-1}u \in N$  and in particular,  $uN = vN$  if and only if  $u$  and  $v$  are representatives of the same coset.

**Proposition 3.5:** Let  $G$  be a group and let  $N$  be a subgroup of  $G$ .

1. The operation on the set of left cosets of  $N$  in  $G$  described by

$$uN \cdot vN = (uv)N$$

is well defined iff  $gng^{-1} \in N$  for all  $g \in G$  and  $n \in N$ .

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<sup>1</sup>A *representative* is an element of a equivalence class used to *represent* all the elements in that equivalence class.



2. If the above operation is well defined, then it makes the set of left cosets of  $N$  in  $G$  into a group. In particular the identity of this group is the coset  $1N$  and the inverse of  $gN$  is the coset  $g^{-1}N$ , i.e.,  $(gN)^{-1} = g^{-1}N$ .

This proposition is essentially an extension of theorem 3 (in that  $G/K$  forms a group) to all subgroups  $N$  rather than just the kernel.

**Definition - Conjugate, Normal:** The element  $gng^{-1}$  is called the *conjugate of  $n \in N$  by  $g$* . The set  $gNg^{-1} = \{gng^{-1} | n \in N\}$  is called the *conjugate of  $N$  by  $g$* . The element  $g$  is said to *normalize  $N$*  if  $gNg^{-1} = N$ . A subgroup  $N$  of a group  $G$  is called *normal* if every element of  $G$  normalizes  $N$ , i.e., if  $gNg^{-1} = N$  for all  $g \in G$ . If  $N$  is a normal subgroup of  $G$  we shall write  $N \trianglelefteq G$ . It is important to remember that normality is an embedding property, i.e.  $N$  being normal depends on the group  $G$  of which it is a subgroup.

**Theorem 6:** Let  $N$  be a subgroup of the group  $G$ . The following are equivalent:

1.  $N \trianglelefteq G$ ,
2.  $N_G(N) = G$  (recall  $N_G(N)$  is the normalizer of  $N$  in  $G$ ),
3.  $gN = Ng$ , for all  $g \in G$ ,
4. the operation on left cosets of  $N$  in  $G$  described in proposition 5 makes the set of left cosets into a group,
5.  $gNg^{-1} \subseteq N$  for all  $g \in G$ .

If a subgroup  $H \leq G$  of some order is the unique subgroup of that order, then  $H \trianglelefteq G$ .

**Proposition 3.7:** A subgroup  $N$  of the group  $G$  is normal if and only if it is the kernel of some homomorphism.

For  $N \trianglelefteq G$ ,  $gN = N$  iff  $g \in N$ .

**Definition - Natural Projection, Complete Preimage:** Let  $N \trianglelefteq G$ . The homomorphism  $\pi : G \rightarrow G/N$  defined by  $\pi(g) = gN$  is called the *natural projection* (homomorphism) of  $G$  onto  $G/N$ . If  $\overline{H} \leq G/N$  is a subgroup of  $G/N$ , the *complete preimage* of  $\overline{H}$  in  $G$  is the preimage of  $\overline{H}$  under the natural projection homomorphism.

Then given  $N \trianglelefteq G$ ,  $\ker \pi = N$ .

Quotient groups of a cyclic group are cyclic.

**Theorem 3.8 - Lagrange's Theorem:** If  $G$  is a finite group and  $H$  is a subgroup of  $G$ , then the order of  $H$  divides the order of  $G$  and the number of left cosets of  $H$  in  $G$  is  $\frac{|G|}{|H|}$ .

**Definition - Index:** If  $G$  is a group and  $H \leq G$ , the number of left cosets of  $H$  in  $G$  is called the index of  $H$  in  $G$  and is denoted by  $|G : H|$ .

In the case of finite groups  $|G : H| = |G|/|H|$ .

**Corollary 3.9:** If  $G$  is a finite group and  $x \in G$ , then the order of  $x$  divides the order of  $G$ . In particular,  $x^{|G|} = 1_G$ , for all  $x \in G$ .

**Corollary 3.10:** If  $G$  is of prime order  $p$ , then  $G$  is cyclic and  $G \cong Z_p$ .

**Theorem 3.11/Proposition 3.21 - Cauchy's Theorem:** If  $G$  is a finite group and  $p$  is a prime dividing  $|G|$ , then  $G$  has an element of order  $p$ .

**Theorem 3.12 - Sylow:** If  $G$  is a finite group of order  $p^\alpha m$ , where  $p$  is a prime and  $p \nmid m$ , then  $G$  has a subgroup of order  $p^\alpha$ .

**Definition - Multiplication of Subgroups:** Let  $H, K$  be subgroups of a group and define

$$HK = \{hk | h \in H, k \in K\}.$$

**Proposition 3.13:** If  $H, K$  are finite subgroups of a group then

$$|HK| = \frac{|H||K|}{|H \cap K|}.$$

**Proposition 3.14:** If  $H, K$  are subgroups of a group,  $HK$  is a subgroup iff  $HK = KH$ .

One should be careful not to misinterpret 3.14 to mean that the subgroup  $HK$  is Abelian, rather that  $hk = k'h'$ .

**Corollary 3.15:** If  $H, K$  are subgroups of  $G$  and  $H \leq N_G(K)$ , then  $HK$  is a subgroup of  $G$ . In particular, if  $K \trianglelefteq G$ , then  $HK \leq G$  ( $HK$  is a subgroup) for any  $H \leq G$ .

**Definition - Normalizes:** If  $A$  is any subset of  $N_G(K)$  (or  $C_G(K)$ ), we shall say that  $A$  *normalizes*  $K$  (or *centralizes*, respectively).

**Theorem 3.16 - The First Isomorphism Theorem:** If  $\varphi : G \rightarrow H$  is a homomorphism of groups, then  $\ker \varphi \trianglelefteq G$  and  $G/\ker \varphi \cong \varphi(G)$ .

Another way to interpret theorem 3.16 is that for any homomorphism  $\varphi : G \rightarrow H$ , there exists a injective group homomorphism  $\bar{\varphi} : G/\ker \varphi \rightarrow H$ .

**Corollary 3.17:** Let  $\varphi : G \rightarrow H$  is a homomorphism of groups.

1.  $\varphi$  is injective iff  $\ker \varphi = 1$ .
2.  $|G : \ker \varphi| = |\varphi(G)|$ .

**Theorem 3.18 - The Second/Diamond Isomorphism Theorem:** Let  $G$  be a group and  $A, B \leq G$  and assume  $A \leq N_G(B)$ . Then  $AB$  is a subgroup of  $G$ ,  $B \trianglelefteq AB$ ,  $A \cap B \trianglelefteq A$ ,  $AB/B \cong A/A \cap B$ .

**Theorem 3.19 - The Third Isomorphism Theorem:** Let  $G$  be a group and let  $H, K$  be normal subgroups of  $G$  with  $H \leq K$ . Then  $K/H \trianglelefteq G/H$  and

$$(G/H)/(K/H) \cong G/K.$$

**Theorem 3.20 - The Fourth/Lattice Isomorphism Theorem:** Let  $G$  be a group and let  $N$  be a normal subgroup of  $G$ . Then there is a bijection from the set of subgroups  $A$  of  $G$  which contain  $N$  onto the set of subgroups  $\bar{A} = A/N$  of  $G/N$ . In particular, every subgroup of  $\bar{G}$  is of the form  $A/N$  for some subgroup  $A$  of  $G$  containing  $N$  (namely, it's preimage in  $G$  under the projection homomorphism from  $G$  to  $G/N$ ). This bijection has the following properties: for all  $A, B \leq G$  with  $N \leq A, B$ ,

1.  $A \leq B$  iff  $\bar{A} \leq \bar{B}$ ,
2. if  $A \leq B$ , then  $|B : A| = |\bar{B} : \bar{A}|$ ,

3.  $\overline{\langle A, B \rangle} = \langle \overline{A}, \overline{B} \rangle$ ,
4.  $\overline{A \cap B} = \overline{A} \cap \overline{B}$ ,
5.  $A \trianglelefteq G$  iff  $\overline{A} \trianglelefteq \overline{G}$ .

**Definition - Simple:** A (finite or infinite) group  $G$  is called *simple* if  $|G| > 1$  and the only normal subgroups of  $G$  are 1 and  $G$ .

If  $|G|$  is prime, then it's only subgroups are 1 and  $G$ , and is thus simple. In fact, every simple Abelian group is isomorphic to  $Z_p$ , for some prime  $p$ .

**Proposition 3.21:** If  $G$  is a finite abelian group and  $p$  is a prime dividing  $|G|$ , then  $G$  contains an element of order  $p$ .

**Definition - Composition Series:** In a group  $G$  a sequence of subgroups

$$1 = N_0 \leq N_1 \leq \cdots \leq N_{k-1} \leq N_k = G$$

is called a *composition series* if  $N_i \trianglelefteq N_{i+1}$  and  $N_{i+1}/N_i$  is a simple group,  $0 \leq i \leq k-1$ . If the above sequence is a composition series, the quotient groups  $N_{i+1}/N_i$  are called *composition factors* of  $G$ .

**Theorem 3.22 - Jordan-Hölder Theorem:** Let  $G$  be a finite group with  $G \neq 1$ . Then

1.  $G$  has a composition series and
2. The composition factors in a composition series are unique, namely, if  $1 = N_0 \leq N_1 \leq \cdots \leq N_r = G$  and  $1 = M_0 \leq M_1 \leq \cdots \leq M_s = G$  are two composition series for  $G$ , then  $r = s$  and there is some permutation  $\pi$  of  $\{1, 2, \dots, r\}$  such that

$$M_{\pi(i)}/M_{\pi(i)-1} \cong N_i/N_{i-1}, 1 \leq i \leq r.$$

In other words, a composition series of a finite group  $G$  is essentially a factorization of  $G$ . Unlike factorizing integers, however, the series itself need not be unique, but the number of composition factors and their isomorphism types are uniquely determined.

**The Hölder Program:**

1. Classify all finite simple groups.
2. Find all ways of “putting simple groups together” to form other groups (sometimes called the *Extension Problem*)

**Definition - Transposition:** A 2-cycle is called a *transposition*.

Every element of  $S_n$  can be written as a product of transpositions, though not uniquely.

**Definition - Sign of a Permutation:** Define  $\Delta = \prod_{1 \leq i < j \leq n} (x_i - x_j)$  and  $\sigma(\Delta) = \prod_{1 \leq i < j \leq n} (x_{\sigma(i)} - x_{\sigma(j)})$ . Then it is clear that  $\sigma(\Delta) = \pm \Delta$  for all  $\sigma \in S_n$ . Define  $\epsilon(\sigma)$ , the *sign* of  $\sigma$ , by

$$\epsilon(\sigma) = \begin{cases} +1, & \text{if } \sigma(\Delta) = \Delta \\ -1, & \text{if } \sigma(\Delta) = -\Delta \end{cases}.$$

We say that  $\sigma$  is an *even permutation* if  $\epsilon(\sigma) = +1$  or *odd permutation* if  $\epsilon(\sigma) = -1$ .

**Proposition 3.23:** The map  $\epsilon : S_n \rightarrow \{\pm 1\}$  is a homomorphism (where  $\{\pm 1\}$  is a multiplicative version of the cyclic group of order 2). This proposition basically just tells you that composing two even/odd permutations results in an even permutation, and composing an even and an odd permutation results in an odd permutation.

**Proposition 3.24:** Transpositions are all odd permutations and  $\epsilon$  is a surjective homomorphism.

**Definition - Alternating Group:** The *alternating group of degree  $n$* , denoted by  $A_n$ , is the kernel of the homomorphism  $\epsilon$  (i.e., the set of even permutations).

$$|A_n| = \frac{n!}{2}.$$

Using the fact that an  $m$ -cycle can be written as a product of  $m - 1$  transpositions, an  $m$ -cycle is an odd permutation iff  $m$  is even.

**Proposition 3.25:** The permutation  $\sigma$  is odd iff the number of cycles of even length in its cycle decomposition is odd.

## Chapter 4 - Group Actions

**Definition - Group Action:** A *group action* of a group  $G$  on a set  $A$  is a map from  $G \times A \rightarrow A$  satisfying the following properties:

1. *Compatibility:*  $g_1 \cdot (g_2 \cdot a) = (g_1 g_2) \cdot a$ , for all  $g_1, g_2 \in G, a \in A$ ,
2. *Identity:*  $1 \cdot a = a$ , for all  $a \in A$

**Definition - Permutation Representation:** Define  $\sigma_g : A \rightarrow A$  by  $\sigma_g : a \mapsto g \cdot a$  and  $\varphi : G \rightarrow S_A$  by  $\varphi(g) = \sigma_g$ .  $\varphi$  is called the *permutation representation* associated to the given action.

**Definition - Stabilizer:** If a group  $G$  is acting on a set  $A$ , for a fixed element  $a \in A$ , we define the *stabilizer* of  $a$  in  $G$  as

$$G_a = \{g \in G | g \cdot a = a\}.$$

The stabilizer of any element  $a$  forms a subgroup of  $G$ .

**Definition - Kernel of a Group Action:** The *kernel* of an action  $G$  on  $A$  is defined as

$$\ker(G) = \{g \in G | g \cdot a = a, \forall a \in A\} = \bigcap_{a \in A} G_a.$$

**Definition - Faithful:** If  $G$  acts on  $A$  and each element of  $G$  induce different permutations of  $A$ , then the action is said to be *faithful*, i.e. injective. An action is faithful if its kernel is the identity.

An action of  $G$  on  $A$  can be equivalently viewed as a faithful action of  $G/\ker \varphi$  on  $A$ .

**Proposition 4.1:** For any group  $G$  and any nonempty set  $A$  there is a bijection between the actions of  $G$  on  $A$  and the homomorphisms of  $G$  into  $S_A$ .

4.1 can be realized by defining an action  $G$  on  $A$  by  $g \cdot a = \varphi(g)(a)$ , where  $\varphi$  is the permutation representation of the action  $G$ .

**Definition - Induce:** If  $G$  is a group, a *permutation representation* of  $G$  is any homomorphism of  $G$  into the symmetric group  $S_A$  for some nonempty set  $A$ . We shall say a given action of  $G$  on  $A$  *affords* or *induces* the associated permutation representation of  $G$ .

**Proposition 4.2:** Let  $G$  be a group acting on the nonempty set  $A$ . The relation on  $A$  defined by

$$a \sim b \text{ iff } a = g \cdot b \text{ for some } g \in G$$

is an equivalence relation. For each  $a \in A$ , the number of elements in the equivalence class containing  $a$  is  $|G : G_a|$ , the index of the stabilizer of  $a$ .

**Definition - Orbit, Transitive:** Let  $G$  be a group acting on the nonempty set  $A$ .

1. The equivalence class  $\{g \cdot a | g \in G\}$  is called the *orbit* of  $G$  containing  $a$ .
2. The action of  $G$  on  $A$  is called *transitive* if there is only one orbit, i.e., given any two elements  $a, b \in A$  there is some  $g \in G$  such that  $a = g \cdot b$ .

Subgroups of symmetric groups are called *permutation groups*.

Any group action of a group  $G$  acting on itself can be given a permutation representation  $\sigma_g \in S_n$ , for every  $g \in G$ , by labeling the elements of  $G$  as  $\{g_1, g_2, \dots, g_n\}$ , where the identity permutation corresponds to  $g = 1$ . The same can be done on left cosets of some subgroup  $H \leq G$ . This form of representing a group action is useful because  $\sigma_{sr^2} = \sigma_s \sigma_r^2$ .

The action of a group on itself by left multiplication is always transitive and faithful, and the stabilizer of any point is the identity subgroup.

**Theorem 4.3:** Let  $G$  be a group, let  $H$  be a subgroup of  $G$  and let  $G$  act by left multiplication on the set  $A$  of left cosets of  $H$  in  $G$ . Let  $\pi_H$  be the associated permutation representation afforded by this action. Then

1.  $G$  acts transitively on  $A$
2. the stabilizer in  $G$  of the point  $1H \in A$  is the subgroup  $H$
3. the kernel of the action (i.e., the kernel of  $\pi_H$ ) is  $\cap_{x \in G} xHx^{-1}$ , and  $\ker \pi_H$  is the largest normal subgroup of  $G$  contained in  $H$ .

**Corollary 4.4 - Cayley's Theorem:** Every group is isomorphic to a subgroup of some symmetric group. If  $G$  is a group of order  $n$ , then  $G$  is isomorphic to a subgroup of  $S_n$  (permutation group).

**Corollary 4.5:** If  $G$  is a finite group of order  $n$  and  $p$  is the smallest prime dividing  $n$ , then any subgroup of index  $p$  is normal.

A group acting on itself by conjugating is a group  $G$  acting on a set  $G$  by

$$g \cdot a = gag^{-1}, \text{ for all } g \in G, a \in G$$

where  $gag^{-1}$  is computed in the group  $G$ .

**Definition - Conjugate, Conjugacy Classes:** Two elements  $a, b \in G$  are said to be *conjugate* in  $G$  if there is some  $g \in G$  such that  $b = gag^{-1}$  (i.e., if and only if they are in the same orbit of  $G$  acting on itself by conjugation). The orbits of  $G$  acting on itself by conjugation are called the *conjugacy classes* of  $G$ .

$G$  acting on  $\mathcal{P}(G)$  is called  $G$  acting on its subsets.

**Definition - Conjugate In  $G$ :** Two subsets  $S$  and  $T$  of  $G$  are said to be *conjugate in  $G$*  if there is

some  $g \in G$  such that  $T = gsg^{-1}$  (i.e., if and only if they are in the same orbit of  $G$  acting on its subsets by conjugation).

$\{x\}$  is a conjugacy class of size 1 iff  $x \in Z(G)$ .

**Proposition 4.6:** The number of conjugates of a subset  $S$  in a group  $G$  is the index of the normalizer of  $S$ ,  $|G : N_G(S)|$ . In particular, the number of conjugates of an element  $s$  of  $G$  is the index of the centralizer of  $s$ ,  $|G : C_G(s)|$ .

**Theorem 4.7 - The Class Equation:** Let  $G$  be a finite group and let  $g_1, g_2, \dots, g_r$  be representatives of the distinct conjugacy classes of  $G$  not contained in the center  $Z(G)$  of  $G$ . Then

$$|G| = |Z(G)| + \sum_{i=1}^r |G : C_G(g_i)|.$$

**Theorem 4.8:** If  $p$  is a prime and  $P$  is a group of prime power order  $p^a$  for some  $a \geq 1$ , then  $P$  has a nontrivial center:  $Z(P) \neq 1$ .

**Corollary 4.9:** If  $|P| = p^2$  for some prime  $p$ , then  $P$  is Abelian. More precisely,  $P$  is isomorphic to either  $Z_{p^2}$  or  $Z_p \times Z_p$ .

**Proposition 4.10:** Let  $\sigma, \tau$  be elements of the symmetric group  $S_n$  and suppose  $\sigma$  has cycle decomposition

$$(a_1 a_2 \dots a_{k_1})(b_1 b_2 \dots b_{k_2}) \dots$$

Then  $\tau\sigma\tau^{-1}$  has cycle decomposition

$$(\tau(a_1)\tau(a_2) \dots \tau(a_{k_1}))(\tau(b_1)\tau(b_2) \dots \tau(b_{k_2})) \dots,$$

that is,  $\tau\sigma\tau^{-1}$  is obtained by replacing each entry  $i$  in the cycle decomposition for  $\sigma$  by the entry  $\tau(i)$ .

**Definition - Cycle Type, Partition:**

1. If  $\sigma \in S_n$  is the product of disjoint cycles of lengths  $n_1, n_2, \dots, n_r$  with  $n_1 \leq n_2 \leq \dots \leq n_r$  (including its 1-cycles) then the integers  $n_1, n_2, \dots, n_r$  are called the *cycle type* of  $\sigma$ .
2. If  $n \in \mathbb{Z}^+$ , a *partition* of  $n$  is any non-decreasing sequence of positive integers whose sum is  $n$ .

**Proposition 4.11:** Two elements of  $S_n$  are conjugate in  $S_n$  if and only if they have the same cycle type. The number of conjugacy classes of  $S_n$  equals the number of partitions of  $n$ .

For an  $m$ -cycle  $\sigma \in S_n$ ,  $|C_{S_n}(\sigma)| = m \cdot (n - m)!$ , as

$$C_{S_n}(\sigma) = \{\sigma^i \tau \mid 0 \leq i < m, \tau \in S_{n-m}\},$$

where  $S_{n-m}$  denotes the subgroup of  $S_n$  which fixes all the indices which appear in the  $m$ -cycle  $\sigma$ .

**Theorem 4.12:**  $A_5$  is a simple group.

Define the right conjugation of  $a$  by  $g$  as

$$a^g = g^{-1}ag, \text{ for all } a, g \in G.$$

**Definition - Corresponding Group Actions:** *Corresponding group actions* are left and right group actions which do the same thing on different sides of the value they are acting on. In other words,  $g$  acts on

the left the same way that  $g^{-1}$  acts on the right. Orbits are the same for left and right actions.

**Definition - Automorphism:** Let  $G$  be a group. An isomorphism from  $G$  onto itself is called an automorphism of  $G$ . The set of all *automorphisms* of  $G$  is denoted by  $\text{Aut}(G)$ .

Automorphisms map subgroups to subgroups, as a result of being a homomorphisms.

$\text{Aut}(G)$  forms a group. Note that automorphisms of  $G$  are essentially just the elements of  $G$  up to permutation, so  $\text{Aut}(G) \leq S_G$ .

**Proposition 4.13:** Let  $H$  be a normal subgroup of the group  $G$ . Then  $G$  acts by conjugation on  $H$  as automorphisms of  $H$ . More specifically, the action of  $G$  on  $H$  by conjugation is defined for each  $g \in G$  by

$$\varphi_g : h \mapsto ghg^{-1}, \text{ for each } h \in H.$$

For each  $g \in G$ , conjugation by  $g$  is an automorphism of  $H$ . The permutation representation afforded by this action is a homomorphism of  $G$  into  $\text{Aut}(H)$  with kernel  $C_G(H)$ . In particular,  $G/C_G(H)$  is isomorphic to a subgroup of  $\text{Aut}(H)$ .

Note about 4.13: Then the permutation representation of these automorphisms  $\varphi_g$  defined for each  $g \in G$  is homomorphism  $\psi : G \rightarrow S_H$  defined by  $\psi(g) = \varphi_g$ .

Proposition 13 shows that a group acts by conjugation on a normal subgroup as structure preserving permutations, i.e., as automorphisms.

**Corollary 4.14:** If  $K$  is any subgroup (not necessarily normal) of the group  $G$  and  $g \in G$ , then  $K \cong gKg^{-1}$ . Conjugate elements and conjugate subgroups have the same order.

**Corollary 4.15:** For any subgroup  $H$  of a group  $G$ , the quotient group  $N_G(H)/C_G(H)$  is isomorphic to a subgroup of  $\text{Aut}(H)$ . In particular,  $G/Z(G)$  is isomorphic to a subgroup of  $\text{Aut}(G)$ .

**Definition - Inner Automorphism:** Let  $G$  be a group and let  $g \in G$ . Conjugation by  $g$  is called an *inner automorphism* of  $G$  and the subgroup of  $\text{Aut}(G)$  consisting of all inner automorphisms is denoted by  $\text{Inn}(G)$ .

The “subgroup of  $\text{Aut}(G)$ ” referenced in corollary 4.15 (both of them) is  $\text{Inn}(G)$ .

**Definition - Characteristic:** A subgroup  $H$  of a group  $G$  is called *characteristic in  $G$* , denoted  $H \text{ char } G$ , if every automorphism of  $G$  maps  $H$  to itself, i.e.,  $\sigma(H) = H$  for all  $\sigma \in \text{Aut}(G)$ .

#### Results Concerning Characteristic Subgroups:

1. characteristic subgroups are normal,
2. if  $H$  is the unique subgroup of  $G$  of a given order, then  $H$  is characteristic in  $G$ , and
3. if  $K \text{ char } H$  and  $H \trianglelefteq G$ , then  $K \trianglelefteq G$  (so although “normality” is not a transitive property (i.e., a normal subgroup of a normal subgroup need not be normal, a characteristic subgroup of a normal subgroup is normal).

Then characteristic is a stronger condition than normal.

**Proposition 4.16:** The automorphism group of the cyclic group of order  $n$  is isomorphic to  $(\mathbb{Z}/n\mathbb{Z})^\times$ , an abelian group of order  $\varphi(n)$  (where  $\varphi$  is Euler’s function).

**Proposition 4.17 (Inc. Elementary Abelian Definition):**

1. If  $p$  is an odd prime and  $n \in \mathbb{Z}^+$ , then the automorphism group of the cyclic group of order  $p$  is cyclic of order  $p - 1$ . More generally, the automorphism group of the cyclic group of order  $p^n$  is cyclic of order  $p^{n-1}(p - 1)$ .
2. For all  $n \geq 3$  the automorphism group of the cyclic group of order  $2^n$  is isomorphic to  $Z_2 \times Z_{2^{n-2}}$ , and in particular is not cyclic but has a cyclic subgroup of index 2.
3. Let  $p$  be a prime and let  $V$  be an abelian group (written additively) with the property that  $pv = 0$  for all  $v \in V$ . If  $|V| = p^n$ , then  $V$  is an  $n$ -dimensional vector space over the field  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  called the *elementary abelian group of order  $p^n$* . The automorphisms of  $V$  are precisely the non-singular linear transformations from  $V$  to itself, that is

$$\text{Aut}(V) \cong GL(V) \cong GL_n(\mathbb{F}_p),$$

where  $GL(V)$  is the group of all invertible (non-singular) linear transformations from  $V$  to itself.

4. For all  $n \neq 6$  we have  $\text{Aut}(S_n) = \text{Inn}(S_n) \cong S_n$ . For  $n = 6$  we have  $|\text{Aut}(S_6) : \text{Inn}(S_6)| = 2$ .
5.  $\text{Aut}(D_8) \cong D_8$  and  $\text{Aut}(Q_8) \cong S_4$

The Klein 4-group  $V_4$  is called the elementary abelian group of order 4.

For any prime  $p$ , the elementary abelian group of order  $p^2$  is  $Z_p \times Z_p$ .

**Definition -  $p$ -Groups, Sylow  $p$ -Subgroup:** Let  $G$  be a group and let  $p$  be a prime.

1. A group of order  $p^a$  for some  $a \geq 1$  is called a  $p$ -group. Subgroups of  $G$  which are  $p$ -groups are called  $p$ -subgroups.
2. If  $G$  is a group of order  $p^a m$ , where  $p \nmid m$ , then a subgroup of order  $p^a$  is called a *Sylow  $p$ -subgroup of  $G$* .
3. The set of Sylow  $p$ -subgroups of  $G$  will be denoted by  $\text{Syl}_p(G)$  and the number of Sylow  $p$ -subgroups of  $G$  will be denoted by  $n_p(G)$  (or just  $n_p$  when  $G$  is clear from the context).

**Theorem 4.18 - Sylow's Theorem:** Let  $G$  be a group of order  $p^a m$ , where  $p$  is a prime not dividing  $m$ .

1. Sylow  $p$ -subgroups of  $G$  exist, i.e.,  $\text{Syl}_p(G) \neq \emptyset$ .
2. If  $P$  is a Sylow  $p$ -subgroup of  $G$  and  $Q$  is any  $p$ -subgroup of  $G$ , then there exists  $g \in G$  such that  $Q \leq gPg^{-1}$ , i.e.,  $Q$  is contained in some conjugate of  $P$ . In particular, any two Sylow  $p$ -subgroups of  $G$  are conjugate in  $G$ .
3. The number of Sylow  $p$ -subgroups of  $G$  is of the form  $1 + kp$ , i.e.,

$$n_p \equiv 1 \pmod{p}.$$

Further,  $n_p$  is the index of the normalizer  $N_G(P)$  in  $G$  for any Sylow  $p$ -subgroup  $P$ , hence  $n_p$  divides  $m$ .

**Lemma 4.19:** Let  $P \in \text{Syl}_p(G)$ . If  $Q$  is any  $p$ -subgroup of  $G$ , then  $Q \cap N_G(P) = Q \cap P$ .

**Corollary 4.20:** Let  $P$  be a Sylow  $p$ -subgroup of  $G$ . Then the following are equivalent:

1.  $P$  is the unique Sylow  $p$ -subgroup of  $G$ , i.e.,  $n_p = 1$
2.  $P$  is normal in  $G$



3.  $P$  is characteristic in  $G$
4. All subgroups generated by elements of  $p$ -power order are  $p$ -groups, i.e., if  $X$  is any subset of  $G$  such that  $|x|$  is a power of  $p$  for all  $x \in X$ , then  $\langle X \rangle$  is a  $p$ -group.

If a subgroup  $H \leq G$  has index 2, then  $H$  is normal.

**Proposition 4.21:** If  $|G| = 60$  and  $G$  has more than one Sylow 5-subgroup, then  $G$  is simple.

**Proposition 4.23:** If  $G$  is a simple group of order 60, then  $G \cong A_5$ .

## 5. Direct and Semi-direct Products and Abelian Group

**Definition - Direct Product:**

1. The *direct product*  $G_1 \times G_2 \times \cdots \times G_n$  of the groups  $G_1, G_2, \dots, G_n$  with operations  $\star_1, \star_2, \dots, \star_n$  respectively, is the set of  $n$ -tuples  $(g_1, g_2, \dots, g_n)$  where  $g_i \in G_i$  with operation defined component-wise:

$$(g_1, g_2, \dots, g_n) \star (h_1, h_2, \dots, h_n) = (g_1 \star_1 h_1, g_2 \star_2 h_2, \dots, g_n \star_n h_n).$$

2. Similarly, the *direct product*  $G_1 \times G_2 \times \cdots$  of the groups  $G_1, G_2, \dots$  with operations  $\star_1, \star_2, \dots$  respectively, is the set of sequences  $(g_1, g_2, \dots)$  where  $g_i \in G_i$  with operation defined component-wise:

$$(g_1, g_2, \dots) \star (h_1, h_2, \dots) = (g_1 \star_1 h_1, g_2 \star_2 h_2, \dots).$$

**Proposition 5.1:** If  $G_1, G_2, \dots, G_n$  are groups, their direct product is a group of order  $|G_1||G_2| \cdots |G_n|$  (if any  $G_i$  is infinite, so is the direct product).

**Proposition 5.2:** Let  $G_1, G_2, \dots, G_n$  be groups and  $G = G_1 \times \cdots \times G_n$  be their direct product.

1. For each fixed  $i$  the set of elements of  $G$  which have the identity of  $G_j$  in the  $j$ th position for all  $j \neq i$  and arbitrary elements of  $G_i$  in position  $i$  is a subgroup of  $G$  isomorphic to  $G_i$ :

$$G_i \cong \{(1, \dots, 1, g_i, 1, \dots, 1) | g_i \in G_i\},$$

(here  $g_i$  appears in the  $i$ th position and the subgroup on the right is often called the  $i$ th component or  $i$ th factor of  $G$ ). If we identify  $G_i$  with this subgroup, then  $G_i \trianglelefteq G$  and

$$G/G_i \cong G_1 \times \cdots \times G_{i-1} \times G_{i+1} \times \cdots \times G_n$$

2. For each fixed  $i$  define  $\pi_i : G \rightarrow G_i$  by

$$\pi_i(g_1, \dots, g_n) = g_i.$$

Then  $\pi_i$  is a surjective homomorphism with

$$\begin{aligned} \ker \pi_i &= \{(g_1, \dots, g_{i-1}, 1, g_{i+1}, \dots, g_n) | g_j \in G_j \text{ for all } j \neq i\} \\ &\cong G_1 \times \cdots \times G_{i-1} \times G_{i+1} \times \cdots \times G_n \end{aligned}$$

3. Under the identifications in part (1), if  $x \in G_i$  and  $y \in G_j$  for some  $i \neq j$ , then  $xy = yx$  (this idea is similar to commutativity of disjoint cycles).

$E_{p^n} = Z_p \times Z_p \times \cdots \times Z_p$  is the *elementary abelian group* of order  $p^n$ .

**Definition - Finitely Generated, Free Abelian Group of Rank  $r$ :**

1. A group  $G$  is *finitely generated* if there is a finite subset  $A$  of  $G$  such that  $G = \langle A \rangle$ .
2. For each  $r \in \mathbb{Z}$  with  $r \geq 0$ , let  $\mathbb{Z}^r = \mathbb{Z} \times \cdots \times \mathbb{Z}$  be the direct product of  $r$  copies of the group  $\mathbb{Z}$ , where  $\mathbb{Z}^0 = 1$ . The group  $\mathbb{Z}^r$  is called the *free abelian group of rank  $r$* .

**Theorem 5.3 - Fundamental Theorem of Finitely Generated Abelian Groups:** Let  $G$  be a finitely generated abelian group. Then

1.

$$G \cong \mathbb{Z}^r \times Z_{n_1} \times \cdots \times Z_{n_s},$$

for some integers  $r, n_1, \dots, n_s$  satisfying the following conditions:

- (a)  $r \geq 0$  and  $n_j \geq 2$  for all  $j$ , and
  - (b)  $n_{i+1} | n_i$  for  $1 \leq i \leq s-1$
2. the expression in (1) is unique: if  $G \cong \mathbb{Z}^t \times Z_{m_1} \times \cdots \times Z_{m_u}$ , where  $t$  and  $m_1, \dots, m_u$  satisfy (a) and (b) (i.e.,  $t \geq 0, m_j \geq 2$  for all  $j$ , and  $m_{i+1} | m_i$  for  $1 \leq i \leq u-1$ ), then  $t = r, u = s$  and  $m_i = n_i$  for all  $i$ .

**Definition - Free Rank/Betti Number, Invariant Factor (Decomposition), Type:** The integer  $r$  in Theorem 3 is called the *free rank* or *Betti number* of  $G$  and the integers  $n_1, n_2, \dots, n_s$  are called the *invariant factors* of  $G$ . The description of  $G$  in Theorem 3(1) is called the *invariant factor decomposition* of  $G$ . If  $G$  is a finite abelian group, satisfying (b) above, then  $G$  is said to be of *type*  $(n_1, n_2, \dots, n_s)$ .

Thus a finitely generated abelian group is a finite group if and only if its free rank is zero.

**Some Observations:**

1.  $n_1 \geq n_2 \geq \cdots \geq n_s$  as a result of the divisibility condition.
2. Every prime divisor of  $n$  must divide the first invariant factor  $n_1$ .
3. One immediate consequence is that if  $n$  is a product of distinct primes (square-free), then  $n | n_1$ , and thus  $n = n_1$  and there is only one possible list of invariant factors for an abelian group of order  $n$ , namely just the length 1 list  $n = n_1$  itself.

**Corollary 5.4:** If  $n$  is the product of distinct primes, then up to isomorphism the only abelian group of order  $n$  is the cyclic group of order  $n$ ,  $Z_n$ . This is an immediate consequence of part 3 from the above observations.

**Theorem 5.5:** Let  $G$  be an abelian group of order  $n > 1$  and let the unique factorization of  $n$  into distinct prime powers be

$$n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_n^{\alpha_n}.$$

Then

1.  $G \cong A_1 \times A_2 \times \cdots \times A_k$ , where  $|A_i| = p_i^{\alpha_i}$ , or  $A_i$  is the Sylow  $p_i$ -subgroup of  $G$ .
2. For each  $A \in \{A_1, A_2, \dots, A_k\}$  with  $|A| = p^\alpha$ ,

$$A \cong Z_{p^{\beta_1}} \times Z_{p^{\beta_2}} \times \cdots \times Z_{p^{\beta_t}}$$

with  $\beta_1 \geq \beta_2 \geq \cdots \geq \beta_t \geq 1$  and  $\beta_1 + \beta_2 + \cdots + \beta_t = \alpha$ . In other words, the  $\beta_j$ 's form a partition of  $\alpha$ .

3. the decomposition in (1) and (2) is unique, i.e., if  $G \cong B_1 \times B_2 \times \cdots \times B_m$ , with  $|B_i| = p_i^{\alpha_i}$  for all  $i$ , then  $B_i \cong A_i$  and  $B_i$  and  $A_i$  have the same invariant factors.

Note that since  $G$  is assumed to be abelian above, each Sylow  $p_i$ -subgroup  $A_i$  is normal, and thus unique, in  $G$ .

**Definition - Elementary Divisor (Decomposition):** The integers  $p^{\beta_j}$  described in the preceding theorem are called the *elementary divisors* of  $G$ . The description of  $G$  in Theorem 5(1) and 5(2) is called the *elementary divisor decomposition* of  $G$ .

The elementary divisors of  $G$  are not invariant factors of  $G$ , rather they are invariant factors of subgroups  $(p_i^{\alpha_i})$  of  $G$ .

**Proposition 5.6:** Let  $m, n \in \mathbb{Z}^+$ .

1.  $Z_m \times Z_n \cong Z_{mn}$  iff the GCD  $(m, n) = 1$ .
2. If  $n = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_k^{\alpha_k}$ , then  $Z_n \cong Z_{p_1^{\alpha_1}} \times Z_{p_2^{\alpha_2}} \times \dots \times Z_{p_k^{\alpha_k}}$ .

**Definition - Rank, Exponent:**

1. If  $G$  is a finite abelian group of type  $(n_1, n_2, \dots, n_t)$ , the integer  $t$  is called the *rank* of  $G$  (the free rank of  $G$  is 0 so there will be no confusion).
2. If  $G$  is any group, the *exponent* of  $G$  is the smallest positive integer  $n$  such that  $x^n = 1$  for all  $x \in G$  ((if no such integer exists the exponent of  $G$  is  $\infty$ )).

**Definition - Commutator, Commutator Subgroup:** Let  $G$  be a group, let  $x, y \in G$  and let  $A, B$  be nonempty subsets of  $G$ .

1. Define  $[x, y] = x^{-1}y^{-1}xy$  to be the *commutator* of  $x$  and  $y$ .
2. Define  $[A, B] = \langle [a, b] | a \in A, b \in B \rangle$ , the group generated by commutators of elements of  $A$  and  $B$ .
3. Define  $G' = \langle [x, y] | x, y \in G \rangle$ , the subgroup of  $G$  generated by commutators of elements from  $G$ , called the *commutator subgroup* of  $G$ .

Thus  $x, y \in G$  commute iff  $[x, y] = 1$ .

**Proposition 5.7:** Let  $G$  be a group, let  $x, y \in G$  and let  $H \leq G$ . Then

1.  $xy = yx[x, y]$  (in particular,  $xy = yx$  iff  $[x, y] = 1$ ).
2.  $H \trianglelefteq G$  iff  $[H, G] \leq H$ .
3.  $\sigma[x, y] = [\sigma(x), \sigma(y)]$  for any automorphism  $\sigma$  of  $G$ ,  $G'$  char  $G$  and  $G/G'$  is abelian.
4.  $G/G'$  is the largest abelian quotient of  $G$  in the sense that if  $H \trianglelefteq G$  and  $G/H$  is abelian, then  $G' \leq H$ . Conversely, if  $G' \leq H$ , then  $H \trianglelefteq G$  and  $G/H$  is abelian.
5. If  $\varphi : G \rightarrow A$  is any homomorphism of  $G$  into an abelian group  $A$ , then  $\varphi$  factors through  $G'$  i.e.,  $G' \leq \ker \varphi$  and the following diagram commutes:

$$\begin{array}{ccc} G & \xrightarrow{\quad} & G/G' \\ & \searrow \varphi & \downarrow \\ & & A \end{array}$$

**Proposition 5.8:** Let  $H$  and  $K$  be subgroups of the group  $G$ . The number of distinct ways of writing each element of the set  $HK$  in the form  $hk$ , for some  $h \in H$  and  $k \in K$  is  $|H \cap K|$ . In particular, if  $H \cap K = 1$ , then each element of  $HK$  can be written uniquely as a product  $hk$ , for some  $h \in H$  and  $k \in K$ .

**Theorem 5.9:** Suppose  $G$  is a group with subgroups  $H$  and  $K$  such that

1.  $H$  and  $K$  are normal in  $G$ , and
2.  $H \cap K = 1$ .

Then  $HK \cong H \times K$ .

**Definition - Internal/External Direct Product:** If  $G$  is a group and  $H$  and  $K$  are normal subgroups of  $G$  with  $H \cap K = 1$ , we call  $HK$  the *internal direct product* of  $H$  and  $K$ . We shall (when emphasis is called for) call  $H \times K$  the *external direct product* of  $H$  and  $K$ .

**Theorem 5.10:** Let  $H$  and  $K$  be groups and let  $\varphi$  be a homomorphism from  $K$  into  $\text{Aut}(H)$ . Let  $\cdot$  denote the (left) action of  $K$  on  $H$  determined by  $\varphi$ . Let  $G$  be the set of ordered pairs  $(h, k)$  with  $h \in H$  and  $k \in K$  and define the following multiplication on  $G$ :

$$(h_1, k_1)(h_2, k_2) = (h_1 k_1 \cdot h_2, k_1 k_2).$$

1. This multiplication makes  $G$  into a group of order  $|G| = |H||K|$ .
2. The sets  $\{(h, 1) | h \in H\}$  and  $\{(1, k) | k \in K\}$  are subgroups of  $G$  and the maps  $h \mapsto (h, 1)$  for  $h \in H$  and  $k \mapsto (1, k)$  for  $k \in K$  are isomorphisms of these subgroups with the groups  $H$  and  $K$  respectively:

$$H \cong \{(h, 1) | h \in H\} \text{ and } K \cong \{(1, k) | k \in K\}.$$

Identifying  $H$  and  $K$  with their isomorphic copies in  $G$  described in (2) we have

3.  $H \trianglelefteq G$ ,
4.  $H \cap K = 1$ ,
5. for all  $h \in H$  and  $k \in K$ ,  $khk^{-1} = k \cdot h = \varphi(k)(h)$ .

**Definition - Semidirect Product:** Let  $H$  and  $K$  be groups and let  $\varphi$  be a homomorphism from  $K$  into  $\text{Aut}(H)$ . The group described in Theorem 10 is called the *semidirect product* of  $H$  and  $K$  with respect to  $\varphi$  and will be denoted by  $H \rtimes_{\varphi} K$  (when there is no danger of confusion we shall simply write  $H \rtimes K$ ).

**Proposition 5.11:** Let  $H$  and  $K$  be groups and let  $\varphi : K \rightarrow \text{Aut}(H)$  be a homomorphism. Then the following are equivalent:

1. the identity (set) map between  $H \rtimes K$  and  $H \times K$  is a group homomorphism (hence an isomorphism).
2.  $\varphi$  is the trivial homomorphism from  $K$  into  $\text{Aut}(H)$ .
3.  $K \trianglelefteq H \rtimes K$ .

**Theorem 5.12:** Suppose  $G$  is a group with subgroups  $H$  and  $K$  such that

1.  $H \trianglelefteq G$ , and
2.  $H \cap K = 1$ .

Let  $\varphi : K \rightarrow \text{Aut}(H)$  be the homomorphism defined by mapping  $k \in K$  to the automorphism of left conjugation by  $k$  on  $H$ . Then  $HK \cong H \rtimes K$ . In particular, if  $G = HK$  with  $H$  and  $K$  satisfying (1) and (2), then  $G$  is the semidirect product of  $H$  and  $K$ .

**Definition - Complement:** Let  $H$  be a subgroup of the group  $G$ . A subgroup  $K$  of  $G$  is called a *complement* for  $H$  in  $G$  if  $G = HK$  and  $H \cap K = 1$ .

## 7. Introduction to Rings

### Definition - Ring:

1. A ring  $R$  is a set together with two binary operations  $+$  and  $\times$  (called addition and multiplication) satisfying the following axioms:

- (i).  $(R, +)$  is an abelian group,
- (ii).  $\times$  is associative:  $(a \times b) \times c = a \times (b \times c)$  for all  $a, b, c \in R$
- (iii). the distributive laws hold in  $R$ : for all  $a, b, c \in R$

$$(a + b) \times c = (a \times c) + (b \times c) \text{ and } a \times (b + c) = (a \times b) + (a \times c)$$

2. The ring  $R$  is *commutative* if multiplication is commutative.
3. The ring  $R$  is said to have an *identity* (or contain a 1) if there is an element  $1 \in R$  with  $1 \times a = a \times 1 = a$  for all  $a \in R$ .

The additive identity in a ring will always be denoted by 0.

**Definition - Field, Division Ring/Skew Field:** A ring  $R$  with identity 1, where  $1 \neq 0$ , is called a *division ring* (or *skew field*) if every nonzero element  $a \in R$  has a multiplicative inverse, i.e., there exists  $b \in R$  such that  $ab = ba = 1$ . A commutative division ring is called a *field*.

*Trivial rings* are obtained by taking  $R$  to be any abelian group under addition and defining the multiplication of any two elements in  $R$  to be 0. If  $R = \{0\}$  is the trivial group, then the resulting ring  $R$  is called the *zero ring*, denoted  $R = 0$ . Note that the zero ring is the only ring where  $1 = 0$ , so we immediately exclude this ring by imposing the standard condition that  $1 \neq 0$ .

**Definition - The (real) Hamilton Quaternions:** Let  $\mathbb{H}$  be the collection of elements of the form  $a + bi + cj + dk$  where  $a, b, c, d \in \mathbb{R}$  are real numbers (loosely, “polynomials in  $1, i, j, k$  with real coefficients”) where addition is defined “componentwis” by

$$(a + bi + cj + dk) + (a' + b'i + c'j + d'k) = (a + a') + (b + b')i + (c + c')j + (d + d')k$$

and multiplication is defined using the distributive law and simplifying using the relations

$$i^2 = j^2 = k^2 = -1, ij = -ji = k, jk = -kj = i, ki = -ik = j$$

where the real coefficients commute with  $i, j, k$ .

The real Hamiltonian Quaternions (similarly is true for rational coefficients) form a non-commutative division ring with identity  $1 = 1 + 0(i + j + k)$ . Inverses are given by  $(a + bi + cj + dk)^{-1} = \frac{a - bi - cj - dk}{a^2 + b^2 + c^2 + d^2}$ .

**Proposition 7.1:** Let  $R$  be a ring. Then

1.  $0a = a0 = 0$  for all  $a \in R$ .
2.  $(-a)b = a(-b) = -(ab)$  for all  $a, b \in R$  (recall  $-a$  is the additive inverse of  $a$ ).
3.  $(-a)(-b) = ab$  for all  $a, b \in R$ .
4. if  $R$  has an identity 1, then the identity is unique and  $-a = (-1)a$ .

**Definition - Zero Divisor, Unit:** Let  $R$  be a ring.

1. A nonzero element  $a \in R$  is called a *zero divisor* if there is a nonzero element  $b \in R$  such that either  $ab = 0$  or  $ba = 0$ .

2. Assume  $R$  has an identity  $1 \neq 0$ . An element  $u$  of  $R$  is called a *unit* in  $R$  if there is some  $v$  in  $R$  such that  $uv = vu = 1$ . The set of units in  $R$  is denoted  $R^\times$ .

**Consequences of the Above Definitions:**

1. Note that  $R^\times$  forms a group under multiplication and will be referred to as the *group of units of  $R$* .
2. In this terminology a field is just a commutative ring  $F$  with identity  $1 \neq 0$  in which every nonzero element is a unit, i.e.,  $F^\times = F - \{0\}$ .
3. Note that a zero divisor can never be a unit.
4. (2) and (3) imply that a field has no zero divisors.
5.  $\mathbb{Z}/n\mathbb{Z}$  is a field iff  $n$  is prime.
6.  $\mathbb{Q}(\sqrt{D})$  is called a *quadratic field* for  $D$  is a square-free integer.

**Definition - Integral Domain:** A commutative ring with identity  $1 \neq 0$  is called an *integral domain* if it has no zero divisors.

**Proposition 7.2:** Assume  $a, b, c$  are elements of any ring with  $a$  not a zero divisor. If  $ab = ac$ , then either  $a = 0$  or  $b = c$  (i.e., if  $a \neq 0$  we can cancel the  $a$ 's). In particular, if  $a, b, c$  are any elements in an integral domain and  $ab = ac$ , then either  $a = 0$  or  $b = c$ .

**Corollary 7.3 - Wedderburn's little theorem:** Any finite integral domain is a field.

**Definition - Subring:** A *subring* of the ring  $R$  is a subgroup of  $R$  that is closed under multiplication.

The conditions for checking if a subset  $S \subseteq R$  is a subring are that it is nonempty and closed under subtraction (addition and inverses under addition) and under multiplication.

The *Gaussian Integers* are all numbers of the form  $a + bi$ , for integers  $a, b$ .

**Definition - Ring of Integers in the Quadratic Field  $\mathbb{Q}(\sqrt{D})$ :** Define

$$\mathcal{O} = \mathcal{O}_{\mathbb{Q}(\sqrt{D})} = \mathbb{Z}[\omega] = \{a + b\omega \mid a, b \in \mathbb{Z}\},$$

$$\text{where } \omega = \begin{cases} \sqrt{D}, & \text{if } D \equiv 2, 3 \pmod{4} \\ \frac{1 + \sqrt{D}}{2}, & \text{if } D \equiv 1 \pmod{4}. \end{cases}$$

**Definition - Field Norm:** Define the *field norm*  $N : \mathbb{Q}(\sqrt{D}) \rightarrow \mathbb{Q}$  by

$$N(a + b\sqrt{D}) = (a + b\sqrt{D})(a - b\sqrt{D}) = a^2 - Db^2 \in \mathbb{Q}.$$

If the quadratic field  $\mathbb{Q}(\sqrt{D})$  is in some  $w = \frac{1 + \sqrt{D}}{2}$ , then the norm is defined to be the conjugate of

**Definition - Polynomial, Degree, Monic,  $R[x]$ :** Given a ring  $R$ , the formal sum

$$a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$$

with  $n \geq 0$  and each  $a_i \in R$  is called a *polynomial* in  $x$  with coefficients  $a_i \in R$ . If the *leading coefficient*  $a_n \neq 0$ , then this polynomial is said to be of *degree  $n$* .  $a_n x^n$  is called the *leading term*. This polynomial is said to be *monic* if  $a_n = 1$ . The set of all such polynomials is called the *ring of polynomials in the variable*

$x$  with coefficients in  $R$  and will be denoted  $R[x]$ .

The ring  $R$  appears in  $R[x]$  as the *constant polynomials*, i.e.  $R \subset R[x]$ . Note that by definition of the multiplication,  $R[x]$  is a commutative ring with identity (the identity 1 from  $R$ ).

**Proposition 7.4:** Let  $R$  be an integral domain and let  $p(x), q(x)$  be nonzero elements of  $R[x]$ . Then

1.  $\deg(p(x)q(x)) = \deg p(x) + \deg q(x)$ ,
2. the units of  $R[x]$  are just the units of  $R$ ,
3.  $R[x]$  is an integral domain.

Given a ring  $R$  we define  $M_n(R)$  to be the set of all  $n \times n$  matrices with entries from  $R$ . The element  $(a_{ij})$  of  $M_n(R)$  is an  $n \times n$  square array of elements of  $R$  whose entry in row  $i$  and column  $j$  is  $a_{ij} \in R$ .  $M_n(R)$  forms a ring. The units in  $M_n(R)$  are  $GL_n(R)$ , the group of invertible  $n \times n$  matrices with entries in  $R$ .

An element  $(a_{ij})$  of  $M_n(R)$  is called a *scalar matrix* if for some  $a \in R$ ,  $a_{ii} = a$  for all  $i \in \{1, \dots, n\}$  and  $a_{ij} = 0$  for all  $i \neq j$  (i.e., all diagonal entries equal  $a$  and all off-diagonal entries are 0).

If  $S$  is a subring of  $R$  then  $M_n(S)$  is a subring of  $M_n(R)$ .

**Definition - Group Ring:** For a finite group  $G = \{g_1, g_2, \dots, g_n\}$ , define the **group ring**,  $RG$ , of  $G$  with coefficients in  $R$  to be the set of all formal sums

$$a_1g_1 + a_2g_2 + \dots + a_ng_n, \text{ for } a_i \in R, 1 \leq i \leq n.$$

Addition is defined component-wise and multiplication is defined using the distributive law and the group relations.

$\mathbb{Z}G$  (called the *integral group ring of  $G$* ) is a subring of  $\mathbb{Q}G$  (the *rational group ring of  $G$* ). Furthermore, if  $H$  is a subgroup of  $G$  then  $\mathbb{R}H$  is a subring of  $\mathbb{R}G$ .

**Definition - Ring Homomorphism, Kernel.** Let  $R$  and  $S$  be rings.

1. A *ring homomorphism* is a map  $\varphi : R \rightarrow S$  satisfying
  - (i).  $\varphi(a + b) = \varphi(a) + \varphi(b)$  for all  $a, b \in R$  (so  $\varphi$  is a group homomorphism on the additive groups) and
  - (ii).  $\varphi(ab) = \varphi(a)\varphi(b)$  for all  $a, b \in R$ .
2. The *kernel* of the ring homomorphism  $\varphi$ , denoted  $\ker \varphi$ , is the set of elements of  $R$  that map to 0 in  $S$  (i.e., the kernel of  $\varphi$  viewed as a homomorphism of additive groups).
3. A bijective ring homomorphism is called an *isomorphism*.

We use  $\cong$  to denote an isomorphism of rings, similarly to groups.

**Proposition 7.5:** Let  $R$  and  $S$  be rings and let  $\varphi : R \rightarrow S$  be a homomorphism.

1. The image of  $\varphi$  is a subring of  $S$ .
2. The kernel of  $\varphi$  is a subring of  $R$ . Furthermore, if  $\alpha \in \ker \varphi$  then  $r\alpha$  and  $\alpha r \in \ker \varphi$  for every  $r \in R$ , i.e.,  $\ker \varphi$  is closed under multiplication by elements from  $R$ .

**Definition - Quotient Ring:** This ring of cosets is called the *quotient ring* of  $R$  by  $I = \ker \varphi$  and is denoted  $R/I$ .

**Definition - (Left/Right) Ideal:** Let  $R$  be a ring, let  $I$  be a subset of  $R$  and let  $r \in R$ .

1.  $rI = \{ra | a \in I\}$  and  $Ir = \{ar | a \in I\}$ .
2. A subset  $I$  of  $R$  is a *left ideal* of  $R$  if
  - (i).  $I$  is a subring of  $R$ , and
  - (ii).  $I$  is closed under left multiplication by elements from  $R$ , i.e.,  $rI \subseteq I$  for all  $r \in R$ .

Similarly  $I$  is a *right ideal* if (i) holds and in place of (ii) one has

- (ii)'.  $I$  is closed under right multiplication by elements from  $R$ , i.e.,  $Ir \subseteq I$  for all  $r \in R$ .
3. A subset  $I$  that is both a left ideal and a right ideal is called an *ideal* (or, for added emphasis, a *two-sided ideal*) of  $R$ .

**Proposition 7.6:** Let  $R$  be a ring and let  $I$  be an ideal of  $R$ . Then the (additive) quotient group  $R/I$  is a ring under the binary operations:

$$(r + I) + (s + I) = (r + s) + I \text{ and } (r + I)x(s + I) = (rs) + I$$

for all  $r, s \in R$ . Conversely, if  $I$  is any subgroup such that the above operations are well defined, then  $I$  is an ideal of  $R$ .

**Definition - Quotient Ring:** When  $I$  is an ideal of  $R$  the ring  $R/I$  with the operations in the previous proposition is called the *quotient ring* of  $R$  by  $I$ .

**Theorem 7.7:**

1. (*The First Isomorphism Theorem for Rings*) If  $\varphi : R \rightarrow S$  is a homomorphism of rings, then the kernel of  $\varphi$  is an ideal of  $R$ , the image of  $\varphi$  is a subring of  $S$  and  $R/\ker \varphi$  is isomorphic as a ring to  $\varphi(R)$ .
2. If  $I$  is any ideal of  $R$ , then the map

$$R \rightarrow R/I \quad \text{defined by} \quad r \mapsto r + I$$

is the surjective ring homomorphism with kernel  $I$  (this homomorphism is called the natural projection of  $R$  onto  $R/I$ ). Thus every ideal is the kernel of a ring homomorphism and vice versa.

Similarly to groups, we may write  $\bar{r} = r + I$  for some ideal  $I$  and  $\bar{r} + \bar{s} = \overline{r + s}$  and  $\bar{r} \bar{s} = \overline{rs}$ .

**Theorem 7.8:** Let  $R$  be a ring.

1. (*The Second Isomorphism Theorem for Rings*) Let  $A$  be a subring and let  $B$  be an ideal of  $R$ . Then  $A + B = \{a + b | a \in A, b \in B\}$  is a subring of  $R$ ,  $A \cap B$  is an ideal of  $A$  and  $(A + B)/B \cong A/(A \cap B)$ .
2. (*The Third Isomorphism Theorem for Rings*) Let  $I$  and  $J$  be ideals of  $R$  with  $I \subseteq J$ . Then  $J/I$  is an ideal of  $R/I$  and  $(R/I)/(J/I) \cong R/J$ .
3. (*The Fourth or Lattice Isomorphism Theorem for Rings*) Let  $I$  be an ideal of  $R$ . The correspondence  $A \leftrightarrow A/I$  is an inclusion preserving bijection between the set of subrings  $A$  of  $R$  that contain  $I$  and the set of subrings of  $R/I$ . Furthermore,  $A$  (a subring containing  $I$ ) is an ideal of  $R$  if and only if  $A/I$  is an ideal of  $R/I$ .



**Definition - Sum and Product of Ideals:** Let  $I$  and  $J$  be ideals of  $R$ .

1. Define the *sum* of  $I$  and  $J$  by  $I + J = \{a + b | a \in I, b \in J\}$ .
2. Define the *product* of  $I$  and  $J$ , denoted by  $IJ$ , to be the set of all finite sums of elements of the form  $ab$  with  $a \in I$  and  $b \in J$ .
3. For any  $n \geq 1$ , define the  $n$ th power of  $I$ , denoted by  $I^n$ , to be the set consisting of all finite sums of elements of the form  $a_1 a_2 \dots a_n$  with  $a_i \in I$  for all  $i$ . Equivalently,  $I^n$  is defined inductively by defining  $I^1 = I$ , and  $I^n = II^{n-1}$  for  $n = 2, 3, \dots$ .

**Definition -  $(A)$ , Principle Ideal, Finitely Generated Ideal:** Let  $A$  be any subset of the ring  $R$  with identity  $1 \neq 0$ .

1. Let  $(A)$  denote the smallest ideal of  $R$  containing  $A$ , called the *ideal generated by  $A$* .
2. Let  $RA$  denote the set of all finite sums of elements of the form  $ra$  with  $r \in R$  and  $a \in A$  i.e.,  $RA = \{r_1 a_1 + r_2 a_2 + \dots + r_n a_n | r_i \in R, a_i \in A, n \in \mathbb{Z}^+\}$  (where the convention is  $RA = 0$  if  $A = \emptyset$ ). Similarly,  $AR = \{a_1 r_1 + a_2 r_2 + \dots + a_n r_n | r_i \in R, a_i \in A, n \in \mathbb{Z}^+\}$  and  $RAR = \{r_1 a_1 r_1 + r_2 a_2 r_2 + \dots + r_n a_n r_n | r_i \in R, a_i \in A, n \in \mathbb{Z}^+\}$ .
3. An ideal generated by a single element is called a *principal ideal*.
4. An ideal generated by a finite set is called a *finitely generated ideal*.

The (two-sided) ideal  $I = (A)$  generated by some subset  $A \subseteq R$  must be closed under multiplication of elements of  $R$ , so  $I$  contains all elements of the form  $ar, \forall a \in A, r \in R$ . Thus, for any ring  $R$ , the ideal generated by 1 is  $R$ , as  $1r = r \in I, \forall r \in R$ .

When  $A = \{a\}$ ,  $\{a_1, a_2, \dots, a_n\}$ , or  $\{a_1, a_2, \dots\}$ , we can write  $(a)$ ,  $(a_1, a_2, \dots, a_n)$ ,  $(a_1, a_2, \dots)$  to mean  $(A)$ , respectively.

$$(A) = \bigcap_{\substack{I \text{ an ideal} \\ A \subseteq I}} I,$$

in other words, the ideal  $(A)$  generated by some set  $A$  is the intersection of all ideals of  $R$  containing the set  $A$ .

Similarly, the *left ideal generated by  $A$*  is the intersection of all left ideals of  $R$  that contain  $A$ .

Then  $RA$  is the left ideal generated by  $A$ ,  $AR$  is the right ideal generated by  $A$  and  $RAR$  is the (two-sided) ideal generated by  $A$ . If  $R$  is commutative then  $RA = AR = RAR = (A)$ .

**Proposition 7.9:** Let  $I$  be an ideal of  $R$  with identity  $1 \neq 0$ .

1.  $I = R$  if and only if  $I$  contains a unit.
2. Assume  $R$  is commutative. Then  $R$  is a field if and only if its only ideals are 0 and  $R$ .

**Corollary 7.10:** If  $R$  is a field then any nonzero ring homomorphism from  $R$  into another ring is an injection.

**Definition - Maximal Ideal:** An ideal  $M$  in an arbitrary ring  $S$  is called a *maximal ideal* if  $M \neq S$  and the only ideals containing  $M$  are  $M$  and  $S$ .

**Proposition 7.11:** In a ring with identity every proper ideal is contained in a maximal ideal.

**Proposition 7.12:** Assume  $R$  is a commutative ring with identity  $1 \neq 0$ . The ideal  $M$  is a maximal ideal if and only if the quotient ring  $R/M$  is a field.

**Definition - Prime Ideal:** Assume  $R$  is a commutative ring with identity  $1 \neq 0$ . An ideal  $P$  is called a *prime ideal* if  $P \neq R$  and whenever the product  $ab$  of two elements  $a, b \in R$  is an element of  $P$ , then at least one of  $a$  and  $b$  is an element of  $P$ .

**Proposition 7.13:** Assume  $R$  is a commutative ring with identity  $1 \neq 0$ . Then the ideal  $P$  is a prime ideal in  $R$  if and only if the quotient ring  $R/P$  is an integral domain.

**Corollary 7.14:** Assume  $R$  is commutative. Every maximal ideal of  $R$  is a prime ideal.

**Theorem 7.15:** Let  $R$  be a commutative ring. Let  $D$  be any nonempty subset of  $R$  that does not contain 0, does not contain any zero divisors and is closed under multiplication (i.e.,  $ab \in D$  for all  $a, b \in D$ ). Then there is a commutative ring  $Q$  with 1 such that  $Q$  contains  $R$  as a subring and every element of  $D$  is a unit in  $Q$ . The ring  $Q$  has the following additional properties.

1. every element of  $Q$  is of the form  $rd^{-1} = d^{-1}r$  for some  $r \in R$  and  $d \in D$ . In particular, if  $D = R - \{0\}$  then  $Q$  is a field.
2. (uniqueness of  $Q$ ) The ring  $Q$  is the “smallest” ring containing  $R$  in which all elements of  $D$  become units, in the following sense. Let  $S$  be any commutative ring with identity and let  $\varphi : R \rightarrow S$  be any injective ring homomorphism such that  $\varphi(d)$  is a unit in  $S$  for every  $d \in D$ . Then there is an injective homomorphism  $\phi : Q \rightarrow S$  such that  $\phi|_R = \varphi$ . In other words, any ring containing an isomorphic copy of  $R$  in which all the elements of  $D$  become units must also contain an isomorphic copy of  $Q$ .

**Definition - Ring (Field) of Fractions, Quotient Field:** Let  $R, D$  and  $Q$  be as in Theorem 15.

1. The ring  $Q$  is called the *ring of fractions of  $D$  with respect to  $R$*  and is denoted  $D^{-1}R$ .
2. If  $R$  is an integral domain and  $D = R - \{0\}$ ,  $Q$  is called the *field of fractions* or *quotient field* of  $R$ .

**Corollary 7.16:** Let  $R$  be an integral domain (which means  $R$  is commutative) and let  $Q$  be the field of fractions of  $R$ . If a field  $F$  contains a subring  $R'$  isomorphic to  $R$  then the subfield of  $F$  generated by  $R'$  is isomorphic to  $Q$ .

**Definition - Ring Direct Product:** We define a direct product of rings  $R_1 \times R_2 \times \cdots \times R_n$  (or for infinitely many  $R_i$ ) as the set of ordered pairs  $(r_1, r_2, \dots, r_n), r_i \in R_i$ , where addition and multiplication are defined component-wise, i.e.,

$$(r_1, r_2) + (s_1, s_2) = (r_1 + s_1, r_2 + s_2) \text{ and } (r_1, r_2)(s_1, s_2) = (r_1 s_1, r_2 s_2).$$

Then a map from a ring  $R$  into a direct product of rings is a homomorphism iff the induced maps into each component of the direct product are homomorphisms.

**Definition - Comaximal.** The ideals  $A$  and  $B$  of the commutative ring  $R$  with identity  $1 \neq 0$  are said to be *comaximal* if  $A + B = R$ .

**Theorem 7.17 - Chinese Remainder Theorem:** Let  $A_1, A_2, \dots, A_k$  be ideals in commutative ring  $R$  with identity  $1 \neq 0$ . The map

$$R \rightarrow R/A_1 \times R/A_2 \times \cdots \times R/A_k \text{ defined by } r \mapsto (r + A_1, r + A_2, \dots, r + A_k)$$

is a ring homomorphism with kernel  $A_1 \cap A_2 \cap \dots \cap A_k$ . If for each  $i, j \in \{1, 2, \dots, k\}$  with  $i \neq j$  the ideals  $A_i$  and  $A_j$  are comaximal, then this map is surjective and  $A_1 \cap A_2 \cap \dots \cap A_k = A_1 A_2 \dots A_k$ , so

$$R/(A_1 A_2 \dots A_k) = R/(A_1 \cap A_2 \cap \dots \cap A_k) \cong R/A_1 \times R/A_2 \times \dots \times R/A_k.$$

**Corollary 7.18:** Let  $n$  be a positive integer and let  $P_1^{\alpha_1} P_2^{\alpha_2} \dots P_k^{\alpha_k}$  be its factorization into powers of distinct primes. Then

$$\mathbb{Z}/n\mathbb{Z} \cong (\mathbb{Z}/P_1^{\alpha_1}\mathbb{Z}) \times (\mathbb{Z}/P_2^{\alpha_2}\mathbb{Z}) \times \dots \times (\mathbb{Z}/P_k^{\alpha_k}\mathbb{Z}),$$

as rings, so in particular we have the following isomorphism of multiplicative groups:

$$(\mathbb{Z}/n\mathbb{Z})^\times \cong (\mathbb{Z}/P_1^{\alpha_1}\mathbb{Z})^\times \times (\mathbb{Z}/P_2^{\alpha_2}\mathbb{Z})^\times \times \dots \times (\mathbb{Z}/P_k^{\alpha_k}\mathbb{Z})^\times.$$

## 8. Euclidean Domains, Principal Ideal Domains, and Unique Factorization Domains

**Definition - (Positive) Norm:** Any function  $N : R \rightarrow \mathbb{Z}^+ \cup \{0\}$  with  $N(0) = 0$  is called a *norm* on the integral domain  $R$ . If  $N(a) > 0$  for  $a \neq 0$  define  $N$  to be a *positive norm*.

**Definition - Euclidean Domain/Division Algorithm, Quotient, Remainder:** The integral domain  $R$  is said to be a *Euclidean Domain* (or possess a *Division Algorithm*) if there is a norm  $N$  on  $R$  such that for any two elements  $a$  and  $b$  of  $R$  with  $b \neq 0$  there exist elements  $q$  and  $r$  in  $R$  with

$$a = bq + r, \text{ with } r = 0 \text{ or } N(r) < N(b).$$

The element  $q$  is called the *quotient* and the element  $r$  the *remainder* of the division.

**Definition - Euclidean Algorithm:** We care about the existence of a division algorithm on an integral domain  $R$  because it allows for a *Euclidean algorithm* for two elements  $a, b \in R$ ,

$$a = q_0 b + r_0 \tag{1}$$

$$b = q_1 r_0 + r_1 \tag{2}$$

$$r_0 = q_2 r_1 + r_2 \tag{3}$$

$$\vdots \tag{4}$$

$$r_{n-2} = q_n r_{n-1} + r_n \tag{5}$$

$$r_{n-1} = q_{n+1} r_n \tag{6}$$

The sequence of  $(r_i)$  necessarily terminates at some  $i = n$  as  $N(b) > N(r_0) > \dots > N(r_n)$  is a decreasing sequence of integers bounded below at 0.

**Proposition 8.1:** Every ideal in a Euclidean Domain is principal. More precisely, if  $I$  is any nonzero ideal in the Euclidean Domain  $R$  then  $I = (d)$ , where  $d$  is any nonzero element of  $I$  of minimum norm.

**Definition - Greatest Common Divisor:** Let  $R$  be a commutative ring and let  $a, b \in R$  with  $b \neq 0$ .

1.  $a$  is said to be a *multiple* of  $b$  if there exists an element  $x \in R$  with  $a = bx$ . In this case  $b$  is said to *divide*  $a$  or be a *divisor* of  $a$ , written  $b|a$ .
2. A *greatest common divisor* of  $a$  and  $b$  is a nonzero element  $d$  such that
  - (i).  $d|a$  and  $d|b$ , and
  - (ii). if  $d'|a$  and  $d'|b$  then  $d'|d$ .

A greatest common divisor of  $a$  and  $b$  will be denoted by  $GCD(a, b)$ , or (abusing the notation) simply  $(a, b)$ .

$b|a$  iff  $a \in (b)$  iff  $(a) \subseteq (b)$ .

If  $I$  is the ideal of  $R$  generated by  $a$  and  $b$ , then  $d$  is a greatest common divisor of  $a$  and  $b$  if

- (i).  $I$  is contained in the principal ideal  $(d)$ , and
- (ii). if  $(d')$  is any principal ideal containing  $I$  then  $(d) \subseteq (d')$ .

This is essentially saying that  $(d)$  is the unique smallest ideal containing  $I = (a, b)$ .

**Proposition 8.2:** If  $a$  and  $b$  are nonzero elements in the commutative ring  $R$  such that the ideal generated by  $a$  and  $b$  is a principal ideal  $(d)$ , then  $d$  is a greatest common divisor of  $a$  and  $b$ .

**Definition - Bezout Domain:** An integral domain in which every ideal  $(a, b)$  generated by two elements is principal is called a *Bezout Domain*.

**Proposition 8.3:** Let  $R$  be an integral domain. If two elements  $d$  and  $d'$  of  $R$  generate the same principal ideal, i.e.,  $(d) = (d')$ , then  $d' = ud$  for some unit  $u$  in  $R$ . In particular, if  $d$  and  $d'$  are both greatest common divisors of  $a$  and  $b$ , then  $d' = ud$  for some unit  $u$ .

**Theorem 8.4:** Let  $R$  be a Euclidean Domain and let  $a$  and  $b$  be nonzero elements of  $R$ . Let  $d = r_n$  be the last nonzero remainder in the Euclidean Algorithm for  $a$  and  $b$  described at the beginning of this chapter. Then

1.  $d$  is a greatest common divisor of  $a$  and  $b$ , and
2. the principal ideal  $(d)$  is the ideal generated by  $a$  and  $b$ . In particular,  $d$  can be written as an  $R$ -linear combination of  $a$  and  $b$ , i.e., there are elements  $x$  and  $y$  in  $R$  such that

$$d = ax + by.$$

**Definition - Universal Side Divisor:** Let  $\tilde{R} = R^\times \cup \{0\}$  denote the collection of units of commutative ring  $R$  together with 0. An element  $u \in R - \tilde{R}$  is called a *universal side divisor* if for every  $x \in R$  there is some  $z \in \tilde{R}$  such that  $u$  divides  $x - z$  in  $R$ , i.e.  $x = qu + z$ , where  $z$  is either a unit or 0.

**Proposition 8.5:** Let  $R$  be an integral domain that is not a field. If  $R$  is a Euclidean Domain then there are universal side divisors in  $R$ .

**Definition - Principal Ideal Domain (P.I.D.):** A *Principal Ideal Domain (P.I.D.)* is an integral domain in which every ideal is principal.

Proposition 8.1 showed that every Euclidean domain is a principle ideal domain, so a Euclidean domain is a stronger condition than a P.I.D.

**Proposition 8.6:** Let  $R$  be a Principal Ideal Domain and let  $a$  and  $b$  be nonzero elements of  $R$ . Let  $d$  be a generator for the principal ideal generated by  $a$  and  $b$ . Then

1.  $d$  is a greatest common divisor of  $a$  and  $b$ ,
2.  $d$  can be written as an  $R$ -linear combination of  $a$  and  $b$ , i.e., there are elements  $x$  and  $y$  in  $R$  with

$$d = ax + by.$$

3.  $d$  is unique up to multiplication by a unit of  $R$ .

**Proposition 8.7:** Every nonzero prime ideal in a Principal Ideal Domain is a maximal ideal.

**Corollary 8.8:** If  $R$  is any commutative ring such that the polynomial ring  $R[x]$  is a Principal Ideal Domain (or a Euclidean Domain), then  $R$  is necessarily a field.

**Definition - Dedekind-Hasse Norm:** Define  $N$  to be a *Dedekind-Hasse norm* if  $N$  is a positive norm and for every nonzero  $a, b \in R$  either  $a$  is an element of the ideal  $(b)$  or there is a nonzero element in the ideal  $(a, b)$  of norm strictly smaller than the norm of  $b$  (i.e., either  $b$  divides  $a$  in  $R$  or there exist  $s, t \in R$  with  $0 < N(sa - tb) < N(b)$ ).

Note that when  $s = 1$  in the above definition, this is equivalent to  $R$  being a Euclidean domain.

**Proposition 8.9:** The integral domain  $R$  is a P.I.D. if and only if  $R$  has a Dedekind-Hasse norm.

**Definition - Irreducible, Prime, Associate:** Let  $R$  be an integral domain.

1. Suppose  $r \in R$  is nonzero and is not a unit. Then  $r$  is called *irreducible* in  $R$  if whenever  $r = ab$  with  $a, b \in R$ , at least one of  $a$  or  $b$  must be a unit in  $R$ . Otherwise  $r$  is said to be *reducible*.
2. The nonzero element  $p \in R$  is called *prime* in  $R$  if the ideal  $(p)$  generated by  $p$  is a prime ideal. In other words, a nonzero element  $p$  is a prime if it is not a unit and whenever  $p|ab$  for any  $a, b \in R$ , then either  $p|a$  or  $p|b$ .
3. Two elements  $a$  and  $b$  of  $R$  differing by a unit are said to be associate in  $R$  (i.e.,  $a = ub$  for some unit  $u$  in  $R$ ).

If  $R$  is a Principal Ideal Domain however, the notions of prime and irreducible elements are the same.

**Proposition 8.10:** In an integral domain a prime element is always irreducible.

**Proposition 8.11:** In a Principal Ideal Domain a nonzero element is a prime if and only if it is irreducible.

**Definition - Unique Factorization Domain (U.F.D.):** A *Unique Factorization Domain (U.F.D.)* is an integral domain  $R$  in which every nonzero element  $r \in R$  which is not a unit has the following two properties:

1.  $r$  can be written as a finite product of irreducible  $p_i$  of  $R$  (not necessarily distinct):  $r = p_1 p_2 \dots p_n$  and
2. the decomposition in (1) is unique up to associates: namely, if  $r = q_1 q_2 \dots q_m$  is another factorization of  $r$  into irreducibles, then  $m = n$  and there is some renumbering of the factors so that  $p_i$  is associate to  $q_i$  for  $i = 1, 2, \dots, n$ .

**Proposition 8.12:** In a Unique Factorization Domain a nonzero element is a prime if and only if it is irreducible.

**Proposition 8.13:** Let  $a$  and  $b$  be two nonzero elements of the Unique Factorization Domain  $R$  and suppose

$$a = up_1^{e_1} \dots p_n^{e_n} \text{ and } b = vp_1^{f_1} \dots p_n^{f_n}$$

are prime factorizations for  $a$  and  $b$ , where  $u$  and  $v$  are units, the primes  $p_1, p_2, \dots, p_n$  are distinct and the exponents  $e_i$  and  $f_i$  are  $\geq 0$ . Then the element

$$d = p_1^{\min(e_1, f_1)} p_2^{\min(e_2, f_2)} \dots p_n^{\min(e_n, f_n)}$$

(where  $d = 1$  if all the exponents are 0) is a greatest common divisor of  $a$  and  $b$ .

**Theorem 8.14:** Every Principal Ideal Domain is a Unique Factorization Domain. In particular, every Euclidean Domain is a Unique Factorization Domain.

**Corollary 8.15 - Fundamental Theorem of Arithmetic:** The integers  $\mathbb{Z}$  are a Unique Factorization Domain.

**Corollary 8.16:** Let  $R$  be a P.I.D. Then there exists a multiplicative Dedekind-Hasse norm on  $R$ .

**Lemma 8.17:** The prime number  $p \in \mathbb{Z}$  divides an integer of the form  $n^2 + 1$  if and only if  $p$  is either 2 or is an odd prime congruent to 1 modulo 4.

**Proposition 8.18:**

1. (*Fermat's Theorem on Sums of Squares*) The prime  $p$  is the sum of two integer squares,  $p = a^2 + b^2$ ,  $a, b \in \mathbb{Z}$ , if and only if  $p = 2$  or  $p \equiv 1 \pmod{4}$ . Except for interchanging  $a$  and  $b$  or changing the signs of  $a$  and  $b$ , the representation of  $p$  as a sum of two squares is unique.
2. The irreducible elements in the Gaussian integers  $\mathbb{Z}[i]$  are as follows:
  - (a)  $1 + i$  (which has norm 2),
  - (b) the primes  $p \in \mathbb{Z}$  with  $p \equiv 3 \pmod{4}$  (which have norm  $p^2$ ), and
  - (c)  $a + bi, a - bi$ , the distinct irreducible factors of  $p = a^2 + b^2 = (a + bi)(a - bi)$  for the primes  $p \in \mathbb{Z}$  with  $p \equiv 1 \pmod{4}$  (both of which have norm  $p$ ).

**Corollary 8.19:** Let  $n$  be a positive integer and write

$$n = 2^k p_1^{a_1} \dots p_r^{a_r} q_1^{b_1} \dots q_s^{b_s}$$

where  $p_1, \dots, p_r$  are distinct primes congruent to 1 (mod 4) and  $q_1, \dots, q_s$  are distinct primes congruent to 3 (mod 4). Then  $n$  can be written as a sum of two squares in  $\mathbb{Z}$ , i.e.,  $n = A^2 + B^2$  with  $A, B \in \mathbb{Z}$ , if and only if each  $b_i$  is even. Further, if this condition on  $n$  is satisfied, then the number of representations of  $n$  as a sum of two squares is  $4(a_1 + 1)(a_2 + 1) \dots (a_r + 1)$ .

In summary of all of chapter 8,

$$\text{fields} \subset \text{Euclidean Domains} \subset \text{P.I.D.s} \subset \text{U.F.D.s} \subset \text{integral domains}.$$

## 9. Polynomial Rings

In this chapter the ring  $R$  will always denote a commutative ring with identity  $1 \neq 0$ .

The polynomial ring  $R[x]$  is all formal sums of the form

$$a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0, n \geq 0, a_i \in R.$$

**Proposition 9.1:** Let  $R$  be an integral domain and let  $p(x), q(x)$  be nonzero elements of  $R[x]$ . Then

1.  $\deg(p(x)q(x)) = \deg p(x) + \deg q(x)$ ,
2. the units of  $R[x]$  are just the units of  $R$ ,
3.  $R[x]$  is an integral domain.

If  $R$  is an integral domain then the quotient field of  $R[x]$  consists of all quotients  $\frac{q(x)}{p(x)}$ , where  $q(x)$  is not the zero polynomial, and is called the field of rational functions in  $x$  with coefficients in  $R$ . For an integral domain  $R$ , the quotient ring of  $R[x]$  by a prime ideal  $pR[x]$  is an integral domain.

**Proposition 9.2:** Let  $I$  be an ideal of the ring  $R$  and let  $(I) = I[x]$  denote the ideal of  $R[x]$  generated by  $I$  (the set of polynomials with coefficients in  $I$ ). Then

$$R[x]/(I) \cong R/I[x].$$

In particular, if  $I$  is a prime ideal of  $R$  then  $(I)$  is a prime ideal of  $R[x]$ .

**Definition - Multivariate Polynomial Rings:** The *polynomial ring in the variables*  $x_1, x_2, \dots, x_n$  with coefficients in  $R$ , denoted  $R[x_1, x_2, \dots, x_n]$ , is defined inductively by

$$R[x_1, x_2, \dots, x_n] = R[x_1, x_2, \dots, x_{n-1}][x_n].$$

Elements of this ring are of the form

$$ax_1^{d_1} \dots x_n^{d_n}, d_i \geq 0$$

where  $a \in R$  is the *coefficient* of the term, the exponent  $d_i$  is called the *degree in  $x_i$*  of the term and the sum  $d = d_1 + d_2 + \dots + d_n$  is called the *degree* of the term. The ordered  $n$ -tuple  $(d_1, d_2, \dots, d_n)$  is the *multidegree* of the term. A monic term  $x_1^{d_1} \dots x_n^{d_n}$  is called simply a *monomial* and is the *monomial part* of the term  $ax_1^{d_1} \dots x_n^{d_n}$ . The *degree* of a nonzero polynomial is the largest degree of any of its monomial terms.

A polynomial is called *homogeneous* or a *form* if all its terms have the same degree. If  $f$  is a nonzero polynomial in  $n$  variables, the sum of all the monomial terms in  $f$  of degree  $k$  is called the *homogeneous component of  $f$  of degree  $k$* .

If  $f$  has degree  $d$  then  $f$  may be written uniquely as the sum  $f_0 + f_1 + \dots + f_d$ , where  $f_k$  is the homogeneous component of  $f$  of degree  $k$ , for  $0 \leq k \leq d$  (where some  $f_k$  may be zero).

**Theorem 9.3:** Let  $F$  be a field. The polynomial ring  $F[x]$  is a Euclidean Domain. Specifically, if  $a(x)$  and  $b(x)$  are two polynomials in  $F[x]$  with  $b(x)$  nonzero, then there are *unique*  $q(x)$  and  $r(x)$  in  $F[x]$  such that

$$a(x) = q(x)b(x) + r(x), \text{ with } r(x) = 0 \text{ or } \deg r(x) < \deg b(x).$$

**Corollary 9.4:** If  $F$  is a field, then  $F[x]$  is a Principal Ideal Domain and a Unique Factorization Domain.

**Proposition 9.5 - Gauss' Lemma:** Let  $R$  be a Unique Factorization Domain with field of fractions  $F$  and let  $p(x) \in R[x]$ . If  $p(x)$  is reducible in  $F[x]$  then  $p(x)$  is reducible in  $R[x]$ . More precisely, if  $p(x) = A(x)B(x)$  for some non-constant polynomials  $A(x), B(x) \in F[x]$ , then there are nonzero elements  $r, s \in F$  such that  $rA(x) = a(x)$  and  $sB(x) = b(x)$  both lie in  $R[x]$  and  $p(x) = a(x)b(x)$  is a factorization in  $R[x]$ .

Note that Gauss' Lemma is not saying that there exist  $R$ -multiples of  $A(x)$  and  $B(x)$ , rather that there are  $F$ -multiples.

**Corollary 9.6:** Let  $R$  be a Unique Factorization Domain, let  $F$  be its field of fractions and let  $p(x) \in R[x]$ . Suppose the greatest common divisor of the coefficients of  $p(x)$  is 1. Then  $p(x)$  is irreducible in  $R[x]$  if and only if it is irreducible in  $F[x]$ . In particular, if  $p(x)$  is a monic polynomial that is irreducible in  $R[x]$ , then  $p(x)$  is irreducible in  $F[x]$ .

**Theorem 9.7:**  $R$  is a Unique Factorization Domain if and only if  $R[x]$  is a Unique Factorization Domain.

**Corollary 9.8:** If  $R$  is a Unique Factorization Domain, then a polynomial ring in an arbitrary number of variables with coefficients in  $R$  is also a Unique Factorization Domain.

**Proposition 9.9:** Let  $F$  be a field and let  $p(x) \in F[x]$ . Then  $p(x)$  has a factor of degree one if and only if  $p(x)$  has a root in  $F$ , i.e., there is an  $\alpha \in F$  with  $p(\alpha) = 0$ .

**Proposition 9.10:** A polynomial of degree two or three over a field  $F$  is reducible if and only if it has a root in  $F$ .

**Proposition 9.11:** Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0$  be a polynomial of degree  $n$  with integer coefficients. If  $r/s \in \mathbb{Q}$  is in lowest terms, (i.e.,  $r$  and  $s$  are relatively prime integers) and  $r/s$  is a root of  $p(x)$ , then  $r$  divides the constant term and  $s$  divides the leading coefficient of  $p(x)$ :  $r|a_0$  and  $s|a_n$ . In particular, if  $p(x)$  is a monic polynomial with integer coefficients and  $p(d) \neq 0$  for all integers  $d$  dividing the constant term of  $p(x)$ , then  $p(x)$  has no roots in  $\mathbb{Q}$ .

**Proposition 9.12:** Let  $I$  be a proper ideal in the integral domain  $R$  and let  $p(x)$  be a non-constant monic polynomial in  $R[x]$ . If the image of  $p(x)$  in  $(R/I)[x]$  cannot be factored in  $(R/I)[x]$  into two polynomials of smaller degree, then  $p(x)$  is irreducible in  $R[x]$ .

**Proposition 9.13 - Eisenstein's Criterion:** Let  $P$  be a prime ideal of the integral domain  $R$  and let  $f(x) = x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$  be a polynomial in  $R[x]$  (here  $n \geq 1$ ). Suppose  $a_{n-1}, \dots, a_1, a_0$  are all elements of  $P$  and suppose  $a_0$  is not an element of  $P^2$ . Then  $f(x)$  is irreducible in  $R[x]$ .

**Corollary 9.14 - Eisenstein's Criterion for  $\mathbb{Z}[x]$ :** Let  $p$  be a prime in  $\mathbb{Z}$  and let  $f(x) = x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0 \in \mathbb{Z}[x]$ ,  $n \geq 1$ . Suppose  $p$  divides  $a_i$  for all  $i \in \{0, 1, \dots, n-1\}$  but that  $p^2$  does not divide  $a_0$ . Then  $f(x)$  is irreducible in both  $\mathbb{Z}[x]$  and  $\mathbb{Q}[x]$ .

**Proposition 9.15:** Let  $F$  denote a field. The maximal ideals in  $F[x]$  are the ideals  $(f(x))$  generated by irreducible polynomials  $f(x)$ . In particular,  $F[x]/(f(x))$  is a field if and only if  $f(x)$  is irreducible.

**Proposition 9.16:** Let  $g(x)$  be a non-constant element of  $F[x]$  and let

$$g(x) = f_1(x)^{n_1} f_2(x)^{n_2} \cdots f_k(x)^{n_k}$$

be its factorization into irreducibles, where the  $f_i(x)$  are distinct. Then we have the following isomorphism of rings:

$$F[x]/(g(x)) \cong F[x]/(f_1(x)^{n_1}) \times F[x]/(f_2(x)^{n_2}) \times \cdots \times F[x]/(f_k(x)^{n_k}).$$

**Proposition 9.17:** If the polynomial  $f(x)$  has roots  $\alpha_1, \alpha_2, \dots, \alpha_k \in F$  (not necessarily distinct), then  $f(x)$  has  $(x - \alpha_1) \cdots (x - \alpha_k)$  as a factor. In particular, a polynomial of degree  $n$  in one variable over a field  $F$  has at most  $n$  roots in  $F$ , even counted with multiplicity.

**Proposition 9.18:** A finite subgroup of the multiplicative group of a field is cyclic. In particular, if  $F$  is a finite field, then the multiplicative group  $F^\times$  of nonzero elements of  $F$  is a cyclic group.

**Corollary 9.19:** Let  $p$  be a prime. The multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^\times$  of nonzero residue classes mod  $p$  is cyclic.

**Corollary 9.20:** Let  $n \geq 2$  be an integer with factorization  $n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_r^{\alpha_r} \in \mathbb{Z}$ , where  $p_1, \dots, p_r$  are distinct primes. We have the following isomorphisms of (multiplicative) groups:

1.  $(\mathbb{Z}/n\mathbb{Z})^\times \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^\times \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z})^\times \times \cdots \times (\mathbb{Z}/p_r^{\alpha_r}\mathbb{Z})^\times$ .
2.  $(\mathbb{Z}/2^\alpha\mathbb{Z})^\times$  is the direct product of a cyclic group of order 2 and a cyclic group of order  $2^{\alpha-2}$ , for all  $\alpha \geq 2$ .
3.  $(\mathbb{Z}/p^\alpha\mathbb{Z})^\times$  is a cyclic group of order  $p^{\alpha-1}(p-1)$ , for all odd primes  $p$ .



### 13. Field Theory

Recall that a field  $F$  is a commutative ring with identity in which every nonzero element has an inverse. Equivalently, the set  $F^\times = F - \{0\}$  of nonzero elements of  $F$  is an abelian group under multiplication.

**Definition - Characteristic:** The *characteristic* of a field  $F$ , denoted  $\text{ch}(F)$ , is defined to be the smallest positive integer  $p$  such that  $p \cdot 1_F = 1_F + \cdots + 1_F = 0$  if such a  $p$  exists, and is defined to be 0 otherwise.

The characteristic of a field is either a prime  $p$  or 0.

**Proposition 13.1:** The characteristic of a field  $F$ ,  $\text{ch}(F)$ , is either 0 or a prime  $p$ . If  $\text{ch}(F) = p$  then for any  $\alpha \in F$ ,

$$p \cdot \alpha = \alpha + \cdots + \alpha = 0.$$

**Definition -  $\mathbb{F}_p, \mathbb{F}_p(x)$ :** We define  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  and  $\mathbb{F}_p(x)$ , the field of rational functions in  $x$  with coefficients in  $\mathbb{F}_p$ .

**Definition - Prime Subfield:** The *prime subfield* of a field  $F$  is the subfield of  $F$  generated by the multiplicative identity  $1_F$  of  $F$ . It is (isomorphic to) either  $\mathbb{Q}$  (if  $\text{ch}(F) = 0$ ) or  $\mathbb{F}_p$  (if  $\text{ch}(F) = p$ ).

This can be proved by considering a map  $\varphi : \mathbb{Z} \rightarrow F$  in which  $n \mapsto n \cdot 1_F$  and considering  $\ker(\varphi) = \text{ch}(F)\mathbb{Z}$ .

If a field has characteristic  $p$ , then  $0 = p \cdot 1 = p$ .

**Definition - Extension (Field), Base Field:** If  $K$  is a field containing the subfield  $F$ , then  $K$  is said to be an *extension field* (or simply an *extension*) of  $F$ , denoted  $K/F$  (which reads “ $K$  over  $F$ ”) or by the diagram

$$\begin{array}{c} K \\ | \\ F \end{array}$$

In particular, every field  $F$  is an extension of its prime subfield. The field  $F$  is sometimes called the *base field* of the extension.

If  $K/F$  is any extension of fields, then the multiplication defined in  $K$  makes  $K$  into a vector space over  $F$ . In particular, every field  $F$  can be considered as a vector space over its prime field.

**Definition - (Relative) Degree/Index:** The *degree* (or *relative degree* or *index*) of a field extension  $K/F$ , denoted  $[K : F]$ , is the dimension of  $K$  as a vector space over  $F$  (i.e.,  $[K : F] = \dim_F K$ ). The extension is said to be finite if  $[K : F]$  is finite and is said to be infinite otherwise.

**Proposition 13.2:** Let  $\varphi : F \rightarrow F'$  be a homomorphism of fields. Then  $\varphi$  is either identically 0 or is injective, so that the image of  $\varphi$  is either 0 or isomorphic to  $F$ .

**Theorem 13.3:** Let  $F$  be a field and let  $p(x) \in F[x]$  be an irreducible polynomial. Then there exists a field  $K$  containing an isomorphic copy of  $F$  in which  $p(x)$  has a root. Identifying  $F$  with this isomorphic copy shows that there exists an extension of  $F$  in which  $p(x)$  has a root.

**Theorem 13.4:** Let  $p(x) \in F[x]$  be an irreducible polynomial of degree  $n$  over the field  $F$  and let  $K = F[x]/(p(x))$ . Let  $\theta = x \bmod(p(x)) \in K$ . Then the elements

$$1, \theta, \theta^2, \dots, \theta^{n-1}$$

are a basis for  $K$  as a vector space over  $F$ , so the degree of the extension is  $n$ , i.e.,  $[K : F] = n$ . Hence

$$K = \{a_0 + a_1\theta + \cdots + a_{n-1}\theta^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in F\}$$

consists of all polynomials of degree  $< n$  in  $\theta$ .

**Corollary 13.5.** Let  $K$  be as in Theorem 4, and let  $a(\theta), b(\theta) \in K$  be two polynomials of degree  $< n$  in  $\theta$ . Then addition in  $K$  is defined simply by usual polynomial addition and multiplication in  $K$  is defined by

$$a(\theta)b(\theta) = r(\theta)$$

where  $r(\theta)$  is the remainder (of degree  $< n$ ) obtained after dividing the polynomial  $a(x)b(x)$  by  $p(x)$  in  $F[x]$ .

$K$  is a field.

**Definition - Field Generated By:** Let  $K$  be an extension of the field  $F$  and let  $\alpha, \beta, \dots \in K$  be a collection of elements of  $K$ . Then the smallest subfield of  $K$  containing both  $F$  and the elements  $\alpha, \beta, \dots$  denoted  $F(\alpha, \beta, \dots)$  is called the field *generated by*  $\alpha, \beta, \dots$  over  $F$ .

**Definition - Simple Extension, Primitive Element:** If the field  $K$  is generated by a single element  $\alpha$  over  $F$ ,  $K = F(\alpha)$ , then  $K$  is said to be a *simple extension* of  $F$  and the element  $\alpha$  is called a *primitive element* for the extension.

**Theorem 13.6:** Let  $F$  be a field and let  $p(x) \in F[x]$  be an irreducible polynomial. Suppose  $K$  is an extension field of  $F$  containing a root  $\alpha$  of  $p(x) : p(\alpha) = 0$ . Let  $F(\alpha)$  denote the subfield of  $K$  generated over  $F$  by  $\alpha$ . Then

$$F(\alpha) \cong F[x]/(p(x)).$$

**Corollary 13.7:** Suppose in Theorem 6 that  $p(x)$  is of degree  $n$ . Then

$$F(\alpha) = \{a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_{n-1}\alpha^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in F\} \subseteq K.$$

**Theorem 13.8:** Let  $\varphi : F \xrightarrow{\sim} F'$  be an isomorphism of fields. Let  $p(x) \in F[x]$  be an irreducible polynomial and let  $p'(x) \in F'[x]$  be the irreducible polynomial obtained by applying the map  $\varphi$  to the coefficients of  $p(x)$ . Let  $\alpha$  be a root of  $p(x)$  (in some extension of  $F$ ) and let  $\beta$  be a root of  $p'(x)$  (in some extension of  $F'$ ). Then there is an isomorphism

$$\begin{aligned} \sigma : F(\alpha) &\xrightarrow{\sim} F'(\beta) \\ \alpha &\mapsto \beta \end{aligned}$$

mapping  $\alpha$  to  $\beta$  and extending  $\varphi$ , i.e., such that  $\sigma$  restricted to  $F$  is the isomorphism  $\varphi$ .

**Definition - Algebraic, transcendental:** Let  $F$  be a field and  $K$  an extension of  $F$ . The element  $\alpha \in K$  is said to be *algebraic* over  $F$  if  $\alpha$  is a root of some nonzero polynomial  $f(x) \in F[x]$ . If  $\alpha$  is not algebraic over  $F$  (i.e., is not the root of any nonzero polynomial with coefficients in  $F$ ) then  $\alpha$  is said to be *transcendental* over  $F$ . The extension  $K/F$  is said to be *algebraic* if every element of  $K$  is algebraic over  $F$ .

**Proposition 13.9:** Let  $\alpha$  be algebraic over  $F$ . Then there is a unique monic irreducible polynomial  $m_{\alpha, F}(x) \in F[x]$  which has  $\alpha$  as a root. A polynomial  $f(x) \in F[x]$  has  $\alpha$  as a root if and only if  $m_{\alpha, F}(x)$  divides  $f(x)$  in  $F[x]$ .

**Corollary 13.10:** If  $L/F$  is an extension of fields and  $\alpha$  is algebraic over both  $F$  and  $L$ , then  $m_{\alpha, L}(x)$  divides  $m_{\alpha, F}(x)$  in  $L[x]$ .

**Definition - Minimal Polynomial, Degree:** The polynomial  $m_{\alpha, F}(x)$  (or just  $m_\alpha(x)$  if the field  $F$  is understood) in Proposition 9 is called the *minimal polynomial* for  $\alpha$  over  $F$ . The degree of  $m_\alpha(x)$  is called the *degree* of  $\alpha$ .

**Proposition 13.11:** Let  $\alpha$  be algebraic over the field  $F$  and let  $F(\alpha)$  be the field generated by  $\alpha$  over  $F$ . Then

$$F(\alpha) \cong F[x]/(m_\alpha(x))$$

so that in particular

$$[F(\alpha) : F] = \deg m_\alpha(x) = \deg \alpha,$$

i.e., the degree of  $\alpha$  over  $F$  is the degree of the extension it generates over  $F$ .

**Proposition 13.12:** The element  $\alpha$  is algebraic over  $F$  if and only if the simple extension  $F(\alpha)/F$  is finite. More precisely, if  $\alpha$  is an element of an extension of degree  $n$  over  $F$  then  $\alpha$  satisfies a polynomial of degree at most  $n$  over  $F$  and if  $\alpha$  satisfies a polynomial of degree  $n$  over  $F$  then the degree of  $F(\alpha)$  over  $F$  is at most  $n$ .

**Corollary 13.13:** If the extension  $K/F$  is finite, then it is algebraic.

**Theorem 13.14:** Let  $F \subseteq K \subseteq L$  be fields. Then

$$[L : F] = [L : K][K : F],$$

i.e. extension degrees are multiplicative, where if one side of the equation is infinite, the other side is also infinite.

**Corollary 13.15:** Suppose  $L/F$  is a finite extension and let  $K$  be any subfield of  $L$  containing  $F$ ,  $F \subseteq K \subseteq L$ . Then  $[K : F]$  divides  $[L : F]$ .

**Definition - Finitely Generated:** An extension  $K/F$  is *finitely generated* if there are elements  $\alpha_1, \dots, \alpha_k$  in  $K$  such that  $K = F(\alpha_1, \dots, \alpha_k)$ .

**Lemma 13.16:**  $F(\alpha, \beta) = (F(\alpha))(\beta)$ , i.e., the field generated over  $F$  by  $\alpha$  and  $\beta$  is the field generated by  $\beta$  over the field  $F(\alpha)$  generated by  $\alpha$ .

**Theorem 13.17:** The extension  $K/F$  is finite if and only if  $K$  is generated by a finite number of algebraic elements over  $F$ . More precisely, a field generated over  $F$  by a finite number of algebraic elements of degrees  $n_1, n_2, \dots, n_k$  is algebraic of degree  $\leq n_1 n_2 \dots n_k$ .

**Corollary 13.18:** Suppose  $\alpha$  and  $\beta$  are algebraic over  $F$ . Then  $\alpha \pm \beta$ ,  $\alpha\beta$ ,  $\alpha/\beta$  (for  $\beta \neq 0$ ), (in particular  $\alpha^{-1}$  for  $\alpha \neq 0$ ) are all algebraic.

**Corollary 13.19:** Let  $L/F$  be an arbitrary extension. Then the collection of elements of  $L$  that are algebraic over  $F$  form a subfield  $K$  of  $L$ .

**Theorem 13.20:** If  $K$  is algebraic over  $F$  and  $L$  is algebraic over  $K$ , then  $L$  is algebraic over  $F$ .

**Definition - Composite Field:** Let  $K_1$  and  $K_2$  be two subfields of a field  $K$ . Then the *composite field* of  $K_1$  and  $K_2$ , denoted  $K_1 K_2$ , is the smallest subfield of  $K$  containing both  $K_1$  and  $K_2$ . Similarly, the composite of any collection of subfields of  $K$  is the smallest subfield containing all the subfields.

Note that the composite field  $K_1 K_2$  can also be defined as the intersection of all the subfields of  $K$  containing both  $K_1$  and  $K_2$ .

**Proposition 13.21:** Let  $K_1$  and  $K_2$  be two finite extensions of a field  $F$  contained in  $K$ . Then

$$[K_1 K_2 : F] \leq [K_1 : F][K_2 : F]$$

with equality if and only if an  $F$ -basis for one of the fields remains linearly independent over the other field. In other words, if  $\alpha_1, \alpha_2, \dots, \alpha_n$  and  $\beta_1, \beta_2, \dots, \beta_m$  are bases for  $K_1$  and  $K_2$  over  $F$ , respectively, then the

elements  $\alpha_i\beta_j$  for  $i = 1, 2, \dots, n$  and  $j = 1, 2, \dots, m$  span  $K_1K_2$  over  $F$ .

**Corollary 13.22:** Suppose that  $[K_1 : F] = n, [K_2 : F] = m$  in Proposition 21, where  $(m, n) = 1$ , i.e.  $m, n$  are relatively prime. Then  $[K_1K_2 : F] = [K_1 : F][K_2 : F] = mn$ .

**Proposition 13.23:** If the element  $\alpha \in \mathbb{R}$  is obtained from a field  $F \subset \mathbb{R}$  by a (finite) series of compass and straightedge constructions then  $[F(\alpha) : F] = 2^k$  for some integer  $k \geq 0$ .

**Theorem 13.24:** None of the classical Greek problems:

- (I) Doubling/Duplicating of the Cube,
- (II) Trisecting an Angle, and
- (III) Squaring the Circle,

are possible.

Note that the distinction between a “straight-edge” and ruler is very important. Given a ruler with unit length 1 marked and a unit compass, it would be possible to trisect a given angle. Similarly is true of doubling the cube.

**Definition - Splitting Field, Splits Completely:** The extension field  $K$  of  $F$  is called a *splitting field* for the polynomial  $f(x) \in F[x]$  if  $f(x)$  factors completely into linear factors (or *splits completely*) in  $K[x]$  and  $f(x)$  does not factor completely into linear factors over any proper subfield of  $K$  containing  $F$ .

**Theorem 13.25:** For any field  $F$ , if  $f(x) \in F[x]$  then there exists an extension  $K$  of  $F$  which is a splitting field for  $f(x)$ .

**Definition - Normal Extension:** If  $K$  is an algebraic extension of  $F$  which is the splitting field over  $F$  for a collection of polynomials  $f(x) \in F[x]$  then  $K$  is called a *normal extension* of  $F$ .

**Proposition 13.26:** A splitting field of a polynomial of degree  $n$  over  $F$  is of degree at most  $n!$  over  $F$ .

**Definition - Primitive  $n$ th Root of Unity:** A generator of the cyclic group of all  $n$ th roots of unity is called a *primitive  $n$ th root of unity*.

Define  $\zeta_n$  to be the first  $n$ th root of unity (counting counterclockwise from 1).

**Definition - Cyclotomic Field of  $n$ th Roots of Unity:** The field  $\mathbb{Q}(\zeta_n)$  is called the *cyclotomic field of  $n$ th roots of unity*.

**Theorem 13.27:** Let  $\varphi : F \xrightarrow{\sim} F'$  be an isomorphism of fields. Let  $f(x) \in F[x]$  be a polynomial and let  $f'(x) \in F'[x]$  be the polynomial obtained by applying  $\varphi$  to the coefficients of  $f(x)$ . Let  $E$  be a splitting field for  $f(x)$  over  $F$  and let  $E'$  be a splitting field for  $f'(x)$  over  $F'$ . Then the isomorphism  $\varphi$  extends to an isomorphism  $\sigma : E \xrightarrow{\sim} E'$ , i.e.,  $\sigma$  restricted to  $F$  is the isomorphism  $\varphi$  :

$$\begin{array}{ccccc} \sigma : & E & \xrightarrow{\sim} & E' \\ & | & & | \\ \varphi : & F & \xrightarrow{\sim} & F' \end{array}$$

**Corollary 13.28 - Uniqueness of Splitting Fields:** Any two splitting fields for a polynomial  $f(x) \in F[x]$  over a field  $F$  are isomorphic.

**Definition - Algebraic Closure:** The field  $\overline{F}$  is called an *algebraic closure* of  $F$  if  $\overline{F}$  is algebraic over  $F$  and if every polynomial  $f(x) \in F[x]$  splits completely over  $\overline{F}$  (so that  $\overline{F}$  can be said to contain all the elements algebraic over  $F$ ).

**Definition - Algebraically Closed:** A field  $K$  is said to be *algebraically closed* if every polynomial with coefficients in  $K$  has a root in  $K$ .

$K = \overline{K}$  iff  $K$  is algebraically closed. This also means that  $\overline{\overline{K}} = \overline{K}$ , for any field  $K$ .

**Proposition 13.29:** Let  $\overline{F}$  be an algebraic closure of  $F$ . Then  $\overline{F}$  is algebraically closed.

**Proposition 13.30:** For any field  $F$  there exists an algebraically closed field  $K$  containing  $F$ .

**Proposition 13.31:** Let  $K$  be an algebraically closed field and let  $F$  be a subfield of  $K$ . Then the collection of elements  $\overline{F}$  of  $K$  that are algebraic over  $F$  is an algebraic closure of  $F$ . An algebraic closure of  $F$  is unique up to isomorphism.

**Theorem - Fundamental Theorem of Algebra:** The field  $\mathbb{C}$  is algebraically closed.

**Corollary 13.32:** The field  $\mathbb{C}$  contains an algebraic closure for any of its subfields. In particular,  $\overline{\mathbb{Q}}$ , the collection of complex numbers algebraic over  $\mathbb{Q}$ , is an algebraic closure of  $\mathbb{Q}$ .

**Definition - Separable, Inseparable:** A polynomial over  $F$  is called *separable* if it has no multiple roots (i.e., all its roots are distinct). A polynomial which is not separable is called *inseparable*.

By technicality of the definition, if a polynomial has no roots, e.g. a constant polynomial, then it is separable.

**Definition - Derivative:** The *derivative* of the polynomial

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0 \in F[x]$$

is defined to be the polynomial

$$D_x f(x) = n a_n x^{n-1} + (n-1) a_{n-1} x^{n-2} + \cdots + a_1 \in F[x].$$

Note that while this is defined similarly to that of analysis, if  $F$  is a discrete field, then the analytic notion of derivatives defined using limits (which are continuous) may not exist.

**Proposition 13.33:** A polynomial  $f(x)$  has a multiple root  $\alpha$  if and only if  $\alpha$  is also a root of  $D_x f(x)$ , i.e.,  $f(x)$  and  $D_x f(x)$  are both divisible by the minimal polynomial for  $\alpha$ . In particular,  $f(x)$  is separable if and only if it is relatively prime to its derivative:  $(f(x), D_x f(x)) = 1$ .

**Corollary 13.34:** Every irreducible polynomial over a field of characteristic 0 (for example,  $\mathbb{Q}$ ) is separable. A polynomial over such a field is separable if and only if it is the product of distinct irreducible polynomials.

**Proposition 13.35:** Let  $F$  be a field of characteristic  $p$ . Then for any  $a, b \in F$ ,

$$(a + b)^p = a^p + b^p, \text{ and } (ab)^p = a^p b^p.$$

Put another way, the  $p$ th-power map defined by  $\varphi(a) = a^p$  is an injective field homomorphism from  $F$  to  $F$ . If  $F$  is finite, then  $\varphi$  is an isomorphism.

**Definition - Frobenius Endomorphism:** The map in Proposition 13.35 is called the *Frobenius endomorphism* of  $F$ .

**Corollary 13.36:** Suppose that  $\mathbb{F}$  is a finite field of characteristic  $p$ . Then every element of  $\mathbb{F}$  is a  $p$ th power in  $\mathbb{F}$  (notationally,  $\mathbb{F} = \mathbb{F}^p$ ).

**Proposition 13.37:** Every irreducible polynomial over a finite field  $\mathbb{F}$  is separable. A polynomial in  $\mathbb{F}[x]$  is separable if and only if it is the product of distinct irreducible polynomials in  $\mathbb{F}[x]$ .

**Definition - Perfect:** A field  $K$  of characteristic  $p$  is called *perfect* if every element of  $K$  is a  $p$ th power in  $K$ , i.e.,  $K = K^p$ . Any field of characteristic 0 is also called *perfect*.

**Definition -  $\mathbb{F}_{p^n}$ :** For any integer  $n > 0$ , finite fields of any order  $p^n$  exist, for prime  $p$ , and are unique up to isomorphism. This field is denoted  $\mathbb{F}_{p^n}$  and can be constructed as the splitting field of the equation  $x^{p^n} - x$  over  $\mathbb{F}_p$ , the field of integers modulo  $p$ .

**Proposition 13.38:** Let  $p(x)$  be an irreducible polynomial over a field  $F$  of characteristic  $p$ . Then there is a unique integer  $k \geq 0$  and a unique irreducible separable polynomial  $p_{sep}(x) \in F[x]$  such that

$$p(x) = p_{sep}(x^{p^k}).$$

**Definition - (In)Separable Degree:** Let  $p(x)$  be an irreducible polynomial over a field of characteristic  $p$ . The degree of  $p_{sep}(x)$  in proposition 13.38 is called the *separable degree* of  $p(x)$ , denoted  $\deg_s p(x)$ . The integer  $p^k$  in the proposition is called the *inseparable degree* of  $p(x)$ , denoted  $\deg_i p(x)$ .

Then a new definition for  $p(x)$  is separable arises, being that the inseparable degree of  $p$  is 1, which is also equivalent to the separable degree being equal to the degree of  $p$ . Additionally, by definition,  $\deg p(x) = \deg_s p(x) \deg_i p(x)$ .

**Definition - Separably Algebraic:** The field  $K$  is said to be *separable* (or *separably algebraic*) over  $F$  if every element of  $K$  is the root of a separable polynomial over  $F$  (equivalently, the minimal polynomial over  $F$  of every element of  $K$  is separable). A field which is not separable is inseparable.

**Corollary 13.39:** Every finite extension of a perfect field is separable. In particular, every finite extension of either  $\mathbb{Q}$  or a finite field is separable.

## 10. Introduction to Module Theory

**Definition - Left Module Over  $R$ , Unital Modules:** Let  $R$  be a ring (not necessarily commutative nor with 1). A *left  $R$ -module* or a *left module over  $R$*  is a set  $M$  together with

1. a binary operation  $+$  on  $M$  under which  $M$  is an abelian group, and
2. an action of  $R$  on  $M$  (that is, a map  $R \times M \rightarrow M$ ) denoted by  $rm$ , for all  $r \in R$  and for all  $m \in M$  which satisfies the following for all  $r, s \in R$ , and  $m, n \in M$

- (a)  $(r + s)m = rm + sm$
- (b)  $(rs)m = r(sm)$
- (c)  $r(m + n) = rm + rn$

If  $R$  has identity 1, then we impose an additional axiom that

- (d)  $1m = m$ . Modules satisfying this axiom are called *unital modules*.

The notion of a right module could be defined similarly. If  $R$  is commutative, for a left  $R$ -module  $M$ , we could make  $M$  a right module by defining  $mr = rm$ , for all  $r \in R, m \in M$ . Not every left  $R$ -module is a right  $R$ -module.

Unless explicitly mentioned, a “module” will always refer to a left module. Additionally, we consider only unital modules, to avoid pathology.

When  $R$  is a field, the axioms of a module are exactly that of a vector space, so modules over a field  $F$  and vector spaces over  $F$  are the same.

**Definition -  $R$ -Submodule:** Let  $R$  be a ring and let  $M$  be an  $R$ -module. An  $R$ -submodule of  $M$  is a subgroup  $N$  of  $M$  which is closed under the action of ring elements, i.e.,  $rn \in N$ , for all  $r \in R, n \in N$ . Every module  $M$  has at least 2 submodules, 0, the *trivial submodule*, and itself.

**Definition - Free Module of Rank  $n$  over  $R$ :** Define

$$R^n = \{(r_1, r_2, \dots, r_n) | r_i \in R, \text{ for } i = [n]\}.$$

Then we can make  $R^n$  an  $R$ -module by defining addition component-wise and scalar multiplication by an element of  $R$  also component-wise. We call  $R^n$  the *free module of rank  $n$  over  $R$* .

**Definition - Annihilated by:** If  $M$  is an  $R$ -module and for some (2-sided) ideal  $I$  of  $R$ ,  $im = 0$ , for all  $i \in I$  and all  $m \in M$ , we say  $M$  is *annihilated by  $I$* . In this case, a very natural next step is to make  $M$  into a  $(R/I)$ -module by defining  $(r + I)m = rm$ , for coset  $r + I$  in  $R/I$  and  $m \in M$ .

**Example -  $\mathbb{Z}$ -Modules:** For  $R = \mathbb{Z}$  and  $A$  being any Abelian group (where we write the operation of  $A$  as  $+$ ), we can make  $A$  into a  $\mathbb{Z}$ -module by defining the action of  $n \in \mathbb{Z}$  on  $a \in A$  as

$$na = \begin{cases} a + a + \dots + a, & \text{if } n > 0 \\ 0, & \text{if } n = 0, \\ -a - a - \dots - a, & \text{if } n < 0 \end{cases}$$

here 0 is identity of the additive group  $A$ . Thus, every Abelian group  $A$  is a  $\mathbb{Z}$ -module. The converse that every  $\mathbb{Z}$ -module  $M$  is an Abelian group is also true, so  $\mathbb{Z}$ -modules are the same as abelian groups.

**Definition - Shift Operator:** Let  $V$  be an affine  $n$ -space  $F^n$  and let  $T$  be the *shift operator*, where

$$T(x_1, x_2, \dots, x_n) = (x_2, x_3, \dots, x_n, 0).$$

**Definition -  $F[x]$ -Modules,  $T$ -Stable/Invariant:** Let  $F$  be a field,  $V$  be a vector space over  $F$ ,  $x$  an indeterminate, and  $T$  a linear transformation from  $V$  to  $V$ . Then we can make  $V$  a  $F[x]$ -module by defining the action of  $p(x) = a_n x^n + \dots + a_1 x + a_0 \in F[x]$ , for  $a_i \in F$ , on  $v \in V$  by

$$p(T)(v) = a_n T^n(v) + \dots + a_1 T(v) + a_0 v,$$

which clearly satisfies the module axioms. Any vector subspace  $U \subseteq V$  such that  $T(U) \subseteq U$  is called  *$T$ -stable* or  *$T$ -invariant*.

Additionally, there exists a bijection between  $\{V \text{ a } F[x]\text{-module}\}$  and  $V$  a vector space over  $F$  and  $T : V \rightarrow V$  a linear transformation. Similarly, there exists a bijection between  $\{W \text{ a } F[x]\text{-submodule}\}$  and  $W$  a subspace of  $V$  and  $W$  is  $T$ -stable.

**Proposition 10.1 - The Submodule Criterion:** Let  $R$  be a ring and let  $M$  be an  $R$ -module. A subset  $N$  of  $M$  is a submodule of  $M$  if and only if

1.  $N \neq \emptyset$ , and
2.  $x + ry \in N$ , for all  $r \in R$  and  $x, y \in N$ .

**Definition -  $R$ -Algebra:** Let  $R$  be a commutative ring with identity. An  $R$ -algebra is a ring  $A$  with identity together with a ring homomorphism  $f : R \rightarrow A$  mapping  $1_R$  to  $1_A$  such that the subring  $f(R)$  of  $A$  is contained in the center of  $A$ .

**Definition -  $R$ -Algebra Homomorphism:** If  $A$  and  $B$  are two  $R$ -algebras, an  $R$ -algebra homomorphism (or *isomorphism*) is a ring homomorphism (isomorphism, respectively)  $\varphi : A \rightarrow B$  mapping  $1_A$  to  $1_B$  such that  $\varphi(r \cdot a) = r \cdot \varphi(a)$  for all  $r \in R$  and  $a \in A$ .

**Definition -  $R$ -Module Homomorphism, Isomorphism, Kernel:** Let  $R$  be a ring and let  $M$  and  $N$  be  $R$ -modules.

1. A map  $\varphi : M \rightarrow N$  is an  $R$ -module homomorphism if it respects the  $R$ -module structures of  $M$  and  $N$ , i.e.
  - (a)  $\varphi(x + y) = \varphi(x) + \varphi(y)$ , for all  $x, y \in M$ , and
  - (b)  $\varphi(rx) = r\varphi(x)$ , for all  $r \in R, x \in M$ .
2. An  $R$ -module homomorphism is an *isomorphism* (of  $R$ -modules) if it is both injective and surjective. The modules  $M$  and  $N$  are said to be isomorphic, denoted  $M \cong N$ , if there is some  $R$ -module isomorphism  $\varphi : M \rightarrow N$ .
3. If  $\varphi : M \rightarrow N$  is an  $R$ -module homomorphism, let  $\ker \varphi = \{m \in M | \varphi(m) = 0\}$  (the *kernel* of  $\varphi$ ) and let  $\varphi(M) = \{n \in N | n = \varphi(m) \text{ for some } m \in M\}$  (the image of  $\varphi$ , as usual).
4. Let  $M$  and  $N$  be  $R$ -modules and define  $\text{hom}_R(M, N)$  to be the set of all  $R$ -module homomorphisms from  $M$  into  $N$ .

An immediate corollary is that every  $R$ -module homomorphism is a homomorphism of the underlying additive groups. Additionally, kernels and images of  $R$ -modules are submodules. Additionally, when  $R$  is a field,  $R$ -module homomorphisms are called linear transformations.

**Proposition 10.2:** Let  $M, N$  and  $L$  be  $R$ -modules.

1. A map  $\varphi : M \rightarrow N$  is an  $R$ -module homomorphism if and only if  $\varphi(rx + y) = r\varphi(x) + \varphi(y)$  for all  $x, y \in M$  and all  $r \in R$ .
2. Let  $\varphi, \psi$  be elements of  $\text{hom}_R(M, N)$ . Define  $\varphi + \psi$  by

$$(\varphi + \psi)(m) = \varphi(m) + \psi(m), \text{ for all } m \in M.$$

Then  $\varphi + \psi \in \text{hom}_R(M, N)$  and with this operation  $\text{hom}_R(M, N)$  is an abelian group under addition. If  $R$  is a commutative ring then for  $r \in R$  define  $r\varphi$  by

$$(r\varphi)(m) = r(\varphi(m)), \text{ for all } m \in M.$$

Then  $r\varphi \in \text{hom}_R(M, N)$  and with this action of the commutative ring  $R$  the abelian group  $\text{hom}_R(M, N)$  is an  $R$ -module.

3. If  $\varphi \in \text{hom}_R(L, M)$  and  $\psi \in \text{hom}_R(M, N)$ , then  $\psi \circ \varphi \in \text{hom}_R(L, N)$ .
4. With addition as above and multiplication defined as function composition,  $\text{hom}_R(M, M)$  is a ring with 1. When  $R$  is commutative  $\text{hom}_R(M, M)$  is an  $R$ -algebra.



**Definition - Endomorphism Ring, Endomorphism:** The ring  $\text{hom}_R(M, M)$  is called the *endomorphism ring of  $M$*  and will often be denoted by  $\text{End}_R(M)$ , or just  $\text{End}(M)$  when the ring  $R$  is clear from the context. Elements of  $\text{End}(M)$  are called *endomorphisms*.

**Proposition 10.3:** Let  $R$  be a ring, let  $M$  be an  $R$ -module and let  $N$  be a submodule of  $M$ . The (additive, abelian) quotient group  $M/N$  can be made into an  $R$ -module by defining an action of elements of  $R$  by

$$r(x + N) = (rx) + N, \text{ for all } r \in R, x + N \in M/N.$$

The natural projection map  $\pi : M \rightarrow M/N$  defined by  $\pi(x) = x + N$  is an  $R$ -module homomorphism with kernel  $N$ .

**Definition - Sum of Modules:** Let  $A, B$  be submodules of the  $R$ -module  $M$ . The *sum* of  $A$  and  $B$  is the set  $A + B = \{a + b | a \in A, b \in B\}$ .

**Theorem 10.4 - Isomorphism Theorems:**

1. (*The First Isomorphism Theorem for Modules*) Let  $M, N$  be  $R$ -modules and let  $\varphi : M \rightarrow N$  be an  $R$ -module homomorphism. Then  $\ker \varphi$  is a submodule of  $M$  and  $M/\ker \varphi \cong \varphi(M)$ .
2. (*The Second Isomorphism Theorem*) Let  $A, B$  be submodules of the  $R$ -module  $M$ . Then  $(A + B)/B \cong A/(A \cap B)$ .
3. (*The Third Isomorphism Theorem*) Let  $M$  be an  $R$ -module, and let  $A$  and  $B$  be submodules of  $M$  with  $A \subseteq B$ . Then  $(M/A)/(B/A) \cong M/B$ .
4. (*The Fourth or Lattice Isomorphism Theorem*) Let  $N$  be a submodule of the  $R$ -module  $M$ . There is a bijection between the submodules of  $M$  which contain  $N$  and the submodules of  $M/N$ . The correspondence is given by  $A \leftrightarrow A/N$ , for all  $A \supseteq N$ . This correspondence commutes with the processes of taking sums and intersections (i.e., is a lattice isomorphism between the lattice of submodules of  $M/N$  and the lattice of submodules of  $M$  which contain  $N$ ).

**Definition - Finite Sums, (Finitely) Generated by, Minimal, Cyclic:** Let  $M$  be an  $R$ -module and let  $N_1, \dots, N_n$  be submodules of  $M$ .

1. The *sum* of  $N_1, \dots, N_n$  is the set of all finite sums of elements from the sets  $N_i$ , i.e.  $\{a_1 + a_2 + \dots + a_n | a_i \in N_i, \text{ for all } i\}$ . Denote this sum by  $N_1 + \dots + N_n$ .
2. For any subset  $A$  of  $M$  let

$$RA = \{r_1 a_1 + r_2 a_2 + \dots + r_m a_m | r_1, \dots, r_m \in R, a_1, \dots, a_m \in A, m \in \mathbb{Z}^+\}$$

(where by convention  $RA = \{0\}$  if  $A = \emptyset$ ). If  $A$  is the finite set  $\{a_1, a_2, \dots, a_n\}$  we shall write  $Ra_1 + Ra_2 + \dots + Ra_n$  for  $RA$ . Call  $RA$  the *submodule of  $M$  generated by  $A$* . If  $N$  is a submodule of  $M$  (possibly  $N = M$ ) and  $N = RA$ , for some subset  $A$  of  $M$ , we call  $A$  a *set of generators* or *generating set* for  $N$ , and we say  $N$  is *generated* by  $A$ .

3. A submodule  $N$  of  $M$  (possibly  $N = M$ ) is *finitely generated* if there is some finite subset  $A$  of  $M$  such that  $N = RA$ , that is, if  $N$  is generated by some finite subset. Additionally, if  $N$  is finitely generated, then there exists a smallest integer  $d > 0$  such that  $N$  is generated by some set of  $d$  elements.

Any generating set consisting of  $d$  elements will be called a *minimal set of generators* for  $N$  (this minimal set will not be unique in general).

4. A submodule  $N$  of  $M$  (possibly  $N = M$ ) is *cyclic* if there exists an element  $a \in M$  such that  $N = Ra$ , that is, if  $N$  is generated by one element, i.e.  $N = Ra = \{ra | r \in R\}$ .

$RA$  is a submodule of  $M$  and is, in fact, the smallest submodule of  $M$  which contains  $A$ .

**Definition - Direct Product/External Direct Sum:** Let  $M_1, \dots, M_k$  be a collection of  $R$ -modules. The collection of  $k$ -tuples  $(m_1, m_2, \dots, m_k)$  where  $m_i \in M_i$  with addition and action of  $R$  defined component-wise is called the *direct product* of  $M_1, \dots, M_k$ , denoted  $M_1 \times \dots \times M_k$ . A direct product of  $R$ -modules may also sometimes be referred to as the *external direct sum* of  $M_1, \dots, M_k$ .

**Proposition 10.5 - Internal Direct Sum:** Let  $N_1, N_2, \dots, N_k$  be submodules of the  $R$ -module  $M$ . Then the following are equivalent:

1. The map  $\pi : N_1 \times N_2 \times \dots \times N_k \rightarrow N_1 + N_2 + \dots + N_k$  defined by

$$\pi(a_1, a_2, \dots, a_k) = a_1 + a_2 + \dots + a_k$$

is an isomorphism (of  $R$ -modules):  $N_1 + N_2 + \dots + N_k \cong N_1 \times N_2 \times \dots \times N_k$ .

2.  $N_j \cap (N_1 + N_2 + \dots + N_{j-1} + N_{j+1} + \dots + N_k) = 0$ , for all  $j \in [k]$ .
3. Every  $x \in N_1 + N_2 + \dots + N_k$  can be written uniquely in the form  $a_1 + a_2 + \dots + a_k$  with  $a_i \in N_i$ .

If  $M = N_1 + N_2 + \dots + N_k$  satisfying condition 3 above, then  $M$  is said to be the internal direct sum of  $N_1, N_2, \dots, N_k$ , written

$$M = N_1 \oplus N_2 \oplus \dots \oplus N_k.$$

**Definition - Free, Basis/Set of Free Generators, Rank:** An  $R$ -module  $F$  is said to be *free* on the subset  $A$  of  $F$  if for every nonzero element  $x$  of  $F$ , there exist unique nonzero elements  $r_1, r_2, \dots, r_n$  of  $R$  and unique  $a_1, a_2, \dots, a_n$  in  $A$  such that  $x = r_1 a_1 + r_2 a_2 + \dots + r_n a_n$ , for some  $n \in \mathbb{Z}^+$ . In this situation we say  $A$  is a *basis* or *set of free generators* for  $F$ . If  $R$  is a commutative ring the cardinality of  $A$  is called the *rank* of  $F$ .

**Theorem 10.6:** For any set  $A$  there is a free  $R$ -module  $F(A)$  on the set  $A$  and  $F(A)$  satisfies the following *universal property*: if  $M$  is any  $R$ -module and  $\varphi : A \rightarrow M$  is any map of sets, then there is a unique  $R$ -module homomorphism  $\phi : F(A) \rightarrow M$  such that  $\phi(a) = \varphi(a)$ , for all  $a \in A$ , that is, the following diagram commutes.

$$\begin{array}{ccc} A & \xrightarrow{\text{inclusion}} & F(A) \\ & \searrow \varphi & \downarrow \phi \\ & & M \end{array}$$

When  $A$  is the finite set  $\{a_1, a_2, \dots, a_n\}$ ,  $F(A) = Ra_1 \oplus Ra_2 \oplus \dots \oplus Ra_n \cong R^n$ .

**Corollary 10.7 - Extend by Linearity:**

1. If  $F_1$  and  $F_2$  are free modules on the same set  $A$ , there is a unique isomorphism between  $F_1$  and  $F_2$  which is the identity map on  $A$ .
2. If  $F$  is any free  $R$ -module with basis  $A$ , then  $F \cong F(A)$ . In particular,  $F$  enjoys the same universal property with respect to  $A$  as  $F(A)$  does in Theorem 6.

We often define  $R$ -module homomorphisms from  $F$  into other  $R$ -modules simply by specifying their values on the elements of  $A$ , then saying “extend by linearity.”

When  $R = \mathbb{Z}$ , the free module on a set  $A$  is called the free abelian group on  $A$ . If  $|A| = n$ ,  $F(A)$  is called the free abelian group of rank  $n$  and is isomorphic to  $\mathbb{Z} \oplus \dots \oplus \mathbb{Z}$  ( $n$  times).

## Tensor Product of Modules

Let  $R$  be a subring of a ring  $S$  and  $f : R \rightarrow S$  is a ring homomorphism with  $f(1_R) = 1_S$ . Then for some left  $S$ -module  $N$ , we can make  $N$  an  $R$ -module if  $rn = f(r)n$ , for defining the action of  $f(r)n = sn$ , when  $f(r) = s$ , the same way as was defined for  $N$  a left  $S$ -module. In this case  $S$  is considered as an *extension* of the ring  $R$  and the resulting  $R$ -module is said to be obtained from  $N$  by *restriction of scalars* from  $S$  to  $R$ .

**Definition - Tensor Product:** Starting with a subring  $R$  of a ring  $S$  and  $N$  a left  $R$ -module. We call  $S \otimes_R N$  (or just  $S \otimes N$  if  $R$  is clear from context) the *tensor product* of  $S$  and  $N$  over  $R$ . The elements of  $S \otimes_R N$  are called *tensors* and can be written as finite sums of the form  $s \otimes n$  with  $s \in S, n \in N$ . Then  $S \otimes_R N$  is naturally a left  $S$ -module under the action defined by

$$s \left( \sum s_i \otimes n_i \right) = \sum (ss_i) \otimes n_i.$$

In this case,  $S \otimes_R N$  is called the (left)  $S$ -module obtained by extension of scalars from the (left)  $R$ -module  $N$ .

Less formally, a tensor product  $S \otimes_R N$  can be seen simply as an extension of the left  $R$ -module  $N$  to an  $S$ -module.

**Properties of Tensor Products:** Given a tensor product  $S \otimes_R N$  (for  $R$  is a subring of  $S$ ), elements  $s_1, s_2 \in S, n_1, n_2 \in N$ , and  $r \in R$ ,

1.  $(s_1 + s_2) \otimes n = s_1 \otimes n + s_2 \otimes n$ ,
2.  $s \otimes (n_1 + n_2) = s \otimes n_1 + s \otimes n_2$ , and
3.  $sr \otimes n = s \otimes rn$ .

**Theorem 10.8:** Let  $R$  be a subring of  $S$ , let  $N$  be a left  $R$ -module and let  $\iota : N \rightarrow S \otimes_R N$  be the  $R$ -module homomorphism defined by  $\iota(n) = 1 \otimes n$ . Suppose that  $L$  is any left  $S$ -module (hence also an  $R$ -module) and that  $\varphi : N \rightarrow L$  is an  $R$ -module homomorphism from  $N$  to  $L$ . Then there is a unique  $S$ -module homomorphism  $\phi : S \otimes_R N \rightarrow L$  such that  $\varphi$  factors through  $\phi$ , i.e.  $\varphi = \phi \circ \iota$  and the diagram

$$\begin{array}{ccc} N & \xrightarrow{\iota} & S \otimes_R N \\ & \searrow \varphi & \downarrow \Phi \\ & & L \end{array}$$

commutes. Conversely, if  $\phi : S \otimes_R N \rightarrow L$  is an  $S$ -module homomorphism then  $\varphi = \phi \circ \iota$  is an  $R$ -module homomorphism from  $N$  to  $L$ .

**Corollary 10.9:** Let  $\iota : N \rightarrow S \otimes_R N$  be the  $R$ -module homomorphism in Theorem 8 above. Then  $N/\ker \iota$  is the unique largest quotient of  $N$  that can be embedded into any  $S$ -module. In particular,  $N$  can be embedded as an  $R$ -submodule of some left  $S$ -module iff  $\iota$  is injective (in which case  $N$  is isomorphic to the  $R$ -submodule  $\iota(N)$  of the  $S$ -module  $S \otimes_R N$ ).

**Definition - Tensor Product of Two  $R$ -Modules:** For a right  $R$ -module  $M$ , and left  $R$ -module  $N$ , we denote the *tensor product* of  $M$  and  $N$  over  $R$ , as  $M \otimes_R N$  (or  $M \otimes N$ ) and have the following relations:

$$\begin{aligned} (m_1 + m_2) \otimes n &= m_1 \otimes n + m_2 \otimes n, \\ m \otimes (n_1 + n_2) &= m \otimes n_1 + m \otimes n_2, \text{ and} \\ mr \otimes n &= m \otimes rn. \end{aligned}$$

The elements of  $M \otimes_R N$  are called *tensors*, and the coset of  $(m, n)$  in  $M \otimes_R N$ ,  $m \otimes n$ , is called a *simple tensor*.

A tensor product can be understood alternatively as quotienting out by the subgroup generated by the above relations as follows:

$$(m_1 + m_2) \otimes n = m_1 \otimes n + m_2 \otimes n \quad \leftrightarrow \quad (m_1 + m_2, n) - (m_1, n) - (m_2, n).$$

**Definition -  $R$ -balanced, Middle Linear:** Let  $M$  be a right  $R$ -module, let  $N$  be a left  $R$ -module and let  $L$  be an abelian group (written additively). A map  $\varphi : M \times N \rightarrow L$  is called  $R$ -balanced or *middle linear with respect to  $R$*  if

$$\begin{aligned}\varphi(m_1 + m_2, n) &= \varphi(m_1, n) + \varphi(m_2, n) \\ \varphi(m, n_1 + n_2) &= \varphi(m, n_1) + \varphi(m, n_2) \\ \varphi(m, rn) &= \varphi(mr, n)\end{aligned}$$

for all  $m, m_1, m_2 \in M$ ,  $n, n_1, n_2 \in N$ , and  $r \in R$ .

**Theorem 10.10:** Suppose  $R$  is a ring with 1,  $M$  is a right  $R$ -module, and  $N$  is a left  $R$ -module. Let  $M \otimes_R N$  be the tensor product of  $M$  and  $N$  over  $R$  and let  $\iota : M \times N \rightarrow M \otimes_R N$  be the  $R$ -balanced map defined above.

1. If  $\phi : M \otimes_R N \rightarrow L$  is any group homomorphism from  $M \otimes_R N$  to an abelian group  $L$ , then the composite map  $\varphi : \phi \circ \iota$  is an  $R$ -balanced map from  $M \times N$  to  $L$ .
2. Conversely, suppose  $L$  is an Abelian group and  $\varphi : M \times N \rightarrow L$  is any  $R$ -balanced map. Then there is a unique group homomorphism  $\phi : M \otimes_R N \rightarrow L$  such that  $\varphi$  factors through  $\iota$ , i.e.  $\varphi = \phi \circ \iota$  as in (1).

Equivalently, the correspondence  $\varphi \leftrightarrow \phi$  in the commutative diagram

$$\begin{array}{ccc} M \times N & \xrightarrow{\iota} & M \otimes_R N \\ & \searrow \varphi & \downarrow \phi \\ & & L \end{array}$$

establishes a bijection

$$\left\{ \begin{array}{l} R\text{-balanced maps} \\ \varphi : M \times N \rightarrow L \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{group homomorphisms} \\ \phi : M \otimes_R N \rightarrow L \end{array} \right\}.$$

**Corollary 10.11:** Suppose  $D$  is an abelian group and  $\iota' : M \times N \rightarrow D$  is an  $R$ -balanced map such that

- (i) the image of  $\iota'$  generates  $D$  as an abelian group, and
- (ii) every  $R$ -balanced map defined on  $M \times N$  factors through  $\iota'$  as in Theorem 10.

Then there is an isomorphism  $f : M \otimes_R N \cong D$  of abelian groups with  $\iota' = f \circ \iota$ .

**Definition - Bimodule:** Let  $R$  and  $S$  be any rings with 1. An abelian group  $M$  is called an  $(S, R)$ -bimodule if  $M$  is a left  $S$ -module, a right  $R$ -module, and  $s(mr) = (sm)r$  for all  $s \in S$ ,  $r \in R$  and  $m \in M$ .

**Definition - Standard  $R$ -Module:** Suppose  $M$  is a left (or right)  $R$ -module over the commutative ring  $R$ . Then the  $(R, R)$ -bimodule structure on  $M$  defined by letting the left and right  $R$ -actions coincide, i.e.,  $mr = rm$  for all  $m \in M$  and  $r \in R$ , will be called the *standard  $R$ -module structure* on  $M$ .

**Definition -  $R$ -bilinear:** Let  $R$  be a commutative ring with 1 and let  $M, N$ , and  $L$  be left  $R$ -modules. The map  $\varphi : M \times N \rightarrow L$  is called  $R$ -bilinear if it is  $R$ -linear in each factor, i.e., if

$$\begin{aligned}\varphi(r_1 m_1 + r_2 m_2, n) &= r_1 \varphi(m_1, n) + r_2 \varphi(m_2, n), \text{ and} \\ \varphi(m, r_1 n_1 + r_2 n_2) &= r_1 \varphi(m, n_1) + r_2 \varphi(m, n_2)\end{aligned}$$

for all  $m, m_1, m_2 \in M$ ,  $n, n_1, n_2 \in N$  and  $r_1, r_2 \in R$ .

**Corollary 10.12:** Suppose  $R$  is a commutative ring. Let  $M$  and  $N$  be two left  $R$ -modules and let  $M \otimes_R N$  be the tensor product of  $M$  and  $N$  over  $R$ , where  $M$  is given the standard  $R$ -module structure. Then  $M \otimes_R N$  is a left  $R$ -module with

$$r(m \otimes n) = (rm) \otimes n = (mr) \otimes n = m \otimes (rn),$$

and the map  $\iota : M \times N \rightarrow M \otimes_R N$  with  $\iota(m, n) = m \otimes n$  is an  $R$ -bilinear map. If  $L$  is any left  $R$ -module then there is a bijection

$$\left\{ \begin{array}{l} R\text{-bilinear maps} \\ \varphi : M \times N \rightarrow L \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} R\text{-module homomorphisms} \\ \phi : M \otimes_R N \rightarrow L \end{array} \right\}$$

where the correspondence between  $\varphi$  and  $\phi$  is given by the commutative diagram

$$\begin{array}{ccc} M \times N & \xrightarrow{\iota} & M \otimes_R N \\ & \searrow \varphi & \downarrow \Phi \\ & & L \end{array}$$

**Theorem 10.13 - The “Tensor Product” of Two Homomorphisms:** Let  $M, M'$  be right  $R$ -modules, let  $N, N'$  be left  $R$ -modules, and suppose  $\varphi : M \rightarrow M'$  and  $\psi : N \rightarrow N'$  are  $R$ -module homomorphisms.

1. There is a unique group homomorphism, denoted by  $\varphi \otimes \psi$ , mapping  $M \otimes_R N$  into  $M' \otimes_R N'$  such that  $(\varphi \otimes \psi)(m \otimes n) = \varphi(m) \otimes \psi(n)$  for all  $n \in N, m \in M$ .
2. If  $M, M'$  are also  $(S, R)$ -bimodules for some ring  $S$  and  $\varphi$  is also an  $S$ -module homomorphism, then  $\varphi \otimes \psi$  is a homomorphism of left  $S$ -modules. In particular, if  $R$  is commutative then  $\varphi \otimes \psi$  is always an  $R$ -module homomorphism for the standard  $R$ -module structures.
3. If  $\lambda : M' \rightarrow M''$  and  $\lambda : N' \rightarrow N''$  are  $R$ -module homomorphisms then  $(\lambda \otimes \mu) \circ (\varphi \otimes \psi) = (\lambda \circ \varphi) \otimes (\mu \circ \psi)$ .

**Theorem 10.14 - Associativity of the Tensor Product:** Suppose  $M$  is a right  $R$ -module,  $N$  is an  $(R, T)$ -bimodule, and  $L$  is a left  $T$ -module. Then there is a unique isomorphism

$$(M \otimes_R N) \otimes_T L \cong M \otimes_R (N \otimes_T L)$$

of abelian groups such that  $(m \otimes n) \otimes l \mapsto m \otimes (n \otimes l)$ . If  $M$  is an  $(S, R)$ -bimodule then this is an isomorphism of  $S$ -modules.

**Corollary 10.15:** Suppose  $R$  is commutative and  $M, N$ , and  $L$  are left  $R$ -modules. Then

$$(M \otimes N) \otimes L \cong M \otimes (N \otimes L)$$

as  $R$ -modules for the standard  $R$ -module structures on  $M, N$  and  $L$ .

**Definition - Multilinear:** Let  $R$  be a commutative ring with 1 and let  $M_1, M_2, \dots, M_n$  and  $L$  be  $R$ -modules with the standard  $R$ -module structures. A map  $\varphi : M_1 \times \dots \times M_n \rightarrow L$  is called  $n$ -multilinear over  $R$  (or simply multilinear if  $n$  and  $R$  are clear from the context) if it is an  $R$ -module homomorphism in each component when the other component entries are kept constant, i.e., for each  $i$

$$\varphi(m_1, \dots, m_{i-1}, rm_i + r'm'_i, m_{i+1}, \dots, m_n) = r\varphi(m_1, \dots, m_i, \dots, m_n) + r'\varphi(m_1, \dots, m'_i, \dots, m_n)$$

for all  $m_i, m'_i \in M_i$  and  $r, r' \in R$ . When  $n = 2$  (respectively, 3) one says  $\varphi$  is *bilinear* (respectively *trilinear*) rather than 2-multilinear (or 3-multilinear).

**Corollary 10.16:** Let  $R$  be a commutative ring and let  $M_1, \dots, M_n, L$  be  $R$ -modules. Let  $M_1 \otimes \dots \otimes M_n$  denote any bracketing of the tensor product of these modules and let

$$\iota : M_1 \times \dots \times M_n \rightarrow M_1 \otimes \dots \otimes M_n$$

be the map defined by  $\iota(m_1, \dots, m_n) = m_1 \otimes \dots \otimes m_n$ . Then

1. for every  $R$ -module homomorphism  $\phi : M_1 \otimes \dots \otimes M_n \rightarrow L$ , the map  $\varphi = \phi \circ \iota$  is  $n$ -multilinear from  $M_1 \times \dots \times M_n$  to  $L$ , and
2. if  $\varphi : M_1 \times \dots \times M_n \rightarrow L$  is an  $n$ -multilinear map then there is a unique  $R$ -module homomorphism  $\phi : M_1 \otimes \dots \otimes M_n \rightarrow L$  such that  $\varphi = \phi \circ \iota$ .

Hence there is a bijection

$$\left\{ \begin{array}{c} n\text{-multilinear maps} \\ \varphi : M_1 \times \dots \times M_n \rightarrow L \end{array} \right\} \leftrightarrow \left\{ \begin{array}{c} R\text{-module homomorphisms} \\ \phi : M_1 \otimes \dots \otimes M_n \rightarrow L \end{array} \right\}$$

with respect to which the following diagram commutes:

$$\begin{array}{ccc} M \times \dots \times M_n & \xrightarrow{\iota} & M \otimes \dots \otimes M_n \\ & \searrow \varphi & \downarrow \phi \\ & & L \end{array}$$

**Theorem 10.17 - Tensor Products of Direct Sums:** Let  $M, M'$  be right  $R$ -modules and let  $N, N'$  be left  $R$ -modules. Then there are unique group isomorphisms

$$\begin{aligned} (M \oplus M') \otimes_R N &\cong (M \otimes_R N) \oplus (M' \otimes_R N) \\ M \otimes_R (N \oplus N') &\cong (M \otimes_R N) \oplus (M \otimes_R N') \end{aligned}$$

such that  $(m, m') \otimes n \mapsto (m \otimes n, m' \otimes n)$  and  $m \otimes (n, n') \mapsto (m \otimes n, m \otimes n')$  respectively. If  $M, M'$  are also  $(S, R)$ -bimodules, then these are isomorphisms of left  $S$ -modules. In particular, if  $R$  is commutative, these are isomorphisms of  $R$ -modules.

**Corollary 10.18 - Extension of Scalars for Free Modules:** The module obtained from the free  $R$ -module  $N \cong R^n$  by extension of scalars from  $R$  to  $S$  is the free  $S$ -module  $S^n$ , i.e.,

$$S \otimes_R R^n \cong S^n$$

as left  $S$ -modules.

**Corollary 10.19:** Let  $R$  be a commutative ring and let  $M \cong R^s$  and  $N \cong R^t$  be free  $R$ -modules with bases  $m_1, \dots, m_s$  and  $n_1, \dots, n_t$  respectively. Then  $M \otimes_R N$  is a free  $R$ -module of rank  $st$ , with basis  $m_i \otimes n_j, 1 \leq i \leq s$  and  $1 \leq j \leq t$ , i.e.

$$R^s \otimes_R R^t \cong R^{st}.$$

More generally, the tensor product of two free modules of arbitrary rank over a commutative ring is free.

**Proposition 10.20:** Suppose  $R$  is a commutative ring and  $M, N$  are left  $R$ -modules, considered with the standard  $R$ -module structures. Then there is a unique  $R$ -module isomorphism

$$M \otimes_R N \cong N \otimes_R M$$

mapping  $m \otimes n$  to  $n \otimes m$ .

**Proposition 10.21:** Let  $R$  be a commutative ring and let  $A$  and  $B$  be  $R$ -algebras. Then the multiplication  $(a \otimes b)(a' \otimes b') = aa' \otimes bb'$  is well defined and makes  $A \otimes_R B$  into an  $R$ -algebra.