第四章 图论基础

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- 4.1 基本术语
- 4.2 矩阵与向量空间
- 4.3 图算法
- 4.4 生成树算法

图论起源

- 哥尼斯堡七桥问题;
- ▶ 1736年,29岁的欧拉发表了 《哥尼斯堡的七座桥》的论文;
- ▶ 回答当地居民的散步问题,证明 了更为广泛的有关一笔画的三条 结论,称为"欧拉定理"。







哥尼斯堡/加里宁格勒的位置





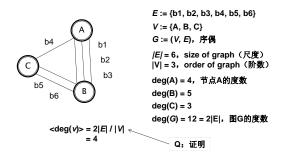
欧拉定理

- ▶ 连到一点的数目如是奇数条,就称为奇点,如果是 偶数条就称为偶点;
- ▶ 连通图可以一笔画的重要条件是: 奇点的数目不是 0 个就是2 个;
- ▶要想一笔画成,必须中间点均是偶点,也就是有来 路必有另一条去路,奇点只可能在两端,因此任何 图能一笔画成,奇点要么没有要么在两端。

南邮三牌楼六桥问题



抽象化定义



形式化定义

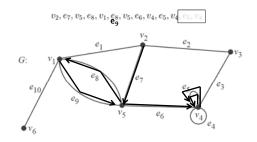
途径 (Walk)

▶ 图中存在关联关系的点边,交替出现的序列

 $v_{2}, e_{7}, v_{5}, e_{8}, v_{1}, e_{8}, v_{5}, e_{6}, v_{4}, e_{5}, v_{4}, e_{5}, v_{4}$ e_{10} e_{1} e_{2} e_{3} v_{5} e_{6} v_{4} e_{4}

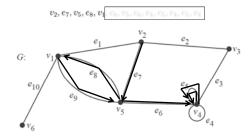
迹 (Trail)

▶ 无重复边的walk



路 (Path)

▶除始末点外无重复点的walk/trail



其他常用术语

- ▶ 开途径: 起末点不相同的途径
- ▶ 闭途径: 超末点相同的途径
- ▶ 开迹、闭迹/回路(Circuit)
- ▶ 开路、闭路/圈(Cycle)
- ▶ 途径长度: 途径所含边的个数
- ▶奇/偶圈:圈的长度为奇数/偶数
- ▶ 最短路: 两点间长度最小的路
- ▶ 图的直径: 所有最短路的最大值
- ▶ 图的围长: 最短圈的长度
- ▶ 图的周长: 最长圈的长度

图的运算

其他运算

- $\circ G_1(V_1, E_1) \cup G_2(V_2, E_2) = (V_1 \cup V_2, E_1 \cup E_2)$
- - $\circ G_1(V_1, E_1) \cap G_2(V_2, E_2) = (V_1 \cap V_2, E_1 \cap E_2)$
- ▶ 异或/环和
 - $\circ \ G_1(V_1,E_1) {}^{\wedge} G_2(V_2,E_2) = (V_1 \cup V_2,E_1 \cup \ E_2)[E_1 {}^{\wedge} E_2]$

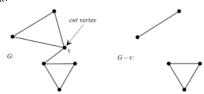
图的关系运算

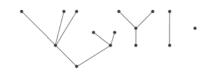
- \bullet $G_1(V_1, E_1), G_2(V_2, E_2)$
- ▶ G_1 为 G_2 的子图,iff., V_1 是 V_2 子集,且 E_1 是 E_2 子集。
- $ightharpoonup G_1$ 为 G_2 的生成子图, $V_1 = V_2$ 。
- ▶ G_1 为 G_2 的边导出子图, V_1 包含 E_1 的所有端点。 $\circ G_1 = G_2[E_1]$
- Arr $\circ G_1 = G_2[V_1]$
- $ightharpoonup G_1 o G_2$ 补(图), iff., $V_1 = V_2$,且 $E_1 o E_2$ 补集。

点割集(Vertex Cut)

- \rightarrow 点割集 F,是 E 的子集,当

 - 。 G(V,E) F=(V F,E $\{e_{ij}\,|\,i$ or j 属于 $F\}$) 是不连通的;。 G(V,E) H 是连通的,对于所有 H 是 F 的真子集。
- ▶ 割点v,
 - F 仅含点v





树与林

树的等价定义、生成树

- ▶ G(V, E)是树;
- ▶ *G*是连通的,且|*E*| = |*V*| 1;
- ▶ *G*无圈,且|*E*| = |*V*| 1;
- ightharpoonup G的任意两点之间,存在唯一条路;
- ightharpoonup G是连通的,删除任意一边后为非连通;
- ▶ *G*无圈,增加任意一边后,正好有一个圈。

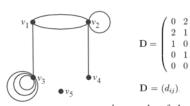


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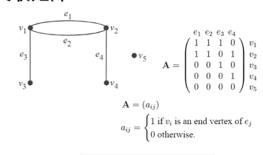
邻接矩阵

邻接矩阵



 $d_{ij} = \text{number of edges between } v_i \text{ and } v_j.$ $V = \{v_1, \dots, v_n\}$

关联矩阵



Q: 从关联矩阵得到邻接矩阵?

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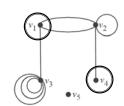
可达性

可达性矩阵

- ▶ 图 *G(V, E)*, 邻接矩形为 *D*
- •可达性矩阵: $\mathbf{R} = (r_{ij})$, $r_{ij} = (\mathbf{R})_{ij}$

$$r_{ij} = \begin{cases} 1 \text{ if } G \text{ has a } v_i \text{--} v_j \text{ path} \\ 0 \text{ otherwise.} \end{cases}$$

直接算法: 邻接矩阵相乘



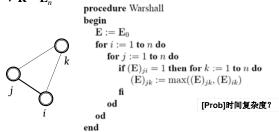
$$\mathbf{D} = \begin{pmatrix} j=1 \\ 0 & 2 & 1 & 0 & 0 \\ 2 & 1 & 0 & 1 & 0 \\ 1 & 0 & 3 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} i = 4$$

 $\begin{array}{lll} DxD = [sum_k(d_{ik}\cdot d_{kj})] & O(N^2) \\ DxDxD = ... & O(N^2) \\ DxDxDxDxD = ... & O(N^2) \\ DxDxDxDxDxD = ... & O(N^2) \\ O(N^3) & O(N^3) \end{array}$

Warshall算法

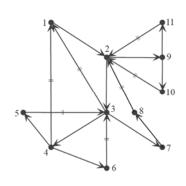
 $ightharpoonup E_0$: D中大于0的元素用1替代

 $\mathbf{R} = \mathbf{E}_n$



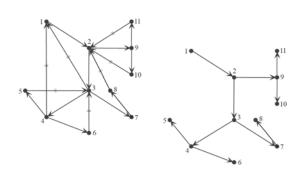
从某点起查找特定的点或边

深度优先查找



- ▶ r: 起始点
- ▶ e: 关联边
- v: 下一点
- r = FAHTER(v)
- 所有点 *x* 关联边 查找完成时,返
- 2. 否则选择*x*的关联 边*e*,到下一点y
 - a) 如果y已查过
 - b) 如果y未查过, e为树边,以 y替代x重复 115

DFS树



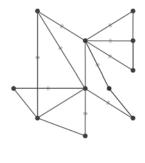
DFS形式化算法

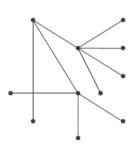
- 1. Set TREE \leftarrow Ø, BACK \leftarrow Ø and $i\leftarrow$ 1. For every vertex x of G, set FATHER($x)\leftarrow$ 0 and $K(x)\leftarrow$ 0.
- 2. Choose a vertex r for which K(r)=0 (this condition is needed only for disconnected graphs, see step #6). Set DFN $(r)\leftarrow i$, $K(r)\leftarrow 1$ and $u\leftarrow r$.
- 3. If every edge incident to u has been examined, go to step #5. Otherwise, choose an edge e=(u,v) that has not been examined.
- 4. We direct edge e from u to v and label it examined.
 - 4.1 If K(v)=0, then set $i\leftarrow i+1$, DFN $(v)\leftarrow i$, TREE \leftarrow TREE \cup {e}, $K(v)\leftarrow$ 1, FATHER $(v)\leftarrow u$ and $u\leftarrow v$. Go back to step #3.
 - 4.2 If K(v)=1, then set BACK \leftarrow BACK \cup {e} and go back to step #3.
- 5. If FATHER(u) \neq 0, then set $u \leftarrow$ FATHER(u) and go back to step #3.
- 6. (Only for disconnected graphs so that we can jump from one component to another.) If there is a vertex r such that K(r)=0, then set $i\leftarrow i+1$ and go back to step #2.
- 7. Stop.

宽度/广度优先查找

- 1. In the beginning, no vertex is labeled. Set $i \leftarrow 0$.
- 2. Choose a (unlabeled) starting vertex r (root) and label it with i.
- 3. Search the set J of vertices that are not labeled and are adjacent to some vertex labeled with i
- 4. If $J \neq \emptyset$, then set $i \leftarrow i + 1$. Label the vertices in J with i and go to step #3.
- 5. (Only for disconnected graphs so we can jump from one component to another.) If a vertex is unlabeled, then set $i\leftarrow 0$ and go to step #2.
- 6. Stop.

BFS树

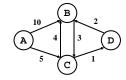




最轻/短路径

目标问题

- ▶ 边权重(weight)、路径权重
- ▶ 权重最小路,按字面为最轻路
- 。 通信网中,把最短称为最短跳数,最轻称为最小成本
- ▶ 如果不存在特定两点间最轻路,也需明确

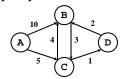


Dijkstra算法

```
Dijkstra(G) for each v \in V d[v] = \infty; d[s] = 0; s = \emptyset; Q = V; while (Q \neq \emptyset) u = \text{ExtractMin}(Q); Q \neq d[u] Q \neq d[u] Q \neq d[u] for each v \in u \rightarrow Adj[] if (d[v] > d[u] + w(u,v); d[v] = d[u] + w(u,v); Q \leftarrow Q \neq d[u] Relaxation Step
```

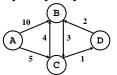
计算过程,step0,step1

- $d[A]=0, d[B]=d[C]=d[D]=\infty,$ $S = \emptyset; Q = \{A,B,C,D\}$
- → u=A; S={A}; Q={B,C,D}
- u->Adj[]={B,C}; d[B]=10,d[C]=5



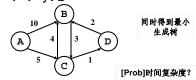
计算过程,step2

- > u=A; S={A}; Q={B,C,D}
 > u->Adj[]={B,C}; d[B]=10,d[C]=5
- + u=C; S={A,C};Q={B,D};d[D]=6,d[B]=9



计算过程,step3,step4

- > u=C; S={A,C};Q={B,D};d[D]=6,d[B]=9
- > u=D;S={A,C,D};Q={B};d[B]=8
- $\rightarrow d=B;S={A,B,C,D};Q=\emptyset$



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Kruskal算法

- 1. 将一条权最小的边加入子图I中,并保证不形成圈。
- 2. 如果当前弧加入后不形成圈,则加入这条弧,如果当前弧加入后会形成圈,则不加入这条弧,并 考虑下一条弧。

Kruskal算法

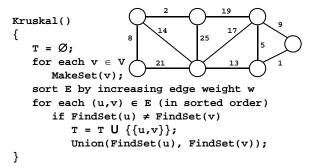
```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
            T = T U {{u,v}};
            Union(FindSet(u), FindSet(v));
}
```

Kruskal算法,计算用例

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
            T = T U {{u,v}};
            Union(FindSet(u), FindSet(v));
}
```

Kruskal算法,7个单节点子树

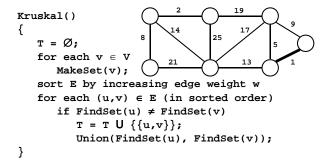
Kruskal算法,边集E排序



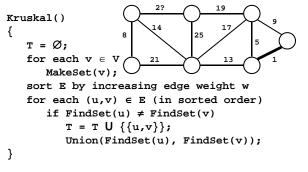
Kruskal算法,选取权重最小边

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
            T = T U {{u,v}};
            Union(FindSet(u), FindSet(v));
}
```

Kruskal算法,合并子树



Kruskal算法,再选次小权重边



Kruskal算法,再合并子树

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
        T = T U {{u,v}};
        Union(FindSet(u), FindSet(v));
}
```

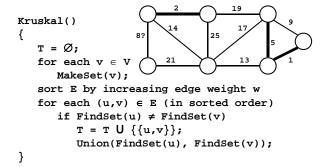
Kruskal算法,重复选边

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
        T = T U {{u,v}};
        Union(FindSet(u), FindSet(v));
}
```

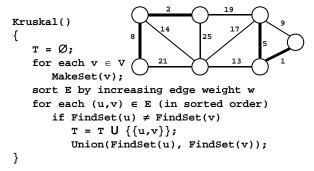
Kruskal算法,再合并子树

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
        T = T U {{u,v}};
        Union(FindSet(u), FindSet(v));
}
```

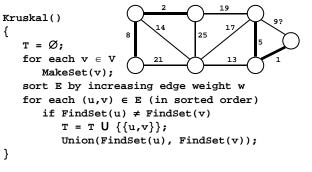
Kruskal算法,再选边



Kruskal算法,再次合并子树



Kruskal算法,选到回路边



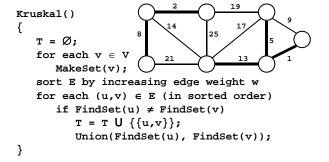
Kruskal算法,不需合并也不加集

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
            T = T U {{u,v}};
            Union(FindSet(u), FindSet(v));
}
```

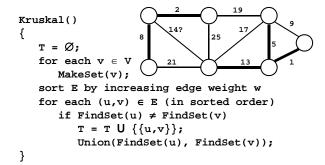
Kruskal算法,再选边

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
            T = T U {{u,v}};
            Union(FindSet(u), FindSet(v));
}
```

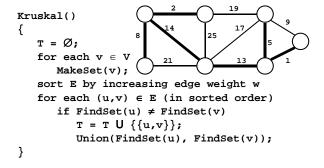
Kruskal算法,再合并子树



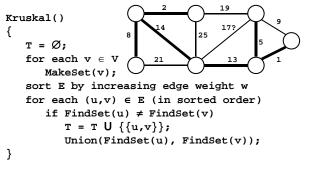
Kruskal算法,再选边



Kruskal算法,再合并



Kruskal算法,再选边、不合并



Kruskal算法,同样结果

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
        T = T U {{u,v}};
        Union(FindSet(u), FindSet(v));
}
```

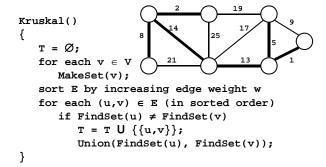
Kruskal算法,仍然不合并

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
            T = T U {{u,v}};
            Union(FindSet(u), FindSet(v));
}
```

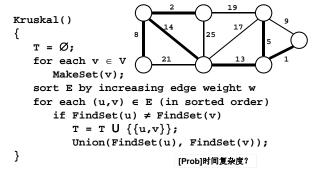
Kruskal算法,最后一条同样

```
Kruskal()
{
    T = Ø;
    for each v ∈ V
        MakeSet(v);
    sort E by increasing edge weight w
    for each (u,v) ∈ E (in sorted order)
        if FindSet(u) ≠ FindSet(v)
            T = T U {{u,v}};
            Union(FindSet(u), FindSet(v));
}
```

Kruskal算法,得到MST



Kruskal算法



Prim算法

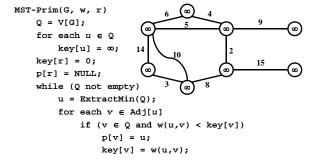
- 1. 不断扩展一棵子树 T = (S, F), F 为 E子集,直到S包括全部顶点,得到最小生成树T。
- 2. 每次增加一条边,使得这条边是由当前子树结点 集*S* 及其补集*S* 所形成的边割集的最小边。

Prim算法

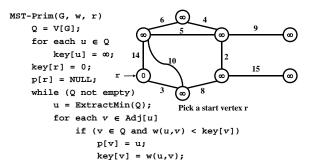
Prim算法,计算用例

```
MST-Prim(G, w, r)
Q = V[G];
for each u ∈ Q
    key[u] = ∞;
key[r] = 0;
p[r] = NULL;
while (Q not empty)
    u = ExtractMin(Q);
    for each v ∈ Adj[u]
        if (v ∈ Q and w(u,v) < key[v])
        p[v] = u;
        key[v] = w(u,v);</pre>
```

Prim算法,初始化

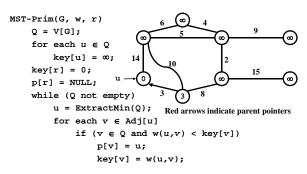


Prim算法,根选择

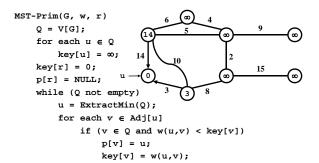


Prim算法,集合Q更新

Prim算法,下一节点v

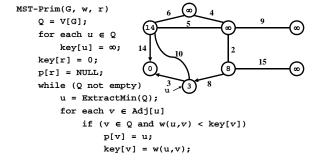


Prim算法,其他节点

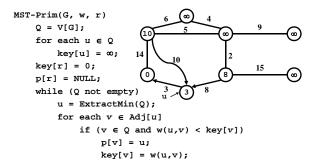


Prim算法, Q中Key最小节点

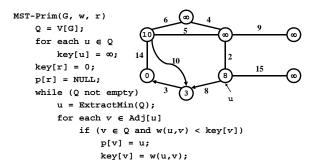
Prim算法,下一节点



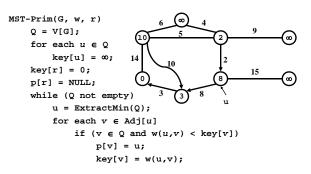
Prim算法,下一节点,更新



Prim算法,再选最小节点



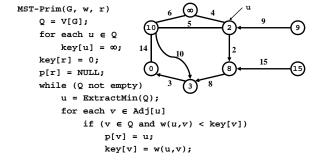
Prim算法,最小节点发生变化



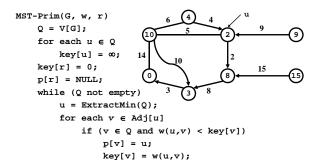
Prim算法

Prim算法,选最小节点

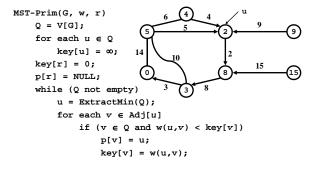
Prim算法



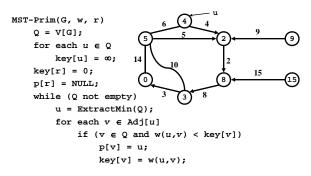
Prim算法,下一节点



Prim算法,权值和路更新



Prim算法,再选无更新



Prim算法,同样结果

```
MST-Prim(G, w, r)

Q = V[G];

for each u ∈ Q

key[u] = ∞;

p[r] = NULL;

while (Q not empty)

u = ExtractMin(Q);

for each v ∈ Adj[u]

if (v ∈ Q and w(u,v) < key[v])

p[v] = u;

key[v] = w(u,v);
```

Prim算法,依然同样

```
MST-Prim(G, w, r)

Q = V[G];

for each u ∈ Q

key[u] = ∞;

key[r] = 0;

p[r] = NULL;

while (Q not empty)

u = ExtractMin(Q);

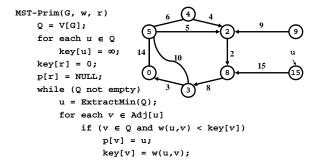
for each v ∈ Adj[u]

if (v ∈ Q and w(u,v) < key[v])

p[v] = u;

key[v] = w(u,v);
```

Prim算法,Q中最后一个



Prim算法

Prim算法,Q排序

```
MST-Prim(G, w, r)
   Q = V[G];
   for each u ∈ Q
        key[u] = ∞;
   key[r] = 0;
   p[r] = NULL;
   while (Q not empty)
        u = ExtractMin(Q);
        for each v ∈ Adj[u]
            if (v ∈ Q and w(u,v) < key[v])
            p[v] = u;
            DecreaseKey(v, w(u,v));</pre>
```

Prim算法,复杂度推算

Prim算法

第四章 图论基础

- 4.1 证明图的平均节点度为2倍的图尺度与图阶数之商。
- 4.2 如何从图的关联矩阵求得邻接矩阵?
- 4.3 推算Warshall算法的时间复杂度。
- 4.4 推算Dijkstra算法的时间复杂度。