Breast

Oriol Planesas, Heribert Roig

10 de abril, 2022

```
library(keras)
library(caret)

## Warning: package 'caret' was built under R version 4.1.2

## Loading required package: ggplot2

## Loading required package: lattice
library(pROC)

## Warning: package 'pROC' was built under R version 4.1.2

## Type 'citation("pROC")' for a citation.

##

## Attaching package: 'pROC'

## The following objects are masked from 'package:stats':

##

## cov, smooth, var
```

1. Describe protein abundance and gene expression datasets. How many patients have data of both types available. Are there missing data from some of the datasets? Preprocess them if necessary.

```
protein_abundance <- read.csv(params$file1, sep = "")
gene <- read.csv(params$file2, sep = "")
clinical <- read.csv(params$file3, sep = "\t")
copy <- read.csv(params$file4, sep = "\t")</pre>
```

Haremos un pequeño resumen de los primeros genes de las diversas bases de datos para ver en que consisten estos:

```
summary(gene[,1:10])
```

```
##
       Sample
                           ELM02
                                              CREB3L1
                                                                  RPS11
##
    Length: 526
                       Min.
                               :-1.52492
                                           Min.
                                                  :-1.4127
                                                             Min.
                                                                     :-1.02588
    Class : character
                       1st Qu.:-0.04492
                                           1st Qu.: 0.3743
                                                              1st Qu.: 0.08306
##
##
    Mode :character
                                           Median : 0.9550
                       Median : 0.32621
                                                              Median: 0.38512
##
                       Mean
                              : 0.31785
                                           Mean
                                                 : 0.9259
                                                              Mean
                                                                     : 0.40055
##
                       3rd Qu.: 0.60642
                                           3rd Qu.: 1.4921
                                                              3rd Qu.: 0.70337
                               : 2.90117
                                                  : 3.2203
                                                              Max.
                                                                     : 2.52200
##
                       Max.
                                           Max.
##
        PNMA1
                            MMP2
                                             C10orf90
                                                                 ZHX3
           :-1.72625
                       Min.
                               :-2.2847
                                          Min.
                                                 :-5.027
                                                           Min.
                                                                   :-1.73750
                       1st Qu.:-0.3141
                                          1st Qu.:-2.233
##
    1st Qu.:-0.38362
                                                            1st Qu.:-0.48471
##
   Median : 0.08175
                       Median : 0.4322
                                          Median :-1.933
                                                           Median :-0.22008
  Mean : 0.02557
                       Mean
                             : 0.3678
                                          Mean : -1.735
                                                           Mean
                                                                 :-0.21253
```

```
3rd Qu.: 0.45344
                        3rd Qu.: 1.0822
                                           3rd Qu.:-1.542
                                                             3rd Qu.: 0.06704
           : 1.60050
##
    Max.
                        Max.
                               : 2.8012
                                           Max.
                                                  : 2.572
                                                             Max.
                                                                    : 1.36167
##
        ERCC5
                            GPR98
##
   Min.
           :-1.51125
                        Min.
                               :-2.8070
##
    1st Qu.:-0.29169
                        1st Qu.:-1.4713
                        Median :-0.2177
##
   Median: 0.02825
    Mean
           : 0.03835
                        Mean
                               :-0.4008
                        3rd Qu.: 0.4988
##
    3rd Qu.: 0.38344
    Max.
           : 1.94175
                        Max.
                               : 2.3814
summary(clinical[,1:4])
##
       Sample
                         Histology
                                             PAM50Call
##
    Length:847
                        Length:847
                                            Length:847
##
    Class : character
                        Class : character
                                            Class : character
##
   Mode :character
                                            Mode : character
                        Mode :character
    ajcc_cancer_metastasis_stage_code
##
    Length:847
    Class :character
##
   Mode :character
summary(protein_abundance[,1:10])
##
       Sample
                        X14.3.3_epsilon
                                               X4E.BP1
                                                                 X4E.BP1_pS65
##
    Length:410
                               :-0.46787
                                                    :-1.19402
                                                                        :-0.845501
                        Min.
                                                                Min.
                        1st Qu.:-0.09601
##
                                            1st Qu.:-0.28960
                                                                1st Qu.:-0.164652
    Class :character
    Mode :character
                        Median: 0.01371
                                            Median :-0.04515
                                                                Median: 0.005191
##
                               : 0.05439
                                                   : 0.09174
                                                                Mean
                                                                        : 0.026804
                        Mean
                                            Mean
##
                        3rd Qu.: 0.17245
                                            3rd Qu.: 0.36732
                                                                3rd Qu.: 0.192625
##
                        Max.
                               : 0.93994
                                            Max.
                                                   : 2.56981
                                                                Max.
                                                                        : 1.123657
##
     X4E.BP1 pT37
                        X4E.BP1 pT70
                                               X53BP1
                                                                A.Raf pS299
    Min.
           :-1.2462
                              :-0.72685
                                                  :-1.72613
                                                                       :-1.0743520
##
                       Min.
                                           Min.
                                                               Min.
##
    1st Qu.:-0.3216
                       1st Qu.:-0.11489
                                           1st Qu.:-0.30133
                                                               1st Qu.:-0.1954479
                       Median: 0.02684
##
    Median: 0.1167
                                           Median : 0.01189
                                                               Median :-0.0146859
##
    Mean
           : 0.1404
                       Mean
                              : 0.05617
                                           Mean
                                                  : 0.02492
                                                               Mean
                                                                      : 0.0000203
    3rd Qu.: 0.4857
                       3rd Qu.: 0.18398
                                           3rd Qu.: 0.37898
                                                               3rd Qu.: 0.1523013
##
##
    Max.
           : 2.6901
                              : 1.25149
                                           Max.
                                                  : 2.65049
                                                               Max.
                                                                      : 1.0728245
                       Max.
##
         ACC1
                           ACC_pS79
##
   Min.
           :-2.45608
                        Min.
                               :-1.59836
                        1st Qu.:-0.35265
##
    1st Qu.:-0.41713
##
    Median : 0.06032
                        Median: 0.08966
    Mean
           : 0.11845
                        Mean
                               : 0.17207
##
    3rd Qu.: 0.60444
                        3rd Qu.: 0.63231
##
    Max.
           : 2.46339
                        Max.
                               : 2.68644
```

Vemos como las bases de datos de protein y gene consisten en valores numericos con valores bajos alrededor del 0 tanto en positivo como negativo. También observamos como aquellos genes y proteinas con valores similares tienen también un nonmbre parecido, por consecuente, parece que aquellos genes relacionados entre si o que son parecidos tienen un efecto similar.

```
set1<-intersect(protein_abundance$Sample,gene$Sample)
set1 <- intersect(set1, clinical$Sample)

xgene<-gene[gene$Sample %in% set1,]
xprotein<-protein_abundance[protein_abundance$Sample %in% set1,]</pre>
```

```
xclinical <- clinical[clinical$Sample %in% set1,]
xclinical <- xclinical[,c(1,9)]

dim(xgene)

## [1]  387 17815

387 individuos están presentes en los datasets clinical, gene y protein_abundance.

sum(is.na(xgene)) # 1161 missings en gene

## [1] 1161

sum(is.na(xprotein)) # 0 missings en protein

## [1] 0

sum(is.na(xclinical)) # 0 missings en protein

## [1] 0</pre>
```

Podemos observar como en la base de datos "gene_expression" hay 1161 valores missing, que arreglaremos mas adelante.

With gene expression data:

2. Select the 25% of genes with the most variability

```
gene_var <- diag(var(gene[,2:ncol(gene)], na.rm = T)) # Calculamos la variabilidad de los genes
gene_topvar <- sort(gene_var, decreasing = T)[1:(length(gene_var)/20)]
# Cogemos solo el 5% con la mayor variabilidad para no tener problemas con los modelos
# Separamos los 5% de genes con mas variabilidad
xgene <- xgene[,c("Sample", names(gene_topvar))]
siNAcol <- apply(is.na(xgene), 2, sum) >= 1
xgene <- xgene[,!siNAcol]
sum(is.na(xgene)) # No hi ha missings
## [1] 0
dim(xgene)</pre>
```

```
## [1] 387 890
```

En lugar de utilizar el 25% de genes con más variabilidad, utilizaremos sólo el 5% debido a que el número de variables es relativamente grande para ejecutar el programa en nuestros ordenadores personales. Asimismo, obtenemos un conjunto de datos sin missings.

Los datos de gene expression que utilizaremos tienen una dimension final de 387 observaciones y 890 variables.

```
# Eliminamos las filas donde la respuesta no es ni negativa ni positiva:
sel1<-which(xclinical$breast_carcinoma_estrogen_receptor_status != "Positive")
sel2<-which(xclinical$breast_carcinoma_estrogen_receptor_status != "Negative")
sel<-intersect(sel1,sel2)</pre>
```

```
xclinical<-xclinical[-sel,]
data1 <- merge(xclinical, xgene, by.x = "Sample", by.y = "Sample")</pre>
```

Generamos los datos de training y test para los modelos que vengan a continuación de la base de datos gene:

```
set.seed(123)
training<-sample(1:nrow(data1),2*nrow(data1)/3)

escalat1 <- scale(data1[,-c(1,2)])

xtrain<-escalat1[training,]
xtest<-escalat1[-training,]
ytrain<-data1[training,2]
ytest<-data1[-training,2]
ylabels<-vector()
ylabels[ytrain=="Positive"]<-1
ylabels[ytrain=="Negative"]<-0
ylabelstest<-vector()
ylabelstest[ytest=="Positive"]<-1
ylabelstest[ytest=="Negative"]<-0</pre>
```

3. Implement an stacked autoencoder (SAE) with three stacked layers of 1000, 100, 50 nodes. Provide in each case evidence of the quality of the coding obtained.

Empezaremos generando el primer autoencoder con un shape de el numero de columnas nunmericas que hay en la base de datos xgene, que es el mismo numero de columnas que tiene el train, en el primer layer_input con los datos de "gene_expression". El primer decode tendra 1000 nodos y asi succesivamente con los valores dados en el enunciado.

```
# Autoencoder 1
# Encoder
input_enc1 <- layer_input(shape = (ncol(xgene) - 1))</pre>
## Loaded Tensorflow version 2.6.0
output enc1 <- input enc1 %>%
 layer_dense(units = 1000, activation = "relu", name='G_Enc1')
encoder1 = keras model(input enc1, output enc1)
summary(encoder1)
## Model: "model"
## Layer (type)
                         Output Shape
## input_1 (InputLayer)
                            [(None, 889)]
## ______
## G_Enc1 (Dense)
                            (None, 1000)
## Total params: 890,000
## Trainable params: 890,000
## Non-trainable params: 0
## ______
# Decoder
input_dec1 = layer_input(shape = 1000)
output_dec1 <- input_dec1 %>%
```

```
layer_dense(units = (ncol(xgene)-1), activation="linear", name='G_Dec1')
decoder1 = keras_model(input_dec1, output_dec1)
summary(decoder1)
## Model: "model 1"
## Layer (type)
          Output Shape Param #
## -----
## input_2 (InputLayer)
                       [(None, 1000)]
## G_Dec1 (Dense) (None, 889) 889889
## Total params: 889,889
## Trainable params: 889,889
## Non-trainable params: 0
## ______
# Juntar el encoder y el decoder
aen_input1 = layer_input(shape = (ncol(xgene)-1))
aen_output1 = aen_input1 %>%
 encoder1() %>%
 decoder1()
sae1 = keras_model(aen_input1, aen_output1)
summary(sae1)
## Model: "model 2"
## Layer (type)
                 Output Shape
## input 3 (InputLayer)
                       [(None, 889)]
## model (Functional)
                        (None, 1000)
                                           890000
## model_1 (Functional) (None, 889)
## Total params: 1,779,889
## Trainable params: 1,779,889
## Non-trainable params: 0
## ______
sae1 %>% compile(
optimizer = "rmsprop",
loss = "mse")
sae1 %>% fit(
x=as.matrix(xtrain),
y=as.matrix(xtrain),
epochs = 25,
batch_size=64,
validation_split = 0.2)
#Generador con en encoder
encoded_expression1 <- encoder1 %>% predict(as.matrix(xtrain))
```

El primer autoencoder tiene 1779889 parámetros.

```
# Autoencoder 2
input_enc2 <- layer_input(shape = 1000)</pre>
output enc2 <- input enc2 %>%
 layer_dense(units = 100, activation = "relu", name='Enc_AE2')
encoder2 = keras_model(input_enc2, output_enc2)
summary(encoder2)
## Model: "model_3"
## Layer (type)
                Output Shape Param #
## input_4 (InputLayer)
                    [(None, 1000)]
## ______
## Enc_AE2 (Dense) (None, 100) 100100
## Total params: 100,100
## Trainable params: 100,100
## Non-trainable params: 0
## ______
input_dec2 = layer_input(shape = 100)
output_dec2 <- input_dec2 %>%
 layer_dense(units = 1000, activation="linear", name='Dec_AE1')
decoder2 = keras model(input dec2, output dec2)
summary(decoder2)
## Model: "model 4"
         Output Shape Param #
## Layer (type)
## -----
## input_5 (InputLayer)
                     [(None, 100)]
## Dec_AE1 (Dense) (None, 1000)
## Total params: 101,000
## Trainable params: 101,000
## Non-trainable params: 0
## ______
aen input2 = input enc2
aen output2 = aen input2 %>%
encoder2() %>%
 decoder2()
sae2 = keras_model(aen_input2, aen_output2)
summary(sae2)
## Model: "model 5"
## Layer (type) Output Shape Param #
## input_4 (InputLayer)
                     [(None, 1000)]
## ______
## model_3 (Functional)
                     (None, 100)
                                        100100
## model_4 (Functional)
                     (None, 1000)
                                        101000
```

```
## Total params: 201,100
## Trainable params: 201,100
## Non-trainable params: 0
## _____
sae2 %>% compile(
optimizer = "rmsprop",
loss = "mse")
sae2 %>% fit(
x=as.matrix(encoded_expression1),
y=as.matrix(encoded_expression1),
epochs = 25,
batch_size=64,
validation_split = 0.2)
encoded_expression2 <- encoder2 %>% predict(as.matrix(encoded_expression1))
Este autoencoder tiene 201100 parámetros
# Autoencoder 3
# Encoder
input enc3 <- layer input(shape = 100)</pre>
output_enc3 <- input_enc3 %>%
 layer dense(units = 50, activation = "relu", name='Enc AE3')
encoder3 = keras_model(input_enc3, output_enc3)
summary(encoder3)
## Model: "model 6"
## Layer (type)
                   Output Shape Param #
## input_6 (InputLayer)
                        [(None, 100)]
## ______
## Enc_AE3 (Dense) (None, 50) 5050
## Total params: 5,050
## Trainable params: 5,050
## Non-trainable params: 0
## _____
input_dec3 = layer_input(shape = 50)
output_dec3 <- input_dec3 %>%
 layer dense(units = 100, activation="linear", name='Dec AE1')
decoder3 = keras_model(input_dec3, output_dec3)
summary(decoder3)
## Model: "model_7"
## Layer (type)
                   Output Shape
                                      Param #
## input_7 (InputLayer) [(None, 50)]
## ______
```

```
## Dec AE1 (Dense)
                          (None, 100)
                                                5100
## Total params: 5,100
## Trainable params: 5,100
## Non-trainable params: 0
## ______
aen_input3 = input_enc3
aen_output3 = aen_input3 %>%
 encoder3() %>%
 decoder3()
sae3 = keras_model(aen_input3, aen_output3)
summary(sae3)
## Model: "model_8"
## ______ Share
## Layer (type)
                      Output Shape
                                               Param #
## input_6 (InputLayer)
                          [(None, 100)]
## model_6 (Functional)
                         (None, 50)
                                               5050
## model 7 (Functional) (None, 100)
                                        5100
## Total params: 10,150
## Trainable params: 10,150
## Non-trainable params: 0
## ______
sae3 %>% compile(
optimizer = "rmsprop",
loss = "mse")
sae3 %>% fit(
x=as.matrix(encoded_expression2),
y=as.matrix(encoded_expression2),
epochs = 40,
batch_size=64,
validation_split = 0.2)
encoded_expression3 <- encoder3 %% predict(as.matrix(encoded_expression2))</pre>
```

El tercer autoencoder tiene 10150 parámetros.

4.Using the SAE as pre-training model, couple it with a two-layer DNN to predict the state of the estrogen receptor. The DNN must have 10 nodes in the first layer followed by the output layer.

Generamos el modelo juntando los 3 encoders anteriores

```
sae_input = layer_input(shape = (ncol(xgene)-1), name = "input_gene")
sae_output = sae_input %>%
  encoder1() %>%
  encoder2() %>%
  encoder3() %>%
  layer_dense(10,activation = "relu", name='L1_SAE1')%>%
  layer_dense(1,activation = "sigmoid", name='L2_SAE1')
```

```
sae = keras_model(sae_input, sae_output)
summary(sae)
## Model: "model_9"
## Layer (type)
                         Output Shape
## input_gene (InputLayer)
                         [(None, 889)]
## model (Functional)
                         (None, 1000)
## model_3 (Functional)
                         (None, 100)
                                              100100
## model_6 (Functional)
                                              5050
                         (None, 50)
## L1_SAE1 (Dense)
                         (None, 10)
                                              510
## L2_SAE1 (Dense)
                    (None, 1)
## Total params: 995,671
## Trainable params: 995,671
## Non-trainable params: 0
## ______
```

Al juntar todos los encoder en un mismo modelo tenemos que este acaba teniendo 995671 parametros.

```
sae %>% compile(
optimizer = "rmsprop",
loss = 'binary_crossentropy',
metric = "acc"
)

sae %>% fit(
x=xtrain,
y=ylabels,
epochs = 15,
batch_size = 64,
validation_split = 0.2
)
```

El valor de accuracy que obtenemos es cercano a 0.85 y la pérdida es aproximadamente 0.4

```
sae %>% evaluate(as.matrix(xtest), ylabelstest)
```

```
## loss acc
## 0.3277466 0.8897638
```

freeze_weights(sae,from=1,to=3)

Cuando evaluamos el modelo con los datos de test, conseguimos unos valores de las métricas de loss y accuracy muy parecidos a los de entrenamiento, por lo que podemos decir que tenemos un buen rendimiento en el modelo.

```
yhat <- predict(sae,as.matrix(xtest))
yhatclass<-as.factor(ifelse(yhat<0.5,0,1))
table(yhatclass, ylabelstest)</pre>
```

```
##
            ylabelstest
## yhatclass 0 1
##
           0 20 6
           1 8 93
##
confusionMatrix(yhatclass,as.factor(ylabelstest))
## Confusion Matrix and Statistics
##
##
             Reference
## Prediction 0 1
##
            0 20 6
##
            1 8 93
##
##
                  Accuracy : 0.8898
                    95% CI: (0.822, 0.9384)
##
##
       No Information Rate: 0.7795
##
       P-Value [Acc > NIR] : 0.001011
##
##
                     Kappa: 0.6709
##
##
   Mcnemar's Test P-Value: 0.789268
##
               Sensitivity: 0.7143
##
##
               Specificity: 0.9394
            Pos Pred Value: 0.7692
##
##
            Neg Pred Value: 0.9208
##
                Prevalence: 0.2205
            Detection Rate: 0.1575
##
##
      Detection Prevalence: 0.2047
##
         Balanced Accuracy: 0.8268
##
##
          'Positive' Class : 0
##
```

Vemos que al predecir valores con la prediccion observamos como el modelo tiene mayor error a la hora de predecir los casos negativos (0). Puede ser debido a que hay un número mayor de muestras con respuesta positiva, por lo que el modelo está más entrenado para este caso.

5.On the test set, provide the ROC curve and AUC and other performance metrics.

```
roc_sae_test <- roc(response = ylabelstest, predictor = yhat)

## Setting levels: control = 0, case = 1

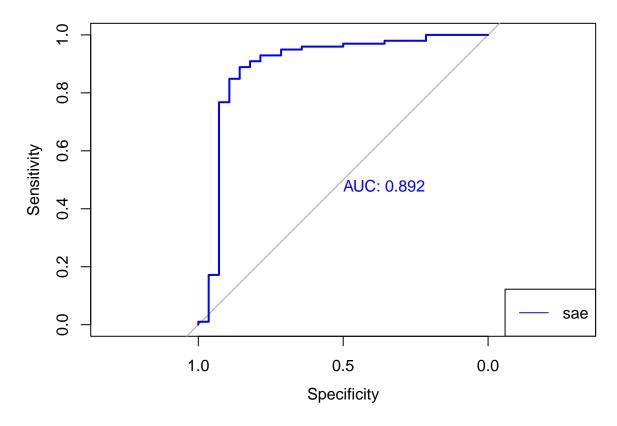
## Warning in roc.default(response = ylabelstest, predictor = yhat): Deprecated use

## a matrix as predictor. Unexpected results may be produced, please pass a numeric

## vector.

## Setting direction: controls < cases

plot(roc_sae_test, col = "blue", print.auc=TRUE)
legend("bottomright", legend = c("sae"), lty = c(1), col = c("blue"))</pre>
```



En este grafico para ver el valor de auc obtenemos que este valor es de 0.915, teniendo asi que este modelo tiene un buen valor de diagnostico.

6. With tfruns() repeat points 4 and 5, exploring the configurations of the first layer of the DNN based on 5, 10 and 20 nodes. Determine which configuration is the best.

Para realizar el tfruns, generaremos el código en otro archivo .R y entonces cargaremos aqui los diferentes modelos con el codigo que hay a continuacion.

```
library(tfruns)
```

```
## > sae_output = sae_input %>% encoder1() %>% encoder2() %>%
      encoder3() %>% layer_dense(FLAGS$units, activation = "relu") %>%
      layer dense( .... [TRUNCATED]
## +
##
## > sae = keras_model(sae_input, sae_output)
##
## > summary(sae)
## Model: "model 10"
## Layer (type)
                           Output Shape
## input_8 (InputLayer)
                             [(None, 889)]
## model (Functional)
                             (None, 1000)
                                                     890000
## model_3 (Functional)
                             (None, 100)
                                                     100100
## model 6 (Functional)
                             (None, 50)
                                                     5050
## dense 1 (Dense)
                            (None, 5)
                                                     255
## ______
## dense (Dense)
                      (None, 1)
## Total params: 995,411
## Trainable params: 5,311
## Non-trainable params: 990,100
## ______
## > sae %>% compile(optimizer = "rmsprop", loss = "binary_crossentropy",
## + metric = "acc")
## > sae \% fit(x = xtrain, y = ylabels, epochs = 15,
## + batch_size = 64, validation_split = 0.2)
##
## > yhat <- predict(sae, as.matrix(xtest))</pre>
## > yhatclass <- as.factor(ifelse(yhat < 0.5, 0, 1))</pre>
## > table(yhatclass, ylabelstest)
        ylabelstest
##
## yhatclass 0 1
       0 22 7
##
        1 6 92
##
## > confusionMatrix(yhatclass, as.factor(ylabelstest))
## Confusion Matrix and Statistics
##
##
         Reference
## Prediction 0 1
        0 22 7
##
##
        1 6 92
##
##
             Accuracy : 0.8976
               95% CI: (0.8313, 0.9444)
##
```

```
##
       No Information Rate: 0.7795
       P-Value [Acc > NIR] : 0.0004164
##
##
##
                     Kappa: 0.706
##
   Mcnemar's Test P-Value: 1.0000000
##
##
##
               Sensitivity: 0.7857
##
               Specificity: 0.9293
            Pos Pred Value: 0.7586
##
##
            Neg Pred Value: 0.9388
                Prevalence: 0.2205
##
            Detection Rate: 0.1732
##
##
      Detection Prevalence: 0.2283
##
         Balanced Accuracy: 0.8575
##
##
          'Positive' Class : 0
##
##
## > roc_sae_test <- roc(response = ylabelstest, predictor = yhat)
## Setting levels: control = 0, case = 1
## Warning in roc.default(response = ylabelstest, predictor = yhat): Deprecated use
## a matrix as predictor. Unexpected results may be produced, please pass a numeric
## vector.
## Setting direction: controls < cases
## > plot(roc_sae_test, col = "blue", print.auc = TRUE)
## > legend("bottomright", legend = c("sae"), lty = c(1),
        col = c("blue"))
##
## Run completed: runs/2022-04-10T19-30-26Z
## [1] 2
## Using run directory runs/2022-04-10T19-30-30Z
## > FLAGS <- flags(flag integer("units", 10))
##
## > sae_input = layer_input(shape = (ncol(xgene) - 1))
##
## > sae_output = sae_input %>% encoder1() %>% encoder2() %>%
         encoder3() %>% layer_dense(FLAGS$units, activation = "relu") %>%
        layer_dense( .... [TRUNCATED]
## +
##
## > sae = keras_model(sae_input, sae_output)
##
## > summary(sae)
## Model: "model"
## Layer (type)
                                      Output Shape
                                                                       Param #
```

```
## input_1 (InputLayer)
                          [(None, 889)]
## ______
## model (Functional)
                          (None, 1000)
                                                890000
## _____
## model 3 (Functional)
                         (None, 100)
                                                100100
## model_6 (Functional)
                          (None, 50)
                                                5050
## ______
## dense_1 (Dense)
                          (None, 10)
                                                510
## -----
## dense (Dense)
                        (None, 1)
## Total params: 995,671
## Trainable params: 5,571
## Non-trainable params: 990,100
## ______
##
## > sae %>% compile(optimizer = "rmsprop", loss = "binary_crossentropy",
## + metric = "acc")
## > sae %>% fit(x = xtrain, y = ylabels, epochs = 15,
## + batch_size = 64, validation_split = 0.2)
## > yhat <- predict(sae, as.matrix(xtest))</pre>
## > yhatclass <- as.factor(ifelse(yhat < 0.5, 0, 1))</pre>
## > table(yhatclass, ylabelstest)
       ylabelstest
## yhatclass 0 1
##
      0 21 6
##
       1 7 93
## > confusionMatrix(yhatclass, as.factor(ylabelstest))
## Confusion Matrix and Statistics
##
##
        Reference
## Prediction 0 1
       0 21 6
##
##
        1 7 93
##
            Accuracy : 0.8976
##
##
             95% CI: (0.8313, 0.9444)
##
    No Information Rate: 0.7795
    P-Value [Acc > NIR] : 0.0004164
##
##
##
              Kappa: 0.6983
##
  Mcnemar's Test P-Value: 1.0000000
##
##
          Sensitivity: 0.7500
##
##
          Specificity: 0.9394
       Pos Pred Value: 0.7778
##
```

```
##
          Neg Pred Value: 0.9300
##
             Prevalence: 0.2205
          Detection Rate: 0.1654
##
##
     Detection Prevalence: 0.2126
##
       Balanced Accuracy: 0.8447
##
##
        'Positive' Class: 0
##
## > roc_sae_test <- roc(response = ylabelstest, predictor = yhat)</pre>
## Setting levels: control = 0, case = 1
## Warning in roc.default(response = ylabelstest, predictor = yhat): Deprecated use
## a matrix as predictor. Unexpected results may be produced, please pass a numeric
## vector.
## Setting direction: controls < cases
##
## > plot(roc_sae_test, col = "blue", print.auc = TRUE)
## > legend("bottomright", legend = c("sae"), lty = c(1),
## + col = c("blue"))
## Run completed: runs/2022-04-10T19-30-30Z
## [1] 3
## Using run directory runs/2022-04-10T19-30-34Z
## > FLAGS <- flags(flag_integer("units", 10))</pre>
## > sae_input = layer_input(shape = (ncol(xgene) - 1))
## > sae_output = sae_input %>% encoder1() %>% encoder2() %>%
       encoder3() %>% layer dense(FLAGS$units, activation = "relu") %>%
       layer_dense( .... [TRUNCATED]
## +
## > sae = keras_model(sae_input, sae_output)
## > summary(sae)
## Model: "model"
## Layer (type)
                                Output Shape
                                                          Param #
## -----
## input_1 (InputLayer)
                               [(None, 889)]
## ______
## model (Functional)
                               (None, 1000)
                                                         890000
## ______
## model_3 (Functional)
                              (None, 100)
                                                          100100
## ______
## model_6 (Functional)
                               (None, 50)
                                                          5050
## dense_1 (Dense)
                                (None, 20)
                                                          1020
```

```
## dense (Dense)
                             (None, 1)
## -----
## Total params: 996,191
## Trainable params: 6,091
## Non-trainable params: 990,100
## ______
##
## > sae %>% compile(optimizer = "rmsprop", loss = "binary_crossentropy",
## + metric = "acc")
##
## > sae %>% fit(x = xtrain, y = ylabels, epochs = 15,
      batch_size = 64, validation_split = 0.2)
## > yhat <- predict(sae, as.matrix(xtest))</pre>
## > yhatclass <- as.factor(ifelse(yhat < 0.5, 0, 1))</pre>
## > table(yhatclass, ylabelstest)
         ylabelstest
## yhatclass 0 1
        0 22 4
         1 6 95
##
## > confusionMatrix(yhatclass, as.factor(ylabelstest))
## Confusion Matrix and Statistics
##
           Reference
## Prediction 0 1
          0 22 4
          1 6 95
##
##
##
                Accuracy: 0.9213
##
                 95% CI: (0.86, 0.9616)
##
      No Information Rate: 0.7795
##
      P-Value [Acc > NIR] : 1.759e-05
##
##
                  Kappa: 0.7649
##
##
  Mcnemar's Test P-Value: 0.7518
##
##
             Sensitivity: 0.7857
             Specificity: 0.9596
##
          Pos Pred Value: 0.8462
##
          Neg Pred Value: 0.9406
##
              Prevalence: 0.2205
##
##
          Detection Rate: 0.1732
##
     Detection Prevalence: 0.2047
##
       Balanced Accuracy: 0.8727
##
        'Positive' Class : 0
##
##
##
## > roc_sae_test <- roc(response = ylabelstest, predictor = yhat)
```

```
## Setting levels: control = 0, case = 1
## Warning in roc.default(response = ylabelstest, predictor = yhat): Deprecated use
## a matrix as predictor. Unexpected results may be produced, please pass a numeric
## vector.
## Setting direction: controls < cases
##
## > plot(roc_sae_test, col = "blue", print.auc = TRUE)
## > legend("bottomright", legend = c("sae"), lty = c(1),
         col = c("blue"))
## +
##
## Run completed: runs/2022-04-10T19-30-34Z
runs <- ls_runs(latest_n = 3)</pre>
runs <- runs[, c("flag_units", "metric_val_acc", "metric_val_loss")]</pre>
(runs <- runs[order(runs$metric_val_acc, decreasing = T),])</pre>
## Data frame: 3 x 3
     flag_units metric_val_acc metric_val_loss
             20
## 1
                         0.8824
                                         0.4612
## 3
                                         0.4392
              5
                         0.8824
## 2
             10
                         0.8627
                                         0.5518
```

Vemos que los tres modelos ejecutados tienen un accuracy parecido, por lo que en los siguientes ejercicios utilizaremos la configuración inicial.

So far, we have two SAEs. One for the abundance of proteins (see class examples) and the other for gene expression we just built.

7. Split the set of patients with complete data (gene expression and protein abundance) in train and test sets.

```
xprotein<-protein_abundance[protein_abundance$Sample %in% set1,]</pre>
data2 <- merge(xclinical, xprotein, by.x = "Sample", by.y = "Sample")</pre>
data2 <- merge(data2, xgene, by.x = "Sample", by.y = "Sample")</pre>
escalat2 <- scale(data2[,-c(1,2)])
xtrain2<-escalat2[training,-c(1,2)]
xtest2<-escalat2[-training,-c(1,2)]</pre>
xtrain2<-scale(xtrain2)</pre>
xtest2<-scale(xtest2)</pre>
ytrain2<-escalat2[training,2]
ytest2<-escalat2[-training,2]</pre>
ylabels2<-vector()</pre>
ylabels2[ytrain2=="Positive"]<-1</pre>
ylabels2[ytrain2=="Negative"]<-0</pre>
ylabelstest2<-vector()</pre>
ylabelstest2[ytest2=="Positive"]<-1</pre>
ylabelstest2[ytest2=="Negative"]<-0</pre>
```

8. Concatenate the two SAEs to fit, on the trainset, a DNN that integrates both data sources to predict estrogen receptor status. The DNN must have a dense layer (with the better number

of nodes according with point 6) and the output layer.

```
Modelo de la proteina
```

```
data3<-merge(xclinical,xprotein,by.x="Sample",by.y="Sample")</pre>
escalat3 \leftarrow scale(data3[,-c(1,2)])
xtrain3<-escalat3[training,]</pre>
xtest3<-escalat3[-training,]</pre>
ytrain3<-data3[training,2]</pre>
ytest3<-data3[-training,2]</pre>
ylabels3<-vector()</pre>
ylabels3[ytrain3=="Positive"]<-1</pre>
ylabels3[ytrain3=="Negative"]<-0</pre>
ytestlabels3<-vector()</pre>
ytestlabels3[ytest3=="Positive"]<-1</pre>
ytestlabels3[ytest3=="Negative"]<-0</pre>
input_enc1_prot<-layer_input(shape = 142)</pre>
output_enc1_prot<-input_enc1_prot %>%
 layer_dense(units=50,activation="relu")
encoder1_prot = keras_model(input_enc1_prot, output_enc1_prot, name = "AE1")
summary(encoder1 prot)
## Model: "AE1"
## Layer (type)
                       Output Shape
## input 1 (InputLayer)
                                [(None, 142)]
## dense (Dense)
                          (None, 50)
                                                         7150
## =========
## Total params: 7,150
## Trainable params: 7,150
## Non-trainable params: 0
## ______
input_dec1_prot = layer_input(shape = 50)
output_dec1_prot<-input_dec1_prot %>%
 layer_dense(units=142,activation="linear")
decoder1_prot = keras_model(input_dec1_prot, output_dec1_prot)
summary(decoder1_prot)
## Model: "model"
## Layer (type)
                       Output Shape Param #
[(None, 50)]
## input_2 (InputLayer)
```

```
## Total params: 7,242
## Trainable params: 7,242
## Non-trainable params: 0
## ______
aen input1 prot = layer input(shape = 142)
aen_output1_prot = aen_input1_prot %>%
 encoder1_prot() %>%
 decoder1_prot()
sae1_prot = keras_model(aen_input1_prot, aen_output1_prot)
summary(sae1_prot)
## Model: "model 1"
## Layer (type)
                    Output Shape
                                       Param #
## input_3 (InputLayer)
                           [(None, 142)]
## AE1 (Functional)
                        (None, 50)
                                                   7150
## model (Functional) (None, 142)
## Total params: 14,392
## Trainable params: 14,392
## Non-trainable params: 0
## ______
sae1 prot %>% compile(
optimizer = "rmsprop",
 loss = "mse"
sae1_prot %>% fit(
 x=as.matrix(xtrain3),
 y=as.matrix(xtrain3),
 epochs = 50,
 batch_size=64,
 validation_split = 0.2
 )
#Generating with Autoencoder
encoded_expression1_prot <- encoder1_prot %>% predict(as.matrix(xtrain3))
El primer autoencoder tiene 14392 parámetros
# AE2
input_enc2_prot<-layer_input(shape = 50)</pre>
output enc2 prot<-input enc2 prot %>%
 layer_dense(units=20,activation="relu")
encoder2_prot = keras_model(input_enc2_prot, output_enc2_prot)
summary(encoder2_prot)
```

```
## Model: "model 2"
Output Shape
## Layer (type)
[(None, 50)]
## input_4 (InputLayer)
## ______
## dense_2 (Dense) (None, 20) 1020
## Total params: 1,020
## Trainable params: 1,020
## Non-trainable params: 0
## ______
input dec2 prot = layer input(shape = 20)
output_dec2_prot<-input_dec2_prot %>%
 layer_dense(units=50,activation="linear")
decoder2_prot = keras_model(input_dec2_prot, output_dec2_prot)
summary(decoder2_prot)
## Model: "model_3"
## Layer (type) Output Shape Param #
[(None, 20)]
## input_5 (InputLayer)
## dense 3 (Dense)
               (None, 50)
## Total params: 1,050
## Trainable params: 1,050
## Non-trainable params: 0
## ______
aen input2 prot = layer input(shape = 50)
aen_output2_prot = aen_input2_prot %>%
 encoder2_prot() %>%
decoder2_prot()
sae2_prot = keras_model(aen_input2_prot, aen_output2_prot)
summary(sae2_prot)
## Model: "model 4"
## Layer (type)
               Output Shape
                           Param #
## input_6 (InputLayer)
                   [(None, 50)]
## model_2 (Functional)
                   (None, 20)
                                    1020
## ______
## model_3 (Functional) (None, 50) 1050
## Total params: 2,070
## Trainable params: 2,070
## Non-trainable params: 0
```

```
sae2_prot %>% compile(
 optimizer = "rmsprop",
 loss = "mse"
sae2_prot %>% fit(
 x=as.matrix(encoded expression1 prot),
 y=as.matrix(encoded expression1 prot),
 epochs = 50,
 batch_size=64,
 validation_split = 0.2
#Generating with Autoencoder
encoded_expression2_prot <- encoder2_prot %>% predict(as.matrix(encoded_expression1_prot))
El segundo autoencoder tiene 2070 parámetros.
# AE3
input_enc3_prot<-layer_input(shape = 20)</pre>
output_enc3_prot<-input_enc3_prot %>%
 layer_dense(units=10,activation="relu")
encoder3 prot = keras model(input enc3 prot, output enc3 prot)
summary(encoder3_prot)
## Model: "model 5"
## Layer (type) Output Shape Param #
## -----
## input_7 (InputLayer)
                         [(None, 20)]
## dense_4 (Dense) (None, 10)
## Total params: 210
## Trainable params: 210
## Non-trainable params: 0
## ______
input_dec3_prot = layer_input(shape = 10)
output_dec3_prot<-input_dec3_prot %>%
 layer_dense(units=20,activation="linear")
decoder3_prot = keras_model(input_dec3_prot, output_dec3_prot)
summary(decoder3 prot)
## Model: "model 6"
## Layer (type)
           Output Shape
## input_8 (InputLayer)
                        [(None, 10)]
## dense_5 (Dense) (None, 20)
```

```
## Total params: 220
## Trainable params: 220
## Non-trainable params: 0
aen_input3_prot = layer_input(shape = 20)
aen_output3_prot = aen_input3_prot %>%
 encoder3_prot() %>%
 decoder3_prot()
sae3_prot = keras_model(aen_input3_prot, aen_output3_prot)
summary(sae3_prot)
## Model: "model 7"
## Layer (type)
                          Output Shape
                                                       Param #
## input_9 (InputLayer)
                               [(None, 20)]
## model_5 (Functional)
                                (None, 10)
                                                           210
## model_6 (Functional) (None, 20)
                                            220
## Total params: 430
## Trainable params: 430
## Non-trainable params: 0
sae3_prot %>% compile(
optimizer = "rmsprop",
loss = "mse"
sae3_prot %>% fit(
 x=as.matrix(encoded expression2 prot),
 y=as.matrix(encoded expression2 prot),
 epochs = 50,
 batch_size=64,
 validation_split = 0.2
#Generating with Autoencoder
encoded_expression3_prot <- encoder3_prot %>% predict(as.matrix(encoded_expression2_prot))
El tercer autoencoder para el conjunto de datos proteicos tiene 430 parámetros.
### Final model
sae_input_prot = layer_input(shape = 142, name = "input_prot")
sae_output_prot = sae_input_prot %>%
 encoder1 prot() %>%
 encoder2_prot() %>%
 encoder3_prot() %>%
 layer_dense(5,activation = "relu")%>%
 layer_dense(1,activation = "sigmoid")
```

```
sae_prot = keras_model(sae_input_prot, sae_output_prot)
summary(sae_prot)
## Model: "model 8"
## Layer (type)
                              Output Shape
## input_prot (InputLayer)
                              [(None, 142)]
## AE1 (Functional)
                                (None, 50)
                                                           7150
## model_2 (Functional)
                                (None, 20)
                                                          1020
## model_5 (Functional)
                                (None, 10)
## dense_7 (Dense)
                               (None, 5)
## dense 6 (Dense)
                 (None, 1)
## Total params: 8,441
## Trainable params: 8,441
## Non-trainable params: 0
## ______
El total de parámetros para el modelo Stacked autoencoder para el conjunto de datos de protein_abundance
es de 8170.
freeze_weights(sae_prot,from=1,to=3)
sae_prot %>% compile(
 optimizer = "rmsprop",
 loss = 'binary_crossentropy',
 metric = "acc"
sae_prot %>% fit(
 x=xtrain3,
 y=ylabels3,
 epochs = 30,
 batch_size=64,
 validation_split = 0.2
 )
sae_prot %>%
 evaluate(as.matrix(xtest3), ytestlabels3)
      loss
## 0.3472343 0.7795275
Para este modelo, la precisión en la evaluación del conjunto de test es cercana a 0.90.
yhat_prot <- predict(sae_prot,as.matrix(xtest3))</pre>
yhatclass prot<-as.factor(ifelse(yhat prot<0.5,0,1))</pre>
table(yhatclass_prot, ytestlabels3)
```

##

ytestlabels3

```
## yhatclass_prot 0 1
##
                1 28 99
Vemos que el porcentaje de error es parecido en ambos casos.
confusionMatrix(yhatclass_prot,as.factor(ytestlabels3))
## Warning in confusionMatrix.default(yhatclass_prot, as.factor(ytestlabels3)):
## Levels are not in the same order for reference and data. Refactoring data to
## match.
## Confusion Matrix and Statistics
##
##
             Reference
## Prediction 0 1
##
            0 0 0
            1 28 99
##
##
##
                  Accuracy : 0.7795
                    95% CI: (0.6974, 0.8482)
##
##
       No Information Rate: 0.7795
       P-Value [Acc > NIR] : 0.5504
##
##
##
                     Kappa: 0
##
##
   Mcnemar's Test P-Value: 3.352e-07
##
##
               Sensitivity: 0.0000
##
               Specificity: 1.0000
##
            Pos Pred Value :
                                NaN
##
            Neg Pred Value: 0.7795
##
                Prevalence: 0.2205
##
            Detection Rate: 0.0000
##
      Detection Prevalence: 0.0000
##
         Balanced Accuracy: 0.5000
##
##
          'Positive' Class: 0
##
Concatenate the 2 models:
sae_input_prova1 = layer_input(shape = (ncol(xgene)-1), name = "input_gene_prova")
sae_output_prova1 = sae_input_prova1 %>%
  encoder1() %>%
  encoder2() %>%
  encoder3() %>%
  layer_dense(10,activation = "relu", name='L1_SAE1')%>%
  layer_dense(1,activation = "sigmoid", name='L2_SAE1')
sae_prova1 = keras_model(sae_input_prova1, sae_output_prova1)
sae_input_prova2 = layer_input(shape = 142, name = "input_prot_prova")
sae_output_prova2 = sae_input_prova2 %>%
  encoder1_prot() %>%
  encoder2 prot() %>%
  encoder3_prot() %>%
  layer_dense(10,activation = "relu", name='L1_SAE2')%>%
 layer_dense(1,activation = "sigmoid", name='L2_SAE2')
```

```
sae_prova2 = keras_model(sae_input_prova2, sae_output_prova2)

concatenated<-layer_concatenate(list(sae_output_prova1,sae_output_prova2))

model_output_con<-concatenated %>%
    layer_dense(units = 20,"relu") %>%
    layer_dense(units = 1,activation = "sigmoid")

model_final<-keras_model(list(sae_input_prova1, sae_input_prova2), model_output_con)
summary(model_final)

## Model: "model_11"

## _______
## Layer (type) Output Shape Param # Connected to</pre>
```

```
## Layer (type)
           Output Shape
                              Param # Connected to
## input_gene_prova (InputLa [(None, 889)]
## input_prot_prova (InputLa [(None, 142)] 0
## model (Functional) (None, 1000) 890000 input_gene_prova[0][0]
## AE1 (Functional) (None, 50) 7150 input_prot_prova[0][0]
## model_3 (Functional) (None, 100) 100100 model[5][0]
## model_2 (Functional) (None, 20) 1020 AE1[2][0]
## model_6 (Functional) (None, 50) 5050 model_3[5][0]
## model_5 (Functional) (None, 10) 210 model_2[2][0]
## L1_SAE1 (Dense) (None, 10) 510 model_6[5][0]
## L1_SAE2 (Dense) (None, 10) 110 model_5[2][0]
## ______
## L2_SAE1 (Dense) (None, 1) 11 L1_SAE1[0][0]
## L2_SAE2 (Dense) (None, 1) 11 L1_SAE2[0][0]
## concatenate (Concatenate) (None, 2) 0
                                 L2_SAE1[0][0]
                                   L2 SAE2[0][0]
## dense_9 (Dense) (None, 20) 60 concatenate[0][0]
## dense_8 (Dense) (None, 1) 21 dense_9[0][0]
## Total params: 1,004,253
## Trainable params: 5,983
## Non-trainable params: 998,270
  ____
```

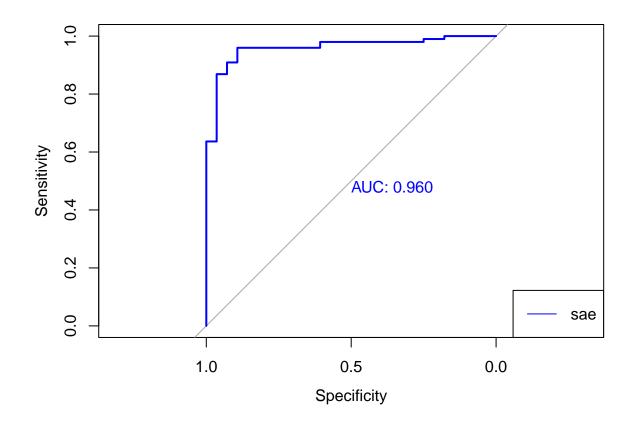
En el modelo concatenado tenemos un total de 1004253 parámetros.

```
model_final %>% compile(
  optimizer = "rmsprop",
 loss = "binary_crossentropy",
 metrics = "acc"
)
# training
model_final %>% fit(
 x = list(input_gene_prova = as.matrix(xtrain), input_prot_prova = as.matrix(xtrain3)),
  y = array(ylabels), epochs = 30, batch_size = 64, validation_split = 0.2
model final %>%
  evaluate(list(as.matrix(xtest), as.matrix(xtest3)), ylabelstest)
##
        loss
                   acc
## 0.4204175 0.9448819
En el modelo concatenado, el valor de pérdida es aproximadamente 0.50 y el de accuracy superior a 0.85.
yhat_final <- predict(model_final,list(as.matrix(xtest), as.matrix(xtest3)))</pre>
yhatclass final<-as.factor(ifelse(yhat final<0.5,0,1))</pre>
table(yhatclass_final, ylabelstest)
                  ylabelstest
## yhatclass_final 0 1
##
                 0 25 4
                 1 3 95
##
confusionMatrix(yhatclass_final,as.factor(ylabelstest))
## Confusion Matrix and Statistics
##
##
             Reference
## Prediction 0 1
            0 25 4
##
            1 3 95
##
##
##
                  Accuracy: 0.9449
                    95% CI : (0.8897, 0.9776)
##
##
       No Information Rate: 0.7795
       P-Value [Acc > NIR] : 2.95e-07
##
##
##
                     Kappa: 0.8417
##
##
    Mcnemar's Test P-Value : 1
##
##
               Sensitivity: 0.8929
##
               Specificity: 0.9596
##
            Pos Pred Value: 0.8621
            Neg Pred Value: 0.9694
##
##
                Prevalence: 0.2205
            Detection Rate: 0.1969
##
```

```
## Detection Prevalence : 0.2283
## Balanced Accuracy : 0.9262
##
## 'Positive' Class : 0
##
```

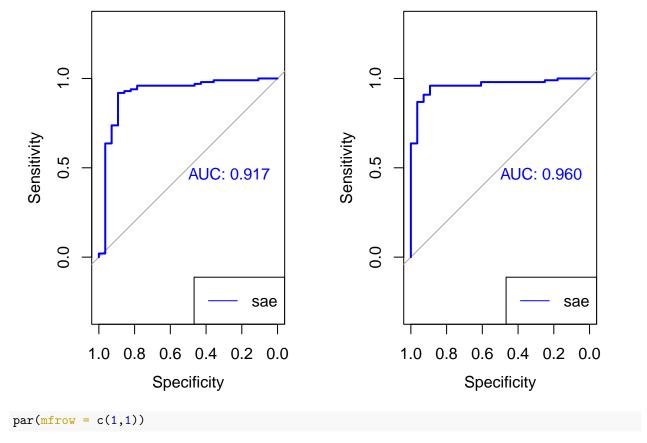
9. On the testset, provide the ROC curve and AUC, and compare it with the model found in point 5.

```
roc_sae_test2 <- roc(response = ylabelstest, predictor =as.vector(yhat_final))
## Setting levels: control = 0, case = 1
## Setting direction: controls < cases
plot(roc_sae_test2, col = "blue", print.auc=TRUE)
legend("bottomright", legend = c("sae"), lty = c(1), col = c("blue"))</pre>
```



Segun este modelo y el valor obtenido de auc: 0.918, obtenemos que este modelo tiene una precisión alta para nuevos valores.

```
par(mfrow = c(1,2))
plot(roc_sae_test, col = "blue", print.auc=TRUE)
legend("bottomright", legend = c("sae"), lty = c(1), col = c("blue"))
plot(roc_sae_test2, col = "blue", print.auc=TRUE)
legend("bottomright", legend = c("sae"), lty = c(1), col = c("blue"))
```



Vemos que en los dos modelos obtenemos valores similares de AUC.

10. Discuss the results of the analysis.

Primero de todo tenemos el modelo del apartado 4, donde despues de generar los 3 autoencoders tenemos 995671 parametros. En este modelo, al compilarlo y entrenarlo con 15 "epochs" y un "batch size" de 64, obtenemos que al evaluarlo, el valor de la perdida es de 0.40 y la precision de 0.85. Con esto, podríamos decir que este modelo predice bastante bien.

En el apartado 6 comparamos diferentes capas y vemos como la diferencia entre los modelos es muy baja.

Finalmente, tenemos el modelo combinando el modelo generado con el gene y el modelo generado con el protein, extraido de un ejemplo de clase. En este modelo, la precisión aumenta, aunque la pérdida también lo hace. De todos modos, visto desde un punto de vista estadístico, no vemos una diferencia significativa ya que la precisión que hemos obtenido quedaría dentro del intervalo de confianza de la precisión del primero.

Como reflexión final, hemos visto que los autoencoders nos permiten reducir muchísimo el número de parámetros con los que la red neuronal densa va a trabajar. Al ejecutar el autoencoder, calculamos los pesos y después los congelamos, por lo que a partir de ahí, podemos conseguir trabajar en una dimensionalidad muchísimo más baja y, como hemos visto, obteniendo valores de precisión relativamente altos (cercanos al 90%).