# Use Applicative

# where applicable!

© 2018 Hermann Hueck

https://github.com/hermannhueck/use-applicative-where-applicable

# Abstract

Most Scala developers are familiar with monadic precessing. Monads provide *flatMap* and hence for-comprehensions (syntactic sugar for *map* and *flatMap*).

Often we don't need Monads. Applicatives are sufficient in many cases.

In this talk I examine the differences between monadic and applicative processing and give some guide lines when to use which.

After a closer look to the Applicative trait I will contrast the gist of *Either* and *cats.data.Validated*.

I will also look at traversing and sequencing which harness Applicatives as well.

(The code examples are implemented with *Cats.*)

# Agenda

- 1. Monadic Processing
- 2. Aside: Effects
- 3. Aside: Curried functions
- 4. Where Functor is too weak
- 5. Applicative Processing
- 6. Comparing Monad with Applicative
- 7. The Applicative trait
- 8. Either vs. Validated
- 9. Traversals need Applicative
- 10. Resources

# 1. Monadic Processing

See: examples.MonadicProcessing

#### The Problem:

How to compute values wrapped in a context?

#### The Problem:

How to compute values wrapped in a context?

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _

val result1 = processInts(sum3Ints, Some(1), Some(2), Some(3))
// result1: Option[Int] = Some(6)

val result2 = processInts(sum3Ints, Some(1), Some(2), None)
// result2: Option[Int] = None
```

#### The Standard Solution:

Monadic Processing with a for-comprehension (monad comprehension)

#### The Standard Solution:

Monadic Processing with a for-comprehension (monad comprehension)

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _

val result1 = processInts(sum3Ints, Some(1), Some(2), Some(3))
// result1: Option[Int] = Some(6)

val result2 = processInts(sum3Ints, Some(1), Some(2), None)
// result2: Option[Int] = None
```

## Abstracting *Option* to *F*[\_]: *Monad*

## Abstracting *Option* to *F*[\_]: *Monad*

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _

val result1 = processInts(sum3Ints, Some(1), Some(2), Some(3))
// result1: Option[Int] = Some(6)

val result2 = processInts(sum3Ints, Some(1), Some(2), None)
// result2: Option[Int] = None

val result3 = processInts(sum3Ints)(List(1, 2), List(10, 20), List(100, 200))
// result3: List[Int] = List(111, 211, 121, 221, 112, 212, 122, 222)

val result4 = processInts(sum3Ints)(Future(1), Future(2), Future(3))
Await.ready(result4, 1 second)
// result4 = scala.concurrent.Future[Int] = Future(Success(6))
```

### Abstracting away the *Int*s

### Abstracting away the *Int*s

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _
val result1 = processABC(sum3Ints, Some(1), Some(2), Some(3))
// result1: Option[Int] = Some(6)

val result2 = processABC(sum3Ints, Some(1), Some(2), None)
// result2: Option[Int] = None

val result3 = processABC(sum3Ints)(List(1, 2), List(10, 20), List(100, 200))
// result3: List[Int] = List(111, 211, 121, 221, 112, 212, 122, 222)

val result4 = processABC(sum3Ints)(Future(1), Future(2), Future(3))
Await.ready(result4, 1 second)
// result4 = scala.concurrent.Future[Int] = Future(Success(6))
```

### Desugaring for-comprehension to *map/flatMap*

# 2. Aside: Effects

## What is $F[\_]$ ?

*F*[\_] is a type constructor.

It represents the <u>computational context</u> of the operation, also called the <u>effect</u> of the operation.

#### effect != side effect

With the context bound  $F[_]$ : Monad we constrain the effect to be a Monad.

This makes *F* a place holder for any Monad which provides us *map* and *flatMap*.

#### Some Effects

**Effect** FI 1 Option a possibly missing value an arbitrary number of values of the same type (non-List determinism) an asyncronously computed value Future Either either a value of a type or a value of another type the effect of having no effect Id the effect of having a side effect IO two adjacent values of possibly different type Tuple2 a pure computation on a (yet unknown) input producing an Function1 output Reader a wrapped Function1 a values that has another value attached which acts as a sort of Writer log value

# 3. Aside: Curried functions

See: examples.CurriedFunctions

... if we curry it.

... if we curry it.

```
val sumCurried: Int => Int => Int => Int = sum3Ints.curried
// sumCurried: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/9914305
```

... if we curry it.

```
val sumCurried: Int => Int => Int => Int = sum3Ints.curried
// sumCurried: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/9914305
```

The type arrow ( => ) is right associative. Hence we can omit the parentheses.

... if we curry it.

```
val sumCurried: Int => Int => Int => Int = sum3Ints.curried
// sumCurried: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/9914305
```

The type arrow ( => ) is right associative. Hence we can omit the parentheses.

The type arrow ( $\Rightarrow$ ) is syntactic sugar for Function1.  $A \Rightarrow B$  is equivalent to Function1[A, B].

... if we curry it.

```
val sumCurried: Int => Int => Int => Int = sum3Ints.curried
// sumCurried: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/9914305
```

The type arrow ( => ) is right associative. Hence we can omit the parentheses.

The type arrow ( => ) is syntactic sugar for Function1.  $A \Rightarrow B$  is equivalent to Function1[A, B].

```
val sumCurried2: Function1[Int, Function1[Int, Function1[Int, Int]]] = sumCurried
// sumCurried2: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/991430
```

... if we curry it.

```
val sumCurried: Int => Int => Int => Int = sum3Ints.curried
// sumCurried: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/9914305
```

The type arrow ( => ) is right associative. Hence we can omit the parentheses.

The type arrow ( $\Rightarrow$ ) is syntactic sugar for Function1.  $A \Rightarrow B$  is equivalent to Function1[A, B].

```
val sumCurried2: Function1[Int, Function1[Int, Function1[Int, Int]]] = sumCurried
// sumCurried2: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/991430
```

If uncurried again, we get the original function back.

```
val sumUncurried: (Int, Int, Int) => Int = Function.uncurried(sumCurried)
// sumUncurried: (Int, Int, Int) => Int = scala.Function$$$Lambda$6605/301079867@1
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _
val sumCurried: Int => Int => Int => sum3Ints.curried
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _
val sumCurried: Int => Int => Int => sum3Ints.curried
val applied1st: Int => Int => Int = sumCurried(1)
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _ 
val sumCurried: Int => Int => Int = sum3Ints.curried

val applied1st: Int => Int => Int = sumCurried(1)

val applied2nd: Int => Int = applied1st(2)
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _
val sumCurried: Int => Int => Int = sum3Ints.curried

val applied1st: Int => Int = sumCurried(1)

val applied2nd: Int => Int = applied1st(2)

val applied3rd: Int = applied2nd(3)
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ +
val sumCurried: Int => Int => Int = sum3Ints.curried

val applied1st: Int => Int = sumCurried(1)

val applied2nd: Int => Int = applied1st(2)

val applied3rd: Int = applied2nd(3)

val appliedAllAtOnce: Int = sumCurried(1)(2)(3)
```

1. As curried functions can be partially applied.
They are better <u>composable</u> than their uncurried counterparts.

- 1. As curried functions can be partially applied.

  They are better <u>composable</u> than their uncurried counterparts.
- 2. Curried functions help the compiler with type inference. The compiler infers types by argument lists from left to right.

- 1. As curried functions can be partially applied.
  They are better <u>composable</u> than their uncurried counterparts.
- 2. Curried functions help the compiler with type inference. The compiler infers types by argument lists from left to right.

- 1. As curried functions can be partially applied.

  They are better <u>composable</u> than their uncurried counterparts.
- 2. Curried functions help the compiler with type inference. The compiler infers types by argument lists from left to right.

```
def filter2[A](la: List[A])(p: A => Boolean) = ??? // curried

scala> filter2(List(0,1,2))(_ < 2)
res5: List[Int] = List(0,1)</pre>
```

## Curring in Java? -- (Sorry, I couldn't resist ;-)

## Curring in Java? -- (Sorry, I couldn't resist ;-)

```
// Curring with lambdas
Function<Integer, Function<Integer, Integer>>> sum3IntsCurried =
   a -> b -> c -> a + b + c;
Integer result = sum3IntsCurried.apply(1).apply(2).apply(3);
```

### Curring in Java? -- (Sorry, I couldn't resist ;-)

```
// Curring with lambdas
Function<Integer, Function<Integer, Function<Integer, Integer>>> sum3IntsCurried =
  a -> b -> c -> a + b + c:
Integer result = sum3IntsCurried.apply(1).apply(2).apply(3);
// Curring without lambdas
Function<Integer, Function<Integer, Function<Integer, Integer>>> sum3IntsCurried =
  new Function<Integer, Function<Integer, Function<Integer, Integer>>>() {
      @Override
      public Function<Integer, Function<Integer, Integer>> apply(Integer a) {
          return new Function<Integer, Function<Integer, Integer>>() {
              00verride
              public Function<Integer, Integer> apply(Integer b) {
                  return new Function<Integer, Integer>() {
                      @Override
                      public Integer apply(Integer c) {
                          return a + b + c:
                  };
         };
 }:
Integer result = sum3IntsCurried.apply(1).apply(2).apply(3);
```

No extra currying!

No extra currying!

Every function is already curried.

It can take only one parameter ... ... and returns only one value which might be another function.

#### No extra currying!

#### Every function is already curried.

It can take only one parameter ... ... and returns only one value which might be another function.

Invoking functions with more than one parameter is possible. That is just syntactic sugar for curried functions.

### Partial application in Haskell

## 4. Where Functor is too weak

 ${\tt See:}\ examples. Where Functor Is Too Weak$ 

What to do if we want to fuse two Options to one by summing up the contained values?

What to do if we want to fuse two Options to one by summing up the contained values?

```
val add1: Int => Int = 1 + _
val sum2Ints: (Int, Int) => Int = _ + _
```

What to do if we want to fuse two Options to one by summing up the contained values?

```
val add1: Int => Int = 1 + _
val sum2Ints: (Int, Int) => Int = _ + _
```

```
Option(1) map add1
// res1: Option[Int] = Some(2)
```

What to do if we want to fuse two Options to one by summing up the contained values?

```
val add1: Int => Int = 1 + _
val sum2Ints: (Int, Int) => Int = _ + _
```

```
Option(1) map add1
// res1: Option[Int] = Some(2)
```

```
// Option(1) map sum2Ints
// [error] found : (Int, Int) => Int
// [error] required: Int => ?
// [error] Option(1) map sum2Ints
// [error] ^
```

What to do if we want to fuse two Options to one by summing up the contained values?

```
val add1: Int => Int = 1 + _
val sum2Ints: (Int, Int) => Int = _ + _
```

```
Option(1) map add1
// res1: Option[Int] = Some(2)
```

```
// Option(1) map sum2Ints
// [error] found : (Int, Int) => Int
// [error] required: Int => ?
// [error] Option(1) map sum2Ints
// [error] ^
```

```
Option(1) map sum2Ints.curried
// res2: Option[Int => Int] = Some(scala.Function2$$Lambda$4648/454529628@7c9e8cef
```

What to do if we want to fuse two Options to one by summing up the contained values?

Option(1) + Option(2) == Option(3) // ???

```
val add1: Int => Int = 1 + _
val sum2Ints: (Int, Int) => Int = _ + _
```

```
Option(1) map add1
// res1: Option[Int] = Some(2)
```

```
// Option(1) map sum2Ints
// [error] found : (Int, Int) => Int
// [error] required: Int => ?
// [error] Option(1) map sum2Ints
// [error] ^
```

```
Option(1) map sum2Ints.curried
// res2: Option[Int => Int] = Some(scala.Function2$$Lambda$4648/454529628@7c9e8cef
```

map doesn't help us to fuse another Option(2) to that.

```
Option(1) map sum2Ints.curried ap Option(2)
// res3: Option[Int] = Some(3)
```

```
Option(1) map sum2Ints.curried ap Option(2)
// res3: Option[Int] = Some(3)

sum2Ints.curried.pure[Option] ap Option(1) ap Option(2)
// res4: Option[Int] = Some(3)
```

```
Option(1) map sum2Ints.curried ap Option(2)
// res3: Option[Int] = Some(3)

sum2Ints.curried.pure[Option] ap Option(1) ap Option(2)
// res4: Option[Int] = Some(3)

sum2Ints.curried.pure[Option] ap 1.pure[Option] ap 2.pure[Option]
// res5: Option[Int] = Some(3)  // pure is the same as Option.apply
```

```
Option(1) map sum2Ints.curried ap Option(2)
// res3: Option[Int] = Some(3)

sum2Ints.curried.pure[Option] ap Option(1) ap Option(2)
// res4: Option[Int] = Some(3)

sum2Ints.curried.pure[Option] ap 1.pure[Option] ap 2.pure[Option]
// res5: Option[Int] = Some(3)  // pure is the same as Option.apply

Applicative[Option].ap2(sum2Ints.pure[Option])(Option(1), Option(2))
// res6: Option[Int] = Some(3)  // no currying with ap2
```

```
Option(1) map sum2Ints.curried ap Option(2)
// res3: Option[Int] = Some(3)
sum2Ints.curried.pure[Option] ap Option(1) ap Option(2)
// res4: Option[Int] = Some(3)
sum2Ints.curried.pure[Option] ap 1.pure[Option] ap 2.pure[Option]
// res5: Option[Int] = Some(3) // pure is the same as Option.apply
Applicative[Option].ap2(sum2Ints.pure[Option])(Option(1), Option(2))
// res6: Option[Int] = Some(3)  // no currying with ap2
Applicative[Option].map2(Option(1), Option(2))(sum2Ints)
// res7: Option[Int] = Some(3)  // no pure with map2
(Option(1), Option(2)) mapN sum2Ints
// res8: Option[Int] = Some(3)
                                         // Tuple2#mapN
```

```
val add2: Int => Int => Int = ((_:Int) + (_:Int)).curried
val mult2: Int => Int => Int = ((_:Int) * (_:Int)).curried
val concat: (String, String) => String = _ ++ _
```

```
val add2: Int => Int => Int = ((_:Int) + (_:Int)).curried
val mult2: Int => Int => Int = ((_:Int) * (_:Int)).curried
val concat: (String, String) => String = _ ++ _
```

```
List((_:Int) * 0, (_:Int) + 100, (x:Int) => x * x) ap List(1, 2, 3)
// res10: List[Int] = List(0, 0, 0, 101, 102, 103, 1, 4, 9)
```

```
val add2: Int => Int => Int = ((_:Int) + (_:Int)).curried
val mult2: Int => Int => Int = ((_:Int) * (_:Int)).curried
val concat: (String, String) => String = _ ++ _

List((_:Int) * 0, (_:Int) + 100, (x:Int) => x * x) ap List(1, 2, 3)
// res10: List[Int] = List(0, 0, 0, 101, 102, 103, 1, 4, 9)

List(add2, mult2) <*> List[Int](1, 2) <*> List[Int](100, 200)
// res11: List[Int] = List(101, 201, 102, 202, 100, 200, 200, 400)
```

```
val add2: Int => Int => Int = ((_:Int) + (_:Int)).curried
val mult2: Int => Int => Int = ((_:Int) * (_:Int)).curried
val concat: (String, String) => String = _ ++ _

List((_:Int) * 0, (_:Int) + 100, (x:Int) => x * x) ap List(1, 2, 3)
// res10: List[Int] = List(0, 0, 0, 101, 102, 103, 1, 4, 9)

List(add2, mult2) <*> List[Int](1, 2) <*> List[Int](100, 200)
// res11: List[Int] = List(101, 201, 102, 202, 100, 200, 200, 400)

concat.curried.pure[List] <*> List("ha", "heh", "hmm") <*> List("?", "!", ".")
// res12: List[String] = List(ha?, ha!, ha., heh?, heh!, heh., hmm?, hmm!, hmm.)
```

```
val add2: Int => Int => Int = ((_:Int) + (_:Int)).curried
val mult2: Int => Int => Int = ((_:Int) * (_:Int)).curried
val concat: (String, String) => String = _ ++ _

List((_:Int) * 0, (_:Int) + 100, (x:Int) => x * x) ap List(1, 2, 3)
// res10: List[Int] = List(0, 0, 0, 101, 102, 103, 1, 4, 9)

List(add2, mult2) <*> List[Int](1, 2) <*> List[Int](100, 200)
// res11: List[Int] = List(101, 201, 102, 202, 100, 200, 200, 400)

concat.curried.pure[List] <*> List("ha", "heh", "hmm") <*> List("?", "!", ".")
// res12: List[String] = List(ha?, ha!, ha., heh?, heh!, heh., hmm?, hmm!, hmm.)

List("ha", "heh", "hmm") map concat.curried ap List("?", "!", ".")
// res13: List[String] = List(ha?, ha!, ha., heh?, heh!, heh., hmm?, hmm!, hmm.)
```

# 5. Applicative Processing

 ${\tt See:}\ examples. Applicative Processing$ 

```
trait Functor[F[_]] { // simplified

def map[A, B](fa: F[A])(f: A => B): F[B]
}
```

```
trait Functor[F[_]] { // simplified

def map[A, B](fa: F[A])(f: A => B): F[B]
}
```

```
trait Applicative[F[_]] extends Functor[F] { // simplified

def pure[A](a: A): F[A]

def ap[A, B](ff: F[A => B])(fa: F[A]): F[B]
}
```

```
trait Functor[F[_]] { // simplified

  def map[A, B](fa: F[A])(f: A => B): F[B]
}

trait Applicative[F[_]] extends Functor[F] { // simplified

  def pure[A](a: A): F[A]

  def ap[A, B](ff: F[A => B])(fa: F[A]): F[B]
}

trait Monad[F[_]] extends Applicative[F] { // simplified

  def flatMap[A, B](fa: F[A])(f: A => F[B]): F[B]
}
```

### Replacing monadic with applicative context

Applicative is strong enough for the problem we solved before with a monad-comprehension.

Applicative is strong enough for the problem we solved before with a monad-comprehension.

Monadic context: *F*[\_] constrained to be a *Monad* 

Applicative is strong enough for the problem we solved before with a monad-comprehension.

Monadic context: *F[\_]* constrained to be a *Monad* 

Applicative context: *F*[\_] constrained to be an *Applicative* 

Applicative is strong enough for the problem we solved before with a monad-comprehension.

Monadic context: *F[\_]* constrained to be a *Monad* 

Applicative context: *F*[\_] constrained to be an *Applicative* 

Let's start with *Option[Int]* again and then abstract the solution.

## Solution with *Applicative#ap*:

Example context/effect: *Option* 

*Some(f3Curried)* lifts the curried function into the *Option* context.

## Abstracting *Option* to $F[\_]$ : Applicative

*Applicative*[*F*].*pure*(*fCurried*) lifts the curried function into the generic *F* context.

#### Abstracting away the *Int*s

## Using *pure* syntax and < \*> (alias for *ap*)

## *Applicative#ap3* saves us from currying

## Applicative#map3 avoids lifting with pure

map2, map3 .. map22 are available for Applicative.

## Apply is just Applicative without pure

map2, map3 .. map22 come from Apply.

*Apply* is a base trait of *Applicative* and provides us *map3*.

#### Using *Tuple3#mapN* for convenience

We just tuple up the three F's and invoke mapN with a function that takes three parameters. mapN fuses the F's into an F-result.

Cats provides *mapN* as an enrichment for *Tuple2*, *Tuple3* .. *Tuple22*.

## Comparing the solutions

## Comparing the solutions

#### Monadic solution:

## Comparing the solutions

#### Monadic solution:

#### Applicative solution:

We provided *processABC* with two parameter lists.

We provided *processABC* with two parameter lists.

This improves  $\underline{\text{composability}}$  and allows us provide the  $\underline{\text{compute}}$  function and the effectful F's in separate steps.

We provided *processABC* with two parameter lists.

This improves <u>composability</u> and allows us provide the *compute* function and the effectful F's in separate steps.

We provided *processABC* with two parameter lists.

This improves <u>composability</u> and allows us provide the *compute* function and the effectful F's in separate steps.

# 6. Comparing Monad with Applicative

## Sequential vs. parallel

## Sequential vs. parallel

#### Monads enforce sequential operation!

Imagine a for-comprehension. The generators are executed in sequence, one after the other. The yield clause is executed after all generators have finished.

## Sequential vs. parallel

#### Monads enforce sequential operation!

Imagine a for-comprehension. The generators are executed in sequence, one after the other. The yield clause is executed after all generators have finished.

#### Applicatives allow for parallel/independent operations!

The operations are by nature <u>independent</u> of each other. (That does not mean, they are asynchronous.)

#### Fail-fast semantics

#### Fail-fast semantics

#### Monads enforce short-circuiting!

If an 'erraneous' value (e.g. *None*, *Nil* or *Left*) is found in a monadic computation, the computation stops immediately, because the result will not change if processing were continued.

That is why we are not able to collect errors.

#### Fail-fast semantics

#### Monads enforce short-circuiting!

If an 'erraneous' value (e.g. *None*, *Nil* or *Left*) is found in a monadic computation, the computation stops immediately, because the result will not change if processing were continued.

That is why we are not able to collect errors.

#### Applicatives do not short-circuit!

As applicative operations are independent of each other, processing does not stop if an error occurs.

It is possible to collect errors (see: cats.data.Validated) cats.data.Validated has an Applicative instance, but no Monad instance.

We will come to that later.

## Composition

#### Composition

#### Monads do not compose!

In order to kind of 'compose' Monads we need an extra construct such as a Monad Transformer which itself is a Monad. I.e.: If we want to compose two Monads we need a third Monad to stack them up.

#### Composition

#### Monads do not compose!

In order to kind of 'compose' Monads we need an extra construct such as a Monad Transformer which itself is a Monad. I.e.: If we want to compose two Monads we need a third Monad to stack them up.

#### Applicatives compose!

Composition of (Functor and) Applicative is a breeze. It is genuinely supported by these type classes.

See: examples.Composition

#### Composition - Code

```
val loi1 = List(Some(1), Some(2))
val loi2 = List(Some(10), Some(20))
```

#### Monads do not compose!

```
def processMonadic(xs: List[Option[Int]], ys: List[Option[Int]]): List[Option[Int]]
  val otli: OptionT[List, Int] = for {
      x <- OptionT[List, Int](xs)
      y <- OptionT[List, Int](ys)
    } yield x + y
  otli.value
}
val result1 = processMonadic(loi1, loi2)
// result1: List[Option[Int]] = List(Some(11), Some(21), Some(12), Some(22))</pre>
```

#### Applicatives compose!

```
def processApplicative(xs: List[Option[Int]], ys: List[Option[Int]]): List[Option[
   Applicative[List].compose[Option].map2(xs, ys)((_:Int) + (_:Int))

val result2 = processApplicative(loi1, loi2)
// result2: List[Option[Int]] = List(Some(11), Some(21), Some(12), Some(22))

103 / 148
```

#### When to use which

Use Applicative if all computations are <u>independent</u> of each other.

#### Howto:

- Write a monadic solution with a for-comprehension.
- If the generated values (to the left of the generator arrow <- ) are only used in the *yield* clause at the end (not in an other generator) the computations are independent and allow for an applicative solution.
- Tuple up the computations and *mapN* them with a function which fuses the computation results to a final result.

(This howto is applicable only if the effect in question also has a Monad instance.)

#### When to use which - counter example

```
val result =
  for {
    x <- Future { computeX }
    y <- Future { computeY(x) }
    z <- Future { computeZ(x, y) }
} yield resultFrom(z)</pre>
```

In this for-comprehension the subsequent computations depend on the previous ones.

Hence this for-comprehension cannot be substituted by applicative processing.

## Principle of Least Power

Given a choice of solutions, pick the least powerful solution capable of solving your problem.

-- Li Haoyi

## 7. The Applicative trait

## Typeclass Functor

Functor is the base trait of Applicative.

```
trait Functor[F[_]] {
    // ----- primitive map

def map[A, B](fa: F[A])(f: A => B): F[B]

    // ----- implementations in terms of the primitive map

def fmap[A, B](fa: F[A])(f: A => B): F[B] = map(fa)(f) // alias for map

def lift[A, B](f: A => B): F[A] => F[B] = fa => map(fa)(f)

def as[A, B](fa: F[A], b: B): F[B] = map(fa)(_ => b)

def void[A](fa: F[A]): F[Unit] = as(fa, ())

def compose[G[_]: Functor]: Functor[Lambda[X => F[G[X]]]] = ???
}
```

with primitives *pure* and *ap* 

```
trait Applicative[F[_]] extends Functor[F] {
    // ----- primitives

def pure[A](a: A): F[A]
    def ap[A, B](ff: F[A => B])(fa: F[A]): F[B]

    // ----- implementations in terms of the primitives

def map2[A, B, Z](fa: F[A], fb: F[B])(f: (A, B) ⇒ Z): F[Z] =
    ap(map(fa)(a => f(a, _: B)))(fb)

override def map[A, B](fa: F[A])(f: A => B): F[B] =
    ap(pure(f))(fa)

def product[A, B](fa: F[A], fb: F[B]): F[(A, B)] =
    map2(fa, fb)((_, _))
}
```

with primitives pure and map2

```
trait Applicative[F[_]] extends Functor[F] {
    // ----- primitives

def pure[A](a: A): F[A]
    def map2[A, B, Z](fa: F[A], fb: F[B])(f: (A, B) ⇒ Z): F[Z]

    // ----- implementations in terms of the primitives

def ap[A, B](ff: F[A => B])(fa: F[A]): F[B] =
        map2(ff, fa)((f, a) => f(a)) // or: map2(ff, fa)(_(_))

override def map[A, B](fa: F[A])(f: A => B): F[B] =
        map2(fa, pure(()))((a, _) => f(a))

def product[A, B](fa: F[A], fb: F[B]): F[(A, B)] =
        map2(fa, fb)((_, _))
}
```

with primitives *pure* and *map* and *product* 

```
trait Applicative[F[_]] extends Functor[F] {
    // ----- primitives

def pure[A](a: A): F[A]
    override def map[A, B](fa: F[A])(f: A => B): F[B]
    def product[A, B](fa: F[A], fb: F[B]): F[(A, B)]

// ----- implementations in terms of the primitives

def map2[A, B, Z](fa: F[A], fb: F[B])(f: (A, B) => Z): F[Z] =
    map(product(fa, fb)) { case (a, b) => f(a, b) }

def ap[A, B](ff: F[A => B])(fa: F[A]): F[B] =
    map2(ff, fa)((f, a) => f(a)) // or: map2(ff, fa)(_(_))
}
```

```
trait Applicative[F[ ]] extends Functor[F] {
 // ---- primitives
  def pure[A](a: A): F[A]
  def ap[A, B](ff: F[A => B])(fa: F[A]): F[B]
  // ---- implementations in terms of the primitives
  override def map[A, B](fa: F[A])(f: A \Rightarrow B): F[B] = ap(pure(f))(fa)
  def <*>[A, B](ff: F[A => B])(fa: F[A]): F[B] = ap(ff)(fa) // alias for ap
  def ap2[A, B, Z](ff: F[(A, B) => Z])(fa: F[A], fb: F[B]): F[Z] = {
    val ffBZ: F[B \Rightarrow Z] = ap(map(ff)(f \Rightarrow (a:A) \Rightarrow (b:B) \Rightarrow f(a, b)))(fa)
    ap(ffBZ)(fb)
 } // also: ap3, ap4 .. ap22
  def map2[A, B, Z](fa: F[A], fb: F[B])(f: (A, B) \Rightarrow Z): F[Z] =
    ap(map(fa)(a \Rightarrow f(a, : B)))(fb)
  // also: map3, map4 .. map22
  def product[A, B](fa: F[A], fb: F[B]): F[(A, B)] = map2(fa, fb)((, ))
  def tuple2[A, B](fa: F[A], fb: F[B]): F[(A, B)] = product(fa, fb)
 // also: tuple3, tuple4 .. tuple22
 def compose[G[ ]: Applicative]: Applicative[Lambda[X => F[G[X]]]] = ???
```

# Cats typeclass hierarchy (a small section of it)

Complete hierarchy here

# 7. Either vs. Validated

See: examples.EitherVsValidated

# Monadic processing with *Either*

Monadic processing enforces fail-fast semantics. After an erraneous operation subsequent operations are not executed. Hence we get only the first of possibly multiple errors.

## Monadic processing with *Either*

Monadic processing enforces fail-fast semantics. After an erraneous operation subsequent operations are not executed. Hence we get only the first of possibly multiple errors.

```
val result: Either[List[String], Int] =
  for {
    x <- 5.asRight[List[String]]
    y <- List("Error 1").asLeft[Int]
    z <- List("Error 2").asLeft[Int] // List("Error 2") is lost!
  } yield x + y + z

// result: Either[List[String],Int] = Left(List(Error 1))</pre>
```

# Applicative processing with *Either*

Applicative processing disables fail-fast semantics. But with *Either* we get the same result as before. Because *Either* has a Monad instance.

## Applicative processing with *Either*

Applicative processing disables fail-fast semantics. But with *Either* we get the same result as before. Because *Either* has a Monad instance.

```
val result: Either[List[String], Int] =
  ( 5.asRight[List[String]],
    List("Error 1").asLeft[Int],
    List("Error 2").asLeft[Int] // List("Error 2") is lost!
  ) mapN ((_: Int) + (_: Int) + (_: Int))

// result: Either[List[String],Int] = Left(List(Error 1))
```

# Applicative processing with *Validated*

cats.data.Validated is designed in analogy to Either. Instead of Right and Left it has Valid and Invalid. Validated has an Applicative instance but no Monad instance.

# Applicative processing with *Validated*

cats.data.Validated is designed in analogy to Either. Instead of Right and Left it has Valid and Invalid. Validated has an Applicative instance but no Monad instance.

```
val result: Validated[List[String], Int] =
  ( 5.valid[List[String]],
    List("Error 1").invalid[Int],
    List("Error 2").invalid[Int] // List("Error 2") is preserved!
  ) mapN ((_: Int) + (_: Int) + (_: Int))

// result: cats.data.Validated[List[String],Int] = Invalid(List(Error 1, Error 2))
```

#### Conversions between *Either* and *Validated*

Conversion is supported in both directions Either#toValidated converts an Either to a Validated. Validated#toEither converts a Validated to an Either.

#### Conversions between *Either* and *Validated*

Conversion is supported in both directions

Either#toValidated converts an Either to a Validated.

Validated#toEither converts a Validated to an Either.

```
val result: Validated[List[String], Int] =
   ( 5.asRight[List[String]].toValidated,
        List("Error 1").asLeft[Int].toValidated,
        List("Error 2").asLeft[Int].toValidated // List("Error 2") is preserved!
   ) mapN ((_: Int) + (_: Int) + (_: Int))

// result: cats.data.Validated[List[String],Int] = Invalid(List(Error 1, Error 2))

val resultAsEither = result.toEither
// resultAsEither: Either[List[String],Int] = Left(List(Error 1, Error 2))
```

#### Conversions between *Either* and *Validated*

Conversion is supported in both directions

Either#toValidated converts an Either to a Validated.

Validated#toEither converts a Validated to an Either.

```
val result: Validated[List[String], Int] =
   ( 5.asRight[List[String]].toValidated,
        List("Error 1").asLeft[Int].toValidated,
        List("Error 2").asLeft[Int].toValidated // List("Error 2") is preserved!
   ) mapN ((_: Int) + (_: Int) + (_: Int))

// result: cats.data.Validated[List[String],Int] = Invalid(List(Error 1, Error 2))

val resultAsEither = result.toEither
// resultAsEither: Either[List[String],Int] = Left(List(Error 1, Error 2))
```

An elaborated example of form validation with *Validated* (contrasted to a solution with *Either*) can be found in the Cats documentation:

https://typelevel.org/cats/datatypes/validated.html

# 9. Traversals need Applicative

See: examples.Traversals

Most Scala devs know these methods defined in the Future companion object.

Most Scala devs know these methods defined in the Future companion object.

Let's start with *Future.sequence*. It is the simpler one.

<u>Future.sequence API doc:</u> Simple version of Future.traverse. Asynchronously and non-blockingly transforms a TraversableOnce[Future[A]] into a Future[TraversableOnce[A]]. Useful for reducing many Futures into a single Future.

Most Scala devs know these methods defined in the *Future* companion object.

Let's start with *Future.sequence*. It is the simpler one.

<u>Future.sequence API doc:</u> Simple version of Future.traverse. Asynchronously and non-blockingly transforms a TraversableOnce[Future[A]] into a Future[TraversableOnce[A]]. Useful for reducing many Futures into a single Future.

Simply spoken: *sequence* turns a *List[Future[A]]* into a *Future[List[A]]*.

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))
val fld: Future[List[Double]] = Future.sequence(lfd)
```

Most Scala devs know these methods defined in the *Future* companion object.

Let's start with *Future.sequence*. It is the simpler one.

<u>Future.sequence API doc:</u> Simple version of Future.traverse. Asynchronously and non-blockingly transforms a TraversableOnce[Future[A]] into a Future[TraversableOnce[A]]. Useful for reducing many Futures into a single Future.

Simply spoken: sequence turns a List[Future[A]] into a Future[List[A]].

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))
val fld: Future[List[Double]] = Future.sequence(lfd)
```

Typically in an application we don't have a *List[Future[B]]*, but we produce it by processing a *List[A]* asynchronously.

We can first map the List[A] with the function  $A \Rightarrow Future[B]$  and then invoke sequence on the resulting List[Future[B]] in order to get a Future[List[B]].

Most Scala devs know these methods defined in the Future companion object.

Let's start with *Future.sequence*. It is the simpler one.

<u>Future.sequence API doc:</u> Simple version of Future.traverse. Asynchronously and non-blockingly transforms a TraversableOnce[Future[A]] into a Future[TraversableOnce[A]]. Useful for reducing many Futures into a single Future.

Simply spoken: sequence turns a List[Future[A]] into a Future[List[A]].

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))
val fld: Future[List[Double]] = Future.sequence(lfd)
```

Typically in an application we don't have a *List[Future[B]]*, but we produce it by processing a *List[A]* asynchronously.

We can first map the List[A] with the function  $A \Rightarrow Future[B]$  and then invoke sequence on the resulting List[Future[B]] in order to get a Future[List[B]].

```
val li: List[Int] = List(1, 2, 3)
val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }
val lfi: List[Future[Double]] = li.map(doubleItAsync)
val fld: Future[List[Double]] = Future.sequence(lfi)
129 / 148
```

Mapping and sequencing traverses the list twice. *traverse* fuses mapping and sequencing into a single traversal.

Mapping and sequencing traverses the list twice. traverse fuses mapping and sequencing into a single traversal.

Future.traverse takes a List[A] and a mapping function from  $A \Rightarrow Future[B]$  and returns a Future[List[B]].

```
val li: List[Int] = List(1, 2, 3)
val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }
val fld: Future[List[Double]] = Future.traverse(li)(doubleItAsync)
```

Mapping and sequencing traverses the list twice. traverse fuses mapping and sequencing into a single traversal.

Future.traverse takes a List[A] and a mapping function from  $A \Rightarrow Future[B]$  and returns a Future[List[B]].

```
val li: List[Int] = List(1, 2, 3)
val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }
val fld: Future[List[Double]] = Future.traverse(li)(doubleItAsync)
```

Providing *identity* as mapping function to *traverse* has the same effect as *sequence*.

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))
val fld: Future[List[Double]] = Future.traverse(lfd)(identity)
```

Cats generalizes this concept into the *Traverse* typeclass.

Cats generalizes this concept into the *Traverse* typeclass.

```
trait Traverse[F[_]] extends Foldable[F] with Functor[F] { // simplified

def traverse[G[_]: Applicative, A, B](fa: F[A])(f: A => G[B]) : G[F[B]]

def sequence[G[_]: Applicative, A](fga: F[G[A]]): G[F[A]] =
    traverse(fga)(identity)

// map in terms of traverse using the Id context
    override def map[A, B](fa: F[A])(f: A => B): F[B] = traverse(fa)(f: A => Id[B])
}
```

Cats generalizes this concept into the *Traverse* typeclass.

```
trait Traverse[F[_]] extends Foldable[F] with Functor[F] { // simplified

def traverse[G[_]: Applicative, A, B](fa: F[A])(f: A => G[B]) : G[F[B]]

def sequence[G[_]: Applicative, A](fga: F[G[A]]): G[F[A]] =
    traverse(fga)(identity)

// map in terms of traverse using the Id context
    override def map[A, B](fa: F[A])(f: A => B): F[B] = traverse(fa)(f: A => Id[B])
}
```

*traverse* and *sequence* require the  $G[\_]$  to be an Applicative (*Future* before).

Cats generalizes this concept into the *Traverse* typeclass.

```
trait Traverse[F[_]] extends Foldable[F] with Functor[F] { // simplified

def traverse[G[_]: Applicative, A, B](fa: F[A])(f: A => G[B]) : G[F[B]]

def sequence[G[_]: Applicative, A](fga: F[G[A]]): G[F[A]] =
    traverse(fga)(identity)

// map in terms of traverse using the Id context
  override def map[A, B](fa: F[A])(f: A => B): F[B] = traverse(fa)(f: A => Id[B])
}
```

*traverse* and *sequence* require the  $G[\_]$  to be an Applicative (*Future* before).

The structure traversed over (*List* before) must be *Foldable with Functor*. Hence *Traverse* extends these two traits.

Cats generalizes this concept into the *Traverse* typeclass.

```
trait Traverse[F[_]] extends Foldable[F] with Functor[F] { // simplified

def traverse[G[_]: Applicative, A, B](fa: F[A])(f: A => G[B]) : G[F[B]]

def sequence[G[_]: Applicative, A](fga: F[G[A]]): G[F[A]] =
    traverse(fga)(identity)

// map in terms of traverse using the Id context
  override def map[A, B](fa: F[A])(f: A => B): F[B] = traverse(fa)(f: A => Id[B])
}
```

*traverse* and *sequence* require the  $G[\_]$  to be an Applicative (*Future* before).

The structure traversed over (*List* before) must be *Foldable with Functor*. Hence *Traverse* extends these two traits.

The *traverse* function can indeed be implemented with *map* and *foldLeft* or *foldRight*. On the other hand *foldLeft*, *foldRight* and *map* can be implemented with *traverse*.

# Traverse[List].sequence[Future, A]

Instead of *Future.sequence* we can use *Traverse[List].sequence*.

# Traverse[List].sequence[Future, A]

Instead of *Future.sequence* we can use *Traverse[List].sequence*.

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))

val fld1: Future[List[Double]] = Traverse[List].sequence(lfd)
// fld1: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0))

import cats.syntax.traverse._ // supports 'sequence' as an enrichment of List

val fld2: Future[List[Double]] = lfd.sequence
// fld2: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0)))
```

# Traverse[List].traverse[Future, A, B]

Instead of Future.traverse we can use Traverse[List].traverse.

# Traverse[List].traverse[Future, A, B]

Instead of *Future.traverse* we can use *Traverse[List].traverse*.

```
val li: List[Int] = List(1, 2, 3)

val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }

val fld1: Future[List[Double]] = Traverse[List].traverse(li)(doubleItAsync)
// fld1: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0))

import cats.syntax.traverse._ // supports 'traverse' as an enrichment of List

val fld2: Future[List[Double]] = li traverse doubleItAsync
// fld2: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0))
```

# Traverse[Vector].traverse[Option, A, B]

With *cats.Traverse* can traverse not only over *Lists* but over any other *Foldable with Functor* that has a *Traverse* instance, e.g. *Vector*. The mapping function may produce any applicative effect, e.g. *Option* instead of *Future*.

# Traverse[Vector].traverse[Option, A, B]

With *cats.Traverse* can traverse not only over *Lists* but over any other *Foldable with Functor* that has a *Traverse* instance, e.g. *Vector*. The mapping function may produce any applicative effect, e.g. *Option* instead of *Future*.

```
val vi1: Vector[Int] = Vector(3, 2, 1)
val vi2: Vector[Int] = Vector(3, 2, 0)

val divideBy: Int => Option[Double] = x => if (x == 0) None else Some { 6.0 / x }

val ovd1_1 = Traverse[Vector].traverse(vi1)(divideBy)
// ovd1_1: Option[Vector[Double]] = Some(Vector(2.0, 3.0, 6.0))
val ovd1_2 = Traverse[Vector].traverse(vi2)(divideBy)
// ovd1_2: Option[Vector[Double]] = None

import cats.syntax.traverse._ // supports 'traverse' as an enrichment of Vector

val ovd2_1 = vi1 traverse divideBy
// ovd2_1: Option[Vector[Double]] = Some(Vector(2.0, 3.0, 6.0))
val ovd2_2 = vi2 traverse divideBy
// ovd2_2: Option[Vector[Double]] = None
```

# 10. Resources

# Scala Resources (1/2)

- Code and Slides of this Talk: https://github.com/hermannhueck/use-applicative-where-applicable
- Cats documentation:

https://typelevel.org/cats/typeclasses/applicative.html https://typelevel.org/cats/typeclasses/traverse.html https://typelevel.org/cats/datatypes/validated.html

• Herding Cats, Day 2 and 3:

http://eed3si9n.com/herding-cats/Functor.html http://eed3si9n.com/herding-cats/Semigroupal.html http://eed3si9n.com/herding-cats/Apply.html http://eed3si9n.com/herding-cats/Applicative.html

 "Scala with Cats", Chapters 6 and 7
 Book by Noel Welsh and Dave Gurnell https://underscore.io/books/scala-with-cats/

# Scala Resources (2/2)

• Live Coding Tutorial on Functor and Applicative by Michael Pilquist FSiS Part 1 - Type Constructors, Functors, and Kind Projector

https://www.youtube.com/watch?v=Dsd4pc99FSY

FSiS Part 2 - Applicative type class

https://www.youtube.com/watch?v=tD\_EyIKqqCk

#### Haskell Resources

- Learn You a Haskell for Great Good!, Chapter 11 Online book by Miran Lipovaca http://learnyouahaskell.com/functors-applicative-functors-and-monoids
- Applicative Programming with Effects
   Conor McBride and Ross Paterson in Journal of Functional Programming
   18:1 (2008), pages 1-13
   http://www.staff.city.ac.uk/~ross/papers/Applicative.pdf
- The Essence of the Iterator Pattern Jeremy Gibbons and Bruno C. d. S. Oliveira, Oxford University Computing Laboratory

https://www.cs.ox.ac.uk/jeremy.gibbons/publications/iterator.pdf

# Thanks for Listening

ABQ

https://github.com/hermannhueck/use-applicative-where-applicable