Use Applicative

where applicable!

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Abstract

Most Scala developers are familiar with monadic precessing. Monads provide *flatMap* and hence for-comprehensions (syntactic sugar for *map* and *flatMap*).

Often we don't need Monads. Applicatives are sufficient in many cases.

In this talk I examine the differences between monadic and applicative processing and give some guide lines when to use which.

After a closer look to the Applicative trait I will contrast the gist of *Either* and *cats.data.Validated*.

I will also look at traversing and sequencing which harness Applicatives as well.

(The code examples are implemented with *Cats.*)

Agenda

- 1. Monadic Processing
- 2. Aside: Effects
- 3. Aside: Curried functions
- 4. Applicative Processing
- 5. Comparing Monad with Applicative
- 6. The Applicative trait
- 7. Either vs. Validated
- 8. Traversals
- 9. Resources

1. Monadic Processing

See: examples.MonadicProcessing

The Problem:

How to compute values wrapped in a context?

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```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _

val result1 = processInts(sum3Ints, Some(1), Some(2), Some(3))
// result1: Option[Int] = Some(6)

val result2 = processInts(sum3Ints, Some(1), Some(2), None)
// result2: Option[Int] = None
```

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Monadic Processing with a for-comprehension (monad comprehension)

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// result1: Option[Int] = Some(6)

val result2 = processInts(sum3Ints, Some(1), Some(2), None)
// result2: Option[Int] = None

val result3 = processInts(sum3Ints)(List(1, 2), List(10, 20), List(100, 200))
// result3: List[Int] = List(111, 211, 121, 221, 112, 212, 122, 222)

val result4 = processInts(sum3Ints)(Future(1), Future(2), Future(3))
Await.ready(result4, 1 second)
// result4 = scala.concurrent.Future[Int] = Future(Success(6))
```

Abstracting away the *Int*s

Replacing the for-comprehension

(syntactic sugar) with map / flatMap

2. Aside: Effects

What is $F[_]$?

F[_] is a type constructor.

It represents the <u>computational context</u> of the operation, also called the <u>effect</u> of the operation.

effect != side effect

With the context bound $F[_]$: Monad we constrain the effect to be a Monad.

This makes *F* a place holder for any Monad which provides us *map* and *flatMap*.

Some Effects

FI 1 **Effect** Option a possibly missing value List an arbitrary number of values of the same type **Future** an asyncronously computed value either a value of this type or a value of that type Either the effect of having no effect Id the effect of having a side effect IO two values of possibly different type Tuple2 a pure computation taking an input and returning an output Function1

3. Aside: Curried functions

See: examples.CurriedFunctions

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```
val sumCurried: Int => Int => Int => Int = sum3Ints.curried
// sumCurried: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/9914305
```

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The type arrow (=>) is syntactic sugar for Function1. $A \Rightarrow B$ is the same as Function1[A, B].

```
val sumCurried2: Function1[Int, Function1[Int, Function1[Int, Int]]] = sumCurried
// sumCurried2: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/991430
```

... if we curry it.

```
val sumCurried: Int => Int => Int => Int = sum3Ints.curried
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The type arrow (\Rightarrow) is syntactic sugar for Function1. $A \Rightarrow B$ is the same as Function1[A, B].

```
val sumCurried2: Function1[Int, Function1[Int, Function1[Int, Int]]] = sumCurried
// sumCurried2: Int => (Int => (Int => Int)) = scala.Function3$$Lambda$4346/991430
```

If uncurried again, we get the original function back.

```
val sumUncurried: (Int, Int, Int) => Int = Function.uncurried(sumCurried)
// sumUncurried: (Int, Int, Int) => Int = scala.Function$$$Lambda$6605/301079867@1
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _
val sumCurried: Int => Int => Int => sum3Ints.curried
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _
val sumCurried: Int => Int => Int = sum3Ints.curried
val applied1st: Int => Int => Int = sumCurried(1)
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _ 
val sumCurried: Int => Int => Int = sum3Ints.curried

val applied1st: Int => Int => Int = sumCurried(1)

val applied2nd: Int => Int = applied1st(2)
```

```
val sum3Ints: (Int, Int, Int) => Int = _ + _ + _
val sumCurried: Int => Int => Int = sum3Ints.curried

val applied1st: Int => Int = sumCurried(1)

val applied2nd: Int => Int = applied1st(2)

val applied3rd: Int = applied2nd(3)
```

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val sum3Ints: (Int, Int, Int) => Int = _ + _ +
val sumCurried: Int => Int => Int = sum3Ints.curried

val applied1st: Int => Int = sumCurried(1)

val applied2nd: Int => Int = applied1st(2)

val applied3rd: Int = applied2nd(3)

val appliedAllAtOnce: Int = sumCurried(1)(2)(3)
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val applied2nd: Int => Int = applied1st(2)

val applied3rd: Int = applied2nd(3)

val appliedAllAtOnce: Int = sumCurried(1)(2)(3)
```

As curried functions can be partially applied. They are better <u>composable</u> than their uncurried counterparts.

Curring in Java? -- (Sorry, I couldn't resist ;-)

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```
// Curring with lambdas
Function<Integer, Function<Integer, Integer>>> sum3IntsCurried =
   a -> b -> c -> a + b + c;
Integer result = sum3IntsCurried.apply(1).apply(2).apply(3);
```

Curring in Java? -- (Sorry, I couldn't resist ;-)

```
// Curring with lambdas
Function<Integer, Function<Integer, Function<Integer, Integer>>> sum3IntsCurried =
  a -> b -> c -> a + b + c:
Integer result = sum3IntsCurried.apply(1).apply(2).apply(3);
// Curring without lambdas
Function<Integer, Function<Integer, Function<Integer, Integer>>> sum3IntsCurried =
  new Function<Integer, Function<Integer, Function<Integer, Integer>>>() {
      @Override
      public Function<Integer, Function<Integer, Integer>> apply(Integer a) {
          return new Function<Integer, Function<Integer, Integer>>() {
              00verride
              public Function<Integer, Integer> apply(Integer b) {
                  return new Function<Integer, Integer>() {
                      @Override
                      public Integer apply(Integer c) {
                          return a + b + c:
                  };
         };
 }:
Integer result = sum3IntsCurried.apply(1).apply(2).apply(3);
```

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Every function is curried. It can take only one parameter and returns only one value which might be another function.

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Invoking functions with more than one parameter is possible. That is just syntactic sugar for curried functions.

Partial application in Haskell

4. Applicative Processing

 ${\tt See:}\ examples. Applicative Processing$

Trait Applicative

```
trait Functor[F[_]] { // simplified

def map[A, B](fa: F[A])(f: A => B): F[B]
}
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}

trait Applicative[F[_]] extends Functor[F] { // simplified

  def pure[A](a: A): F[A]

  def ap[A, B](ff: F[A => B])(fa: F[A]): F[B]
}
```

Trait Applicative

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trait Functor[F[_]] { // simplified

  def map[A, B](fa: F[A])(f: A => B): F[B]
}

trait Applicative[F[_]] extends Functor[F] { // simplified

  def pure[A](a: A): F[A]

  def ap[A, B](ff: F[A => B])(fa: F[A]): F[B]
}

trait Monad[F[_]] extends Applicative[F] { // simplified

  def flatMap[A, B](fa: F[A])(f: A => F[B]): F[B]
}
```

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Monadic context:

Applicative context:

Let's start with *Option[Int]* again and then abstract the solution.

Solution with *Applicative#ap*:

Example context/effect: *Option*

Some(f3Curried) lifts the curried function into the *Option* context.

Abstracting *Option* to $F[_]$: Applicative

Applicative[*F*].*pure*(*fCurried*) lifts the curried function into the generic *F* context.

Abstracting away the *Int*s

Using *pure* syntax and < *> (alias for *ap*)

Applicative#ap3 saves us from currying

Applicative#map3 avoids from lifting with pure

map2, map3 .. map22 are available for Applicative.

Apply is just Applicative without pure

map2, map3 .. map22 come from Apply.

Apply is a base trait of *Applicative* and provides us *map3*.

Using *Tuple3#mapN* for convenience

We just tuple up the three F's and invoke mapN with a function that takes three parameters. mapN fuses the F's into an F-result.

Cats provides *mapN* as an enrichment for *Tuple2*, *Tuple3* .. *Tuple22*.

Comparing the solutions

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Monadic solution:

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Applicative solution:

We provided *processABC* with two parameter lists.

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This improves composability and allows us provide the *compute* function and the effectful F's in separate steps.

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5. Comparing Monad with Applicative

Sequential vs. parallel

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Monads enforce sequential operation!

Imagine a for-comprehension. The generators are executed in sequence, one after the other. The yield clause is executed after all generators have finished.

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Imagine a for-comprehension. The generators are executed in sequence, one after the other. The yield clause is executed after all generators have finished.

Applicatives allow for parallel/independent operations!

The operations are by nature <u>independent</u> of each other. (That does not mean, they are asynchronous.)

Fail-fast semantics

Fail-fast semantics

Monads enforce short-circuiting!

If an 'erraneous' value (e.g. *None*, *Nil* or *Left*) is found in a monadic computation, the computation stops immediately, because the result will not change if processing were continued.

E.g.: We are not able to collect errors.

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Applicatives do not short-circuit!

As applicative operations are independent of each other, processing does not stop if an error occurs.

It is possible to collect errors (see: cats.data.Validated) cats.data.Validated has an Applicative instance, but no Monad instance.

We will come to that later.

Composition

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To kind of 'compose' Monads we need an extra construct such as a Monad Transformer which itself is a Monad. I.e.: If we want to compose two Moands we need a third Monad to stack them up.

Composition

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Applicatives compose!

Composition of (Functor and) Aplicative is a breeze. It is genuinely supported by these type classes.

See: examples.Composition

Composition - Code

```
val loi1 = List(Some(1), Some(2))
val loi2 = List(Some(10), Some(20))
```

Monads do not compose!

```
def processMonadic(xs: List[Option[Int]], ys: List[Option[Int]]): List[Option[Int]]
  val otli: OptionT[List, Int] = for {
      x <- OptionT[List, Int](xs)
      y <- OptionT[List, Int](ys)
    } yield x + y
  otli.value
}
val result1 = processMonadic(loi1, loi2)
// result1: List[Option[Int]] = List(Some(11), Some(21), Some(12), Some(22))</pre>
```

Applicatives compose!

```
def processApplicative(xs: List[Option[Int]], ys: List[Option[Int]]): List[Option[
   Applicative[List].compose[Option].map2(xs, ys)((_:Int) + (_:Int))

val result2 = processApplicative(loi1, loi2)
// result2: List[Option[Int]] = List(Some(11), Some(21), Some(12), Some(22))

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```

When to use which

Use Applicative if all computations are independent of each other.

Howto:

- Write a monadic solution with a for-comprehension.
- If the generated values (to the left of the generator arrow <-) are only used in the *yield* clause at the end (not in an other generator) the computations are independent and allow for an applicative solution.
- Tuple up the computations and *mapN* them with a function which fuses the computation results to a final result.

(This howto is applicable only if the effect in question also has a Monad instance.)

When to use which - counter example

```
val result =
  for {
    x <- Future { computeX }
    y <- Future { computeY(x) }
    z <- Future { computeZ(x, y) }
} yield resultFrom(z)</pre>
```

In this for-comprehension the subsequent computations depend on the previous ones.

Hence this for-comprehension cannot substituted by applicative processing.

Principle of Least Power

Given a choice of solutions, pick the least powerful solution capable of solving your problem.

-- Li Haoyi

6. The Applicative trait

Cats typeclass hierarchy (a small section of it)

Complete hierarchy here

Typeclass Functor

```
trait Functor[F[_]] { // simplified

// ----- intrinsic abstract Functor method

def map[A, B](fa: F[A])(f: A => B): F[B]

// ----- method implementations in terms of map

def fmap[A, B](fa: F[A])(f: A => B): F[B] = map(fa)(f) // alias for map

def lift[A, B](f: A => B): F[A] => F[B] = fa => map(fa)(f)

def as[A, B](fa: F[A], b: B): F[B] = map(fa)(_ => b)

def void[A](fa: F[A]): F[Unit] = as(fa, ())

def compose[G[_]: Functor]: Functor[Lambda[X => F[G[X]]]] = ???
}
```

Typeclass Applicative

```
trait Applicative[F[ ]] extends Functor[F] { // simplified
  // ---- intrinsic abstract Applicative methods
  def pure[A](a: A): F[A]
  def ap[A, B](ff: F[A => B])(fa: F[A]): F[B]
  // ---- method implementations in terms of pure and ap
  override def map[A, B](fa: F[A])(f: A \Rightarrow B): F[B] = ap(pure(f))(fa)
  def <*>[A, B](ff: F[A => B])(fa: F[A]): F[B] = ap(ff)(fa) // alias for ap
  def ap2[A, B, Z](ff: F[(A, B) => Z])(fa: F[A], fb: F[B]): F[Z] = {
    val ffBZ: F[B \Rightarrow Z] = ap(map(ff)(f \Rightarrow (a:A) \Rightarrow (b:B) \Rightarrow f(a, b)))(fa)
    ap(ffBZ)(fb)
 } // also: ap3, ap4 .. ap22
  def map2[A, B, Z](fa: F[A], fb: F[B])(f: (A, B) \Rightarrow Z): F[Z] =
    ap(map(fa)(a \Rightarrow f(a, : B)))(fb)
  // also: map3, map4 .. map22
  def product[A, B](fa: F[A], fb: F[B]): F[(A, B)] = map2(fa, fb)((, ))
  def tuple2[A, B](fa: F[A], fb: F[B]): F[(A, B)] = product(fa, fb)
  // also: tuple3, tuple4 .. tuple22
 def compose[G[ ]: Applicative]: Applicative[Lambda[X => F[G[X]]]] = ???
```

7. Either vs. Validated

 $See: {\it examples.} Either {\it VsValidated}$

Monadic processing with *Either*

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```
val result: Either[List[String], Int] =
  for {
    x <- 5.asRight[List[String]]
    y <- List("Error 1").asLeft[Int]
    z <- List("Error 2").asLeft[Int] // List("Error 2") is lost!
  } yield x + y + z

// result: Either[List[String],Int] = Left(List(Error 1))</pre>
```

Applicative processing with *Either*

Applicative processing disables fail-fast semantics. But with *Either* we get the same result as before. Because *Either* has a Monad instance.

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val result: Either[List[String], Int] =
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    List("Error 1").asLeft[Int],
    List("Error 2").asLeft[Int] // List("Error 2") is lost!
  ) mapN ((_: Int) + (_: Int) + (_: Int))

// result: Either[List[String],Int] = Left(List(Error 1))
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Applicative processing with *Validated*

cats.data.Validated is designed in analogy to Either. Instead of Right and Left it has Valid and Invalid. Validated has an Applicative instance but no Monad instance.

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```
val result: Validated[List[String], Int] =
  ( 5.valid[List[String]],
    List("Error 1").invalid[Int],
    List("Error 2").invalid[Int] // List("Error 2") is preserved!
  ) mapN ((_: Int) + (_: Int) + (_: Int))

// result: cats.data.Validated[List[String],Int] = Invalid(List(Error 1, Error 2))
```

Conversions between *Either* and *Validated*

Conversion is supported in both directions Either#toValidated converts an Either to a Validated. Validated#toEither converts a Validated to an Either.

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val result: Validated[List[String], Int] =
   ( 5.asRight[List[String]].toValidated,
       List("Error 1").asLeft[Int].toValidated,
       List("Error 2").asLeft[Int].toValidated // List("Error 2") is preserved!
   ) mapN ((_: Int) + (_: Int) + (_: Int))

// result: cats.data.Validated[List[String],Int] = Invalid(List(Error 1, Error 2))

val resultAsEither = result.toEither
// resultAsEither: Either[List[String],Int] = Left(List(Error 1, Error 2))
```

8. Traversals

See: examples.Traversals

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Let's start with *Future.sequence*. It is the simpler one.

<u>Future.sequence API doc:</u> Simple version of Future.traverse. Asynchronously and non-blockingly transforms a TraversableOnce[Future[A]] into a Future[TraversableOnce[A]]. Useful for reducing many Futures into a single Future.

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Simply spoken: sequence turns a List[Future[A]] into a Future[List[A]].

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))
val fld: Future[List[Double]] = Future.sequence(lfd)
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val fld: Future[List[Double]] = Future.sequence(lfd)
```

Typically in an application we don't have a *List[Future[B]]*, but we produce it by processing a *List[A]* asynchronously.

We can first map the List[A] with the function $A \Rightarrow Future[B]$ and then invoke sequence on the resulting List[Future[B]] in order to get a Future[List[B]].

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We can first map the List[A] with the function $A \Rightarrow Future[B]$ and then invoke sequence on the resulting List[Future[B]] in order to get a Future[List[B]].

```
val li: List[Int] = List(1, 2, 3)
val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }
val lfi: List[Future[Double]] = li.map(doubleItAsync)
val fld: Future[List[Double]] = Future.sequence(lfi)
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Mapping and sequencing traverses the list twice. *traverse* fuses mapping and sequencing into a single traversal.

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Future.traverse takes a List[A] and a mapping function from $A \Rightarrow Future[B]$ and returns a Future[List[B]].

```
val li: List[Int] = List(1, 2, 3)
val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }
val fld: Future[List[Double]] = Future.traverse(li)(doubleItAsync)
```

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Future.traverse takes a List[A] and a mapping function from $A \Rightarrow Future[B]$ and returns a Future[List[B]].

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val li: List[Int] = List(1, 2, 3)
val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }
val fld: Future[List[Double]] = Future.traverse(li)(doubleItAsync)
```

Providing *identity* as mapping function to *traverse* has the same effect as *sequence*.

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))
val fld: Future[List[Double]] = Future.traverse(lfd)(identity)
```

Cats generalizes this concept into the *Traverse* typeclass.

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```
trait Traverse[F[_]] extends Foldable[F] with Functor[F] { // simplified

def traverse[G[_]: Applicative, A, B](fa: F[A])(f: A => G[B]) : G[F[B]]

def sequence[G[_]: Applicative, A](fga: F[G[A]]): G[F[A]] =
    traverse(fga)(identity)

// map in terms of traverse using the Id context
    override def map[A, B](fa: F[A])(f: A => B): F[B] = traverse(fa)(f: A => Id[B])
}
```

Cats generalizes this concept into the *Traverse* typeclass.

```
trait Traverse[F[_]] extends Foldable[F] with Functor[F] { // simplified

def traverse[G[_]: Applicative, A, B](fa: F[A])(f: A => G[B]) : G[F[B]]

def sequence[G[_]: Applicative, A](fga: F[G[A]]): G[F[A]] =
    traverse(fga)(identity)

// map in terms of traverse using the Id context
  override def map[A, B](fa: F[A])(f: A => B): F[B] = traverse(fa)(f: A => Id[B])
}
```

traverse and *sequence* require the $G[_]$ to be an Applicative (*Future* before).

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traverse and *sequence* require the $G[_]$ to be an Applicative (*Future* before).

The structure traversed over (*List* before) must be *Foldable with Functor*. Hence *Traverse* extends these two traits.

The *traverse* function can indeed be implemented with *map* and *foldLeft* or *foldRight*. On the other hand *foldLeft*, *foldRight* and *map* can be implemented with *traverse*.

Traverse[List].sequence[Future, A]

Instead of *Future.sequence* we can now use *Traverse[List].sequence*.

Traverse[List].sequence[Future, A]

Instead of *Future.sequence* we can now use *Traverse[List].sequence*.

```
val lfd: List[Future[Double]] = List(Future(2.0), Future(4.0), Future(6.0))

val fld1: Future[List[Double]] = Traverse[List].sequence(lfd)
// fld1: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0))

import cats.syntax.traverse._ // supports 'sequence' as an enrichment of List

val fld2: Future[List[Double]] = lfd.sequence
// fld2: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0)))
```

Traverse[List].traverse[Future, A, B]

Instead of Future.traverse we can now use Traverse[List].traverse.

Traverse[List].traverse[Future, A, B]

Instead of *Future.traverse* we can now use *Traverse[List].traverse*.

```
val li: List[Int] = List(1, 2, 3)

val doubleItAsync: Int => Future[Double] = x => Future { x * 2.0 }

val fld1: Future[List[Double]] = Traverse[List].traverse(li)(doubleItAsync)
// fld1: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0))

import cats.syntax.traverse._ // supports 'traverse' as an enrichment of List

val fld2: Future[List[Double]] = li traverse doubleItAsync
// fld2: scala.concurrent.Future[List[Double]] = Future(Success(List(2.0, 4.0, 6.0))
```

Traverse[Vector].traverse[Option, A, B]

We now can traverse not only over *Lists* but over any other *Foldable* that has a *Traverse* instance, e.g. *Vector*. The mapping function may produce any Applicative, e.g. *Option*.

Traverse[Vector].traverse[Option, A, B]

We now can traverse not only over *Lists* but over any other *Foldable* that has a *Traverse* instance, e.g. *Vector*. The mapping function may produce any Applicative, e.g. *Option*.

```
val vi1: Vector[Int] = Vector(3, 2, 1)
val vi2: Vector[Int] = Vector(3, 2, 0)

val divideBy: Int => Option[Double] = x => if (x == 0) None else Some { 6.0 / x }

val ovd1_1 = Traverse[Vector].traverse(vi1)(divideBy)
// ovd1_1: Option[Vector[Double]] = Some(Vector(2.0, 3.0, 6.0))
val ovd1_2 = Traverse[Vector].traverse(vi2)(divideBy)
// ovd1_2: Option[Vector[Double]] = None

import cats.syntax.traverse._ // supports 'traverse' as an enrichment of Vector

val ovd2_1 = vi1 traverse divideBy
// ovd2_1: Option[Vector[Double]] = Some(Vector(2.0, 3.0, 6.0))
val ovd2_2 = vi2 traverse divideBy
// ovd2_2: Option[Vector[Double]] = None
```

9. Resources

Resources (1/3)

- Code and Slides of this Talk: https://github.com/hermannhueck/use-applicative-where-applicable
- Cats documentation:

https://typelevel.org/cats/typeclasses/applicative.html https://typelevel.org/cats/typeclasses/traverse.html https://typelevel.org/cats/datatypes/validated.html

• Herding Cats, Day 2 and 3:

http://eed3si9n.com/herding-cats/Functor.html http://eed3si9n.com/herding-cats/Semigroupal.html http://eed3si9n.com/herding-cats/Apply.html http://eed3si9n.com/herding-cats/Applicative.html

 "Scala with Cats", Chapters 6 and 7
 Book by Noel Welsh and Dave Gurnell https://underscore.io/books/scala-with-cats/

Resources (2/3)

Live Coding Tutorial on Functor and Applicative by Michael Pilquist
FSiS Part 1 - Type Constructors, Functors, and Kind Projector
https://www.youtube.com/watch?v=Dsd4pc99FSY
FSiS Part 2 - Applicative type class
https://www.youtube.com/watch?v=tD_EyIKqqCk

• Learn You a Haskell for Great Good!, Chapter 11
Online book by Miran Lipovaca
http://learnyouahaskell.com/functors-applicative-functors-and-monoids

Resources (3/3)

Applicative Programming with Effects
 Conor McBride and Ross Paterson in Journal of Functional Programming 18:1 (2008), pages 1-13

http://www.staff.city.ac.uk/~ross/papers/Applicative.pdf

• The Essence of the Iterator Pattern Jeremy Gibbons and Bruno C. d. S. Oliveira, Oxford University Computing Laboratory

https://www.cs.ox.ac.uk/jeremy.gibbons/publications/iterator.pdf

Thanks for Listening

ABQ

https://github.com/hermannhueck/use-applicative-where-applicable