

# Master Thesis

*Tuning of the Optical Beamforming Networks*

Delft University of Technology

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# Outline

## ① Project Description

- Project Overview
- Phased Array Antennas
- OBFN Chip
- Optical Ring Resonator (ORR)

## ② Tuning of OBFN

- Parameter to be Tuned
- Desired Goals of Tuning
- Tuning Methods
- Proposed Tuning Methods - Machine Learning

## ③ Machine Learning Tuning Parameters

- Cost Function
- Parameter Gradients
- Input and Output of Neural Network
- Dropout Regularization

# Next Section

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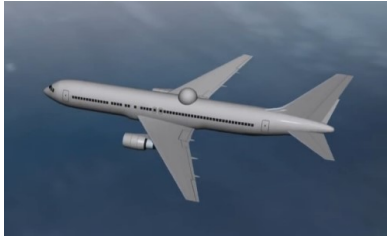
Cost Function

Parameter Gradients

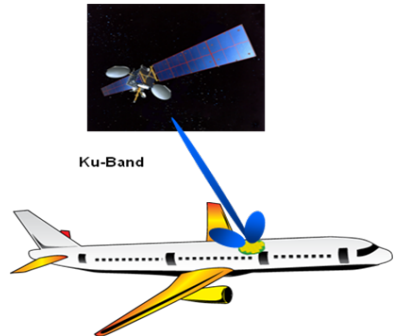
Input and Output of Neural Network

Dropout Regularization

# Project Overview



**Figure:** Mechanical Antenna on Aeroplane



**Figure:** Phased Array Antennas on Aeroplane

# Project Overview

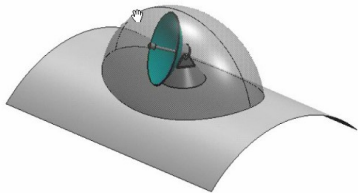


Figure: Mechanical antenna



Figure: Phased array antennas

# Phased Array Antennas

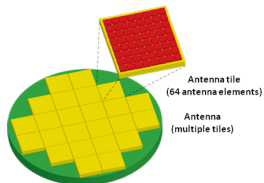


Figure: PAA configuration



# OBFN Chip

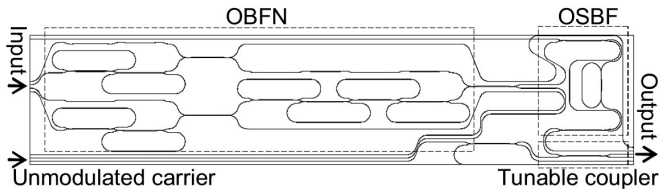


Figure: Waveguide layout of an integrated beamformer chip

# Optical Ring Resonator (ORR)

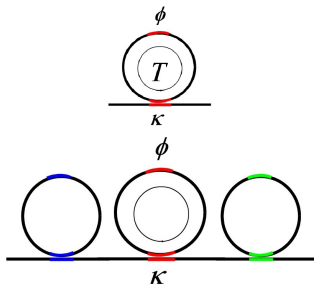


Figure: Optical Ring Resonator Configuration

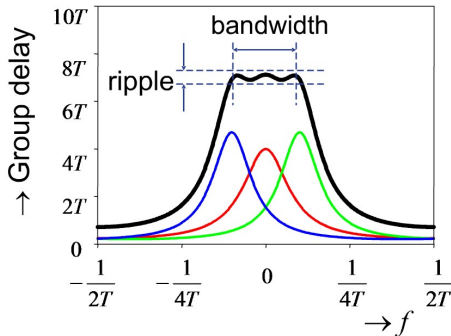


Figure: Delay, ripple, and bandwidth graph



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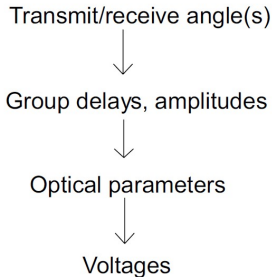
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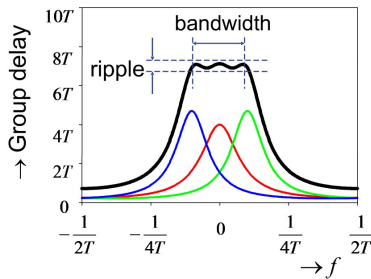
# Parameters to be Tuned



## Parameters to be Tuned: Optical Parameters

Parameters to be tuned to get the desired goals are the optical parameters :  $\kappa$ ,  $\phi$ , and  $T$  of each ORR

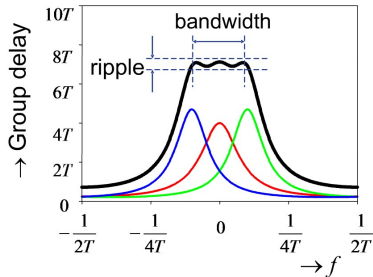
# Desired Goals of Tuning



Goals: Group delays, ripple and bandwidth

- **Group delays** : a certain value, depends on the angle of signal
- **Ripple** : flat
- **Bandwidth** : aligned with the spectrum of the modulated optical signal

# Desired Goals of Tuning



## Tradeoff!

There is a **tradeoff** between the group delays, ripple, bandwidth and the number of ORR needed.

# Tuning Methods - NLP

## Definition

Search for the parameters that minimize the cost function  $\mu$  subject to several constraints.

The usual notation of this problem is as follows

$$\min_x \mu(x)$$

*s.t*

$$\mathbf{l} \leq \mathbf{x} \leq \mathbf{u}$$

$$g_i(x) = 0$$

$$g_i(x) \leq 0$$

It is implemented in the *fmincon* function of MATLAB

# Tuning Methods - NLP - Cost Functions

## Delay Criterion

Delay Criterion can be used as a cost function.

$$\mu = \sum_k (\tau_{total}(f_k) - D)^2$$

with

$$\tau_l(f) = \frac{\kappa_l T}{2 - \kappa_l - 2\sqrt{1 - \kappa_l} \cos(2\pi fT + \phi_l)}$$

and  $D$  is the desired delay value

# Tuning Methods - NLP - Cost Functions

## Phase Criterion

Phase Criterion can be used as a cost function.

$$\mu = \sum_n (\psi_{total}(f_0 + f_{IF,n}) + 2\pi D(f_0 + f_{IF,n}))^2$$

with

$$\psi(f) = \arctan\left(\frac{\sin(2\pi fT + \phi_I)}{\sqrt{1 - \kappa_I} - \cos(2\pi fT + \phi_I)}\right) - \arctan\left(\frac{\sqrt{1 - \kappa_I} \sin(2\pi fT + \phi_I)}{1 - \sqrt{1 - \kappa_I} \cos(2\pi fT + \phi_I)}\right)$$

and  $D$  is the desired delay value

# Tuning Methods - NLP - Cost Functions

## Power Criterion

Power Criterion can be used as a cost function.

$$\mu = \sum_n [P_{ideal} - P_{actual}]^2$$

with

$$P_{ideal} = \sum_{m=1}^M a_m |H_m(f_o + f_{IF,n})|$$

$$P_{actual} = \left| \sum_{m=1}^M a_m |H_m(f_o + f_{IF,n})| \exp(j(\psi_{total,m}((f_o + f_{IF,n})) + D_m(f_o + f_{IF,n}))) \right|$$

and  $D_m$  is the desired delay value



# Proposed Tuning Methods - Machine Learning

## Definition

Machine learning concept can be used to train the neural networks to get the optimum parameters which minimize the error (cost function  $\mu$ )

Cost function  $\mu$  that can be used is Power Criterion because it is measurable so it is easy to be compared to the desired one.

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# Cost Functions

## Power Criterion

Power Criterion can be used as a cost function.

$$\mu = \sum_n [P_{ideal} - P_{actual}]^2$$

or, for mathematical convenience, following  $\mu$  can be used

$$\mu = \sum_n \frac{1}{2} [P_{ideal} - P_{actual}]^2$$

# Parameter Gradients

## Definition

The gradient is necessary to determine in which direction will the optimization process go. Since the cost function is an error function of the desired and actual result, the gradient needed is a rate of change of error w.r.t change of parameters.

In machine learning concept, Backpropagation algorithm can be used to find the rate of change of error w.r.t change of parameters.

# Input and Output of Neural Network

## Definition

Input can be the desired value of power.

Output can be the actual value of power produced.

This means the Neural Network that is used is the network that is able to reproduce its input at the output layer. This concept is called Autoencoder.

# Dropout Regularization

## Definition

One of most common problem in neural network is overfitting, means the system learn over fit to the trained data, which will make the system will not have good result when new test data is introduced. This problem is handled by a stochastic training method called Dropout regularization.