

### 3.1 Transport layer services

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- TCP: reliable, in-order delivery
  - congestion control
  - flow control
  - connection needs to be set up
- UDP: unreliable, out of order
  - no-frills extension of best effort IP

### 3.2 Multiplexing and demultiplexing

- Multiplexing:
  - Sender handling data from multiple sockets
  - Demultiplexing at receiver: use header info to deliver received segments to correct socket
- Demultiplexing
  - host receives IP datagrams: source and destination IP
  - datagram: IP addresses and port numbers to direct segment to socket
- Connectionless demultiplexing
  - Datagram sending to UDP socket must have destination IP addr and port#
  - From different source with same destination will be sent to the same socket
- Connection-oriented demultiplexing
  - 4-tuple: src+dst IP+port
  - demux: receiver uses all
  - simultaneous TCP sockets
  - web servers have different sockets for each connecting client

### 3.3 Connectionless transport: UDP

- No handshaking, each segment handled independently
- No congestion controls
- use streaming multimedia, DNS, SNMP
- Header
  - source port number, dest port number, length of segment, checksum (32bits)
  - payload

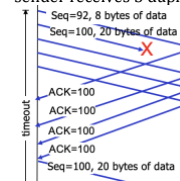
### 3.4 Principles of reliable data transfer

- rdt1.0: reliable channel
    - underlying channel is perfect, no bit errors, no packet loss
    - separate FSM for sender and receiver
  - rdt2.0: channel with bit errors
    - checksum to detect bit errors
    - error recovery
      - ACK: receiver tells sender that pkt received OK
      - NAK: receiver tells sender that pkt had errors, sender retransmits
    - Corrupted ACK/NAK
      - duplicates
      - Sender adds a sequence number to each packet, receiver discards duplicate packet
      - Stop and wait: sender sends one packet and waits for receiver response before sending the next
  - rdt2.1: sender, handles garbled ACK/NAKs
  - rdt2.2: a NAK-free protocol
    - receiver must include sequence number of pkt being ACKed
    - Sender/receiver fragment
  - rdt3.0: channels with errors and loss
    - sender wait until timeout and then retransmit
    - if no loss just delays -> duplicate ACKs, handled by sequence number because receiver needs to specify seq# of pkt being ACKed.
    - Performance calculation of rdt3.0
      - 1Gbps link, 15ms propagation delay, 8000bit packet
        - $D_{trans} = 8000 / 10^9 = 8 \text{ microseconds}$
        - Fraction of time sender busy sender:
          - $U_{sender} = L/R / (RTT + L/R) = 8\mu s / (30ms + 8\mu s) = 0.00027$
  - Pipelined protocols
    - Sender can have up to N unacked packets in pipeline
    - Go-back-N (GBN)
      - Receiver sends cumulative ack
- 
- Timer for the oldest unacked packet, retransmit
  - ACK only: send ACK for correctly received pkt with highest sequence number
  - No receiver buffering, discard out of order packet, need to resend all arriving packets after first lost packets
  - Selective repeat (SR)
    - Receiver:
      - sends individual ack for each packet

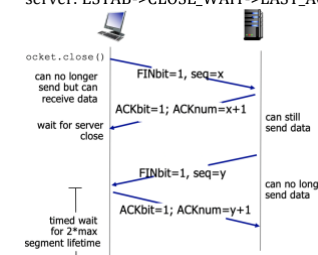
- [base, base+N-1] Buffer out of order, deliver in order
- [base-N, base-1] ACK
- Timer for each unacked packet, retransmit only that unacked packet
- Sender only resends unreceived packets

### 3.5 Connection-oriented transport: TCP

- Overview
  - One sender, one receiver.
  - RDT
  - Pipelined, TCP congestion and flow control sets window size
  - Bi-directional data flow in same connection
  - Connection oriented
- TCP segment
  - source and destination port #
  - Seq num: byte stream number of first byte in segment's data
  - ACK num: sequence number of next byte expected from the other side
  - ...
  - checksum: pseudo-header from IP header + TCP header + TCP payload
  - TCP round trip time (RTT), timeout
    - $\text{estimatedRTT} = (1 - 0.125) * \text{estimatedRTT} + 0.125 * \text{SampleRTT}$
    - $\text{DevRTT} = (1 - 0.25) * \text{DevRTT} + 0.25 * |\text{SampleRTT} - \text{estimatedRTT}|$
    - $\text{TimeoutInterval or RTT} = \text{estimatedRTT} + 4 * \text{DevRTT}$
- Reliable data transfer
  - Pipelined segments, cumulative acks, single retransmission timer
  - Retransmit upon timeout or duplicate acks
  - TCP Sender Events
    - create segment with seq# from receiver (rcvr's ack)
    - start timer if not ready done so
    - timeout + retransmit + restart timer
    - ack rcvd
  - TCP ACK generation
    - in-order, all data up to seq# acked => delayed ack, wait up to 500ms for next segment, if no, send ack
    - in-order, one ack pending => send single cumulative ack, acking both in-order segments
    - out of order, higher than expected seq#, gap! => send duplicate ack, indicating expected byte
    - filling gap => send ack
  - TCP fast retransmission
    - sender receives 3 duplicate ACKs -> resend unacked segment with smallest seq#
- 
- Flow control
  - receiver-side buffering
  - rwnd: free space in the receiver buffer
- Connection management
  - Three-way handshake: LISTEN->SYN SENT->RCVD->ESTAB
    - client request connection: SYN on, SEQ c
    - server accept connection: SYN on, SEQ s, ACK on, ACK = c+1
    - client send data: ACK on, ACK = s+1
  - Closing a connection
    - client: ESTAB->WAIT\_FIN1->WAIT\_FIN2->TIMED\_WAIT->CLOSED
    - server: ESTAB->CLOSE\_WAIT->LAST\_ACK->CLOSED



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- client wants to close connection: FIN on
- server respond to FIN: ACK on
- server wants to close connection: FIN on
- client respond to FIN: ACK on

### 3.6 Principles of congestion control

### 3.7 TCP congestion control

- Overview
  - $\text{last\_byte\_sent} - \text{last\_byte\_acked} \leq \text{cwnd}$
  - $\text{rate} = \text{cwnd} / \text{RTT}$  bytes/sec
  - loss event = timeout or 3 dup
  - TCP reduces cwnd after loss event
- AIMD rule: additive increase, multiplicative decrease
  - $\text{cwnd} += 1\text{MSS}$  before loss
  - $\text{cwnd} /= 2$  after loss
- Slow start
  - when connection begins,  $\text{cwnd} = 1\text{mss}$
  - increase rate exponentially until threshold
- Congestion avoidance
  - $\text{cwnd} > \text{ssthresh}$ ,  $\text{cwnd} + (\text{MSS} * \text{MSS}) / \text{cwnd}$  upon every incoming nonduplicate ACK
  - 3 duplicate ACK: enter fast retransmission
  - Retransmission timeout: reset everything
- Fast retransmission/fast recovery
  - Fast retransmission
    - 3 duplicate ACK to indicate packet loss
    - reduce threshold:  $\text{ssthresh} = \max(\text{cwnd}/2, 2 * \text{MSS})$
    - $\text{cwnd} = \text{ssthresh} + 3\text{MSS}$
    - retransmit lost packet
  - Fast recovery
    - increase cwnd by 1 MSS upon every additional duplicate ACK
    - transmit new packets if allowed by the updated cwnd
    - upon a new ack,  $\text{cwnd} = \text{ssthresh}$
- Retransmission upon timeout
  - Reduce threshold:  $\text{ssthresh} = \max(\text{cwnd}/2, 2 * \text{MSS})$
  - Reset  $\text{cwnd} = 1\text{MSS}$
- TCP throughput
  - avg TCP throughput =  $\frac{3}{4} * W / \text{RTT}$  bytes/sec, W is window size
  - L: segment loss probability
    - throughput =  $1.22 * \text{MSS} / (\text{RTT} * \sqrt{L})$

#### Study guide questions:

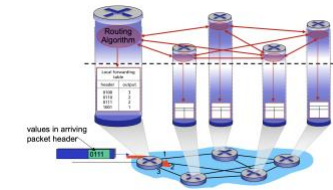
- Can you enumerate all the basic mechanisms needed to ensure reliable data transfer?
- How to handle the following scenarios (if any exists) using Stop-and-Wait, Go-back-N, or selective repeat?
  - Packet loss
  - Packet corruption
  - Corrupted ACK
  - Lost ACK
  - duplicate packets
  - Out-of-order packet delivery
- TCP round-trip estimation and timeout
  - Is the  $\text{SampleRTT}$  computed for a segment that has been retransmitted? Why?
- What is the negative effect if the timeout value is set too small, or too big?
- Why does  $\text{sampleRTT}$  fluctuate?
- how does TCP readjust its timer? (see lecture slides)
  - When receiving a new ACK
  - When receiving a duplicate ACK?
  - When the current timer expires for N times?
- What is 3-way handshake?
  - How are the initial seq, ACK #, etc. decided?
- Are the TCP connection setup and teardown identical?
  - Why are they different?
  - Why do you need so many states in the FSM model for TCP connection?

## Chapter 4: Network layer, Data plane

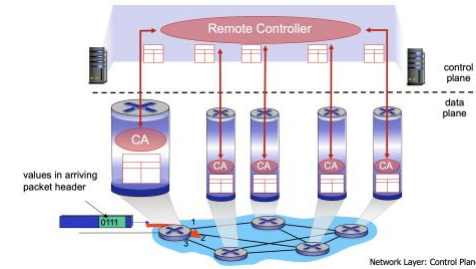
### 4.1 Overview of network layer

- What does network layer do?
  - transport segment from sending to receiving host through all the network layers
  - Sender: wrap segments into datagrams
  - Receiver: deliver segments to transport layer
  - There is a network layer in every host and router along the path
  - Router examines header fields in all IP datagrams passing through it
- Network-layer functions
  - forwarding: move packets from router's input to appropriate router output
    - getting through a single interchange
  - routing: determine which route the packet goes from source to destination
    - planning trip
  - Data plane
    - local, per-router function
    - determine how datagram arriving on router input port is forwarded to router output port
    - forwarding function
  - Control plane

- network-wide logic
- determine how datagram is routed among routers along end-end path from source to destination (routing function)
- Two control-plane approaches:
  - Traditional routing algorithm: implemented in routers



- Software-defined networking (SDN): implemented in remote servers



- Network service model
  - What service model is for channel transporting datagrams from sender to receiver?
    - example services for individual datagrams:
      - guaranteed delivery with less than 40ms delay
    - example services for a flow of datagrams:
      - in-order datagram delivery
      - guaranteed minimum bandwidth to flow
      - restrictions on changes in inter-packet spacing

### 4.2 What's inside a router?

- Router architecture
  - Routing control plane (Software)
    - Routing processor
  - Forwarding data plane (Hardware)
    - Router input ports -> high-speed switching fabric (controlled by routing processor) -> router output ports
    - Input port functions
      - Line termination [physical layer: bit-level reception]
      - > link layer protocol(receive) [data link layer: ethernet]
      - > lookup, forwarding, queuing
        - Decentralized switching:
          - Header filed values: lookup output port using forwarding table in input port memory
          - Goal: complete input port processing at line speed
          - Queueing if datagrams arrive faster than forwarding rate
          - Destination-based forwarding: based on destination IP address (traditional)
          - Generalized forwarding: based on any set of header field values

- > switch fabric
- Destination-based forwarding
  - What happens if ranges don't divide in a forwarding table? -->
  - Longest prefix matching: when looking for forwarding table entry for given destination address, use longest address prefix that matches destination address.

Destination Address Range	Link interface
11001000 00010111 00010***	0
11001000 00010111 00011000	1
11001000 00010111 00011***	2
otherwise	3

ples:

DA: 11001000 00010111 00010110 10100001

DA: 11001000 00010111 00010000 10101010

which interface? 0

which interface? 1

rather than 2

Performed using ternary content addressable memories,

retrieve address in one clock cycle regardless of table size

Why?

- Input port queueing

- queuing delay and loss due to input buffer overflow
      - head of the line(HOL) blocking: line front blocks others
  - Switching fabric
    - Transfer packet from input buffer to appropriate output buffer
    - Switching rate: measured as multiple of input/output line rate (N inputs, N times line rate desirable)
    - Three types of switching fabrics:
      - Memory
        - packets are copied to system's memory
        - limited by memory bandwidth
      - Bus
        - datagram from input port memory to output port memory via a shared bus, one datagram at a time
        - limited by bus bandwidth
      - Crossbar
        - overcome bandwidth, fragment datagram into fixed length cells
  - Output port
    - Output port queueing
      - buffering required, otherwise packet loss, possible overflow too
      - scheduling datagrams
    - How much buffering is need?
      - arrival rate > output line speed
      - $RTT_C / \sqrt{N}$ ,  $C$  = link capacity(bps),  $N$ : #of flows
- Scheduling: FIFO, Priority, Round Robin: multiple classes, send one complete packet from each class

#### 4.3 IP: Internet protocol

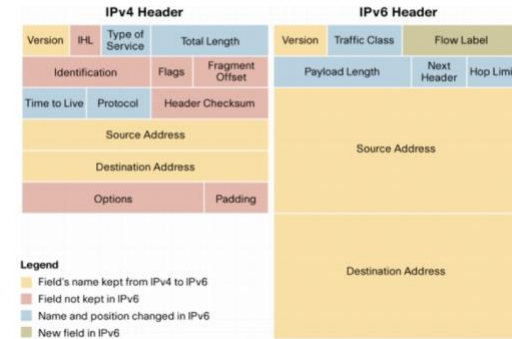
- Network layer: routing protocols(path selection) <-> forwarding table, IP protocol, ICMP protocol(error reporting, router signaling)
  - Datagram format
    - 20 bytes of IP, total = 20 + tcp 20b header + app layer overhead
    - version, length, flags, time, source IP address, destination IP address, data
  - packet handling conventions
    - IP fragmentation, reassembly
      - one datagram becomes several smaller datagrams
      - reassembled at destination
      - IP header bits to identify and reorder fragments
  - IP internet protocol
    - IPv4 addressing conventions
      - 32-bit identifier for host, router, and interface(connection between host/router and physical link)
      - Subnet part** (higher order bits)
        - device interfaces with same subnet part of IP address
        - can physically reach each other without intervening router
        - recipe:
      - Host part (lower order bits)
      - CIDR** (classless interdomain routing)
        - a.b.c.d/x, x is #bits in subnet part of address
- subnet part      host part  
 11001000 00010111 00010000 00000000  
 200.23.16.0/23
- How to get an IP address
    - hard-coded
    - DHCP: dynamic host configuration protocol**
      - DHCP discover: arriving client says is there a DHCP server?
      - DHCP offer: D server offers an IP addr
      - DHCP request: client takes the IP addr
      - DHCP ack: server says you got the IP addr
      - can return more allocated IP on subnet + first-hop router address + name and IP addr of DNS server + network mask
    - Example:
      - DHCP gives connecting client its IP, first-hop router, DNS server addr
      - DHCP request is wrapped in UDP, in IP, and in 802.11 ethernet
      - DHCP server receives Ethernet frame broadcast on LAN
      - Demux: ethernet demux to IP to UDP to DHCP (reverse (2))
      - DHCP server formulate DHCP ACK containing (1)
      - DHCP server wraps them in UDP, IP, Ethernet and send to client, client demux
    - How does network get subnet part of IP address?
      - gets allocated portion of its provider ISP(internet service provider)'s address space
  - Network address translation (NAT)**
    - Motivation

- all datagrams leaving LAN have same source NAT IP address with different source port numbers
- can change addresses of provider without notifying outside world
- devices inside the local net are not addressable from outside (security)
- Implementation
  - Outgoing datagrams: replace source IP with NAT IP and new port#
  - Remember in NAT table: pair
  - incoming datagrams: replace NAT with corresponding IP stored in NAT table

#### IPv6

- 40 byte header, no fragmentation
- datagram format**: include priority of datagram in a flow, flow label, next header, ICMPv6; remove checksum, options outside of header

### IPv4 & IPv6 Header Comparison



- Tunneling**: IPv6 datagram carried as payload in IPv4 datagram among IPv4 routers

#### 4.4 Generalized Forward and SDN

### Chapter 5 Network layer: control plane

#### 5.1 Introduction

- Two approaches to structure network control plane:
  - per-route control (traditional)
    - individual routing algorithm components in each and every router, interact with each other in control plane, to compute forward tables
  - logically centralized control (software defined networking) SDN
    - A distinct remote controller interacts with local control agents (CA) in routers to compute forward tables

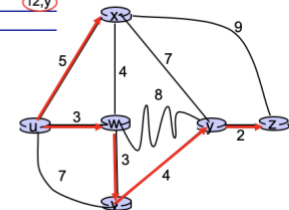
#### 5.2 Routing protocols

- Link state algorithm
  - Global information, all routers have complete topology, link cost info
  - Static: routes change slowly/ Dynamic: routes change quickly, periodically, or in response to link cost change
  - Dijkstra's algorithm
    - least cost paths from source node to all other nodes

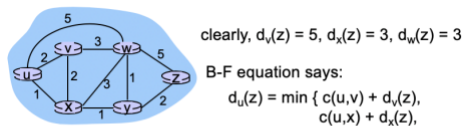
Step	N'	D(v)	D(w)	D(x)	D(y)	D(z)
		p(v)	p(w)	p(x)	p(y)	p(z)
0	u	7,u	3,u	5,u	∞	∞
1	uw	6,w	5,u	11,w	∞	∞
2	uwx	6,w	11,w	14,x	∞	∞
3	uwxv	10,v	14,x	∞	∞	∞
4	uwxvy	12,y	∞	∞	∞	∞
5	uwxvyz	∞	∞	∞	∞	∞

#### notes:

- construct shortest path tree by tracing predecessor nodes
- ties can exist (can be broken arbitrarily)



- Distance vector algorithm
  - Decentralized information, router knows physically-connected neighbors only, iterative process of computation, exchange info of neighbors
  - Bellman-ford
    - $D(x,y) = \min\{c(x,v) + D(v,y)\}$  for all v.



clearly,  $d_v(z) = 5$ ,  $d_x(z) = 3$ ,  $d_w(z) = 3$

B-F equation says:

$$d_u(z) = \min \{ c(u,v) + d_v(z), c(u,x) + d_x(z), c(u,w) + d_w(z) \}$$

$$= \min \{ 2 + 5, 1 + 3, 5 + 3 \} = 4$$

node achieving minimum is next hop in shortest path, used in forwarding table

- Each node sends its own distance vector estimate to neighbors, when x receives its neighbors' DV, updates its own DV using the equation above
- Converge to actual least cost  $D(x,y)$

#### LS vs. DV

- Message complexity:  $DV > LS = O(|nodes| * |links|)$
- Speed of convergence: DV varies, LS  $O(n^2)$
- Robustness: LS (each node computes its own table)  $>$  DV (might have incorrect path cost, others use your table, error propagates)

#### 5.3 Intra-AS routing in the internet: OSPF

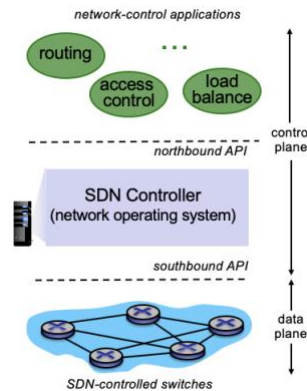
- AS: autonomous systems (domains)
- Intra-AS routing:
  - routing among hosts, routes in same AS
  - all routes run in same intra-domain protocol
  - gateway router: AS has links to routers in other ASes, perform intra/inter-domain routing
  - Common protocols:
    - RIP: Routing information protocol
    - OSPF: Open shortest path first
      - Link-state algorithm, topology map at each node, compute route via Dijkstra
      - Security: authenticated messages
      - Multiple same-cost paths allowed (one for RIP)**
      - Multiple cost metrics for each link
      - Uni-/Multi-cast support
      - Hierarchical OSPF
        - local area each running its own OSPF link-state routing algorithm
        - area border routers: routing packets to outside areas
        - backbone area: route traffic between other areas in AS, contain all border routers and others.
        - link-state info only in area, each node has area topology and knows direction to nets in other areas
    - IGRP: Interior gateway routing protocol
- Inter-AS routing:
  - routing among AS'es
  - AS2  $\leftrightarrow$  AS1  $\leftrightarrow$  AS3
  - AS1 needs to:
    - Learn which destinations are reachable through AS2, which through AS3
    - Propagate reachability info to all routers in AS3

#### 5.4 Routing among the ISPs: BGP (border gateway protocol)

- Inter-domain routing protocol, provides a means to:
  - eBGP session: obtain subnet reachability info from neighboring AS. (inter)
    - Destinations are not hosts but instead are CIDRized prefixes
    - eBGP sends prefixes
  - iBGP session: propagate reachability info to all AS internal routers. (intra)
    - distribute the prefixes to other routers in the AS
  - Allow subnet to advertise its existence to rest of internet
- BGP session
  - two BGP routers exchange BGP messages over TCP connection
  - AS 3 BGP advertisement to AS2: AS3, X; AS3 promises AS2 to forward data to X
- BGP path attributes
  - route = prefix + attributes, used to choose best routes
  - AS\_PATH: list of ASes through which prefix ad has passed
  - NEXT\_HOP: internal-AS router to next-hop AS, IP address of next-hop
  - policy-based routing: determine whether to accept a path or advertise a path
- Forwarding table entries
- BGP route selection
  - select local preference value attribute (also shortest)
  - shortest AS-path
  - closest NEXT-HOP router: hot potato routing
    - choose local gateway that has least intra-domain cost, get rid of traffic
    - don't worry about inter-domain cost
- Why different intra/inter AS routing?
  - Only inter needs policy. Intra can focus on performance.
  - Hierarchical routing saves table size, reduces update traffic

#### 5.5 The SDN control plane

- Why?
  - Easier network management: avoid router misconfigurations, greater flexibility of traffic flows, difficult traditional routing when defining a custom route from u to z.
  - Table-based forwarding allows programming routers, centralized programming easier
  - Open implementation of control plane



- Data plane switches
  - switch flow table computed and installed by SDN controller
  - OpenFlow: API for switch control (define what is controllable), protocol for communicating with controller
    - Use TCP to exchange messages: controller->switch, asynchronous switch->controller, symmetric, OpenFlow tables
- SDN controller
  - Maintain network state info, interacts with network control app above, implemented as distributed system for performance, scalability, fault-tolerance, and robustness
  - Components
    - Interface layer to apps
    - network-wide state management layer (distributed database)
    - communication layer to switches
- Control applications
  - implement control functions with API provided by SDN controller
  - can be provided by 3rd parties

#### Study guide questions:

- What is the Internet service model?
  - Channels transport datagrams from sender to receiver
  - guaranteed deliver for individual datagrams
  - in-order datagram flow delivery with minimum bandwidth to flow
- Comparing VC (virtual circuits) and datagram networks
  - Virtual circuits
    - Need connections at network layer
    - Reservation for resources like bandwidth, cpu,
    - Router needs to maintain connection state info
    - VC setup -> data transfer -> VC close
    - Signaling protocol
  - Datagram networks
    - Internet
    - Connectionless
    - stamps the packet with the destination address and deliver into the network
    - Pass through routers and link interfaces(prefix matching)
- How does a router decide which next hop to forward when a packet arrives?
  - forwarding table, longest prefix matching
- What is the rationale for each field in the IP packet header?
  - version number, header length, type of service, length, 16-bit identifier, flags, fragment offset, time to live, upper layer, header checksum, source IP, dest IP, option
- IP fragmentation & reassembly
  - One datagram becomes several smaller datagrams
  - reassembled at destination
  - IP header bits to identify and reorder fragments
- What is subnet? What is CIDR?
  - subnet
    - devices in a subnet have same IP address's higher order
    - multiple host interface, one routing interface
    - reach each other without intervening router
  - CIDR
    - internet's address assignment strategy, classless interdomain routing

- a.b.c.d/x. x = #bits in subnet port of address
- How does NAT work? What about DHCP?
- What fields exist in IPv4 but not in IPv6? What exist in IPv6 but not in IPv4? What exist in both?
- How does the tunneling technique work?
  - When you plan to deploy a new network technology on the global Internet, how do you address the issue of incremental deployment? Tunneling
- Compare link state routing and distance vector routing
- Given a network topology, apply link-state routing or distance vector routing algorithm to compute the minimum-cost path (exercise)
- What kind of info is propagated/collected in link state routing or distance vector routing? How many messages are propagated in each?
  - Link state packet: identities and costs of its neighboring links
  - Distance vector packet: receive info from neighbors, and send result of calculation back
- What is a potential problem with distance vector routing? How to address it?
  - An incorrect node calculation can be diffused through the entire network
  - Routing loops, count-to-infinity: when a route fails, spread bad news by poisoning the route
  - slower convergence
- Why does RIP limit the maximum hop count as 16? Can it fully address the count-to-inf problem?
  - Limit the use of RIP to autonomous systems that are fewer than 15 hops in diameter (#subnets traversed along the shortest path from source to destination router), to avoid count-to-inf problem,
  - No
- Can OSPF compute multiple same-cost paths? Yes
- Why intra-AS and inter-AS routing protocols are different?
  - Can BGP always compute the shortest path route? No, policy-based
  - Does the path vector in BGP include any router's IP address? Why? Yes, NEXT-HOP includes the IP address of the next router.
- What is the difference between hierarchical OSPF and BGP inter-domain routing?
  - Hier OSPF: intra-domain, can always divide large domain to smaller ones, focus more on performance
  - BGP: inter-domain, policy is more important in BGP (carried in path attributes), focuses more on scalability
- What is longest prefix matching rule?
  - The first x bits are used to determine subnets because these devices share the common prefix.
  - due to CIDR, subnet addressing
- Compare SDN routing and the current Internet routing
- Compare SDN and router-based data forwarding
- 
- How do iBGP and eBGP work?
- How is the path vector computed?
- Given a topology, how does the BGP advertise the path vector?
  - Look at the example in the lecture notes
- Can BGP lead to routing loop? Why?
- How does BGP work with intra-AS routing?
  - How is the BGP reachability info propagated within an AS and across Ases?
- What is hot potato routing? How does it play in the Internet routing in reality?

## Chapter 6 Link layer and LANs

### 6.1 Introduction, services

- Definition
  - Node: hosts and routers
  - Links: connect adjacent nodes
    - wired, wireless, LAN
  - Frame: encapsulates datagram, layer-2 packet
  - Data-link layer: transfer datagram from one node to physically adjacent node over a link
- Link layer services
  - framing, link access
    - encapsulate datagram into frame, adding header, trailer, channel access
    - MAC addresses used in frame headers to identify source, destination (not IP as in datagram)
  - reliable delivery between adjacent nodes (rdt in chapter 3)
  - flow control: pacing between sending and receiving nodes
  - error detection/corrections (correct bit errors w/o retransmission)
  - half-duplex (nodes at both ends can transmit, but not at the same time) and full-duplex
- Where is the link layer implemented?
  - In every host's adaptor (network interface card, NIC) or on a chip
    - Adaptor sending side: encapsulate datagram, add error checking, rdt, flow control...
    - Adaptor receiving side: look for error, rdt, flow control..., extract and pass datagram
  - attaches to host's system buses

### 6.2 Error detection, correction

- EDC: error detection and correction bits
  - not 100% reliable, the larger the better
- Parity checking
  - single bit parity
  - 2D bit parity: row parity and column parity, can correct single bit error
- Internet checksum
  - Sender: addition of segment contents as 16-bit int, put checksum into UDP checksum field

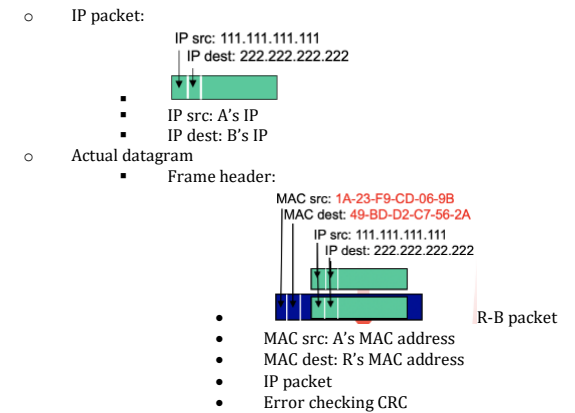
- Receiver: compute checksum of received segment, check checksum
- Cyclic redundancy check
  - $D^2 \cdot r \text{ XOR } R$

### 6.3 Multiple access protocols

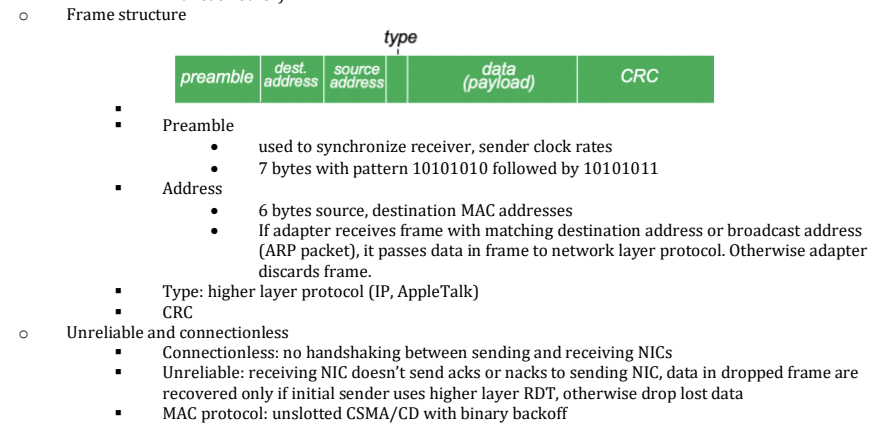
- Point-to-point link: between ethernet switch, host
- Broadcast link: old-fashioned ethernet
- Collision if node receives two or more signals at the same time, so we need:
- MAC protocols
  - channel partitioning
    - divide channel into fixed-size smaller time slots, frequency, code; allocate piece to node for exclusive use
    - access to channel in rounds
    - TDMA: time division multiple access (length = packet transmission time)
    - FDMA: frequency
  - random access
    - when a node has a packet to send, send in full channel data rate at R, but channel is not divided -> possible collisions with multiple nodes, but can recover from them
    - Slotted ALOHA
      - Assume all frame and time slots same size, nodes are synchronized, if 2 or more nodes transmit in a slot, all nodes detect collision
      - When a node obtains fresh frame, transmit in next slot
        - No collision: send new frame in next slot
        - Collision: retransmits frame with prob. b
      - Pros: single node transmits at full rate of a channel, decentralized (only slots in nodes need to be in sync), simple
      - Cons: collisions, wasting slots, clock synchronization, detect collision in less than time to transmit packet
      - Efficiency calculation
        - $Np \cdot (1-p)^{(N-1)}$ ,  $N = \# \text{Nodes}$ ,  $p = \text{each node's probability to transmit in the current slot}$
        - As  $N \rightarrow \infty$ ,  $1/e = 0.37 \rightarrow \text{channel useful transmission } 37\% \text{ of time}$
  - ALOHA
    - No synchronization, transmit immediately when frame first arrives, more collisions due to overlapping
    - Efficiency calculation
      - $p(1-p)^2(N-1)$ , no other transmission before and after this node transmission
      - $1/2e = 0.18$
  - CSMA (carrier sense multiple access)
    - Carrier sense: monitor when the channel is busy. If a channel is busy, some other node is transmitting,, defer your transmission if channel is busy via carrier sense. (Don't interrupt)
    - Collision can still occur
      - Propagation delay means two nodes may not hear each other's transmission
      - waste entire packet transmission time
      - physical distance limit
  - CSMA/CD:
    - collision detection is short, colliding transmission abort -> not so much waste
    - collision detection
      - Same as ALOHA
      - easy in wired, hard in wireless
    - local area network is limited by the physical distance between nodes
    - Ethernet CSMA/CD algorithm
      - Is a frame ready? (API to upper layer)
      - (carrier sense) Is the channel idle? If not, wait until the channel is idle.
      - Start transmission and monitor the channel.
      - If a collision occurs, go to collision resolution
        - insert transmission with a jam signal so that all receivers can detect collisions.
        - increment retransmitted counter. If reach max value and a collision is still perceived, declare failure.
        - Was the max number and transmission attempts reached? If so, abort transmission. (for fast loss recovery; Collision induces loss, not for reliable data transfer).
    - Otherwise, reset retransmitted counter and complete the frame transmission
    - After aborting, enter binary backoff
      - BEB: calculate backoff and wait for the random backoff period.
      - go to step 1
      - After mth collision, NIC chooses K at random from  $\{0, 1, 2, \dots, 2^m - 1\}$ . The node with smallest K value will usually succeed (due to carrier sense of other nodes)
      - Longer backoff interval with more collisions



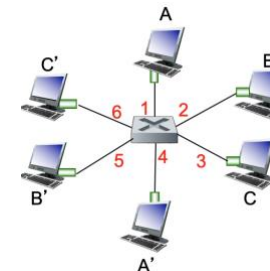
- CSMA/CD efficiency
    - efficiency =  $1/(1+5t_{prop}/t_{trans})$
    - efficiency goes to 1 as  $t_{prop}$  goes to 0 or as  $t_{trans}$  goes to infinity (infinitely big frame size)
- Taking turns
  - Noes take turns, but nodes with more to send take longer
  - polling
    - Master node invites slave nodes to transmit in turn
    - Typically used with dumb slave devices
    - Concerns: polling overhead, latency, single point of failure (master)
  - Token passing
    - control taken passed from one node to next sequentially
    - Token message
    - Concerns: token overhead, latency, single point of failure
    - Total design fails if a token can't circulate
- Summary of MAC protocols
  - channel partitioning
    - By time, frequency, or code
    - efficiently and fairly at high load but inefficient at low load
  - random access
    - ALOHA, S-ALOHA, CSMA, CSMA/CD
    - carrier sensing: easy in wire, hard in wireless
    - CSMA/CD in Ethernet
    - CSMA/CA in 802.11
    - efficient in low load where single node can fully utilize channel
  - taking turns
    - polling from central site, token passing
    - Bluetooth, FDDI, token ring



- Ethernet
  - Dominant wires LAN technology
  - Simple and cheap, 10Mbps-10Gbps
  - Physical topology
    - Bus: all nodes in same collision domain (can collide with each other)
    - Star: active switch in center, each spoke runs a separate Ethernet protocol (nodes do not collide with each other)



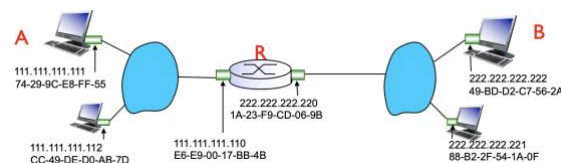
- Ethernet switch
  - Link-layer device
    - store, forward ethernet frames
    - examine incoming frame's MAC address, selectively forward. use CDMA/CD to access segment
  - Transparent: hosts are unaware of presence of switches
  - Plug and play, self-learning
    - Learns which hosts can be reached through which interfaces
    - When frame received, switch learns location of sender via LAN segment
    - records sender/location pair in switch table
    - require no intervention from a network administrator or user.
  - Multiple simultaneous transmission



- A to A', B to B' can transmit simultaneously, without collisions. Destinations are different.
- Switch forwarding table
  - <host MAC address, interface to reach host, time stamp> (like a routing table)

## 6.4 LANs

- MAC addressing (like SSN), ARP
  - MAC address
    - used locally to get frame from one interface to another physically-connected interface (same network, in IP addressing sense)
    - 48-bit, hexadecimal notation
  - ARP: address resolution protocol
    - ARP table: determine interface's MAC address, knowing its IP address.
      - ARP table records the mapping
        - <IP addr MAC address Timer>
        - Timer: Time to live, TTL
          - Time after which address mapping will be forgotten (20min)
    - ARP protocol: must be in the same LAN
      - A wants to send datagram to B, but B's MAC address is not in A's ARP table.
      - A broadcasts ARP query packet, containing B's IP address. Heard by all nodes on LAN.
      - B receives the ARP packet, replies A with B's MAC address. Frame sent to A's MAC address (unicast)
      - A caches(saves) IP-to-MAC address pair in its ARP table until information times out
      - ARP is plug-and-play: nodes create their ARP tables without intervention from net administrator
    - Addressing: routing to another LAN



- Send datagram from A to B via R
    - A knows B's IP address (DNS lookup), R's IP address (DHCP), R's MAC address (DHCP+ARP)
    - Steps:
      - A creates IP datagram with IP source A, destination B
      - A creates link-layer frame with R's MAC address as destination address, frame contains A-to-B IP datagram
      - Frame sent from A to R, received at R, datagram removed, passed up to IP
      - Drop if CRC has a problem, otherwise continue checking IP header.
      - R forwards datagram with IP source A, destination B
      - R creates link-layer frame with B's MAC address as destination address, frame contains A-to-B IP datagram

- self-learning, works also for interconnecting switches
    - Initially empty table
    - For each incoming frame received on an interface, store in table
    - Deletes an address if no frames are received with that address as the source after some period of time
- Switch vs. routers
  - Both are store and forward
    - routers are network-layer devices
    - switches are in link-layer devices
  - Both have forwarding tables
    - routers compute tables using routing algorithms, IP addr
    - switches: self-learning, flooding, MAC addr

#### 6.7 A day in the life of a web request

- Scenario: student attaches his device to campus network, requests/receives a web page
  - network layer: DHCP gives client IP address, name and addr of DNS server, IP address of its first hop router
    - DHCP request for <client's IP addr, name and addr of DNS, IP of first hop router> encapsulated in UDP, 802.3 Ethernet
    - 802.3 Ethernet frame broadcasts on LAN, received at DHCP server
    - Demux at DHCP server: Ethernet -> IP -> UDP -> DHCP
    - DHCP server formulates DHCP ACK containing <client's IP addr, name and addr of DNS, IP of first hop router>
    - Demux at client: frame forwarded through LAN (switch learning) to client
    - DHCP client receives DHCP ACK reply
  - link layer: ARP and DNS give client the web page's IP address
    - DNS query is created, encapsulated in UDP, IP, Ethernet.
    - ARP query broadcasts, received by router, which replies with ARP reply containing MAC address of router interface.
    - Client sends the DNS query frame via LAN switch to first hop router.
    - DNS query IP datagram forwarded from campus network into comcast network, routed to DNS server via routing table created by routing protocols (OSPF, BGP)
    - DNS server: demux and reply to client with IP address of the web page.
  - transport layer: TCP connection carrying HTTP
    - Client opens TCP socket to web server
    - Client TCP three-way handshake with web server
      - Routed SYN segment via inter-domain routing
      - Server responds with TCP SYN ACK
      - Connection established
  - application layer: HTTP request/request
    - Client sends HTTP request into TCP socket
    - IP datagram containing HTTP request routed to the web page
    - Web server responds with HTTP reply containing web page
    - IP datagram containing HTTP reply routed back to client

#### Study guide questions

- How does the binary exponential backoff work?
- How does ARP work? Is it using soft-state (i.e., maintaining timers for its state information)? Yes
- Compare the efficiency of CSMA/CD, ALOHA and slotted ALOHA?
  - Where does the saving come from in CSMA/CD?
- Is DHCP a soft-state protocol? Why? Yes, it will eventually forget the past information. Associate TTL with the info.
- Can ARP work in point-to-point link, rather than broadcast medium?
- What is the difference between a switch and a router?
- Which device can isolate collision domains? Switch, in a LAN built from switches, there is no wasted bandwidth due to collision.
- Given a scenario, use the appropriate devices (hub, switch, and router) to interconnect hosts to form a large network. (Data center networking)
  - Interconnecting LANs with routers by using IP addresses instead of physical address like MAC.
- How does the self-learning algorithm work?
- What protocols are used in web browsing, file transfer or email checking?
  - Which service is accessed first, DNS or DHCP?
  - How do you find out the DNS server via DHCP?
  - For the UDP/TCP segments, can arbitrary source/destination ports be selected? Host specified.
  - How many times is ARP used? Can ARP messages propagate to different subnets across routers? No.

## Chapter 7

### 7.2 Wireless links, characteristics

- Elements of a wireless network
  - host: laptop, smartphone
  - base station: relay (sending data between wired network and wireless hosts)
  - wireless link
  - Infrastructure mode: base station connects mobiles into wired network, handoff reverses this process
    - mesh net
  - Ad hoc: no base stations, nodes transmit to each other link coverage, nodes organize themselves into network
- wireless link characteristics
  - compared with wired link: decreased signal length, interference from other sources, multipath propagation
  - SNR (signal to noise) vs BER (bit to error rate) tradeoffs
    - increase power -> increase SNR

- Code division multiple access (CDMA)
  - Unique code assigned to each user.
- IEEE 802.11 Wireless LAN
  - 802.11b 2.4-5 GHz, 11 Mbps, 11 channels at different frequencies
    - host must associate with an AP
  - 802.11a 5.6 GHz, 54 Mbps
  - 802.11g 2.4-5 GHz, 54 Mbps
  - 802.11n 2.4-5 GHz, 200 Mbps
  - LAN architecture
    - wireless host communicates with base station through access point (AP)
    - basic service set (BSS), aka cell: wireless host + access point + ad hoc hosts
  - Passive/active scanning
    - passive scanning
      - beacon frames sent from APs
      - association request frame sent: host to AP
      - association response frame sent: AP to host
    - active scanning
      - probe request frame broadcast
      - probe response frames sent from AP
      - association request frame sent: host to AP
      - association response frame sent: AP to host
  - MAC protocol: CSMA/CA (collision avoidance)
    - Sender
      - channel idles for DIFS -> transmit entire frame (no CD)
      - channel busy -> start random *backoff time*, timer counts down while channel idea, transmit when timer expires, if no ACK increase random backoff interval
    - Receiver
      - return ACK after SIFS (ACK needed due to hidden terminal problem)
    - Avoiding collisions
      - reserve channel rather than random access, using small reservation packets (RTS) via CSMA
      - Receiver send **CTS in response to RTS** to clear channel, heard by all nodes, sender can then transmit data frame
      - 802.11 frame 4 addresses: MAC of receiver host/AP, MAC of transmitter host/AP, MAC of router, (ad hoc)
  - Mobility within same subnet
    - Hosts remaining in same IP subnet have same IP address

### 7.4 Cellular Internet Access

- Components: cell -> mobile switching center -> public telephone network(wired)
  - cell [base station, mobile users, air-interface]
    - combined FDMA/TDMA: divide spectrum in frequency channels, divide channel into time slots
    - CDMA
  - Mobile switching center [connects cells to wired tel.net, manages call setup, handles mobility]
- 3G (voice + data)
  - operate in parallel with existing voice network (vn unchanged), data in parallel
  - radio interface + radio access network -> core network -> public internet
- 4G LTE
  - radio access network(E-UTRAN) -> evolved packet core -> public internet
    - UTRAN: eNodeB (connection mobility, radio admission control) + RRC + PDCP + ...
    - EPC:
      - MME (sets up eNodeB-PGW channel, UE transition)
      - S-GW (mobile anchoring): hold idle UE info, QoS enforcement
      - P-GW (allocate UP IP address, filter packets)
    - UE (user element) -> eNodeB -> PGW
      - IP packet from UE encapsulated in GPRS tunneling protocol (GTP) message at eNodeB
      - GTP encapsulated in UDP, and then in IP, large IP packet addressed to SGW
  - All IP core: IP packets tunneled from base station to gateway
  - No separation between voice and data, all traffic carried over IP core to gateway

### 7.5 Principles: addressing and routing to mobile users

- Definition
  - home network: permanent home of mobile, permanent address can always be used to reach mobile
  - home agent: perform mobility functions on behalf of mobile, when it's remote
  - visited network: network in which mobile currently resides
  - care-of-address: address in visited network
  - correspondent: wants to communicate with mobile
  - foreign agent: entity is visited network performing mobility functions on behalf of mobile
- Find changing address
  - Routing: (not scalable)
    - routing table: routers advertise permanent address of mobile-nodes-in-residence
    - no changes to end-systems
  - End-systems:

- **indirect routing:** correspondent -> home agent -> mobile (permanent addr used by corresp, careofaddr used by home agent), inefficient when correspondent and mobile are in the same network (or triangle routing problem)
  - Steps
    - (1) Correspondent addresses packets using home address of mobile
    - (2) In home network, HA intercepts packets, forward to FA
    - (3) FA received the packets, forward to mobile in visited network
    - (4) mobile replies directly to correspondent
  - What if mobile moves to another network?
    - registers with new foreign agent
    - new foreign agent registers with home agent
    - home agent updates care-of-addr
    - continuing data with new coa
  - Triangle routing problem: datagrams addressed to mobile node must be routed first to the home agent then to the foreign network
- **direct routing:** correspondent gets foreign address of mobile -> send
  - Steps
    - (1) Correspondent requests and receives foreign address of mobile via home network
    - (2) Correspondent forwards to FA knowing its address
    - (3) FA receives the packet and forwards to mobile in visited network
    - (4) mobile replies directly to correspondent
  - **Overcome triangle routing problem**
  - Non-transparent to correspondent: must get coa from home agent
  - What if mobile moves to another network?
    - Data routed first to anchor FA (the FA in first visited network)
    - new FA arranges to have data forward from old FA
- **Registration**
  - visited network: mobile contacts FA -> wide area network -> home network: foreign agent contacts home agent "this mobile is in my network"
  - Now, FA knows about the mobile, HA knows the location of the mobile



- When H1 moves from BSS1 to BSS2, it may keep its IP address and all of its ongoing TCP connections.
- As H1 wanders away from AP1, H1 detects a weakening signal from AP1 and starts to scan the stronger signal of AP2.
- Switch can self-learn the moves.
- How to do routing to a mobile host? Indirect routing and Direct routing
- How is mobility supported across different subnets?
  - Operations of home agent, foreign agent,
- How to avoid triangle routing (i.e., indirect routing where packets are forwarded to the home network, then the visited network of the mobile host) in mobility support?
- How can you know a mobile host's current location? Registration
- How does a mobile host update its location?

## Chapter 8.

### 8.1 What is network security?

- Confidentiality: encryption
- Authentication: identity of sender and receiver
- Message integrity: message not changed
- Access and availability: for users
- Problems - Eavesdrop: intercept message, insert message, impersonation (fake source address), hijacking (removing sender/receiver), denial of service (overload resource)

### 8.2 Principles of cryptography

- Symmetric key cryptography (how to agree on keys?)
  - plaintext -> Key -> ciphertext -> Key -> plaintext
    - Key is substitution cipher
  - DES (data encryption standard)
    - 56-bit symmetric key, 64-bit plaintext input, encrypt 3 times with 3 different keys
  - AES advanced
    - Process data in 128-bit blocks, 128-bit or higher keys
- Public key cryptography
  - public key known to all; private decryption key known to receiver
  - plaintext -> K<sub>pub</sub> -> ciphertext -> K<sub>prv</sub> -> plaintext = K<sub>prv</sub>(K<sub>pub</sub>(msg))

### 8.3 Message integrity, authentication

- Authentication
  - I am Alice -> nonce (sender sends nonce, receiver returns K-(R)) + send me Alice's public key K+ -> public key cryptography K+(K-(R))
- Message integrity
  - Digital signature
    - sender signs: encrypt with his private key, Kb-(msg)
  - Message digest
    - produce fixed-size mag
    - digital signature = signed message digest
      - m -> hash(m) -> Kb-(hash(m)) -> Kb+(Kb-(hash(m))) -> hash(m) -> m
      - bob sends digitally signed message
      - Alice verifies signature and integrity of digitally signed message
  - Certification authorities
    - binds public key to particular entity, E (person, router)
    - certificate containing E's public key signed by CA: K<sub>ca</sub>-(K<sub>b</sub>-)

### 8.8 Operational security

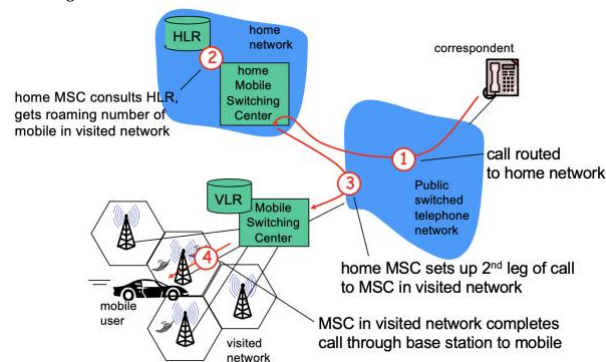
- Firewall: isolates organization's internal net from larger internet. allowing some packets to pass, blocking others
  - prevent denial of service, illegal access of internal data, authorized access
  - stateless packet filters: filter packet by packet
  - stateful packet filters: track status of every TCP connection, filter packets that make no sense, timeout inactive connections
  - application gateways: require authorized users to telnet through gateway, filter connections not from the gateway

### 7.6 Mobile IP

- Agent discovery: home/foreign agent advertises its services to mobile nodes
- Registration with the home agent: mobile nodes register/deregister COAs with agents
- Indirect routing of datagrams: datagrams are forwarded to mobile nodes by a home agent

### 7.7 Handling mobility in cellular network

- Home network (network carrier) Home location register: database storing phone#, location
- Visitor location register: entry for each user
- GSM: indirect routing to mobile user: handoff



### Study guide questions:

- Which category of MAC does CDMA belong to? Random access
- The detailed operations of CSMA/CA.
  - What components are the same, or different between CSMA/CA and CSMA/CD?
    - Both listen before speaking and stops talking if someone else begins at the same time.
    - CA transmits a frame entirely.
    - CD terminates the current transmission as soon as a collision is detected.
- Why does not 802.11 MAC implement collision detection but uses collision avoidance?
  - The ability to detect collision requires the ability to send and receive at the same time, but the strength of received signal is small compared to transmitted, costly to build.
  - Hidden terminal problem - adapters still can't detect all collisions.
- What is the purpose to use link-layer acknowledgment in 802.11 MAC? lower bit error rates than wireless channels
  - Can TCP ACK replace it? Can MAC ACK replace TCP ACK?
- What is the mechanism to handle hidden terminals? RTS and CTS
- How to handle mobility in the same IP subnet?