

Ocean Notes

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June 13, 2022

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Chapter 1

The Coriolis Effect

The Coriolis Effect is foundational to the study of the ocean and atmosphere. The largest dynamical features of Earth's surface—atmospheric cells, surface currents, thermohaline circulation, etc.—are all influenced by this effect, which is characterized by the following:

1. motion at Earth's surface is *deflected* to the right in the northern hemisphere and to the left in the southern hemisphere.
2. the effect appears as acceleration which is proportional (\propto) and perpendicular (\perp) to velocity.¹
3. the effect is greatest at the poles and 0 at the equator
4. the radius of curvature for such a deflected object is proportional to its velocity; slow motion curves more tightly than fast motion

We will start with a brief overview of the relevant mathematical constructions used to describe the Coriolis effect. [This playlist](#) is recommended for further information on the math.

1.1 Vector

A vector is a mathematical object which encodes both *direction* and *magnitude*. Magnitude may be a unitless number or a quantity (the product of a number and a set of units). Direction is usually determined relative to some position, but vectors in general do not retain this position information. We will see how this plays out later.

¹Equivalently, as a force which is proportional and perpendicular to momentum.

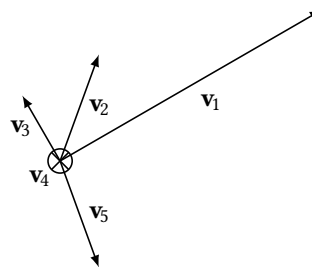


Figure 1.1: Geometric representation of vectors.

Here, vectors are drawn as arrows with magnitude represented by length (although the true meaning may have nothing to do with length) and tails placed at the origin. Vectors pointing toward or away from the reader are drawn as a circled dot (arrowhead) or cross (tail feathers), respectively. See Figure 1.1.

Some quantities are easier to conceptualize as vectors than others. For example, acceleration imposed by gravity at Earth's surface is given by the vector \mathbf{g} with downward direction and magnitude:

$$||\mathbf{g}|| \approx 9.8 \text{ m s}^{-2} \quad (1.1)$$

Earth's angular velocity Ω takes a bit more imagination to imagine as a vector. Its magnitude (angular *speed*) is the angular distance travelled per unit time, which is characteristically uniform for a rigid rotating object. We know that every point will travel once around the circle over the period T , so we have:

$$||\Omega|| = 2\pi/T \quad (1.2)$$

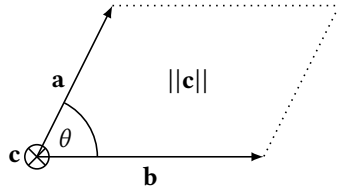


Figure 1.2: Geometric representation of $\mathbf{a} \times \mathbf{b} = \mathbf{c}$.

On Earth T is the sidereal day 86 164 s:

$$||\Omega|| = 2\pi/86\,164\,\text{s} \approx 7.29 \times 10^{-5}\,\text{s}^{-1} \quad (1.3)$$

and its direction is parallel to Earth's axis of rotation, i.e., from Earth toward Polaris.

1.2 Cross Product

The cross product of two vectors $\mathbf{a} \times \mathbf{b}$ is a third vector \mathbf{c} which obeys the following rules, summarized visually in Figure 1.2:

1. The output magnitude $||\mathbf{c}||$ is the “area” of a parallelogram with sides \mathbf{a} & \mathbf{b} :

$$||\mathbf{c}|| = ||\mathbf{a}|| \cdot ||\mathbf{b}|| \cdot \sin \theta \quad (1.4)$$

The units of this “area” need not be length squared, just as the units of a vector need not be length. Notice that holding direction of \mathbf{a} and \mathbf{b} constant, scaling $||\mathbf{a}||$ or $||\mathbf{b}||$ up or down scales $||\mathbf{c}||$ by the same amount.

2. \mathbf{c} is perpendicular (\perp) to both \mathbf{a} and \mathbf{b} . If \mathbf{a} and \mathbf{b} are two spokes of a wheel, \mathbf{c} is the axle. Thus holding $||\mathbf{a}||$ and $||\mathbf{b}||$ constant, changing the direction of either \mathbf{a} or \mathbf{b} affects *both magnitude and direction* of \mathbf{c} . $||\mathbf{c}||$ is greatest when $\mathbf{a} \perp \mathbf{b}$; $||\mathbf{c}|| = 0$ when \mathbf{a} is parallel to \mathbf{b} .
3. Of the two vectors with these properties, \mathbf{c} follows a “right-hand rule,” which we will see later.

1.3 Definition

The Coriolis effect is an apparent force which influences the motion of objects relative to the spinning Earth. We

now have the tools necessary to define its mathematical form, although the derivation of this form will not be covered here:

$$\mathbf{C} = -2m\Omega \times \mathbf{v} \quad (1.5)$$

where the Coriolis *force* \mathbf{C} depends on the mass m and velocity \mathbf{v} (relative to Earth) of the moving object. Alternatively, any force expressed as a function of mass m can be given as an acceleration which is independent of mass:

$$\mathbf{C}_a = -2\Omega \times \mathbf{v} \quad (1.6)$$

where \mathbf{C}_a is Coriolis *acceleration*. The properties of the Coriolis effect follow directly from the the cross product and vector definitions:

1. Fundamentally, \mathbf{C}_a is influenced by Earth's rotation and the object's velocity, but not its position.
2. The amount of acceleration is greatest when \mathbf{v} is perpendicular to the axis of rotation; it is zero when \mathbf{v} is parallel.
3. Coriolis acceleration is always perpendicular to the velocity of the moving object which means things deflect along a curved path but never speed up or slow down.

1.4 Example Calculation

Consider a penguin flying horizontally above the North Pole at speed $||\mathbf{v}|| = 100\,\text{m s}^{-1}$. Notice that $\mathbf{v} \perp \Omega$ ($\theta = 90^\circ$). How much Coriolis acceleration will it experience? From eqs. (1.3), (1.4) and (1.6):

$$||\mathbf{C}_a|| = -2 (100\,\text{m s}^{-1}) (7.29 \times 10^{-5}\,\text{s}^{-1}) \sin 90^\circ \approx -0.0146\,\text{m s}^{-2}$$

So the exceptionally fast penguin drifts with an acceleration almost than 700 times smaller than that imposed by gravity. If our penguin has a mass of 20 kg, it feels a slight nudge of:

$$||\mathbf{C}|| = 20\,\text{kg} (-0.0146\,\text{m s}^{-2}) \approx -0.29\,\text{N}$$

which is roughly the weight of a pencil.

1.5 Constraints

Imagine a big box on a smooth ($\mu_k = 0.05$) ice rink. The vertical gravitational (and normal) force $\mathbf{g}_f = m \cdot \mathbf{g}$ is 20 times greater than horizontal friction $\mathbf{f} = \mu_k \cdot m \cdot \mathbf{g}$. So the box may slide horizontally with a gentle nudge but cannot be lifted easily. That is, vertical motion is limited more than horizontal motion. While a force may come from any direction, only the horizontal component of that force is translated into acceleration.

The ocean and atmosphere have analogous dimensional (rather than frictional) constraints. Atmospheric convection takes place primarily in the troposphere, which is ≈ 10 km thick. Ocean surface currents occur in the top ≈ 100 m; deep circulation is bounded by the abyssal plain 4 km to 6 km below the surface. By contrast, the ocean and atmosphere each span at least a majority of the Earth's $\approx 5.1 \times 10^8$ km surface area in the horizontal dimension. As we have seen, (and will soon quantify) Coriolis deflection is simply not noticeable at the scales involved in vertical motion. This means we only observe $\mathbf{C}_{a(h)}$, the horizontal component of \mathbf{C}_a . How do we solve for $\mathbf{C}_{a(h)}$?

The relationship between the horizontal plane and Ω varies across Earth's surface. At the poles, they are perpendicular; at the equator, parallel. This is why the observable Coriolis effect varies with latitude (φ), despite any mention of position in eq. (1.5). For our flying penguin at the North Pole ($\varphi = 90^\circ$), horizontal motion is always perpendicular to Ω and thus the full magnitude of the Coriolis Effect is observed. Compare this to the more complicated situation at the equator ($\varphi = 0^\circ$).

If the penguin flies due North or South at the equator, $\mathbf{v} \parallel \Omega$ and thus $\|\mathbf{C}_a\| = 0$. What about East or West? If the penguin continues to fly at 100 m s^{-1} , and $\mathbf{v} \perp \Omega$, it experiences the same \mathbf{C}_a as it did at the pole. But here the direction of \mathbf{C}_a is vertical; it is overpowered by gravity and does not cause horizontal drift. Instead, it adds or subtracts to the other acceleration vector in the vertical direction— \mathbf{g} —and the penguin's *weight* changes imperceptibly. Thus we have two distinct reasons why no Coriolis effect is observed at the equator.

Any direction of \mathbf{v} intermediate between N/S and E/W results in \mathbf{C}_a intermediate between the two extremes given. At this location and speed, magnitude varies from

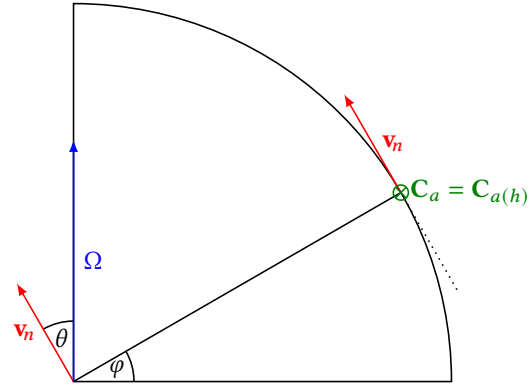


Figure 1.3: $\varphi = \theta$ for due-North motion

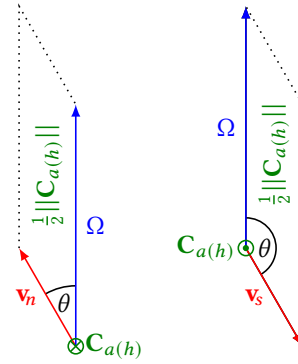


Figure 1.4: Equivalence of $\|\mathbf{C}_{a(h)}\|$ for due-North ($\varphi = \theta$) and due-South ($\varphi = 180^\circ - \theta$) motion.

0 m s^{-2} to $2.31 \times 10^{-3} \text{ m s}^{-2}$ but direction is always vertical, so $\mathbf{C}_{a(h)} = 0$.

1.6 Latitude (φ)

What about at latitudes between the poles and equator? Consider a diatom floating in the surface ocean at $\varphi = 30^\circ$. If \mathbf{v}_n is due North, the angle θ between \mathbf{v} and Ω is 30° , that is, $\varphi = \theta$ (see Figure 1.3).

If \mathbf{v}_s is due South, θ is the supplement of φ ($180^\circ - \varphi = \theta$). Note that $\|\mathbf{C}_a\|$ (\propto area) is identical in both cases (see Figure 1.4).

Thus we can substitute $\sin \varphi$ for $\sin \theta$ as we calculate

$||\mathbf{C}_{a(h)}||$ for North/South motion:

$$\begin{aligned} ||\mathbf{C}_a|| &= 2 \cdot ||\mathbf{v}|| \cdot ||\Omega|| \cdot \sin \theta \\ ||\mathbf{C}_a|| &= ||\mathbf{C}_{a(h)}|| = 2 \cdot ||\mathbf{v}|| \cdot ||\Omega|| \cdot \sin \varphi \end{aligned} \quad (1.7)$$

What about East-West motion? Like the situation at the poles and equator, $\mathbf{v} \perp \Omega$, so $||\mathbf{C}_a||$ is mathematically identical to what it would be at the poles. The difference here is that the direction of \mathbf{C}_a now includes a vertical component which is not observed. Unlike at the equator, \mathbf{C}_a now also includes a horizontal component, which is observed. Figure 1.5 shows that we *must* include a factor of $\sin \varphi$ in our definition of $\mathbf{C}_{a(h)}$ for East/West motion. Since $\mathbf{v} \perp \Omega$, we have $\sin \theta = 1$, thus:

$$\begin{aligned} ||\mathbf{C}_a|| &= 2 \cdot ||\mathbf{v}|| \cdot ||\Omega|| \cdot 1 \\ ||\mathbf{C}_{a(h)}|| &= 2 \cdot ||\mathbf{v}|| \cdot ||\Omega|| \cdot \sin \varphi \end{aligned} \quad (1.7)$$

So we find that despite North/South and East/West motion influencing $\mathbf{C}_{a(h)}$ very differently, the expression for $||\mathbf{C}_{a(h)}||$ is concisely expressed for all horizontal directions a function of φ , not θ . For completeness, we can give an expression for the vector $\mathbf{C}_{a(h)}$ as a product of $||\mathbf{C}_{a(h)}||$ and a unit vector \mathbf{u} with a subscript indicating the vector to which \mathbf{u} is perpendicular ($\mathbf{u} \perp \mathbf{v}$ in both cases):

$$\mathbf{C}_a = 2 \cdot ||\mathbf{v}|| \cdot ||\Omega|| \cdot \sin \theta \cdot \mathbf{u}_\Omega \quad (1.8)$$

$$\mathbf{C}_{a(h)} = 2 \cdot ||\mathbf{v}|| \cdot ||\Omega|| \cdot \sin \varphi \cdot \mathbf{u}_g \quad (1.9)$$

1.7 Curvature (κ)

We want to know how velocity and acceleration interact to shape the path travelled by a Coriolis-affected object. The relevant parameter is curvature, defined by $\kappa = 1/R$, where R is the radius of curvature. In general:

$$\kappa = \frac{||\mathbf{v} \times \mathbf{a}||}{||\mathbf{v}||^3} \quad (1.10)$$

where \mathbf{a} is the acceleration vector.² For a Coriolis-affected object, the \mathbf{a} of interest is $\mathbf{C}_{a(h)}$, and since $\mathbf{v} \perp \mathbf{C}_{a(h)}$, we

²Derivation

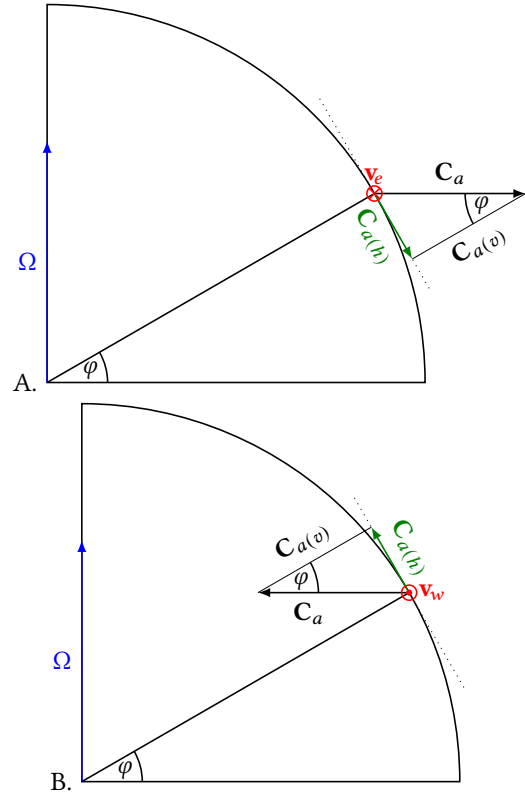


Figure 1.5: $||\mathbf{C}_{a(h)}|| = ||\mathbf{C}_a|| \cdot \sin \varphi$ for due-East (A.) and due-West (B.) motion.

can define Coriolis curvature:

$$\kappa_C = \frac{||\mathbf{v}|| \cdot ||\mathbf{C}_{a(h)}|| \cdot \sin 90^\circ}{||\mathbf{v}||^3} = \frac{||\mathbf{C}_{a(h)}||}{||\mathbf{v}||^2} \quad (1.11)$$

Or, recalling from eq. (1.9) that $||\mathbf{v}||$ is a factor of $||\mathbf{C}_{a(h)}||$, we can skip the $\mathbf{C}_{a(h)}$ calculation altogether:

$$\begin{aligned} \kappa_C &= \frac{2 \cdot ||\mathbf{v}|| \cdot ||\Omega|| \cdot \sin \varphi}{||\mathbf{v}||^2} \\ &= \frac{2 \cdot ||\Omega|| \cdot \sin \varphi}{||\mathbf{v}||} \end{aligned} \quad (1.12)$$

For a calculation with more practical meaning, we take the reciprocal to find the radius of curvature:

$$R = \frac{||\mathbf{v}||}{2 \cdot ||\Omega|| \cdot \sin \varphi} \quad (1.13)$$

For one practical example, assume the Gulf Stream current has a maximum surface speed 2.5 m s^{-1} . At $\varphi = 30^\circ$:

$$\begin{aligned} R &= \frac{2.5 \text{ m s}^{-1}}{2 (7.29 \times 10^{-5} \text{ s}^{-1}) \cdot \sin 30^\circ} \\ &\approx 34 \text{ km} \end{aligned} \quad (1.14)$$

Since the actual gulf stream is part of the North Atlantic subtropical gyre (which is much larger than 34 km in radius) we can see that the Coriolis force is not the only force at play in shaping the complex dynamics of ocean circulation. For instance, what causes the current to begin flowing in the first place? Nonetheless, it's important to develop some intuition for magnitude of the effects involved in the Coriolis effect in this simplified example.

1.8 Which Way?

Another angle from which to approach the Coriolis effect gives an intuitive answer to the last big question we have put off to this point: which of the two directions is correct? We know $\mathbf{C}_{a(h)} \perp \mathbf{v}$ and $\mathbf{C}_{a(h)} \perp \mathbf{g}$, so from the perspective of the moving object, it must be either left or right.

Consider a “stationary” ($\mathbf{v} = 0$) iceberg at the equator. Of course, it isn't really stationary because it is moving along with the ground beneath as the Earth rotates (and

orbits, etc. but we consider here the reference point of a *non-rotating* object orbiting the sun alongside Earth). The Earth's circumference is roughly 40 000 km, and it rotates once in a day (that's the period T we saw in the definition of angular velocity 1.3), so the iceberg is traveling at a blistering 450 m s^{-1} , faster than the speed of sound, relative to the nearby observer. If the iceberg has mass (it does) then it carries a non-zero momentum³ $p = mv$ which it tends to maintain (Newton's first law of motion).

Imagine the iceberg begins to drift toward the North Pole, carrying its equator-derived momentum as it goes. As it drifts, it finds the Earth appears to move slower than it once did, approaching a point at the pole where there is no motion at all, only rotation fixed in place. Still holding on to all its momentum, the iceberg drifts in the direction of the Earth's rotation—to the East (from the iceberg's North-bound perspective, to the right). If the iceberg were to drift South again from the pole, it would experience the opposite effect. Now the Earth is moving faster relative to its original stationary momentum, so it lags behind the rotation. Now, it drifts west, which is again to the right. By symmetry, deflection is to the left in the southern hemisphere.

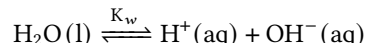
East/West motion is a bit trickier. If the iceberg drifts eastward, it begins to outpace the Earth's rotation. As it speeds up, it is flung outward—like an elastic cord stretching out as it is whipped in a faster circle. But since this drift isn't strong enough to overcome gravity, it simply causes a slow drift toward the equator, the latitude with the greatest distance from the axis of rotation. Likewise, westward drifting causes a slower speed and a drift toward the poles, the closest spot to the rotation axis.

³This velocity v is *not* the same as \mathbf{v} , because \mathbf{v} is relative to the Earth's spinning reference frame.

Chapter 2

Acids & Bases

Liquid water partially dissociates into H^+ and OH^- ions:



At equilibrium, the molar concentrations (denoted by square brackets) of these ions are related to the auto-ionization constant K_w :

$$K_w = [\text{H}^+][\text{OH}^-] = 10^{-14} \text{ M}^2 \quad (2.1)$$

In neutral water, the concentration of each species is balanced:

$$[\text{H}^+] = [\text{OH}^-] = 10^{-7} \text{ M} \quad (2.2)$$

Acids and bases disrupt (2.2) such that $[\text{H}^+] \neq [\text{OH}^-]$ by donating or accepting protons, respectively. However, they do not ultimately alter the equilibrium relation (2.1). This is important because it means $[\text{H}^+]$ and $[\text{OH}^-]$ are totally dependent on one another; the entire system can be characterized by only one variable. pH is the most commonly used parameter:

$$\text{pH} = -\log [\text{H}^+]$$

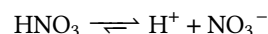
2.1 Conjugate Pairs

Acids and bases come in *conjugate pairs*. After an acid donates a proton, the remaining species is a conjugate base. After a base accepts a proton, it becomes a conjugate acid.

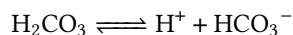
2.2 Strong & Weak Species

Strong acids and bases participate in proton exchange more readily than weak ones. The strength of conjugate

pairs is inverse, i.e., a strong acid has a weak conjugate base and vice versa. For example, nitric acid is a strong acid which readily donates its proton into solution, setting up an unbalanced equilibrium:



Since nitric acid is so effective at donating its proton, the conjugate base NO_3^- is very weak and does not act as an effective base. By contrast, weak species like carbonic acid set up more balanced equilibria:



The conjugate base HCO_3^- is strong enough to participate in proton exchange and thus qualifies as a base. The distinction between strong and weak species allows us to proceed with a definition of Alkalinity.

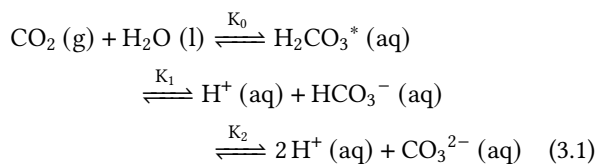
Chapter 3

Inorganic Carbon Cycle

3.1 Equilibria

The atmospheric concentration of carbon dioxide ($p\text{CO}_2$) is a primary factor influencing global climate today and through deep time. The oceanic inorganic carbon reservoir is over 50 times that of the atmosphere, so atmosphere-ocean exchange drives $p\text{CO}_2$ over millennial timescales. The goal of this section is to derive a quantitative model which relates $p\text{CO}_2$ to the measurable ocean carbonate system.

When CO_2 dissolves, it reacts with water to form carbonic acid (H_2CO_3^*)¹, bicarbonate (HCO_3^-), and carbonate (CO_3^{2-}). These reactions are reversible, so the following equilibrium is established:



$K_{0,1,2}$ are measurable concentration ratios of reactants by products at equilibrium:

$$K_0 = [\text{H}_2\text{CO}_3^*]/p\text{CO}_2 \quad (3.2)$$

$$K_1 = [\text{H}^+][\text{HCO}_3^-]/[\text{H}_2\text{CO}_3^*] \quad (3.3)$$

$$K_2 = [\text{H}^+][\text{CO}_3^{2-}]/[\text{HCO}_3^-] \quad (3.4)$$

Dissolved Inorganic Carbon (DIC) and Alkalinity (Alk)

are also measurable:

$$\begin{aligned}\text{DIC} &= [\text{H}_2\text{CO}_3^*] + [\text{HCO}_3^-] + [\text{CO}_3^{2-}] \\ &\approx [\text{HCO}_3^-] + [\text{CO}_3^{2-}]\end{aligned}\quad (3.5)$$

Alk is the charge-balanced² excess of bases in solution, primarily carbonic conjugate bases:

$$\begin{aligned}\text{Alk} &= [\text{HCO}_3^-] + 2[\text{CO}_3^{2-}] + \\ &\quad [\text{B}(\text{OH})_4^-] + (\Sigma[\text{B}] - \Sigma[\text{A}]) \\ &\approx [\text{HCO}_3^-] + 2[\text{CO}_3^{2-}]\end{aligned}\quad (3.6)$$

3.2 Derivation

Rearranging equilibrium constant expressions gives:

$$[\text{H}^+] = K_2 \cdot [\text{HCO}_3^-]/[\text{CO}_3^{2-}] \quad (3.4)$$

$$[\text{H}_2\text{CO}_3^*] = [\text{H}^+][\text{HCO}_3^-]/K_1 \quad (3.3)$$

$$p\text{CO}_2 = [\text{H}_2\text{CO}_3^*]/K_0 \quad (3.2)$$

Sequential substitution and simplification gives:

$$p\text{CO}_2 = \frac{K_2}{K_0 \cdot K_1} \cdot \frac{[\text{HCO}_3^-]^2}{[\text{CO}_3^{2-}]} \quad (3.7)$$

Subtracting (3.6) from (3.5) and substituting the result back into (3.5) gives:

$$[\text{CO}_3^{2-}] \approx \text{Alk} - \text{DIC} \quad (3.8)$$

$$[\text{HCO}_3^{2-}] \approx 2\text{DIC} - \text{Alk} \quad (3.9)$$

¹It is difficult to distinguish between dissolved carbon dioxide and carbonic acid, so they are grouped as a single species

²Concentrations of species which exchange more than one proton are scaled accordingly.

Finally, substituting back into (3.7) gives:

$$p\text{CO}_2 \approx \frac{K_2}{K_0 \cdot K_1} \cdot \frac{(2\text{DIC} - \text{Alk})^2}{\text{Alk} - \text{DIC}} \quad (3.10)$$

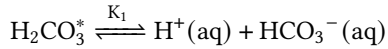
$$K_{\text{sol}} = [\text{Ca}^{2+}][\text{CO}_3^{2-}]$$

$$[\text{Ca}^{2+}] = 10 \text{ mmol kg}^{-1}$$

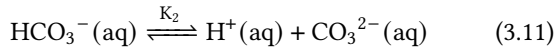
$$[\text{CO}_3^{2-}] \approx 40 \text{ to } 200 \text{ } \mu\text{mol kg}^{-1}$$

3.3 Calcium

The complexity of the carbonate system leads to some counter-intuitive dynamics. One of these is the observation that an increase in $[\text{H}_2\text{CO}_3^*]$ has the effect of *lowering* $[\text{CO}_3^{2-}]$. To understand why, recall from (3.1):



By Le Chatelier's principle, increasing the concentration of the reactant H_2CO_3^* will shift the reaction to the right, and thus more H^+ and HCO_3^- will be produced in equal measure, say by amount a . Next, consider how this increase affects the balance of the next equilibrium (3.1):



Recall that the equilibrium concentrations of the products in this reaction are much lower than the product. Thus, the same increase $+a$ to both sides will have a greater effect on the products, shifting the equation to the left (converting CO_3^{2-} to HCO_3^-). With the addition of carbonic acid, carbonate alkalinity is consumed. Or, in terms of the equilibrium constant expression:

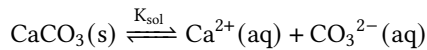
$$K_2 = \frac{[\text{H}^+][\text{CO}_3^{2-}]}{[\text{HCO}_3^-]} \quad (3.4)$$

Solving for $[\text{CO}_3^{2-}]$:

$$[\text{CO}_3^{2-}] \propto \frac{[\text{HCO}_3^-]}{[\text{H}^+]} \gg 1$$

Clearly, as a increases with the addition of H_2CO_3^* , $[\text{CO}_3^{2-}]$ will decrease. The concentration of carbonate is of key concern in another geochemical system, the biological calcium carbonate pump.

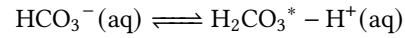
Calcium carbonate forms two minerals, calcite and aragonite, which many ocean organisms use to build shells and exoskeletons.



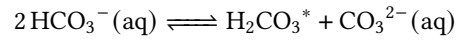
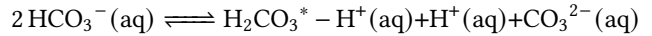
The saturation state of calcite or aragonite:

$$\Omega = \frac{[\text{CO}_3^{2-}]_{\text{meas.}}}{[\text{CO}_3^{2-}]_{\text{equil.}}} \quad (3.12)$$

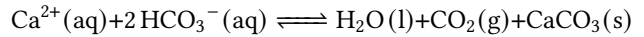
Rearranging equation 2.,



Adding equation 3.,



Interacting with calcium,



Thus, calcification consumes 2 units of DIC, releasing only 1 unit as a byproduct.

Chapter 4

Nitrogen

4.1 Isotope Fractionation

Nitrogen has two stable isotopes: ^{14}N and ^{15}N . Isotopes form the same compounds and participate in the same reactions; both are thus present in any nitrogenous sample. However, many reactions preferentially consume certain isotopes. For some reaction involving N, K_{14} and K_{15} represent the rate constants for the reactions involving only the respective isotope. The degree to which such a reaction distinguishes between isotopes is given by the isotopic fractionation factor α :

$$\alpha = K_{15}/K_{14} \quad (4.1)$$

$$\varepsilon = \alpha - 1 \quad (4.2)$$

Most¹ biological reactions preferentially consume lighter isotopes; thus $\alpha < 1$ and ε is negative. For incomplete reactions,² isotopes are partitioned into pools of substrate (reactant) and product, whose isotope ratios may differ. These variations provide information about the chemical circumstances in which a sample formed, and the degree of completion for the relevant reaction. If we let R indicate the isotope ratio $^{15}\text{N}/^{14}\text{N}$ with atmospheric N_2 serving as a reference:

$$R_{atm} \approx .003663 \quad (4.3)$$

¹The only significant biological reaction which does not fractionate N isotopes is Nitrification, the original source of fixed N forms to the ocean cycle

²Theoretically, all reactions are incomplete, as there is always some reverse reaction. For practical calculations, only systems with measurable pools of substrate and product are considered incomplete.

then the geochemical tracer used to measure N isotope ratios is $\delta^{15}\text{N}$:

$$\delta^{15}\text{N} = \frac{R}{R_{atm}} - 1 \quad (4.4)$$

$\delta^{15}\text{N}$ and ε are expressed in parts per thousand (‰).

4.2 Derivation

$$\alpha = \frac{R_{p(i)}}{R_s} = \frac{\left(\frac{d^{15}\text{N}_p}{d^{14}\text{N}_p}\right)}{\left(\frac{^{15}\text{N}_s}{^{14}\text{N}_s}\right)} = \frac{d^{15}\text{N}_p}{^{15}\text{N}_s} \bigg/ \frac{d^{14}\text{N}_p}{^{14}\text{N}_s} \quad (4.5)$$

When $t = 0$; $\text{N}_s = \text{N}_{s(0)}$. Some steps involving integrating (?):

$$\alpha \times \ln \left(\frac{^{14}\text{N}_s}{^{14}\text{N}_{s(0)}} \right) = \ln \left(\frac{^{15}\text{N}_s}{^{15}\text{N}_{s(0)}} \right) \quad (4.6)$$

$$f = \frac{\text{N}_s}{\text{N}_{s(0)}} \quad (4.7)$$

Since ^{14}N predominates (4.3),

$$f \approx \frac{^{14}\text{N}_s}{^{14}\text{N}_{s(0)}} \quad (4.8)$$

Substituting (4.8) into (4.6):

$$\begin{aligned}\alpha \times \ln f &= \ln \left(\frac{^{15}\text{N}_s}{^{15}\text{N}_{s(0)}} \right) \\ &= \ln \left(\frac{^{15}\text{N}_s}{^{15}\text{N}_{s(0)}} \times \frac{^{14}\text{N}_{s(0)} \times ^{14}\text{N}_s}{^{14}\text{N}_s \times ^{14}\text{N}_{s(0)}} \right) \\ &= \ln \left[\left(\frac{^{15}\text{N}_s}{^{14}\text{N}_s} \right) / \left(\frac{^{15}\text{N}_{s(0)}}{^{14}\text{N}_{s(0)}} \right) \times \frac{^{14}\text{N}_s}{^{14}\text{N}_{s(0)}} \right]\end{aligned}$$

Substituting (4.3) and (4.8):

$$\alpha \times \ln f = \ln \left(\frac{R_s}{R_{s(0)}} \right) + \ln f \quad (4.9)$$

Rearranging and substituting from (4.2):

$$\varepsilon \times \ln f \approx \ln \left(\frac{R_s}{R_{s(0)}} \right) \quad (4.10)$$

$$\frac{R_s}{R_{s(0)}} = f^\varepsilon \quad (4.11)$$

From (4.4):

$$\begin{aligned}1 + \delta^{15}\text{N} &= \frac{R}{R_{\text{atm.}}} \\ R &= R_{\text{atm.}} (1 + \delta^{15}\text{N})\end{aligned}$$

Substituting into (4.10):

$$\begin{aligned}\varepsilon \times \ln f &\approx \ln \left(\frac{R_{\text{atm.}} (1 + \delta^{15}\text{N}_s)}{R_{\text{atm.}} (1 + \delta^{15}\text{N}_{s(0)})} \right) \\ &\approx \ln \left(\frac{1 + \delta^{15}\text{N}_s}{1 + \delta^{15}\text{N}_{s(0)}} \right)\end{aligned} \quad (4.13)$$

For small values of u and v (4.3):

$$\ln \left(\frac{1+u}{1+v} \right) \approx u - v$$

Thus, from (4.13):

$$\begin{aligned}\varepsilon \times \ln f &\approx \delta^{15}\text{N}_s - \delta^{15}\text{N}_{s(0)} \\ \varepsilon &\approx \frac{\delta^{15}\text{N}_s - \delta^{15}\text{N}_{s(0)}}{\ln f}\end{aligned} \quad (4.14)$$

4.3 Fractionation of Pools

During a typical biological reaction³ which preferentially consumes ^{14}N , nitrogen is partitioned into substrate (s) and product (p) pools. Finally:

$$\alpha = \frac{d^{15}\text{N}_p}{^{15}\text{N}_p} / \frac{d^{15}\text{N}_s}{^{14}\text{N}_s} \quad (4.15)$$

During a reaction which fractionates nitrogen isotopes, $\delta^{15}\text{N}$ values of each for the two “pools,” i.e., the growing product pool and the dwindling substrate pool, will deviate from the initial substrate value ($\delta^{15}\text{N}_{s(0)}$) as a function of ε and f , the fraction of substrate remaining compared to the initial value:

$$\delta^{15}\text{N}_s = \delta^{15}\text{N}_{s(0)} - \varepsilon \ln f \quad (4.16)$$

$$\delta^{15}\text{N}_p = \delta^{15}\text{N}_{s(0)} + \varepsilon \left(\frac{f \ln f}{1 - f} \right) \quad (4.17)$$

Since ε is negative, $\delta^{15}\text{N}$ will be lower in the product pool (organic nitrogen) and higher in the substrate pool (dissolved NO_3^-), but not by the same amount.

4.4 Motivation

(4.12) Atlantic Ocean overturning has significantly slowed during the 20th century, evidenced by the observation that the site of NADW formation is the only region of the Earth that is currently cooling.

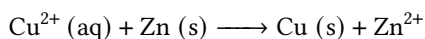
³For example: $\text{NO}_3^- \xrightarrow{k} \text{N}_{\text{org}}$

Chapter 5

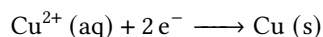
Redox

5.1 Introduction

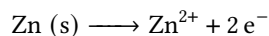
Chemical reactions that permanently transfer electrons between species are called “Reduction–Oxidation” (Redox). For example:



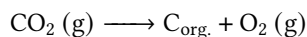
can be broken into “half-reactions” involving either reduction:



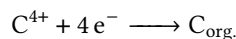
or oxidation:



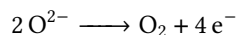
Notice that when the two half-reactions are added together, the 2 electrons appear on both sides and can thus be neither products nor reactants of the overall reaction. Some redox reactions can be more difficult to identify, for example:



is a highly simplified expression for photosynthesis where $\text{C}_{\text{org.}}$ refers to organic carbon of oxidation state 0. In this case, carbon is reduced:



and oxygen is oxidized:¹

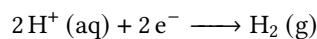


¹As you might guess from the name, oxygen is almost always the species doing the oxidizing. Redox chemistry is one of countless ways we can understand photosynthesis as a unique and crucial component of life on a habitable planet.

Again, adding the half-reactions allows electrons to cancel. C^{4+} and 2O^{2-} are simply the components of CO_2 considered individually.

5.2 Energy

Redox reactions involve energy because charged electrons have different electric potential energy depending on their position relative to charged atomic nuclei. This is analogous to massive objects having different gravitational potential energy depending on their position relative to other massive objects. In both cases, measuring absolute potential energy is not practical, so measurements are made relative to chosen references, such as sea level for PE_g , or for PE_e , the energy associated with the following reduction:



5.3 Environmental Parameter

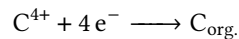
Just as acid-base conditions can be characterized by the activity of protons:

$$\text{pH} = -\log(\text{H}^{+})$$

redox conditions can be characterized by the activity of electrons:

$$\text{pe} = -\log(\text{e}^{-})$$

To understand how this might be done, consider one half-reaction from the simplified photosynthesis system described above:



An equilibrium constant can be defined as follows:

$$K = \frac{(C_{\text{org.}})}{(C^{4+})(e^-)^4}$$

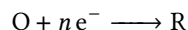
Rearranging gives:

$$(e^-) = \left[\frac{(C_{\text{org.}})}{K(C^{4+})} \right]^{1/4}$$

Or in general:

$$(e^-) = \left[\frac{(R)}{K(O)} \right]^{1/n}$$

For the general reduction half-reaction:



Thus:

$$pe = \left[\frac{1}{n} \right] \left[\log K - \log \frac{(R)}{(O)} \right]$$

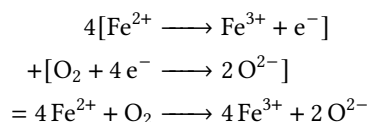
The most commonly used redox parameter is defined as follows:

$$Eh = \frac{2.3 RT \cdot pe}{F}$$

Where R is the constant $8.314 \text{ J K}^{-1} \text{ mol}^{-1}$, T is temperature in K, and the Faraday constant F is the electric charge of a mole of electrons $9.64853 \times 10^4 \text{ C mol}^{-1}$. Further simplification shows Eh has units of $\text{J C}^{-1} (\text{V})$.

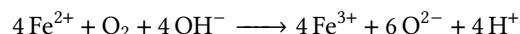
5.4 Iron Oxidation

To give an example of a redox reaction with significant real-world consequences, consider Iron, which originally appears at the surface mostly in its reduced Fe^{2+} state in mafic minerals like olivine, pyroxenes, and amphiboles. As it weathers in an oxygenated atmosphere or ocean environment, the following reaction takes place.



Fe^{3+} is highly insoluble in water compared to Fe^{2+} , so one consequence of this reaction is that oxidized iron quickly precipitates out of solution, usually before it has a chance

to be carried by rivers into the ocean. Mature soils are often rich in oxidized iron, with reduced-iron minerals having long since degraded. Another consequence has to do with the specific minerals that are formed when Fe^{3+} is produced, namely, hematite (Fe_2O_3). From our redox reaction, we can see that oxidized iron and reduced oxygen (O^{2-} ; “oxide”) are not produced in the correct ratio for hematite. Instead, we need four more oxygen atoms, supplied by water (OH^-)

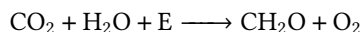


Notice that alkalinity (4OH^-) is consumed, and acidity (4H^+) is produced. Thus, oxidation of iron is associated with the acidification of the surrounding environment. This can have dramatic consequences on local and global environments. For example, mining often exposes large outcrops of iron (some of which will be reduced, i.e., susceptible to oxidation) very rapidly, which can lead to acid-mine drainage. Alternatively, large increase in the amount of free oxygen in an environment already rich in Fe^{3+} can have the same effect. This occurred on a global scale, during the Great Oxygenation event (2.5-2.0 Ga), which led to dramatic chemical transformations of the entire fluid earth.

Chapter 6

Primary Production

The process by which life uses inorganic Earth materials to reproduce itself is known as primary production. We can start with the most simplified expression for primary production (photo- or chemo-synthesis):



Is important to understand this reaction in detail. Carbon dioxide is the source of carbon from which producers build organic material. In CO_2 , carbon is double bonded to two oxygen atoms—four bonds total. For all chemical reactions, it is useful to keep track of electrons, as we did in the redox chemistry (5) section. One way to do this is to assume every bond is 100% ionic—all bonded electrons are stolen completely, and assign *oxidation states* to each individual atom¹. Since oxygen is more electronegative than carbon, we say that oxygen holds all bonded electrons. As is usually the case, each oxygen is thus in the 2^- oxidation state. To balance these oxides, carbon must be 4^+ —all four of its valence electrons are considered “lost.”

¹An alternative is to assume every bond is 100% covalent—all bonded electrons are shared evenly, and assign *formal charges* to each atom