Automated Techniques
for Finding and Maintaining Traces

Group 1

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Overview

The Need for Automatisation

Traditional requirements tracing is

- slow
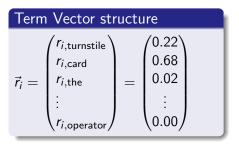- expensive
- prone to non-completeness (missing links)

$\Rightarrow$ Indicators for automation potential

How to Find Links?

- Relevancy likelihood estimation is a basic task in computational linguistics.
- Basically a search engine where everything's a query and everything's a document.
- One possible technique: term vectors.

Term Vectors

How relevant is a specific term to an artifact?

Term Vector structure

$$\vec{r}_i = \begin{pmatrix} r_{i,\text{turnstile}} \\ r_{i,\text{card}} \\ r_{i,\text{the}} \\ \vdots \\ r_{i,\text{operator}} \end{pmatrix} = \begin{pmatrix} 0.22 \\ 0.68 \\ 0.02 \\ \vdots \\ 0.00 \end{pmatrix}$$

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TF-IDF

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How relevant are two artifacts to each other?

Cosine Similarity

$$rel_{\vec{r}_1, \vec{r}_2} = \cos(\vec{r}_1 \angle \vec{r}_2) = \frac{\vec{r}_1 \cdot \vec{r}_2}{|\vec{r}_1| \cdot |\vec{r}_2|}$$

What to do with this information?

- We have an automatically generated relevancy likelihood estimation for each pair of trace artifacts.
- Two options:
  1. Register all traces with a similarity $> \theta$ and be done with it.
  2. Present higher-probability links to human analyst for validation.
- Option 2. Definitely option 2.
- Therefore recall must be optimised over precision.

Learning

- Automatic relevancy vectors are unreliable.
- Let's steal some stuff from computational linguists again!
  - Weight matrices are useful!

Adding a weight vector

$$\vec{r}_{\text{doc}} \cdot \vec{w} = \begin{pmatrix} r_{\text{turnstile}} \\ r_{\text{card}} \\ r_{\text{the}} \\ \vdots \\ r_{\text{operator}} \end{pmatrix} \cdot \begin{pmatrix} w_{\text{turnstile}} \\ w_{\text{card}} \\ w_{\text{the}} \\ \vdots \\ w_{\text{operator}} \end{pmatrix} = \begin{pmatrix} 0.22 \\ 0.68 \\ 0.02 \\ \vdots \\ 0.00 \end{pmatrix} \cdot \begin{pmatrix} 0.75 \\ 2.00 \\ 0.25 \\ \vdots \\ 1.00 \end{pmatrix}$$

- Weights can be uniformly initalised and later modified according to feedback from human analysts.

Hayes et al. 2007

- RETRO system
  - Facilitates tracing for human analysts
  - Intended for vertical tracing ('high-level' to 'low-level' artifacts)

	Recall	Precision	Time [min]
Manual group	0.33	0.24	120.67
RETRO group	0.70	0.13	41.88
T test (p value)	0.001	0.01	0.0004

- Poirot System
    - Smarter parsing
    - (Programming) stopword removal
    - Normalisation of `conventionallyStyledNames();`
- Achieved 90% recall and about 30% precision
- Deduced nine 'best practices'

Huang's Best Practices

1. Trace for a purpose
2. Define a suitable trace granularity
3. Support in-place traceability
4. Use a well-defined project glossary
5. Write quality requirements
6. Construct a meaningful hierarchy
7. Bridge the intradomain semantic gap
8. Create rich content
9. **Use a process improvement plan**

Discussion