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# **Graph theory**

# **Glossary**

- Graph G (V, E):
  - o V: vertices set
  - **E**: edges set
- Degree: number of vertices

• Walk: series of connected vertices

• Path: walk without repeated vertices

• **Closed walk**: walk where  $v_0 = v_n$ 

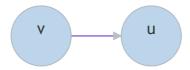
• Cycle: closed walk without repeating vertices

• Euler path: visit each edge exactly once

• Hamilton path: visit each vertex exactly once

• **Directed graph**: edges are ordered pairs

• Ancestor: v, Successor: u in



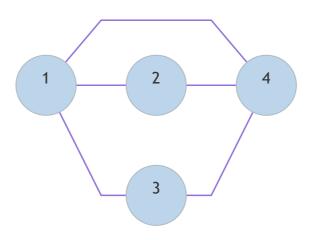
- deg<sub>in</sub>(v): number of incoming edges into v
- **deg**out(v): number of outgoing edges into v

# **Graph Representation**

## **Adjacency matrix:**

matrix where 
$$A_{uv} = \left\{ egin{array}{ll} 1 & ext{if}(u,v) \in E \ 0 & ext{otherwise} \end{array} 
ight.$$

**Graph:** 



**Matrix:** 

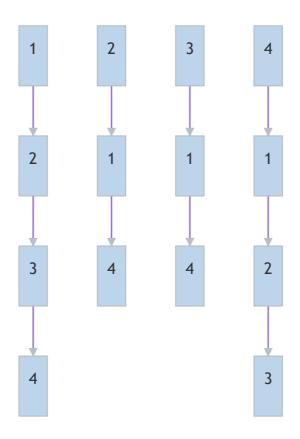
$$\begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$

## **Adjacency list**

Array of linked lists, where Adj[u] contains a list containing all the neighbors of u.

**Graph:** Same as above

List:



### **Runtimes**

	Matrix	List
Find all neighbors of $v$	$\mathcal{O}(n)$	$\mathcal{O}(deg_{out}(v))$
Find $v \in V$ without neighbors	$\mathcal{O}(n^2)$	$\mathcal{O}(n)$
Check if $(v,u)\in E$	$\mathcal{O}(1)$	$\mathcal{O}(1 + deg_{out}(v))$
Insert edge	$\mathcal{O}(1)$	$\mathcal{O}(1)$
Remove vertex $v$	$\mathcal{O}(1)$	$\mathcal{O}(deg_{out}(v))$
Check whether an Eulerian path exists or not	$\mathcal{O}( V * E )$	$\mathcal{O}( V + E )$

# **Algorithms**

## **Depth-First Search (DFS)**

Used mainly to check whether a Graph can be topological sorted or not ( $\Leftrightarrow$  has a cycle). A **topological sorting** of a graph it's a sequence of all its nodes with the property that a node u comes after a node v if and only if either a walk from v to u exists or u cannot be reached starting from v.

### **Pseudocode**

```
1  DFS(G):
2     t = 1
3     for (v in V not marked):
4     DFS-Visit(v)
```

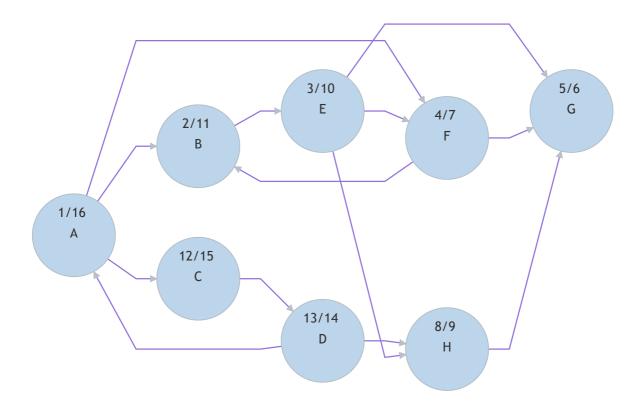
```
DFS-Visit(v):
pre[v] = t++
marked[v] = true
for ((v, u) in E, u not marked)
DFS_Visit(u)
post[v] = t++
```

#### Runtime

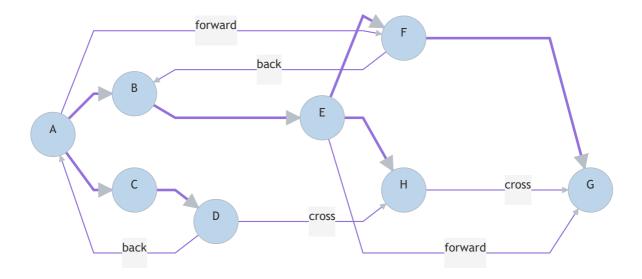
Operations	$T(n)\in\Theta( E + V )$
Memory	$T(n)\in\Theta( V )$

# Edge classification (post and pre numbers)

**Example:** DFS(A) got called



This graph generate the following tree (rotated of 90 degree to save space):



Pre and post number	Name of the edge $(v,u)\in E$
$pre(u) < pre(v)  ext{ and } post(u) < post(v)$	Not possible
$pre(u) < pre(v)  ext{ and } post(u) > post(v)$	Tree edge
pre(u) < pre(v) and $post(u) < post(v)$ but $(u,v)  otin E$	Forward edge
$pre(u) > pre(v)  ext{ and } post(u) > post(v)$	Back edge
$pre(u) > pre(v)  ext{ and } post(u) > post(v)$	Cross edge
$pre(u) < pre(v)  ext{ and } post(u) < post(v)$	Not possible

**Remark:**  $\not\exists$  back edge  $\Leftrightarrow$   $\not\exists$  closed walk (cycle)

# **Breadth-First Search (BFS)**

Instead of searching through the depth of a graph, one can also go first through all the successor of the root with the BFS algorithm.

```
1 BFS(G):
2 for (v in V not marked):
3 BFS-Visit(v)
```

```
BFS-VIsit(v):
1
2
        Q = new Queue()
 3
        active[v] = true //used to check whether a vertex is in the queue or not
4
        enqueue(v, Q)
        while (!isEmpty(Q)):
 5
6
           w = dequeue(Q)
 7
            visited[w] = true
8
            for ((w, x) in E):
9
                if(!active[x] && !visited[x]):
10
                    active[x] = true
11
                    enqueue(x, Q)
```

Operations	$T(n)\in\Theta( E + V )$
Memory	$T(n)\in\Theta( V )$

## Find shortest path in DAG (Directed Acyclic Graph)

We can compute a recurrence following the topological sorting of the graph.

#### **Pseudocode**

```
ShortestPath(G, s):

d[s] = 0, d[v] = inf

for (v in V \ {s}, following topological sorting):

for ((u, v) in E):

d[v] = min(d[v], d[u] + c(u,v))
```

#### **Runtime**

 $T(n) \in \mathcal{O}(|E| * |V|)$  if adjacency list is given

## Djikstra

Used to find the shortest (cheapest) path between two nodes in a graph.

Remark: The graph must not have negative weights

```
Dijkstra(G, s):
 2
        for (v in V):
 3
            d[v] = infinity
4
            parent[v] = null
 5
            insert(Q, v, d[v])
 6
        d[s] = 0
 7
        Q = new Queue()
        decreaseKey(Q, s, 0) // decrease the priority of s to 0 (min)
8
9
        while(!Q.isEmpty()):
            v^* = Q.extractMin() // extract from Q the node with minimum priority
10
11
            for ((v*, v) in E):
12
                dist = d[v^*] + w(v^*, v)
                if (dist < d[v]):
13
                     d[v] = dist
14
```

```
parent[v] = v*
decreaseKey(Q, v, d[v])
```

```
If implemented with a Heap: T(n) \in \mathcal{O}((|E|+|V|)*log(|V|)) If implemented with a Fibonacci-Heap: T(n) \in \mathcal{O}((|E|+|V|*log(|V|)))
```

#### **Bellman-Ford**

Used for graph with general weight (positive and negative!)

#### **Pseudocode**

```
1
    BellmanFord(G, s):
 2
        for (v in V):
 3
            distance[v] = infinity
 4
             parent[v] = null
 5
        distance[s] = 0
        for (i = 1, 2, ..., |V| - 1):
 6
 7
            for ((u, v) in E):
 8
                 if(distance[v] > distance[u] + w(u, v)):
 9
                     distance[v] = distance[u] + w(u, v)
10
                     parent[v] = u
        for ((u, v) in E):
11
12
            if (distance[u] + w(u, v) < distance[v]):</pre>
13
                 return "negative cyrcle!"
```

#### **Runtime**

```
T(n) \in \mathcal{O}(|E| * |V|)
```

### Boruvka

Used to find a MST in a given graph G

### **Minimum Spanning Trees (MSTs)**

A minimum spanning tree is a subgraph  $H=(V,E^*)$  of a graph G=(V,E) with  $E^*\subseteq E$ , such that every vertex  $v\in V$  is connected and that **the sum of all edges' weight is minimal**.

```
Boruvka(G):

F = new Set() // Initialize a new forest with every vertex being a tree
and 0 edges

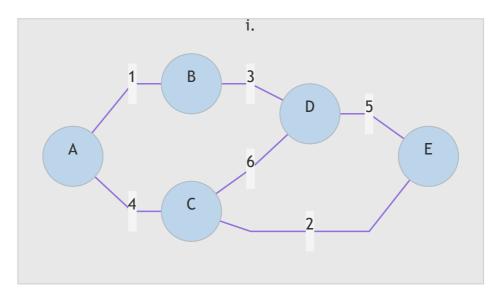
while (F not SpanningTree): // check that ZHKs of F > 1 or number of
edges < |V| - 1

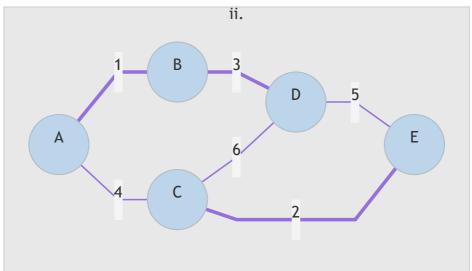
ZHKs of F = (S1, ..., Sk)
minEdges of S1, ..., Sk = (e1, ..., ek)
F = F U (e1, ..., ek)
return F</pre>
```

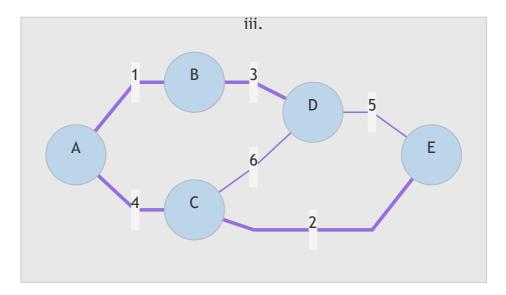
$$T(n) \in \mathcal{O}((|E| + |V|) * log(|V|))$$

## **Example**

First choose the minimal edge for every vertex and add them to the new graph. Then repeat for every ZHK (vertices connected with edges) until you have a MST (until there is only 1 ZHK).







# Prim

Alternative to Kruskal, it needs a starting vertex as input.

### **Pseudocode**

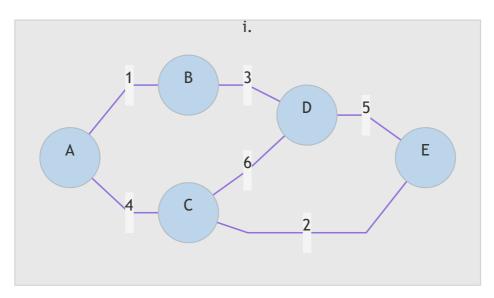
```
1
   Prim(G, s):
2
        MST = new Set()
3
        H = new minHeap(V, infinity)
4
        decreaseKey(H, s, 0)
5
        while (!H.isEmpty()):
6
            v = extractMin(H)
7
            MST.add(v)
8
            for ((v, u) \text{ in } E \&\& u \text{ not in MST})
9
                 decreaseKey(H, u, w(v, u))
```

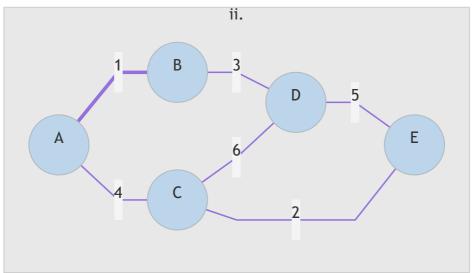
### **Runtime**

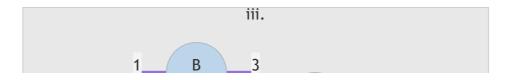
$$T(n) \in \mathcal{O}((|E| + |V|) * log(|V|))$$

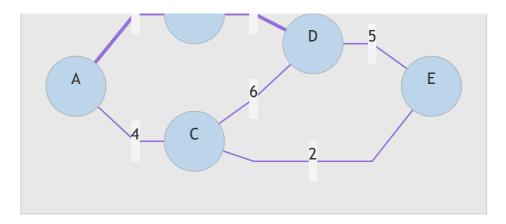
## **Example**

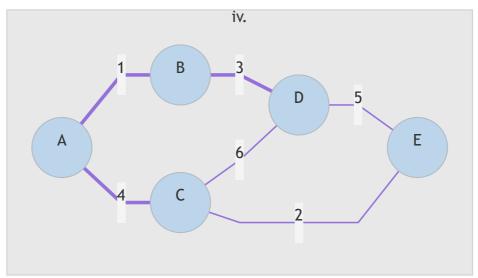
Add the minimal edge adjacent to s. Then take the newly created ZHK and add to it its minimal outgoing edge. Proceed like that until you have a spanning tree (all the vertices are connected).

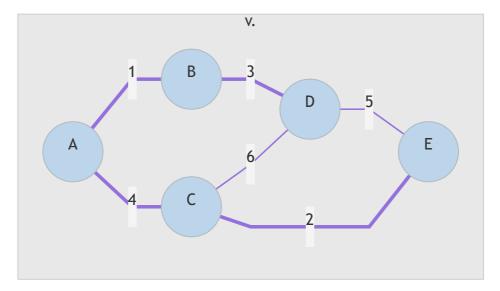












# Kruskal

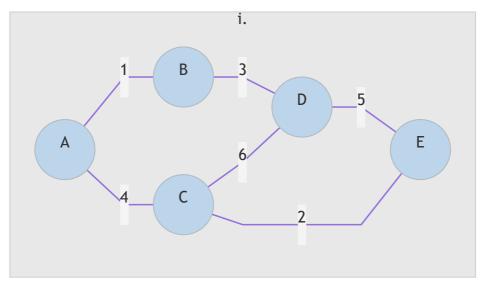
Another algorithm to find a MST in a given graph. It sorts edges by weight and adds them one by one, **unless adding an edge would form a cycle**.

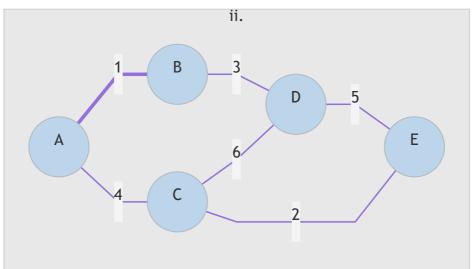
```
1 Kruskal(G):
2    MST = new Set()
3    E.sort() // sort all edges by weight
4    for ((u, v) in E):
5         if (u and v in 2 different ZHKs of MST):
6         MST.add((u, v))
```

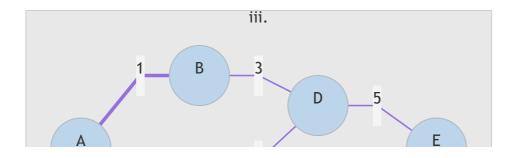
If implemented normally:  $T(n) \in \mathcal{O}(|E|*|V|+|E|*log(|E|))$  (second part to sort) If implemented with an improved union-find DS:  $T(n) \in \mathcal{O}(|V|*log(|V|)+|E|*log(|E|))$  (second part to sort)

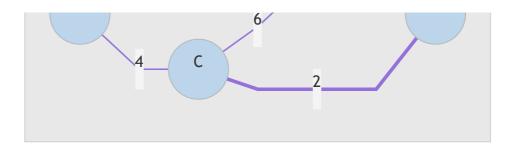
### **Example**

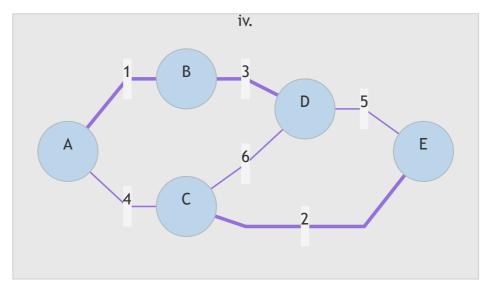
Add edges one by one following weight-order. If adding an edge would form a cycle, skip it.

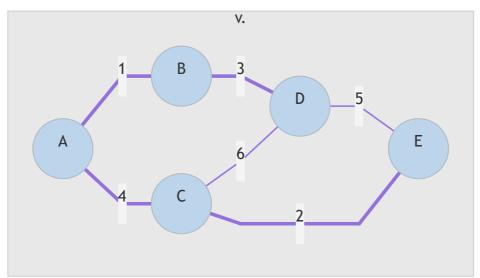












# Floyd-Warshall

Used to solve the **all-pair shortest path** problem, i.e., to find the shortest distance between **any** two vertices of a given graph G.

It makes use of a 3-Dimensional DP table.

### **Pseudocode**

 $\label{eq:discrete} \ensuremath{\mathsf{d[i][u][v]}} \ensuremath{\mathsf{represents}} \ensuremath{\mathsf{the}} \ensuremath{\mathsf{shortest}} \ensuremath{\mathsf{path}} \ensuremath{\mathsf{from}} \ensuremath{u} \ensuremath{\mathsf{to}} \ensuremath{v} \ensuremath{\mathsf{passing}} \ensuremath{\mathsf{through}} \ensuremath{\le} i \ensuremath{\mathsf{vertices}}.$ 

```
FloydWarshall(G):
 2
        for (v in V):
 3
            d[0][v][v] = 0 // layer 0, row v, column v
 4
        for ((v, u) in E):
 5
            d[0][v][u] = w(v, u)
 6
        else: // if u, v isn't in E
 7
            d[0][v][u] = infinity
 8
        for (i = 1, ..., |V|):
            for (u = 1, ..., |V|):
 9
                for (v = 1, ..., |V|):
10
11
                    d[i][u][v] = min(d[i-1][u][v], d[i-1][u][i] + d[i-1][i][v])
12
        return d
```

#### **Remarks:**

- This algorithm can be implemented **inplace**, it just suffice to leave the indices away.
- The algorithm does **not** work if negative cycles are present.

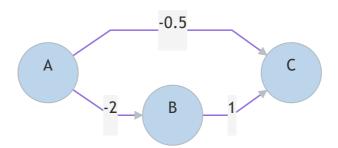
#### **Runtime**

$$T(n) \in \mathcal{O}(|V|^3)$$

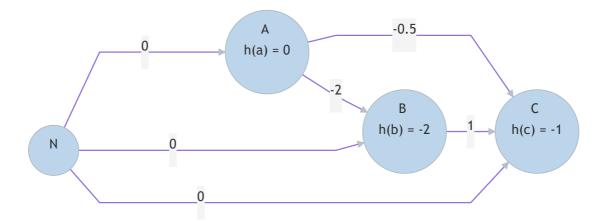
## **Johnson**

Used to solve the all-pair shortest path problem. First one has to make every weight positive, by adding an "external" vertex, and then proceed by using Dijkstra |V| times.

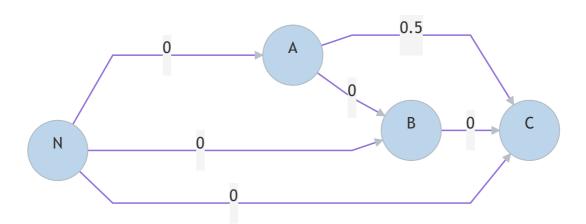
### **Example**



• First, add the new vertex, and connect it to every other vertex with weight 0. h(n) is the "height" of the node n, equals to **the shortest path from** N **to** n, found by applying n times Dijkstra.



ullet We now can modify each weight w(u,v) of each edge into a new weight  $w^*(u,v) = w(u,v) + \left(h(u) - h(v)\right)$ 



### **Runtime**

- Create new node and add new edges:  $\mathcal{O}(|V|)$
- Assign h-values: Bellman-Ford,  $\mathcal{O}(|V|*|E|)$  |V| times Dijkstra:  $\mathcal{O}(|V|*|E|+|V|^2*log(|V|))$

## **All Pair-Shortest Path**

All the algorithms we know to solve the APSP problem can be compared in the following way (**top**: less general, **bottom**: more general):

Graph	Algorithm	Runtime
G=(V,E)	V *BFS	$\mathcal{O}( V * E + V ^2)$
$G=(V,E,w) \ w:E o \mathbb{R}^+$	V *Dijkstra	$\mathcal{O}( V * E + V ^2*log( V ))$
$G=(V,E,w) \ w:E o \mathbb{R}$	$ V *Bellman-Ford \ Floyd-Warshall \ Johnson$	$egin{aligned} \mathcal{O}( V ^2* E ) \ \mathcal{O}( V ^3) \ \mathcal{O}( V * E + V ^2*log( V )) \end{aligned}$