#### Constraint Satisfaction Problems

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"Artificial Intelligence: A Modern Approach", 3rd Edition, Chapter 6

#### Outline

- Constraint Satisfaction Problems (CSP)
  - Representation for wide variety of problems
  - CSP solvers can be faster than general state-space searchers
- Inference in CSPs as a preprocessing stage (AC3 algorithm)
- Backtracking search for CSPs
  - Inference during search and heuristics to speedup the backtrack search
- Problem Structure
- Local search for CSPs

#### What is CSPs?

In CSPs, the problem is to search for a set of values for the variables (features) so that the assigned values satisfy constraints.

- CSPs yield a natural representation for a wide variety of problems
  - CSP search algorithms use general-purpose heuristics based on the structure of states

#### What is CSPs?

#### Components of a CSP

- X is a set of variables  $\{X_1, X_2, \dots, X_n\}$
- ▶ D is the set of domains  $\{D_1, D_2, ..., D_n\}$  where  $D_i$  is the domain of  $X_i$
- ightharpoonup C is a set of constraints  $\{C_1, C_2, ..., C_m\}$ 
  - ▶ Each constraint limits the values that variables can take (e.g.,  $X_1 \neq X_2$ )

#### Solving a CSP

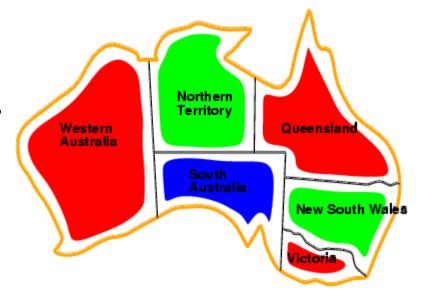
- State: An assignment of values to some or all of the variables
- Solution (goal): A complete and consistent assignment
  - ▶ Consistent: An assignment that does not violate any constraint
  - Complete: All variables are assigned.

# CSP: Map coloring example

- Coloring regions with tree colors such that no neighboring regions have the same color
  - ▶ <u>Variables</u> corresponding to regions:  $X = \{WA, NT, Q, NSW, V, SA, T\}$
  - ▶ The <u>domain</u> of all variables is {red, green, blue}
  - ► Constraints:  $C = \{SA \neq WA, SA \neq NT, SA \neq Q, SA \neq NSW, S \neq V, WA \neq NT, NT \neq Q, Q \neq NSW, NSW \neq V\}$

#### A solution:

$$\{WA = red, NT = green, Q = red, NSW = green, V = red, T = green\}$$





### Example: N-Queens

- Variables:  $\{Q_1, Q_2 \quad Q_N\}$
- ▶ Domains:  $\{1, 2, ..., N\}$
- Constraints:
  - ▶ Implicit:  $\forall i, j \neq i \ non\_threatening(Q_i, Q_j)$
  - ▶ Explicit:  $(Q_i, Q_j) \in \{(1,3), (1,4), ..., (8,6)\}$

### Boolean satisfiability example

- Given a Boolean expression, is it satisfiable?
  - $e.g., ((p_1 \land p_2) \rightarrow p_3) \lor (\neg p_1 \land p_3)$
- Representing Boolean expression in 3-CNF
- ▶ 3-SAT: find a satisfying truth assignment for 3-CNF
  - $\triangleright$  Variables:  $p_1, p_2, ..., p_n$
  - Domains: {true, false}
  - Constraints: all clauses must be satisfied

# Some real world examples of CSPs

- Assignment problems
  - e.g., who teaches what class?
- Timetabling problems
  - e.g., which class is offered when and where?
- Transportation scheduling
- Factory scheduling
  - Job Shop Scheduling

# Types of variables in CSP formulation

#### Discrete variables

- Finite domains
  - ho n variables and  $|D_i|=d$   $(i=1,...,n)\Longrightarrow O(d^n)$  complete assignments
- Infinite domains
  - e.g., job scheduling, variables are start/end days for each job
    - $\square$  A constraint language is required (e.g.,  $StartJob_1 + d_1 \leq StartJob_2$ )
- Continuous variables
  - e.g., exact start/end times for Hubble Space Telescope observations

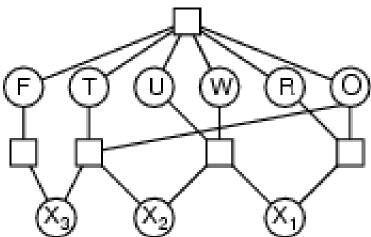
# Types of constraints in CSP formulation

- Unary constraints involve a single variable
  - e.g.,  $SA \neq green$
- Binary constraints involve pairs of variables
  - e.g.,  $SA \neq WA$
- Higher-order constraints involve 3 or more variables
  - e.g., cryptarithmetic column constraints
  - Every higher-order finite constraint can be broken into binary constraints, given enough auxiliary constraints

# Cryptarithmetic example

- Variables:  $X = \{F, T, U, W, R, O, X_1, X_2, X_3\}$
- **Domains:**  $\{0,1,...,9\}$
- Constraints:
  - $ightharpoonup all diff(F,T,U,W,R,O) \longrightarrow Global constraint$
  - $O + O = R + 10 \times X_1$
  - $X_1 + W + W = U + 10 \times X_2$
  - $X_2 + T + T = O + 10 \times X_3$
  - $X_3 = F, T \neq 0, F \neq 0$

Hypergraph



# Sudoku example

- Variables: Each (open) square
- ▶ Domains: {1,2, ..., 9}
- Constraints:
  - 9-way *alldiff* for each row
  - 9-way alldiff for each column
  - 9-way alldiff for each region

	1	2	3	4	5	6	7	8	9
Α			3		2		6		
В	9			3		5			1
С			1	8		6	4		
D			8	1		2	9		
Ε	7								8
F			6	7		8	2		
G			2	6		9	5		
н	8			2		3			9
-1			5		1		3		
•	(a)								

	1	2	3	4	5	6	7	8	9
Α	4	8	3	9	2	1	6	5	7
В	9	6	7	3	4	5	8	2	1
С	2	5	1	8	7	6	4	9	3
D	5	4	8	1	3	2	9	7	6
Е	7	2	9	5	6	4	1	3	8
F	1	3	6	7	9	8	2	4	5
G	3	7	2	6	8	9	5	1	4
н	8	1	4	2	5	3	7	6	9
ı	6	9	5	4	1	7	3	8	2
•					(b)				

#### Solving CSPs as a standard search problem Incremental

- Initial State: { }
- Actions: assign a value to an unassigned variable that does not conflict with current assignment
- ▶ Goal test: Consistent & complete assignment
- ▶ Path cost: not important

# CSP: state space

- Standard search problem:
  - State is a "black box" with no internal structure (it is a goal or not a goal)
- Solving CSPs more efficiently by factored representation of states
  - State is specified by variables or features  $X_i$  (i = 1, ..., n)
  - Goal test: Whether each variable has a value that satisfies all the constraints on the variable?
- CSP search algorithms use general-purpose heuristics based on the structure of states
  - Eliminating large portions of the search space by identifying variable/value combinations that violate the constraints

# CSPs solver phases

- Combination of <u>combinatorial search</u> and <u>heuristics</u> to reach reasonable complexity:
  - Search
    - Select a new variable assignment from several possibilities of assigning values to unassigned variables
    - Base of the search process is a backtracking algorithm
  - Inference in CSPs (constraint propagation)
    - "looking ahead" in the search at unassigned variables to eliminate some possible part of the future search space.
      - □ Using the constraints to reduce legal values for variables
    - Key idea is local consistency

#### Inference (looking ahead) in solving CSPs

- Inference as a <u>preprocessing stage</u>
  - ► AC-3 (arc consistency) algorithm
    - Removes values from domains of variables (and propagates constraints) to provide all constrained pairs of variables arc consistent.
- Inference intertwined with search
  - Forward checking
    - When selecting a value for a variable, infers new domain reductions on neighboring unassigned variables
  - Maintaining Arc Consistency (MAC) Constraint propagation
    - Forward checking + recursively propagating constraints when changes are made to the domains
  - ...

#### Base of inference for CSPs: Local consistency

- Node consistency (1-consistency)
  - Unary constraints are satisfied.
- Arc consistency (2-consistency)
  - Binary constraints are satisfied.
- Path consistency (3-consistency)
- k- consistency
- Global constraints

### Arc consistency

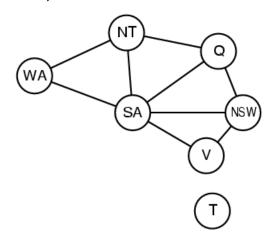
 $X_i$  is <u>arc-consistent</u> with respect to  $X_j$  if for every value in  $D_i$  there is a consistent value in  $D_j$ 

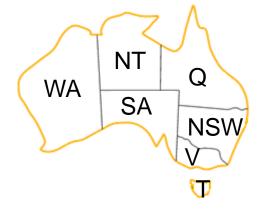
#### Example

- Variables:  $X = \{X_1, X_2\}$
- ▶ Domain: {0,1,2, ..., 9}
- Constraint:  $X_1 = X_2^2$
- Is  $X_1$  is arc-consistent w.r.t.  $X_2$ ?
  - No, to be arc-consistent  $Domain(X_1) = \{0,1,4,9\}$
- Is  $X_2$  is arc-consistent w.r.t.  $X_1$ ?
  - No, to be arc-consistent  $Domain(X_2) = \{0,1,2,3\}$

# Constraint graph

Nodes are variables, arcs are constraints





Enforcing local consistency in each part of the graph can cause inconsistent values to be eliminated

# Arc consistency algorithm (AC-3)

**function**  $AC_3(csp)$  **returns** false if an inconsistency is found and true otherwise

```
inputs: csp, a binary CSP with components X, D, C
    local variables: queue, a queue of arcs, initially all the arcs in csp
while queue is not empty do
    (X_i, X_i) \leftarrow REMOVE\_FIRST(queue)
     if REVISE(csp, X_i, X_i) then
         If size of D_i = 0 then return false
         for each X_k in X_i. NEIGHBORS - \{X_i\} do
              add (X_k, X_i) to queue
function REVISE(csp, X_i, X_j) returns true iff we revise the domain of X_i
    revised \leftarrow false
    for each x in D_i do
        if no value y in D_i allows (x, y) to satisfy the constraint between X_i and X_i then
            delete x from D_i
             revised \leftarrow true
return revised
```

#### AC-3

# For each arc $(X_i, X_j)$ in the queue

Remove it from queue

Makes  $X_i$  arc-consistent with respect to  $X_j$ 

- I) If  $D_i$  remains unchanged then continue
- 2) If  $|D_i| = 0$  then return false
- For each neighbor  $X_k$  of  $X_i$  except to  $X_j$  do add  $(X_k, X_i)$  to queue

If domain of  $X_i$  loses a value, neighbors of  $X_i$  must be rechecked

- Removing a value from a domain may cause further inconsistency, so we have to repeat the procedure until everything is consistent.
- ▶ When queue is empty, resulted CSP is equivalent to the original CSP.
  - Same solution (usually reduced domains speed up the search)

### AC-3: time complexity

- Time complexity (n variables, c binary constraints, d domain size):  $O(cd^3)$ 
  - ▶ Each arc  $(X_k, X_i)$  is inserted in the queue at most d times.
    - $\blacktriangleright$  At most all values in domain  $X_i$  can be deleted.
  - Checking consistency of an arc:  $O(d^2)$

# Arc consistency: map coloring example

For general map coloring problem all pairs of variables are arcconsistent if  $|D_i| \ge 2(i = 1, ..., n)$ 

NT

SA

NSW

WA

- Arc consistency can do nothing.
  - Fails to make enough inference
- We need stronger notion of consistency to detect failure at start.
  - > 3-consistency (path consistency): for any consistent assignment to each set of two variables, a consistent value can be assigned to any other variable.
  - When we have two colors, both of the possible assignments to the set  $\{WA, SA\}$  are inconsistent with NT.

### k-consistency

- Arc consistency does not detect all inconsistencies
  - Partial assignment  $\{WA = red, NSW = blue\}$  is inconsistent.



- A CSP is k-consistent if for any set of k-1 variables and for any consistent assignment to those variables, a consistent value can always be assigned to any kth variable.
  - ► E.g. I-consistency = node-consistency
  - ▶ E.g. 2-consistency = arc-consistency
  - ► E.g. 3-consistency = path-consistency

# Which level of consistency?

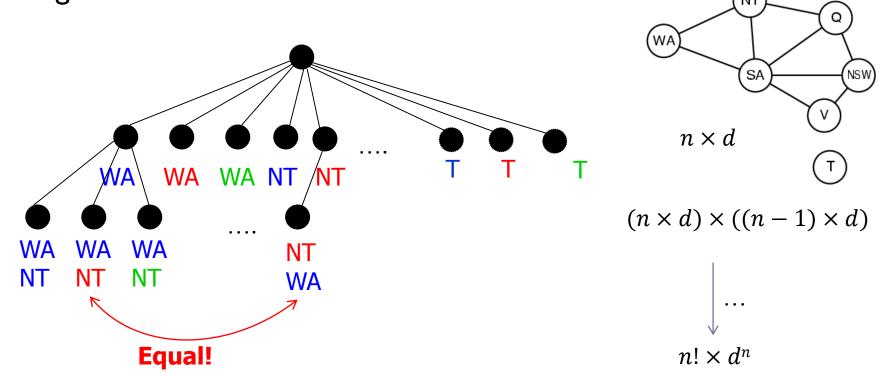
- ▶ **Trade off** between the required time to establish k-consistency and the amount of the eliminated search space.
  - If establishing consistency is slow, this can slow the search down to the point where no propagation is better.
- Establishing k-consistency needs exponential time and space in k (in the worst case)
- Commonly computing 2-consistency and less commonly 3-consistency

#### Properties of CSPs as a standard search problem

- Generic problem formulation: same formulation for all CSPs
- ▶ Every solution appears at depth *n* with *n* variables
- ▶ Branching factor is nd at the top level, b = (n l)d at depth l, hence there are n!  $d^n$  leaves.
  - lacktriangle However, there are only  $d^n$  complete assignments.
- Which search algorithm is proper?
  - Depth-limited search

### Assignment community

When assigning values to variables, we reach the same partial assignment regardless of the order of the variables chosen to assign values to.



There are  $n! \times d^n$  leaves in the tree but only  $d^n$  distinct states!

# Techniques that speed up CSP solvers

Many intractable problems for regular state-space search, can be solved quickly by formulating as CSPs using these techniques:

#### Backtracking

when a partial assignment is found inconsistent, discards further refinements of this (not promising) assignment.

#### Ordering heuristics

order of variables to be tried and selection of values to be assigned.

#### Domains reduction

• eliminates values causing future failure from domains of variables (before or during search).

#### Graph structure

Decompose the whole problem into disjoint or least connected subproblems

# Backtracking search

- Depth-first search for CSPs with single-variable assignments is called backtracking search
  - assigns one variable at each level (eventually they all have to be assigned.)
- Naïve backtracking is not generally efficient for solving CSPs.
  - More heuristics will be introduced later to speedup it.

# Backtracking search

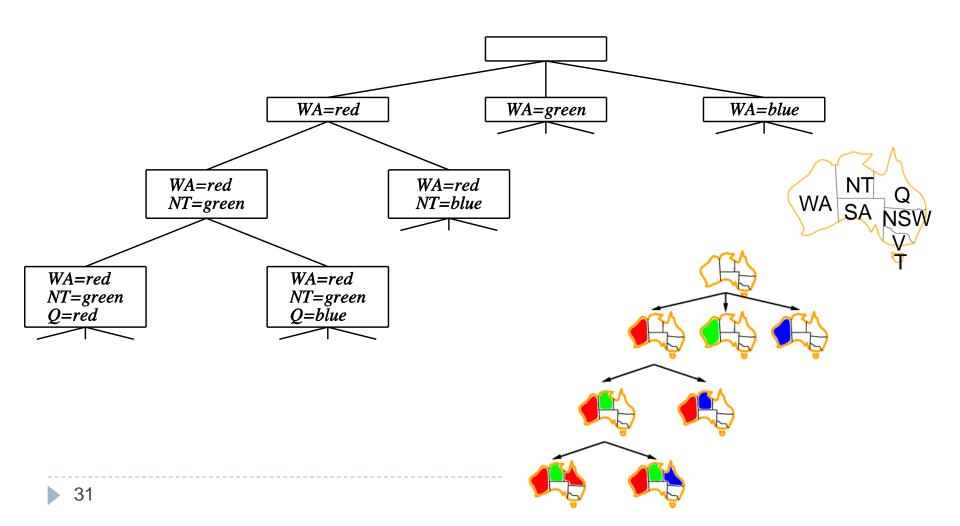
Nodes are <u>partial assignments</u>

#### Incremental completion

- Each partial candidate is the parent of all candidates that differ from it by a single extension step.
- Traverses the search tree in <u>depth first order</u>.
- At each node c
  - If it cannot be completed to a valid solution, the whole sub-tree rooted at c is skipped (not promising branches are pruned).
  - Otherwise, the algorithm (I) checks whether c itself is a <u>valid solution</u>, returns it; and (2) <u>recursively enumerates all sub-trees</u> of c.

#### Search tree

 $\blacktriangleright$  Variable assignments in the order: WA, NT, Q, ...



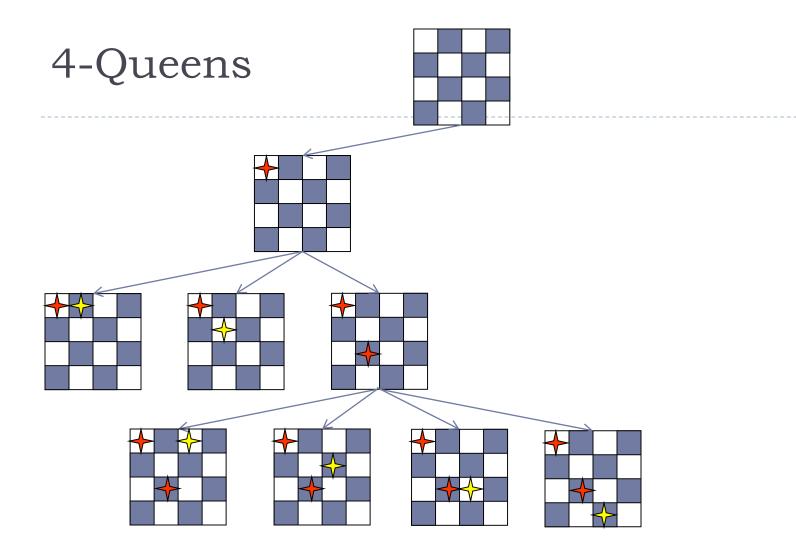
# General backtracking search

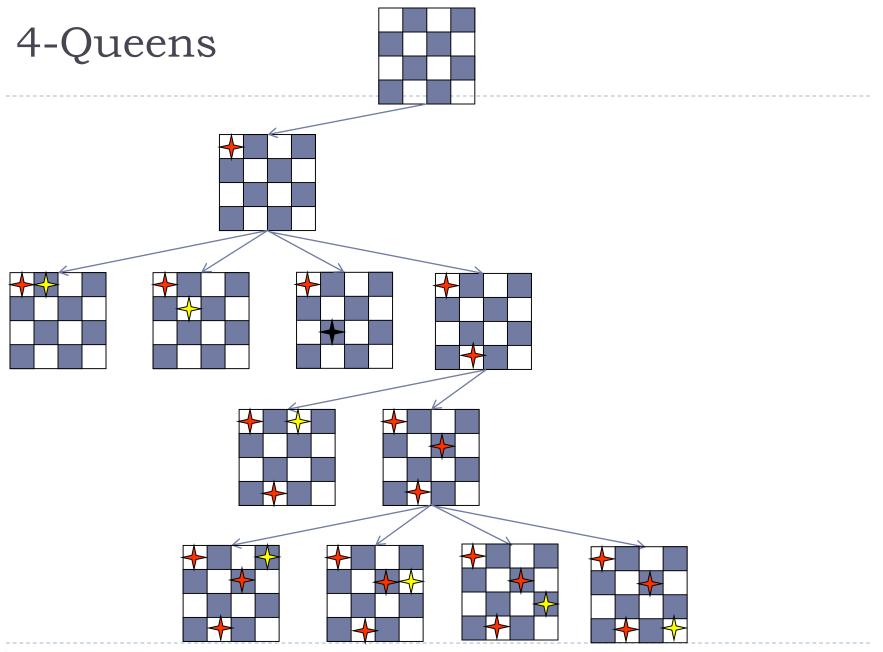
```
function BACKTRACK(v) returns a solution, or failure if there is a solution at v then return solution for each child u of v do if Promising(u) then result \leftarrow BACKTRACK(u) if result \neq failure return result return failure
```

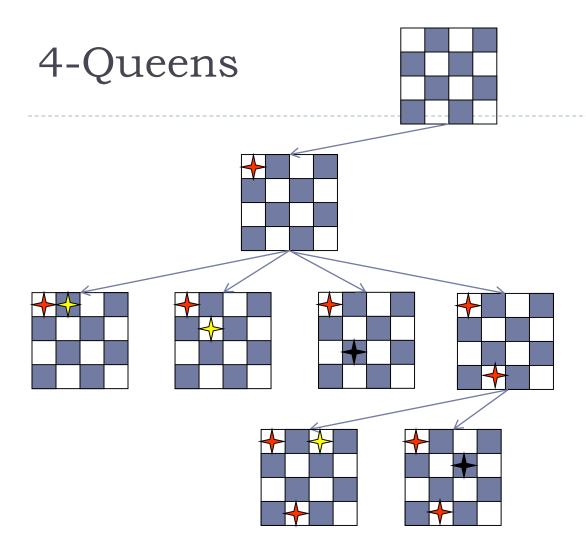


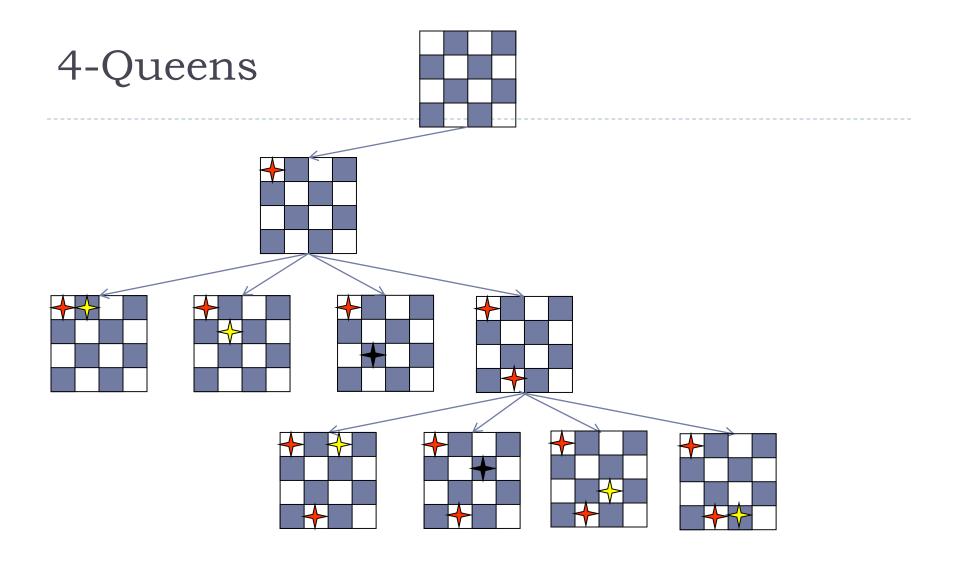
```
function BACKTRACK (assignment, csp) returns an assignment, or failure If assignment is complete then return assignment var \leftarrow select an unassigned variable for each val in Domain(var) do

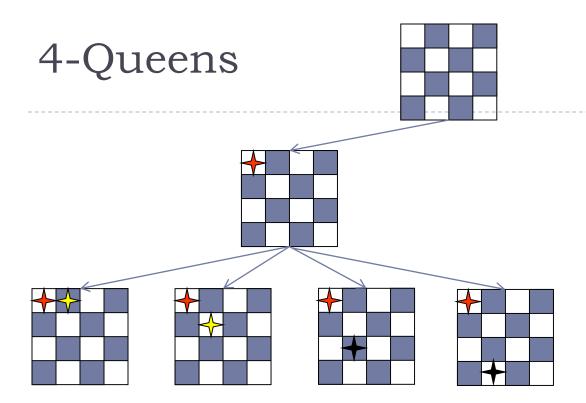
if Consistent(assignment \cup \{var \leftarrow value\}, csp) then result \leftarrow BACKTRACK(assignment \cup \{var \leftarrow value\}, csp) if result \neq failure return result return failure
```



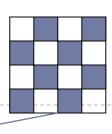


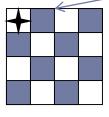




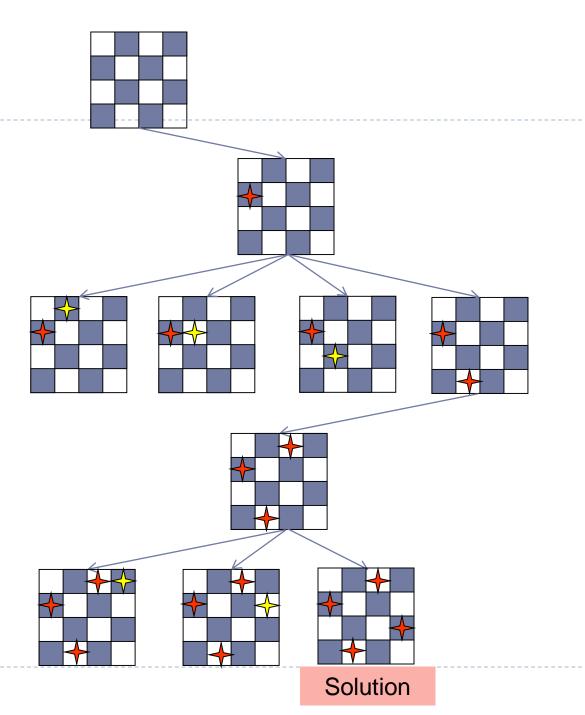


# 4-Queens



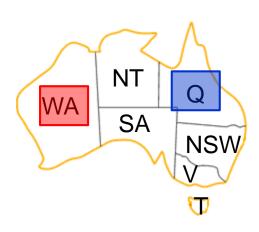


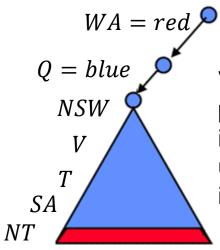
# 4-Queens



## Naïve backtracking (late failure)

- Map coloring with three colors
  - $\{WA = red, Q = blue\}$  can not be completed.
  - $\blacktriangleright$  However, the backtracking search does not detect this before selecting but NT and SA variables



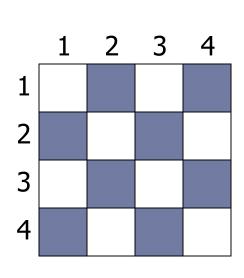


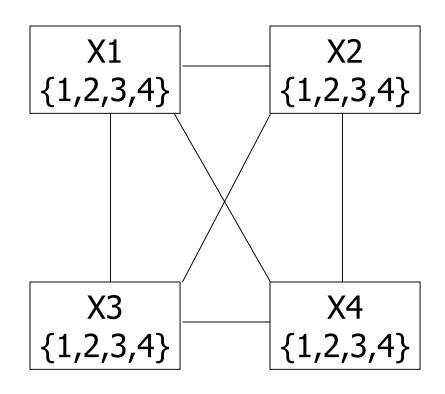
Variable NT has no possible value. But it is not detected until tying to assign it a value.

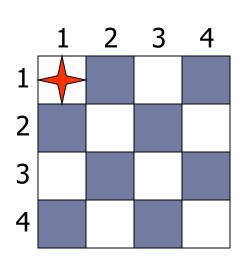
## Inference during the search process

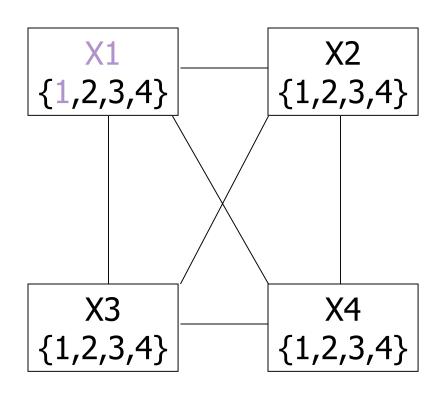
It can be more powerful than inference in the preprocessing stage.

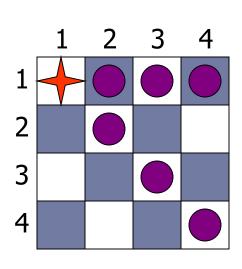
Interleaving search and inference

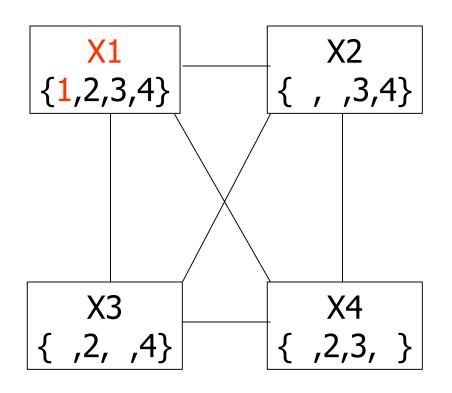


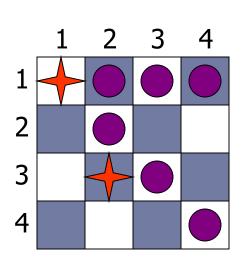


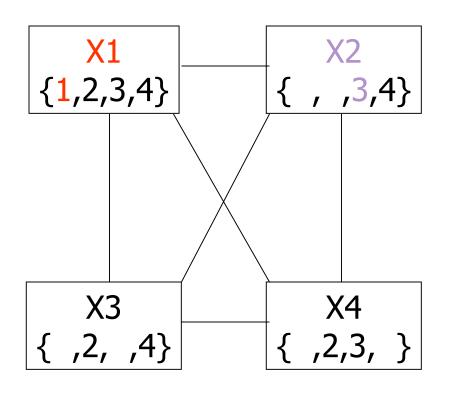


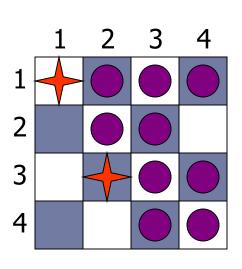


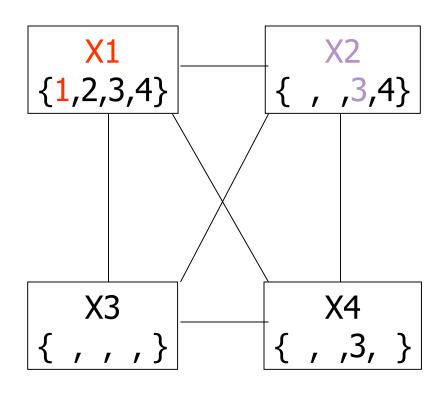


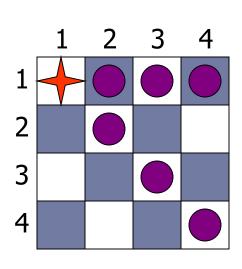


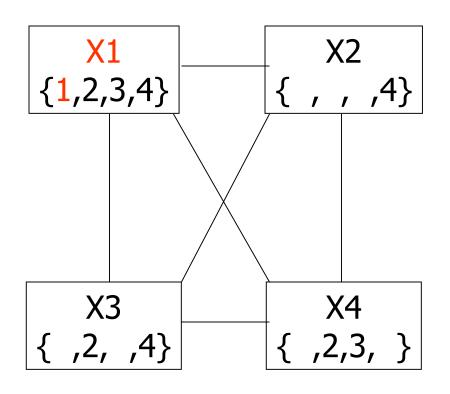


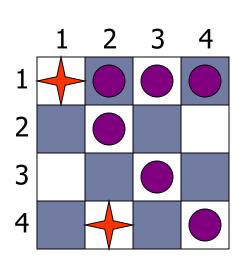


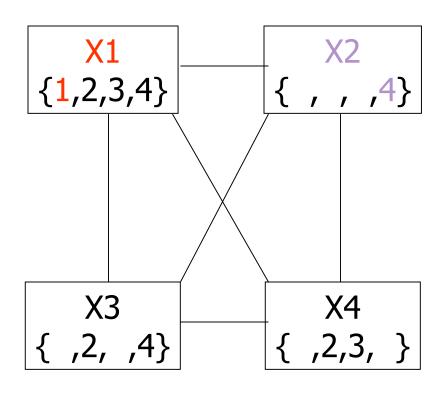


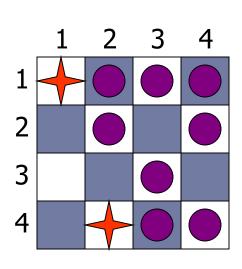


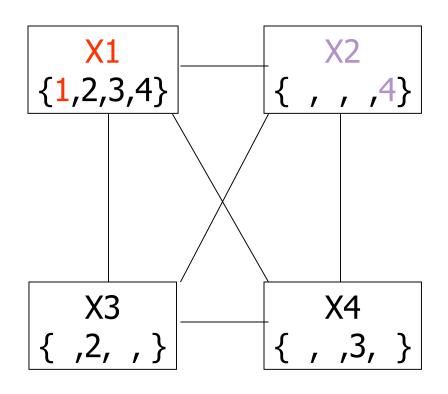


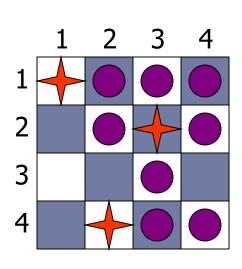


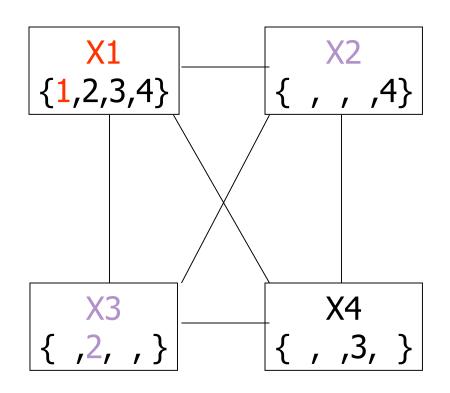


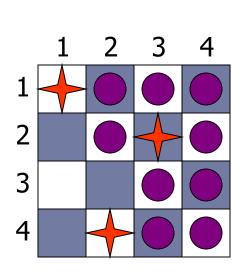


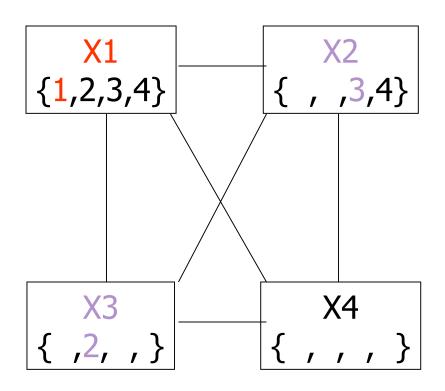












We will call this type of inference during search as Forward Checking soon.

## Solving CSP efficiently

- Which variable should be assigned next?
  - SELECT\_UNASSIGNED\_VARIABLE
- In what order should values of the selected variable be tried?
  - ORDER\_DOMAIN\_VALUES
- What inferences should be performed at each step in the search?
  - ▶ *INFERENCE*

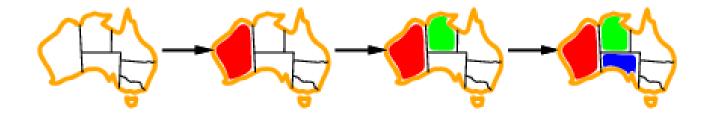
#### CSP backtracking search

```
function BACKTRACKIN_SEARCH(csp) returns a solution, or failure
   return BACKTRACK({ }, csp)
function BACKTRACK(assignment, csp) returns a solution, or failure
   if assignment is complete then return assignment
   var \leftarrow SELECT\_UNASSIGNED\_VARIABLE(csp)
   for each value in ORDER_DOMAIN_VALUES(var, assignment, csp) do
       if value is consistent with assignment then
           add \{var = value\} to assignment
           inferences \leftarrow INFERENCE(csp, var, value)
           if inferences \neq failure then
              add inferences to assignment
              result \leftarrow BACKTRACK(assignemnt, csp)
              if result \neq failure then return result
        remove \{var = value\} and inferences from assignment
return failure
```

## Minimum Remaining Values (MRV)

- Chooses the variable with the fewest legal values
  - ▶ Fail first

- Also known as Most Constrained Variable (MCS)
- Most likely to cause a failure soon and so pruning the search tree



## Degree heuristic

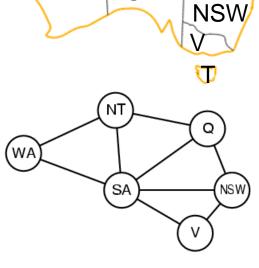
Tie-breaker among MRV variables

Degree heuristic: choose the variable with the most constraints on remaining variables

To choose one who interferes the others most!

reduction in branching factor





NT

SA

WA

#### Least constraining value

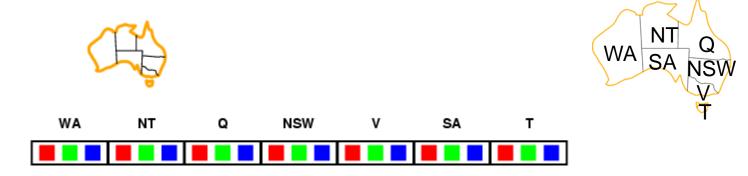
- Given a variable, choose the least constraining value:
  - one that rules out the fewest values in the remaining variables
  - leaving maximum flexibility for subsequent variable assignments
    - Fail last (the most likely values first)



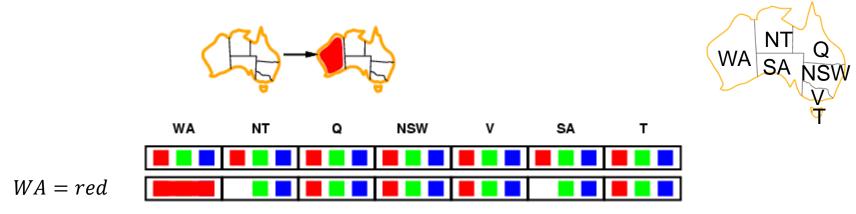
Assumption: we only need one solution



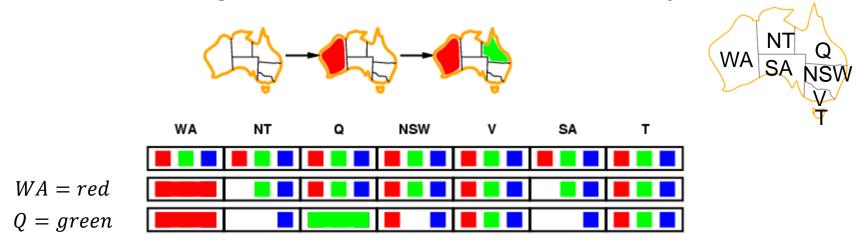
- When selecting a value for a variable, infer <u>new domain</u> <u>reductions on neighboring unassigned variables</u>.
  - Terminate search when a variable has no legal value
- ▶ When *X* is assigned, FC establishes arc-consistency for it.



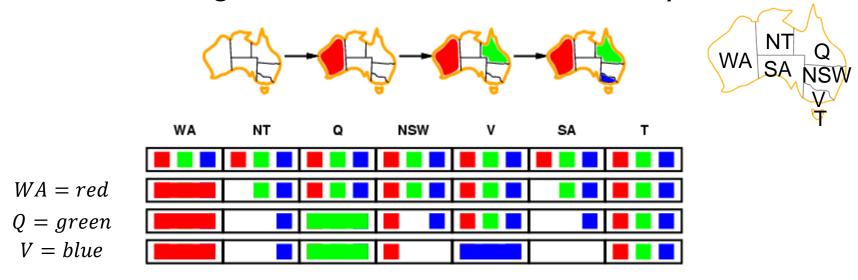
- When selecting a value for a variable, infer <u>new domain</u> <u>reductions on neighboring unassigned variables</u>.
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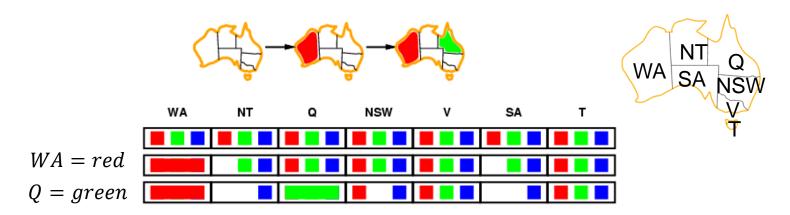


 $\Rightarrow$  {WA = red, Q = green, V = blue} is an inconsistent partial assignment

- Is this claim true? "No need to FC if arc consistency (AC-3) have been done as a preprocessing stage".
- Combination of MRV heuristic and FC is more effective.
  - ▶ FC incrementally computes the information that MRV needs.

## Constraint propagation

▶ FC makes the current variable arc-consistent but does not make all the other variables arc-consistent



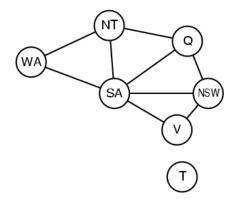
- NT and SA cannot both be blue!
  - FC does not look far enough ahead to find this inconsistency
- Maintaining Arc Consistency (MAC) Constraint propagation
  - Forward checking + recursively propagating constraints when changing domains (similar to AC-3 but only arcs related to the current variable are put in the queue at start)

#### CSP backtracking search

```
function BACKTRACKIN_SEARCH(csp) returns a solution, or failure
   return BACKTRACK({ }, csp)
function BACKTRACK(assignment, csp) returns a solution, or failure
   if assignment is complete then return assignment
   var \leftarrow SELECT\_UNASSIGNED\_VARIABLE(csp)
   for each value in ORDER_DOMAIN_VALUES(var, assignment, csp) do
       if value is consistent with assignment then
           add \{var = value\} to assignment
           inferences \leftarrow INFERENCE(csp, var, value)
           if inferences \neq failure then
              add inferences to assignment
              result \leftarrow BACKTRACK(assignemnt, csp)
              if result \neq failure then return result
        remove \{var = value\} and inferences from assignment
return failure
```

## Graph Structure

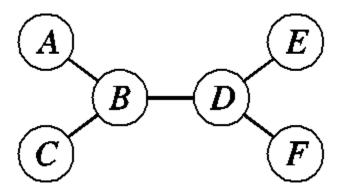
- Connected components as independent sub-problems
  - ightharpoonup The color of T is independent of those of other regions



- $\blacktriangleright$  Suppose each sub-problem has h variables out of n
  - Worst-case solution cost is  $O((n/h)(d^h))$  that is linear in n
- Example: n = 80, d = 2, h = 20 (processing:  $10^6$  nodes/sec)
  - ▶ 40 billion years
  - 4 seconds

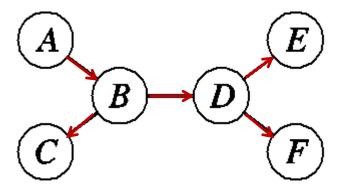
#### Tree structured CSPs

- Any two variables are connected by only one path
- ▶ Any tree-structured CSP can be solved in time <u>linear in n</u>



#### Tree structured CSPs: topological ordering

Construct a rooted tree (picking any variable to be root, ...)



 Order variables from root to leaves such that every node's parent precedes it in the ordering (topological ordering)



```
function TREE\_CSP\_SOLVER(csp) returns a solution or failure
  input: csp, a CSP with components X,D,C
  n \leftarrow number of variables in X
  assignment \leftarrow an empty assignment
  root \leftarrow any variable in X
  X \leftarrow TOPOLOGICAL(X, root)
  for j = n down to 2 do
     MAKE\_ARC\_CONSISTENT(PARENT(X_i), X_i))
     if it cannot be made consistent then return failure
  for i = 1 to n do
     assignment[X_i] \leftarrow any consistent value from D_i
     if there is no consistent value then return failure
  return assignment
```

Why doesn't this algorithm work with loops?

#### Tree structured CSP Solver

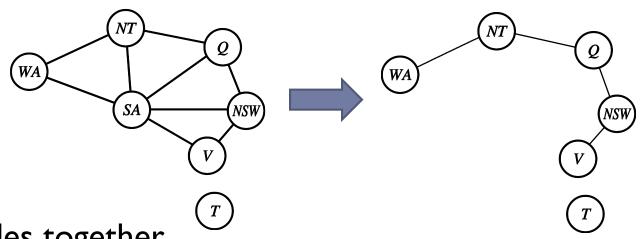
```
X \leftarrow \text{Topological Sort}
for i = n \text{ downto } 2 \text{ do}
  Make-Arc-Consistent(Parent(X_i), X_i) remove all values from domain of Parent(X_i) which may violate arc-consistency.

X_i \leftarrow \text{any remaining value in } D_i
```

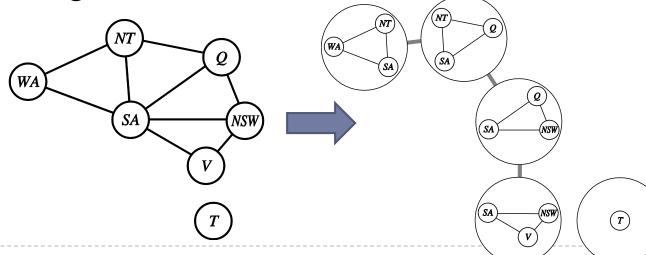
- After running loop I, any arc from a parent to its child is arcconsistent.
- $\Rightarrow$  if the constraint graph has no loops, the CSP can be solved in  $O(nd^2)$  time.

#### Reduction of general graphs into trees

Removing nodes

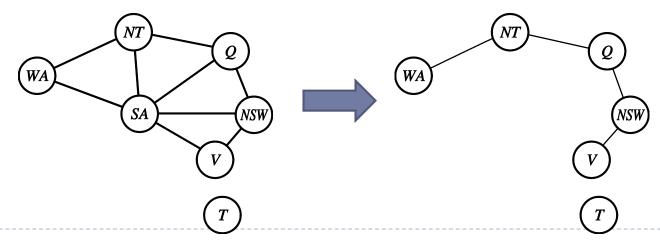


Collapsing nodes together



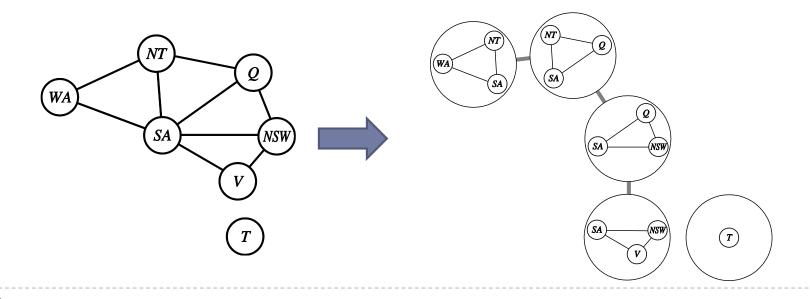
## Cut-set conditioning

- 1) Find a subset S such that the remaining graph becomes a tree
- 2) For each possible consistent assignment to S
  - a) remove inconsistent values from domains of remaining variables
  - b) solve the remaining CSP which has a tree structure
- Cutset size c gives runtime  $O((d^c)(n-c)d^2)$ 
  - $\triangleright$  very fast for small c
  - $\triangleright$  c can be as large as n-2



## Tree Decomposition

- Create a tree-structured graph of overlapping subproblems (each sub-problem as a mega-variable)
- Solve each sub-problem (enforcing local constraints)
- Solve the tree-structured CSP over mega-variables



## Solving CSPs by local search algorithms

- In the CSP formulation as a search problem, path is irrelevant, so we can use complete-state formulation
- State: an assignment of values to variables
- Successors(s): all states resulted from s by choosing a new value for a variable
- $\blacktriangleright$  Cost function h(s): Number of violated constraints
- Global minimum: h(s) = 0

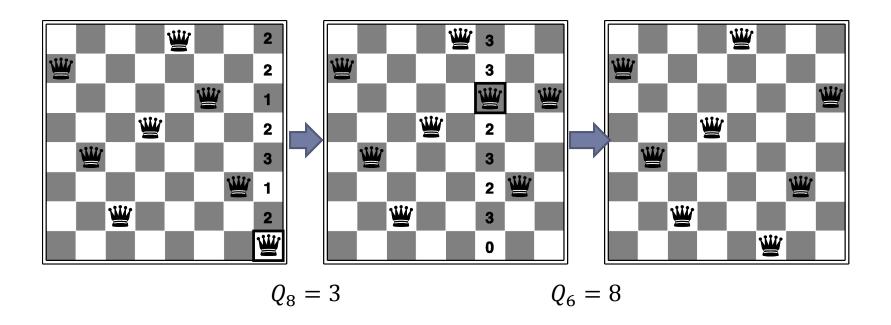
```
function MIN_CONFLICTS(csp, max_steps) returns a solution or failure
    inputs: csp, a constraint satisfaction problem
             max\_steps, the number of steps allowed before giving up
    current \leftarrow an initial complete assignment for <math>csp
    for i = 1 to max_steps do
        if current is a solution for csp then return current
        var \leftarrow a randomly chosen conflicted variable from csp.VARIABLES
        value \leftarrow \text{the value } v \text{ for } var \text{ that minimizes } CONFLICTS(var, v, current, csp)
        set var = value in current
    return failure
```

if current state is consistent then
return it
else
choose a random variable v, and change assignment of v
to a value that causes minimum conflict.

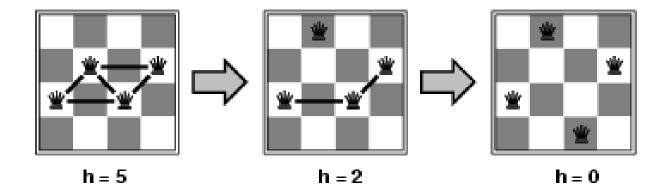
#### Local search for CSPs

- <u>Variable selection</u>: randomly select any conflicted variable
- Value selection by min-conflicts heuristic
  - choose value that violates the fewest constraints
    - i.e., hill-climbing
- Given random initial state, it can solve n-queens in almost constant time for arbitrary n with high probability
  - n = 1000000 in an average of 50 steps
- N-queens is easy for local search methods (while quite tricky for backtracking)
  - Solutions are very densely distributed in the space and any initial assignment is guaranteed to have a solution nearby.

## 8-Queens example



## 4-Queens example



#### Summary

- CSP benefits
  - Standard representation of many problems
  - Generic heuristics (no domain specific expertise)
- CSPs solvers (based on systematic search)
  - Backtracking
  - Variable ordering and value selection heuristics
  - Forward checking prevents one-step more assignments that guarantee later failure.
  - Constraint propagation: in addition to forward checking propagates constraints (to detect some inconsistencies earlier)
- Graph structure may be useful in solving CSPs efficiently.
- Iterative min-conflicts (based on local search) is usually effective in solving CSPs.