#### CS672

# Software Performance Engineering for Client/Server Systems

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1

#### Outline

- Motivating Example
- Basic Issues in SPE
- A Methodology for SPE in C/S
- Clisspe: A Language for SPE in C/S
- Benchmarking and Data Collection for SPE
- A Case Study
- Concluding Remarks

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3

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### Motivating Example

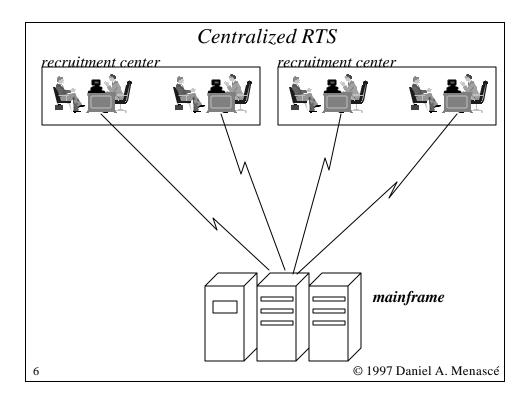
- Recruitment and Training System (RTS)
  - applicants go to recruitment centers spread all over the country.
  - a guiding counselor interviews the applicant and tries to match the applicant skills with the agency's desired skills.
  - accepted applicants are recruited and are assigned to one or more training classes where they will acquire the skills needed for the job.

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### Motivating Example

- Current system:
  - centralized,
  - database and application on a mainframe,
  - line-oriented user interface,
  - expensive to maintain and upgrade (some programs are 20 years old),
  - does not scale well with the number of users.

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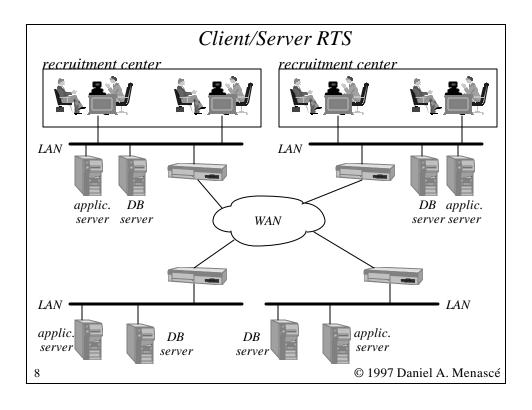


#### Motivating Example

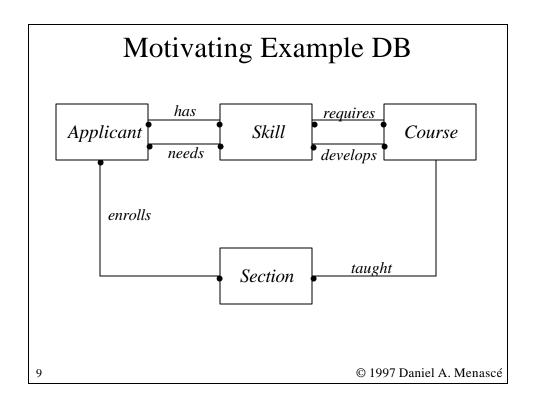
- The system will be migrated to a C/S environment with a GUI running at the clients.
- Application modules will be stored at different application servers and will be executed as needed.
- Several DB servers will store portions of the DB.

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7



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				City	Zip	Phone	Education	)II
Skill					l			
Skill	Code S	SkillName	Skill	Descri	ption	SkillM	inVal Sk	illMaxVal
Secti	seNum on	CourseN			1			
Cour	seNum	SectionN	lum S	StartD	ate Da	yTime	Location	n MaxCap

#### Motivating Example DB Tables

Cour	seNum	Sec	tionNum	SSN		CourseNum	SkillCode
		500		DDI	-	Courservain	SkiiiCode
				1			ı
Appli	icantHa.	sSki	ll		_	CourseRequi	iresSkill
SSN	SkillCo	ode	SkillValu	e		CourseNum	SkillCode
					F		
Appli	<u>icantNe</u>	edsS	Skill				
SSN	SkillCo	ode	SkillValu	e			

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# Motivating Example SPE Questions

- How "thin" should the client software be?
  - How much work should be done at the client versus at the application server?
- How should the DB be distributed?
  - how many DB servers we need?

11

12

- which tables should be stored in each DB server?
- should tables be partitioned by rows and stored at different DB servers?
- should tables be replicated and how?

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# Motivating Example SPE Questions

- What kind of hardware and OS platform should be used for the application servers?
- What kind of hardware and OS platform should be used for the DB servers?
- How many DB and application servers are needed and where should they be located?
- What type of networking technology and connectivity should be used?

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# Motivating Example SPE Questions

- What DBMS should be used to support the DB server?
- What indexes should be created on the various DB tables?

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## Motivating Example SPE General Questions

- Will the new application meet the service level requirements?
- How many clients will be supported and at what cost?

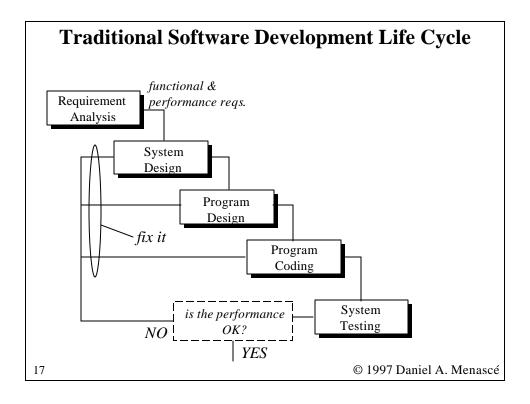
15

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#### Outline

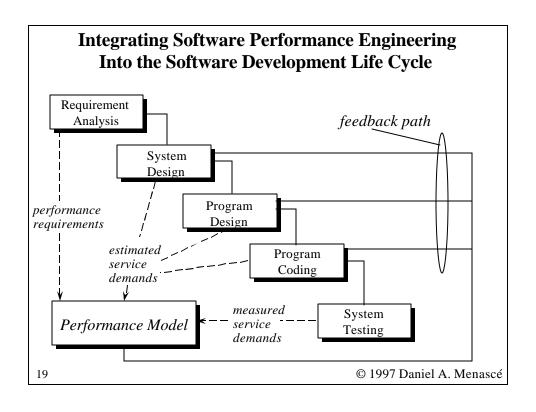
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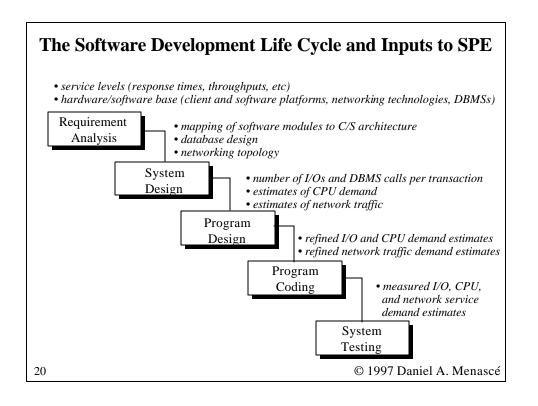
16



#### **Traditional Software Development Life Cycle**

- Common approach:
  - consider Functional Requirements only during development and check Performance Requirements at the end.
  - fix the system if performance is not good!
- Problem:
  - it is very costly and time consuming to fix the problem after the system is ready!
  - fixing the problem may imply in major software rewrites.





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21

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#### Main Steps in SPE for C/S Systems

- Understand the Environment:
  - determine the critical transactions using the 80/20 rule: 20% of transactions that are likely to use 80% of the resources.
  - determine the cost and technology constraints
     (e.g., what client and server H/S platforms should be used, what networking technologies are to be used)
  - determine the service levels for the critical transactions.
  - determine the base C/S architecture.
  - is there a mainframe version of the application?

22

#### Main Steps in SPE for C/S Systems

- Characterize the Workload:
  - for each critical transaction, find:
    - estimated workload intensity (if there is a mainframe based system, get these from there).
    - estimated service demands for:
      - client and server processors
      - client and server disks
      - LAN segments
      - WANs
      - routers

23

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### Main Steps in SPE for C/S Systems

- Build a Performance Model:
  - build a performance (typically a queuing network model) that corresponds to the complete system.
- Solve the Performance Model:
  - obtain response times and throughputs per transaction
  - obtain a break down of response time per device
  - determine bottlenecks.

24

#### Main Steps in SPE for C/S Systems

- Performance Assessment:
  - compare estimated performance metrics with service levels.
  - if performance is poor, verify where transactions spend most of their time and give feedback to system designers to cause changes in:
    - software architecture
    - work distribution between clients and servers
    - database allocation to servers
    - allocation of servers to networks
    - other

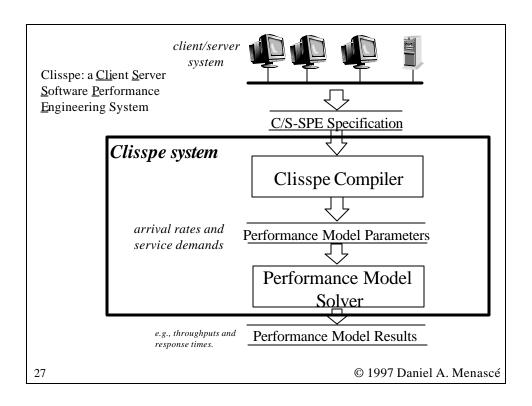
25

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### Clisspe: a <u>Cli</u>ent <u>Server Software</u> <u>Performance Engineering language</u>

- Declaration Section
- Mapping Section
- Transaction Section

#### Clisspe Declaration Section

- clients and client types
- servers and server types
- disks and disk types
- database management systems
- database tables
- networks and network types
- transactions
- remote procedure calls
- numeric constants

29

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### Example of Clisspe Declaration Section for RTS System

model rts

```
declaration! declaration section for RTS example dbms Oracle page_size= 2048;

! client types and client declarations client_type Pentium120 specint92= 133 specfp92= 99 IO_benchmark (a= 0.001, b= 0.5);

client gc_DC type= Pentium120 number= 100 disk dsk01 seek= 0.01 latency= 0.00833 xfer_rate= 10;

client gc_LA type= Pentium120 number= 50 disk dsk01 seek= 0.01 latency= 0.00833 xfer_rate= 10;

client gc_WA type= Pentium120 number= 80 disk dsk01 seek= 0.01 latency= 0.00833 xfer_rate= 10;
```

30

```
! server types
server_type IBM_RS/6000_M43P133 ! DB server type
specint_92= 176.4 specfp_92= 156.5
IO_benchmark (a= 0.00005, b= 0.06);

server_type IBM_RS/6000_M43P120 ! application server type
specint_92= 157.9 specfp_92= 139.2
IO_benchmark (a= 0.00005, b= 0.06);
```

31

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### Example of Clisspe Declaration Section for RTS System (continued)

```
! application servers
server appl_DC type= IBM_RS/6000_M43P120
num_CPUs= 1
disk dsk01 seek= 0.015 latency= 0.00833 xfer_rate= 10;
server appl_LA type= IBM_RS/6000_M43P120
num_CPUs= 1
disk dsk01 seek= 0.015 latency= 0.00833 xfer_rate= 10;
server appl_WA type= IBM_RS/6000_M43P120
num_CPUs= 1
disk dsk01 seek= 0.015 latency= 0.00833 xfer_rate= 10;
```

32

```
! database servers
 disk_type ServerDisk seek= 0.015 latency= 0.00833 xfer_rate= 10;
 server DBserver_DC type= IBM_RS/6000_M43P133
   dbms = Oracle DB_BuffSize = 8192 num_CPUs = 2
   disk dsk01 type= ServerDisk disk dsk02 type= ServerDisk
   disk dsk03 type= ServerDisk;
 server DBserver_LA type= IBM_RS/6000_M43P133
   dbms = Oracle DB_BuffSize = 8192 num_CPUs = 2
   disk dsk01 type= ServerDisk disk dsk02 type= ServerDisk disk
   dsk03 type=ServerDisk;
 server DBserver_WA type= IBM_RS/6000_M43P133
   dbms = Oracle DB_BuffSize = 8192 num_CPUs = 2
   disk dsk01 type= ServerDisk disk dsk02 type= ServerDisk
   disk dsk03 type= ServerDisk;
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33
```

### Example of Clisspe Declaration Section for RTS System (continued)

table enrollment num\_rows= 400000 row\_size= 20 dbms =oracle columns = (coursenum/1000, SectionNum/10, ssn) index= (key= (coursenum, SectionNum) btree clustered);

table ApplicantHasSkill num\_rows= 5000000 row\_size= 16 dbms= Oracle columns= (ssn/1000000, SkillCode/200, SkillValue/4) index= (key= (ssn) key\_size= 9 btree clustered);

35

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### Example of Clisspe Declaration Section for RTS System (continued)

```
table ApplicantNeedsSkill num_rows= 5000000 row_size= 16 dbms= Oracle columns= (ssn/1000000, SkillCode/200, SkillValue/4) index= (key= (ssn) key_size= 9 btree clustered);
```

```
table CourseDevelopsSkill num_rows= 3000 row_size= 12 dbms= Oracle columns= (coursenum/1000, SkillCode /200) index= (key= (coursenum) key_size= 4 btree clustered);
```

36

```
! network declarations
network_type RecruitmentLan bandwidth= 10 type= Ethernet;
network_type CenterLan bandwidth= 100 type= Fast_Ethernet;
network_type Enterprise bandwidth= 45 type= WAN;

network LA_LAN type= RecruitmentLan;
network NY_LAN type= RecruitmentLan;
network WA_LAN type= RecruitmentLan;
network Center_Lan type= CenterLan;
network EnterpriseNet type= Enterprise;
```

37

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### Example of Clisspe Declaration Section for RTS System (continued)

```
transaction apply rate= 0.001;
transaction check_skills rate= 0.002;
transaction enroll rate= 0.0001;

! rpc declarations
rpc RPCtoApplServer
local_time= 0.0015 benchmark= 30 (specint92)
remote_time= 0.0030 benchmark= 40 (specint92)
nbytes= 2048;

end_declaration;

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```

#### Clisspe Mapping Section

- clients to networks
- servers to networks
- DB tables to servers
- transactions to clients
- network paths definitions
- transactions to network paths

39

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### Example of Clisspe Mapping Section for RTS System

#### mapping

! mapping of servers to networks server appl\_DC is\_in network DC\_LAN; server appl\_LA is\_in network LA\_LAN; server appl\_SE is\_in network SE\_LAN; server DBServer is\_in network CenterLan;

40

! mapping of clients to networks client gc\_DC is\_in network DC\_LAN; client gc\_LA is\_in network LA\_LAN; client gc\_SE is\_in network SE\_LAN;

41

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### Example of Clisspe Mapping Section for RTS System (continued)

```
! mapping of tables to servers
table applicant is_in server DBServer
(dsk01: 0.3, dsk02: 0.3, dsk03: 0.4);
table skill is_in server DBServer
(dsk01: 1.0);
table course is_in server DBServer
(dsk02: 1.0);
table section is_in server DBServer
(dsk01: 0.3, dsk02: 0.3, dsk03: 0.4);
```

42

table enrollment is\_in server DBServer
(dsk01: 0.3, dsk02: 0.3, dsk03: 0.4);
table ApplicantHasSkill is\_in server DBServer
(dsk01: 0.3, dsk02: 0.3, dsk03: 0.4);
table ApplicantNeedsSkill is\_in server DBServer
(dsk01: 0.3, dsk02: 0.3, dsk03: 0.4);
table CourseDevelopsSkill is\_in server DBServer
(dsk01: 0.3, dsk02: 0.3, dsk03: 0.4);

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### Example of Clisspe Mapping Section for RTS System (continued)

! mapping of transactions
transaction applyDC submitted\_by
client gc\_DC percent\_rate= 0.2;
transaction applyLA submitted\_by
client gc\_LA percent\_rate= 0.35;
transaction applySE submitted\_by
client gc\_SE percent\_rate= 0.45;

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44

transaction check\_skillsDC submitted\_by client gc\_DC percent\_rate= 0.2; transaction check\_skillsLA submitted\_by client gc\_LA percent\_rate= 0.35; transaction check\_skillsSE submitted\_by client gc\_SE percent\_rate= 0.45;

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### Example of Clisspe Mapping Section for RTS System (continued)

transaction enrollDC submitted\_by client gc\_DC percent\_rate= 0.2; transaction enrollLA submitted\_by client gc\_LA percent\_rate= 0.35; transaction enrollSE submitted\_by client gc\_SE percent\_rate= 0.45;

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. . .

! network paths to application servers
net\_path applDC from client gc\_DC
to server appl\_DC via networks DC\_LAN;
net\_path applLA from client gc\_LA
to server appl\_LA via networks LA\_LAN;
net\_path applSE from client gc\_SE
to server appl\_SE via networks SE\_LAN;

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### Example of Clisspe Mapping Section for RTS System (continued)

! network paths from application to DB servers
net\_path dbaccessDC from client gc\_DC
to server appl\_DC to server DBServer
via networks DC\_LAN, EnterpriseNet, CenterLan;
net\_path dbaccessLA from client gc\_LA
to server appl\_LA to server DBServer
via networks LA\_LAN, EnterpriseNet, CenterLan;
net\_path dbaccessSE from client gc\_SE
to server appl\_SE to server DBServer
via networks SE\_LAN, EnterpriseNet, CenterLan;

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! mapping of transactions to network paths
transaction applyDC uses net\_path applDC routing\_frequency= 1.0;
transaction applyLA uses net\_path applLA routing\_frequency= 1.0;
transaction applySE uses net\_path applSE routing\_frequency= 1.0;
transaction applyDC uses net\_path dbaccessDC routing\_frequency= 1.0;
transaction applyLA uses net\_path dbaccessLA routing\_frequency= 1.0;
transaction applySE uses net\_path dbaccessSE routing\_frequency= 1.0;

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49

### Example of Clisspe Mapping Section for RTS System (continued)

transaction check\_skillsDC uses net\_path applDC
routing\_frequency= 1.0;

transaction check\_skillsLA uses net\_path applLA
routing\_frequency= 1.0;

transaction check\_skillsSE uses net\_path applSE
routing\_frequency= 1.0;

transaction check\_skillsDC uses net\_path dbaccessDC
routing\_frequency= 1.0;

transaction check\_skillsLA uses net\_path dbaccessLA
routing\_frequency= 1.0;

transaction check\_skillsSE uses net\_path dbaccessSE
routing\_frequency= 1.0;

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51

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#### Clisspe Transaction Section Statements

- DB query (select)
- DB update
- rpc
- compute
- if then else
- switch
- loop

52

```
! transaction apply
transaction applyDC running_on client
rpc RPCtoApplServer to_server appl_DC;
end_transaction;

transaction applyDC running_on server appl_DC
! check if applicant exists
select from applicant where ssn;
! in ten percent of the cases the applicant is already in the DB
if 0.9
then! add applicant to database
update applicant num_rows= 1;
end_if;
end_transaction;!applyDC

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```

3 1777 Dumer 111 111 1110 1110 11

### Example of Clisspe Transaction Section for RTS System (continued)

53

```
! transaction check_skills

transaction check_skillsDC running_on client
! check if applicant exists

rpc RPCtoApplServer to_server appl_DC;
! if applicant exists check applicant skills

if 0.9

then rpc RPCtoApplServer to_server appl_DC;
end_if;
end_transaction;
```

```
transaction check_skillsDC running_on server appl_DC
! check if applicant exists
select from applicant where ssn;
! if applicant exists check applicant skills
if 0.9
then! find all courses the applicant qualifies for
select from ApplicantHasSkill where ssn
from CourseRequiresSkill where CourseNum
joined_by ApplicantHasSkill.SkillCode =
CourseRequiresSkill.SkillCode;
end_if;
end_transaction;

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```

### Example of Clisspe Transaction Section for RTS System (continued)

55

56

```
transaction enrollDC running_on client
! for all courses to be enrolled
loop #avg_courses_enrolled
! check seat availability for all sections
loop #sections_checked
    rpc RPCtoApplServer to_server appl_DC;
end_loop;
! enroll applicant in section
    rpc RPCtoApplServer to_server appl_DC;
end_loop;
end_loop;
end_transaction;
```

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transaction enrollDC running\_on server appl\_DC
! for all courses to be enrolled
loop #avg\_courses\_enrolled
! check seat availability for all sections
loop #sections\_checked
 select from enrollment where coursenum;
end\_loop;
! enroll applicant in section
 update enrollment num\_rows= 1;
end\_loop;
end\_transaction;

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#### Service Demand Generation

$$D_{i,r} = \sum_{s \in S_{i,r}} n_s \times p_s \times D_{i,r}^s$$

where,

 $D_{i,r}^s$ : average service demand at device i for class r due to statement s.

 $S_{i,r}$ : set of statements that generate demands at device i for class r.

 $n_s$ : average number of times that statement s is executed.

 $p_s$ : probability that statement s is executed.

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58

#### Service Demand Generation

$$p_{s1} = 0.3$$

$$p_{s2} = 0.3$$

$$p_{s3} = 0.7$$

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#### Service Demand Generation

switch

59

s3;

case 0.6: s4;

end\_switch;

$$p_{\rm s1} = 0.1$$

$$p_{s1} = 0.1$$
  
 $p_{s2} = 0.3$   
 $p_{s3} = 0.3$   
 $p_{s4} = 0.6$ 

$$p_{s3} = 0.3$$

$$p_{s4} = 0.6$$

#### Service Demand Generation

```
loop 3.5
s1;
n_{s1} = 3.5
n_{s2} = 3.5
s3;
n_{s3} = 3.5
end_loop;
```

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61

#### Service Demand Generation

```
loop 3.5

if 0.3

then s1;

loop 2

s2;

end_loop;

else s3;

end_if;

end_loop;

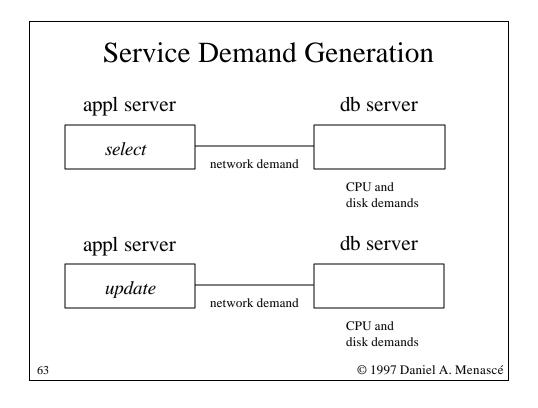
62

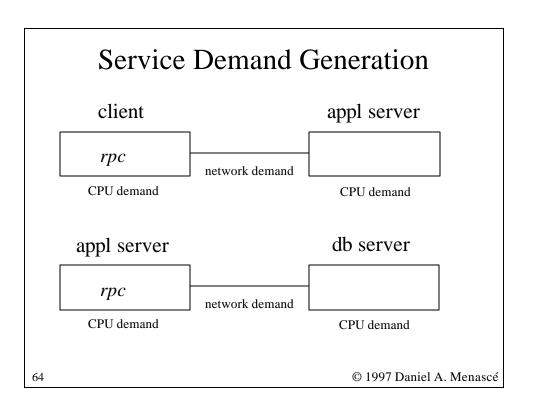
\begin{array}{c|ccccc}
 & n_s & p_s \\
\hline
s_1 & 3.5 & 0.3 \\
s_2 & 7.0 & 0.3 \\
s_3 & 3.5 & 0.7
\end{array}

else s3;

end_if;

end_loop;
```





#### Service Demand Generation

client or server

compute

CPU demand

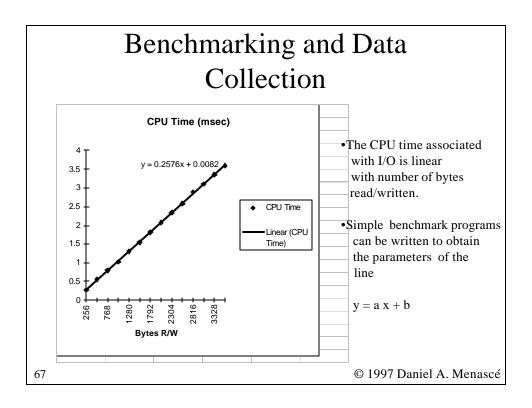
65

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66



## Benchmarking and Data Collection

The  $server\_type$  command in Clisspe (see below) requires that the parameters a and b for the server be provided.

server\_type SUNSparc20 specint92= 150 specfp92= 230 IO\_benchmark (a= 0.2576, b= 0.0082, specint92= 100);

## Benchmarking and Data Collection

- 1. Create a file called IO\_bench with at least 800 blocks of 512 bytes each.
- 2. Write a program called IO\_time with the following pseudo code:

69

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### Benchmarking and Data Collection

3. Write a program called Loop\_time with the pseudo code given below. This program is identical to program IO\_time except that it does not have the read statement inside the loop

```
#define Nblocks \mathbf{n} /* the value of this constant will change for each execution of this program *, #define MAXBLOCKS 800 /* maximum number of blocks */ main () { int i, k, b; /* repeat experiment several times */ for (k=1; k <= 50; ++k) { for (i = 1; i <= n;, ++i) { select an integer random number b between 1 and MAXBLOCKS; } } } }
```

70

### Benchmarking and Data Collection

- 4. Run program  $IO_{time}$  for n=1, 5, 10, 15, and 20. For each execution of the program obtain the CPU time (not the elapsed time) and divide it by 50.
- 5. Run program Loop\_time for n = 1, 5, 10, 15, and 20. For each execution of the program obtain the CPU time (not the elapsed time) and divide it by 50.
- 6. Build the following table where the value in the last column is equal to the value in column 2 minus the value in column 3.

N	CPU time for IO_time / 50	CPU time for Loop_time / 50	number of bytes (x)	CPU time for I/O in msec (y)
1			512	
5			2560	
10			5120	
15			7680	
20			10240	

Apply linear regression to the last two columns of the table above to obtain the relationship y = a x + b

71

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>>> List	of Devices of the Per	rformance Mo	odel
Dev. No.	Name	#Servers	Туре
1	CL:gc_DC	1	Client
2	CL:gc_LA	1	Client
3	CL:gc_SE	1	Client
4	SP:appl_DC	1	Server Processor
5	SD:04:dsk01	1	Server Disk
6	SP:appl_LA	1	Server Processor
7	SD:06:dsk01	1	Server Disk
8	SP:appl_SE	1	Server Processor
9	SD:08:dsk01	1	Server Disk
10	SP:DBServer	2	Server Processor
11	SD:10:dsk01	1	Server Disk
12	SD:10:dsk02	1	Server Disk
13	SD:10:dsk03	1	Server Disk
14	NT:DC_LAN	1	Network
15	NT:LA_LAN	1	Network
16	NT:SE_LAN	1	Network
17	NT:CenterLan	1	Network
18	NT: EnterpriseNet	1	Network
73		0	1997 Daniel A. Menascé

>>> List of	Workloads of the Performa	nce Model
Workload No.	Workload Name	Arr. Rate (tps)
1	applyDC:gc_DC	0.02000
2	applyLA:gc_LA	0.01750
3	applySE:gc_SE	0.03600
4	check_skillsDC:gc_DC	0.04000
5	check_skillsLA:gc_LA	0.03500
6	check_skillsSE:gc_SE	0.07200
7	enrollDC:gc_DC	0.00200
8	enrollLA:qc LA	0.00175
9	enrollSE:gc_SE	
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```
>>> Matrix of service demands (in sec):
                                                          5
                           2
                                     3
                                               4
               1
      1
          0.00034
                     0.00000
                               0.00000
                                           0.00064
                                                    0.00000
                                                                 . . .
          0.00000
                     0.00034
                               0.00000
                                           0.00000
                                                    0.00064
                                                                 . . .
                                                     0.00000
          0.00000
                     0.00000
                                0.00034
                                           0.00000
      3
                                                                 . . .
      4
          0.00195
                     0.00000
                                0.00000
                                           0.00386
                                                      0.00000
                                                                 . . .
      5
          0.00000
                     0.00000
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75
```

>>> Response Times			
	Transaction Name	Resp. Time	Throughput
		(sec)	(tps)
	applyDC:gc_DC	0.0929	0.02000
	applyLA:gc_LA	0.0929	0.01750
	applySE:gc_SE	0.0929	0.03600
	check_skillsDC:gc_DC	0.1513	0.04000
	check_skillsLA:qc_LA	0.1513	0.03500
	check_skillsSE:gc_SE	0.1513	0.07200
	enrollDC:gc_DC	0.9772	0.00200
	enrollLA:gc_LA	0.9772	0.00175
	enrollSE:gc_SE	0.9774	0.00360
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>>> Bottleneck Analysis

Transaction Name	Bottleneck	% Contr.
applyDC:gc_DC	SD:10:dsk03	37.02
applyLA:gc_LA	SD:10:dsk03	37.02
applySE:gc_SE	SD:10:dsk03	37.02
check_skillsDC:gc_DC	SD:10:dsk03	36.57
check_skillsLA:gc_LA	SD:10:dsk03	36.57
check_skillsSE:gc_SE	SD:10:dsk03	36.57
enrollDC:gc_DC	SD:10:dsk03	27.30
enrollLA:gc_LA	SD:10:dsk03	27.30
enrollSE:gc_SE	SD:10:dsk03	27.30

77

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#### Outline

- Motivating Example
- Basic Issues in SPE
- A Methodology for SPE in C/S
- Clisspe: A Language for SPE in C/S
- Benchmarking and Data Collection for SPE
- A Case Study
- Concluding Remarks

78

#### Concluding Remarks

- Software performance engineering for C/S systems requires a language to describe the clients, servers, networks, connectivity, DB tables, mappings, and transactions.
- The compiler for the language should generate a performance model that generates performance metrics for the C/S system.

79