

# Category Theory Course Notes

Ettore Forigo



# Chapter 1

## 1.1 Definition of Category

A **category** (1-category)  $\mathcal{C}$  consists of:

- 1 - A class  $Ob(\mathcal{C})$  of objects of  $\mathcal{C}$
- 2 -  $\forall X, Y \in Ob(\mathcal{C})$ .  
a class  $Hom_{\mathcal{C}}(X, Y)$  of **morphisms** from  $X$  to  $Y$
- 3 -  $\forall X \in Ob(\mathcal{C})$ .  
an **identity morphism**  $id_X \in Hom_{\mathcal{C}}(X, X)$
- 4 -  $\forall X, Y, Z \in Ob(\mathcal{C})$ .  
a **composition rule**:

$$Hom_{\mathcal{C}}(Y, Z) \times Hom_{\mathcal{C}}(X, Y) \rightarrow Hom_{\mathcal{C}}(X, Z) \\ (g, f) \mapsto g \circ f$$

Such that it satisfies the following axioms:

- 1 - **Associativity of composition**:

$$\forall X, Y, Z, W \in Ob(\mathcal{C}). \\ \forall f \in Hom_{\mathcal{C}}(X, Y), g \in Hom_{\mathcal{C}}(Y, Z), h \in Hom_{\mathcal{C}}(Z, W). \\ h \circ (g \circ f) = (h \circ g) \circ f$$

- 2 - **Neutrality**:

$$\forall X, Y \in Ob(\mathcal{C}). \\ \forall f \in Hom_{\mathcal{C}}(X, Y). \\ id_Y \circ f = f \wedge f \circ id_X = f$$

## 1.2 Thin Categories

A category is **thin** if parallel morphisms are always the same, meaning that there is only one morphism between two objects.

In a thin category all morphisms are monic and epic.

## 1.3 Definition of Initial Object

An object  $I$  of a category  $\mathcal{C}$  is **initial** (dual of terminal, special case of a colimit (of a functor from  $\mathcal{C}$  to the empty category))

$$\begin{array}{c} \Downarrow \\ \forall X \in \text{Ob}(\mathcal{C}). \\ \exists ! f \in \text{Hom}_{\mathcal{C}}(I, X) \end{array}$$

## 1.4 Definition of Terminal Object

An object  $T$  of a category  $\mathcal{C}$  is **terminal** (dual of initial, special case of limit (of a functor from the empty category to  $\mathcal{C}$ ))

$$\begin{array}{c} \Downarrow \\ \forall X \in \text{Ob}(\mathcal{C}). \\ \exists ! f \in \text{Hom}_{\mathcal{C}}(X, T) \end{array}$$

## 1.5 Definition of Monomorphism

A morphism  $f : X \rightarrow Y$  in a category  $\mathcal{C}$  ( $f \in \text{Hom}_{\mathcal{C}}(X, Y)$ ) is a **monomorphism** (or monic in  $\mathcal{C}$ ) (dual of epimorphism)

$$\begin{array}{c} \Downarrow \\ \forall Z \in \text{Ob}(\mathcal{C}). \forall p, q \in \text{Hom}_{\mathcal{C}}(Z, X). \\ f \circ p = f \circ q \implies p = q \end{array}$$

Example:

In **Set** monomorphisms are precisely the injective maps.

Monomorphisms “can be cancelled” from the left.

## 1.6 Definition of Split Monomorphism

A **split monomorphism** (dual of split epi) is a morphism  $f : X \rightarrow Y$  such that there exists a morphism  $g : Y \rightarrow X$  such that:

$$g \circ f = id_X$$

Proposition: every split mono is a mono.

Proposition: in **Set**, every mono  $f : X \rightarrow Y$  where  $X$  is inhabited is a split mono, assuming LEM holds.

## 1.7 Definition of Epimorphism

A morphism  $f : X \rightarrow Y$  in a category  $\mathcal{C}$  ( $f \in Hom_{\mathcal{C}}(X, Y)$ ) is an **epimorphism** (or epic in  $\mathcal{C}$ ) (dual of monomorphism)

$$\begin{aligned} & \Updownarrow \\ & \forall Z \in Ob(\mathcal{C}). \forall p, q \in Hom_{\mathcal{C}}(Y, Z). \\ & p \circ f = q \circ f \implies p = q \end{aligned}$$

Example:

In **Set** epimorphisms are precisely the surjective maps.

Epimorphisms “can be cancelled” from the right.

## 1.8 Definition of Split Epimorphism

A **split epimorphism** (dual of split mono) is a morphism  $f : X \rightarrow Y$  such that there exists a morphism  $g : Y \rightarrow X$  such that:

$$f \circ g = id_Y$$

Proposition: every split epi is an epi.

Proposition: in **Set**, every epi is a split epi  $\iff$  assuming LEM holds.

## 1.9 Definition of Isomorphism

A morphism  $f : X \rightarrow Y$  in a category  $\mathcal{C}$  ( $f \in Hom_{\mathcal{C}}(X, Y)$ ) is an **isomorphism**

$$\begin{aligned} & \Updownarrow \\ & \exists g \in Hom_{\mathcal{C}}(Y, X). \\ & f \circ g = id_Y \wedge g \circ f = id_X \end{aligned}$$

$id_X \forall X \in Ob(\mathcal{C})$  is always an isomorphisms for every category  $\mathcal{C}$ .

Objects  $X$  and  $Y$  in a category  $\mathcal{C}$  are **isomorphic**

$$\begin{aligned} & \Updownarrow \\ & \text{there exists an isomorphism between } X \text{ and } Y \text{ } (X \cong Y) \end{aligned}$$

In **Set**, if there exists an isomorphism between  $X$  and  $Y$ ,  $X$  and  $Y$  are called equinumerous.

## 1.10 Definition of Opposite Category

“The mother of all dualities”

Let  $\mathcal{C}$  be a category. Then its opposite category  $\mathcal{C}^{op}$  is the following category:

- $Ob(\mathcal{C}^{op}) := Ob(\mathcal{C})$
- $Hom_{\mathcal{C}^{op}}(X, Y) := Hom_{\mathcal{C}}(Y, X)$
- identities and composition inherited from  $\mathcal{C}$   
 $id_X \in Hom_{\mathcal{C}}(X, X) = id_X^{op} \in Hom_{\mathcal{C}^{op}}(X, X)$   
 $f \circ g := g^{op} \circ f^{op}$

Observations / Remarks:

- An object  $I$  of  $\mathcal{C}$  is initial in  $\mathcal{C}$   
 $\Updownarrow$   
 $I$  is terminal when regarded as an object of  $\mathcal{C}^{op}$
- A morphism in  $\mathcal{C}$  is a monomorphism  
 $\Updownarrow$   
it is an epimorphism in  $\mathcal{C}^{op}$

## 1.11 Dualities?

## 1.12 Definition of Product

A **product** (special case of limit) of two objects  $X$  and  $Y$  in a category  $\mathcal{C}$  consists of:

- an object  $P$  of  $\mathcal{C}$
- a morphism  $\pi_X : P \rightarrow X$  in  $\mathcal{C}$
- a morphism  $\pi_Y : P \rightarrow Y$  in  $\mathcal{C}$

such that for every object  $Q$  of  $\mathcal{C}$  together with morphisms  $\varphi_X : Q \rightarrow X, \varphi_Y : Q \rightarrow Y$  there is exactly one morphism  $Q \rightarrow P$  such that the following diagram commutes:

$$\begin{aligned}\varphi_X &= \pi_X \circ ! \\ \varphi_Y &= \pi_Y \circ !\end{aligned}$$

Remarks:

- Products are always associative and commutative up to isomorphism.
- There is also the notion of the (co) product of zero, one, three, four, ... objects.
- The zero case of a product is just a terminal object, an object with exactly one morphism from each object.

## 1.13 Definition of Coproducts

A **coproduct** (special case of colimits) of two objects  $X$  and  $Y$  in a category  $\mathcal{C}$  consists of:

- an object  $C$  of  $\mathcal{C}$
- a morphism  $\iota_X : X \rightarrow C$  in  $\mathcal{C}$
- a morphism  $\iota_Y : Y \rightarrow C$  in  $\mathcal{C}$

such that for every object  $D$  of  $\mathcal{C}$  together with morphisms  $\chi_X : X \rightarrow D, \chi_Y : Y \rightarrow D$  there is exactly one morphism  $C \rightarrow D$  which renders the following diagram commutative:

$$\begin{aligned}\chi_X &= ! \circ \iota_X \\ \chi_Y &= ! \circ \iota_Y\end{aligned}$$

Remarks:

- Products in  $\mathcal{C}^{op}$  are precisely coproducts in  $\mathcal{C}$
- The zero case of a coproduct is the same as an initial object.

## 1.14 Definition of Functor

A (covariant) **functor**  $F : \mathcal{C} \rightarrow \mathcal{D}$  from a category  $\mathcal{C}$  to a category  $\mathcal{D}$  consists of:

- an object  $F(X) \in \text{Ob}(\mathcal{D})$  for each object  $X \in \text{Ob}(\mathcal{C})$
- a morphism  $F(f) : F(X) \rightarrow F(Y)$  in  $\mathcal{D}$  for each morphism  $f : X \rightarrow Y$  in  $\mathcal{C}$

such that:

- $\forall X \in \text{Ob}(\mathcal{C}). F(\text{id}_X) = \text{id}_{F(X)}$
- $\forall X, Y, Z \in \text{Ob}(\mathcal{C}). \forall f : X \rightarrow Y \in \mathcal{C}, g : Y \rightarrow Z \text{ in } \mathcal{C}. F(g \circ f) = F(g) \circ F(f)$

Motto:

Functors  $\mathcal{I} \rightarrow \mathcal{C}$  are  $\mathcal{I}$ -shaped **diagrams** in  $\mathcal{C}$

## 1.15 Definition of Contravariant Functor

A **contravariant functor**  $\mathcal{C} \rightarrow \mathcal{D}$  is a covariant functor  $\mathcal{C}^{op} \rightarrow \mathcal{D}$

## 1.16 Forgetful Functors?

## 1.17 Powerset Functor[s?]?

## 1.18 Definition of Discrete Category

The **discrete category** associated with a set  $X$ , written  $\mathcal{D}(X)$ , is a category containing all the objects of  $X$  as objects, and no morphisms between different objects, just the identity morphisms.

## 1.19 Definition of Induced Functors

Claim:

Any map between sets can be turned into a functor.

Let  $f : X \rightarrow Y$  be a map between sets.

Consider the discrete categories  $\mathcal{D}(X), \mathcal{D}(Y)$ .

Then  $f$  induces the following functor  $\mathcal{D}(X) \rightarrow \mathcal{D}(Y)$ :

$$\begin{aligned} x &\mapsto f(x) \\ \text{id}_x &\mapsto \text{id}_{f(x)} \end{aligned}$$



**1.20 Definition of the Walking Arrow?****1.21 Definition of the Walking Commutative Triangle?****1.22 Definition of Essentially Surjective Functor**

A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is **essentially surjective** iff:

$$\forall Y \in Ob(\mathcal{D}). \exists X \in Ob(\mathcal{C}) | F(X) \cong Y$$

**1.23 Definition of Faithful Functor**

A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is **faithful** iff:

$$\begin{aligned} &\forall X, Y \in Ob(\mathcal{C}). \\ &\forall f, g : X \rightarrow Y \text{ in } \mathcal{C} \\ &F(f) = F(g) \implies f = g \end{aligned}$$

Reformulation: iff

$$\begin{aligned} &\forall X, Y \in Ob(\mathcal{C}). \\ &Hom_{\mathcal{C}}(X, Y) \rightarrow Hom_{\mathcal{D}}(F(X), F(Y)) \\ &f \mapsto F(f) \end{aligned}$$

is injective.

**1.24 Definition of Full Functor**

A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is **full** iff:

$$\begin{aligned} &\forall X, Y \in Ob(\mathcal{C}). \\ &\forall g : F(X) \rightarrow F(Y) \text{ in } \mathcal{D} \\ &\exists f : X \rightarrow Y \text{ in } \mathcal{C} | F(f) = g \end{aligned}$$

Reformulation: iff

$$\begin{aligned} &\forall X, Y \in Ob(\mathcal{C}). \\ &Hom_{\mathcal{C}}(X, Y) \rightarrow Hom_{\mathcal{D}}(F(X), F(Y)) \\ &f \mapsto F(f) \end{aligned}$$

is surjective.

## 1.25 Definition of Fully Faithful Functor

A functor is **fully faithful** iff it is full and faithful.

Reformulation: iff

$$\begin{aligned} \forall X, Y \in \text{Ob}(\mathcal{C}). \\ \text{Hom}_{\mathcal{C}}(X, Y) &\rightarrow \text{Hom}_{\mathcal{D}}(F(X), F(Y)) \\ f &\mapsto F(f) \end{aligned}$$

is bijective.

## 1.26 Definition of Elementary Equivalence

An **elementary equivalence** is a fully faithful, essentially surjective functor.

## 1.27 Definition of Equivalence of Categories

Categories are called **equivalent** iff there is an elementary equivalence between them.

Remark: Equivalent categories have exactly the same categorical properties.

## 1.28 Definition of Natural Transformation

A **natural transformation**  $\eta : F \Rightarrow G$  between two functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  consists of:

- for each object  $X \in \text{Ob}(\mathcal{C})$  a morphism  $\eta_X : F(X) \rightarrow G(X)$  in  $\mathcal{D}$

such that for all morphisms  $f : X \rightarrow Y$  in  $\mathcal{C}$ , the **naturality square** commutes:

$$G(f) \circ \eta_X = \eta_Y \circ F(f)$$

Motto:

Natural transformations are **uniform** families of morphisms.

## 1.29 Definition of Functor Category

Let  $\mathcal{C}, \mathcal{D}$  be categories.

The **functor category**  $[\mathcal{C}, \mathcal{D}]$  has:

- as objects: all functors  $\mathcal{C} \rightarrow \mathcal{D}$
- as morphisms:  $Hom_{[\mathcal{C}, \mathcal{D}]}(F, G) := \{h : F \Rightarrow G | h \text{ is a natural transformation}\}$
- as identity: for the object  $F$ , the identity  $id_F : F \Rightarrow F$   
 $(id_F)_X : F(X) \rightarrow F(X)$   
given by  $id_{F(X)}$
- as composition rule:  
 $(\omega \circ \eta)_X := \omega_X \circ \eta_X$   
 $\omega_X : G(X) \rightarrow H(X)$   
 $\eta_X : F(X) \rightarrow G(X)$

and  $\omega \circ \eta$  should be natural.

### 1.30 Definition of Small Category

A category  $\mathcal{C}$  is small when  $Ob(\mathcal{C})$  is just a set and not a proper class.

### 1.31 Definition of Category of Categories

The **1-category of 1-categories**, **Cat** has:

- as objects: all categories
- as morphisms:  $Hom_{\mathbf{Cat}}(\mathcal{C}, \mathcal{D}) := \{F : \mathcal{C} \rightarrow \mathcal{D} | F \text{ is a functor}\}$
- as identities  $Id_F$  (the identity functor?)
- as composition rule:  
 $F : \mathcal{C} \rightarrow \mathcal{D}$   
 $G : \mathcal{D} \rightarrow \mathbf{E}$   
 $G \circ F : \mathcal{C} \rightarrow \mathbf{E}$   
 $X \mapsto G(F(X))$   
 $f \mapsto G(F(f))$

There are two issues with this definition:

- Size issue (in ZFC). (it's too big, the objects don't fit in a proper class?)  
Remedies:

- just consider the category of small categories
- switch foundations

- It ignores natural transformations

Remedy:

Consider the 2-category of 1-categories

The 2-category of 1-categories has:

- as objects: all 1-categories
- as morphisms: functors
- as 2-morphisms / 2-cells: natural transformations

### 1.32 Definition of Cone

A **cone** of a diagram (functor)  $F : \mathcal{I} \rightarrow \mathcal{C}$  in a category  $\mathcal{C}$  consists of:

- an object  $A$  of  $\mathcal{C}$  (the "tip" of the cone)
- for each object  $X \in Ob(\mathcal{C})$ , a morphism  $\pi_X : A \rightarrow F(X)$

such that for all morphisms  $f : X \rightarrow Y$  in  $\mathcal{I}$ , the triangle:

$$\pi_Y = \pi_X \circ F(f)$$

commutes.

### 1.33 Definition of Cocone

A **cocone** of a diagram (functor)  $F : \mathcal{I} \rightarrow \mathcal{C}$  in a category  $\mathcal{C}$  consists of:

- an object  $A$  of  $\mathcal{C}$  (the "tip" of the cocone)
- for each object  $X \in Ob(\mathcal{C})$ , a morphism  $\pi_X : F(X) \rightarrow A$

such that for all morphisms  $f : X \rightarrow Y$  in  $\mathcal{I}$ , the triangle:

$$\pi_X = \pi_Y \circ F(f)$$

commutes.

### 1.34 Definition of Morphism Between Cones

A **morphism** between a cone  $(A, (\pi_X)_X)$  and a further cone  $(B, (\phi_X)_X)$  of a diagram  $F : \mathcal{I} \rightarrow \mathcal{C}$  consists of a morphism  $f : A \rightarrow B$  in  $\mathcal{C}$  such that:

$$\pi_X = \pi_Y \circ f$$

### 1.35 Definition of Limit

A **limit** of a diagram  $F : \mathcal{I} \rightarrow \mathcal{C}$  is a **terminal cone** of  $F$ , that is, a terminal object in the category of cones of  $F$ .

### 1.36 Definition of Colimit

A **colimit** of a diagram  $F : \mathcal{I} \rightarrow \mathcal{C}$  is an **initial cocone** of  $F$ .

### 1.37 Definition of Equalizer of Two Set-Theoretic Maps

Let  $f, g : X \rightarrow Y$ . Then the **equalizer** of  $f$  and  $g$  is the following function:

$$Eq(f, g) = \{x \in X \mid f(x) = g(x)\}$$

### 1.38 Definition of Pullback

### 1.39 Definition of Pushout

### 1.40 Definition of Small Diagram

A **small diagram** in  $\mathcal{C}$  is a diagram  $\mathcal{I} \rightarrow \mathcal{C}$  where  $\mathcal{I}$  is a small category.

### 1.41 Definition of Complete Category

A category  $\mathcal{C}$  is **complete** iff every small diagram in  $\mathcal{C}$  has a limit (it has all small limits).

### 1.42 Definition of Cocomplete Category

A category  $\mathcal{C}$  is **cocomplete** iff every small diagram in  $\mathcal{C}$  has a colimit (it has all small colimits).

$$\mathcal{C} \text{ complete} \iff \mathcal{C}^{op} \text{ cocomplete.}$$

### 1.43 Formula for Limits in Set

### 1.44 Formula for Colimits in Set

### 1.45 Definition of Presheaf

A **presheaf** (plural presheaves) on a category  $\mathcal{C}$  is a functor  $\mathcal{C}^{op} \rightarrow \mathbf{Set}$

Motto:

we picture a presheaf  $F$  on  $\mathcal{C}$  as an “ideal, fictional, object of  $\mathcal{C}$ ” in that we know its relation to actual objects of  $\mathcal{C}$

### 1.46 Definition of $\hat{X}$

$\hat{X}$  (**X hat**) is a presheaf:

$$\begin{aligned} \mathcal{C}^{op} &\rightarrow \mathbf{Set} \\ T &\mapsto \text{Hom}_{\mathcal{C}}(T, X) \end{aligned}$$

### 1.47 Definition of Yoneda Lemma

### 1.48 Yoneda Embedding

### 1.49 Yoneda Style Proofs

### 1.50 Definition of Representable Presheaf

A presheaf  $F : \mathcal{C}^{op} \rightarrow \mathbf{Set}$  is representable iff:

$$\exists X \in \text{Ob}(\mathcal{C}) : F \cong \hat{X}$$

- 1.51 Definition of Adjoint Functors
- 1.52 Currying Adjunction
- 1.53 Adjunction of Logical Connectives
- 1.54 Monoids
- 1.55 Monoids Categorically
- 1.56 Definition of Monoidal Category
- 1.57 Monoidal Categories
- 1.58 Definition of Monad
- 1.59 Definition of Kleisli Category
- 1.60 Definition of Topological Quantum Field Theory
- 1.61 Definition of Cobordism Category