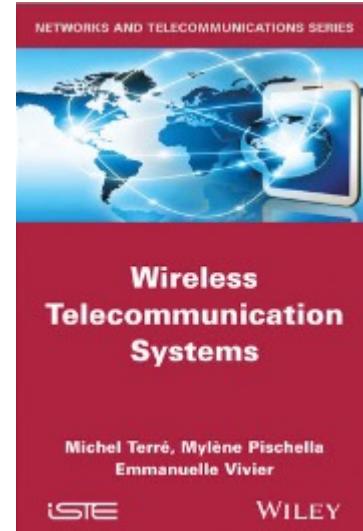


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# 3 G – 3.5 G : UMTS / HSPA



# Outline

- IMT 2000 context
- CDMA applied to UMTS
- UMTS Architecture
- Channel mapping in UMTS
- UTRAN physical layer
- Handover in UMTS
- Power control for UMTS
- HSPA
- Radio Dimensioning and Planning

## □ IMT2000 Context

# Markets

■ 2000: 500 millions mobile subscribers

■ 2005: 2 billions

■ 2010: 4 billions

■ 2011: 5.6 billions

■ 2015: 7 billions

Technologies	Subscriptions	Market Share	Annual Growth
ALL	5.66 billion	100%	14.6%
3GPP family	5.07 billion	89.6%	14.5%
<i>GSM</i>	<i>4.31 billion</i>	<i>76.2%</i>	<i>11.2%</i>
<i>WCDMA and HSPA</i>	<i>753.1 million</i>	<i>13.3%</i>	<i>37.2%</i>
<i>LTE</i>	<i>2 million</i>	<i>0.1%</i>	<i>674%</i>
CDMA/EV-DO	533 million	9.4%	12.7%
Others	56.5 million	1%	55.9%

Technologies	Subscriptions	Market Share
ALL	7 billion	
3GPP family:	6.3 billion	90.4 %
• WCDMA and HSPA	1.7 billion	
• LTE	950 million	

# IMT2000 (1 /)

- International Mobile Telecommunications 2000 (ITU 1985) : “**IMT2000**”
  - Third Generation mobile system
- Objectives:
  - one single phone, everywhere in the world : a global network
  - data services integrated in the terminal (IT & Telecom convergence)
    - QoS:
      - voice quality, global coverage (« global roaming »), enhanced transmission delays
      - higher spectral efficiency (higher capacity)
      - cost

# IMT2000 (3/)

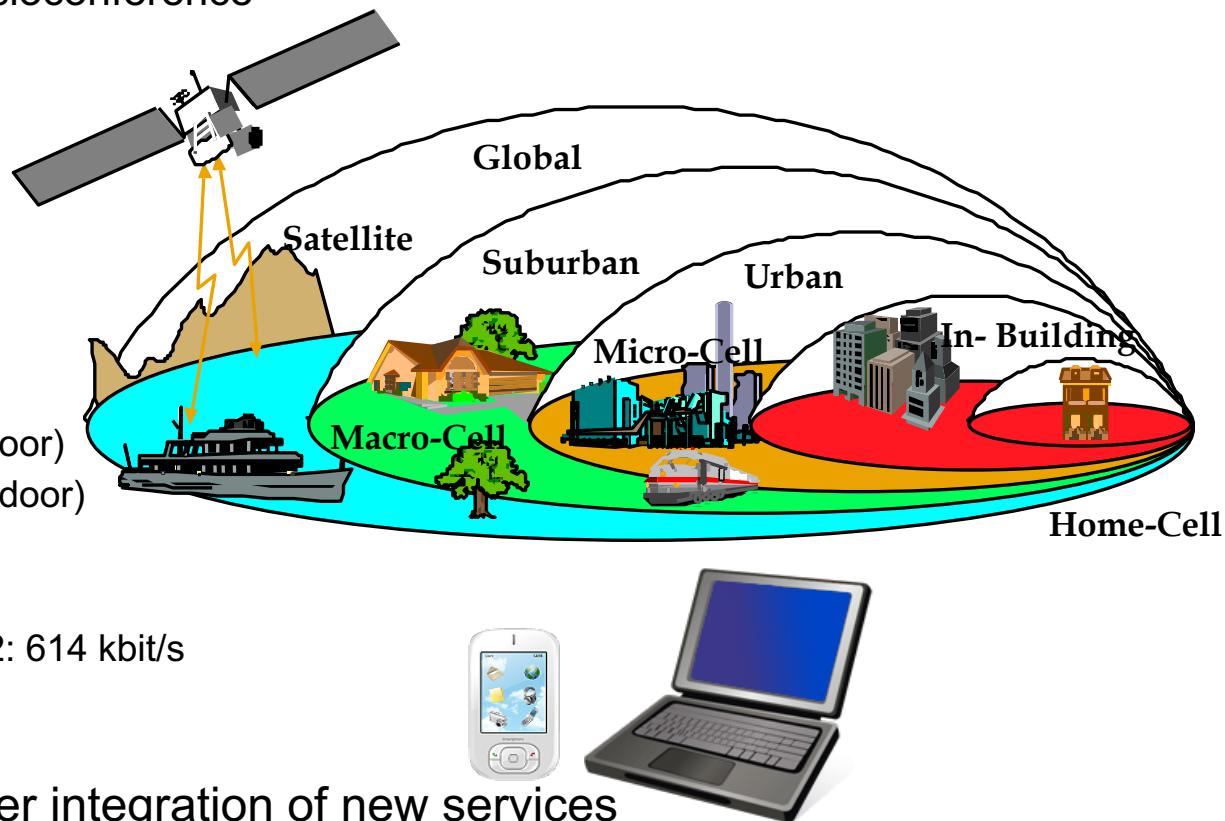
- Examples of services (asymmetric!):

- Mobile visiophony & visioconference
  - Teleworking
  - Internet access
  - Telesupervision
  - News reporting

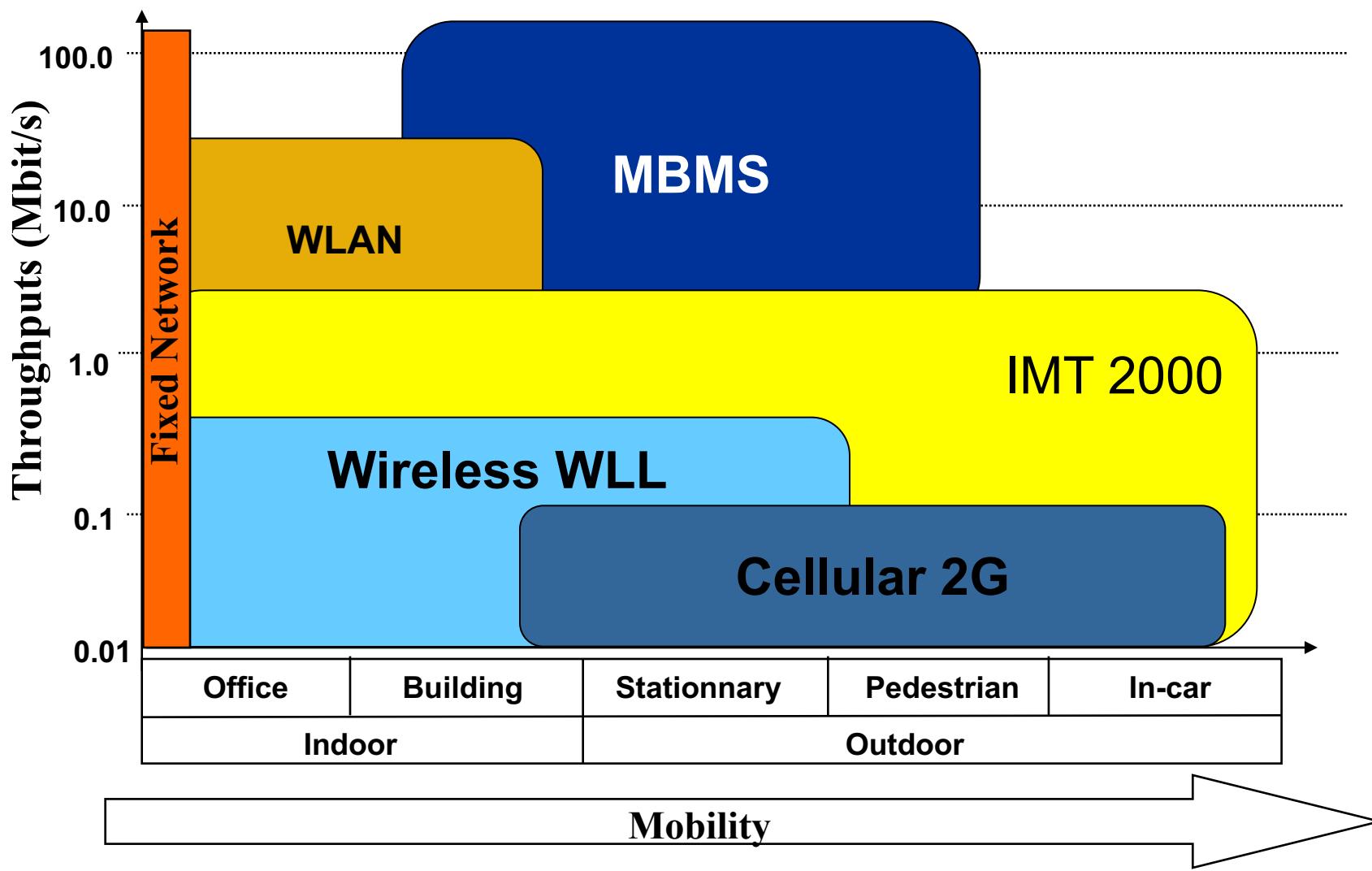
- Throughputs:

- UMTS:
    - 144 kbit/s (rural outdoor)
    - 384 kbit/s (urban outdoor)
    - 2 Mbit/s (stationary)
  - CDMA2000:
    - 1X: v1: 144 kbit/s, v2: 614 kbit/s
    - 3X: 2 Mbit/s

- High flexibility for a better integration of new services



# IMT 2000 Positionning

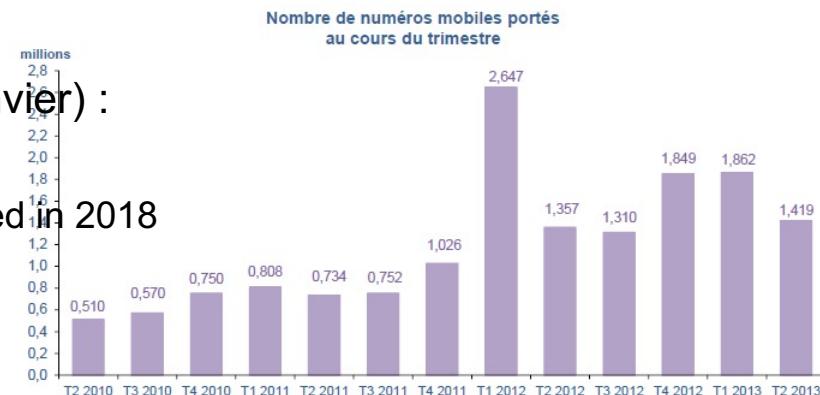


# IMT2000 Consortiums

- An international partnership:
  - 3rd Generation Partnership Projects 3GPP: “UMTS”
    - Japan (ARIB Association of Radio Industries and Business and TTC Telecommunication Technology Committee),
    - China (CCSA China Communications Standards Association)
    - Europe (ETSI European Telecommunications Standards Institute)
    - USA (T1)
    - Korea (TTA Telecommunications Technology Association)
  - supports 3G systems based on GSM evolution
- An international competition:
  - 3GPP 2: “CDMA 2000” (Qualcomm)
    - USA (TIA Telecommunications Industry Association)
    - Korea (TTA Telecommunications Technology Association)
    - Japan (TTC Telecommunications Technology Committee and ARIB Association of Radio Industries and Businesses)
    - China (CCSAC China Communications Standards Association)
  - supports 3G systems based on IS-95 evolution

# UMTS : beginnings

- Standardized (1998-2001) by 3GPP
- Respects IMT2000 requirements
- First commercial launches:
  - Japan : Oct. 2001, by NTT DoCoMo
  - France :
    - Sept. / Oct. 2004
    - Dec. 2009 : Free Mobile obtains a 3G licence from ARCEP:
      - 240 millions €
      - commercial launch in 2012 (le 10 janvier) :
      - with Orange to ensure coverage
        - 90% of the population must be covered in 2018
      - very competitive subscription offers



# UMTS : penetration

## Subscribers:

- Major part of the number of subscribers growth  
(2012: UMTS increasing rate > GSM increasing rate)

### World:

- end 2009 : 485 millions (including 180 millions HSPA)
- end 2011 : 800 millions (including 500 millions HSPA)
- ~400 networks in 170 countries
- >3000 devices

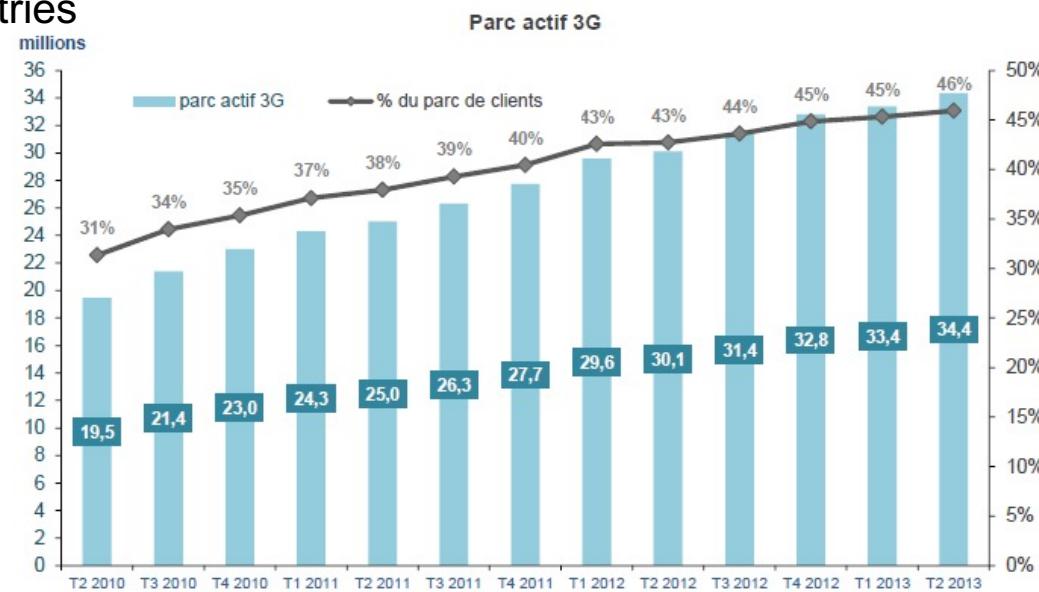
### France

- end 2009 : 15 millions  
(25% mobile market)
- end 2012 : 33 millions  
(45% mobile market)

## Réseau concurrent :

CDMA2000

(évolution de l'IS-95, ~615 millions d'abonnés)

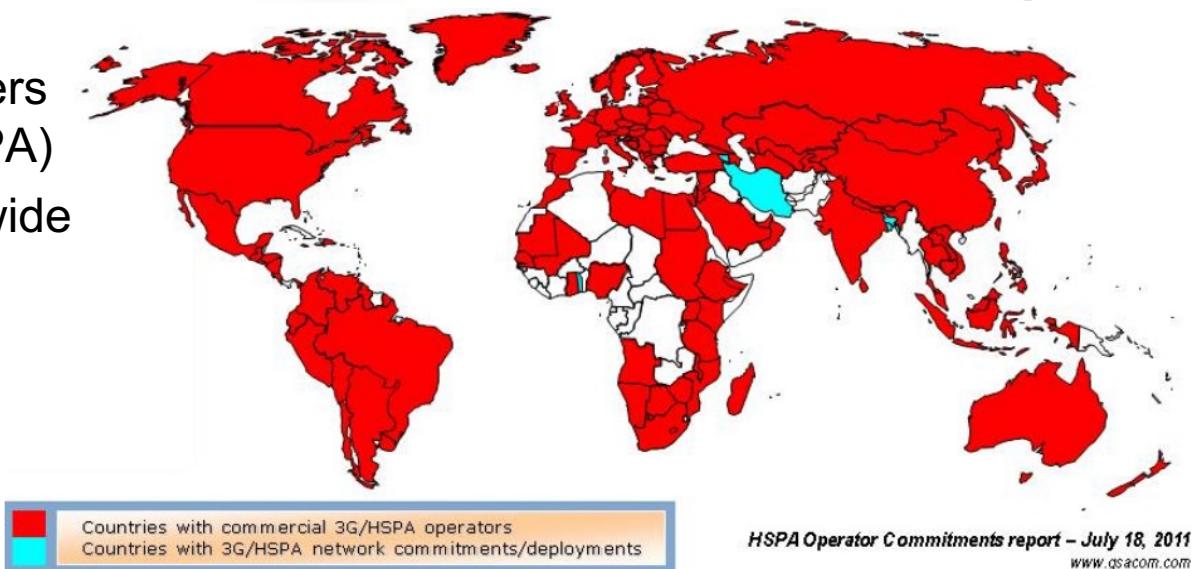


# IMT2000 markets

- CDMA 2000, end 2011:
  - ~600 millions subscribers
  - 300 operators, worldwide
  - 116 countries/territories
  - > 3000 devices



- UMTS, end 2011:
  - 800 millions subscribers (inc. 500 millions HSPA)
  - 300 operators, worldwide
  - 140 countries
  - > 3000 devices

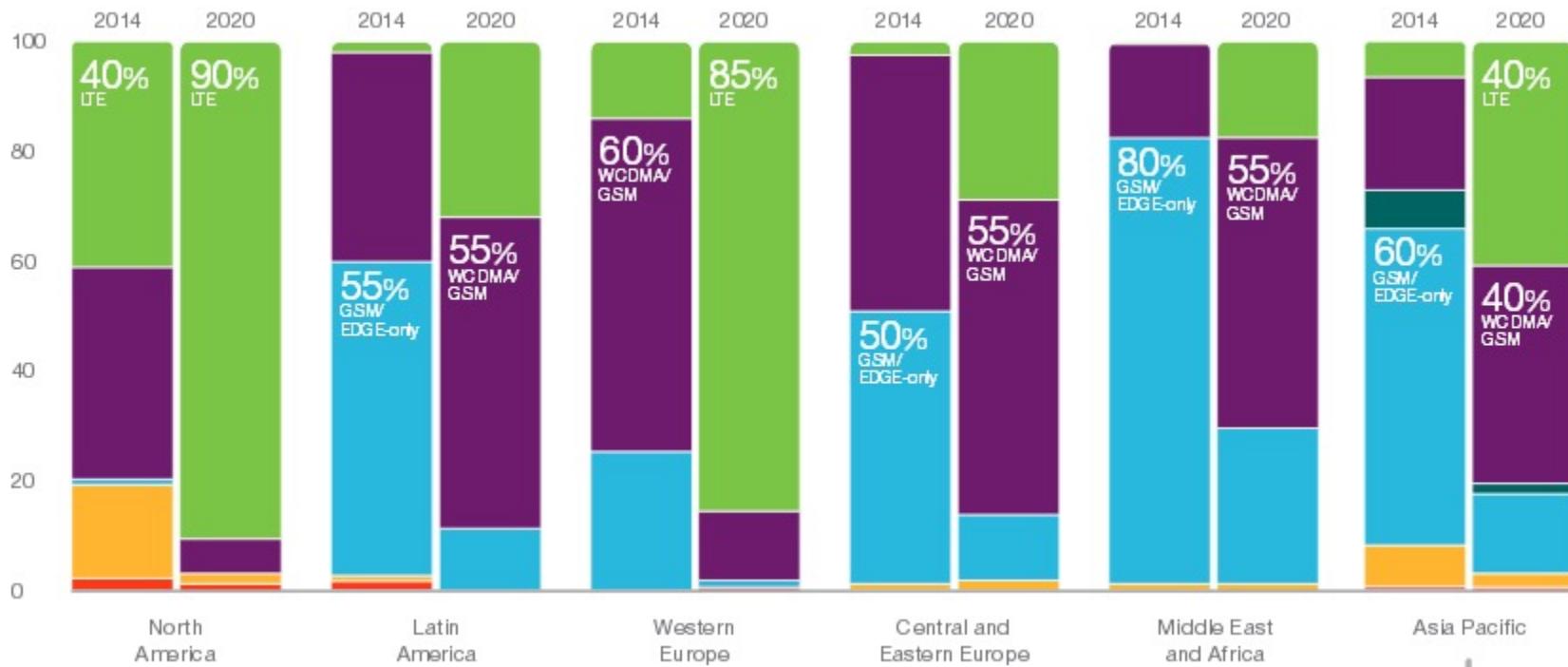


# Mobile subscriptions



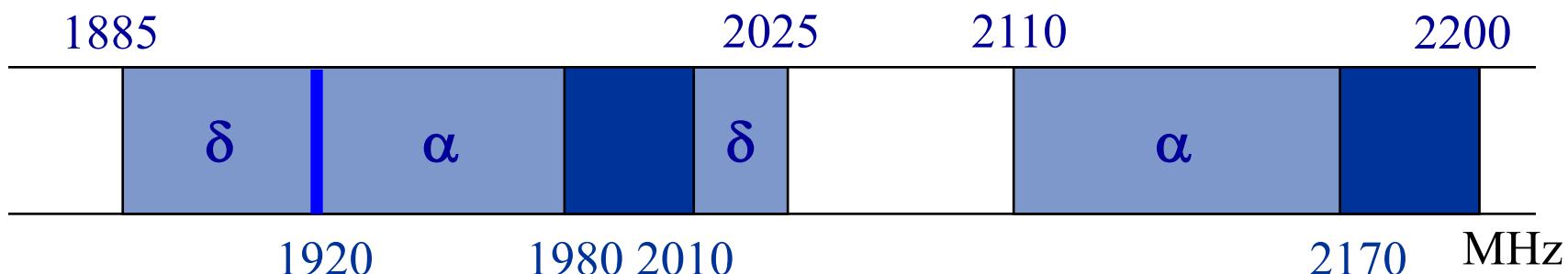
# Mobile subscriptions per region

- LTE/WCDMA/GSM and LTE/C DMA
- WCDMA/GSM
- GSM/EDGE-only
- TD-SC DMA/GSM
- CDMA-only
- Other



# IMT2000 – UMTS : frequencies

- 2000 MHz band:



- $\alpha$ : W-CDMA, FDD mode, appaired bands (12 × 5 MHz)
- $\delta$ : TD-CDMA, TDD mode, non appaired bands (7+3 5 MHz bands)

- 900 MHz band:

- reuse of GSM band

- TD-CDMA: Time division CDMA

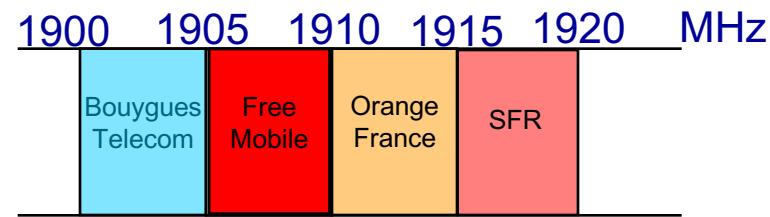
- W-CDMA: Wide band CDMA

# UMTS Frequencies alloc. in France (1 /)

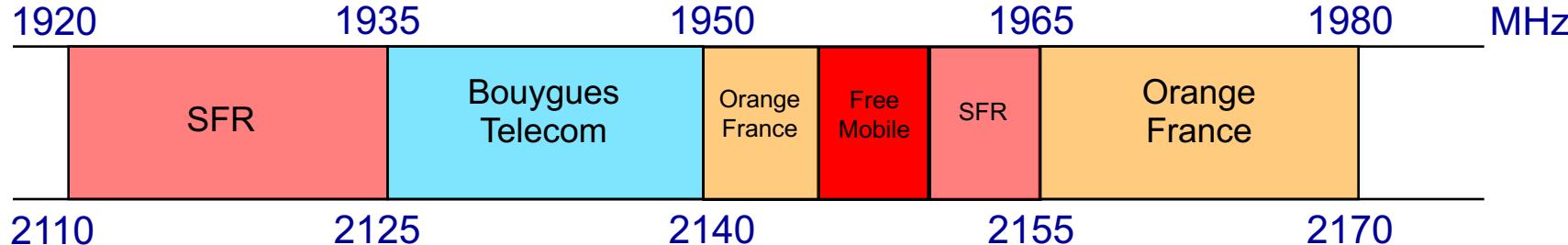
- 4 operators (commercial launch):
  - SFR (06/2004)
  - Orange France (09/2004)
  - Bouygues Telecom (04/2007)
  - Free Mobile (2012)

- UMTS band:

- TDD Band:



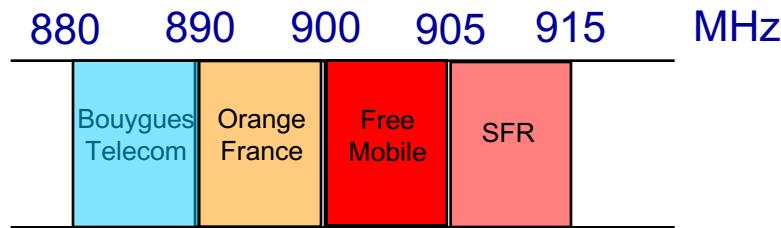
- FDD Band:



# UMTS Frequencies alloc. in France (2/)

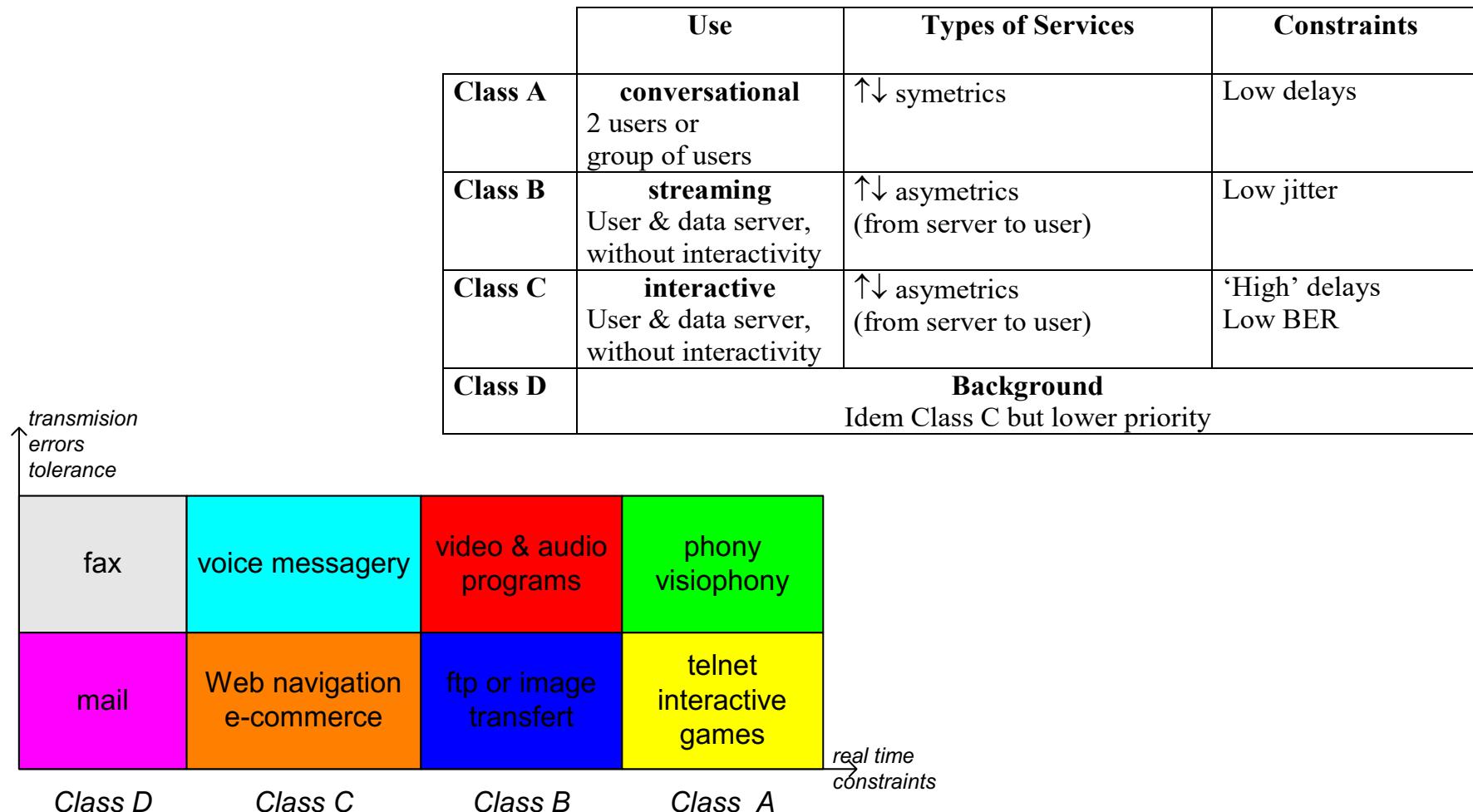
- GSM band

- On Feb. 2008, ARCEP approved all GSM French operators to reuse their 900 MHz spectrum for 3G



# UMTS – Offered services

- 4 classes of services:

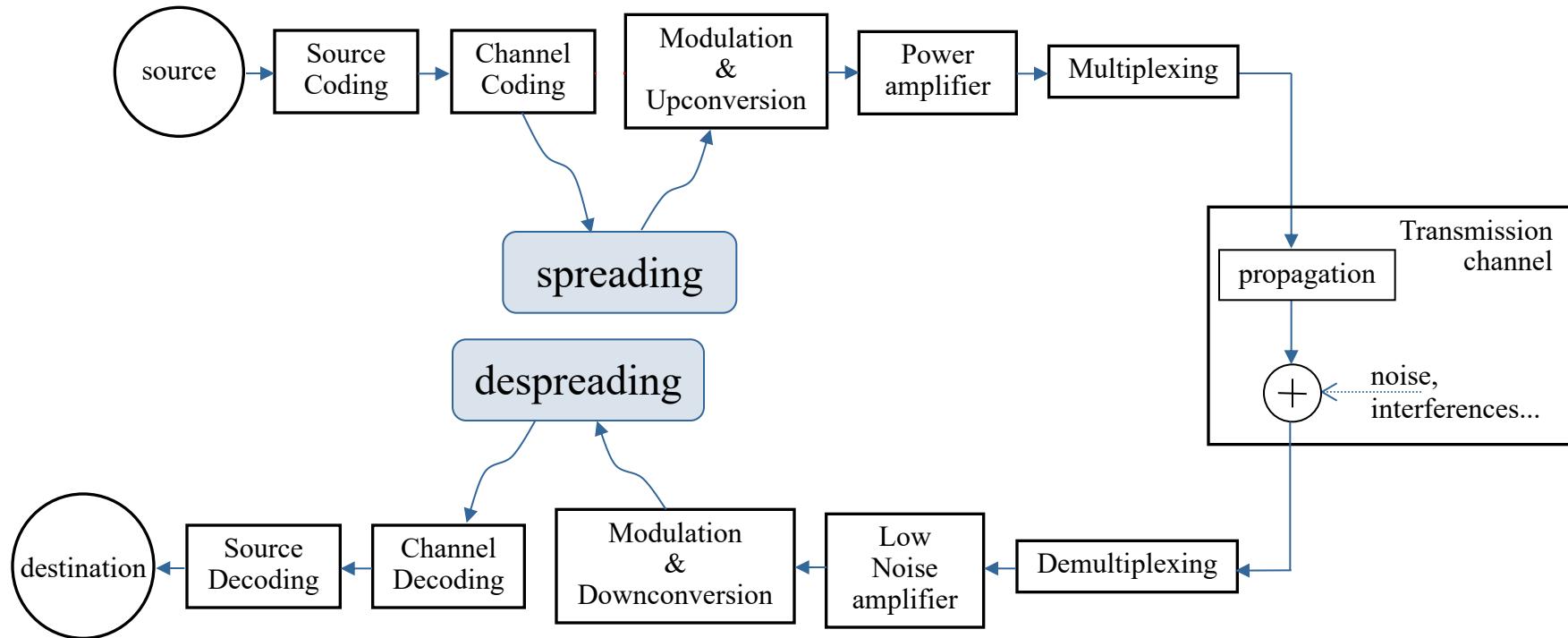


# UMTS – Quality of Service

- QoS expressed with:
  - delay (maximum, jitter = variation of delay in time)
  - loss rate (frame, bit)
  - throughputs (maximum, mean)
  - priority
  - size of packets
- Management principles:
  - parameters are set at the connection establishment
  - possibility for renegotiation during the connexion
  - several QoS contexts are simultaneously possible for a given user

- CDMA applied to UMTS

# Spreading a signal - Transmission chain



# Spreading a signal...

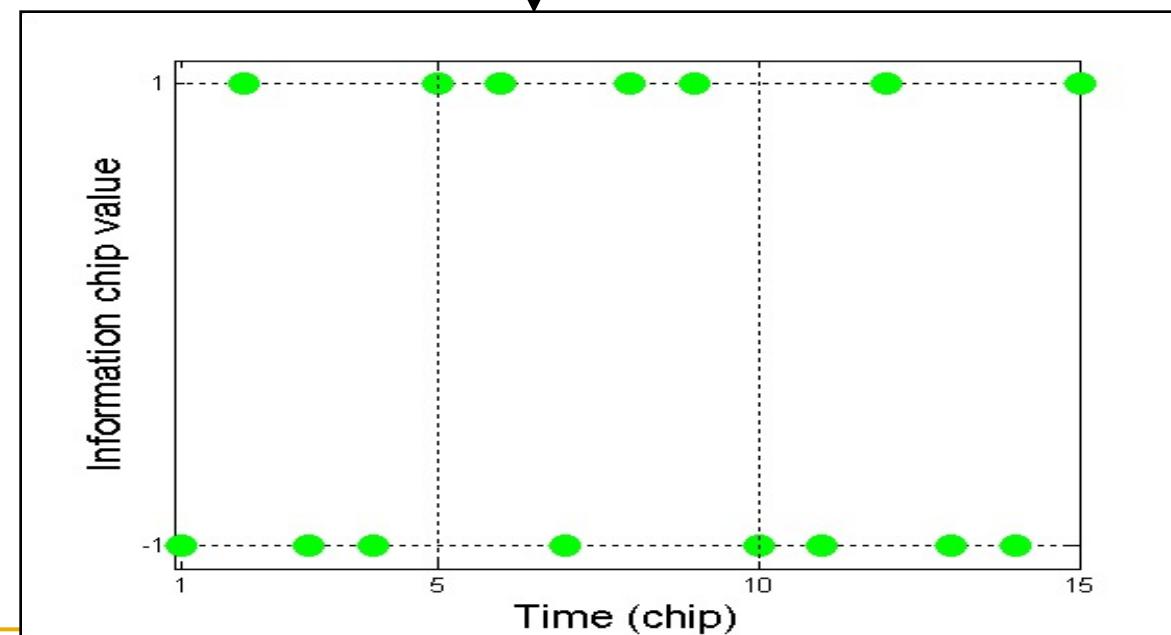
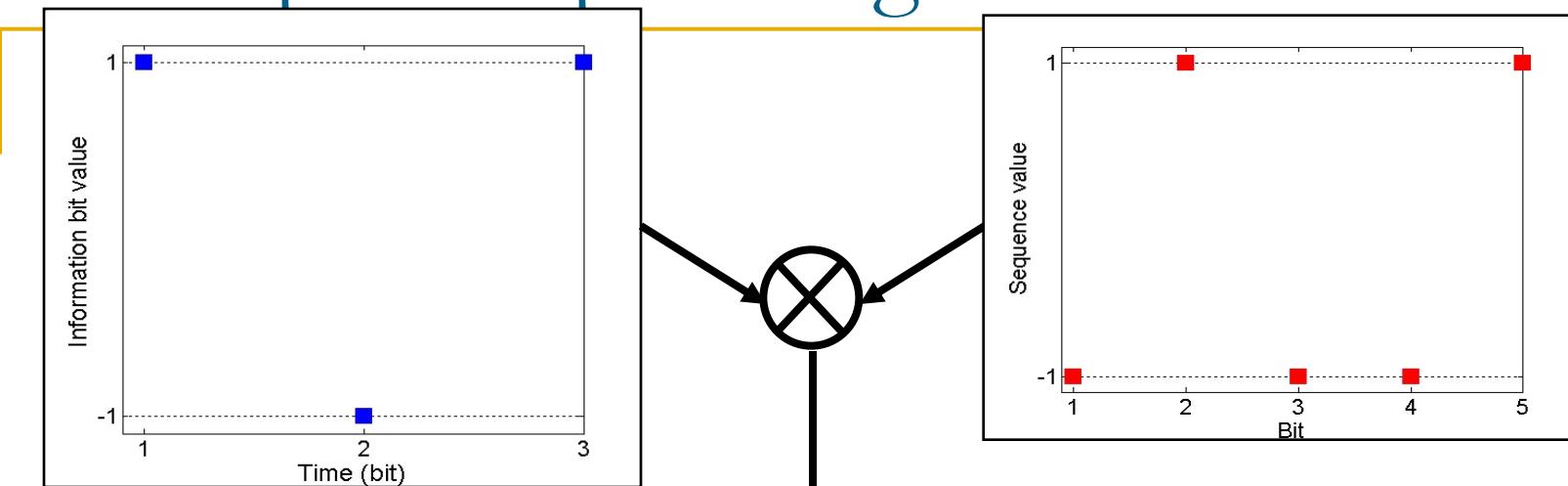
- Information to be spread =  
a  $n$ -long information bits:  $b_1, b_2, \dots, b_n$ , at a bitrate:  $R$  bits/s
- Sequence to be used =  
a  $N$ -long sequence of bits:  $s_1, s_2, \dots, s_N$ ,  $N$  = spreading factor

⇒ Spreading the information bits gives:

- a  $(n \times N)$ -long information ‘chips’:  $c_1, c_2, \dots, c_{nN}$
- obtained by a bit-to-chip multiplication:  
 $c_1 = b_1 \times s_1, c_2 = b_1 \times s_2, \dots, c_N = b_1 \times s_N,$   
 $c_{1+N} = b_2 \times s_1, c_{2+N} = b_2 \times s_2, \dots, c_{2N} = b_2 \times s_N$   
...  
 $c_{nN-1} = b_n \times s_{N-1}, c_{nN} = b_n \times s_N$
- at a chiprate:  $R \times N$  chips/s

**Wider spectral occupancy :  
spread spectrum**

# Example of spreading



# Spreading limitations

The spread signal: ‘chips’,

- are highly autocorrelated in some values  $\neq 0$

⇒ difficulties for:

- synchronization in reception
- multipath detection

which are essential in radiocommunications

- are highly intercorrelated in some values  $\neq 0$

⇒ difficulties for:

- identifying information coming from desynchronized (i.e. different) transmitters

which are unavoidable in radiocommunications

After spreading, a second step: **scrambling**, is essential in a wireless network where different users can simultaneously transmit their information, in order to ensure some orthogonality between them.

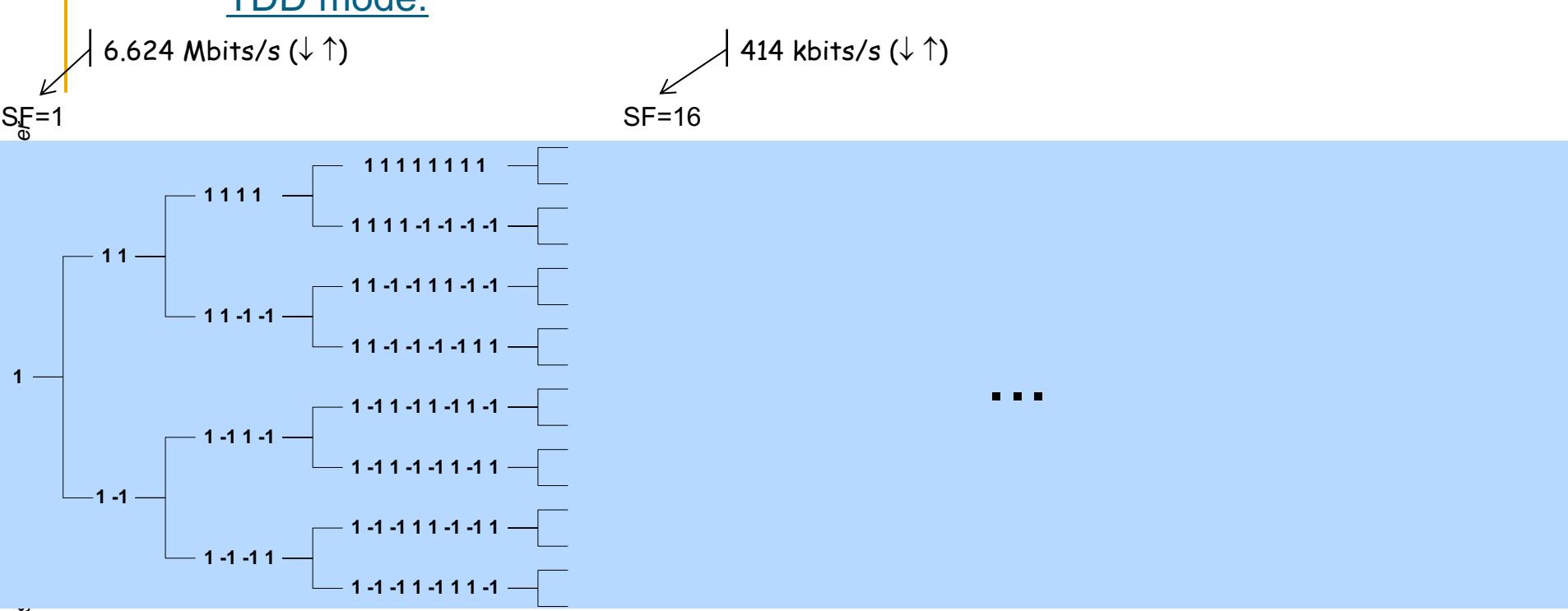
# UMTS – Spread spectrum & CDMA

- spreading sequence = 'channelization code'
  - $N$  = spreading factor, SF:
    - FDD :
      - downlink: from 4 to 512
      - uplink: from 4 to 256
    - TDD : from 1 to 16
  - spreading sequence: Hadamard sequences
- scrambling sequences:
  - FDD: ( $\downarrow 512 \times 512, \uparrow 2^{24}$ ) Gold sequences
  - TDD: 128 predefined sequences
- CONSTANT chip rate,
  - 3.84 Mchips/s (complex chips),
  - whatever the data user rate

The higher the data user throughput,  
the smaller the spreading factor

# UMTS data rates

## TDD mode:



## FDD mode:

# UMTS maximum data rates

- FDD:
  - uplink:
    - SF = 256 for radio signalisation
    - 960 kbps (data, SF = 4) + 15 kbps (radio signalisation)
  - downlink: (see tables in [3GPP 25.211])
    - 1872 kbps (data, SF = 4) + 48 kbps (radio signalisation, SF = 4)
- TDD:
  - SF=1
  - limited signalisation to 256 complex pilots bits
  - uplink& downlink: 6624 kbps (data, SF = 1)

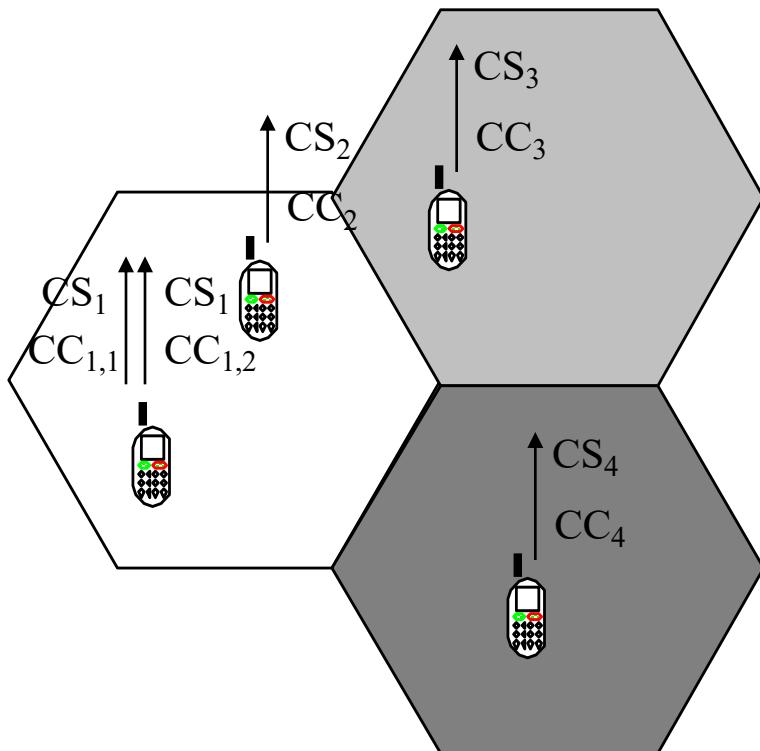
# UMTS – Using CC & CS

- Channelization codes:
  - Hadamard sequences, OVSF
  - spread information bits
  - differentiate bit-synchronized flows (perfect orthogonality), i.e. from one transmitter
    - One tree per MS in the uplink, one tree per BS in the downlink
- Scrambling codes:
  - Gold sequences
  - scramble spread data, i.e. chips
  - differentiate non synchronized flows, i.e. distinct transmitters
    - One code per MS in the uplink, one code per BS in the downlink

# UMTS – Using CC & CS

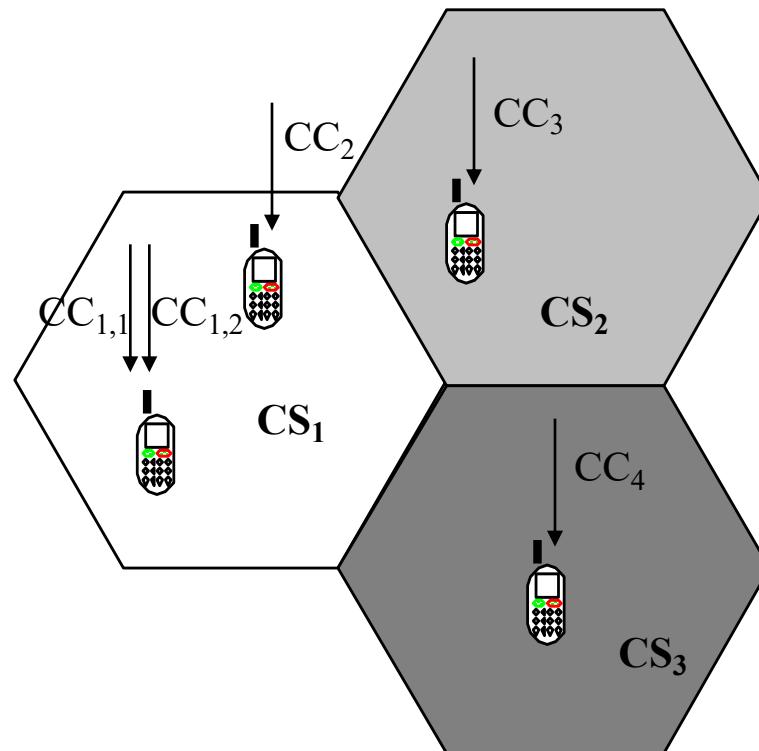
(2/)

## UPLINK



- CS<sub>1</sub> ≠ CS<sub>2</sub> ≠ CS<sub>3</sub> ≠ CS<sub>4</sub>
- CC<sub>1,1</sub> ⊥ CC<sub>1,2</sub>

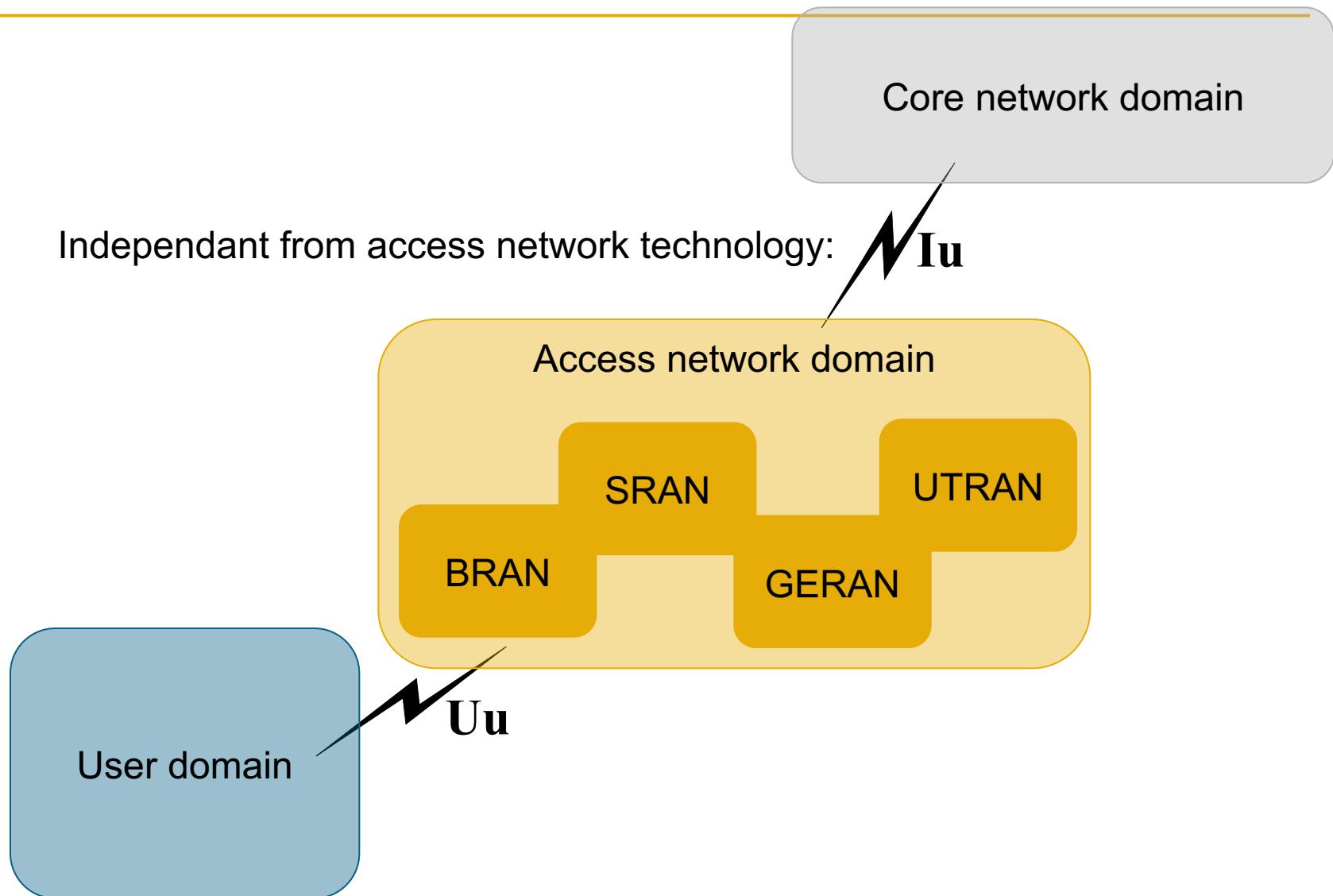
## DOWLINK



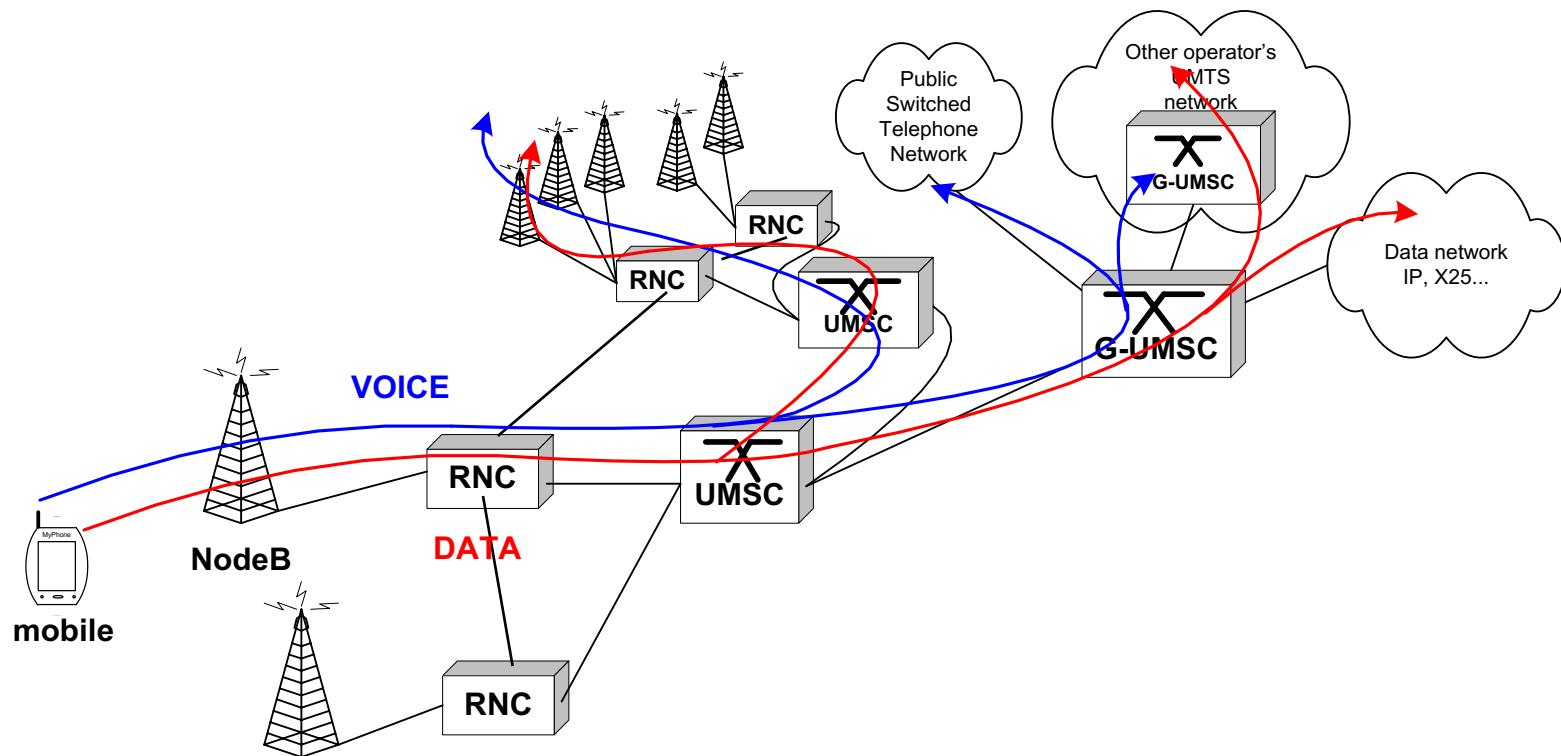
- CS<sub>1</sub> ≠ CS<sub>2</sub> ≠ CS<sub>3</sub>
- CC<sub>1,1</sub> ⊥ CC<sub>1,2</sub> ⊥ CC<sub>2</sub>

## □ UMTS Architecture

# UMTS - Architecture



# UMTS - Architecture



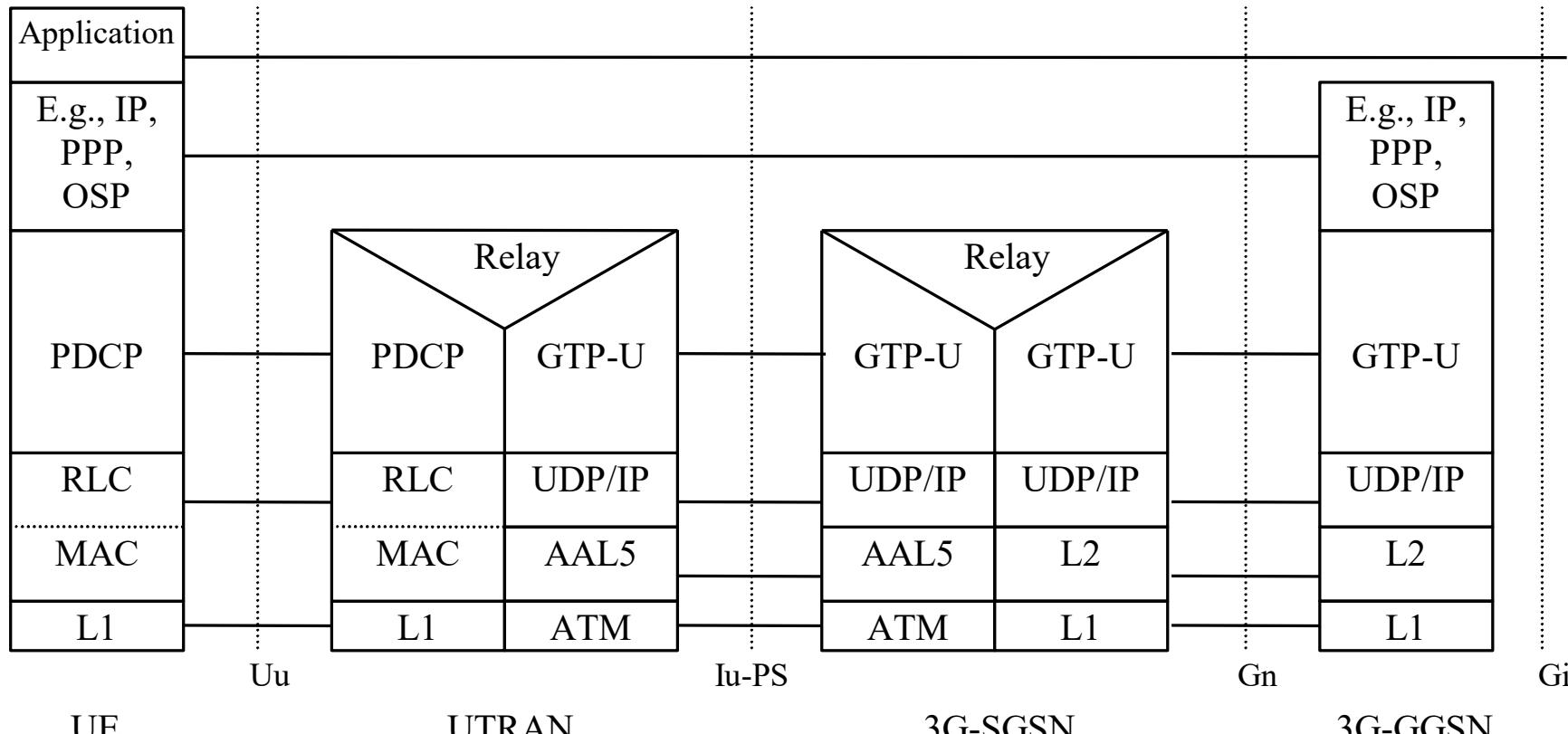
# UTRAN - Architecture

- Mobile Station (MS)                          ⇒ User Equipment (UE)
- BTS
  - Radio resources control ⇒ partial radio resource control
  - Radio link control
- BSC    ⇒ Radio Network Controller (RNC)
  - Resources allocation
  - Radio link control
- MSC    ⇒ UMSC: UMTS Mobile Switching Center
- BSS    ⇒ RNS: Radio Network
- Abis (BTS/BSC)                                  ⇒ Iub (NodeB/RNC)
- A (BSC/MSC)                                        ⇒ Iu (RNC/CN)

# UMTS / GSM-GPRS

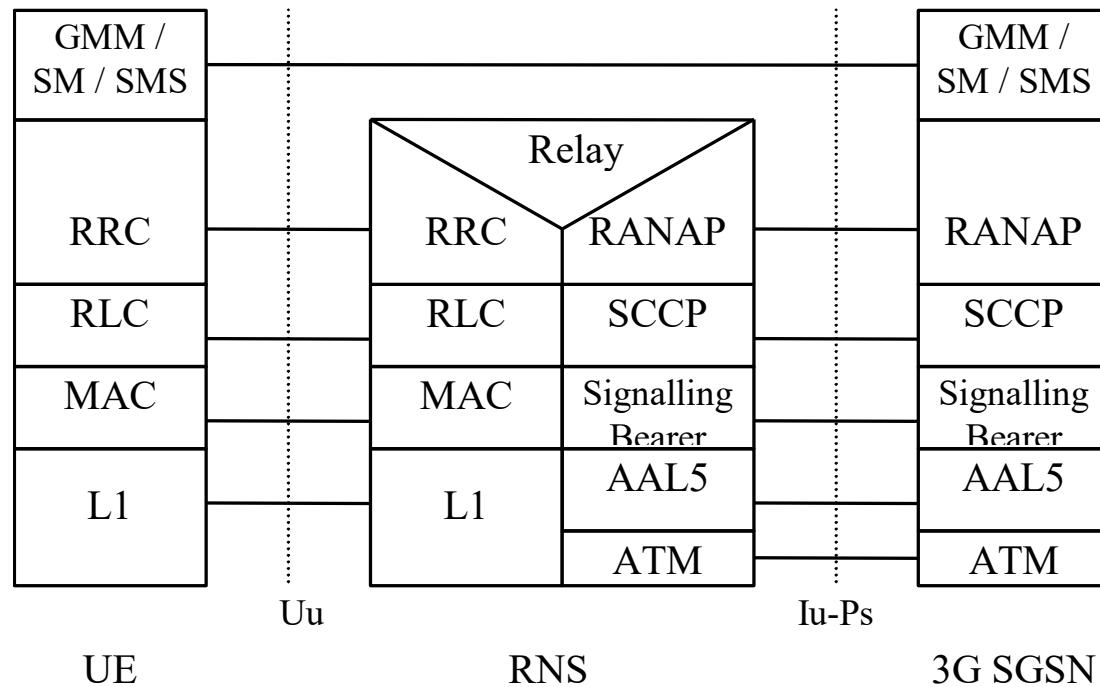
- GSM/GPRS network reuse
- Integrated Core network:
  - MSC/VLR & SGSN ⇒ UMSC
  - reducing maintenance
- Combined localisation update (RA,LA)
  - by Gs or UMSC
- Towards « all IP »:
  - Protocols from Telecom world (GSM, GPRS) are replaced by protocols from IP world (IETF)

# UMTS Protocols (1/2)



User Plan (R'99)

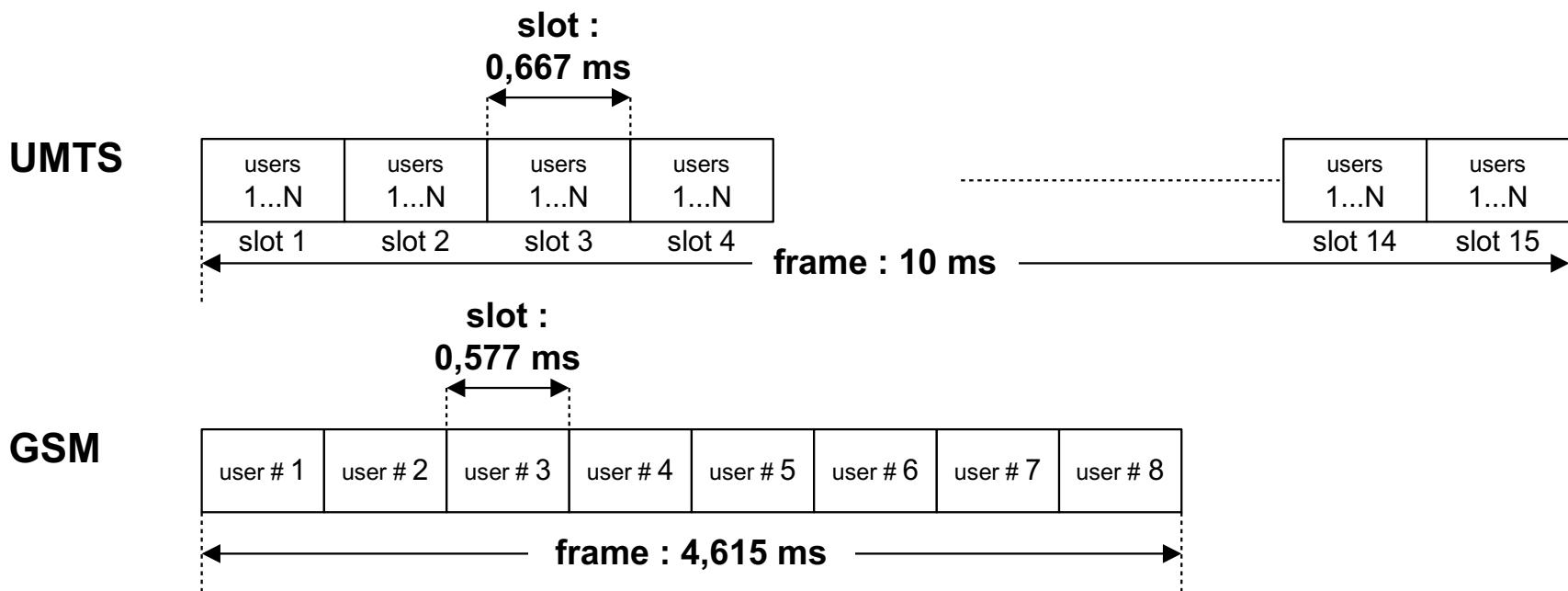
# UMTS Protocols (2/2)



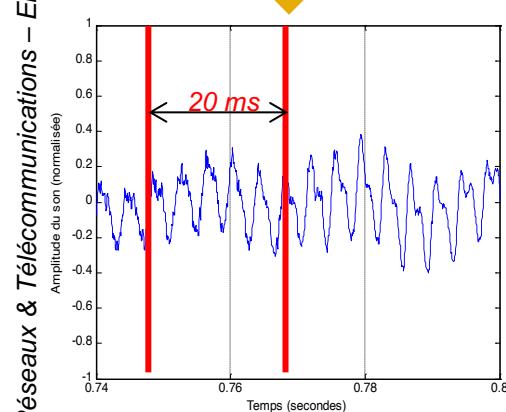
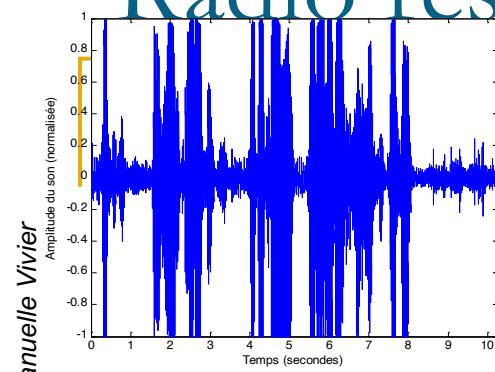
**Control Plan (R'99)**

# UTRAN slot structure

- Modulation: QPSK
- in FDD mode:
  - Slot granularity: used for power control
  - 256 (512) flows can be simultaneously transmitted in each slot
  - 2560 (complex) chips per slot
  - 3.84 Mchips/s (complex)



# Radio resources for a communication



codec

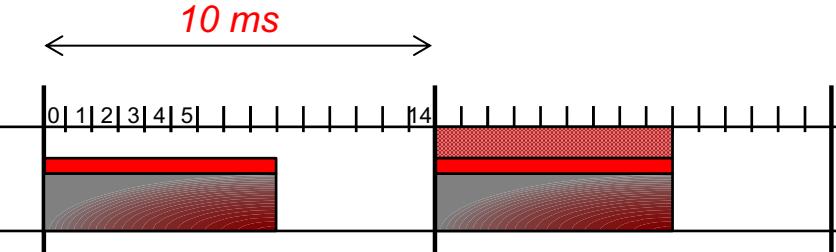
$\leq 244$  bits

compression,  
adaptation,  
segmentation,etc

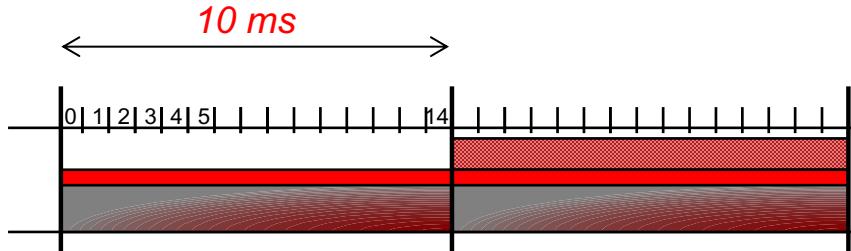
packets

channel coder

TDD mode:



FDD mode:



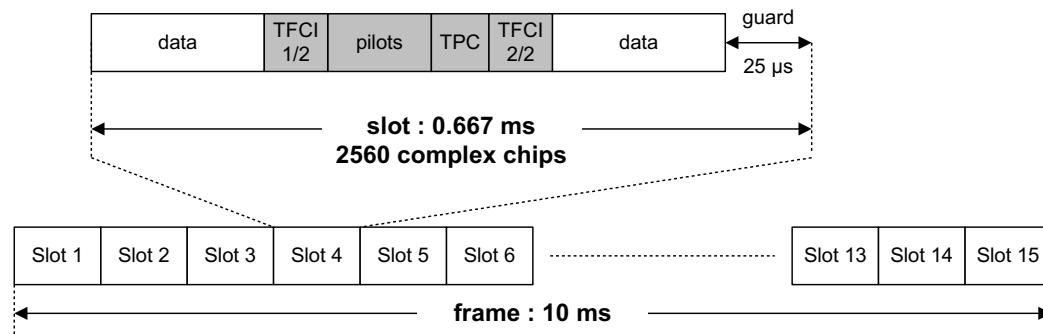
interleaver

puncturer

# UMTS – UTRAN : slot structure ex.

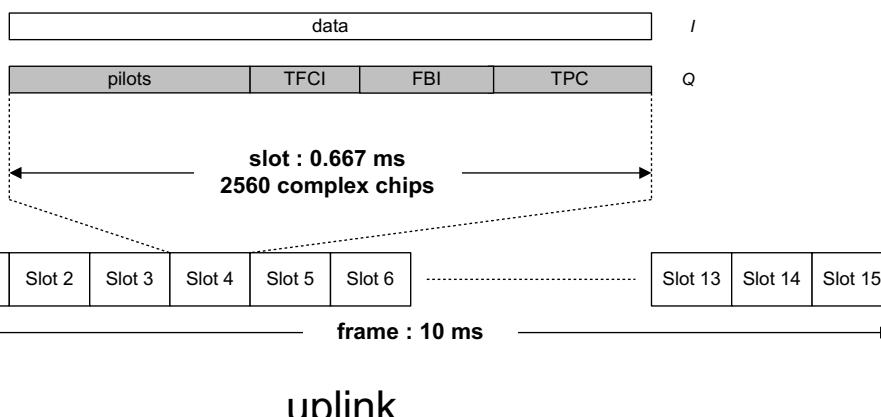
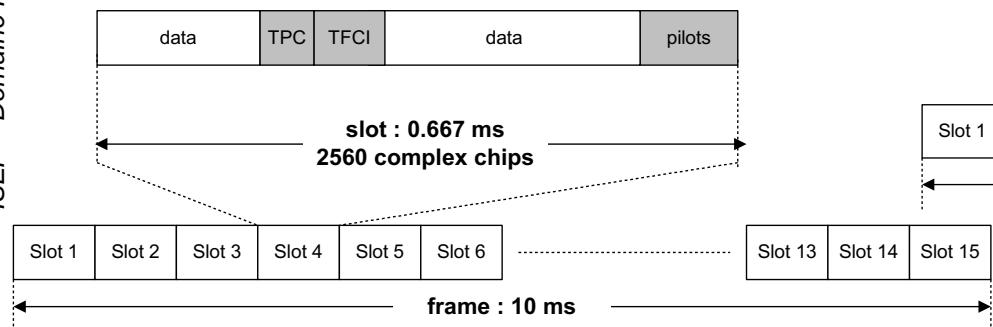
## Slot structure for user traffic (dedicated mode):

TDD mode:



- pilots** : training sequence (synchronisation, channel estimation, multipath detection)
- TFCI** : data processing (SF, CC, interleaving, etc)
- TPC** : power control
- FBI** : closed loops feedback (diversity)

FDD mode  
downlink



## □ Channel mapping in UMTS

# UTRAN – Channels

- Logical Channel:
  - representing the type of transmitted information
  - 2 types:
    - control
    - traffic
- Transport Channel:
  - representing the QoS
  - characterized by « Transport Formats »:
    - size of transmitted blocks, type of coding, interleaving length, spreading factor, etc.
  - 2 types:
    - dedicated
    - shared
- Physical Channel:
  - slot content
    - CC, CS

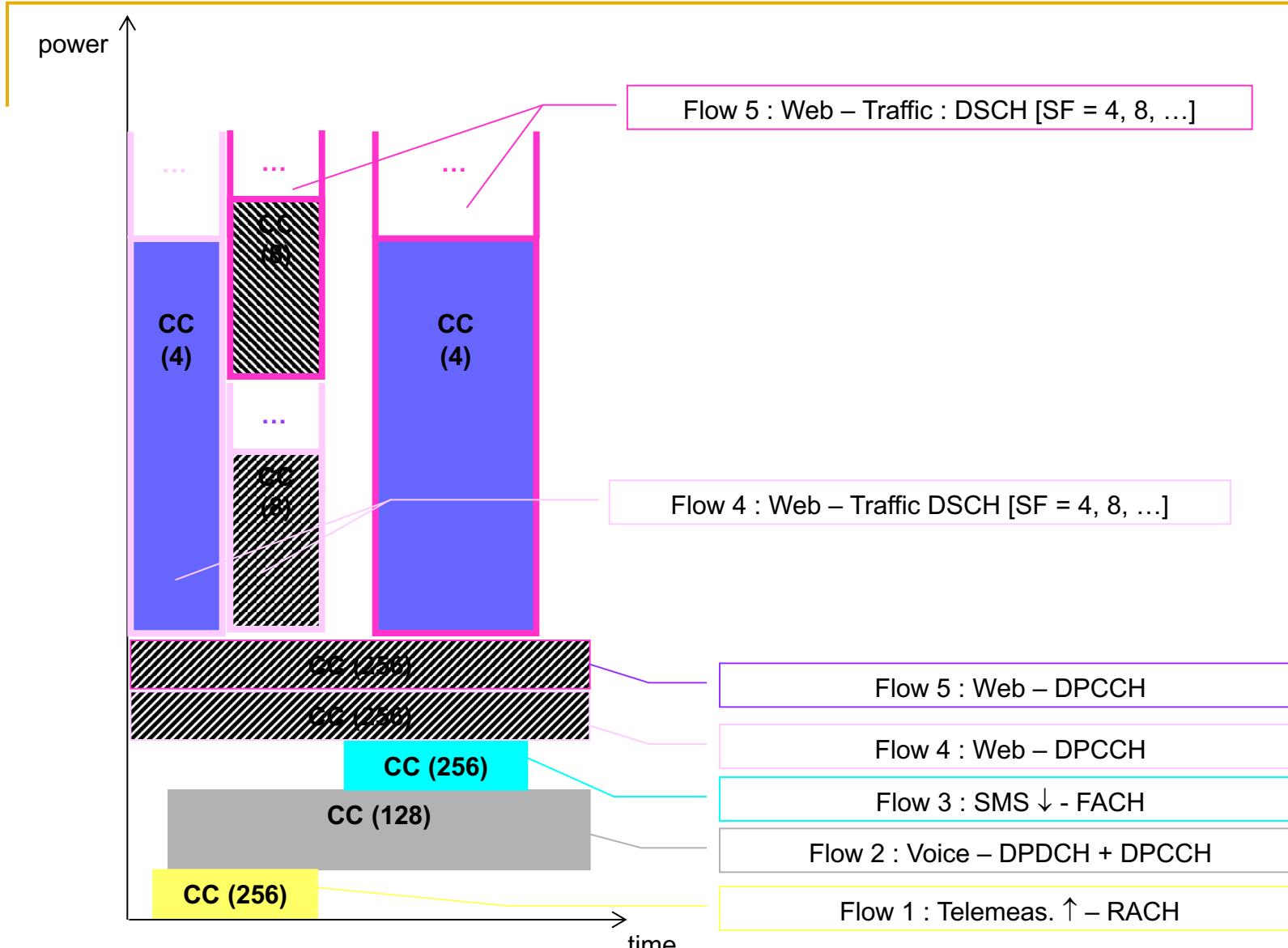
# UTRAN – Channel mapping

	Physical Channel	Transport Channel	Logical Channel	Function
Dedicated	DPDCH ↓↑ (Dedicated Physical Data Channel)	DCH ↓↑ (Dedicated Channel)	DTCH ↓↑ (Dedicated Traffic Channel)	User data
			DCCH ↓↑ (Dedicated Control Channel)	User Signalling (HO, radio measurements, etc)
	DPCCH ↓↑ (Dedicated Physical Control Channel)			DPDCH control
Common	PRACH ↑ (Physical Random Access Channel)	RACH ↑ (Random Access Channel)		Initial random acces (= GSM RACH)
			DTCH ↑, DCCH ↑	Occasional
			CCCH ↑ (Common Control Channel)	Dedicated link establishment
	PDSCH ↓ (Physical Downlink Shared Channel)	DSCH ↓ (Downlink Shared Channel)	DTCH ↓↑	Bursty transmissions (each channel requires a low rate DPCCH ↓↑)
	P-CCPCH ↓ (Primary Common Control Physical Channel)	BCH ↓ (Broadcast Channel)	BCCH ↓ (Broadcast Control Channel)	System info broadcasting
	S-CCPCH ↓ (Secondary Common Control Physical Channel)  (associated: Paging Indicator Channel ↓)	PCH ↓ (Paging Channel)	PCCH ↓ (Paging Control Channel)	Paging
		FACH ↓ (Forward Access Channel)	CTCH ↓ (Common Traffic Channel)	Cell broadcasting news
			CCCH ↓	Dedicated link establishment
			DTCH ↓, DCCH ↓	Occasional
	AICH ↓ (Acquisition Indicator Channel)			Answer to PRACH (= GSM AGCH)
	(P- & S-)SCH ↓ (Primary- & Secondary- Synchronisation Channel)			Synchronization (= GSM SCH)
	CPICH ↓ (Common Pilot Channel)			Cell Identification, Cell Pilots

# UMTS – Channel mapping

- Example of 'mapping' :  
a DTCH can be used:
  - in circuit mode: radio resource allocated for all the communication
    - voice
    - transport channel DCH
  - in packet mode with mobile identification : radio resource shared with other users during all the connection (Web session )
    - high rate
      - transport channel DSCH
    - 'low' rate
      - transport channel FACH/RACH [with collision]

# UMTS – Channel mapping ex.



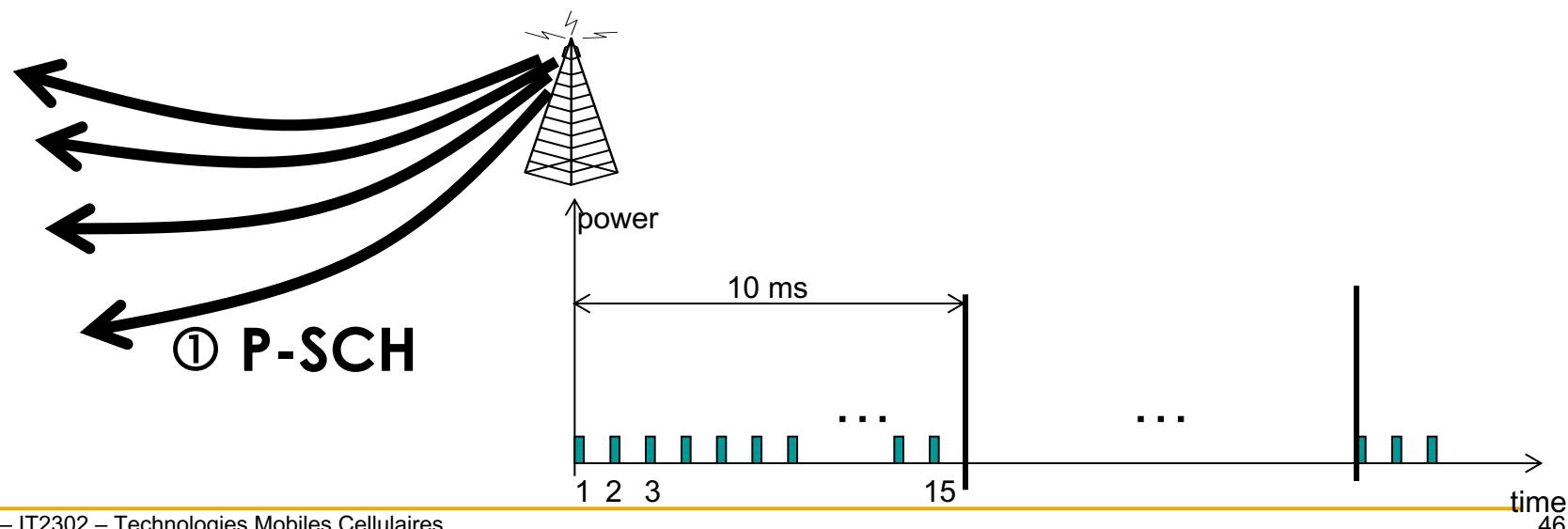
# UMTS – mobile switch-on

## ① P-SCH : Primary Synchronisation Channel

- ❑ in each slot, 256 identical pre-defined bits,
- ❑ same for all NodesB.

→ the mobile:

- ❑ monitors UMTS frequencies
- ❑ detects correlation peaks (issued from all nearby NodesB)
  - ❑ NodesB detection
  - ❑ synchronization (slot level)



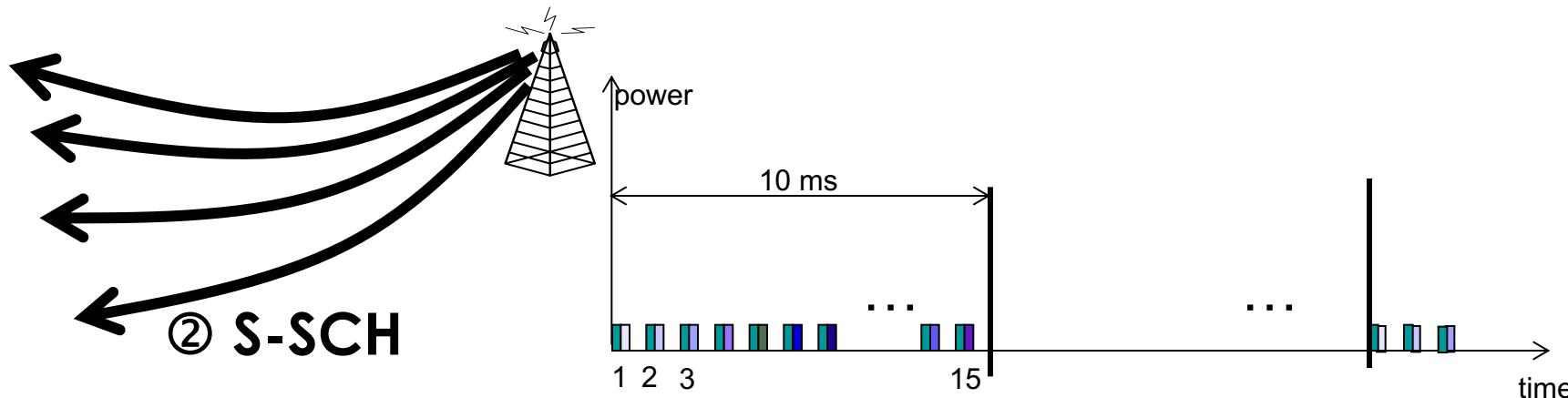
# UMTS – mobile switch-on

## ② S-SCH : Secondary Synchronization Channel in UMTS

- in each frame, a  $15 \times 256$  pre-defined bit sequence.
- 64 different sequence-patterns are normalized.

→ the mobile:

- associates to each correlation peak one of the 64 possible sequences
- identifies the frame start
- separates the NodeBs
- selects the higher peak ('serving' cell)



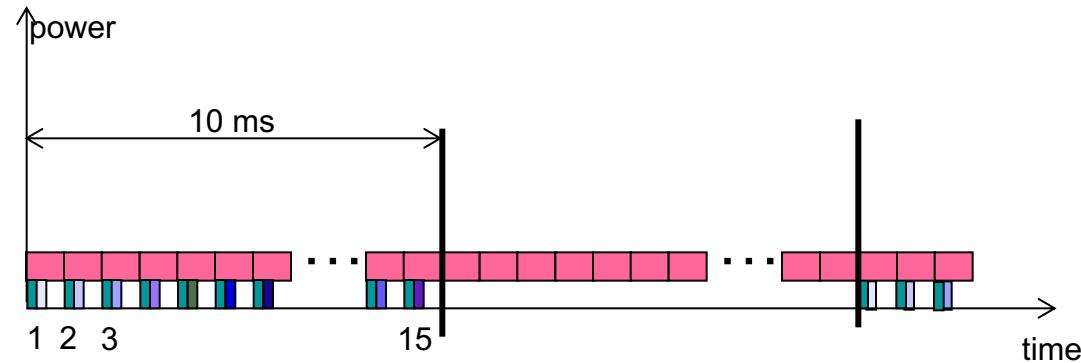
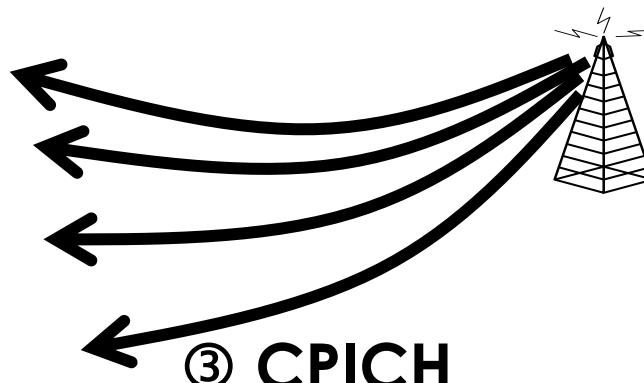
# UMTS – mobile switch-on

## ③ CPICH : Common Pilot Channel

- in each slot, a 20 bit-pre-defined sequence, SF=256,
- scrambled by the NodeB,
- each S-SCH sequence is associated with 8 possible CS.

→ the mobile:

- identifies its 'serving' cell CS



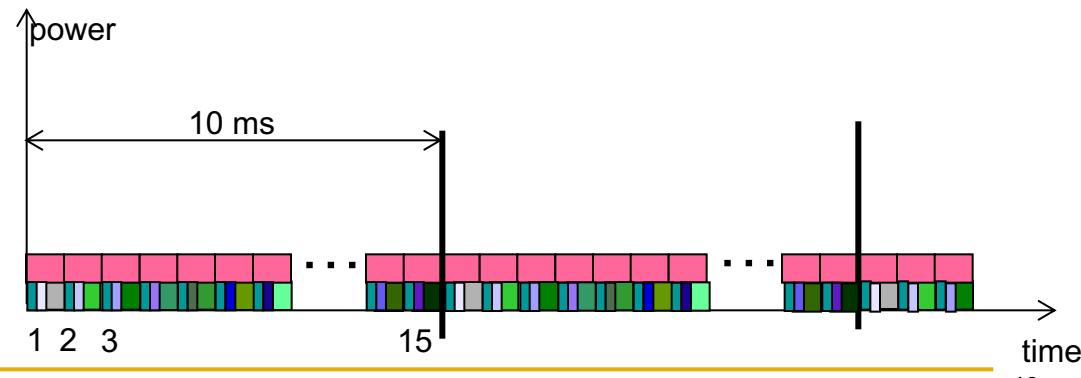
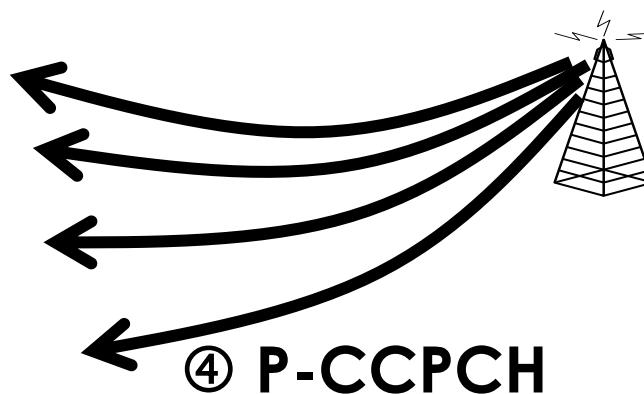
# UMTS – mobile switch-on

## ④ P-CCPCH: System Information

- ❑ spread by a pre-defined CC ( $SF=256$ ),
- ❑ scrambled by the NodeB CS,
- ❑ following P-SCH and S-SCH in the burst (i.e. 18 bits /slot)

→ the mobile:

- ❑ knows its serving cell's physical channels organization (Paging Indicator Channel PICH, PCH, FACH)



# UMTS – mobile switch-on

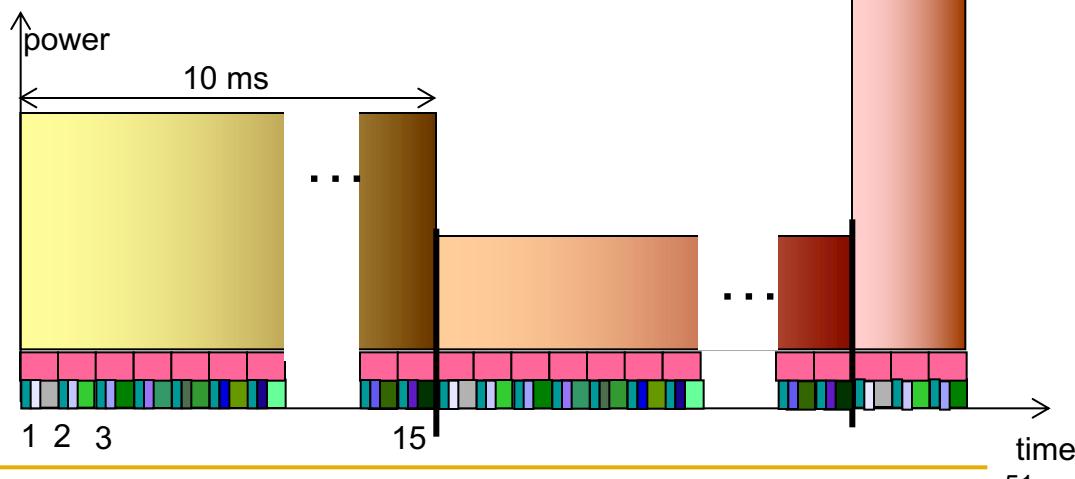
## ⑤ Idle mode on the serving cell's CPICH:

- Radio measurements:
  - CPICH Received Signal Code Power : received power level on CPICH
  - UTRA carrier Received Signal Strength Indicator : received power level on UTRA carrier
  - CPICH  $E_c/N_0$  : interference level on CPICH  
(= CPICH RSCP  $\div$  UTRA Carrier RSSI)
  - etc
- LU (by PRACH and S-CCPCH)
- neighboring cells' CPICH monitoring
- PCH listening (PICH)

# UMTS – Incoming call

① The mobile is on idle mode on the serving cell's PICH:

- Depending on their identity, the mobiles are divided into groups.
- Each group scans the S-CCPCH physical sub-channel PICH.
- The PICH indicates whether or not the mobiles should decode the PCH  
⇒ mobile battery life increase
- S-CCPCH SF: 4 to 256 depending on the cell load (PCH rate increases with cell load)



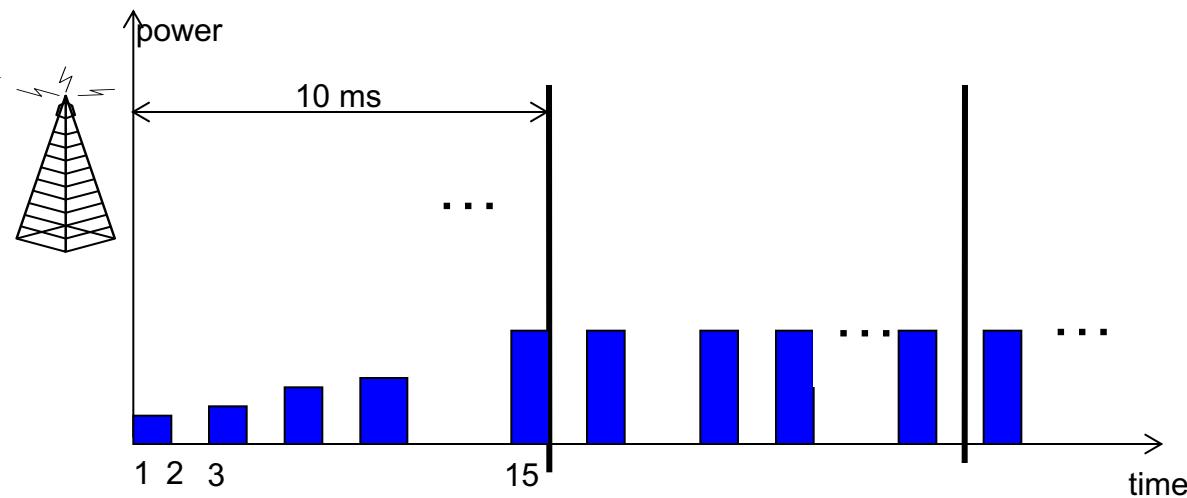
# UMTS – Incoming call

② The mobile attempts to access on PRACH:

1. selects 1 preamble (among 16)  $\Rightarrow$  4096 symbols ( $\leq 5120$ )
2. transmits the preamble at low power (the power level is set from CPICH measurements)
3. Ramping process: the preamble is re-transmitted at increased power until the mobile receives a network ack

TA estimation

② PRACH

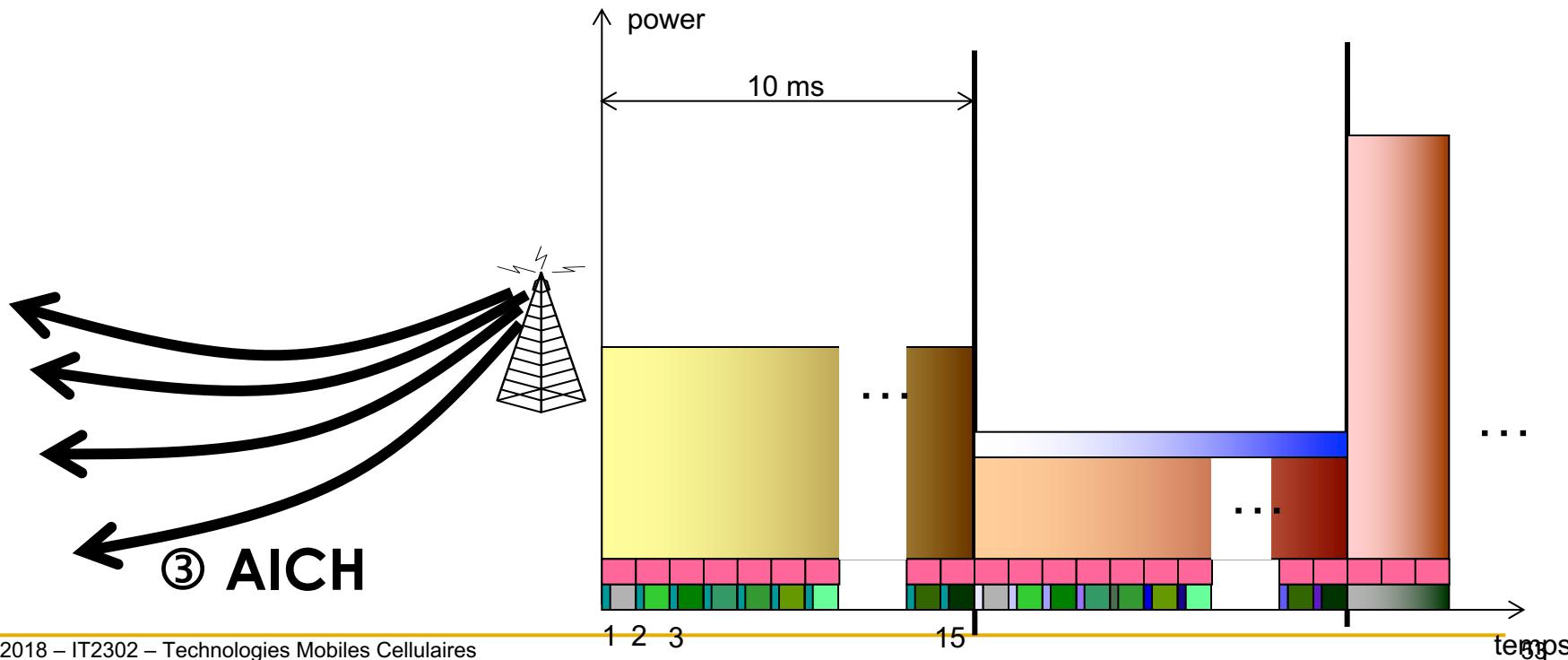


# UMTS – Incoming call

- ③ The network answers on AICH :

The network indicates, for each preamble, if it was correctly received or not.

- AICH SF: 256

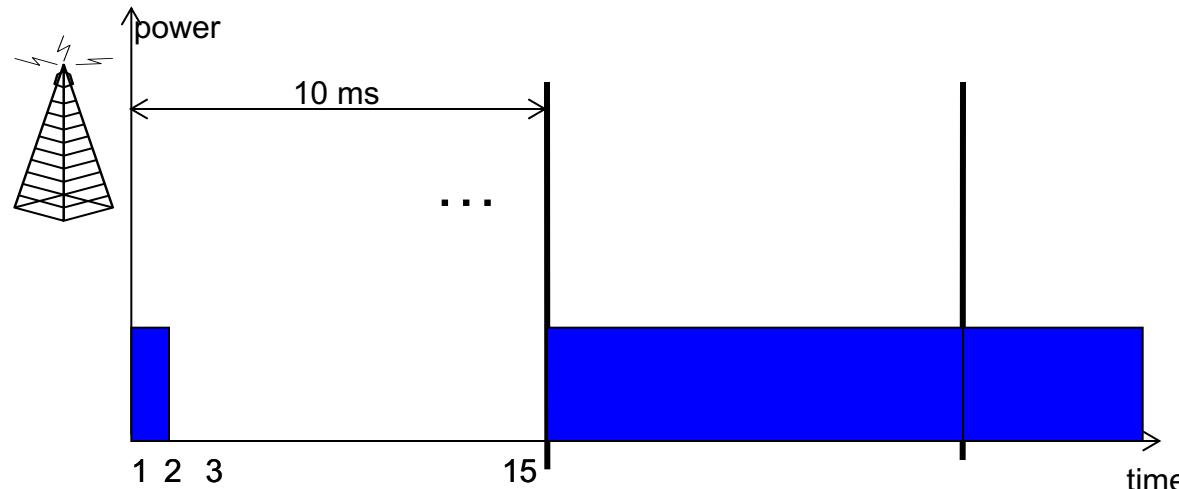


# UMTS – Incoming call

④ The mobile transfers its access message on PRACH :

- 1 message = 1 10 ms-frame
- message contents :  $\leq 150$  'useful' bytes ( $SF \geq 32$ ) + pilots + TFCI
- Repeated on the following 10 ms-frame

④ PRACH



# UMTS – Incoming call

⑤ CCCH ↓↑ on S-CCPCH & PRACH:

Signalisation for dedicated link establishment:

- authentication
- ciphering
- DTCH channel allocation

⑥ DTCH ↓↑ on DPDCH (/ PDSCH/ PRACH/ S-CCPCH) :

Communication

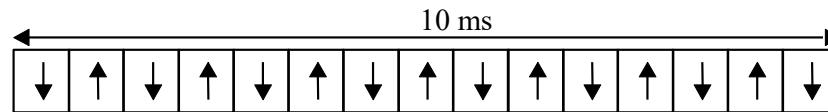
## □ UTRAN physical layer

# UTRAN: physical layer

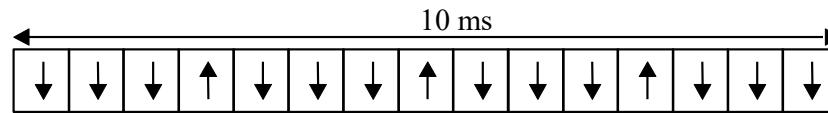
	FDD	TDD
<b>Multiple access</b>	W-CDMA	TD-CDMA
<b>DL-UL duplexing</b>	FDD	TDD
<b>Spreading factor</b>	256 (512) -4	16-1
<b>Handover</b>	Soft	Hard
<b>Chip frequency</b>	3,84 Mchips/s	
<b>Frame structure</b>	15 slots per 10 ms frame	
<b>Pulse shape filter</b>	Raised cosinus, roll-off 0,22	
<b>Carriers spacing</b>	5 MHz	
<b>Frame</b>	10 ms, 15 slots, 2 560 symbols/slot	
<b>Modulation</b>	QPSK	
<b>Coding</b>	Uncoded, convolutional, turbo	
<b>Interleaving</b>	10, 20, 40 or 80 ms	

# UTRAN: TDD mode (1 /)

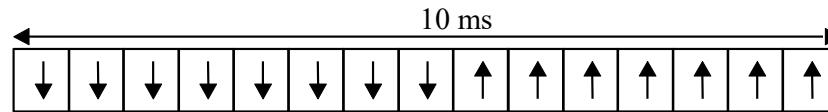
- 16 (8) flows can be simultaneously transmitted in each slot
- examples of frame configuration:



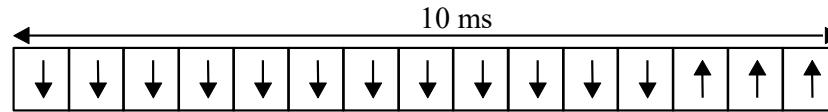
Multiple-switching-point configuration (symmetric DL/UL allocation)



Multiple-switching-point configuration (asymmetric DL/UL allocation)



Single-switching-point configuration (symmetric DL/UL allocation)

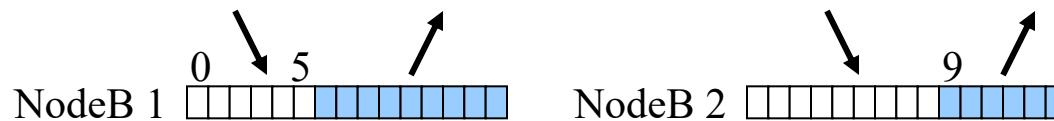


Single-switching-point configuration (asymmetric DL/UL allocation)

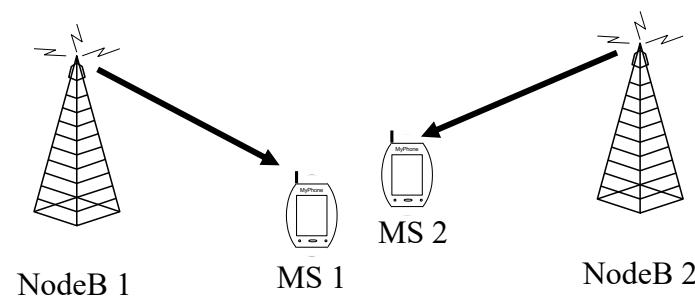
# UTRAN: TDD mode (2/)

To avoid mobile-to-mobile and NodeB-to-NodeB interferences, NodesB must be synchronized and the switching point must be the same in all the network.

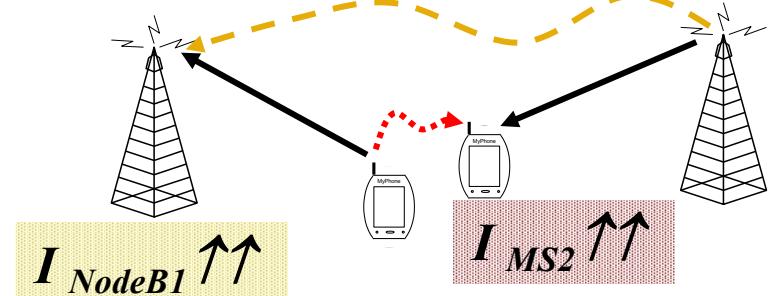
Interference example:



→ slots 0 to 5:



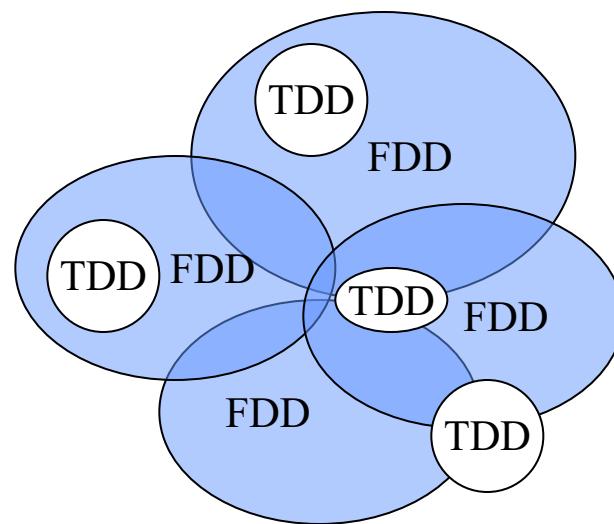
→ slots 6 to 8:



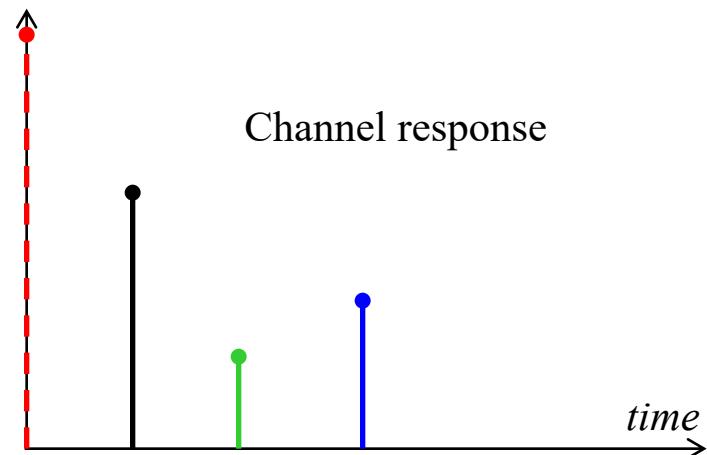
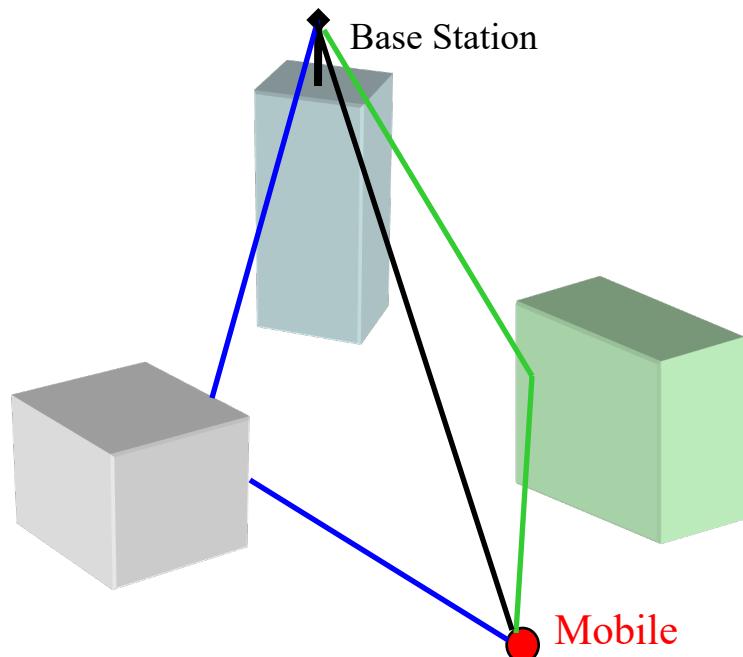
Worst case: mobile at the border of the cell:  
MS1 transmits at  $p_{\max}$  to fight against poor channel gain

# UTRAN: TDD mode (3/)

- Identical switching point
  - flexibility loss in assymetry between uplink & downlink
- Non identical switching point
  - different frequency band from one cell to another (~impossible) and/or
  - hot spots:

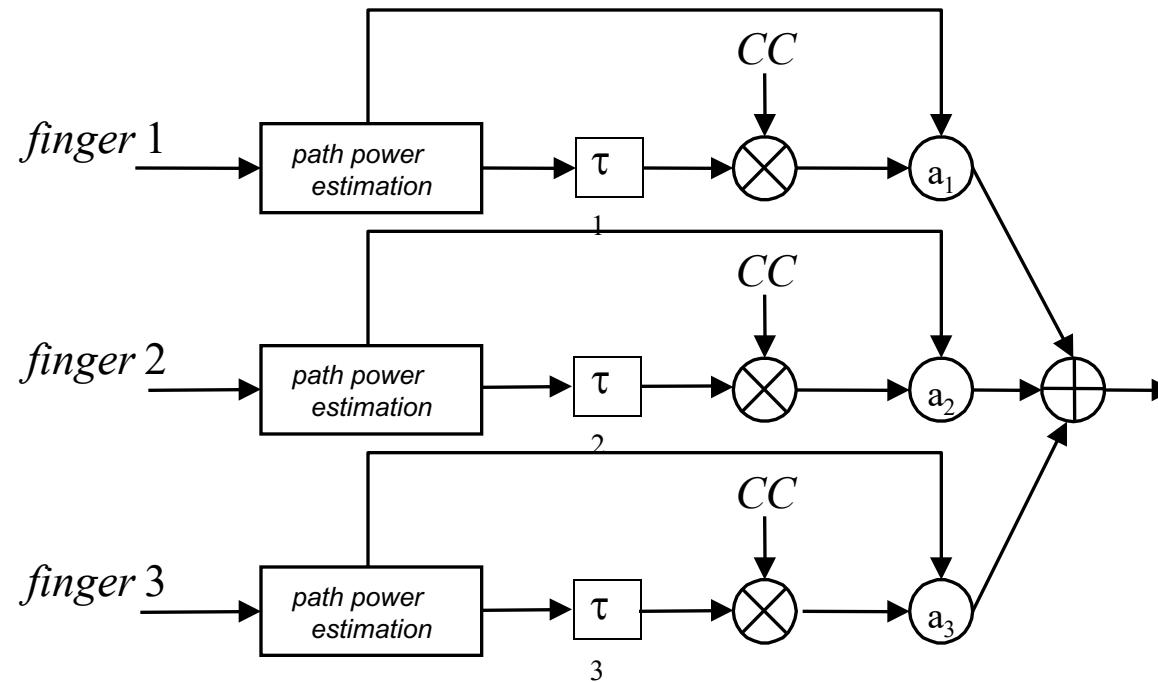


# Rake receiver



# Rake receiver

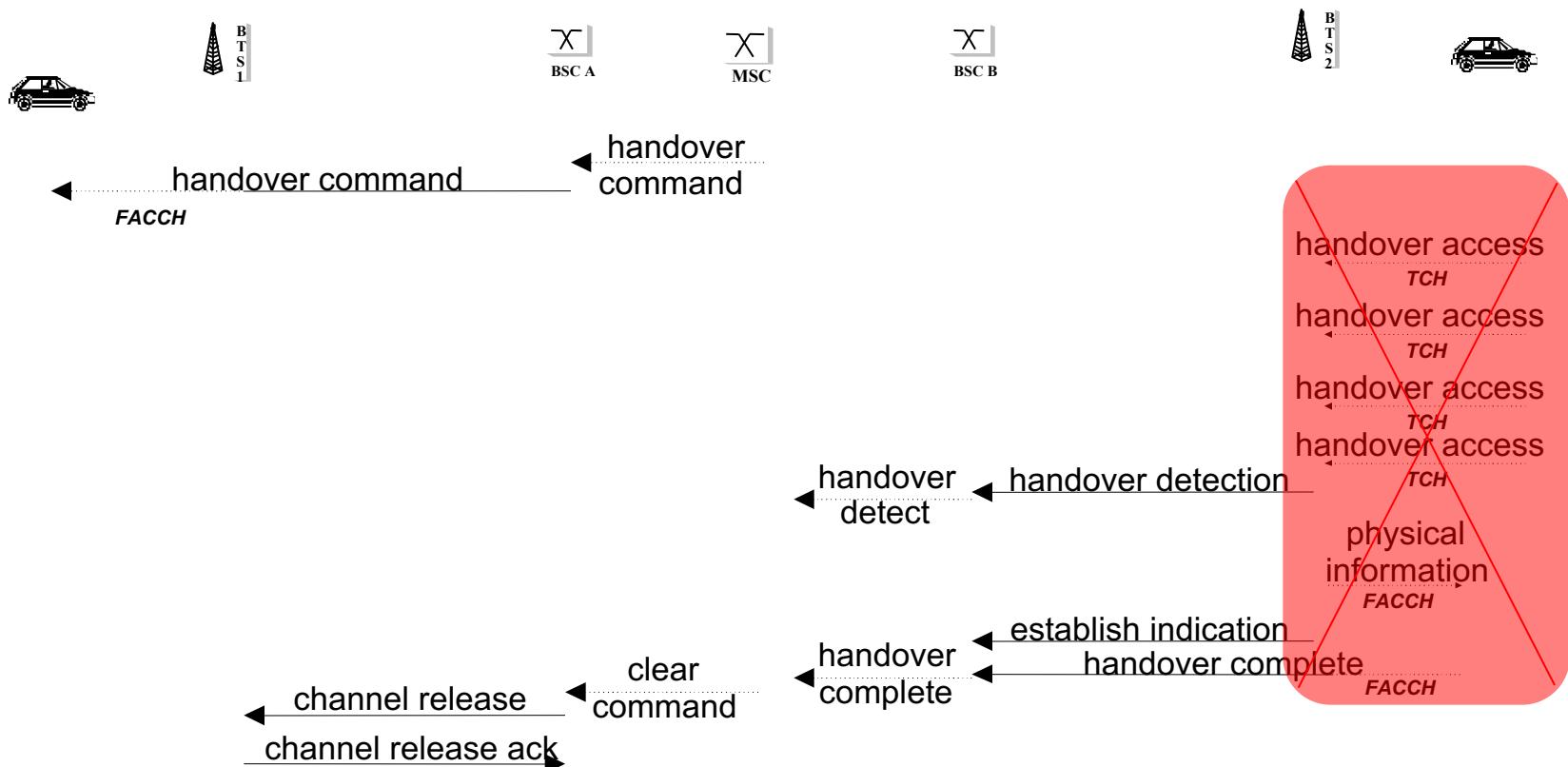
- Optimal receiver, recovering all replicas created by multipaths
  - known spreading code
  - delay line + correlation
  - channel intensity estimation
    - path power



## □ Handover in UMTS

# Hard handover in GSM

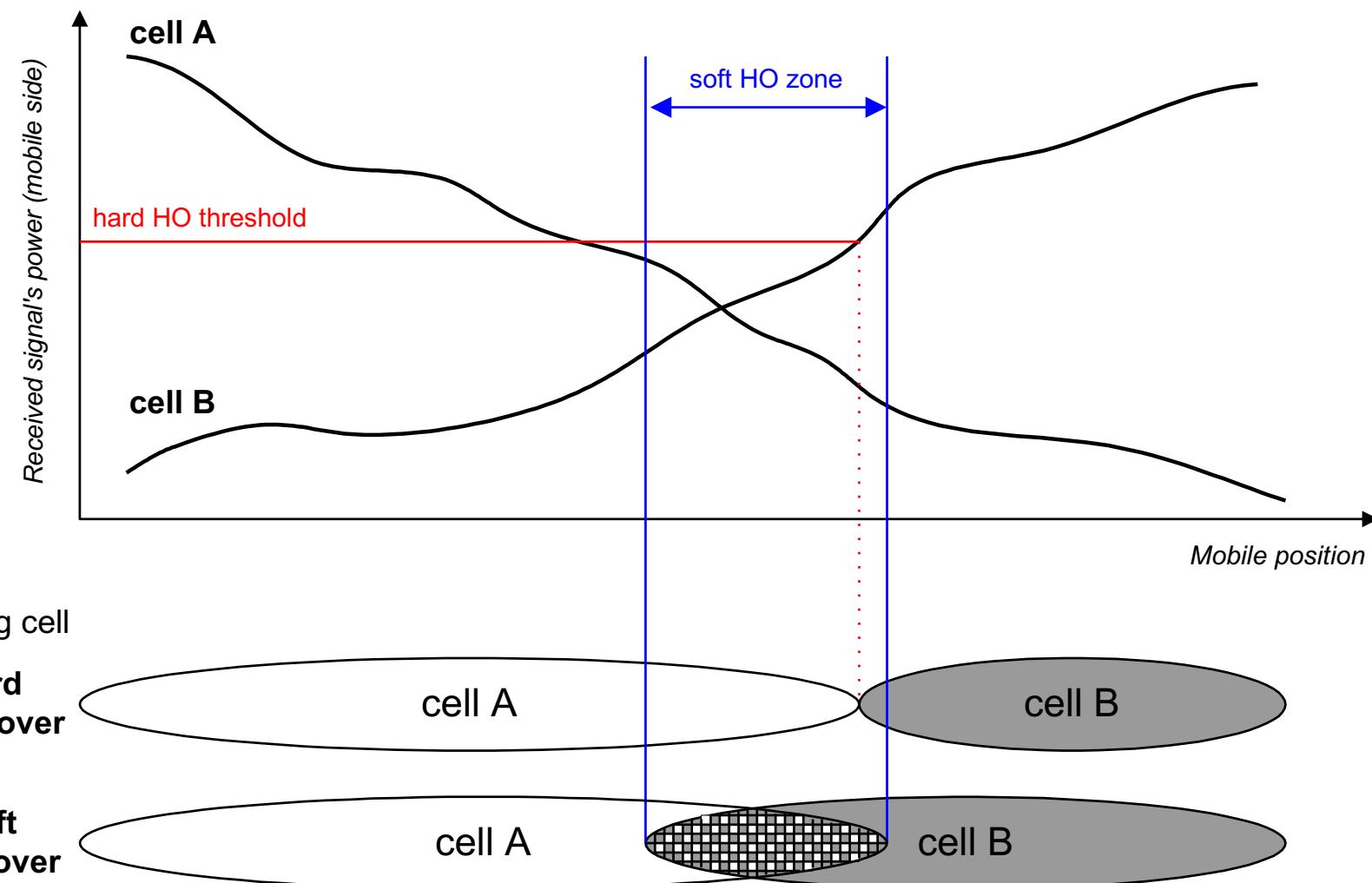
- Mobile is connected with a single one BTS.
- Channel switching
  - interrupted transmission (less disturbing for phony services)



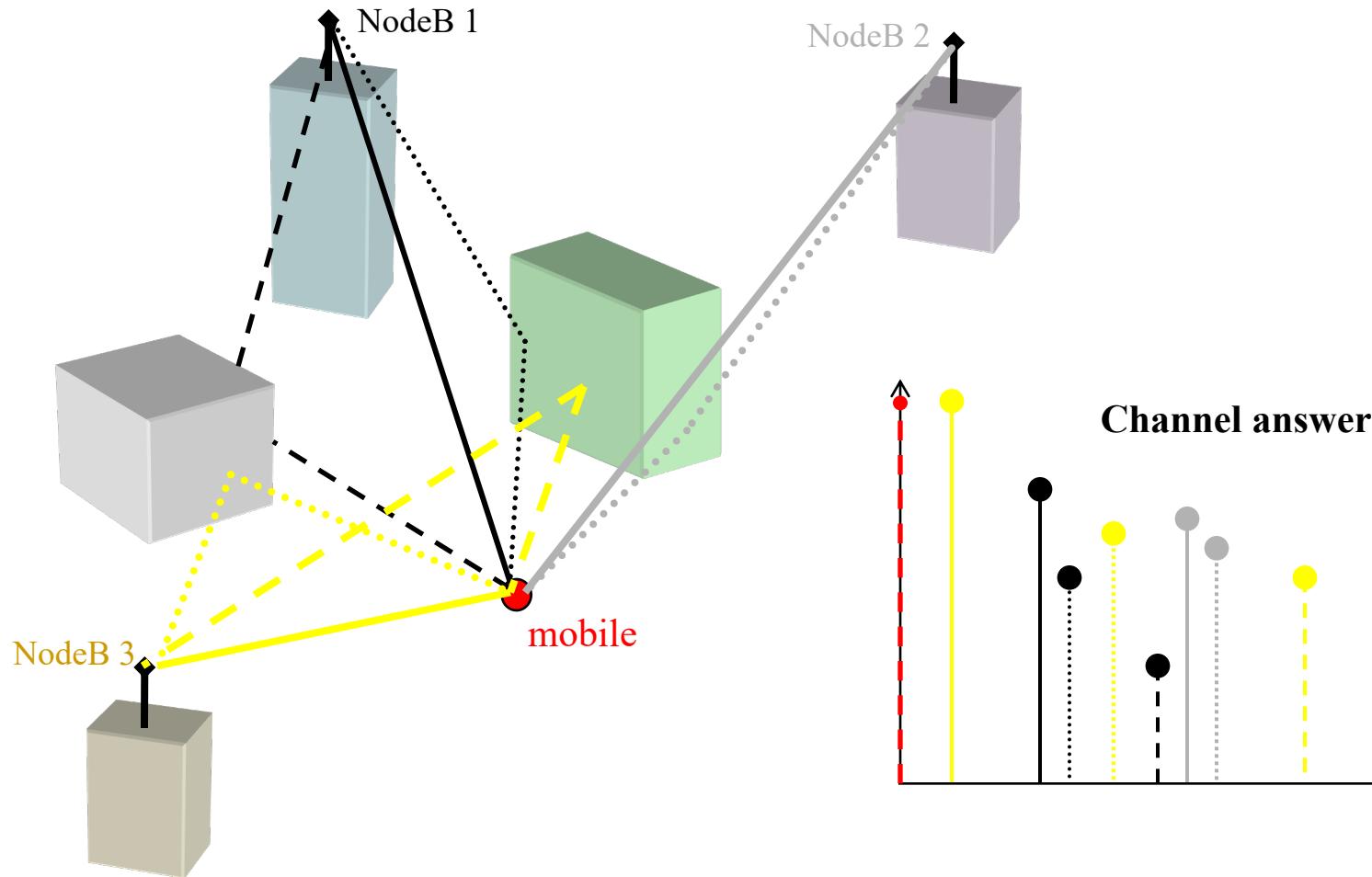
# Hard handover / Soft handover

- Data services
  - one or more RLC/MAC block(s) can be lost during the transmission's interruption
  - block(s) retransmitted by RLC protocol
- Soft HO:
  - The mobile is simultaneously connected with more than one base station
    - it receives the same information from the different base stations
    - when channel switching on one base station: no interrupted transmission

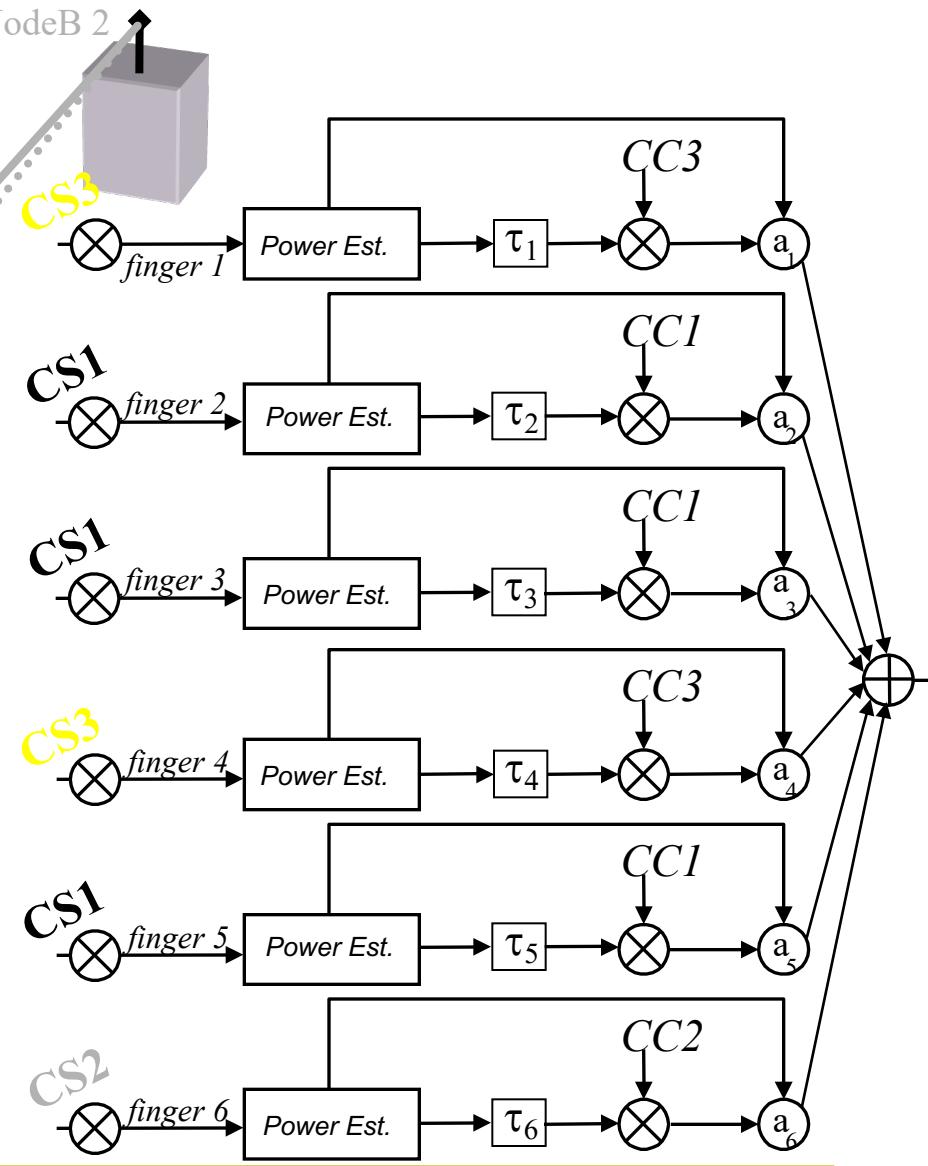
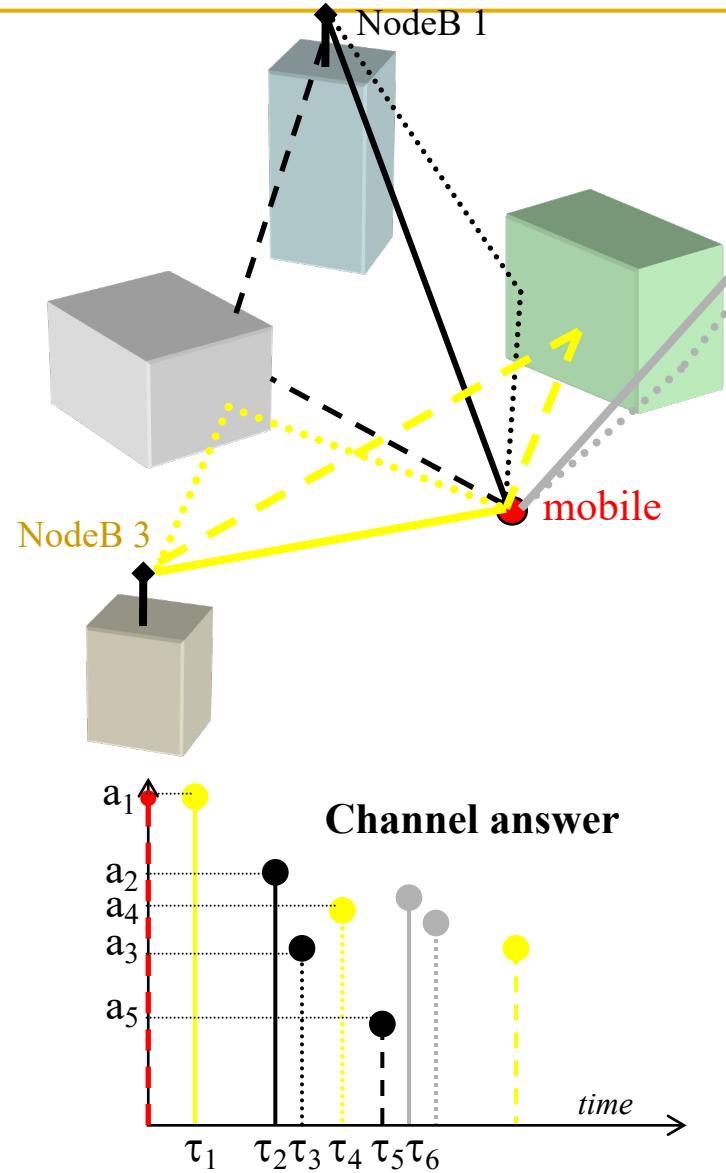
# Soft Handover



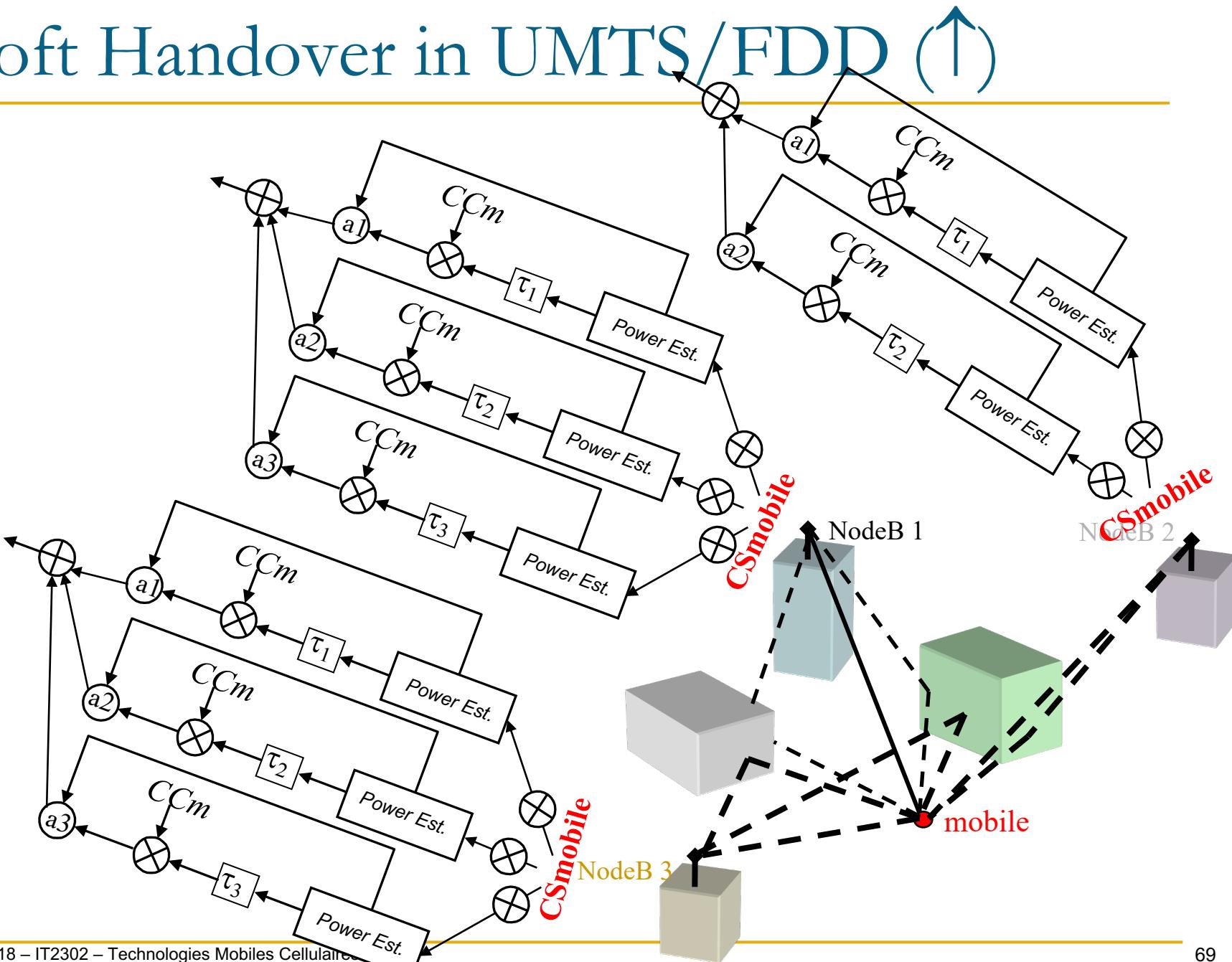
# Soft Handover in UMTS/FDD



# Soft Handover in UMTS/FDD ( $\downarrow$ )



# Soft Handover in UMTS/FDD



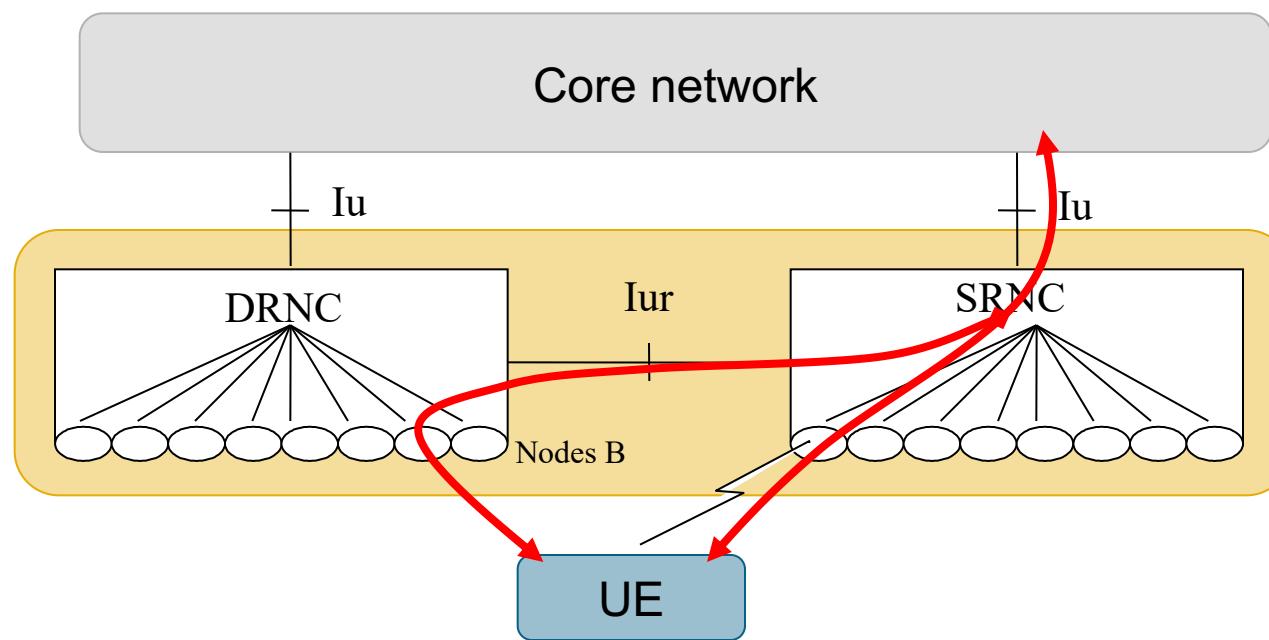
# Soft Handover in FDD: RNC role

## Serving RNC purpose:

- mobile connected to Core network
- multipath combination ( $\uparrow$ )

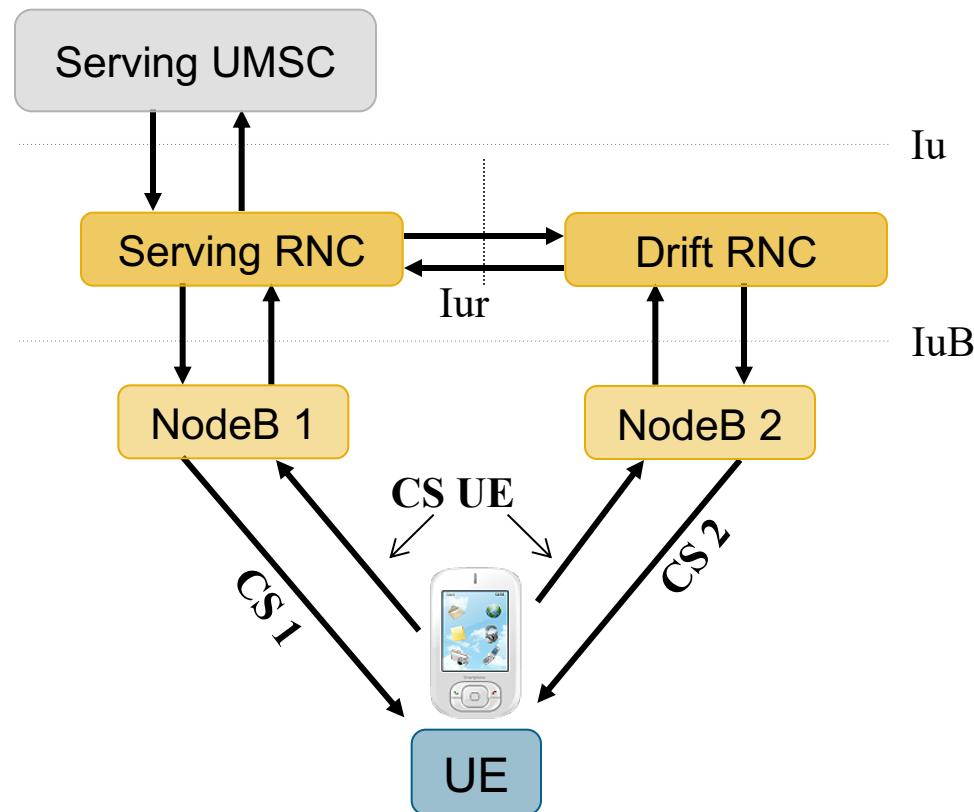
## Drift RNC purpose:

- supplementary radio resources allocated to the mobile



# Soft Handover in UMTS/FDD ( $\downarrow\uparrow$ )

- downlink  $\downarrow$  :
  - RAKE for each flow of data: {1 CS, 1 CC} per NodeB involved in the soft HO
  - multipath combination in the mobile
  
- uplink  $\uparrow$  :
  - Probability {CSmobile already used in the other cells involved in the soft HO}  $\sim 0$
  - multipath combination in the S-RNC



# Soft Handover in UMTS/TDD

Theoretically possible, BUT :

- ❑ FDD constraints must be respected (CC & CS)
- ❑ slot capacity limitation ↓↑:
  - only 8 flows ( $\neq$ FDD :  $\infty$  si CC ⊥)
  - the same slot must be used in all the cells involved in soft HO...  
⇒ same switching point ↓↑ in all the network...
- ❑ downlink ↓: high precision for:
  - NodeB synchronization
  - ‘multi-users’ TA management for each NodeB
  - Timing Advance management by Serving-RNC (combination)  
⇒ all information coming from the NodeB involved in the soft HO reach the mobile in the appropriate slot
- ❑ uplink ↑:
  - Timing Advance management, for each NodeB, by the mobile... or:
  - Information transmitted independently to each NodeB  
⇒ all information coming from the mobile reach the NodeB involved in the soft HO in the appropriate slot

**NO SOFT HANDOVER in UMTS/TDD**

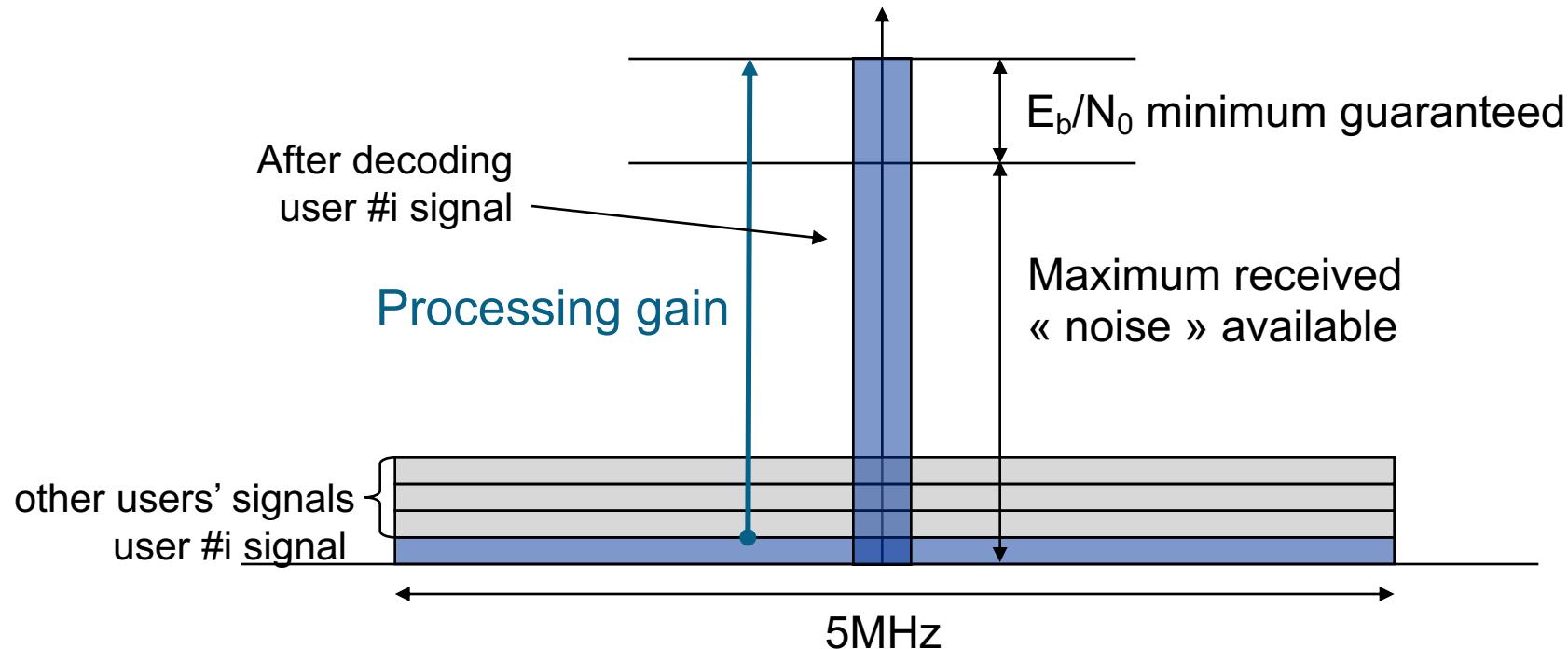
# Hard Handover in UMTS

- Hard Handover is still necessary in UMTS:
  - for TDD mode
  - for inter-frequency handovers
    - macro / micro
  - for inter-systems handovers
    - UMTS (TDD) / GSM

## □ Power control for UMTS

# Spectral occupancy

- symbol rate = 3.84 Msymboles/s (QPSK)
- roll-off factor = 0.22
- ⇒ Bandwidth < 5 MHz



# Power control (1 /)

- Without mobiles transmission power control:
  - signal received, at the base station level, from a mobile situated in the centre of the cell
    - >>
    - signal received, at the base station level, from a mobile at the border of the cell
- The ‘far’ signal is shadowed by the ‘near’ signal: ‘near-far effect’.

All the signals must reach the NodeB with the same power,  
independently of their situation in the cell:  
**UPLINK POWER CONTROL**

# Power control (2/)

- On the downlink, (intra-cellular) interferences are less critical: all signals transmitted by a NodeB:
  - are perfectly synchronized & orthogonal
  - are affected by a single fading (for a given mobile)
  - orthogonality between signals is theoretically kept (in case of no multipath).
- Power control is:
  - essential on uplink
    - to avoid ‘near-far effet’
  - still required on downlink:
    - to mitigate inter-cells interference
    - to minimize power consumption.
- Mean for power control management :
  - open loop / close loop
  - pilot bits examination  $\Rightarrow$  TPC bits management

# Power control (3/)

- $E_b/(N_0+I) \geq x \text{ dB}$  (6 e.g.)
- $N_0 + I = \text{Power Spectral Density of the Noise} + \text{Noise Power Spectral Density generated by other users.}$ 
  - Value is assumed to be constant
- $E_b$  given,  $N$  being the SF:

$$E_b = N E_{ch} = N P_{ch} T_{ch}$$

$\Rightarrow N P_{ch}$  constant

$\Rightarrow N P_{sy}$  constant

$\Rightarrow N$  low:

$N$  high:

$D_{sy}$  high  $\Leftrightarrow P_{sy}$  high

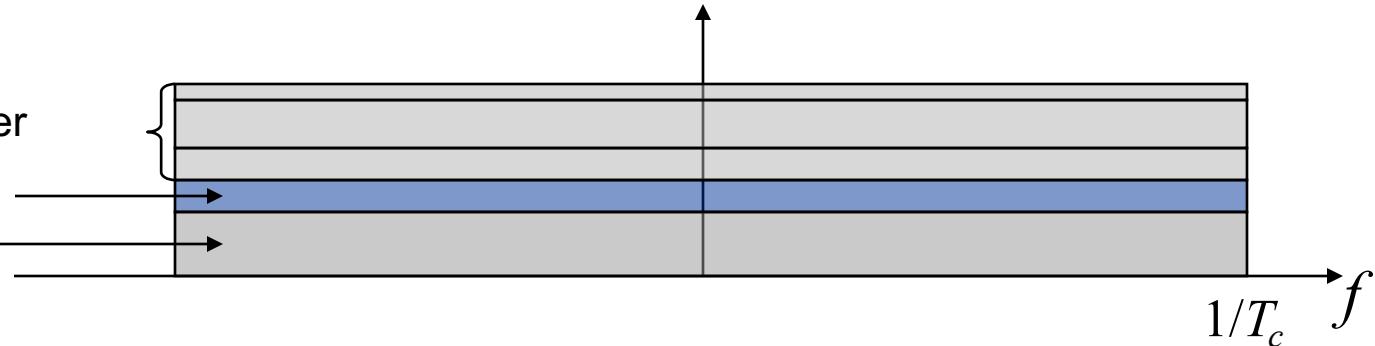
$D_{sy}$  low  $\Leftrightarrow P_{sy}$  low

# Power control (4/)

- Ideal case of perfectly orthogonal sequences :

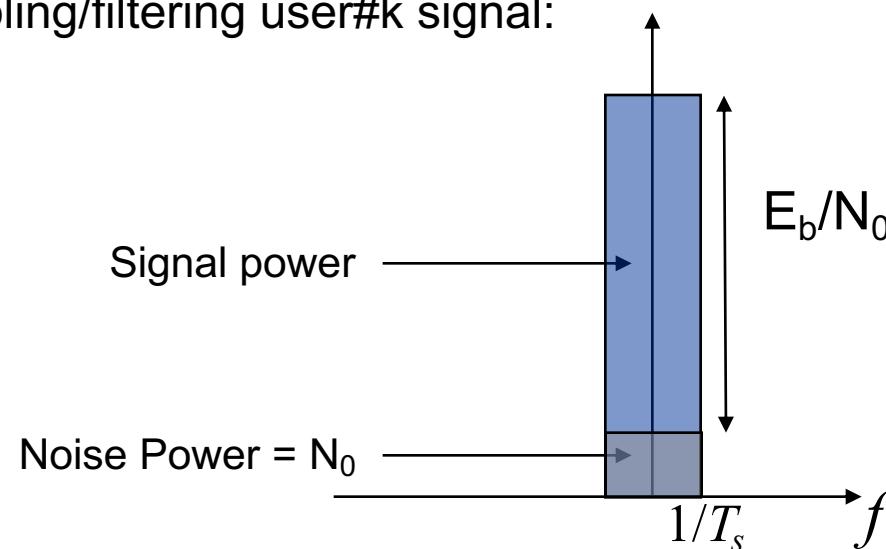
**Tx :**

Other users' power  
User#k power  
AWGN ( $N_0$ )



**Rx :**

After despreading/descrambling/filtering user#k signal:



# Power control (5/)

- Reality: Non perfect codes orthogonality:
  - multipath (UPLINK & DOWNLINK)  
⇒ non orthogonal replicas (scrambling codes autocorrelation)
  - **other users/cells interferences**  
(scrambling codes cross-correlation)

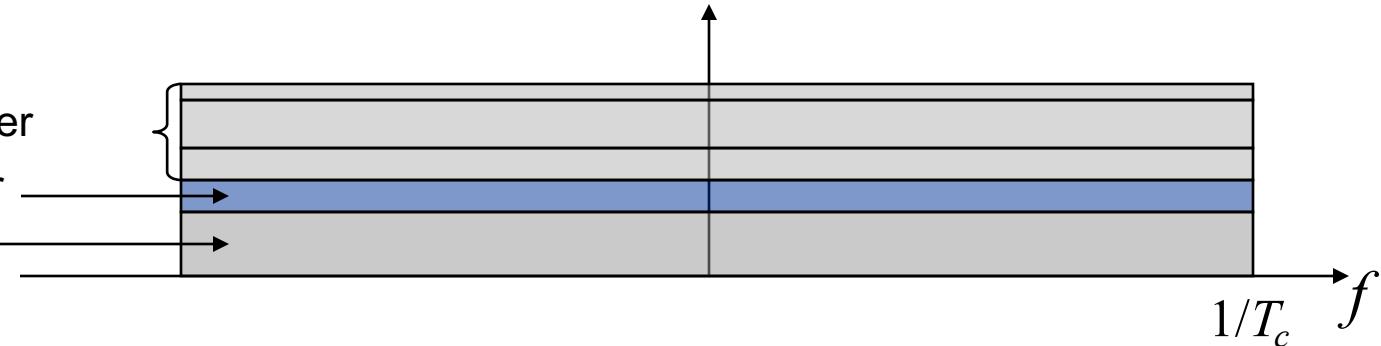
# Power control (6/)

**Tx:**

Other users' power

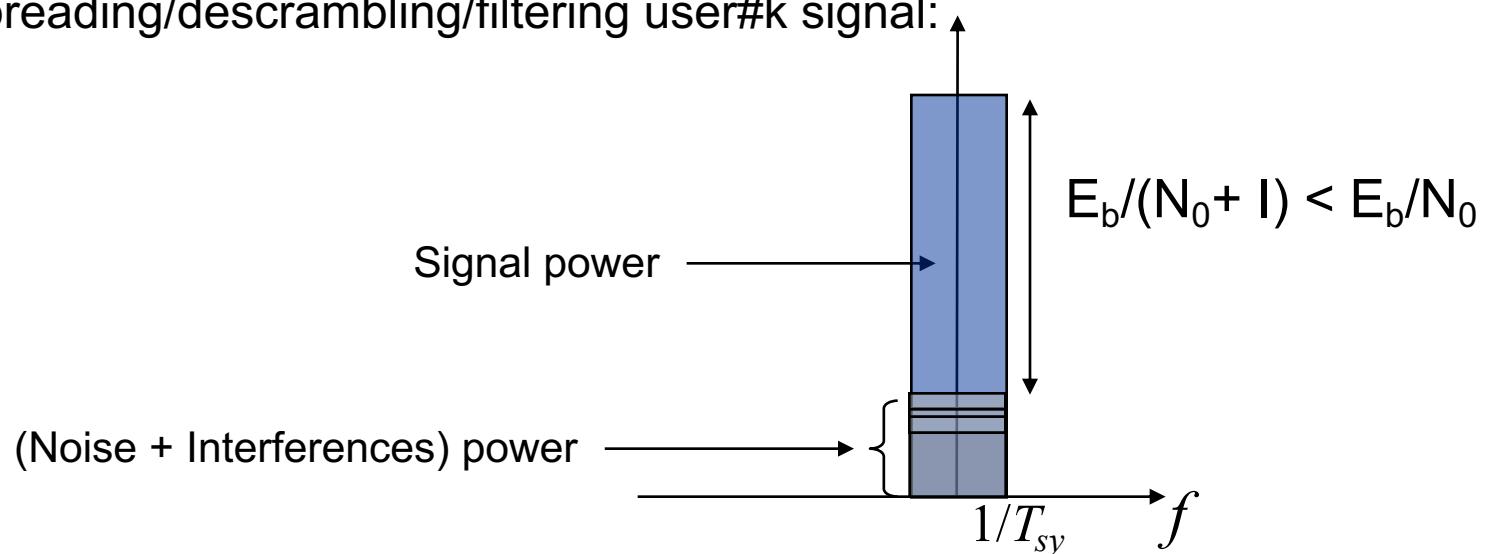
User#k power

AWGN ( $N_0$ )



**Rx:**

After despread/descrambling/filtering user#k signal:



# Power control (7/)

Rx:

Signal#k ( $D_{sy}$ ) power

Other users' power

AWGN ( $N_0$ )

$$E_b/(N_0 + I) \text{ min.: } f(D_{sy})$$

$$1/T_{sy}$$

$$1/T_c$$

$f$

Signal#k ( $2D_{sy}$ ) power

Other users' power

AWGN ( $N_0$ )

$$E_b/(N_0 + I) \text{ min.: } f(2D_{sy})$$

$$2/T_{sy}$$

$$1/T_c$$

$f$

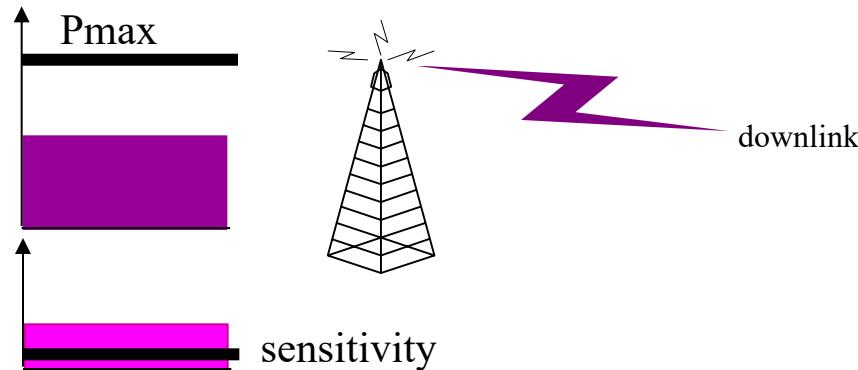
Minimum  $E_b/(N_0 + I)$  requirements vary from one service to another

# Power control (8/)

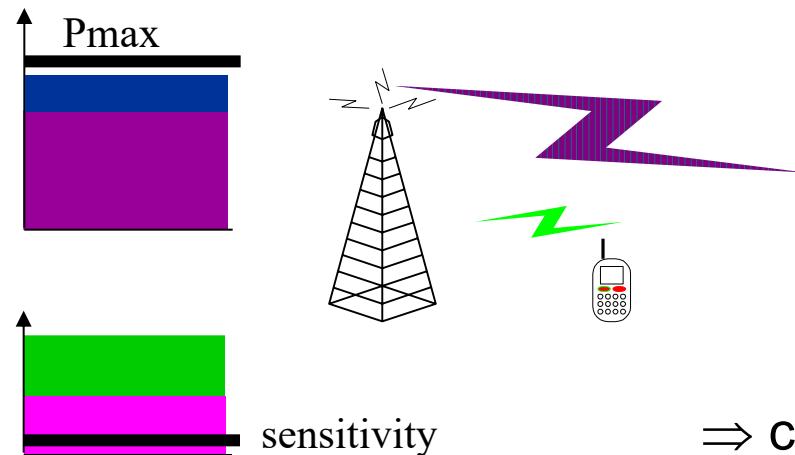
- As seen by user #k:
  - $N_0 + I$ :
    - (Noise + Interferences) spectral density generated by other users
    - $= N_0 + T_s \sum_{i \neq k} \alpha_i p_i g_i \quad (0 \leq \alpha_i \leq 1)$
- tuning  $E_b$  for user #k:
  - $E_b(k) \uparrow \Rightarrow$  Interferences spectral density level ( $\neq k$ , if  $\alpha_n \neq 0$ )  $\uparrow$
  - $E_b(k) \downarrow \Rightarrow$  Interferences spectral density level ( $\neq k$ )  $\downarrow$
  - System instability
    - limited power of equipments

# Cell breathing (1 /)

Radio coverage is supposed to be OK:



- in case of low density traffic:

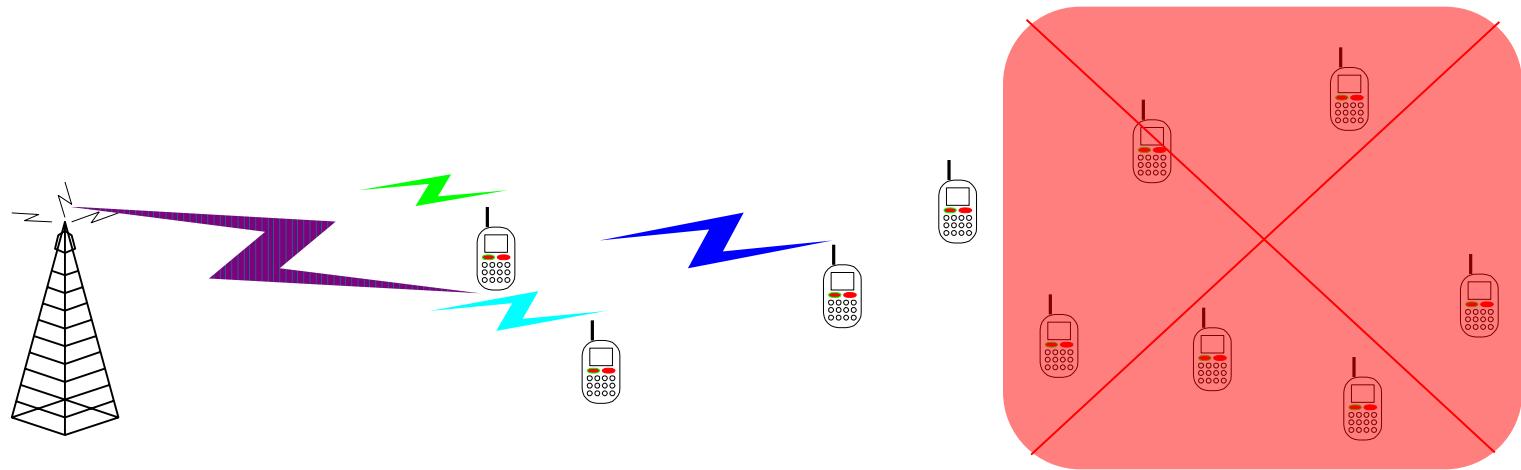


⇒ cell size can reach the 'radio' size



# Cell breathing (2/)

- in case of high density traffic:
  - downlink:  
more users can be served when the 'nearest' ones are served first
  - uplink:  
limited transmission power
    - only the 'nearest' users can be served



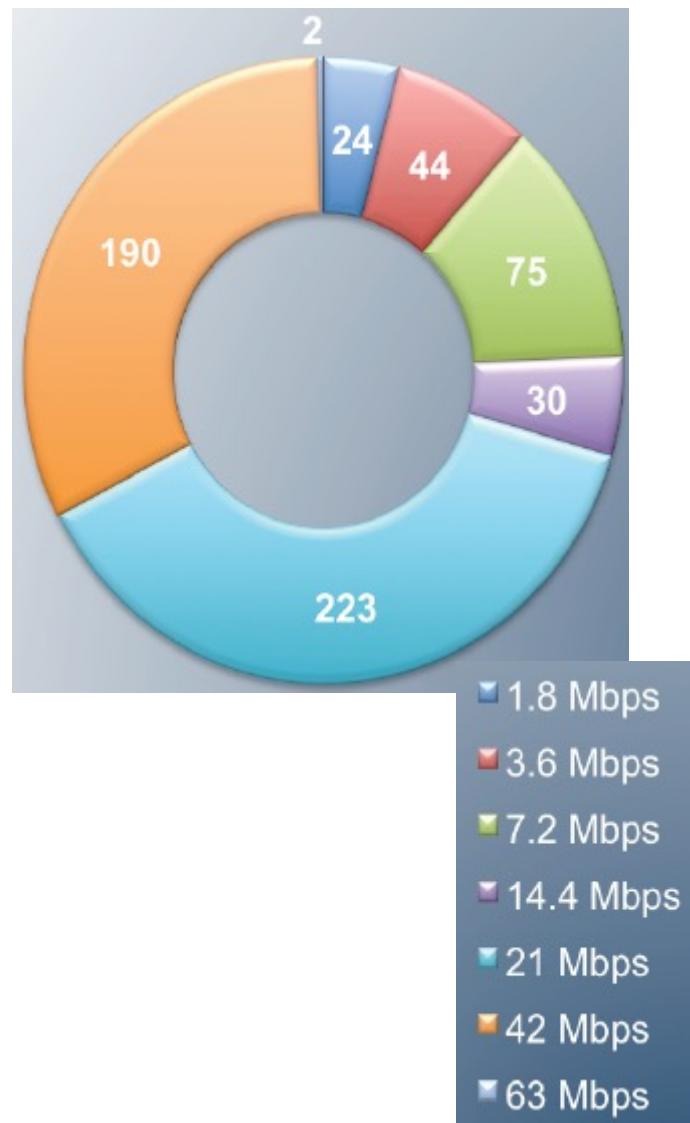
⇒ cell effective size ↴

'nearest' in the sense 'the ones benefiting from the best radio propagation'

 HSPA

# HSPA

- High Speed Packet Access
  - Downlink: HSDPA
    - First launch: 2005
    - Offered throughputs: 14.4 Mbit/s ↓
  - Uplink: HSUPA
    - First launch: 2007
    - Offered throughputs: 5.76 Mbit/s ↑
  - Dual carrier
    - First launch : 2009 (Australia)
    - Offered throughputs: 42 Mbit/s ↓
  - 3C HSPA+
    - 2015
    - Offered throughputs: 63 Mbit/s ↓
- 2013:
  - 532 commercial networks
  - 203 countries
  - > 3000 devices
  - >250 suppliers

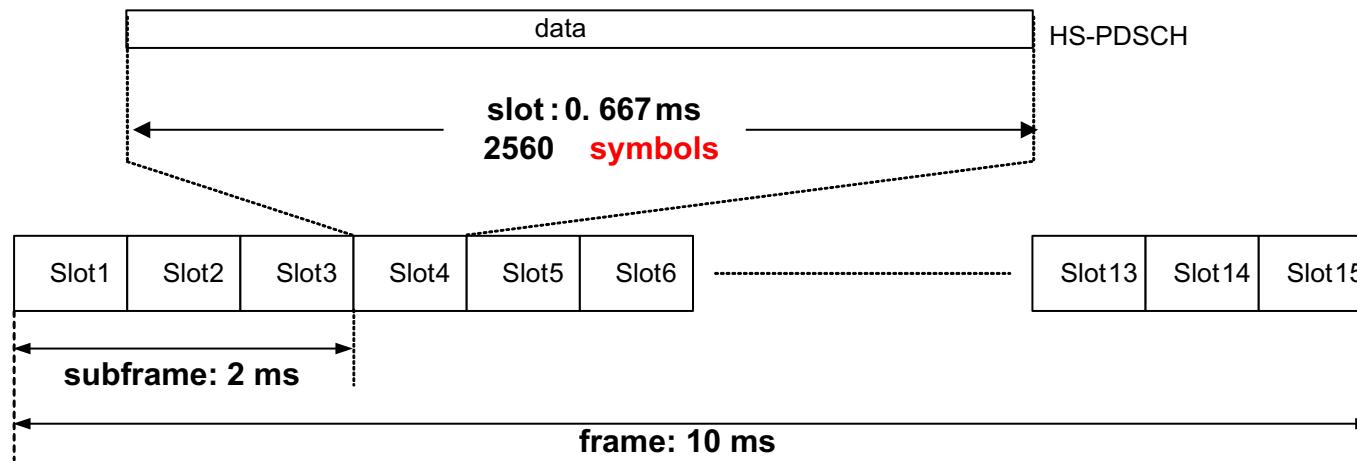


# HSDPA

- High Speed DOWNLINK Packet Access
- Compared to UMTS:
  - fixed SF: 16
  - several spreading factors can be allocated to one user: up to 15
  - new modulation: 16 QAM, in addition to UMTS QPSK  
⇒ adaptive modulation
  - new TTI: 2 ms, in addition to UMTS TTI (min: 10 ms)  
⇒ shorter delay between retransmissions, fast scheduling
  - PHY layer retransmission combining, instead of UMTS RLC level retransmission
  - turbo-coding only (no convolutional coding),  
codes rates: from 1/4 to 3/4  
⇒ adaptive coding
  - no soft HO, no DTX

# HSDPA – new channels

- Downlink user traffic is carried by High Speed Physical Downlink Shared Channel (HS-PDSCH, QPSK or 16QAM, SF=16)



- PHY control is carried by High Speed Shared Control Channel (HS-SCCH, QPSK, SF=128, 60 kbps)
- Uplink feedback signalling related to HS-DSCH transmissions is carried by High Speed Dedicated Physical Control Channel (HS-DPCCH, QPSK, SF=256, 15kbps) associated with an UMTS DPCCH (QPSK, SF=256, 15kbps)

# HSDPA – Data rates

- HS-PDSCH : 2560 symbols/slot
  - SF=16  $\Rightarrow$  160 symbols/slot
  - QPSK  $\Rightarrow$  320 bits/slot, i.e. 480 kbits/s
  - 16QAM  $\Rightarrow$  640 bits/slot, i.e. 960 kbits/s
- Data rates increase,  
from ~2 Mbps max. (UMTS) to 14.4 Mbps max (HSDPA)

Channel bit rates QPSK	Channel bit rates 16 QAM	Spreading codes	Number of allocated spreading codes
6 kbps – 1.872 Mbps		512 - 4	1
480 kbps	960 kbps	16	1
960 kbps	1.92 Mbps	16	2
...	...	...	...
7.2 Mbps	<b>14.4 Mbps</b>	16	15

# HSUPA

- High Speed UPLINK Packet Access
- Compared to UMTS:
  - new spreading factor: 2
  - several spreading factors can be allocated to one user
  - new TTI: 2 ms, in addition to UMTS TTI (min: 10 ms)  
⇒ shorter delay between retransmissions, fast scheduling
    - a 2ms-period signalisation consumes a lot of transmission power on both links
    - at high density traffic, the BS can not provide downlink signalling to a large number of users (⇒ 10 ms TTI)
  - PHY layer retransmission combining, instead of UMTS RLC level retransmission
  - same modulation: QPSK, as in UMTS
  - fast power control & soft HO, as in UMTS

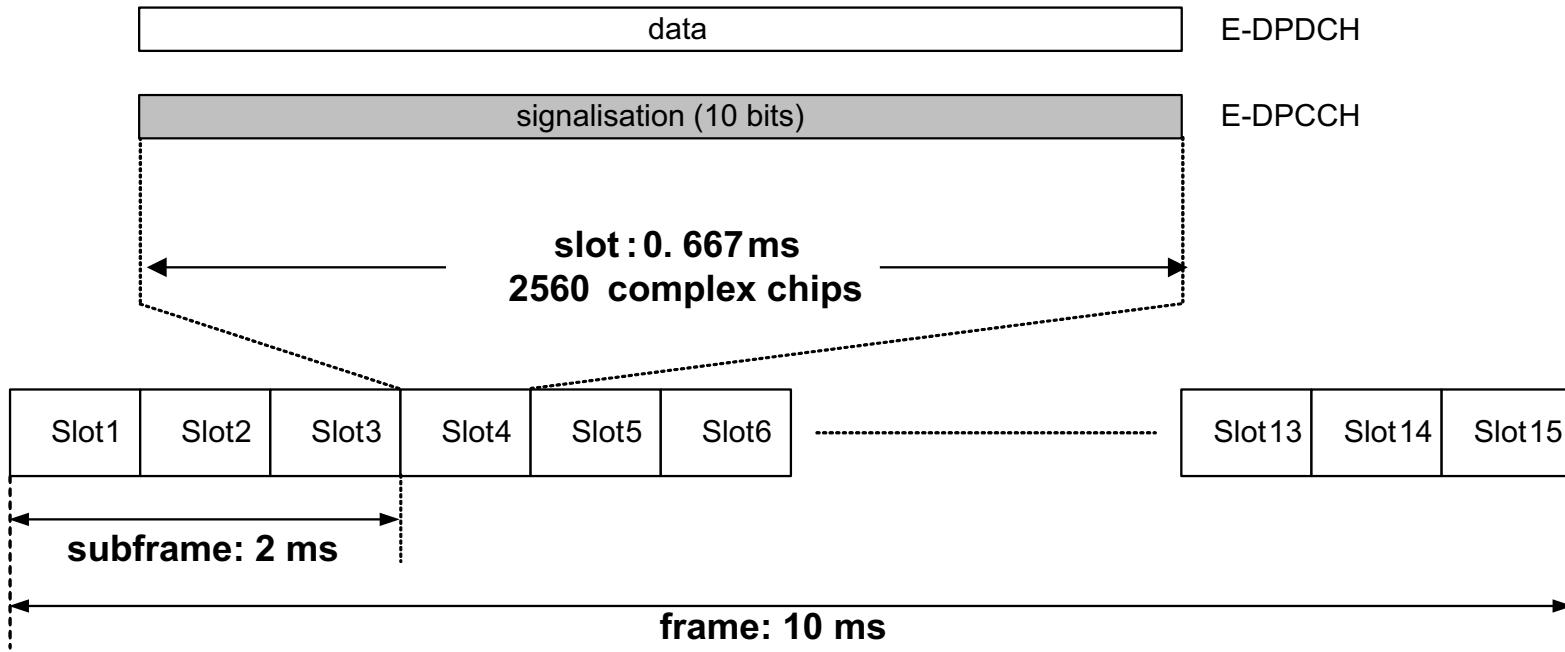
# HSUPA – Data rates

- Data rates increase, from 1 Mbps max. (UMTS) to 5.76 Mbps max (HSUPA)

Channel bit rates	Number of allocated spreading codes	Spreading factors $N_i$
15 – 960 kbps	1	$N_i = 256 - 4$
1.92 Mbps	2	$N_i = 4$
3.84 Mbps	2	$N_i = 2$
<b>5.76 Mbps</b>	4	$2 \times \{N_i = 4\} + 2 \times \{N_i = 2\}$

# HSUPA – slot structure ex.

Slot structure for user traffic  
(dedicated mode, Enhanced-DPDCH & Enhanced-DPCCH):



# HSPA+

- Dual Carrier HSDPA
- $2 \times 5 \text{ MHz} = 10 \text{ MHz}$  bandwidth
- Modulations:
  - 16 QAM  $\Rightarrow$  Channel bit rate : 11,5 Mbits/s per carrier
  - 64 QAM  $\Rightarrow$  Channel bit rate : 21 Mbits/s per carrier
- Max. achievable throughput: 42 Mbits/s
  - with 64 QAM + MIMO 2x2 in a single 5 MHz carrier, or
  - with 64 QAM + 2x5 MHz carrier



# Conclusion : towards 4G

- 3G = UMTS  
3.5G = HSPA
- 4G = LTE, WiMAX
  - 100/50 Mbps
  - LTE Networks :
    - Telia Sonera : Stockholm & Oslo, Dec. 2009
    - NTT Docomo : « Xi » (Cross i), Dec. 2010
    - Verizon : Chicago, New York, San Francisco, Los Angeles, Dec. 2010
    - End 2011:
      - 49 commercial networks, 29 countries
      - 200 devices, 50 suppliers
    - End 2013: 410 operators (93 countries) committed to deploy commercial LTE.
      - 250 commercial networks, 100 countries
      - 200 millions subscribers, ~50% in North America, 40% in APAC, 8% in Europe

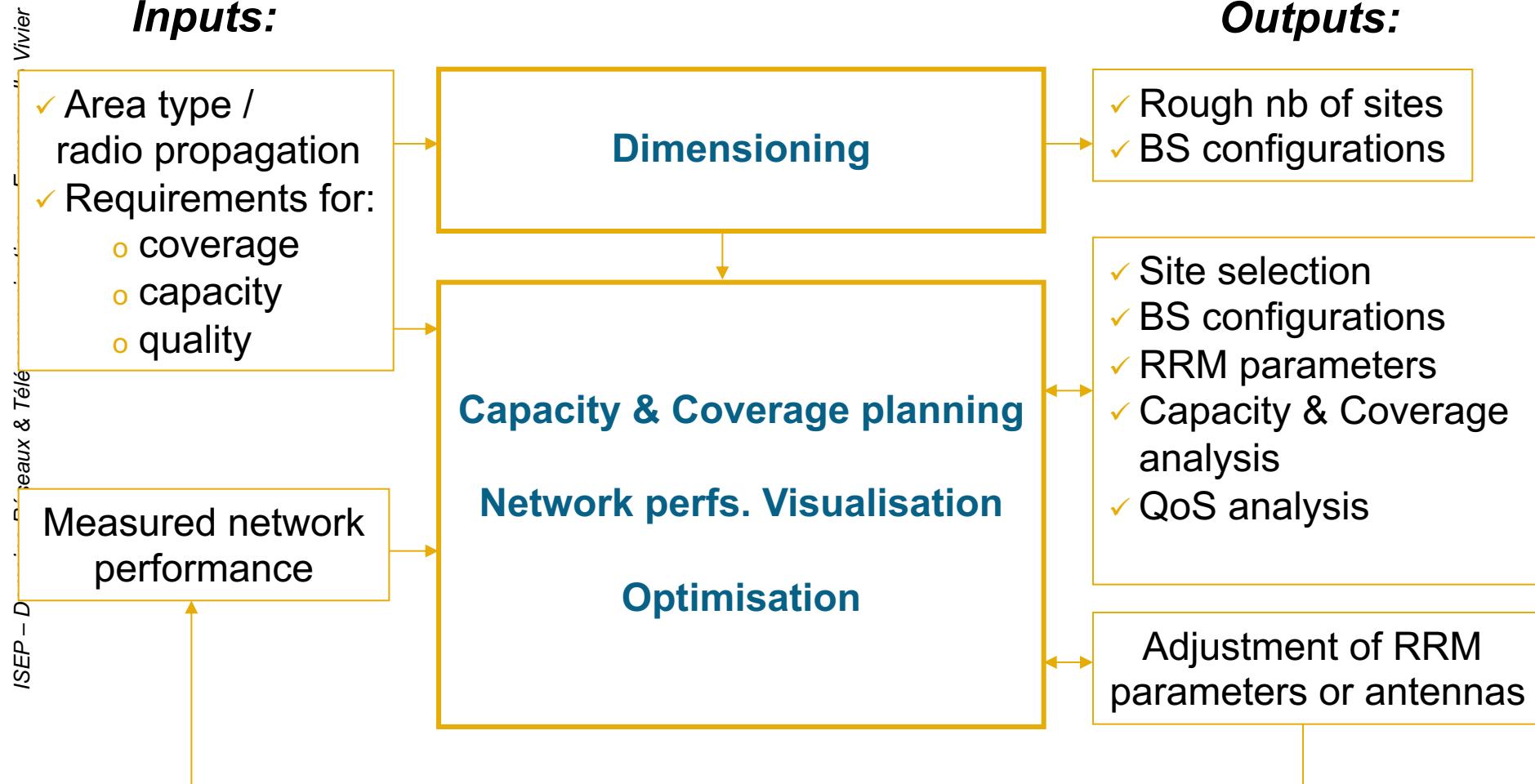
## □ Radio Dimensioning and Planning

# Outline

- Recall: GSM Radio Network Planning Process
- WCDMA Radio Network Planning Process
  - Dimensioning
    - One-cell network
    - General dimensioning
  - Capacity ↑↓
  - Radio Link Budget
  - RRM algorithms
  - Conclusion

# WCDMA Radio Network Planning

## Process



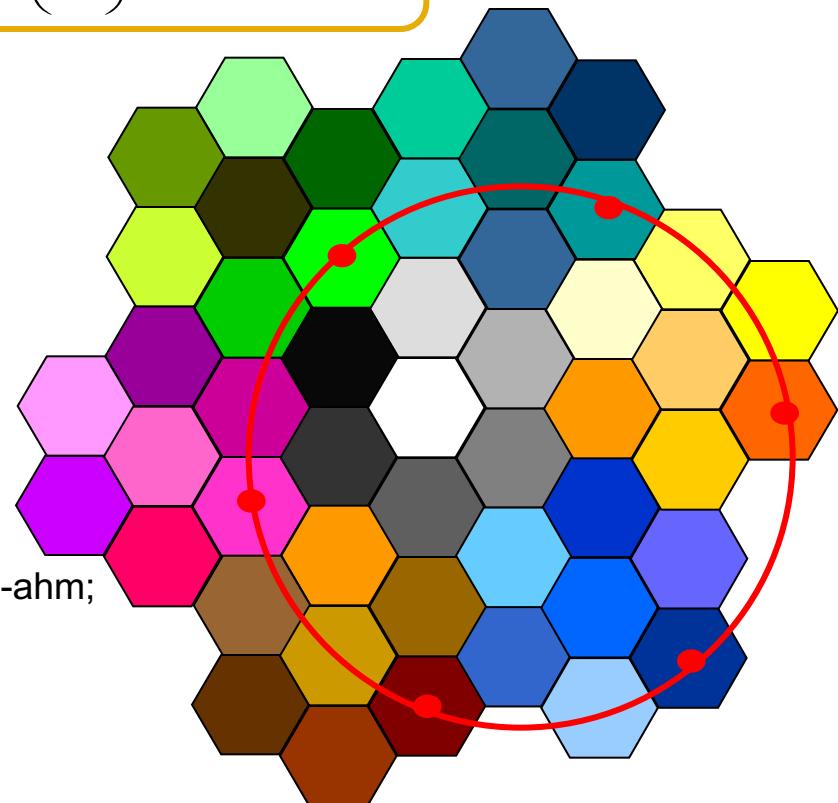
# GSM Radio Network Planning (1 /)

- General formula:
  - $D$  : frequency reuse distance
  - $R$  : cell radius
  - $\gamma$  : propagation loss factor (2-4)
  - $K$  : frequency reuse pattern size

$$\text{SINR} \sim \frac{1}{6} \left( \frac{D}{R} \right)^\gamma = \frac{1}{6} \left( \sqrt{3K} \right)^\gamma$$

- $R$  computed with:
  - propagation law: (Okumura-Hata)
    - $f=900 / 1800, Hb=40, hm=1.5;$
    - $ahm = (3.2 * (\log_{10}(11.75 * hm)) .^2 - 4.97);$
    - $LHb = 44.9 - 6.55 * \log_{10}(Hb);$
    - $LfHb = 69.55 + 26.16 * \log_{10}(f) - 13.82 * \log_{10}(Hb) - ahm;$
    - ⇒  $L(r) = 10^{LfHb/10} r^{LHb/10}$
  - $L(R) < \text{EIRP} - \text{Sensitivity\_Threshold}$

- $K$  computed with
  - GSM threshold:  $C/I > 9 \text{ dB}$
  - additional margins: fading, shadowing, etc



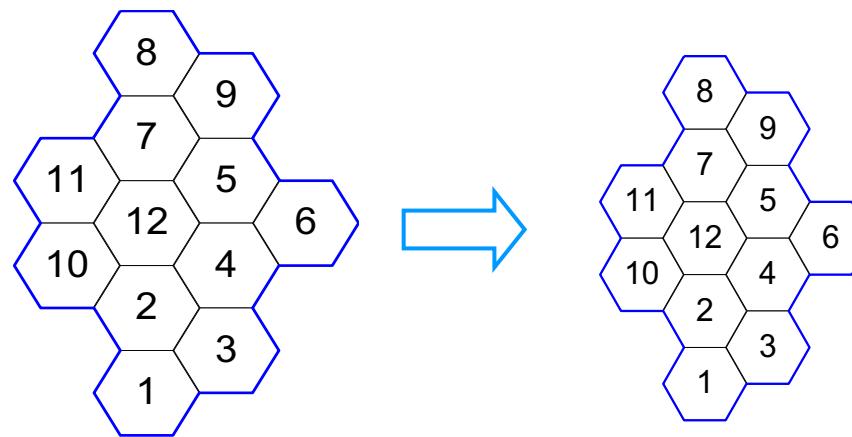
# GSM Radio Network Planning (2/)

## ■ Capacity:

- $B$  : frequency band
- 200 kHz: carrier spacing
- $S_{cell}$  : cell surface area

$$\frac{8 \times B / 200}{K \times S_{cell}} \text{ active users/km}^2$$

## ■ If density increases, decrease $R$ ( $\Rightarrow S_{cell} \downarrow$ , capacity $\uparrow$ ) :



# GSM Radio Network Planning (3/)

## ■ GSM Radio Network Planning

### □ Application to WCDMA Radio Network Planning:

■ **K=1**

■ Downlink:

$C \Rightarrow I$  varies with cell's load

■ Uplink:

$I \Rightarrow C$  varies with cell's load

□ Cell's load must be estimated

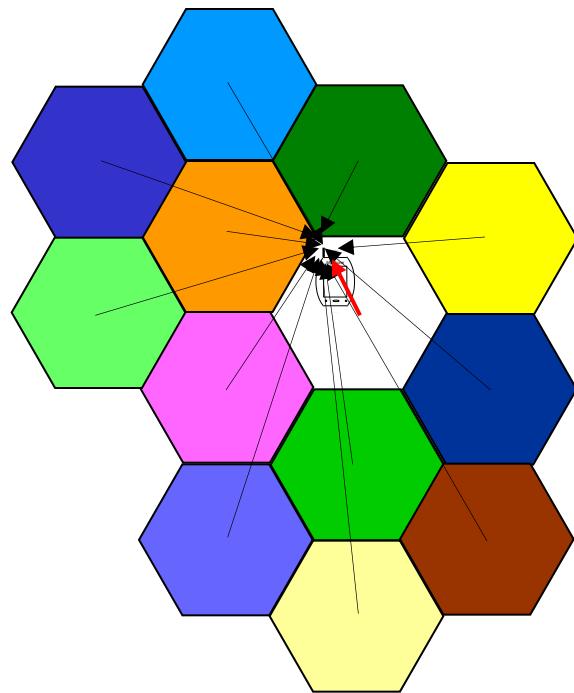
□ The signal-to-noise-plus-interference ratio must be re-computed

□ Additional margins must be included in the radio link budget (soft HO)

# GSM Radio Network Planning (4/)

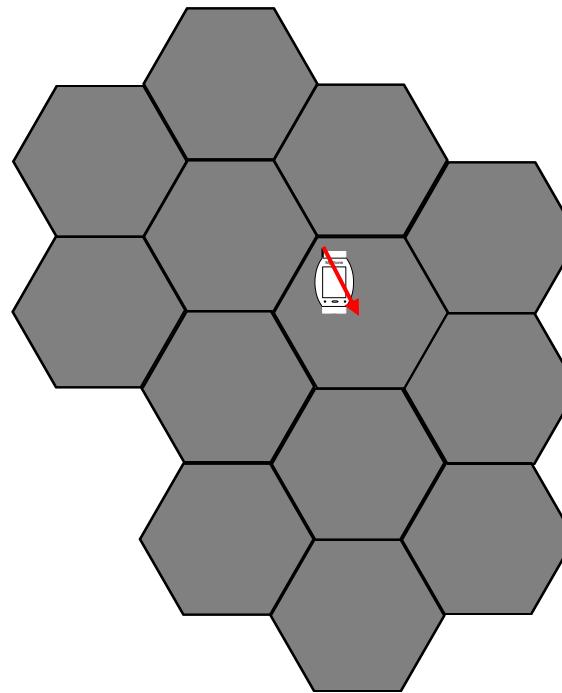
## □ Downlink:

- intra-cell interferences
- non  $\perp$  scrambling codes  
 $\Rightarrow$  inter-cell interferences



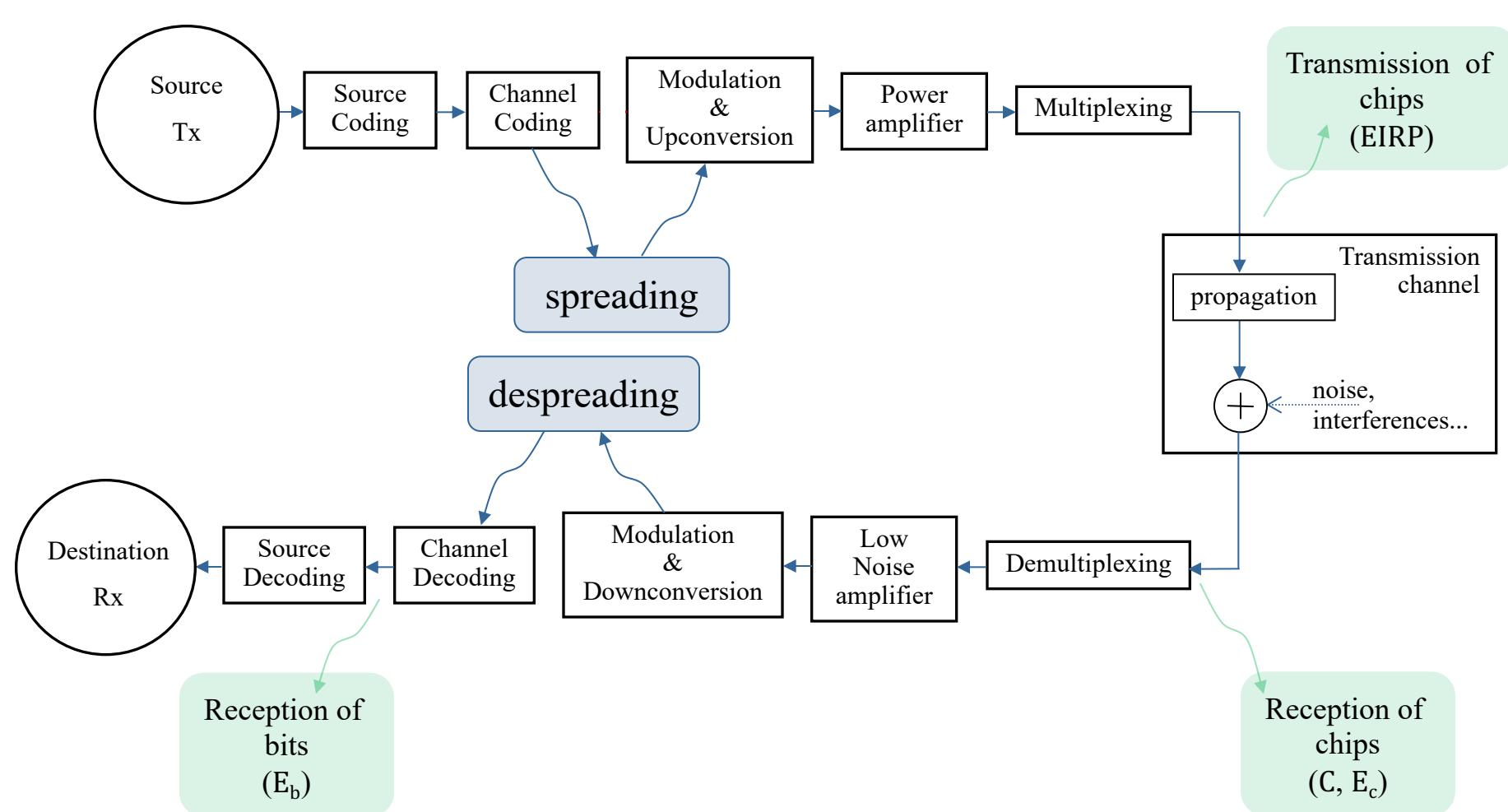
## □ Uplink:

- non  $\perp$  scrambling codes  
 $\Rightarrow$  inter-cell interferences  
 $\Rightarrow$  intra-cell interferences



# SINR Computation for CDMA networks

Spreading /Despreadin in the transmission chain:



# SINR Computation for CDMA networks

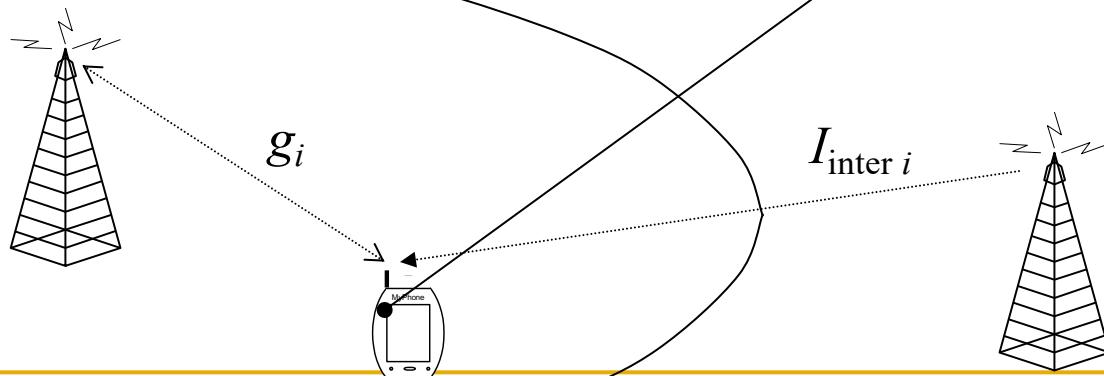
Before the user #i signal despreading: chips are considered

- $N_i$ : SF allocated to user #i
- $p_i$ : EIRP allocated to user #i
- $g_i$ : radio channel gain between BS and MS #i
- $I_{\text{th}}$ : noise power in the reception band:  $N_0 W_c$
- $I_{\text{intra}}$ : intra-cell interference received power
- $I_{\text{inter}}$ : inter-cell interference received power
- $P$ : BS EIRP
- $\alpha$ : SS cross-correlation factor

$$C_i = p_i g_i$$

$$I_i = I_{\text{th}} + I_{\text{intra} i} + I_{\text{inter} i}$$

$$\text{SINR}_i = \frac{C_i}{I_{\text{th}} + I_{\text{intra} i} + I_{\text{inter} i}} \approx \frac{E_c}{I_0}$$



# CDMA Dimensioning

After the user # $i$  signal despreading: bits are considered

- the useful signal power remains unchanged
- the reception bandwidth is reduced : from  $W_c$  to  $W_c/N_i$   
⇒ the noise power is reduced
- the signal-to-noise+interferences ratio SINR is denoted  $\Gamma_i$ :

$$\Gamma_i = N_i \text{ SINR}_i = N_i \frac{p_i g_i}{I_{\text{th}} + I_{\text{intra } i} + I_{\text{inter } i}}$$

$$\frac{E_b}{N_0} = N_i \frac{E_c}{I_0 + N_0}$$

$$\frac{E_b}{N_0} \approx \frac{E_b}{N_0 + I T_b} = \frac{N_i p_i \left( \frac{GA}{LO} \right)_{\text{Rx}} g_i}{\left( I_{\text{th}} + I_{\text{intra } i} + I_{\text{inter } i} \right) \times F_{\text{Rx}}}$$

$$\Gamma_i^{\downarrow} = \frac{N_i p_i g_i}{I_{\text{th}} + \alpha (P - p_i) g_i + I_{\text{inter } i}}$$

$$\Gamma_i^{\uparrow} = \frac{N_i p_i g_i}{I_{\text{th}} + \alpha \left( \sum_{k \neq i} p_k g_k \right)}$$

$$\frac{E_b}{N_0} \approx \Gamma_i \left( \frac{GA}{LO} \right)_{\text{Rx}} \frac{1}{F_{\text{Rx}}}$$

# $\alpha$ cross correlation factor

## At the reception side

- Cross-correlation between different information flows  
≈ trouble, disturbance between flows
- Uplink (FDD):
  - BS side
  - Cross-correlation between active users of the cell and outside the cell
  - As seen in SS lecture, desynchronised OVSF spreading sequences are no more orthogonal at all, and scrambling codes are not orthogonal $\Rightarrow \alpha^{\uparrow}=1$
- Downlink:
  - MS side
  - Cross-correlation between active users of the cell
  - (synchronised orthogonal OVSF spreading sequences + scrambling codes) cross-correlation
  - As seen in SS lecture, Gold sequences cross-correlation is limited $\Rightarrow \alpha^{\downarrow}<1, \alpha^{\downarrow}: \sim 0.5-1$

# CDMA radio dimensioning / one cell (1/)

- $k$  users in the cell,  $N_i = N$

- Uplink:

- signal-to-noise+interferences ratio:  $\Gamma_i^\uparrow = \frac{N p_i g_i}{I_{\text{th}} + \alpha \left( \sum_k p_k g_k - p_i g_i \right)}$

- total received power at the BS input antenna:  $\frac{k \Gamma_i^\uparrow I_{\text{th}}}{N + \alpha (1-k) \Gamma_i^\uparrow}$   
(increases with  $k$ )

- max. users in the cell:  $k < 1 + \frac{N}{\alpha \Gamma_i^\uparrow}$

- Individual powers received at the BS input antenna:

- are equal
- increase with  $k$

Power transmitted by user # $i$   
increases when  $g_i \downarrow$  and/or  $k \uparrow$

# CDMA radio dimensioning / one cell (2/)

- Downlink:

- signal-to-noise+interferences ratio:  $\Gamma_i^{\downarrow} = \frac{N p_i g_i}{I_{\text{th}} + \alpha (P - p_i) g_i}$

- BS EIRP:  $P = \frac{\Gamma^{\downarrow} I_{\text{th}}}{N + \alpha (1-k) \Gamma^{\downarrow}} \left( \sum_i \frac{1}{g_i} \right)$

- max. users in the cell:  $k < 1 + \frac{N}{\alpha \Gamma^{\downarrow}}$

- Transmitted power dedicated to user # $i$  ( $\text{EIRP}_i$ ) **increases** :

- with  $P$
    - when  $g_i$  decreases

Individual power dedicated to user # $i$   
increases when  $g_i \downarrow$  and/or  $k \uparrow$

# CDMA general radio dimensioning (1 /)

## ■ Parameters:

$Q$ : nb of cells

$i_j$ : index of user #i in cell #j

$N_{ji_j}$ : SF allocated to user #i in cell #j

$p_{ji_j}$ : power allocated to user #i in cell #j

$g_{ji_j}$ : radio channel gain between BS#j and MS#i

$P_j$ : BS#j EIRP

$P_j^R$ : BS#j total received power at its input antenna

$k_j$ : nb of users in cell #j

$\Gamma_{ji_j}$ : signal-to-noise+interferences ratio for user #i in cell #j

# CDMA general radio dimensioning (2/)

- Downlink – relations:

$$\Gamma_{ji_j}^{\downarrow} = \frac{N_{ji_j} p_{ji_j} g_{ji_j}}{I_{\text{th}} + \alpha (P_j - p_{ji_j}) g_{ji_j} + \sum_{q \neq j} P_q g_{qi_j}}$$

$$p_{ji_j} = \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} \left[ \frac{I_{\text{th}}}{g_{ji_j}} + \alpha P_j + \sum_{q \neq j} P_q \frac{g_{qi_j}}{g_{ji_j}} \right]$$

positive!

$$P_j \left( 1 - \sum_{i,j=1}^{k_j} \frac{\alpha \Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} \right) = I_{\text{th}} \sum_{i,j=1}^{k_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} \frac{1}{g_{ji_j}} + \sum_{q \neq j} P_q \sum_{i,j=1}^{k_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} \frac{g_{qi_j}}{g_{ji_j}}$$

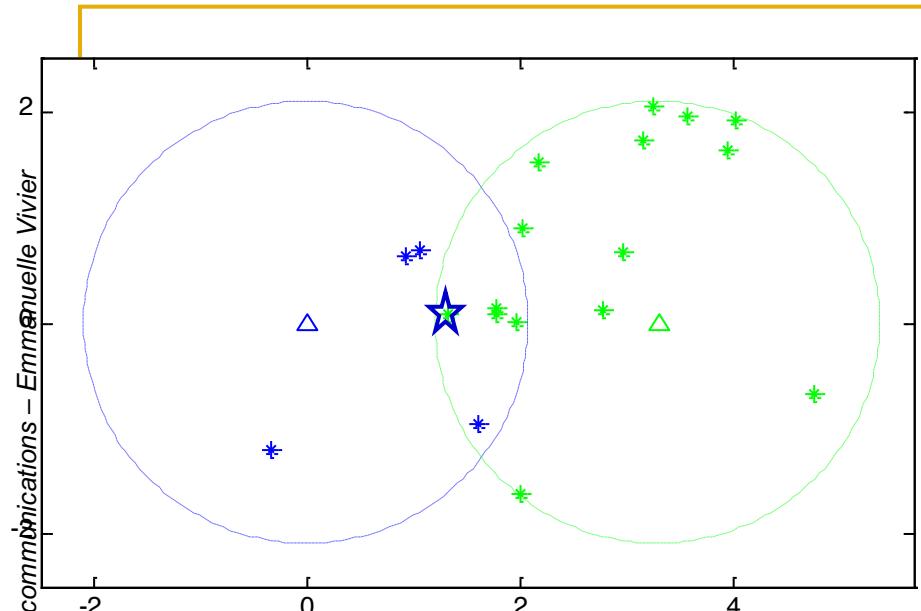
$$\begin{bmatrix} 1 - \sum_{i_1=1}^{k_1} \frac{\alpha \Gamma_{1i_1}^{\downarrow}}{\alpha \Gamma_{1i_1}^{\downarrow} + N_{1i_1}} & - \sum_{i_1=1}^{k_1} \frac{\Gamma_{1i_1}^{\downarrow}}{\alpha \Gamma_{1i_1}^{\downarrow} + N_{1i_1}} \frac{g_{2i_1}}{g_{1i_1}} & - \sum_{i_1=1}^{k_1} \frac{\Gamma_{1i_1}^{\downarrow}}{\alpha \Gamma_{1i_1}^{\downarrow} + N_{1i_1}} \frac{g_{3i_1}}{g_{1i_1}} & \dots & \dots & - \sum_{i_1=1}^{k_1} \frac{\Gamma_{1i_1}^{\downarrow}}{\alpha \Gamma_{1i_1}^{\downarrow} + N_{1i_1}} \frac{g_{Qi_1}}{g_{1i_1}} \\ - \sum_{i_2=1}^{k_2} \frac{\Gamma_{2i_2}^{\downarrow}}{\alpha \Gamma_{2i_2}^{\downarrow} + N_{2i_2}} \frac{g_{1i_2}}{g_{2i_2}} & 1 - \sum_{i_2=1}^{k_2} \frac{\alpha \Gamma_{2i_2}^{\downarrow}}{\alpha \Gamma_{2i_2}^{\downarrow} + N_{2i_2}} & - \sum_{i_2=1}^{k_2} \frac{\Gamma_{2i_2}^{\downarrow}}{\alpha \Gamma_{2i_2}^{\downarrow} + N_{2i_2}} \frac{g_{3i_2}}{g_{2i_2}} & \dots & \dots & - \sum_{i_2=1}^{k_2} \frac{\Gamma_{2i_2}^{\downarrow}}{\alpha \Gamma_{2i_2}^{\downarrow} + N_{2i_2}} \frac{g_{Qi_2}}{g_{2i_2}} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ - \sum_{i_Q=1}^{k_Q} \frac{\Gamma_{Qi_Q}^{\downarrow}}{\alpha \Gamma_{Qi_Q}^{\downarrow} + N_{Qi_Q}} \frac{g_{1i_Q}}{g_{Qi_Q}} & - \sum_{i_Q=1}^{k_Q} \frac{\Gamma_{Qi_Q}^{\downarrow}}{\alpha \Gamma_{Qi_Q}^{\downarrow} + N_{Qi_Q}} \frac{g_{2i_Q}}{g_{Qi_Q}} & \dots & - \sum_{i_Q=1}^{k_Q} \frac{\Gamma_{Qi_Q}^{\downarrow}}{\alpha \Gamma_{Qi_Q}^{\downarrow} + N_{Qi_Q}} \frac{g_{(Q-1)i_Q}}{g_{Qi_Q}} & 1 - \sum_{i_Q=1}^{k_Q} \frac{\alpha \Gamma_{Qi_Q}^{\downarrow}}{\alpha \Gamma_{Qi_Q}^{\downarrow} + N_{Qi_Q}} & - \sum_{i_Q=1}^{k_Q} \frac{\Gamma_{Qi_Q}^{\downarrow}}{\alpha \Gamma_{Qi_Q}^{\downarrow} + N_{Qi_Q}} \frac{1}{g_{Qi_Q}} \end{bmatrix} \begin{bmatrix} P_1 \\ P_2 \\ \dots \\ \dots \\ P_Q \end{bmatrix} = I_{\text{th}} \begin{bmatrix} \sum_{i=1}^{k_1} \frac{\Gamma_{1i}^{\downarrow}}{\alpha \Gamma_{1i}^{\downarrow} + N_{1i}} \frac{1}{g_{1i}} \\ \sum_{i=1}^{k_2} \frac{\Gamma_{2i}^{\downarrow}}{\alpha \Gamma_{2i}^{\downarrow} + N_{2i}} \frac{1}{g_{2i}} \\ \dots \\ \dots \\ \sum_{i=1}^{k_Q} \frac{\Gamma_{Qi}^{\downarrow}}{\alpha \Gamma_{Qi}^{\downarrow} + N_{Qi}} \frac{1}{g_{Qi}} \end{bmatrix}$$

# CDMA general radio dimensioning (3/)

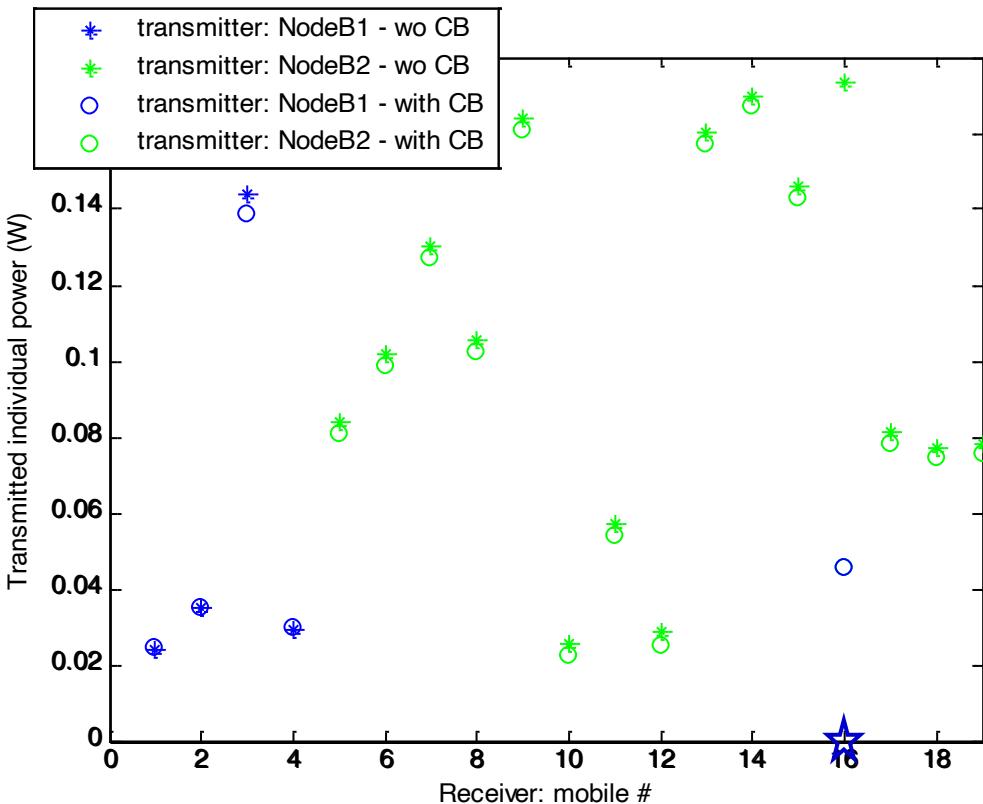
- Downlink – Example: Assuming:
  - EIRP = 30 W
  - MS sensitivity = -100 dBm (= reception threshold)
    - Okumura-Hata propagation law:
      - $f=2000, Hb=40, hm=1.5;$
      - $ahm = (3.2 * (\log_{10}(11.75 * hm))^{.2} - 4.97);$
      - $LfHb = 69.55 + 26.16 * \log_{10}(f) - 13.82 * \log_{10}(Hb) - ahm;$
      - $LHb = 44.9 - 6.55 * \log_{10}(Hb);$
    - $\Rightarrow L(r) = 10^{LfHb/10} r^{LHb/10} \Rightarrow R_c = 2 \text{ km}$
  - a reuse pattern factor = 1  $\Rightarrow D = \sqrt{3} R_c + \text{soft HO zone: } 0.5 R_c$
  - a network with two cells only:  $Q = 2$
  - $\Gamma^\downarrow = 6 \text{ dB}$
  - $N = 128$
  - $\alpha = 0.5$
  - $k_1 = 4, k_2 = 15$
  - $k_{max} =$

$$\begin{bmatrix} 1 - \sum_{i_1=1}^{k_1} \frac{\alpha \Gamma^\downarrow}{\alpha \Gamma^\downarrow + N} & - \sum_{i_1=1}^{k_1} \frac{\Gamma^\downarrow}{\alpha \Gamma^\downarrow + N} \frac{g_{2i_1}}{g_{1i_1}} \\ - \sum_{i_2=1}^{k_2} \frac{\Gamma^\downarrow}{\alpha \Gamma^\downarrow + N} \frac{g_{1i_2}}{g_{2i_2}} & 1 - \sum_{i_2=1}^{k_2} \frac{\alpha \Gamma^\downarrow}{\alpha \Gamma^\downarrow + N} \end{bmatrix} \begin{bmatrix} P_1 \\ P_2 \end{bmatrix} = I_{th} \frac{\Gamma^\downarrow}{\alpha \Gamma^\downarrow + N} \begin{bmatrix} \sum_{i=1}^{k_1} \frac{1}{g_{1i}} \\ \sum_{i=1}^{k_2} \frac{1}{g_{2i}} \end{bmatrix}$$

# CDMA general radio dimensioning (4/)



- Without optimisation:
  - $P_1 = 0.23 \text{ W}$
  - $P_2 = 1.58 \text{ W}$
  
- With directed-retry:
  - $P_1 = 0.27 \text{ W}$
  - $P_2 = 1.36 \text{ W}$
  - $\Rightarrow \text{interferences} \downarrow \Rightarrow \text{capacity} \uparrow$



# CDMA general radio dimensioning (5/)

- Uplink:

$$\Gamma_{ji_j}^{\uparrow} = \frac{N_{ji_j} p_{ji_j} g_{ji_j}}{I_{\text{th}} + \alpha \sum_{k \neq j} p_{ji_k} g_{ji_k} + \sum_{q \neq j} \sum_{i_q} p_{qi_q} g_{ji_q}}$$

$$p_{ji_j} = \frac{\Gamma_{ji_j}^{\uparrow}}{\alpha \Gamma_{ji_j}^{\uparrow} + N_{ji_j}} \frac{1}{g_{ji_j}} \left( I_{\text{th}} + \alpha \sum_k p_{ji_k} g_{ji_k} + \sum_{q \neq j} \sum_{i_q} p_{qi_q} g_{ji_q} \right)$$

$\sum_k p_{ji_k} g_{ji_k} = P_j^R$  positive!

$$P_j^R \left( 1 - \sum_{i_j=1}^{k_j} \frac{\alpha \Gamma_{ji_j}^{\uparrow}}{\alpha \Gamma_{ji_j}^{\uparrow} + N_{ji_j}} \right) = I_{\text{th}} \sum_{i_j=1}^{k_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} + \sum_{q \neq j} \sum_{i_j=1}^{k_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} p_{qi_q} g_{ji_q}$$

- Complexity of the linear system to be solved: in  $O(Q \times \left[ \sum k_j \right])$
- In real networks,
  - the nb of cells,  $Q, \rightarrow \infty,$
  - the nb of MS,  $i_q, \rightarrow \infty,$
- $\Rightarrow$  the size of the linear systems to solve  $\rightarrow \infty$
- $\Rightarrow$  a new process must be developed, with other parameters...

# CDMA Capacity $\uparrow$ (1 /)

- **Uplink:**

- $\alpha=1$

- User# $i_j$  load factor in cell#j:

- *(power received by BS#j from user#i) to (total received power by BS#j) ratio*

$$\eta_{ji_j}^{\uparrow} = \frac{p_{ji_j} g_{ji_j}}{I_{\text{th}} + \sum_q \sum_{i_q} p_{qi_q} g_{ji_q}}$$

- Cell#j interference ratio:

- *inter-cell to intra-cell interference ratio*

$$f_j = \frac{\sum_{q \neq j} \sum_{i_q} p_{qi_q} g_{ji_q}}{\sum_{i_j} p_{ji_j} g_{ji_j}}$$

# CDMA Capacity $\uparrow$ (2/)

- Cell#j load factor:

*Sum of all users' load factor (related to cell#j)*

$$\eta_j^\uparrow = \sum_q \sum_{i_q} \eta_{ji_q}^\uparrow \quad \Rightarrow \quad \eta_j^\uparrow = (1 + f_j) \sum_{i_j} \eta_{ji_j}^\uparrow$$

- Cell#j noise rise:

*(total received power by BS#j) to (thermal noise received by BS#j)*

$$NR_j^\uparrow = \frac{I_{th} + \sum_q \sum_{i_q} p_{qi_q} g_{ji_q}}{I_{th}} \quad \Rightarrow \quad NR_j^\uparrow = \frac{1}{1 - \eta_j^\uparrow}$$

$\Rightarrow$

$$\eta_j^\uparrow < 1$$

Pole capacity:  $\eta_j = 1$

# CDMA Capacity $\uparrow$ (3/)

- (high loaded network):

$$\eta_{ji_j}^{\uparrow} \sim \frac{\Gamma_{ji_j}^{\uparrow}}{N_{i_j}}$$

$$\eta_{ji_j}^{\uparrow} \sim \frac{\Gamma_{ji_j}^{\uparrow}}{N_{i_j}} \varphi_{i_j}^{\leftarrow}$$

user (# j) activity factor  
in the cell (# i)

$$\Rightarrow \eta_j^{\uparrow} = (1 + f_j) \sum_{i_j} \frac{\Gamma_{ji_j}^{\uparrow}}{N_{i_j}} \varphi_{i_j}$$

- individual transmitted power:

- pole capacity  $\leftrightarrow$  unlimited transmission power
- non linear rise with  $K_j$

*When only 1 available service  
in cell j:*

$$NR_j^{\uparrow} = \frac{1}{1 - (1 + f_j) k_j \frac{\Gamma^{\uparrow}}{N} \varphi}$$

$$k_j^{\uparrow pole} = \frac{1}{(1 + f_j) \frac{\Gamma^{\uparrow}}{N} \varphi}$$

$$k_j^{\uparrow max} = \frac{NR_j^{\uparrow} - 1}{(1 + f_j) \frac{\Gamma^{\uparrow}}{N} \varphi NR_j^{\uparrow}} = \eta_j^{\uparrow} k_j^{\uparrow pole}$$

$$p_{ji_j} = NR_j^{\uparrow} \frac{I_{th}}{g_{ji_j}} \frac{\Gamma_{ji_j}^{\uparrow}}{\Gamma_{ji_j}^{\uparrow} + N_{ji_j}}$$

# CDMA Capacity ↑ (4/4)

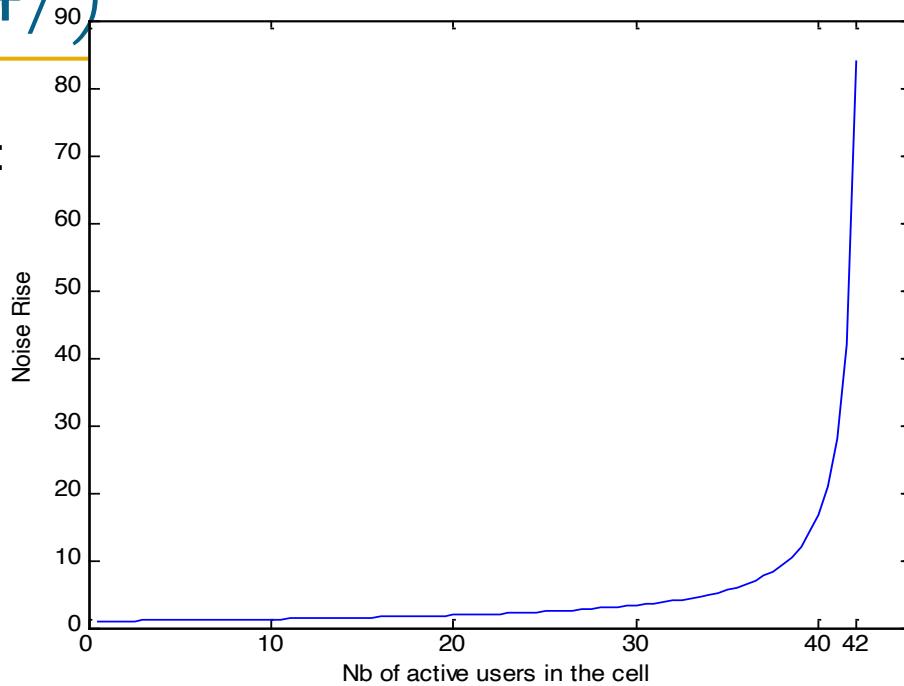
- Example with one service in a cell:

- $\varphi_{ij} = \varphi = 0.5$
- $f_j = 0.55$  [VIT 94]
- $\Gamma^\uparrow = 6 \text{ dB}$
- $N = 128$

$$k_{j \text{ pole}}^\uparrow =$$

$$NR_j^\uparrow = \frac{1}{1 - \frac{k}{41}}$$

$$p_{ji_j} = 0.03 NR_j^\uparrow \frac{I_{th}}{g_{ji_j}}$$



$$k_j = 1 \Rightarrow p_{ji_j}(1) = 0.03 \frac{I_{th}}{g_{ji_j}}$$

$$k_j = 20 \Rightarrow p_{ji_j}(20) = 1.9 p_{ji_j}(1)$$

$$k_j = 30 \Rightarrow p_{ji_j}(30) = 3.6 p_{ji_j}(1)$$

$$k_j = 40 \Rightarrow p_{ji_j}(40) = 40 p_{ji_j}(1)$$

# CDMA Capacity ↓ (1 /)

- Downlink, in cell #j:

identical BS EIRP:  $P$

- Regular pattern
- Uniform traffic
- Similar propagation conditions  
or:
- Peak hours dimensioning

$$\Rightarrow \Gamma_{ji_j}^{\downarrow} = \frac{N_{ji_j} p_{ji_j} g_{ji_j}}{I_{\text{th}} + \alpha (P - p_{ji_j}) g_{ji_j} + P \sum_{q \neq j} g_{qi_j}}$$

$$p_{ji_j} = \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} \left[ \frac{I_{\text{th}}}{g_{ji_j}} + \left( \alpha + \frac{\sum_{q \neq j} g_{qi_j}}{g_{ji_j}} \right) P \right]$$

$f_{i_j}$

$$P = \frac{I_{\text{th}} \sum_{i_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} \frac{1}{g_{ji_j}}}{1 - \sum_{i_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} (\alpha + f_{i_j})}$$

# CDMA Capacity ↓ (2/)

- Introducing the following parameters:

$$\begin{aligned} \eta_{ji_j}^{\downarrow} &= \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} (\alpha + f_{i_j}) \\ \Rightarrow \eta_j^{\downarrow} &= \sum_{i_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} (\alpha + f_{i_j}) \varphi_{i_j} \end{aligned}$$

and

$$\boxed{\eta_j^{\downarrow} = \frac{1}{1 - NR_j^{\downarrow}}}$$

The BS EIRP becomes:

$$P = NR_j^{\downarrow} I_{\text{th}} \sum_{i_j} \frac{\Gamma_{ji_j}^{\downarrow}}{\alpha \Gamma_{ji_j}^{\downarrow} + N_{ji_j}} \frac{1}{g_{ji_j}}$$

*When only 1 available service in cell j:*

$$\begin{aligned} NR_j^{\downarrow} &= \frac{1}{1 - (\alpha + f_j) k_j \frac{\Gamma^{\downarrow}}{\alpha \Gamma^{\downarrow} + N} \varphi} \\ k_j^{\downarrow \text{ pole}} &= \frac{\alpha \Gamma^{\downarrow} + N}{\Gamma^{\downarrow} (\alpha + f_j) \varphi} \\ k_j^{\downarrow \max} &= \frac{NR_j^{\downarrow} - 1}{\frac{\Gamma^{\downarrow}}{\alpha \Gamma^{\downarrow} + N} (\alpha + f_j) \varphi NR_j^{\downarrow}} \\ &= \eta_j^{\downarrow} k_j^{\downarrow \text{ pole}} \end{aligned}$$

# CDMA Capacity ↓ (3/)

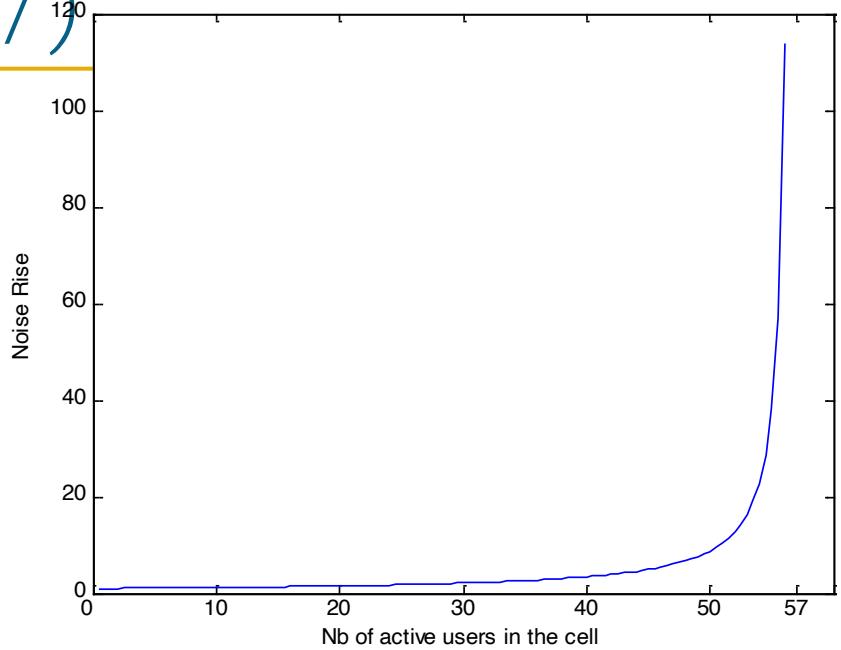
□ Example:

- $\varphi_{ij} = \varphi = 0.5$
- $f_j = 0.55$  [VIT 91]
- $\Gamma^\downarrow = 6 \text{ dB}$
- $N = 128$
- $\alpha = 0.6$

$$k_j^\downarrow \text{ pole} =$$

$$NR_j^\downarrow = \frac{1}{1 - \frac{k_j}{56}}$$

$$P = 0.03 NR_j^\downarrow I_{\text{th}} \sum_{i_j} \frac{1}{g_{ji_j}}$$



$$k_j = 1 \Rightarrow P(1) = 0.031 \frac{I_{\text{th}}}{g_{ji_j}}$$

$$k_j = 20 \Rightarrow P(20) = 0.047 I_{\text{th}} \sum_{i_j} \frac{1}{g_{ji_j}}$$

$$k_j = 30 \Rightarrow P(30) = 0.066 I_{\text{th}} \sum_{i_j} \frac{1}{g_{ji_j}}$$

$$k_j = 40 \Rightarrow P(40) = 0.1 I_{\text{th}} \sum_{i_j} \frac{1}{g_{ji_j}}$$

# CDMA Capacity - $\downarrow \uparrow$

- Function of the cell's load  $NR_j$ , maximal number  $k_j$  of users in the cell # $j$  in case of multiservices:

- $k_{s,j}$  is the number of users for service # $s$  in the cell # $j$ ,
- $k_{\%s,j}$  is the proportion of users for service # $s$  in the cell # $j$ ,
- $N_s$ ,  $\varphi_s$ ,  $\Gamma_s$  are the characteristics of service # $s$ ,

$$k_j = \sum_s k_{s,j}$$

$$k_{\%s,j} = \frac{k_{s,j}}{k_j}$$

$$NR_j^{\uparrow} = \frac{1}{1 - \sum_{ij} \frac{\Gamma_{ji}^{\uparrow}}{N_{ji}^{\uparrow}} (1 + f_{ij}) \varphi_{ij}} = \frac{1}{1 - (1 + f_j) \sum_s k_{s,j} \frac{\Gamma_s^{\uparrow}}{N_s} \varphi_s}$$

$$NR_j^{\uparrow} = \frac{1}{1 - (1 + f_j) k_j \sum_s k_{\%s,j} \frac{\Gamma_s^{\uparrow}}{N_s} \varphi_s}$$

$$NR_j^{\downarrow} = \frac{1}{1 - \sum_{ij} \frac{\Gamma_{ji}^{\downarrow}}{\alpha \Gamma_{ji}^{\downarrow} + N_{ji}^{\downarrow}} (\alpha + f_{ij}) \varphi_{ij}} = \frac{1}{1 - (\alpha + f_j) \sum_s k_{s,j} \frac{\Gamma_s^{\downarrow}}{\alpha \Gamma_s^{\downarrow} + N_s} \varphi_s}$$

$$NR_j^{\downarrow} = \frac{1}{1 - (\alpha + f_j) k_j \sum_s k_{\%s,j} \frac{\Gamma_s^{\downarrow}}{\alpha \Gamma_s^{\downarrow} + N_s} \varphi_s}$$

# CDMA Capacity - $\downarrow \uparrow$

$$k_{j \max}^{\uparrow} = \frac{NR_j^{\uparrow} - 1}{NR_j^{\uparrow} \left(1 + f_j\right) \sum_s k_{\%s,j} \frac{\Gamma_s^{\uparrow}}{N_s} \varphi_s}, \quad k_{j \text{ pole}}^{\uparrow} = \frac{1}{\left(1 + f_j\right) \sum_s k_{\%s,j} \frac{\Gamma_s^{\uparrow}}{N_s} \varphi_s}$$

$$k_{j \max}^{\downarrow} = \frac{NR_j^{\downarrow} - 1}{NR_j^{\downarrow} \left(\alpha + f_j\right) \sum_s k_{\%s,j} \frac{\Gamma_s^{\downarrow}}{\alpha \Gamma_s^{\downarrow} + N_s} \varphi_s}, \quad k_{j \text{ pole}}^{\downarrow} = \frac{1}{\left(\alpha + f_j\right) \sum_s k_{\%s,j} \frac{\Gamma_s^{\downarrow}}{\alpha \Gamma_s^{\downarrow} + N_s} \varphi_s}$$

For a given  $\Gamma_s$ ,  $k_j^{\downarrow} > k_j^{\uparrow}$  because:

- Intercorrelation is equal to 1 on the  $\uparrow$
- High load is assumed on the  $\uparrow$  (assumption not essential for the  $\downarrow$ )

However, usually,  $\Gamma_s^{\downarrow} \ll \Gamma_s^{\uparrow}$  and therefore  $k_j^{\downarrow} \ll k_j^{\uparrow}$

# CDMA Capacity - ↓↑

- Cell breathing (illustration with only one available service in the cell)

- downlink:

$$\sum_{i_j} \frac{1}{g_{ji_j}} = \frac{\alpha \Gamma^{\downarrow} + N}{NR_j^{\downarrow} I_{\text{th}} \Gamma^{\downarrow}} P$$

when the load increases:

- the nb of simultaneous users decreases
    - or
    - their channel gain increase

- uplink:

$$g_{\min} = NR_j^{\uparrow} \frac{I_{\text{th}}}{p_{\max}} \frac{1}{1 + \frac{N}{\Gamma^{\uparrow}}}$$

when the load increases,  $g_{\min}$  increases

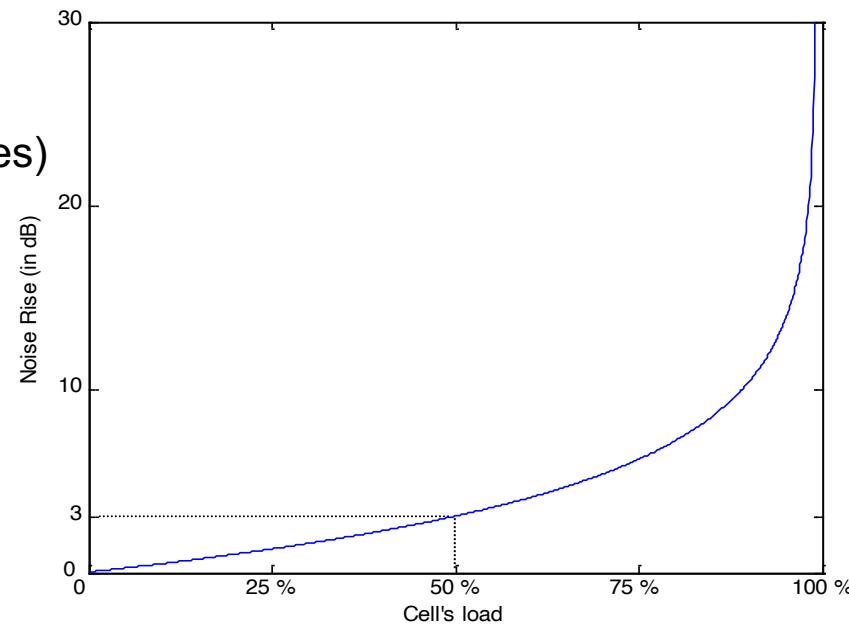
Cell breathing:  
when the cell's load ↑,  
the cell's size ↓

# Radio Link Budget: Parameters

$$\Gamma_i = \frac{N_i p_i g_i}{I_{\text{th}} + I_{\text{intra } i} + I_{\text{inter } i}} \sim \frac{N_i p_i g_i}{I_{\text{th}} NR_i}$$

$$\frac{E_b}{N_0} = \frac{N_i p_i g_i}{I_{\text{th}} NR_i} \left( \frac{GA}{LO} \right)_{Rx} \frac{1}{F_{Rx}}$$

- Interference margin (Noise Rise)
  - typical values: 1-3 dB
- Receiver Noise Figure (C/I degradation)
  - typical values: 5 (BS) - 9 (MS) dB
- Additional margins:
  - Fast fading margin (slow moving mobiles)
    - typical values: 2-5 dB
  - Soft HO gain (fight against slow fading)
    - typical values: 2-3 dB
  - Incar loss
    - typical values: 8 dB
  - Indoor loss
    - typical values: 15 dB
  - ...
    - typical values: 8 dB



# Outdoor voice service (RT)

Throughput (kbps)	12,2
Spreading Factor	128
Processing Gain (dB)	21
Required Eb/N0 (dB)	5
Thermal Noise (dBm/Hz)	-174
Noise rise (50% load) (dB)	3
Fast Fading margin (dB)	4
Soft HO gain (dB)	3

BS:	
Noise figure (dB)	5
Antenna Gain (dBi)	18
Cable Loss (dB)	2
Max. transmission power (W)	20

MS:	
Noise figure (dB)	9
Antenna Gain + Cable loss (dB)	0
Body loss (dB)	3
Max. transmission power (W)	0,125

	UPLINK	DOWNLINK
a	<b>BS</b>	
b	I+N (dBm)	-100
c	Rec. Threshold (dBm)	-132
d		
e	<b>MS</b>	
f	EIRP (dB)	-12
g		
h	Path Loss (dB)	150
i	Propagation Loss (dB) (Fast Fading, Soft HO)	149
j		
k		
l		
m		
n		
o		
p		

*To be checked  
with equipment characteristics*

**314 mW is  
the BS Tx power dedicated to  
a MS at the edge (2.7 km) of  
a 50% loaded cell**

Okumura-Hata propagation law:

- $f=2000, H_b=40, h_m=1.5;$
- $A_{H_m} = (3.2 * (\log_{10}(11.75 * h_m))^2 - 4.97);$
- $L_{fH_b} = 69.55 + 26.16 * \log_{10}(f) - 13.82 * \log_{10}(H_b) - A_{H_m};$
- $L_{H_b} = 44.9 - 6.55 * \log_{10}(H_b);$

$$\Rightarrow L(r) = 10^{L_{fH_b}/10} r^{L_{H_b}/10}$$

$$\Rightarrow D_{Tx-Rx} = 2.7 \text{ km}$$

# Indoor 144 kbps data service (NRT)

## – no soft HO

Throughput (kbps)	144,0
Spreading Factor	32
Processing Gain (dB)	15
Required Eb/N0 (dB)	1,5
Thermal Noise (dBm/Hz)	-174
Noise rise (50% load)	3
Fast Fading margin (dB)	4
Indoor loss (dB)	15

BS:	
Noise figure (dB)	5
Antenna Gain (dBi)	18
Cable Loss (dB)	2
Max. transmission power (W)	20

MS:	
Noise figure (dB)	9
Antenna Gain + Cable loss (dB)	0
Body loss (dB)	3
Max. transmission power (W)	0,125

	UPLINK	DOWNLINK
a	<b>BS</b>	
b	I+N (dBm) -100	
c	Rec. Threshold (dBm) -129,5	
d		
e	<b>MS</b>	
f	EIRP (dB) -12	
g		
h	Path Loss (dB) 147,5	
i		
j	Propagation Loss (dB) <i>(Fast Fading, Soft HO)</i> 128,5	
k		
l		
m		
n		
o		
p		
	<b>314 mW is the BS Tx power dedicated to a MS at the edge (0.7 km) of a 50% loaded cell</b>	

Okumura-Hata propagation law:

- $f=2000$ ,  $Hb=40$ ,  $hm=1.5$ ;
  - $ahm = (3.2 * (\log_{10}(11.75 * hm)))^{2-4.97}$ ;
  - $LfHb = 69.55 + 26.16 * \log_{10}(f) - 13.82 * \log_{10}(Hb) - ahm$ ;
  - $LHb = 44.9 - 6.55 * \log_{10}(Hb)$ ;
- $$\Rightarrow L(r) = 10^{LfHb/10} r^{LHb/10}$$

$$\Rightarrow D_{Tx-Rx} = 0.7 \text{ km}$$

# Outdoor 384 kbps data service (NRT)

– no soft HO

Throughput (kbps)	384,0
Spreading Factor	8
Processing Gain (dB)	9
Required Eb/N0 (dB)	1
Thermal Noise (dBm/Hz)	-174
Noise rise (50% load)	3
Fast Fading margin (dB)	4

a  
b  
c  
d  
e  
f  
g

BS:	
Noise figure (dB)	5
Antenna Gain (dBi)	18
Cable Loss (dB)	2
Max. transmission power (W)	20

i  
j  
k  
l

MS:	
Noise figure (dB)	9
Antenna Gain + Cable loss (dB)	0
Body loss (dB)	3
Max. transmission power (W)	0,125

m  
n  
o  
p

	UPLINK	DOWNLINK
<b>BS</b>		
I+N (dBm)	-100	-93
Rec. Threshold (dBm)	-124	-101
<b>MS</b>		
EIRP (dB)	-12	29
Path Loss (dB)	142	160
Propagation Loss (dB) (Fast Fading, Soft HO)	138	156
BS Trans. power (W)	0,314	

**314 mW is  
the BS Tx power dedicated to  
a MS at the edge (1.3 km) of  
a 50% loaded cell**

Okumura-Hata propagation law:

- $f=2000$ ,  $H_b=40$ ,  $h_m=1.5$ ;
- $ahm = (3.2 * (\log_{10}(11.75 * hm))^2 - 4.97)$ ;
- $L_{fHb} = 69.55 + 26.16 * \log_{10}(f) - 13.82 * \log_{10}(H_b) - ahm$ ;
- $L_{Hb} = 44.9 - 6.55 * \log_{10}(H_b)$ ;

$$\Rightarrow L(r) = 10^{L_{fHb}/10} r^{L_{Hb}/10}$$

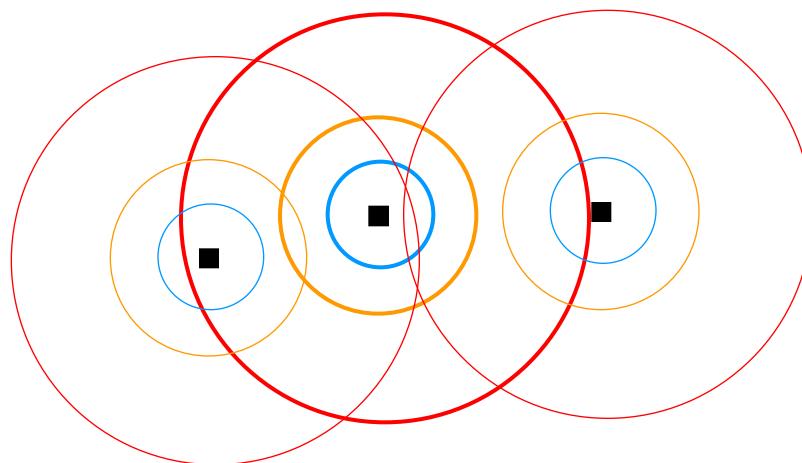
$$\Rightarrow D_{Tx-Rx} = 1.3 \text{ km}$$

# Conclusion 1 /

- The link budget gives :
  - the BS Tx power allocated to the considered MS (at the cell's edge) **-5 dB**
  - the considered MS Tx power and/or EIRP **-12 dB**
  - the propagation loss **149 dB**
  - the reception threshold at the RX antenna input for a perfect Power Control  
**-132 dBm ↑, -109 dBm ↓**
- From the link budget we can deduce :
  - the maximum Tx-Rx distance **2,7 km**
  - the maximum nb of simultaneously active users  **$k_j^{\downarrow} = 2$      $k_j^{\uparrow} = 274$**
  - the BS EIRP     **$\leq 2 \times 12,5 = 25 \text{ W}$  (for two MS at the edge of the cell)**
  - the BS Tx power     **$\leq 2 \times 314 \text{ mW} = 628 \text{ mW}$  (for two MS at the edge of the cell)**

# Conclusion 2/

- Radio coverage planning:  
Voice 12.2 kbps / 384 kbps (outdoor) / 144 kbps (indoor)



- Traffic coverage planning:
  - To be checked with the geo-marketing data

# General Conclusion

- Dimensioning process:
  - Link budgets are established, considering a specific cell's load (50 %)  
⇒ some service areas appear in the considered zone
  - Densification can be decided, depending on the marketing data targets
  - Outputs:  
BS configurations, estimation of the amount of network equipments, coverage analysis, capacity estimation
- Radio Resource Management:
  - many RRM algorithms exist:  
FIFO, RT / NRT, max. throughput, max. MS, etc
  - operator & zone -dependant

# Case Study