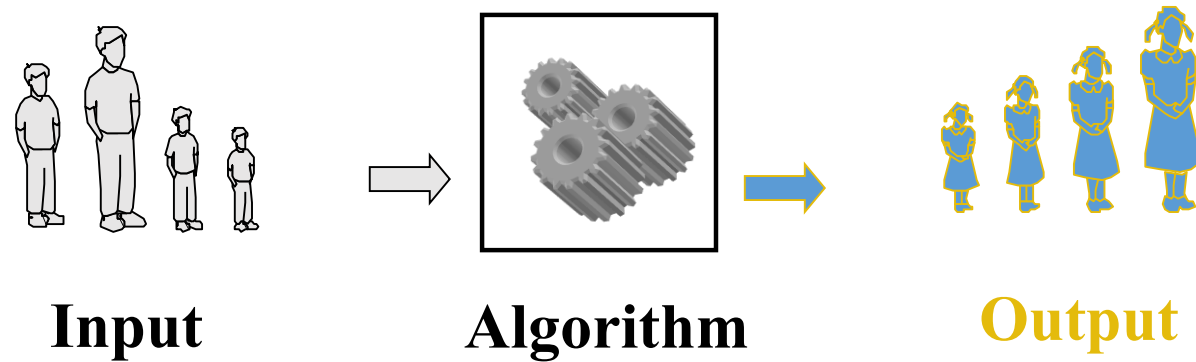


# Algorithm



- An ***algorithm*** is a step-by-step procedure for solving a problem in a finite amount of time.

# Forms of Algorithms

## Algorithm Descriptions

- Nature languages: Chinese, English, etc.
- Pseudo-code: codes very close to computer languages, e.g., C programming language.
- Programs: C programs, C++ programs, Java programs.

# Why algorithm?

Goal:

- Allow a well-trained programmer to be able to implement.
- Allow an expert to be able to analyze the running time.

# What do you expect?

- You will be able to evaluate the quality of a program
- You will be able to write fast programs
- You will be able to solve new problems
- You will be able to give non-trivial methods to solve problems.

# Efficiency of Algorithms

**Question:** How can we characterize the performance of an algorithm ...

- Without regard to a *specific computer*?
- Without regard to a *specific language*?
- Over a wide *range of inputs*?

**Desire:** Function that describes execution time in terms of input size

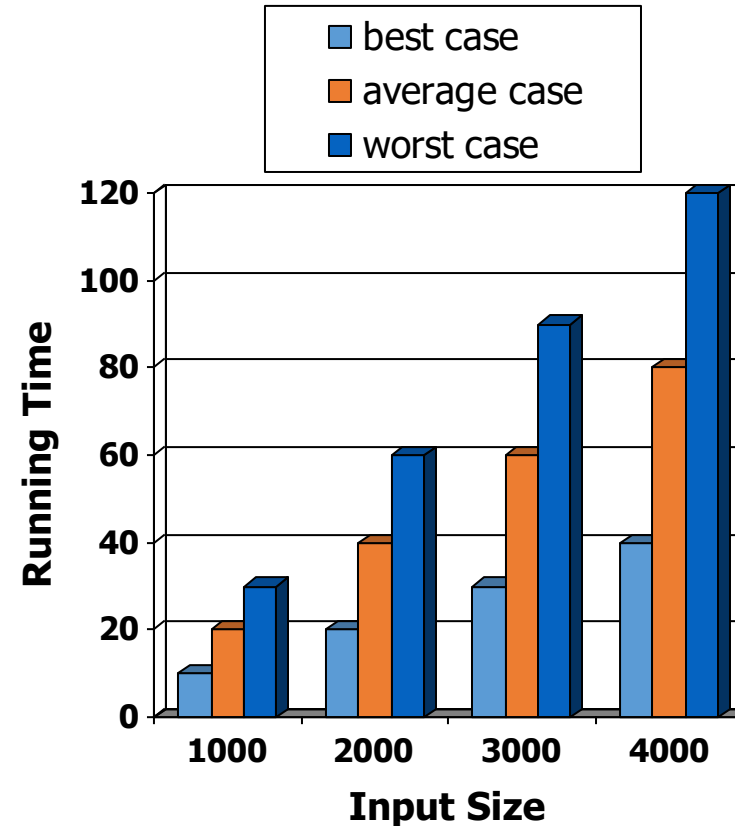
- Other measures might be memory needed, etc.

# Performance measurement?

- Estimate the running (execution) time
- Estimate the memory space required.
- Depends on the input size

# Running time of an algorithm

- Most algorithms transform input objects into output objects.
- The running time of an algorithm typically grows with the input size.



# Counting Primitive Operations

- By inspecting the *pseudo code*, we can determine the maximum number of primitive operations executed by an algorithm, as a function of the input size

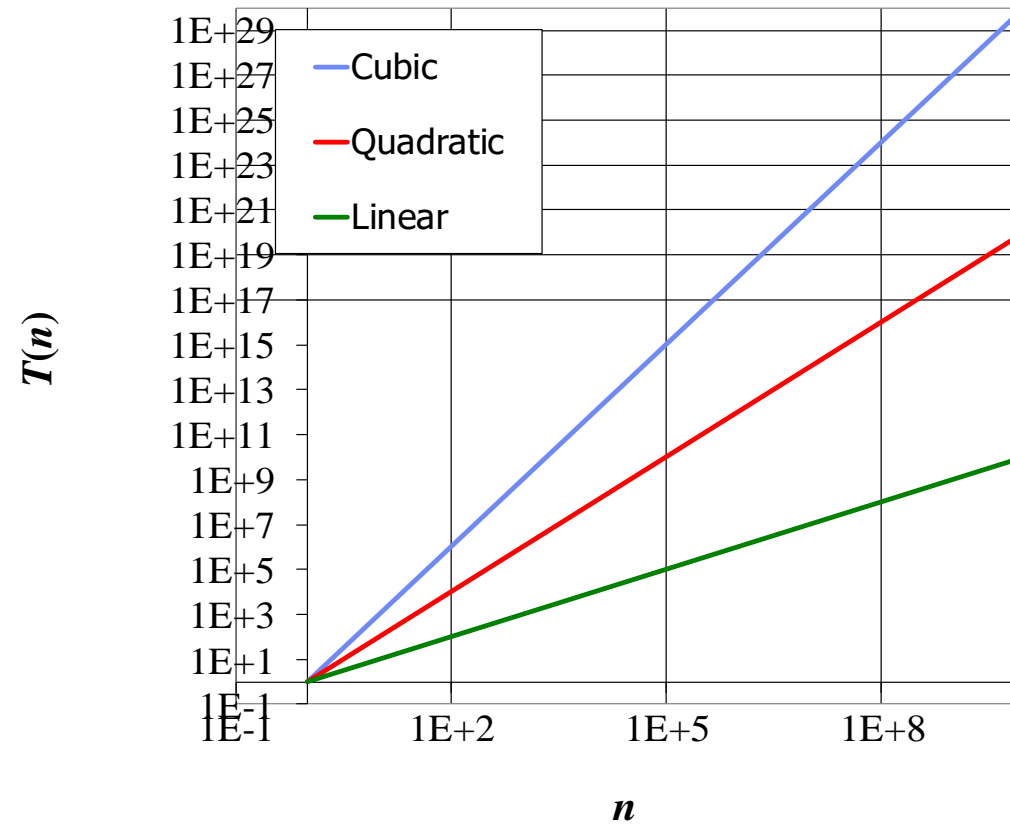
Algorithm <i>arrayMax</i> ( <i>A</i> , <i>n</i> )	# operations
<i>currentMax</i> $\leftarrow A[0]$	2
for <i>i</i> $\leftarrow 1$ to <i>n</i> - 1 do	$2 + n$
if <i>A</i> [ <i>i</i> ] > <i>currentMax</i> then	$2(n - 1)$
<i>currentMax</i> $\leftarrow A[i]$	$2(n - 1)$
{ increment counter <i>i</i> }	$2(n - 1)$
return <i>currentMax</i>	1
Total	$7n - 1$



# Growth Rate of Running Time

- Changing the hardware/ software environment
  - Affects  $T(n)$  by a constant factor, but
  - Does not alter the growth rate of  $T(n)$
- The linear growth rate of the running time  $T(n)$  is an intrinsic property of algorithm **arrayMax**
- Growth rates of functions:
  - Linear  $\approx n$
  - Quadratic  $\approx n^2$
  - Cubic  $\approx n^3$
- In a log-log chart, the slope of the line corresponds to the growth rate of the function

# Growth rates



# The “Order” of Performance: (Big) O

- Basic idea:
  1. **Ignore constant factor**: computer and language implementation details affect that: go for fundamental rate of increase with problem size.
  2. Consider **fastest** growing term: Eventually, for large problems, it will **dominate**.
- Value: Compares fundamental performance difference of algorithms
- Caveat: For smaller problems, big-O worse performer may actually do better

# Big-Oh notation

- To simplify the running time estimation,  
for a function  $f(n)$ , we **ignore** the **constants** and **lower order terms**.

Example:  $10n^3+4n^2-4n+5$  is  $O(n^3)$

*Formally,*

Given functions  $T(n)$  and  $f(n)$ , we say that  $T(n)$  is  $O(f(n))$  if there are positive constants  $c$  and  $n_0$  such that

$$T(n) \leq cf(n) \text{ for } n \geq n_0$$

# $T(n) = O(f(n))$ Defined

1.  $\exists n_0$  and
2.  $\exists c$  such that

If  $n > n_0$  then  $c \cdot f(n) \geq T(n)$

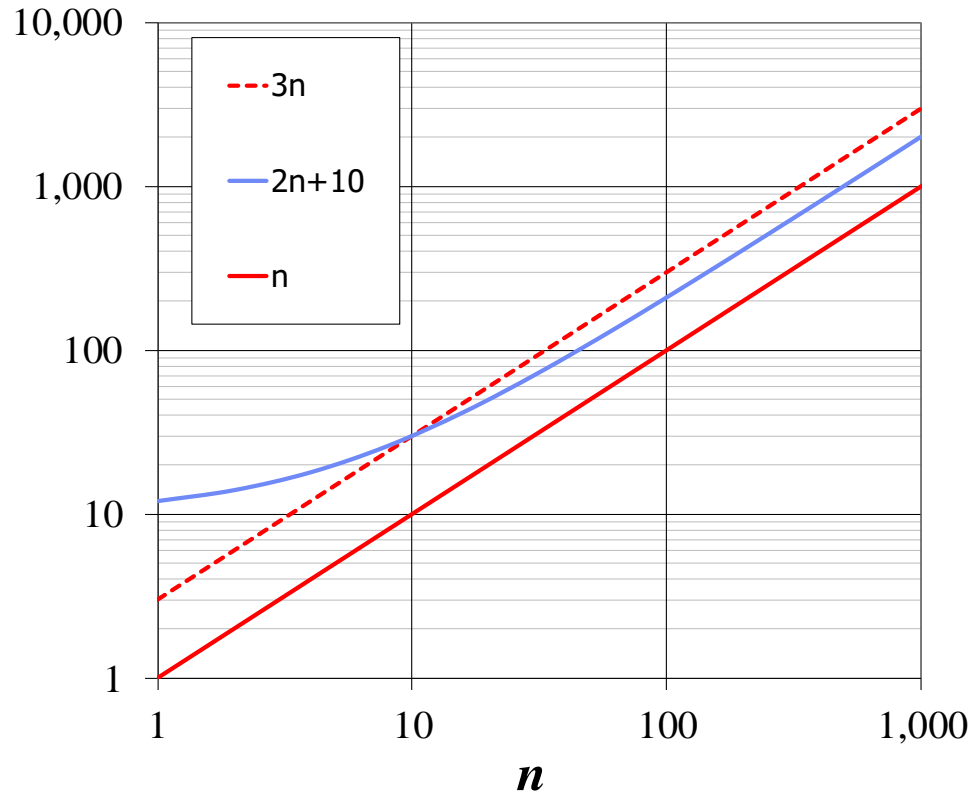
Example:  $T(n) = 3n^2 + 5n - 17$

Pick  $c = 4$ , say; need  $4n_0^2 > 3n_0^2 + 5n_0 - 17$

$n_0^2 > 5n_0 - 17$ , for which  $n_0 = 5$  will do.

$$T(n) = O(f(n))$$

- $T(n)$  = time for algorithm on input size  $n$
- $f(n)$  = a **simpler** function that grows at about the same rate
- Example:  $T(n) = 3n^2 + 5n - 17$  is  $O(n^2)$ 
  - $f(n)$  has faster growing term
  - no extra leading constant in  $f(n)$



Example:  $2n + 10$  is  $O(n)$

$$\begin{aligned} 2n + 10 &\leq cn \\ (c - 2)n &\geq 10 \\ n &\geq 10/(c - 2) \end{aligned}$$

Pick  $c = 3$  and  $n_0 = 10$

# Big-Oh notation - examples

- Example: the function  $n^2$  is not  $O(n)$ 
  - $n^2 \leq cn$
  - $n \leq c$
  - The above inequality cannot be satisfied since  $c$  must be a constant
  - $n^2$  is  $O(n^2)$ .



# Big-Oh notation - examples

- $7n-2$  is  $O(n)$ 
  - need  $c > 0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c \cdot n$  for  $n \geq n_0$
  - this is true for  $c = 7$  and  $n_0 = 1$

# Big-Oh notation - examples

- $7n-2$  is  $O(n)$ 
  - need  $c > 0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c \cdot n$  for  $n \geq n_0$
  - this is true for  $c = 7$  and  $n_0 = 1$
- $3n^3 + 20n^2 + 5$  is  $O(n^3)$ 
  - need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$
  - this is true for  $c = 4$  and  $n_0 = 21$

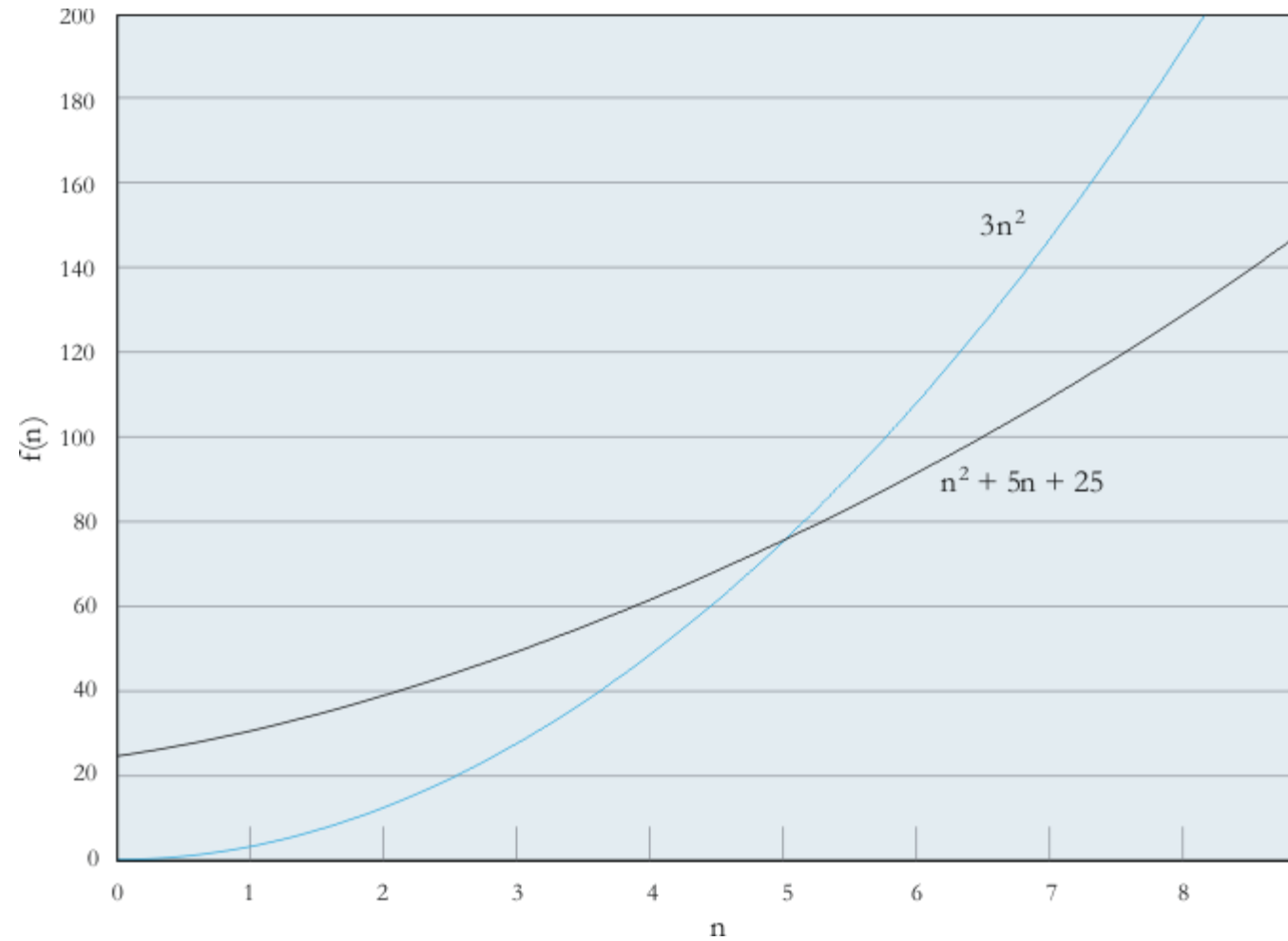
# Big-Oh notation - examples

- $7n-2$  is  $O(n)$ 
  - need  $c > 0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c \cdot n$  for  $n \geq n_0$
  - this is true for  $c = 7$  and  $n_0 = 1$
- $3n^3 + 20n^2 + 5$  is  $O(n^3)$ 
  - need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$
  - this is true for  $c = 4$  and  $n_0 = 21$
- $3 \log n + 5$  is  $O(\log n)$ 
  - need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \cdot \log n$  for  $n \geq n_0$
  - this is true for  $c = 8$  and  $n_0 = 2$

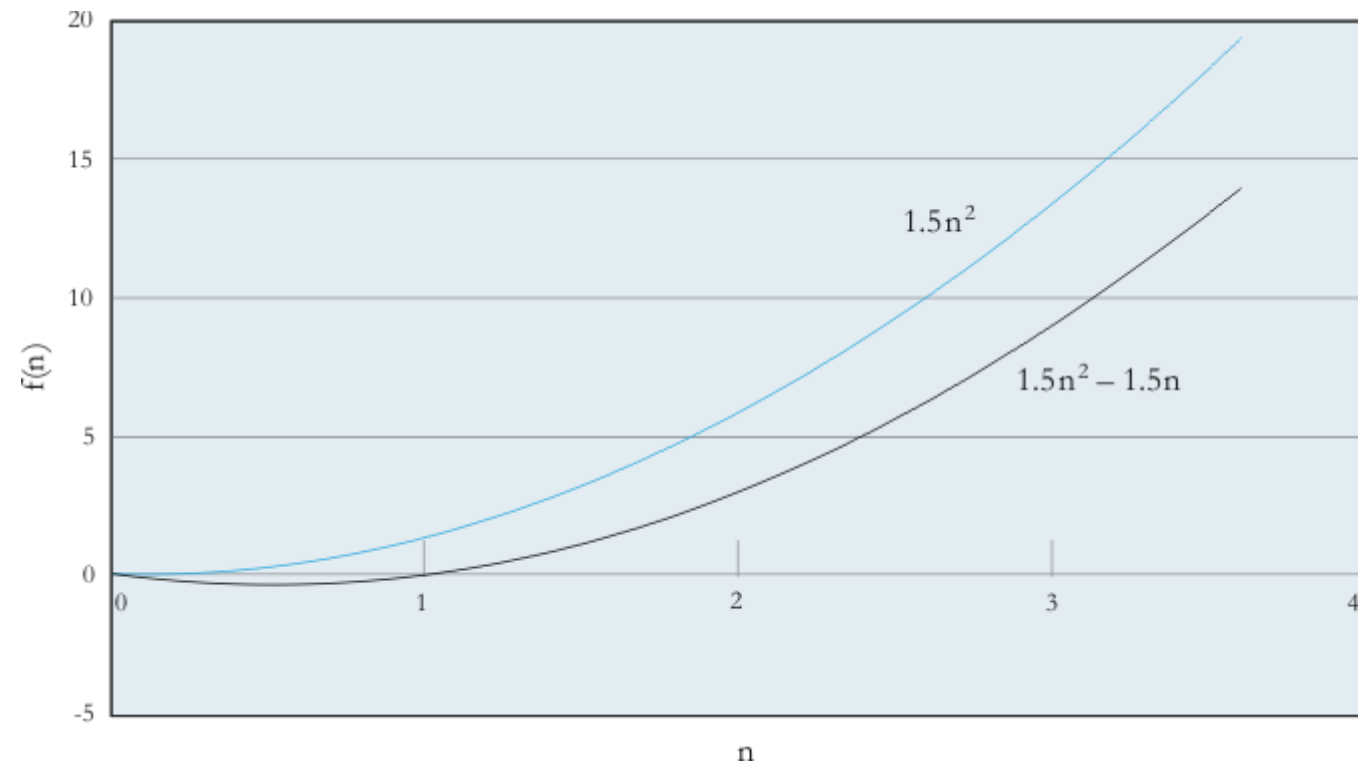
# Big-Oh the Upper Bound

- The Big-Oh notation gives an upper bound on the growth rate of a function
- The statement “ $T(n)$  is  $O(f(n))$ ” means that the growth rate of  $T(n)$  is no more than the growth rate of  $f(n)$
- We can use the big-Oh notation to rank functions according to their growth rate

# Efficiency of Algorithms (continued)



# Efficiency of Algorithms (continued)



# Efficiency of Algorithms (continued)

## Symbols used in Quantifying Software Performance

$T(n)$	The time that a function takes as a function of the number of inputs, $n$ . We may not be able to measure or determine this exactly.
$f(n)$	Any function of $n$ . Generally $f(n)$ will represent a simpler function than $T(n)$ , for example $n^2$ rather than $1.5n^2 - 1.5n$ .
$\mathbf{O}(f(n))$	Order of magnitude. $\mathbf{O}(f(n))$ is the set of functions that grow no faster than $f(n)$ . We say that $T(n) = \mathbf{O}(f(n))$ to indicate that the growth of $T(n)$ is bounded by the growth of $f(n)$ .

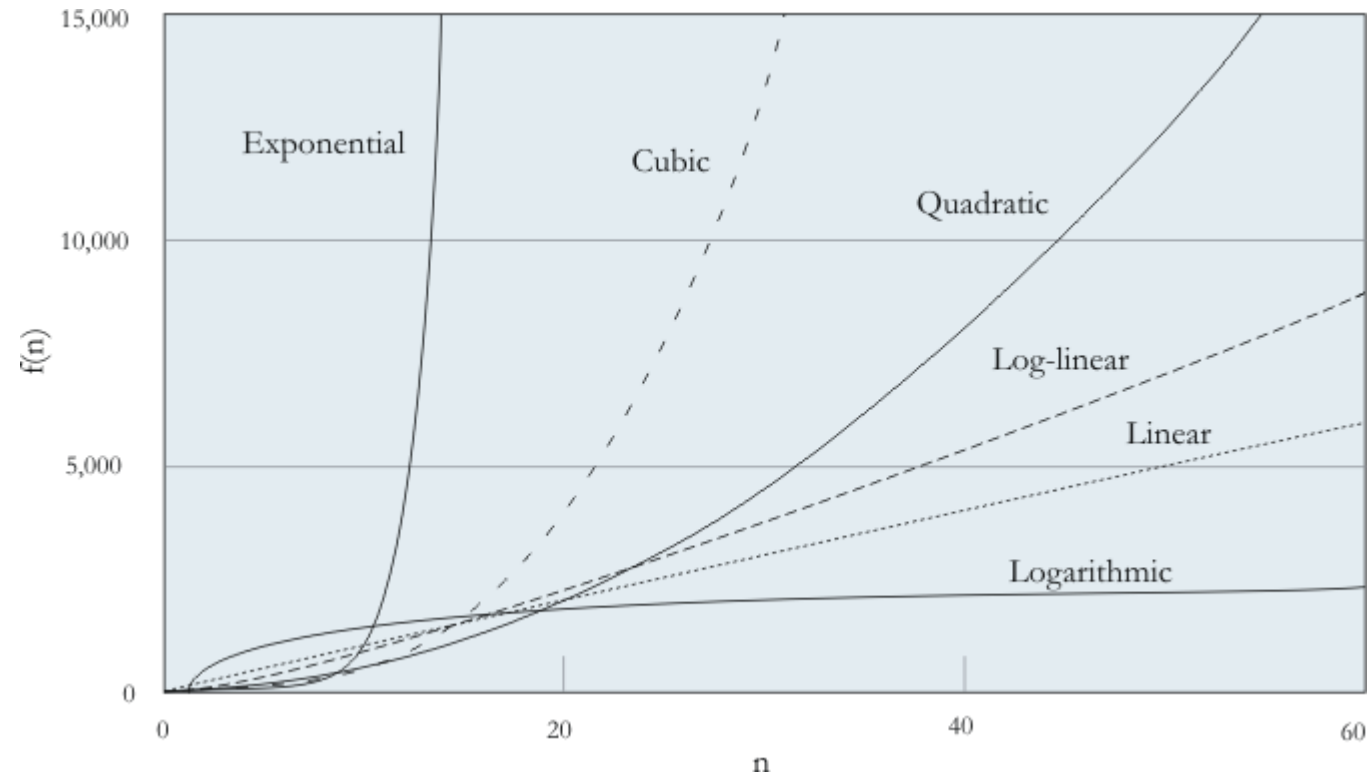
# Efficiency of Algorithms (continued)

Common Growth Rates

Big-O	Name
$O(1)$	Constant
$O(\log n)$	Logarithmic
$O(n)$	Linear
$O(n \log n)$	Log-Linear
$O(n^2)$	Quadratic
$O(n^3)$	Cubic
$O(2^n)$	Exponential
$O(n!)$	Factorial



# Efficiency of Algorithms (continued)



# Self check: Which answer?

If the time is approximately doubled when the number of inputs,  $n$ , is doubled, then the algorithm grows at a \_\_\_\_\_ rate.

- A. constant
- B. logarithmic
- C. linear
- D. quadratic

# Self check: Which answer?

If the time is approximately doubled when the number of inputs,  $n$ , is doubled, then the algorithm grows at a \_\_\_\_\_ rate.

- A. constant
- B. logarithmic
- C. linear**
- D. quadratic

# Efficiency Examples

```
int find (int x[], int val) {  
    for (int i = 0; i < X_LENGTH; i++) {  
        if (x[i] == val)  
            return i;  
    }  
    return -1;    // not found  
}
```

What is the time complexity?

# Efficiency Examples

```
int find (int x[], int val) {  
    for (int i = 0; i < X_LENGTH; i++) {  
        if (x[i] == val)  
            return i;  
    }  
    return -1;    // not found  
}
```

- ❖ Letting  $n$  be `x.length`:
- ❖ Average iterations if *found* =>  
 $(1 + \dots + n) / n = (n + 1) / 2 = O(n)$  iterations
- ❖ if *not found* =>  $n = O(n)$
- ❖ This is called *linear search*.

## Efficiency Examples (2)

```
bool all_different (  
    int x[], int y[]) {  
    for (int i = 0; i < X_LENGTH; i++) {  
        if (find(y, x[i]) != -1)  
            return false;  
    }  
    return true;    // no x element found in y  
}
```

- ❖ Letting  $m$  be `X_LENGTH` and  $n$  be `Y_LENGTH`  $m$ :
- ❖ Time if all different =  $O(m \cdot n) = m \cdot \text{cost of search}(n)$

## Efficiency Examples (3)

```
bool unique (int x[]) {  
    for (int i = 0; i < X_LENGTH; i++) {  
        for (int j = 0; j < X_LENGTH; j++) {  
            if (i != j && x[i] == x[j])  
                return false;  
        }  
    }  
    return true;    // no duplicates in x  
}
```

## Efficiency Examples (3)

```
bool unique (int x[]) {  
    for (int i = 0; i < X_LENGTH; i++) {  
        for (int j = 0; j < X_LENGTH; j++) {  
            if (i != j && x[i] == x[j])  
                return false;  
        }  
    }  
    return true; // no duplicates in x  
}
```

❖ Letting  $n$  be  $X\_LENGTH$ :

❖ Time if unique =  $n^2$  iterations =  $O(n^2)$



## Efficiency Examples (4)

```
bool unique (int x[]) {  
    for (int i = 0; i < X_LENGTH; i++) {  
        for (int j = i+1; j < X_LENGTH; j++) {  
            if (i != j && x[i] == x[j])  
                return false;  
        }  
    }  
    return true;    // no duplicates in x  
}
```

- ❖ Letting  $n$  be `X_LENGTH`:
- ❖ Time if unique =  $(n-1)+(n-2)+\dots+2+1$  iterations =
- ❖  $n(n-1)/2$  iterations =  $O(n^2)$  *still* ... only **factor of 2 better**

## Efficiency Examples (5)

```
for (int i = 1; i < n; i *= 2) {  
    do something with x[i]  
}
```

What is the time complexity?

## Efficiency Examples (5)

```
for (int i = 1; i < n; i *= 2) {  
    do something with x[i]  
}
```

- Sequence is 1, 2, 4, 8, ...,  $\cong n$ .
- Number of iterations =  $\log_2 n = \log n$ .
- Computer scientists generally use base 2 for log, since that matches with number of *bits*, etc.
- Also  $O(\log_b n) = O(\log_2 n)$  since change of base just multiplies by a constant:  $\log_2 n = \log_b n / \log_b 2$

# Chessboard Puzzle

**Payment scheme #1:** \$1 on first square, \$2 on second, \$3 on third, ..., \$64 on 64<sup>th</sup>.

**Payment scheme #2:** 1¢ on first square, 2¢ on second, 4¢ on third, 8¢ on fourth, etc.

***Which is best?***

# Chessboard Puzzle Analyzed

**Payment scheme #1:** Total =  $\$1 + \$2 + \$3 + \dots + \$64 = \$64 \times 65 / 2 = \$1755$

**Payment scheme #2:**  $1\text{¢} + 2\text{¢} + 4\text{¢} + \dots + 2^{63}\text{¢} = 2^{64} - 1\text{¢} = \$184.467440737 \text{ trillion}$

- ☐ Many cryptographic schemes require  $O(2^n)$  work to break a key of length  $n$  bits.
- ☐ A key of length  $n=40$  is perhaps breakable,
- ☐ but a key with length  $n=256$  is NOT TODAY!!!!