

# Sorting Algorithms

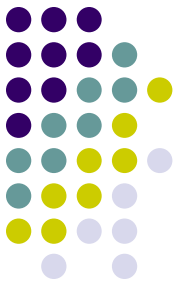
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Merge Sort



# Overview

- Divide and Conquer
- Merge Sort



# Divide and Conquer



1. **Base Case**, solve the problem **directly** if it is small enough
2. **Divide** the problem into two or more **similar and smaller** subproblems
3. **Recursively** solve the subproblems
4. **Combine** solutions to the subproblems

# Divide and Conquer - Sort



Problem:

- Input:  $A[\text{left}..\text{right}]$  – **unsorted** array of integers
- Output:  $A[\text{left}..\text{right}]$  – **sorted** in non-decreasing order



# Divide and Conquer - Sort

## 1. Base case

at most one element ( $\text{left} \geq \text{right}$ ), return

## 2. Divide $A$ into two subarrays: FirstPart, SecondPart

Two Subproblems:

sort the FirstPart

sort the SecondPart

## 3. Recursively

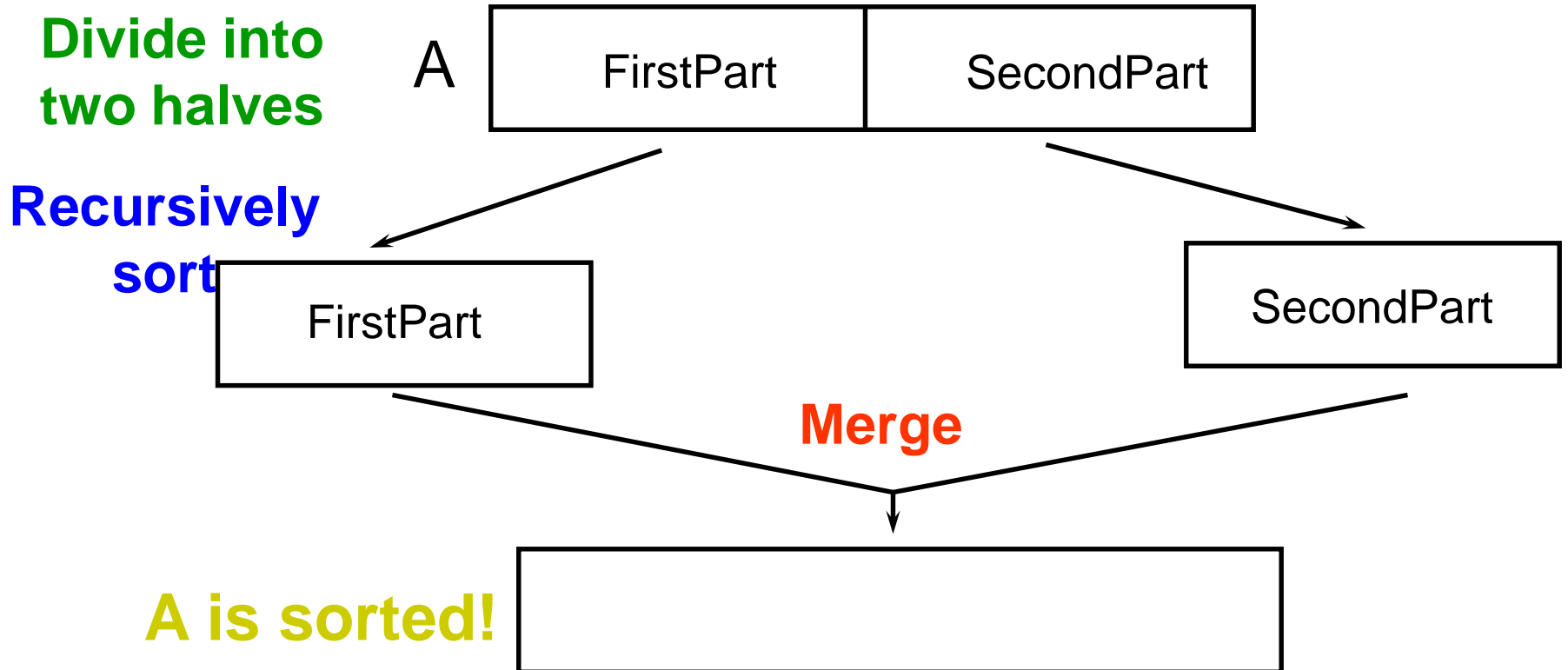
sort FirstPart

sort SecondPart

## 4. Combine sorted FirstPart and sorted SecondPart



# Merge Sort: Idea





# Merge Sort: Algorithm

**Merge-Sort** (A, left, right)

if **left**  $\geq$  **right** return

else

middle  $\leftarrow \lfloor (\text{left} + \text{right}) / 2 \rfloor$

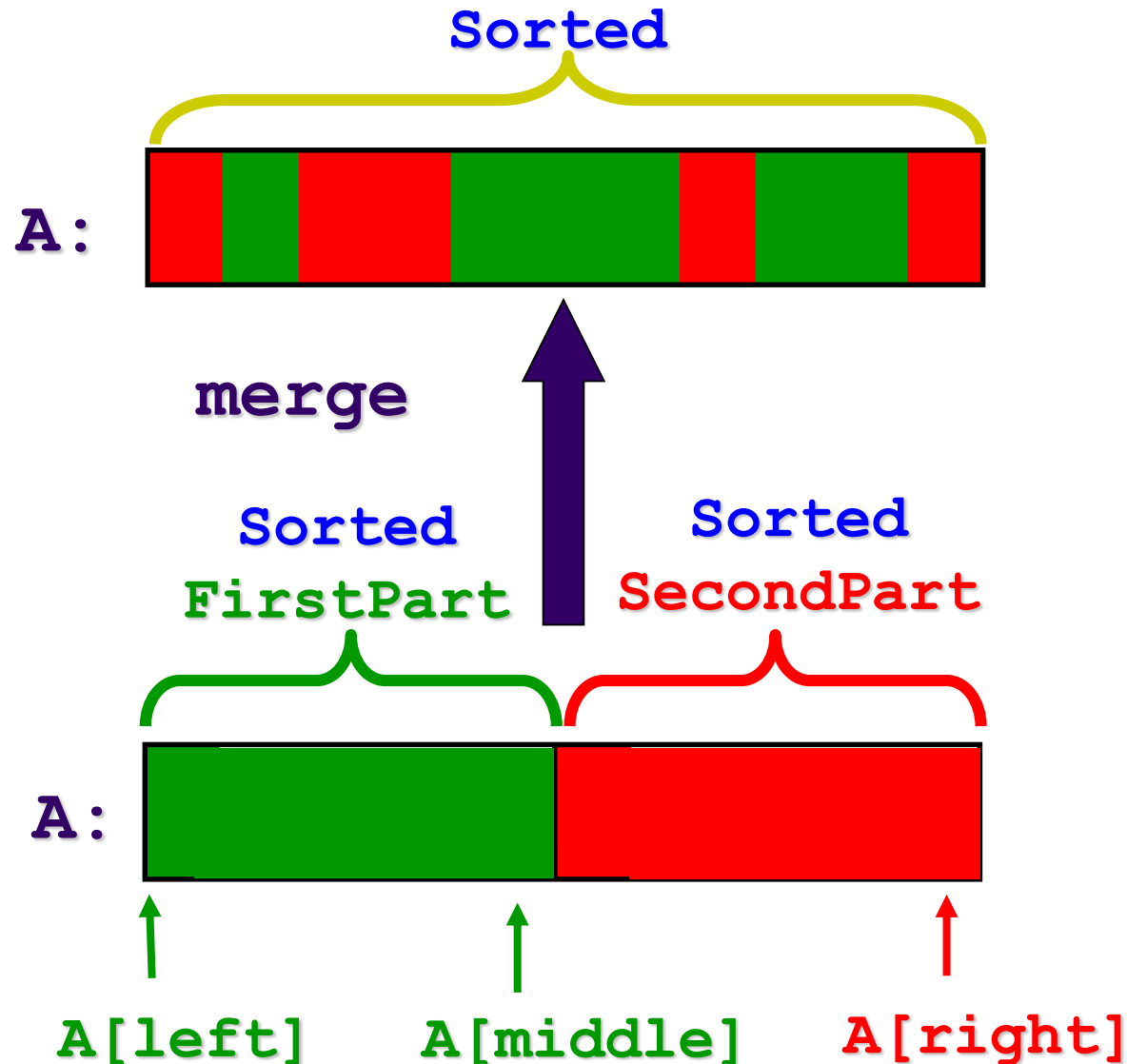
**Merge-Sort**(A, left, middle)

**Merge-Sort**(A, middle+1, right)

**Merge**(A, left, middle, right)

Recursive Call

# Merge-Sort: Merge







# Merge-Sort: Merge Example

**A:**



**L:**



**R:**

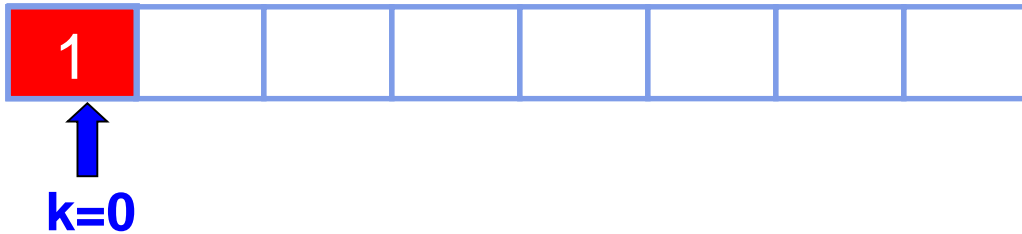


**Temporary Arrays**



# Merge-Sort: Merge Example

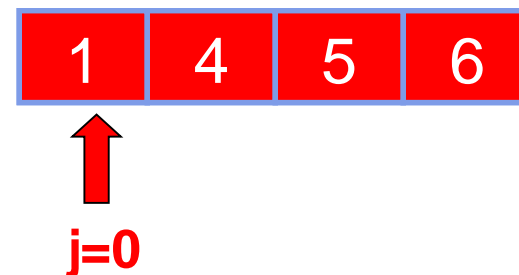
A:



L:



R:





# Merge-Sort: Merge Example

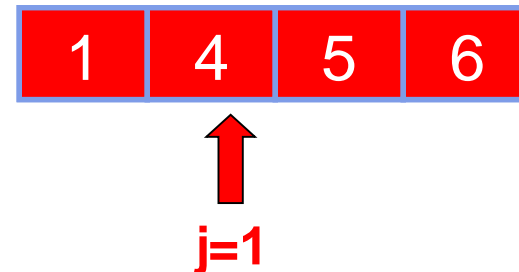
A:



L:



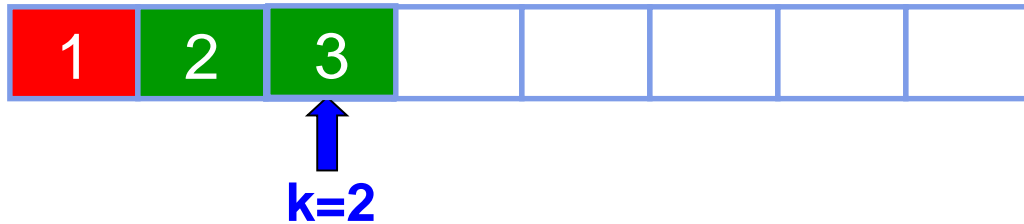
R:



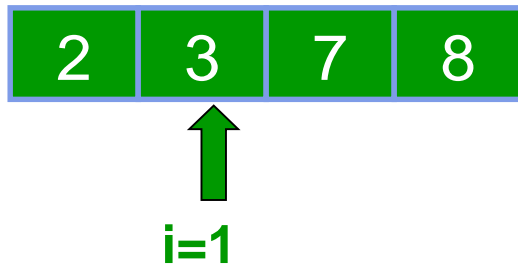


# Merge-Sort: Merge Example

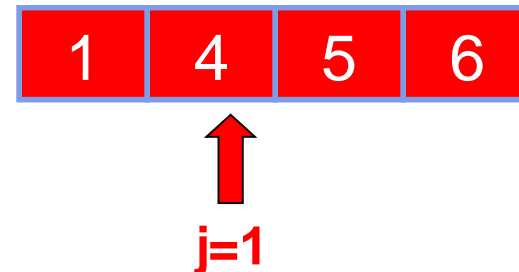
**A:**



**L:**



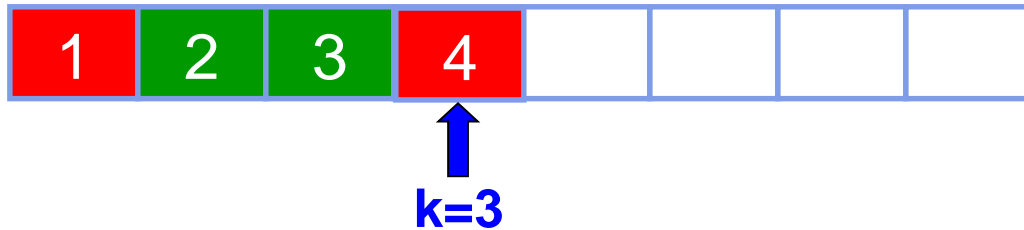
**R:**



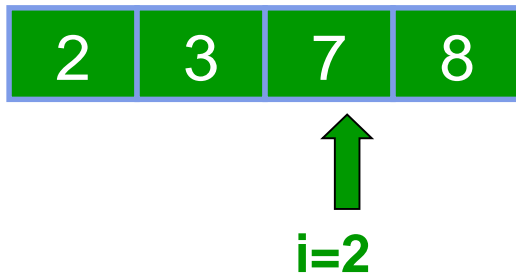


# Merge-Sort: Merge Example

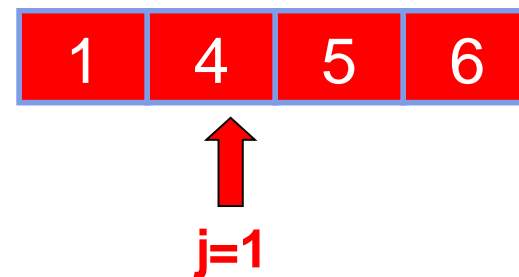
**A:**



**L:**



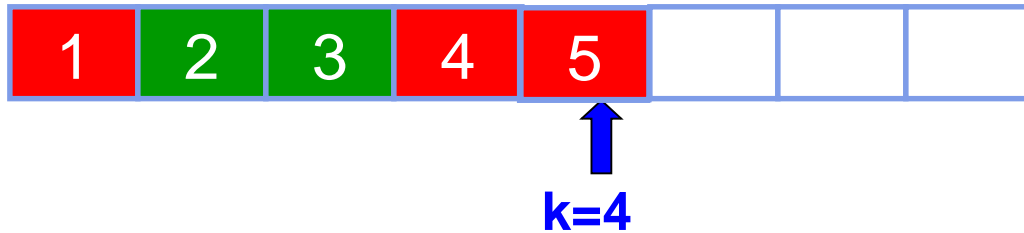
**R:**



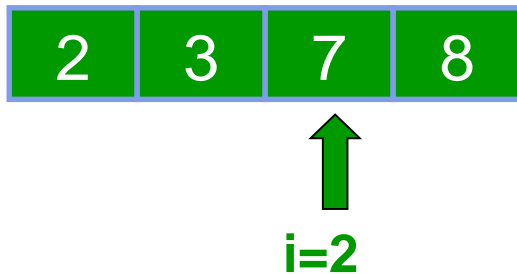


# Merge-Sort: Merge Example

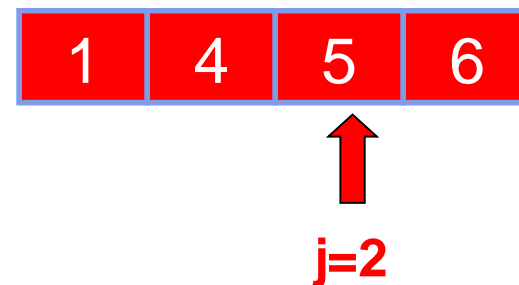
**A:**



**L:**



**R:**





# Merge-Sort: Merge Example

**A:**



**k=5**

**L:**



**i=2**

**R:**



**j=3**



# Merge-Sort: Merge Example

**A:**



↑  
**k=6**

**L:**



↑  
**i=2**

**R:**



↑  
**j=4**





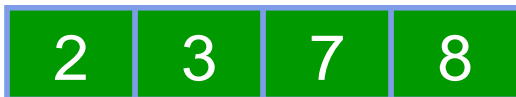
# Merge-Sort: Merge Example

**A:**



↑  
**k=7**

**L:**



↑  
**i=3**

**R:**



↑  
**j=4**



# Merge-Sort: Merge Example

**A:**



↑  
**k=8**

**L:**



↑  
**i=4**

**R:**



↑  
**j=4**



## Merge(A, left, middle, right)

```
1.   $n_1 \leftarrow \text{middle} - \text{left} + 1$ 
2.   $n_2 \leftarrow \text{right} - \text{middle}$ 
3.  create array L[ $n_1$ ], R[ $n_2$ ]
4.  for  $i \leftarrow 0$  to  $n_1 - 1$  do L[ $i$ ]  $\leftarrow$  A[ $\text{left} + i$ ]
5.  for  $j \leftarrow 0$  to  $n_2 - 1$  do R[ $j$ ]  $\leftarrow$  A[ $\text{middle} + j$ ]
6.   $k \leftarrow i \leftarrow j \leftarrow 0$ 
7.  while  $i < n_1$  &  $j < n_2$ 
8.      if L[ $i$ ] < R[ $j$ ]
9.          A[ $k++$ ]  $\leftarrow$  L[ $i++$ ]
10.     else
11.         A[ $k++$ ]  $\leftarrow$  R[ $j++$ ]
12. while  $i < n_1$ 
13.     A[ $k++$ ]  $\leftarrow$  L[ $i++$ ]
14. while  $j < n_2$ 
15.     A[ $k++$ ]  $\leftarrow$  R[ $j++$ ]
```

$n = n_1 + n_2$

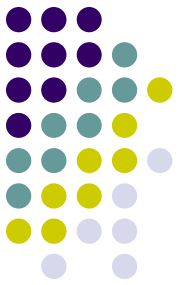
Space:  $n$

Time :  $cn$  for some constant  $c$

# Merge-Sort(A, 0, 7)

Divide

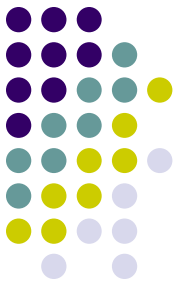
A:



# Merge-Sort(A, 0, 7)

Merge-Sort(A, 0, 3), divide

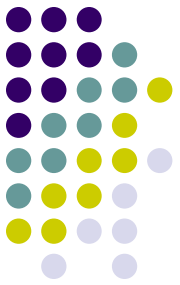
A:



# Merge-Sort(A, 0, 7)

Merge-Sort(A, 0, 1), divide

A:



# Merge-Sort(A, 0, 7)

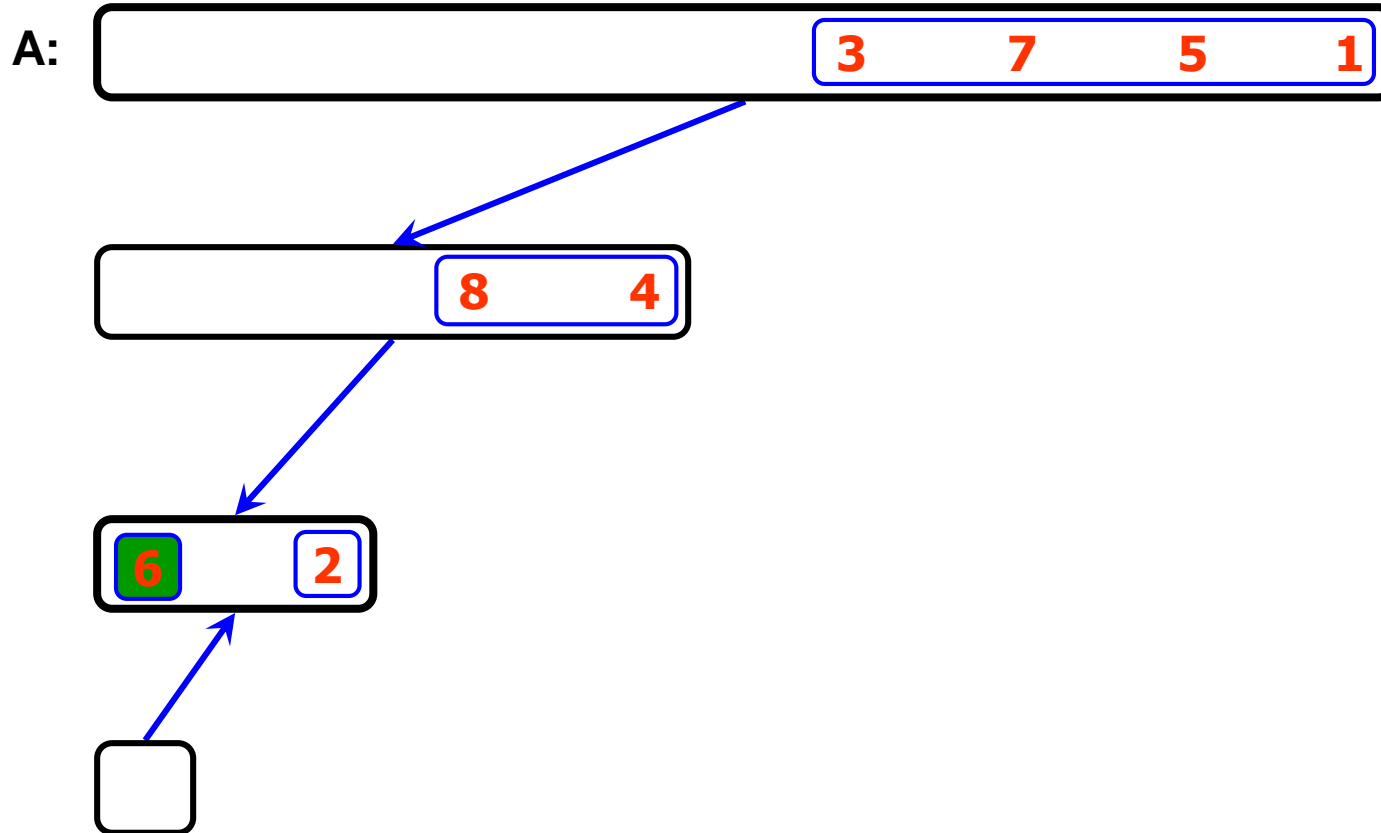
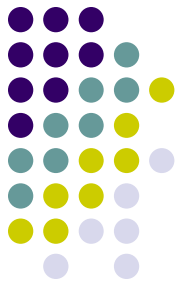
Merge-Sort(A, 0, 0) , base case

A:



# Merge-Sort(A, 0, 7)

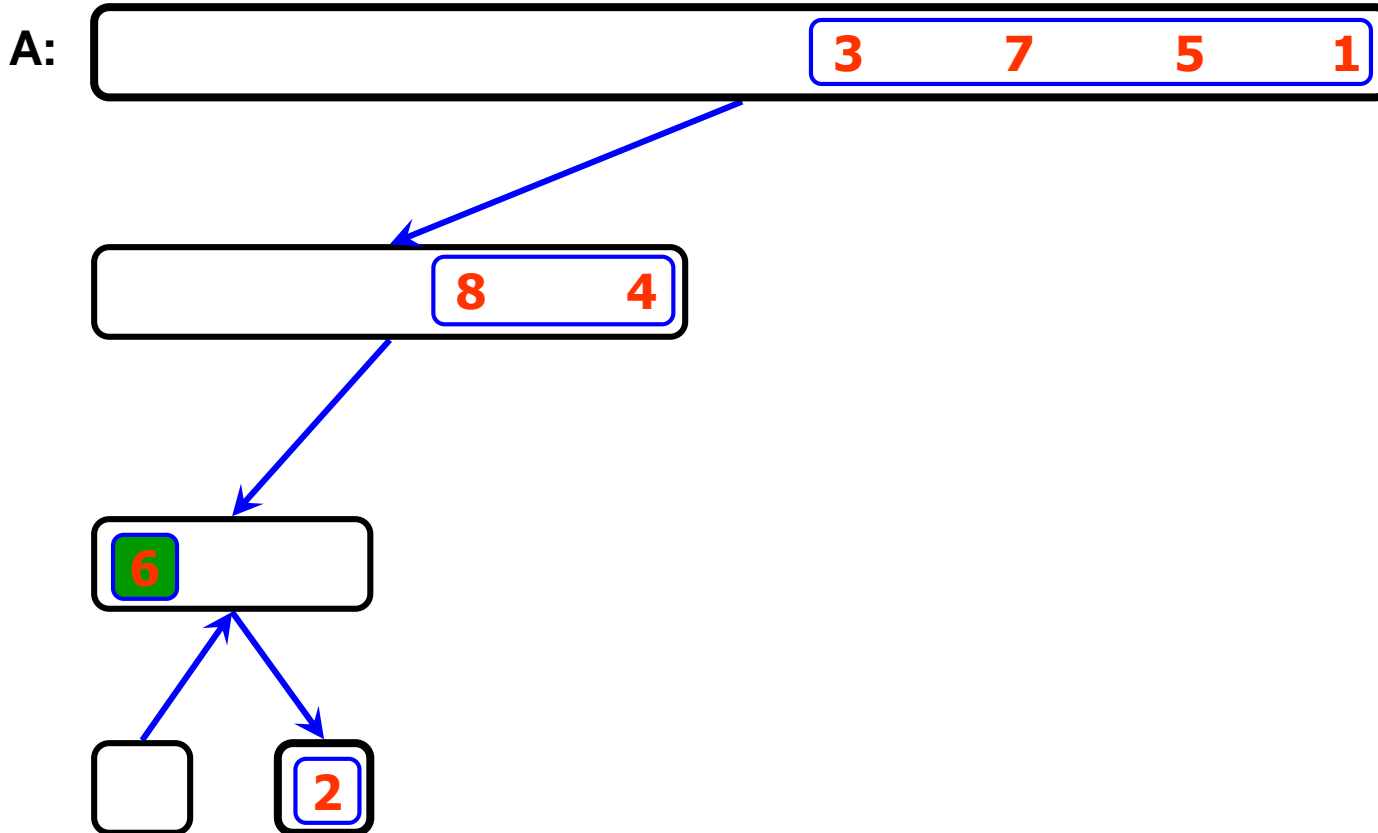
Merge-Sort(A, 0, 0), return





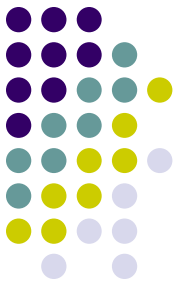
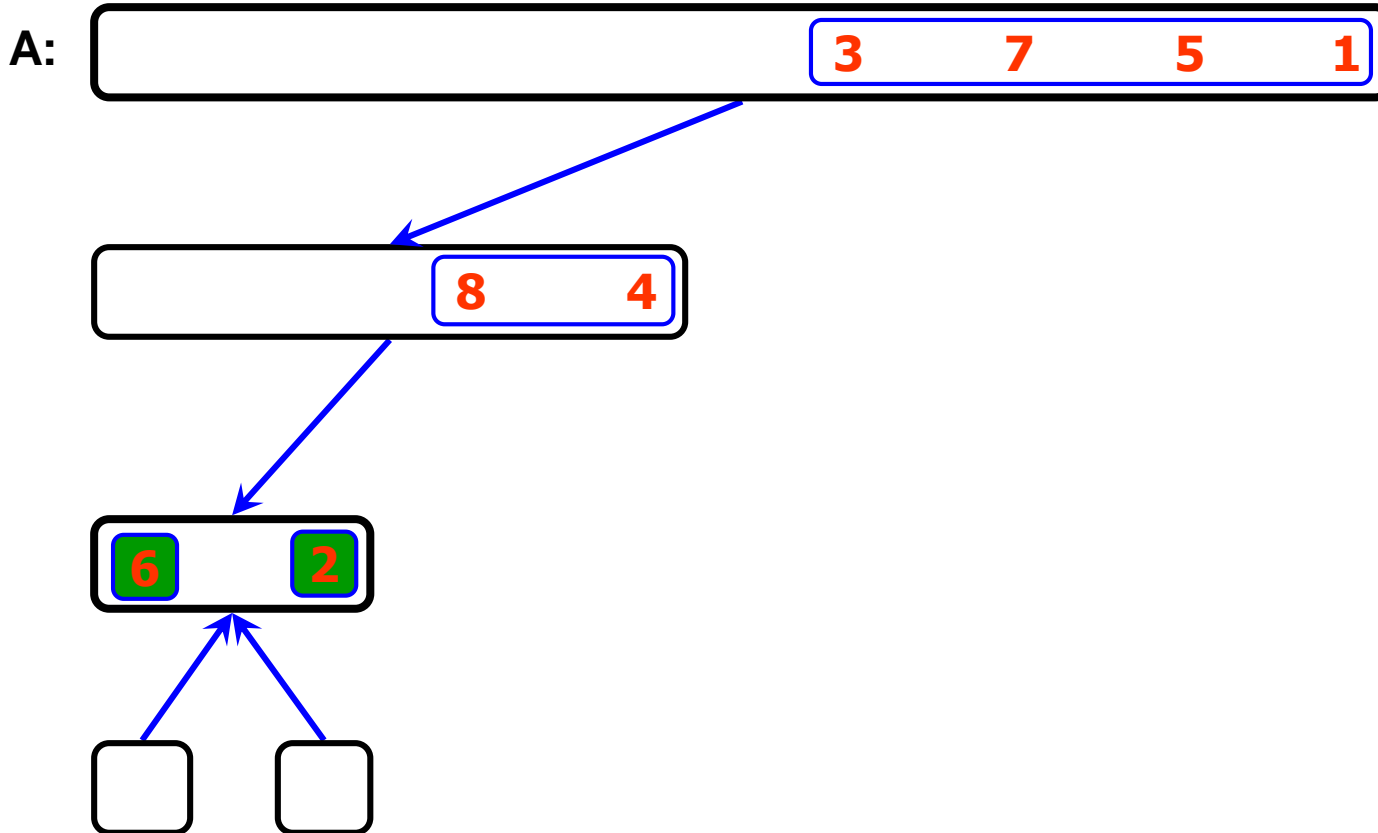
# Merge-Sort(A, 0, 7)

Merge-Sort(A, 1, 1), base case



# Merge-Sort(A, 0, 7)

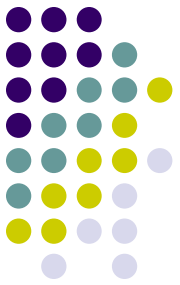
Merge-Sort(A, 1, 1), return



# Merge-Sort(A, 0, 7)

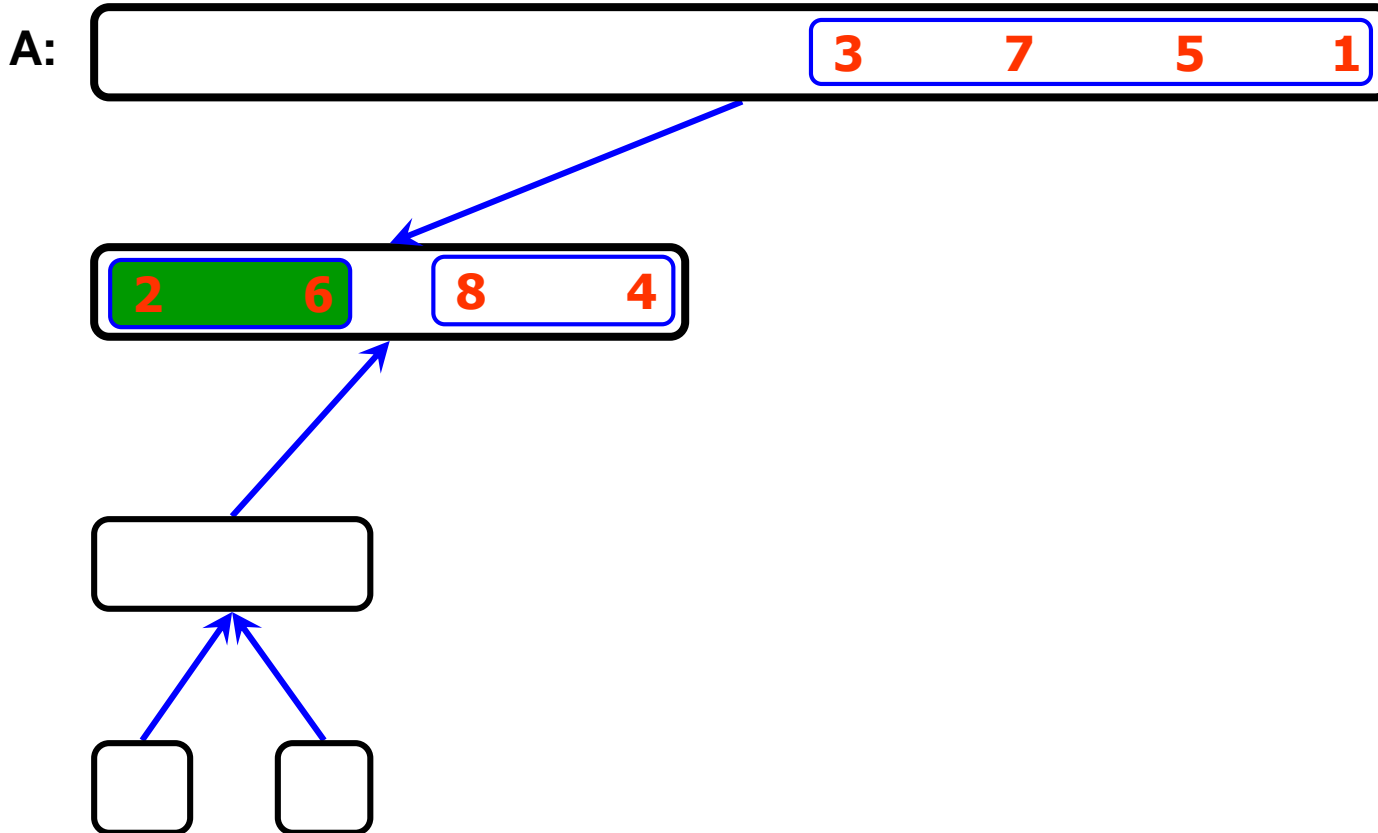
Merge(A, 0, 0, 1)

A:



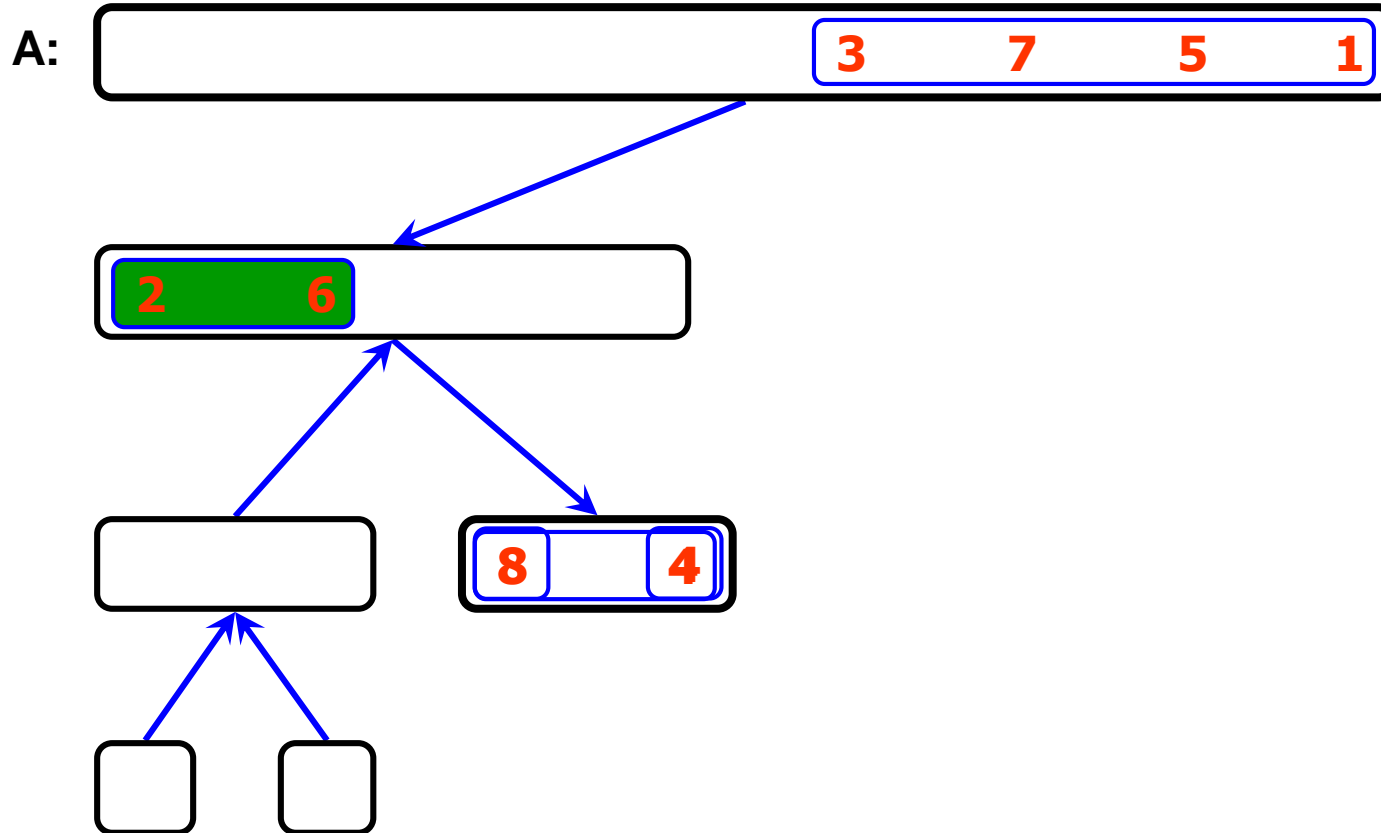
# Merge-Sort(A, 0, 7)

Merge-Sort(A, 0, 1), return



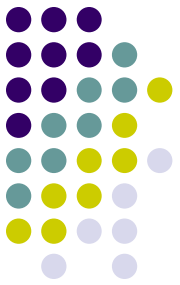
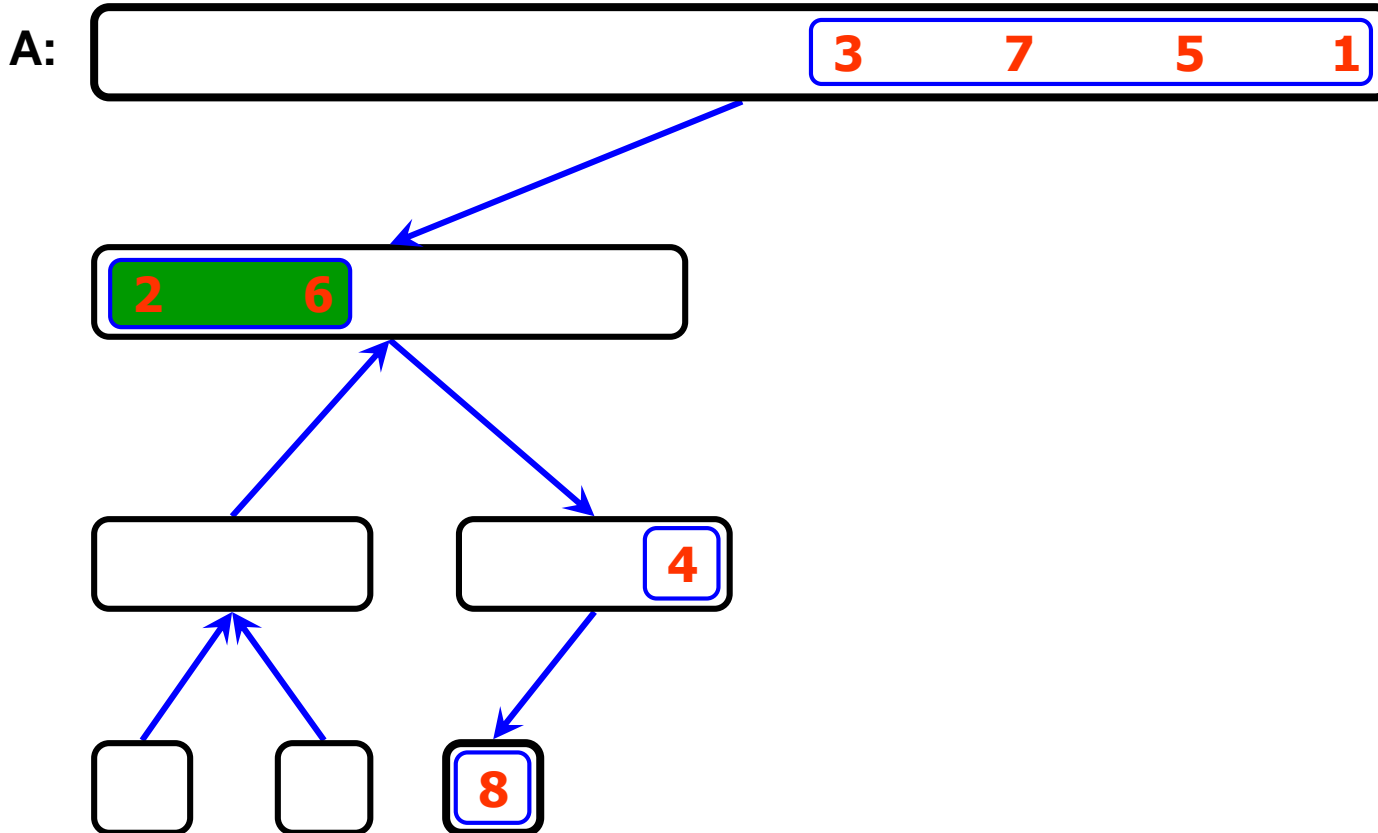
# Merge-Sort(A, 0, 7)

Merge-Sort(A, 2, 3), divide



# Merge-Sort(A, 0, 7)

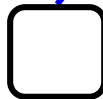
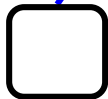
Merge-Sort(A, 2, 2), base case



# Merge-Sort(A, 0, 7)

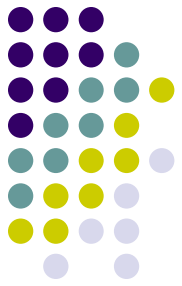
Merge-Sort(A, 2, 2), return

A:

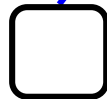
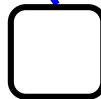
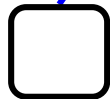


# Merge-Sort(A, 0, 7)

Merge-Sort(A, 3, 3), base case



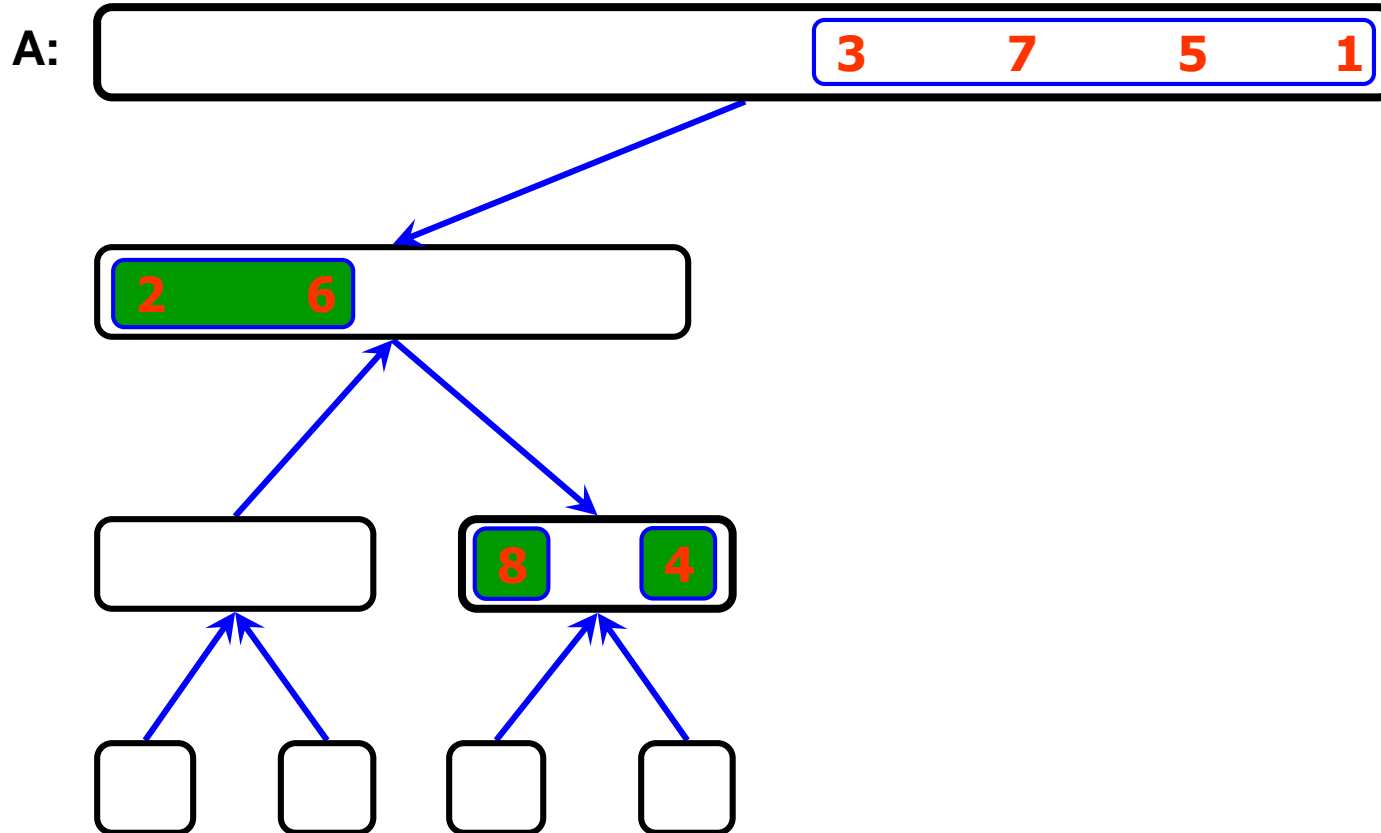
A:





# Merge-Sort(A, 0, 7)

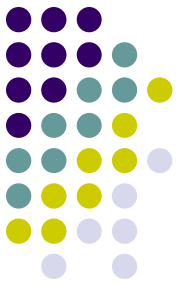
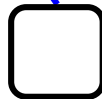
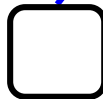
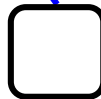
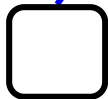
Merge-Sort(A, 3, 3), return



# Merge-Sort(A, 0, 7)

Merge(A, 2, 2, 3)

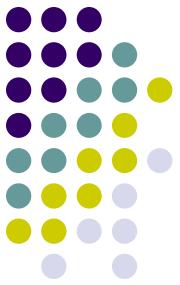
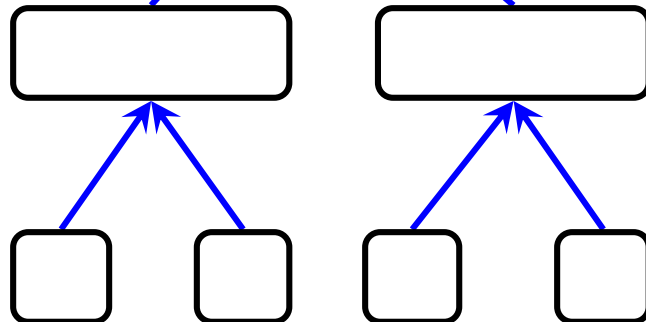
A:



# Merge-Sort(A, 0, 7)

Merge-Sort(A, 2, 3), return

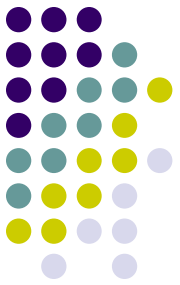
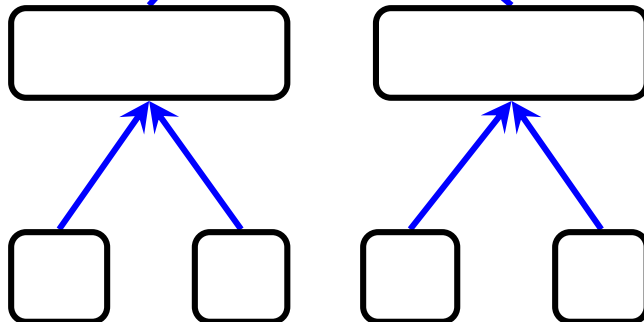
A:



# Merge-Sort(A, 0, 7)

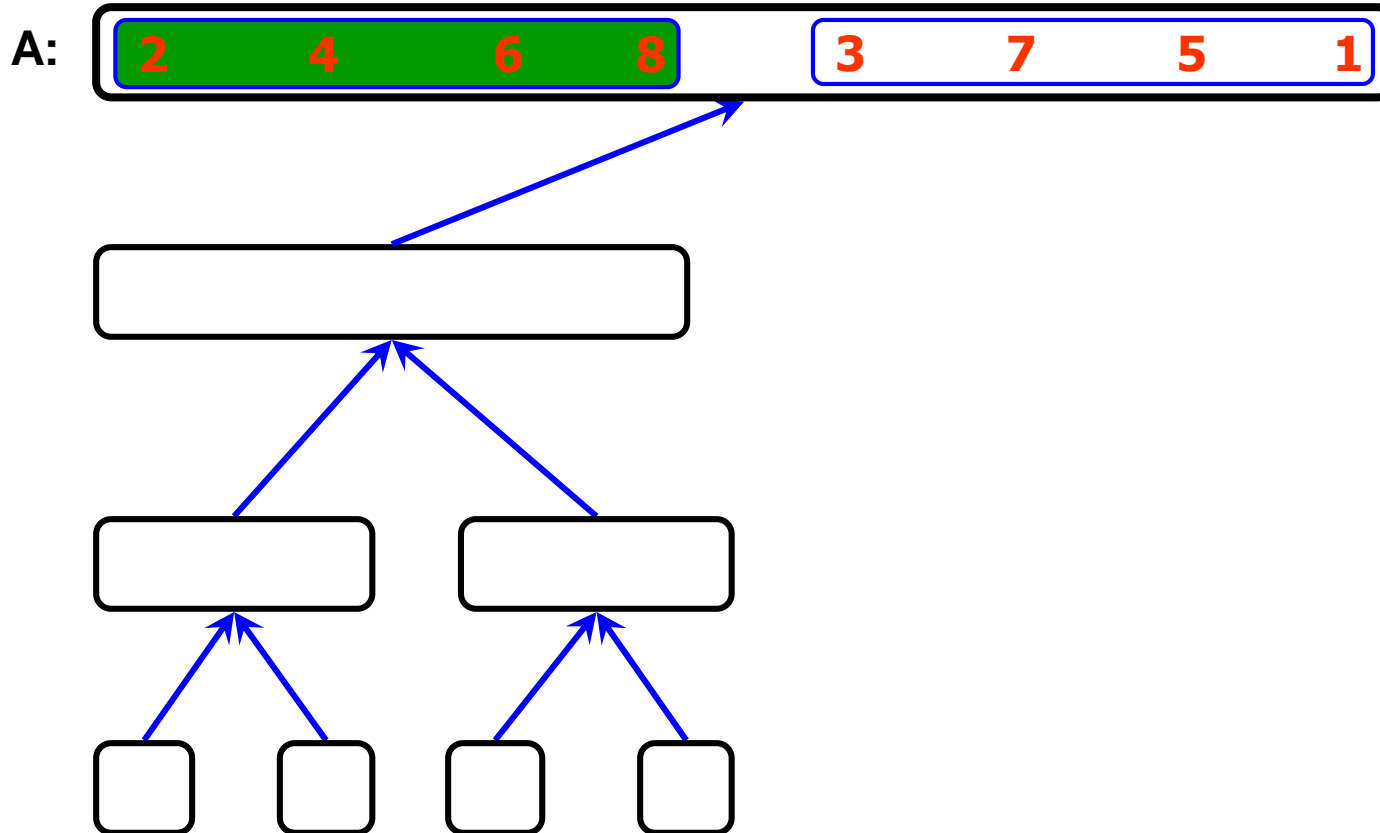
Merge(A, 0, 1, 3)

A:



# Merge-Sort(A, 0, 7)

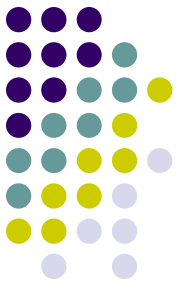
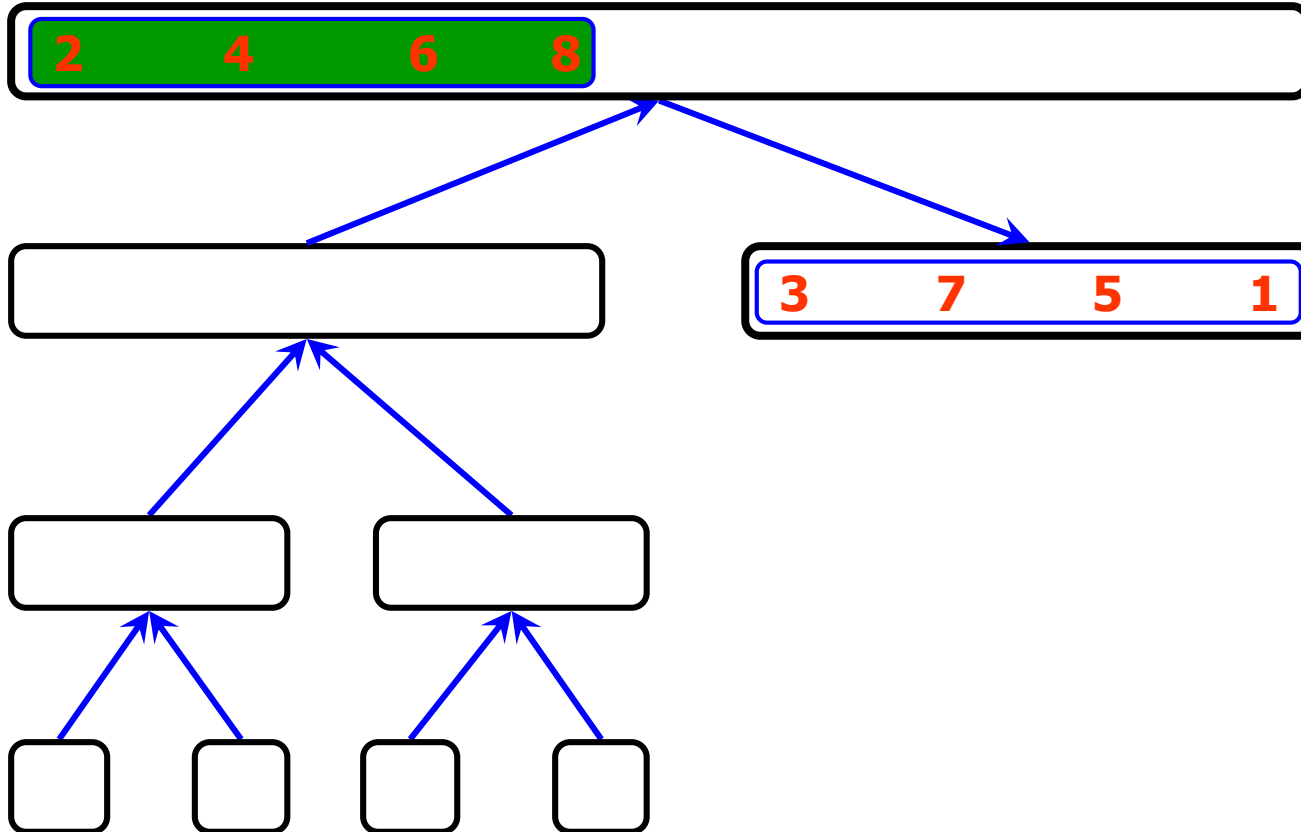
Merge-Sort(A, 0, 3), return



# Merge-Sort(A, 0, 7)

Merge-Sort(A, 4, 7)

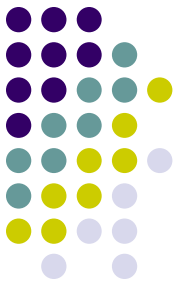
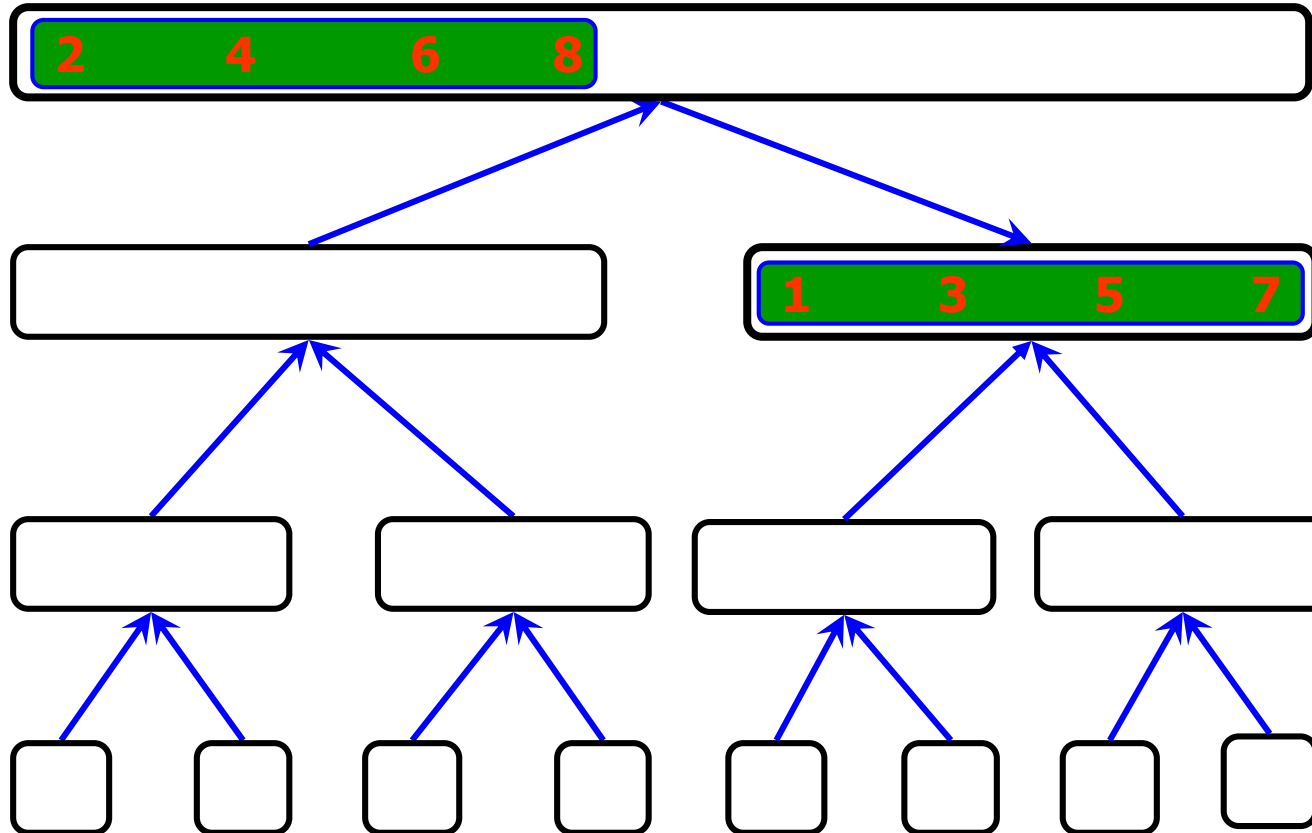
A:



# Merge-Sort(A, 0, 7)

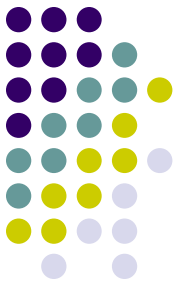
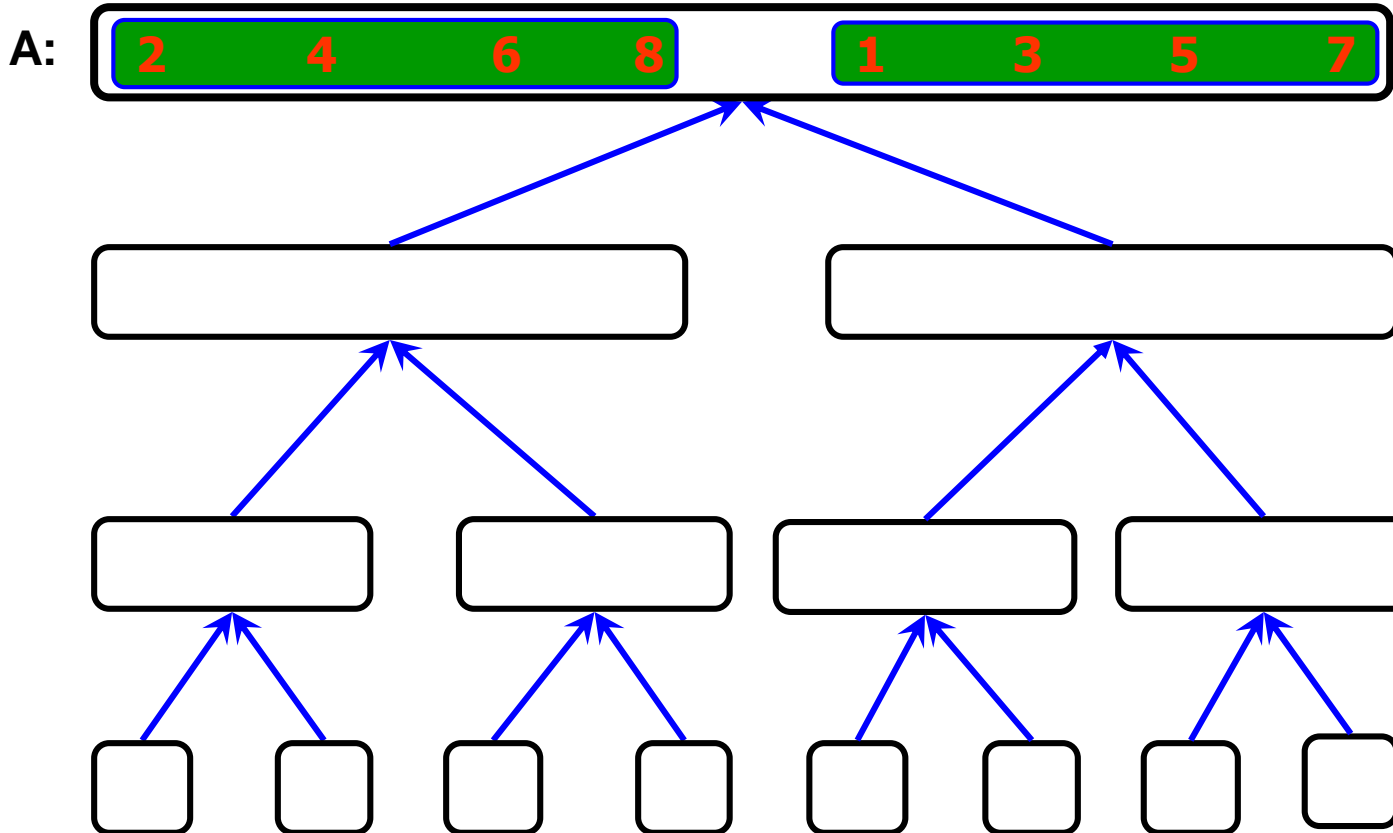
Merge (A, 4, 5, 7)

A:



# Merge-Sort(A, 0, 7)

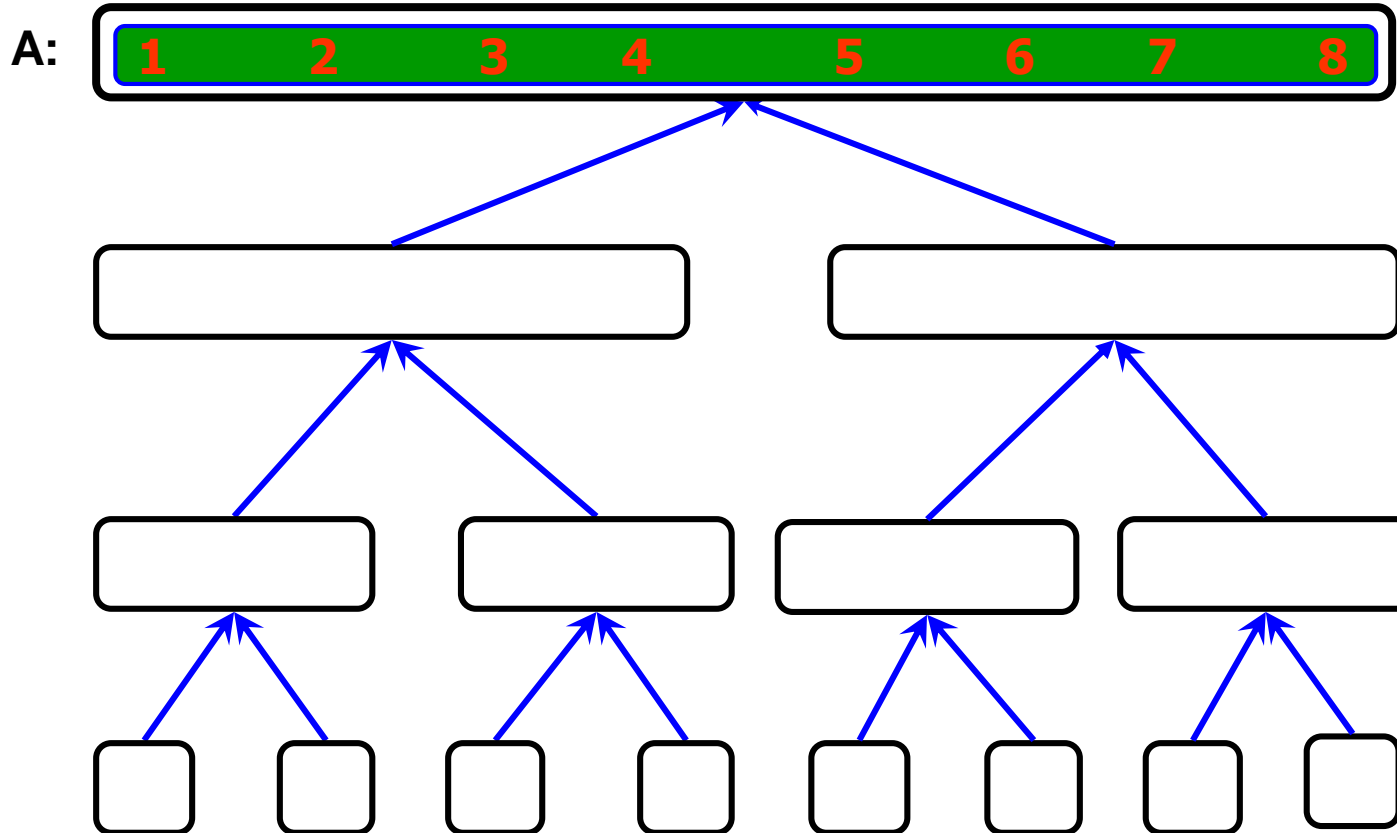
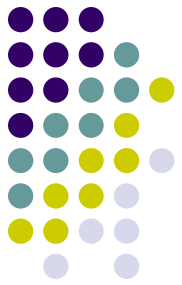
Merge-Sort(A, 4, 7), return



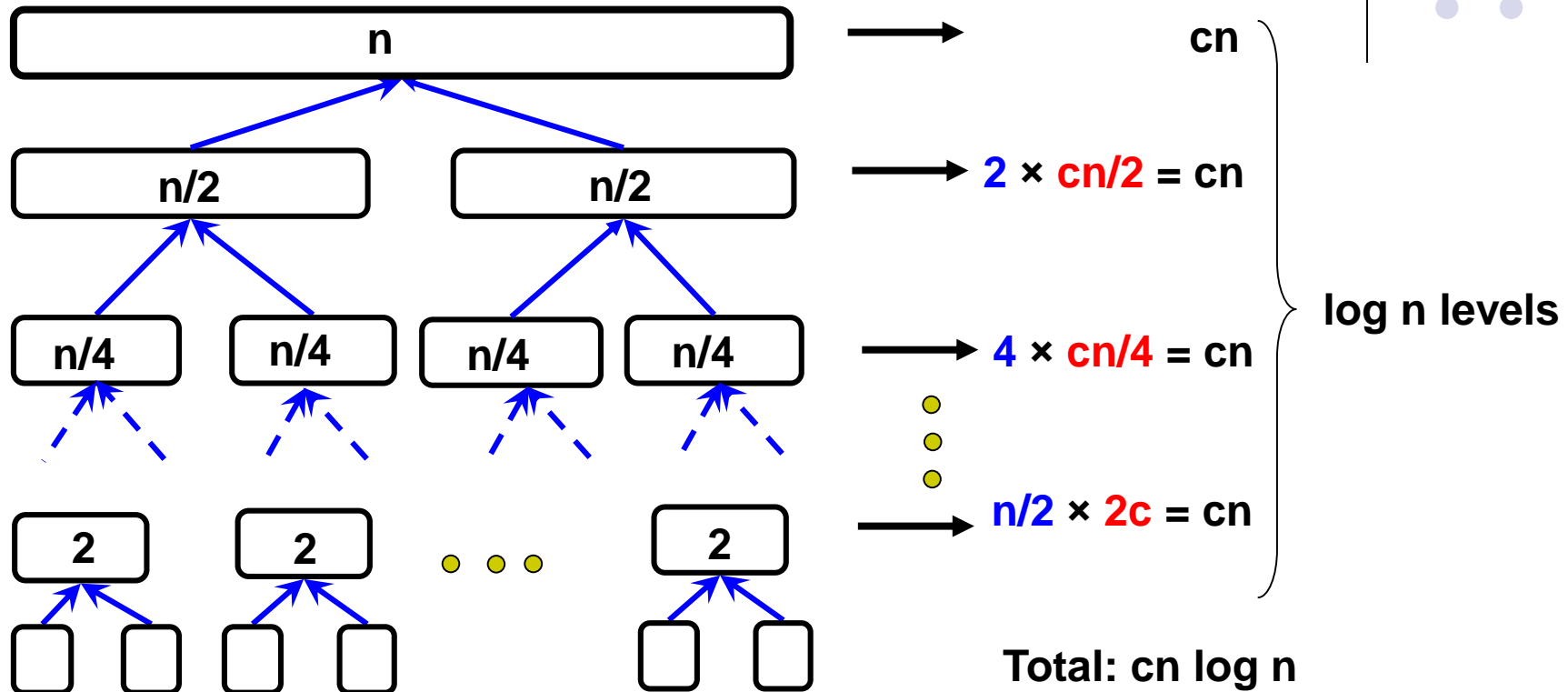


# Merge-Sort(A, 0, 7)

Merge-Sort(A, 0, 7), done!



# Merge-Sort Analysis



- Total running time:  $\Theta(n \log n)$
- Total Space:  $\Theta(n)$

# Merge-Sort Summary



Approach: divide and conquer

Time

- Most of the work is in the merging
- Total time:  $\Theta(n \log n)$

Space:

- $\Theta(n)$ , more space than other sorts.