

# Assignment 1 - Homework Exercises on Approximation Algorithms

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## A.I-1

We will show that the approximation ratio of the *GreedySchedulingAlgorithm* is at least  $2 - \frac{1}{m}$  by showing an example as follow.

Let's consider this setting:

- 3 machines: M1, M2, M3
- 7 jobs: 1, 1, 1, 2, 1, 1, 2

*GreedySchedulingAlgorithm* will come up with this scheduling:

- M1: 1 2 2
- M2: 1 1
- M3: 1 1

Thus  $ALG = makespan = 1 + 2 + 2 = 5$

We know that:

$$OPT \geq Average_{load} \tag{1}$$

Where  $Average_{load} = \frac{1}{m} \sum_{i=1}^n j_i = \frac{9}{3} = 3$

Here we can find a solution with  $makespan = 3$ . That is:

- M1: 1 2
- M2: 1 2

- M3: 1 1 1

Therefore,  $OPT = 3$

Thus, the approximation ratio is:

$$\rho = \frac{ALG}{OPT} = \frac{5}{3} \quad (2)$$

According to the theorem, the estimated ratio is:

$$\rho_{estimated} = 2 - \frac{1}{m} = 2 - \frac{1}{3} = \frac{5}{3} \quad (3)$$

From 2 and 3, we have  $\rho_{estimated} = \rho$ . Therefore, this bound is tight.

## A.I-2

From the question, we know that

$$\begin{aligned} m &= 10 \\ \sum_{j=1}^n t_j &\geq 1000 \\ t_j &\in [1, 20]; \text{ for all } i \leq j \leq n \end{aligned}$$

Let  $T'_{i^*}$  denote the load of  $M_{i^*}$  before  $t_j^*$ , last job, is assigned to the machine. Thus  $T_{i^*}^*$ , which represents makespan of the assignment, equals to

$$T_{i^*}^* = T'_{i^*} + t_j^*$$

Because  $T'_{i^*}$  is the minimum load among all machines, so that we can derive

$$T'_{i^*} \leq \frac{1}{m} \sum_{i=1}^m T'_i = \sum_{j=1}^{j^*} t_j \leq \frac{1}{m} \left[ \sum_{j=1}^n t_j - t_j^* \right] \leq LB$$

Then we can derive

$$\begin{aligned}
T_i^* &= T_{i^*}' + t_j^* \\
&\leq \frac{1}{m} \left[ \sum_{j=1}^n t_j - t_j^* \right] + t_j^* \\
&\leq \frac{1}{m} \sum_{j=1}^n t_j + \left(1 - \frac{1}{m}\right) t_j^* \\
&\leq 100 + \left(1 - \frac{1}{10}\right) 20 \\
&\leq 118
\end{aligned}$$

According *Algorithm Greedy Scheduling* and the question, we know

$$\begin{aligned}
\max\left(\frac{1}{m} \sum_{j=1}^n t_j, \max_{1 \leq j \leq n}(t_j)\right) &\leq LB \leq OPT \\
\max_{1 \leq j \leq n}(t_j) &= 20
\end{aligned}$$

Then we can derive

$$\max\left(\frac{1}{m} \sum_{j=1}^n t_j, 20\right) \leq LB$$

Since  $\frac{1}{m} \sum_{j=1}^n t_j \geq 1000$ , thus

$$\begin{aligned}
100 &\leq LB \\
&\leq OPT
\end{aligned}$$

Therefore, approximation-ratio( $\rho$ ) equals to

$$\begin{aligned}
T_i^* &\leq \rho OPT \\
\frac{118}{100} &\leq \rho \\
1.18 &\leq \rho
\end{aligned}$$

For this particular setting, *Algorithm Greedy Scheduling* is 1.18 approximation algorithm.

### AI-3-i)

Assume we have the optimal solution, which has  $n$  squares

$$n \leq LB \leq OPT$$

**Lemma 1.** *Each unit square in the grid can overlap at most 4 cells. Let  $n_s$  be the number of square in the integer grid solution. Thus*

$$n_s \leq 4n \leq 4OPT$$

### A.I-3-ii

We propose the algorithm as follow.

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**Algorithm 1** Finding minimum row square cover

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**Require:** Set of Points  $P$

**Ensure:** Min Square Cover  $min$

**Operation:**

```
set currentCoveringPosition = 0
QuickSortAscending( $S$ )
for all Point  $p$  in  $P$  do
    if  $p.x \leq currentCoveringPosition$  then
        create square  $s = (p.x, 1, p.x + 1, 0)$ ;
        add  $s$  to  $S$ 
        set currentCoveringPosition =  $p.x + 1$ 
    end if
    set  $min = sizeof S$ 
    return  $min$ 
end for
```

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This algorithm is correct because:

- Every point in  $p$  will be covered by a square
- There are no intersections between the squares because we traverse in one direction

This algorithm consists of 2 parts: QuickSort and Traversing the Point to create squares. Let  $t$  be the run time of this algorithm,  $t_{quicksort}$  be the time for quick-sort, and  $t_{assign}$  be the time for creating the squares. We have:

$$t = t_{quicksort} + t_{assign} \leq n \log n + n = O(n \log n) \quad (4)$$

Thus the runtime of this algorithm is  $O(n \log n)$ .

### A.I.3.iii

The idea of our algorithm is that, we put all the points in to a coordinate system, then we divide the coordinate system into a set of unit rows (i.e. rows with height 1). For each row, we use algorithm 1 to find the minimum size square-cover. The global min-square-cover is the sum of all row-square-cover.

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**Algorithm 2** Finding global minimum square cover

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**Input:** Set of points  $P$

**Output:** Min Square Cover  $min$

**Operation:**

currentMin = 0;

**for all** Row  $r$  in the space **do**

currentMin += FindRowMinSquare()

**end for**

set  $min = currentMin$

**return** min

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**Theorem.** FindingGlobalMinimumSC is 2 – approximation

*Proof.* Suppose we have the optimal solution with  $n$  squares. Then  $OPT \geq n$ , thus  $LB = n$ . We know that each unit square in the coordinate system can overlap with at most 2 unit rows.

Let  $min$  be our algorithm solution, then:

$$min \leq 2n = 2.LB \leq 2.OPT \quad (5)$$

Therefore, this algorithm is 2 – approximation.

□

## AII.1

(i)

We prove this statement by contradiction.

- Suppose that  $V \setminus C$  is not an independent set of  $G$ . Then there exists a pair of vertices  $(u, v)$  in  $V \setminus C$  which are connected by an edge  $e \in E$ . Thus, both  $u$  and  $v$  are not in  $C$ . Therefore,  $C$  is not the vertex cover of  $G$  anymore.
- Suppose  $C$  is not the vertex cover of  $G$ , then there exists a pair of vertices  $(u, v)$  that are connected by an edge  $e \in E$  but are not in  $C$ . Thus,  $u \in (V \setminus C)$  and  $v \in (V \setminus C)$ . Therefore,  $(V \setminus C)$  is not the vertex cover of  $G$  anymore.

From the reasoning above, we can state that:  $C$  is the vertex cover of  $G$  if and only if  $V \setminus C$  is an independent set of  $G$ .

(ii)

We prove that *ApproxMaxIndependentSet* is not a 2-approximation algorithm by showing a counter example. That is, consider a complete graph, for example, a graph  $G = (V, E)$  where  $V = \{x_1, x_2\}$  and  $E = \{(x_1, x_2)\}$ .

Applying the *ApproxMinVertexCover*( $G$ ), we get  $C = \{x_1, x_2\}$  (picking both vertices from the edge).

Now we take the approx max independent set  $ALG = V \setminus C = \emptyset$ .

The optimal solution now is  $OPT = 1$  (picking  $x_1$  or  $x_2$ ).

The approximation ratio is  $\rho = \frac{OPT}{ALG} = \infty \neq 2$ .

So the approximation ratio is not 2.