# ● 矢量分析

场的分类: 数量场u(x,y,z),矢量场 $\vec{u}(x,y,z) = P(x,y,z)\vec{i} + Q(x,y,z)\vec{j} + R(x,y,z)\vec{k}$ 后请注意区分

Nabla 算符  $\nabla$  若记为向量 $\frac{\partial}{\partial x}\vec{i} + \frac{\partial}{\partial y}\vec{j} + \frac{\partial}{\partial z}\vec{k} = \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z}\right)$ ,则各运算法则与矢量运算相同

方向导数:数量场u(x,y,z)沿某一方向 $\vec{l}=(\cos\alpha,\cos\beta,\cos\gamma)$ 的增减情况和速度

$$\frac{\partial u}{\partial \vec{l}} = \frac{\partial u}{\partial x} \cos \alpha + \frac{\partial u}{\partial y} \cos \beta + \frac{\partial u}{\partial z} \cos \gamma$$

梯度: 数量场→矢量场,方向表示该点方向导数最大的方向(增加最快的方向),大小表示增加最快方向导数的值(增加的速度)

$$\operatorname{grad} u = \nabla u = \left(\frac{\partial u}{\partial x}, \frac{\partial u}{\partial y}, \frac{\partial u}{\partial z}\right)$$

注: 
$$\frac{\partial u}{\partial \vec{l}} = \nabla u \cdot \vec{l} = |\nabla u||\vec{l}|\cos\theta, |\vec{l}| = 1, \cos\theta \in [-1,1]$$

方向相反时有负的最大值,垂直时 $\frac{\partial u}{\partial \vec{l}} = 0$ 

散度: 矢量场→数量场, 定义为通量与体积比的极限 (定义了解即可)

$$\operatorname{div} \vec{u} = \nabla \cdot \vec{u} = \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z}, \operatorname{div} \vec{u} \equiv 0$$
的场称为无源场

旋度: 矢量场→矢量场

方向旋量:取定一点及一个方向 $\vec{n} = (\cos \alpha, \cos \beta, \cos \gamma)$ ,在过该点的垂面上作闭路环绕该点,环量与面积比的极限称为该点绕该方向的方向旋量。(定义了解即可)

$$\ddot{\mathcal{U}}\vec{u} = \big(P(x,y,z),Q(x,y,z),R(x,y,z)\big)$$

$$R_n = \begin{vmatrix} \cos \alpha & \cos \beta & \cos \gamma \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & O & R \end{vmatrix} = \vec{n} \cdot (\nabla \times \vec{u})$$

旋度的方向定义为使方向旋量达到最大的方向、大小为此时的方向旋量

$$\operatorname{rot} \vec{u} = \nabla \times \vec{u} = \begin{vmatrix} \vec{\iota} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & O & R \end{vmatrix}$$
若  $\operatorname{rot} \vec{u} \equiv 0$ , 称 $\vec{u}$ 为无旋场

注:  $R_n = \vec{n} \cdot \text{rot} \vec{u}$ , 故 $\vec{n}$ 与 rot $\vec{u}$  方向相同时 $R_n$ 有最大值 $|\text{rot} \vec{u}|$ 

保守场: 沿任一闭路的环量为零。

在单连通区域内,保守场与无旋场等价。

### > 到1

$$u = 2x + 3y + 4z$$

grad 
$$u = \nabla u = \left(\frac{\partial u}{\partial x}, \frac{\partial u}{\partial y}, \frac{\partial u}{\partial z}\right) = (2,3,4) = 2\vec{\imath} + 3\vec{\jmath} + 4\vec{k}$$

div grad 
$$u = \nabla \cdot (\nabla u) = \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z}$$
,  $\not\perp + P = \frac{\partial u}{\partial x} = 2$ ,  $Q = \frac{\partial u}{\partial y} = 3$ ,  $R = \frac{\partial u}{\partial z} = 4$ 

于是 div grad u = 0 + 0 + 0 = 0

div grad 
$$u = \nabla \cdot (\nabla u)$$
, 记为  $\Delta u = \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial z^2} + \frac{\partial^2 u}{\partial z^2}$ 

$$\operatorname{rot} \operatorname{grad} u = \nabla \times (\nabla u) = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} & \frac{\partial u}{\partial z} \end{vmatrix} = 0$$

此式对任意数量场均成立, 即梯度场是无旋场

### > 對 2

$$\vec{u} = (x+y)\vec{i} + (y+z)\vec{j} + (z+x)\vec{k}$$

$$\operatorname{div} \vec{u} = \nabla \cdot \vec{u} = \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z} = 1 + 1 + 1 = 3$$

$$\operatorname{rot} \vec{u} = \nabla \times \vec{u} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & O & P \end{vmatrix} = (-1, -1, -1)$$

$$\operatorname{div}\operatorname{rot}\vec{u} = \nabla \cdot (\nabla \times \vec{u}) = \begin{vmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & Q & R \end{vmatrix} = 0$$

此式对任意矢量场均适用, 即旋度场是无源场。

梯度, 散度, 旋度的复合运算, 可依照矢量运算和求导法则推导

以下函数f为从数量到数量的函数,u,v为数量场函数 $,\vec{u},\vec{v}$ 为矢量场函数,a,b为常数

线性性质:

$$a1)\nabla(au + bv) = a\nabla u + b\nabla v$$

$$b1)\nabla \cdot (a\vec{u} + b\vec{v}) = a\nabla \cdot \vec{u} + b\nabla \cdot \vec{v}$$

$$(c1)\nabla \times (a\vec{u} + b\vec{v}) = a\nabla \times \vec{u} + b\nabla \times \vec{v}$$

数量函数乘法性质:

$$a2)\nabla(uv) = v(\nabla u) + u(\nabla v)$$

$$(b2)\nabla \cdot (u\vec{v}) = u\nabla \cdot \vec{v} + \vec{v} \cdot \nabla u$$

$$(c2)\nabla \times (u\vec{v}) = u(\nabla \times \vec{v}) + (\nabla u) \times \vec{v}$$

注:可直接按照乘法求导的微分法记,注意验证最后的结果是矢量还是数量。

复合性质:

$$a3)\nabla(f(u)) = f'(u)\nabla u$$

矢量函数乘法性质:

$$b3)\nabla \cdot (\vec{u} \times \vec{v}) = \vec{v} \cdot (\nabla \times \vec{u}) - \vec{u} \cdot (\nabla \times \vec{v})$$

$$c3)\nabla\times(\vec{u}\times\vec{v})=(\nabla\cdot\vec{v})\vec{u}-(\nabla\cdot\vec{u})\vec{v}$$

推导示范:

$$(u\vec{v}) = u\nabla \cdot \vec{v} + \vec{v} \cdot \nabla u$$

证: 设 $\vec{v} = (v_x, v_y, v_z)$ , 其中 $v_x, v_y, v_z$ 均是x, y, z的数量函数

$$\begin{split} &\nabla \cdot (u \vec{v}) = \frac{\partial u v_x}{\partial x} + \frac{\partial u v_x}{\partial y} + \frac{\partial u v_z}{\partial z} = \left(\frac{\partial u}{\partial x} v_x + \frac{\partial v_x}{\partial x} u\right) + \left(\frac{\partial u}{\partial y} v_y + \frac{\partial v_y}{\partial y} u\right) + \left(\frac{\partial u}{\partial z} v_z + \frac{\partial v_z}{\partial z} u\right) \\ &= \left(\frac{\partial v_x}{\partial x} u + \frac{\partial v_y}{\partial y} u + \frac{\partial v_z}{\partial z} u\right) + \left(\frac{\partial u}{\partial x} v_x + \frac{\partial u}{\partial y} v_y + \frac{\partial u}{\partial z} v_z\right) \\ &= u \nabla \cdot \vec{v} + \vec{v} \cdot (\nabla u) \end{split}$$

$$(c3)\nabla \times (\vec{u} \times \vec{v}) = (\nabla \cdot \vec{v})\vec{u} - (\nabla \cdot \vec{u})\vec{v}$$

证: 需要用到公式 
$$\vec{a} \times (\vec{b} \times \vec{c}) = (\vec{a} \cdot \vec{c}) \vec{b} - (\vec{a} \cdot \vec{b}) \vec{c}$$
, 此式证略 (其实是不会)

代入即可得到
$$\nabla \times (\vec{u} \times \vec{v}) = (\nabla \cdot \vec{v})\vec{u} - (\nabla \cdot \vec{u})\vec{v}$$

$$\vec{c}$$
为常向量, $\vec{r} = x\vec{i} + y\vec{j} + z\vec{k}, r = |\vec{r}|$ 

$$\operatorname{div}(\vec{c} \times f(r)\vec{r}) = \nabla \cdot \left(\vec{c} \times (f(r)\vec{r})\right) = (f(r)\vec{r}) \cdot (\nabla \times \vec{c}) - \vec{c} \cdot \left(\nabla \times (f(r)\vec{r})\right)$$

$$= (f(r)\vec{r}) \cdot \vec{0} - \vec{c} \cdot \left( f(r) (\nabla \times \vec{r}) + \vec{r} \times \left( \nabla f(r) \right) \right)$$

$$\nabla \times \vec{r} = \nabla \times (x, y, z) = \vec{0}$$

$$\nabla f(r) = f'(r)\nabla(r)$$

$$\nabla r = \nabla \left( \sqrt{x^2 + y^2 + z^2} \right) = \left( \frac{x}{r}, \frac{y}{r}, \frac{z}{r} \right) = \frac{\vec{r}}{r}$$

原式 = 
$$\vec{c} \cdot \left( \vec{r} \times \left( f'(r) \frac{\vec{r}}{r} \right) \right) = 0$$
 [ $: \vec{r} \times \vec{r} = \vec{0}$ ]

注:一些重要结论

$$\nabla r = \left(\frac{x}{r}, \frac{y}{r}, \frac{z}{r}\right) = \frac{\vec{r}}{r}$$

$$\nabla \times \vec{r} = \nabla \times (x, y, z) = \vec{0}$$

$$\nabla \times \vec{c} = \vec{0}$$

### ● 各类积分

### 》 第一型曲线积分

$$L:(x(t),y(t),z(t)), a \le t \le b$$

$$\int_{L} f(x, y, z) \, \mathrm{d}s = \int_{a}^{b} f[x(t), y(t), z(t)] \sqrt{x'^{2}(t) + y'^{2}(t) + z'^{2}(t)} \, \mathrm{d}t$$

$$\text{ \ensuremath{\mbox{\sc P}} } \ \mathrm{d} s = \sqrt{x'^2(t) + y'^2(t) + z'^2(t)} \mathrm{d} t \qquad \left[ \because \mathrm{d} s = \sqrt{\mathrm{d} x^2 + \mathrm{d} y^2 + \mathrm{d} z^2} \right]$$

## 》 第二型曲线积分

$$\vec{f} = P(x, y, z)\vec{i} + Q(x, y, z)\vec{j} + R(x, y, z)\vec{k}$$

$$\int_{\widehat{AR}} P dx + Q dy + R dz = \int_a^b [Px'(t) + Qy'(t) + Rz'(t)] dt$$

# 》 第一型曲面积分

$$S: (x(u,v), y(u,v), z(u,v)), (u,v) \in \Omega$$

## > 第二型曲面积分

 $\iint_{C} P dy dz = \pm \iint_{C} P(x(y, z), y, z) dy dz$ 

$$ec{f} = P(x,y,z)ec{i} + Q(x,y,z)ec{j} + R(x,y,z)ec{k}$$
 
$$\iint_S P \mathrm{d}y \mathrm{d}z + Q \mathrm{d}z \mathrm{d}x + R \mathrm{d}x \mathrm{d}y = \pm \iint_\Omega (PA + QB + RC) \mathrm{d}u \mathrm{d}v$$
 正负号由取定曲面的侧决定。 
$$\vdots : \iint_S P \mathrm{d}y \mathrm{d}z + Q \mathrm{d}z \mathrm{d}x + R \mathrm{d}x \mathrm{d}y \text{ 可拆成三个积分之和}$$
 
$$\iint_S P \mathrm{d}y \mathrm{d}z \text{ 可化为在ShyOz面上投影区域上的积分,并用y,z表示x,p}$$

計算 
$$\int_{L} (xy + yz + zx) \, ds, L$$
为球面 $x^2 + y^2 + z^2 = a^2$ 与平面 $x + y + z = 0$ 的交线  
联立可得  $2x^2 + 2xy + 2y^2 = a^2 \Rightarrow \left(\sqrt{2}x + \frac{y}{\sqrt{2}}\right)^2 + \left(\sqrt{\frac{3}{2}}y\right)^2 = a^2$   
设 $\sqrt{2}x + \frac{y}{\sqrt{2}} = a\cos\theta$ ,  $\sqrt{\frac{3}{2}}y = a\sin\theta$   

$$\begin{cases} x = \frac{a(\sqrt{3}\cos\theta - \sin\theta)}{\sqrt{6}} \\ y = \frac{a\sin\theta\sqrt{2}}{\sqrt{3}} \\ z = -\frac{a(\sqrt{3}\cos\theta + \sin\theta)}{\sqrt{6}} \end{cases}$$
,  $\begin{cases} x' = \frac{a(-\sqrt{3}\sin\theta - \cos\theta)}{\sqrt{6}} \\ y' = \frac{a\cos\theta\sqrt{2}}{\sqrt{3}} \\ z' = -\frac{a(-\sqrt{3}\sin\theta + \cos\theta)}{\sqrt{6}} \end{cases}$   
原式  $= \int_{-2\pi}^{2\pi} -\frac{a^2}{2}\sqrt{a^2}d\theta = -\pi a^3$ 

计算 
$$\oint (x^2 + y^2) dx + (x + y)^2 dy$$
,积分路径 $L$ 为 $x^2 + y^2 = ax$ ,逆时针方向  
极坐标换元, $r^2 = ar \cos \theta \Rightarrow r = a \cos \theta \Rightarrow \begin{cases} x = a \cos^2 \theta \\ y = a \cos \theta \sin \theta \end{cases}$   
原式  $= \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} (-2a^3 \cos^3 \theta \sin \theta + a^3 \cos^2 \theta (1 + 2 \sin \theta \cos \theta) (\cos^2 \theta - \sin^2 \theta)) d\theta$   
 $= a^3 \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} (-2\cos^3 \theta \sin \theta + \cos^4 \theta + 2\cos^5 \theta \sin \theta - \cos^2 \theta \sin^2 \theta - 2\cos^3 \theta \sin^3 \theta) d\theta$ 

$$=\frac{1}{4}\pi\alpha^3$$

#### > 對 3

计算 
$$\iint_D |xyz| dS$$
,  $S$ 为 曲面 $z = x^2 + y^2$ 被平面 $z = 1$  截下的部分

参数化表示 
$$\begin{cases} x = u \\ y = v \\ z = u^2 + v^2 \end{cases}, \sqrt{EG - F^2} = \sqrt{4u^2 + 4v^2 + 1}$$

原式 = 
$$4\iint_D (u^3v + uv^3)\sqrt{4u^2 + 4v^2 + 1}\mathrm{d}u\mathrm{d}v$$
, 可知投影 $D$ 为四分之一圆

再用极坐标换元得原式 = 
$$4 \times \int_0^{\frac{\pi}{2}} \cos\theta \sin\theta \, d\theta \int_0^1 r^5 \sqrt{4r^2 + 1} dr = \frac{125\sqrt{5} - 1}{420}$$

#### ▶ 15H 4

计算 
$$\iint_S x^3 \mathrm{d}y \mathrm{d}z + y^3 \mathrm{d}z \mathrm{d}x + z^3 \mathrm{d}x \mathrm{d}y$$
, $S$  为球面 $x^2 + y^2 + z^2 = R^2$  的外侧   
 失算  $\iint_S z^3 \mathrm{d}x \mathrm{d}y = 2 \iint_D z^3 \mathrm{d}x \mathrm{d}y = 2 \iint_D (R^2 - x^2 - y^2)^{\frac{3}{2}} \mathrm{d}x \mathrm{d}y$ ,投影 $D$  为 $x^2 + y^2 = R^2$  圆 极坐标换元得到  $\iint_D (R^2 - x^2 - y^2)^{\frac{3}{2}} \mathrm{d}x \mathrm{d}y = \int_0^{2\pi} \mathrm{d}\theta \int_0^R (R^2 - r^2)^{\frac{3}{2}} r \mathrm{d}r = \frac{2}{5}\pi R^5$  由对称性得到原式 =  $3 \times 2 \times \frac{2}{5}\pi R^5 = \frac{12}{5}\pi R^5$ 

# ● 三个公式

∂D+正方向的规定: 沿着曲线行进时区域在左边

高斯公式: 
$$\iint_{\partial V} P \mathrm{d}y \mathrm{d}z + Q \mathrm{d}z \mathrm{d}x + R \mathrm{d}x \mathrm{d}y = \iiint_{V} \left( \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z} \right) \mathrm{d}x \mathrm{d}y \mathrm{d}z = \iiint_{V} \mathrm{div}\,\vec{u}\,\mathrm{d}x \mathrm{d}y \mathrm{d}z$$
斯托克斯公式: 
$$\int_{\partial S} P \mathrm{d}x + Q \mathrm{d}y + R \mathrm{d}z = \iint_{S} \begin{vmatrix} \mathrm{d}y \mathrm{d}z & \mathrm{d}z \mathrm{d}x & \mathrm{d}x \mathrm{d}y \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & O & R \end{vmatrix}$$

∂S的方向由S的定向通过右手螺旋准则确定

#### > 图 1

计算 
$$\iint_S x^3 \mathrm{d}y \mathrm{d}z + y^3 \mathrm{d}z \mathrm{d}x + z^3 \mathrm{d}x \mathrm{d}y$$
, $S$  为球面 $x^2 + y^2 + z^2 = R^2$ 的外侧 利用高斯公式,原式 =  $\iiint_V 3(x^2 + y^2 + z^2) \mathrm{d}V$ , $V$  为球 $x^2 + y^2 + z^2 = R^2$  于是原式 =  $3 \times 2\pi \int_0^R r^4 \mathrm{d}r \int_0^\pi \sin\theta \, \mathrm{d}\theta = \frac{12}{5}\pi R^5$ 

## ▶ 19 2

计算 
$$\int_{L} (y-z) dx + (z-x) dy + (x-y) dz$$
,  $L \ni x^{2} + y^{2} = R^{2} \ni \frac{x}{a} + \frac{z}{h} = 1$  的交线

从Ox轴正向看去,椭圆是逆时针方向进行的

利用斯托克斯公式,原式 = 
$$-2\iint_S \mathrm{d}y\mathrm{d}z + \mathrm{d}z\mathrm{d}x + \mathrm{d}x\mathrm{d}y$$
,取 $S$ 为该椭圆 利用投影计算  $\iint_S \mathrm{d}y\mathrm{d}z = \pi \frac{Rh}{a}R = \frac{\pi R^2h}{a}$ ,  $\iint_S \mathrm{d}z\mathrm{d}x = 0$ ,  $\iint_S \mathrm{d}x\mathrm{d}y = \pi R^2$  原式 =  $-2\pi R^2 \frac{h+a}{a}$ 

# ● 极限过渡

$$(a1)F_t$$
在 $B_t$ 上一致收敛  $(a2)F_t$ 在 $B_x$ 上极限存在  $\Rightarrow \lim_{B_x} \lim_{B_t} F_t(x) = \lim_{B_t} \lim_{B_x} F_t(x)$ 

$$(b1)F_t$$
在 $B_t$ 上一致收敛  $(b2)F_t$ 在 $x_0$ 连续  $\Rightarrow$   $F$ 在 $x_0$ 连续

 $(c1)F_t$ 在 $B_t$ 上一致收敛  $(c2)F_t$ 在某区间上可积 ⇒ F在该区间上也可积

(d1) $F'_t$ 在 $B_t$ 上一致收敛于g (d2) $F_t$ 至少在一点 $x_0$ 收敛  $\Rightarrow$   $F_t$ 一致收敛于F, 且F'=g

# ● 另外两个例子

#### > 例1

求曲面围成的体积 $x^2 + y^2 + z^2 = a^2, x^2 + y^2 \le a|x|$ 

 $r^2 \sin^2 \theta \le ar \sin \theta \cos \varphi \Rightarrow r \sin \theta \le a \cos \varphi$ 

> 對 2

$$I = \int_0^1 dx \int_x^1 dy \int_y^1 y \sqrt{1 + z^4} dz = \int_0^1 dz \int_0^z dy \int_0^z y \sqrt{1 + z^4} dx$$

$$= \int_0^1 dz \int_0^z zy \sqrt{1 + z^4} dy$$

$$= \int_0^1 \frac{1}{2} z^3 \sqrt{1 + z^4} dz$$

$$= \frac{1}{8} \int_1^2 \sqrt{1 + z^4} d(1 + z^4) = \frac{1}{12} (1 + z^4)^{\frac{3}{2}} \Big|_0^1 = \frac{1}{12} (2\sqrt{2} - 1)$$

# ● 反常积分极限过渡

$$(a1)f(x,y)$$
在 $B_y$ 上一致收敛于 $g(x)$ 

$$(a2)f(x,y)$$
关于 $x$ 反常积分一致收敛

$$\Rightarrow g(x)$$
反常可积,  $\lim_{B_y} \int_a^w f(x,y) dx = \int_a^w g(x) dx$ 

$$(b1)f(x,y)$$
连续

$$(b2)f(x,y)$$
关于 $x$ 反常积分一致收敛

$$\Rightarrow f$$
可积且 $f(x,y) = \int_{a}^{w} dx \int_{c}^{d} f(x,y) dy$ 

$$(c1)f(x,y),f'_{v}(x,y)$$
连续

$$(c2)f_{v}'(x,y)$$
关于 $x$ 反常积分一致收敛

$$(c3)f(x,y)$$
关于 $x$ 反常积分至少在一点 $y_0$ 收敛

$$\Rightarrow f(x,y) \cancel{\xi} + f(x,y) \xrightarrow{g} f(x,y) \xrightarrow{g} f(y) = \int_{a}^{w} f'_{y}(x,y) dx$$

$$(d1)f(x,y)$$
连续

$$(d2)f(x,y)$$
关于每个变量的反常积分都一致收敛

$$(d3) \int_{a}^{w} dx \int_{c}^{\widetilde{w}} |f(x,y)| dy \stackrel{\downarrow}{\to} \int_{c}^{\widetilde{w}} dy \int_{a}^{w} |f(x,y)| dx$$
 存在 
$$\Rightarrow \int_{a}^{w} dx \int_{c}^{\widetilde{w}} f(x,y) dy = \int_{c}^{\widetilde{w}} dy \int_{a}^{w} |f(x,y)| dx$$
 反常积分可交换