

## ASX Issuer Code Allocation

ASX allocates a three to six character code to each exchange traded product. In most cases the allocation of codes is straightforward. When a request to use a code raises questions about its availability and suitability ASX will have regard to the following principles.

**Type of product.** The number of characters may depend on the type of product (e.g. a three character code is typically used for an ordinary share/unit in a company/trust, a six character code is used for a warrant). For further information on the structure of codes please see [Understanding ASX Ticker Codes](#).

**Availability.** Codes already used by other issuers are not available. Where a code has been used by another issuer in the past but is no longer used, to avoid any confusion it will not be available for a period of time. This is typically at least one year but may vary depending on the circumstances. A small number of codes are not available as they are used by ASX for other purposes.

**Fees and allocation.** ASX may introduce fees or special allocation arrangements for codes which are likely to be requested by a number of different issuers or which could have a premium commercial value associated with them.

**Codes to identify the type of security.** Codes will commence with characters which identify the issuer or product: For example,

- Ordinary shares/units - the first character of the code will typically match the first character of the name of the company/trust.
- Exchange traded products –most exchange traded funds and managed funds will have a three or four character code that reflects the name of the fund, structured products (and single asset funds) will have a six character code with the first three characters reflecting the name of the issuer or fund.
- Warrants – the six characters of the code will represent the underlying instrument (first three characters), type of warrant, issuer and series.
- Australian Government bonds – codes will commence with GSB or GSI.
- Interest rate securities – the code will consist of a three character issuer code followed by character(s) to identify the type of security, maturity etc.

**Relevance.** The code should have a meaningful connection to the name or activities of the issuer or the features of the product.

**Consumer law.** Codes which may result in a real risk that consumers will be misled as to the nature of the issuer or the product will not be permitted.

**Acceptability.** A code that is not considered by ASX as acceptable to be associated with the ASX market will not be permitted. For example, a code that when sighted may be considered offensive or inappropriate would not be permitted.

**Reservation of codes.** An issuer may reserve a code for a period of up to 6 months.

ASX may commission consumer or other testing to assist in making decisions on code allocations.

The principles will apply as new products are quoted. If you require any further information on code allocations please contact [info@asx.com.au](mailto:info@asx.com.au).

## International Securities Identification Number (ISIN) Allocation

ASX is a member of the Association of Numbering Agencies (ANNA) and is the national numbering agency for Australia. Under this membership ASX allocates ISINs for instruments whose issuer is domiciled in Australia. For further information please see <http://www.asx.com.au/prices/asx-codes.htm>.