

Inherent vulnerability assessment of rural households based on socio-economic indicators using categorical principal component analysis: a case study of Kimsar region, uttarakhand

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The recent trend of shifting focus from hazard centric drivers of vulnerability towards the social and economic drivers of vulnerability has led to a number of conceptual frameworks for social vulnerability assessment. Contributing towards this growing trend of social vulnerability assessment, this study proposes a framework to measure inherent vulnerability, which is centered on hazard generic and livelihood oriented socioeconomic factors of vulnerability. Inherent vulnerability is defined as the predisposition of a household to suffer harm. The study focuses on the mountainous communities in kimsar region, located in uttarakhand state, india. The communities in the region suffer from multiple stressors including extreme precipitation, drought, landslides, cloudbursts and flash floods. Vulnerability indicators with mixed scaling are used, to capture household's perception and other socio-economic attributes, which contribute towards its inherent vulnerability. Data was collected by conducting household surveys in nine villages of kimsar region. In order to process the indicators with mixed scaling, and obtain an empirical summary of the data set, the method of non-linear principal component analysis was used for computing a household level inherent vulnerability index. Results obtained revealed that principal components explaining a major variance in the data set were access to employment opportunities, effectiveness of local government, access to food, occupational diversity, access to resources, educational attainment and access to water. It was observed that the villages of dharkot, kandakhal and bhumiyaikisar have the highest percentage of households, which were relatively less vulnerable to environmental stressors. Higher vulnerability was observed in majority of households in the village kimsar, ramjeeewala and malls banas. A majority of households in talla banas, jogiyana and kasan were moderately vulnerable. Inherent vulnerability assessment has the potential to predict the future harm a household might suffer due to hazard events.

Assessment Definitions:

Risk Assessment – including creating risk (or susceptibility) maps, risk analysis, and resilience assessments
 Vulnerability – Vulnerability assessments, creating vulnerability maps
 Risk Perception – e.g. interviews about individuals perspectives on flash flood risks, risk cognition, awareness.

Flash Flood Type Definitions:

Not specified – flood type not defined or explicitly stated, unclear
 Rainfall – runoff, cloudburst, pluvial, caused by heavy precipitation (no river involved)
 Dam/levee breach – anything to do with dams or levees
 Speedy river – river height changes rapidly, fast onset riverine flood
 Landslide/mudslide – explicitly mentions landslide/mudslide or debris in water
 Snowmelt – caused by melting snow

General Planning – select if the paper is not explicitly related to a single event and is about preparing or planning for future events
 If the paper is about impacts in general, not related to a specific event, make sure 'general' is clicked before selecting the impact
 General science – select if paper is about science-based general planning – ie developing nonphysical risk maps

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Not Relevant

META-ANALYSIS

CLIMATE CHANGE LONG TERM IMPACT LAND COVER POLICY

ASSESSMENT TYPE GENERAL

Vulnerability Assessment

PLANNING

SCIENCE

'DIRECTLY' BEFORE A FLOOD

FORECASTING

EARLY WARNING SYSTEM

ANTICIPATORY RESPONSE

'DURING' A FLOOD

FLOOD DETECTION

EMERGENCY MANAGEMENT

COMMUNITY ACTIONS

IMPACT

METHODS

Fatalities Remote sensing & weather modelling

Economic Machine learning

Health Mapping & GIS

Psychological Simulations or scenarios

Community Community guidance & tools

Infrastructure Interviews/surveys

Other [leave a note] Social media or crowd sourcing

GEOGRAPHY

SELECT FLOOD TYPE

Urban

Landslide/Mudslide

Notes

NEXT