

# Homework 9

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1. 9.1 HW (Knapsack decision problem NP-complete)

(a) Input: value parameter  $M > 0$ , list of values  $[v_1, \dots, v_n]$ , list of weights  $[w_1, \dots, w_n]$ , weight limit  $W$ .

Question: does a subset  $S \subseteq \{1, \dots, n\}$  exist s.t.  $\sum_{k \in S} v_k \geq M$  and  $\sum_{k \in S} w_k \leq W$ .

(b) witness: a subset  $S \subseteq \{1, \dots, n\}$

Relevant facts:  $\sum_{k \in S} v_k \geq M$  and  $\sum_{k \in S} w_k \leq W$ .

c) to show that KNAP is NP - complete, we need to show that  $KNAP \in NP$  and construct the following reduction

$$SUBS \preceq_p KNAP$$

To reduce an instance of SUBS to KNAP, create the following KNAP problem let

$$v_i = w_i = s_i$$

where  $s_i$  is the integer numbers in the subset sum problem.

Subset sum problem: Instance: Non-negative integer numbers  $s_1, s_2, \dots, s_n$ , and  $t$

Question: Does a subset of these numbers add up to  $t$ ?

Denote  $b$  to be the weight limit,  $k$  to be the profit that we want to ask if the knapsack has value at least  $k$ .

contruction:

$$v_i = w_i = s_i$$

$$t = b = k$$

Then the knapsack problem is reduced to the subsetsum problem because

$$\sum_{i \in S} w_i \leq b$$

$$\sum_{i \in S} v_i \geq k$$

$\iff$

$$\sum_{i \in S} s_i = t$$

Yes answer to the subset sum problems gives the left part of the relationship and satisfies the instance for knapsack problem.

2. 9.2(NP-hard problems)

(a) B implies A so (a2) is correct. Because cook reductions needs an oracle to solve membership in  $L_2$  in order to solve membership in  $L_1$  but there is no oracle in Karp reduction.

(b) Integer Knapsack problem

Input: list of values  $[v_1, \dots, v_n]$ , list of weights  $[w_1, \dots, w_n]$ , weight limit  $W$ .

Optimization Objective: Find a subset  $S \subseteq \{1, \dots, n\}$  to maximize the  $V_{max} = \sum_{k \in S} v_k \geq M$  under the constraint that  $\sum_{k \in S} w_k \leq W$ .

Output:  $V_{max}$

(c) Prove that integer knapsack is np-hard by cook-reduction from KNAP.

Denote the integer knapsack optimization problem as KNAP-opt. We only need to show that  $\text{KNAP} \preceq_c \text{KNAP-opt}$  in order to show Knap-opt is np-hard because KNAP is np-complete from HW 9.1.

Algorithm to solve KNAP-opt calling KNAP: We can apply binary search to find the  $V_{max}$ . Refer the KNAP algorithm to HW9.1

First choose value  $M$  to be  $V_{total}$ , the sum of value list  $[v_1, \dots, v_n]$  run KNAP

If the answer is yes, increase  $M$  by  $(upperbound - M)/2$  and run KNAP again. Also update  $lowerbound = M$

If the answer is no, decrease  $M$  by  $(M - lowerbound)/2$  and update  $upperbound = M$  and run KNAP again

The oracle needs to be called at most  $\log_2 V_{total}$  times.

3. 9.3 Hamiltonian path To show that HAMILTON-PATH is NP-hard, we need to show that  $\text{HAMILTONIAN} \preceq_{cook} \text{HAMILTON-PATH}$ .

Pseudocode: solve HAMILTONIAN USING HAMILTON-PATH oracle.

Input: a graph  $G$  Output: yes/no answer

Initialization

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0    add a new vertex  $u$  to  $G$ 
1    pick a vertex  $v \in G$ 
3    connect all vertices that are connected to  $v$  to  $u$ 
4    return HAMILTON-PATH on the new graph  $G'$ 
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Correctness of this reduction: hamiltonian cycle can be converted to path in  $G'$  by starting at  $v$  until the cycle reaches a vertex  $s$  before returning to  $v$ . Finish at  $u$  instead of  $v$ .

Hamiltonian path from  $v$  to  $u$  in  $G'$  can be converted to a Hamiltonian cycle in  $G$  by starting with  $v$  and when it reached  $s$  before  $u$ , return to  $v$  instead.

4. 9.4 Metrix traveling salesman

(a) given a graph  $G$  described in problem. Is there a hamilton cycle with cost less than  $k$ ?

witness: a hamilton cycle in  $G$  with cost less than  $k$ . This can be verified easily by checking whether it is a hamilton cycle and adding up the total cost to see if it is less than  $k$ .

(b) reference to the text book page 1097

Show that HAMTONIAN  $\preceq_p$  MTS. Denote  $G = (V, E)$  as an instance of HAMTONIAN. We need to construct an instance of MTS. From the complete graph  $G' = (V, E')$ ,  $E' = \{(i, j) : i, j \in V \text{ and } i \neq j\}$ , define the cost function  $c$  by

$$c(i, j) = 0 \text{ if } (i, j) \in E$$

$$c(i, j) = 1 \text{ if } (i, j) \notin E$$

Graph  $G$  has a hamiltonian cycle if and only if graph  $G'$  has a tour of cost at most 0. Suppose that graph  $G$  has a hamiltonian cycle  $h$ . Each edge in  $h$  belongs to  $E$  and has cost 0. Suppose graph  $G'$  has a tour  $h'$  of cost at most 0. Because the cost of the edge in  $E'$  are 0, 1. So  $h'$  has only edges in  $E$ . So  $h'$  is a hamiltonian cycle in  $G$ .

5. 9.5 ( amortized analysis queue via stack)

(a) loop invariant: total number of element in  $S$  and  $R$  does not change. If we concatenate  $R$  stack and reverse  $S$  stack, the order of the elements does not change. The last statement makes sure that  $S$  eventually has elements from  $R$  in reverse order.

6. 9.6 (MAX 3 sat problem)

(a1) sample space:  $\{0, 1\}^n$  since we have  $n$  variables.

(a2) random variable:  $X$  is the number of satisfied clauses. let  $I_i$  be the value of the  $i$ th clause. So

$$X = \sum_i I_i$$

So

$$E(X) = E \sum_i I_i = \sum_i E(I_i)$$

$$E(I_i) = 1 \times P(I_i = 1) + 0 \times P(I_i = 0) = 1 \times 7/8 + 0 \times 1/8$$

Where  $P(I_i = 1) = 1 - (1/2)^3 = 7/8$

(b) prove that  $m \geq 7s/8$  is tight

Consider the construction of the clauses as following

we have  $8n$  clauses where  $n$  is positive integer. Pick first  $7n$  randomly from all the variables. Then pick  $1n$  clauses such that each clause is a negation of one of the first  $7n$  clauses. By doing this, we make sure that the number of clauses that are correct is at most  $7/8n$  because the  $1n$  contradicts the other  $7n$  clauses.

(d1) We can treat the process as geometric process with  $p(\text{success}) = 1/(s+1)$  when  $X \geq 7s/8$ . let  $N$  denote the expected number of trials needed until one success.  $N \sim$  geometric distribution.

$$E(N) = 1/p(\text{success}) = (s+1)$$

(d2) The randomized algorithm runs in polynomial expected time. It needs  $s+1$  expected trials to achieve  $X \geq 7s/8$ .