

27.2 Use Theorem 27.12 to show that  $\ell^1$  is not reflexive.

**Theorem 27.12** Let  $X$  be a reflexive Banach space. Then any bounded sequence in  $X$  has a weakly convergent subsequence.

T27.2  $\{x_n\} \subseteq \ell^1$  bounded  $x_n = (0, 0, \dots, 1, 0, \dots)$   $n$ -th slot = 1

if  $\ell^1$  reflexive  $\Rightarrow \exists \{x_{n_k}\} \subseteq \{x_n\}$  s.t.  $x_{n_k} \rightarrow x_0$

consider  $L_f: \ell^1 \rightarrow \mathbb{R}$

$$x \mapsto L_f(x) = \langle f, x \rangle \quad L_f \in (\ell^1)^*, f = (1, 1, 1, 1, \dots) \in \ell^\infty$$

$$\Rightarrow L_f(x_{n_k}) = (-1)^{n_k}, \{L_f(x_{n_k})\} \text{ never converges}$$

contradict!

27.4 Recall (see Exercise 8.10) that a space  $X$  is uniformly convex if for every  $\varepsilon > 0$  there exists  $\delta > 0$  s.t.

$$\|x - y\| > \varepsilon, x, y \in \mathbb{B}_X \quad \Rightarrow \quad \left\| \frac{x + y}{2} \right\| < 1 - \delta,$$

where  $\mathbb{B}_X$  is the closed unit ball in  $X$ . Show that if  $X$  is uniformly convex, then

$$x_n \rightarrow x \quad \text{and} \quad \|x_n\| \rightarrow \|x\| \quad \Rightarrow \quad x_n \rightarrow x.$$

(Set  $y_n = x_n / \|x_n\|$ ,  $y = x / \|x\|$ , and show that  $\|(y_n + y)/2\| \rightarrow 1$ . Then argue by contradiction using the uniform convexity of  $X$ .)

T27.4 if  $x_n \rightarrow x$ ,  $\|x_n\| \rightarrow \|x\|$ , but  $x_n \not\rightarrow x$  i.e.  $\|x_n - x\| \not\rightarrow 0$

$$\text{pf: let } y_n = \frac{x_n}{\|x_n\|}, y = \frac{x}{\|x\|} \quad \left. \begin{array}{l} \|x_n\| \rightarrow \|x\| \\ \|x_n\| \rightarrow \|x\| \end{array} \right\} \Rightarrow: x_n \rightarrow x \Leftrightarrow y_n \rightarrow y \text{ (WTS)}$$

$$x_n \rightarrow x \Rightarrow \forall f \in X^*, f(x_n) \rightarrow f(x) \quad \left. \begin{array}{l} \|x_n\| \rightarrow \|x\| \\ \|x_n\| \rightarrow \|x\| \end{array} \right\} \Rightarrow \forall f \in X^*, f(y_n) \rightarrow f(y), \quad \underbrace{y_n \rightarrow y}$$

$$\Rightarrow y_n + y \rightarrow 2y$$

$$\Rightarrow \|2y\| \leq \liminf_{n \rightarrow \infty} \|y_n + y\| \quad (\exists f \text{ s.t. } f(y) = \|y\|)$$

$$\liminf_{n \rightarrow \infty} \|y_n + y\| \leq \|y_n\| + \|y\| = 2$$

$$\Rightarrow \left\| \frac{y_n + y}{2} \right\| \rightarrow 1$$

$$\forall \varepsilon > 0, \exists \delta > 0 \text{ s.t. } \left\| \frac{y_n + y}{2} \right\| > 1 - \delta \text{ implies } \|y_n - y\| \leq \varepsilon \quad \dots \text{uni-convexity}$$

$$\Rightarrow \forall \varepsilon > 0, \exists N \text{ s.t. } \forall n \geq N, \left\| \frac{y_n + y}{2} \right\| > 1 - \delta. \text{ then } \|y_n - y\| < \varepsilon$$

$$\Rightarrow \|y_n - y\| \rightarrow 0; \|x_n - x\| \rightarrow 0$$

27.9 Suppose that  $X$  is a real Banach space. A theorem due to James (1964) states that if  $X$  is not reflexive, then there exists  $\theta \in (0, 1)$  and sequences  $(f_n) \in S_{X^*}$ ,  $(x_n) \in S_X$ , such that

$$f_n(x_j) \geq \theta, \quad n \leq j, \quad f_n(x_j) = 0, \quad n > j.$$

Show that the sets  $C_n := \overline{\text{conv}\{x_n, x_{n+1}, x_{n+2}, \dots\}}$  form a decreasing sequence of non-empty closed bounded convex sets in  $X$  that satisfies  $\bigcap_j C_j = \emptyset$ . (Show that if  $x \in C_k$  for some  $k$ , then  $f_n(x) \rightarrow 0$  as  $n \rightarrow \infty$ , but that if  $x \in \bigcap_j C_j$ , then  $f_n(x) \geq \theta$  for every  $n$ .) (Megginson, 1998)

T>7.9  $X$  not reflexive  $\Rightarrow \exists \theta \in (0, 1), (f_n) \in S_{X^*}, (x_n) \in S_X$  s.t.  $f_n(x_i) \geq \theta \quad \forall n \leq i$   
 $\begin{cases} f_n(x_i) \geq \theta & \forall n \leq i \\ f_n(x_i) = 0 & \forall n > i \end{cases}$

在上面的设定下  $C_n = \overline{\text{conv}\{x_n, x_{n+1}, x_{n+2}, \dots\}}$   $C_1 \supseteq C_2 \supseteq \dots$

Show  $C_n$  closed, bounded, convex,  $\bigcap_{n=1}^{\infty} C_n = \emptyset$

$\|x\| \leq 1$ ,  $\therefore$  closed, 这个容易, 略.

pf: 若  $x \in C_k$  ①  $x = a_1 x_k + a_2 x_{k+1} + \dots + a_m x_{k+m-1} \in C_k^\circ$ ,  $\sum_{i=1}^m a_i = 1$

$f_n(x) \rightarrow 0$  since  $f_n(x) = 0$  for  $\forall n > k+m-1$   $\downarrow$

②  $x \in \partial C_n \setminus C_n$ ,  $\forall \varepsilon \exists y \in C_k^\circ, y = a_1 x_k + a_2 x_{k+1} + \dots + a_m x_{k+m-1}$  s.t.  $\|x - y\| < \varepsilon$

$$\begin{aligned} f_n(x) &= f_n(y) + f_n(x - y) \\ &\leq f_n(y) + \|f_n\| \cdot \varepsilon \end{aligned}$$

let  $\varepsilon \rightarrow 0$ ,  $f_n(x) \rightarrow 0$  as  $n > k+m-1 \Rightarrow x \in C_k$  implies  $f_n(x) \rightarrow 0$

若  $x \in \bigcap_{n=1}^{\infty} C_n$  for  $\forall n$ ,  $x \in C_k$   $k > n$

$$\begin{aligned} \text{①}' \quad x &= a_1 x_k + \dots + a_m x_{k+m-1}, \quad \sum_{i=1}^m a_i = 1 \\ f_n(x) &\geq (a_1 + a_2 + \dots + a_m) \theta = 1 \cdot \theta \end{aligned}$$

②'  $x \in \partial C_k \setminus C_k$ , as ②

$$f_n(x) \geq f_n(y) - \|f_n\| \cdot \varepsilon \geq \theta - \|f_n\| \cdot \varepsilon \quad \forall \varepsilon > 0 \Rightarrow f_n(x) \geq \theta$$

$\Rightarrow \bigcap_{n=1}^{\infty} C_n = \emptyset$ . since  $\forall x \in C_k, x \notin \bigcap_{n=1}^{\infty} C_n$

27.10 Let  $X$  be a Banach space. Show that if every bounded sequence in  $X$  has a weakly convergent subsequence, then whenever  $(C_n)$  is a decreasing sequence ( $C_{n+1} \subseteq C_n$ ) of non-empty closed bounded convex sets in  $X$ ,  $\bigcap_n C_n \neq \emptyset$ . Deduce, using the previous exercise, that  $X$  is reflexive if and only if its closed unit ball is weakly sequentially compact. [Hint: use Corollary 21.8.] (Megginson, 1998)

T2.10  $X$  Banach,  $\{f_n\} \subseteq X^*$  bounded  $\Rightarrow \exists \{f_{n_k}\} \subseteq \{f_n\}$  s.t.  $f_{n_k} \rightarrow f_0$  ;  $\Rightarrow C_1 \supseteq C_2 \supseteq C_3 \dots$

(2)  $X$  reflexive  $\Leftrightarrow$  closed unit ball  $\bar{B}_X$  weakly cpt

$C_n \neq \emptyset$ , closed, bdd, convex in  $X$

then  $\bigcap_{n=1}^{\infty} C_n \neq \emptyset$

pf: (1) if  $\bigcap_{n=1}^{\infty} C_n = \emptyset$ , let  $x_k \in C_k \setminus C_{k+1}$ ,  $x_{n_k} \rightarrow x_0$

for  $\forall x_{n_k} : \exists C_t$  s.t.  $x_{n_k} \notin C_t$

$\therefore \exists f \in X^*$ ,  $\varepsilon > 0$  s.t.  $f(y) < f(x_{n_k}) - \varepsilon \quad \forall y \in C_t$

$\Rightarrow \therefore \|x_{n_k} - x_0\| > \varepsilon \quad \forall n_k \geq t \quad x_{n_k} \not\rightarrow x_0$  contradict.

(2) if  $\exists C_k = C_{k+1}$ ; let  $x_k = x_0$ .  $x_k \in C_k \setminus C_{k+1} \neq \emptyset$  最大原理

$\exists C_k = C_{k+1} \quad \forall k \geq \text{some } K \Rightarrow \bigcap_{n=1}^{\infty} C_n \neq \emptyset$  obv.

(2): " $\Rightarrow$ "  $X$  reflexive,  $\{f_n\} \subseteq X^*$  bounded  $\Rightarrow x_{n_k} \rightarrow x_0 \quad \therefore$  weakly cpt

" $\Leftarrow$ " if not reflexive, by T2.9 we can show  $\exists \{f_n\}$  bounded in  $\bar{B}_{X^*}$ ,  $\{f_n\} \subseteq S_{X^*}$  s.t.  $\bigcap_{n=1}^{\infty} C_n = \emptyset$

but (1) shows  $\bigcap_{n=1}^{\infty} C_n \neq \emptyset$

$\Rightarrow$  contradict!