

CH14. club and stationary set

club filter & stationary set are the most important largeness notion for subsets of cardinal κ .
(to describe how large κ is)

def 14.1 λ is a limit ordinal, $C \subseteq \lambda$, then

① $C \subseteq \lambda$ is closed in λ : for $\forall v \in \lambda$, if $C \cap v$ is cofinal in v , then $v \in C$

② $C \subseteq \lambda$ is unbounded in λ : for $\forall \alpha \in \lambda$, $\exists \beta \in C$ s.t. $\beta \notin \alpha$ 任何 $\alpha \in \lambda$, 均有 C 中的元素不能被限制住
a closed, unbounded subset of λ is a club set of λ

rmk: $\sup(C \cap v) = v$ implies $v \in C \stackrel{\text{"1" }}{\Leftrightarrow} C$ contains the suprema of all increasing sequence in C (Exe 14.2)

1. $\{\alpha_i : i < \delta_0\}$ is increasing; $\forall i < \delta_0, \alpha_i \in C$ C is infinite by default?

let $\gamma = \sup_{i < \delta_0} \alpha_i$, $\{\alpha_i : i < \delta_0\}$ is cofinal in γ

① if γ is successor, $\gamma = \bar{\alpha} + 1$ some $\bar{\alpha} < \delta_0$

then $\bar{\alpha} + 1 = \sup\{\alpha_i : i < \delta_0\}$, $\therefore \bar{\alpha} < \delta_0$ otherwise $\gamma = \bar{\alpha}$ 实际上序列无限的话 sup must be limit ord

$\bar{\alpha} + 1$ is the successor of $\bar{\alpha}$, $\bar{\alpha} + 1 > \bar{\alpha}$, $\therefore \bar{\alpha} \geq \bar{\alpha} + 1 = \sup\{\alpha_i\} \geq \bar{\alpha} + 1$, i.e. $\gamma = \max\{\alpha_i\} \in C$

② if γ is limit ordinal, $\bar{\alpha} < \gamma \nVdash \bar{\alpha}$

$\gamma = \sup \bar{\alpha} \therefore \forall x < \gamma, \exists \bar{\alpha} > x, \bar{\alpha} \in C \cap \gamma \Rightarrow \sup(C \cap \gamma) \geq \gamma$

$\forall x > \gamma, \bar{\alpha} < x$ for $\forall \bar{\alpha} \in C \cap \gamma \Rightarrow \sup(C \cap \gamma) \leq \gamma$

$\therefore \sup(C \cap \gamma) = \gamma$, thus $\gamma \in C$

rmk: 直接按照 sup 的定义列出来！不要想技巧！按实例定义！

2. if $v = \sup(C \cap v)$

① if v is successor, $v = \bar{\alpha} + 1$, if $v \notin C$, then $\sup(C \cap v) = \sup(C \cap \{\bar{\alpha}, \dots, \bar{\alpha}\}) < v$ contradict, $\therefore v \in C$

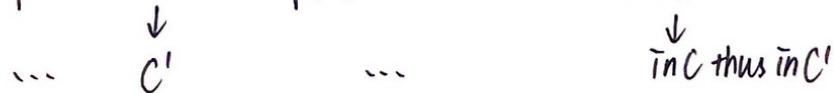
② if v is limit ordinal,

$\sup(C \cap v) = v$ implies \exists sequence in $C \cap v$ thus in C approach v , the sequence is increasing

$\therefore v \in C$ obv

corollary: $C \subseteq w_i$ is the set of limit ordinals of w_i , then C is closed; any C' with $C \subseteq C' \subseteq w_i$ is closed

pf: by Exe 14.2 if $\{\alpha_i\}_{i \in \omega}$ is increasing sequence in C , then $\sup\{\alpha_i\}$ is limit ordinal thus in C



Consider order topology on ordinal λ

Subbasis: $\{\gamma: \beta < \gamma < \lambda\} = (B, \lambda)$ for $B \subset \lambda$, $\{\gamma: 0 \leq \gamma < \delta\} = (0, \delta)$ for $0 < \delta < \lambda$

basis: $(B, \delta) = \begin{cases} \{\gamma: \beta < \gamma < \delta\} & \beta \neq 0 \\ \{\gamma: 0 \leq \gamma < \delta\} & \beta = 0 \end{cases}$, the same as in \mathbb{R}^1

Exell.3. $C \subseteq \lambda$ is closed in $\lambda \Rightarrow C$ is closed in the Order topology

* Exell.4 ω_1 equipped with order topology is sequentially compact, but not compact

pf: let $U_\alpha = (\alpha, \alpha) = \{\beta < \omega_1 : \beta < \alpha\}$

$\{U_\alpha\}_{\alpha < \omega_1}$ is open cover of ω_1 , but there's not a finite subcover \Rightarrow not compact
证不成立 ...

Lem14.5 λ is a limit ordinal, $c(\lambda) > \omega$; $C, C' \subseteq \lambda$ are clubs in λ ; then $C \cap C'$ is still a club (引理)

pf: $\{\alpha_n\}_{n \in \omega}$ is an increasing sequence in $C \cap C'$, then $\{\alpha_n\}_{n \in \omega}$ is ... in both C and C'
 $\therefore \sup\{\alpha_n\}_{n \in \omega} \in C, C'$ thus $\sup\{\alpha_n\}_{n \in \omega} \in C \cap C'$

$\therefore C \cap C'$ is closed

$\forall \beta \in \lambda, \exists \alpha \in C$ st. $\alpha > \beta$; $\exists \alpha \in C$ st. $\alpha > \beta$; let α_1 be the least and satisfy the previous conditional ...
 $\therefore \exists \alpha_2 \in C$ st. $\alpha_2 > \alpha_1$; $\alpha_2 \in \lambda$ let α_2 be the least ...

\therefore we obtain $\{\alpha_{2n} : n \in \omega\} \subseteq C$. $\{\alpha_{2n+1} : n \in \omega\} \subseteq C$.

$\sup\{\alpha_{2n} : n \in \omega\} \in C$, $\sup\{\alpha_{2n+1} : n \in \omega\} \in C$ since C, C' both closed,

$\sup\{\alpha_{2n} : n \in \omega\} = \sup\{\alpha_{2n+1} : n \in \omega\}$ by construction,

$\therefore \forall \beta \in \lambda, \exists \sup\{\alpha_{2n} : n \in \omega\} = \sup\{\alpha_{2n+1} : n \in \omega\} \in C \cap C'$ can't be bounded by β

$\therefore C \cap C'$ unbounded

Exell.5 Ordinal α , $c(\alpha)$ = the shortest length λ of a strictly increasing sequence cofinal in α

$$= \min\{|A| : A \subseteq \alpha, \sup A = \alpha\}$$

What if $c(\lambda) \leq \omega$ in Lem14.5? $\begin{cases} c(\lambda) < \omega, \text{ then } c(\lambda) \text{ finite, } \alpha \text{ is successor, construction of } c(\lambda) \text{ is invalid} \\ c(\lambda) = \omega? \end{cases}$

lem14.6 λ is cardinal, $\text{cf}(\lambda) > \omega$; $\{C_\alpha : \alpha < \beta\}$ is a sequence of club where $\beta < \text{cf}(\lambda)$; then $\bigcap_{\alpha < \beta} C_\alpha$ is a club.

pf: $\bigcap C_\alpha$ is closed, proof similarly to lem14.5

$\forall \beta < \lambda$, $\exists \xi_1 \in C_1$ s.t. $\xi_1 > \beta$, $\xi_1 \in \lambda$; let ξ_1 be the least ordinal satisfying ...

$\exists \xi_2 \in C_2$, s.t. $\xi_2 > \xi_1$, $\xi_2 \in \lambda$; let ξ_2 be the least ...

... we obtain $\{\xi_\alpha : \alpha < \beta\}$ of length $\beta \cdot \omega$, where $\sup \{\xi_\alpha : \alpha < \beta\} = \sup \{\xi_\alpha : \alpha < \beta\} \in C \cap C_\beta$

$\therefore \bigcap C_\alpha$ is unbounded

和lem14.5完全一样的论证

$\beta < \text{cf}(\lambda)$, $\text{cf}(\lambda) > \omega$?

Exer14.7 if $\text{cf}(\lambda) = \omega$, \exists disjoint clubs in λ ; if $\text{cf}(\lambda) > \omega$, \exists sequence of $\text{cf}(\lambda)$ many clubs whose intersection is empty

def14.8 (The club filter) the filter F generated by the club sets on a regular cardinal k is called

"club filter" on k . That is, $C \subseteq k$ is the club filter on $k \Leftrightarrow C$ contains a club set in k .

Note: by lem14.6, the club filter on k is k -complete (not necessarily club itself)

recall: F is a filter if $\begin{cases} A \in F, B \in F, A \cap B \in F & \dots \text{by lem14.6} \\ A \in F, B \supseteq A, B \in F & \dots \begin{cases} A \text{ unbounded} \Rightarrow B \supseteq A \text{ unbounded obviously} \\ A \text{ closed} \Rightarrow B \text{ closed!} \end{cases} \end{cases}$

"the club更大的集合一定是club, 无限&闭合的向上延伸"

recall: k -complete

$\{A_i : i < \delta\} \subseteq F$, $\bigcap_{i<\delta} A_i \in F$ for $\forall \delta < k$

def14.10. $k > \omega$ is a regular cardinal. $S \subseteq k$ is stationary if S intersects every club in k

the dual ideal to the club filter is called "non-stationary ideal".

$\exists C \subseteq k$, s.t. C is a club, $C \cap I = \emptyset$ 通过开某一个club

前提是 S 先要在 k 中! \Rightarrow regular cardinal 显然一定 stationary

Exer. 11 $C(k) = k > \omega$

1. if $C \subseteq k$ is a club, $S \subseteq k$ is stationary, $\Rightarrow C \cap S$ is stationary
2. $S \subseteq k$ is stationary $\Rightarrow S$ is unbounded
3. $S \subseteq k$ is stationary $\Rightarrow \{\lambda \in S : \lambda \text{ is a limit ordinal}\}$ is stationary

Pf 1: D is any club in k , $D \cap C$ is club

$$\therefore D \cap (C \cap S) = (D \cap C) \cap S \neq \emptyset$$

2: if S bounded, $\exists \beta < k$ s.t. $S \subseteq \beta$.

let $C = \{\alpha < k : \alpha \geq \beta\} = T_{B,k}$, C is club but $C \cap S = \emptyset$. contradict!

3. $L = \{\lambda < k : \lambda \text{ is limit ordinal}\}$

L is club (easy). $\therefore L \cap S$ is stationary by "1" 用前面的结论!

defn 13 (diagonal intersection) let $\{X_\alpha : \alpha < k\}$ be a sequence of k many subsets of k , their diagonal intersection is defined to be $\Delta_{\alpha < k} X_\alpha = \{\beta < k : \beta \in \bigcap_{\alpha < \beta} X_\alpha\}$

普通交集是 $\bigcap_{\alpha < k} X_\alpha$, 对角交集 $\beta \rightarrow \bigcap_{\alpha < \beta} X_\alpha$ 只要在 $X_0 \cap X_1 \cap \dots \cap X_{\beta-1}$ 中 $\beta-1$ 个交集中

Thm 14. k is a regular cardinal, then the diagonal intersection of k many clubs in k is club in k

i.e. $\{C_\alpha : \alpha < k\}$ are clubs in k , then $\Delta_{\alpha < k} C_\alpha = \{\beta < k : \beta \in \bigcap_{\alpha < \beta} C_\alpha\}$ is club in k

- $\{C_\alpha : \alpha < k\}$ is a sequence of clubs, assume C_α are decreasing under " \subseteq ", $C_0 \supseteq C_1 \supseteq C_2 \supseteq \dots$ — (H)

let $C'_\alpha = \bigcap_{\beta < \alpha} C_\beta$, then $\Delta_{\alpha < k} C'_\alpha = \{\beta < k : \beta \in \bigcap_{\alpha < \beta} C_\alpha\}$

$$\Delta_{\alpha < k} C'_\alpha = \{\beta < k : \beta \in \bigcap_{\alpha < \beta} \bigcap_{\gamma < \alpha} C_\gamma\}$$

if $\beta \in \Delta_{\alpha < k} C'_\alpha$, $\beta \in \Delta_{\alpha < k} C_\alpha$, $\therefore \Delta_{\alpha < k} C'_\alpha \subseteq \Delta_{\alpha < k} C_\alpha$

if $\beta \in \Delta_{\alpha < k} C_\alpha$, $\alpha < \beta, \gamma < \alpha \Rightarrow \gamma < \beta$, $\therefore \beta \in \Delta_{\alpha < k} C'_\alpha \therefore \Delta_{\alpha < k} C_\alpha \subseteq \Delta_{\alpha < k} C'_\alpha$

$\therefore \Delta_{\alpha < k} C_\alpha = \Delta_{\alpha < k} C'_\alpha$, $C'_\alpha = \bigcap_{\beta < \alpha} C_\beta$ is still club

用前一个club的子构造新的 C'_α , 新 C'_α 仍然是club, C'_α 且对角不变, 故向后假设 (H)

- $C = \Delta_{\alpha < k} C_\alpha$,

$H \supseteq C$ 为什么? 因为 $\Delta_{\alpha < k}$ 是对角不变, $\alpha < k$ 是对角不变!

if $v \in C$, $C \cap v$ is cofinal in v . WTS: $v \in C_\alpha$ $\forall \alpha < k$ thus $v \in C$

$\forall C \cap v$ cofinal in v , $\sup(C \cap v) = v$

$$v \in \bigcap_{\alpha < k} C_\alpha$$

$\therefore \exists$ strictly increasing sequence $\{b_n : n \in \omega\} \subseteq C \cap v$, s.t. $\sup_n b_n = v$,

$\left\{ \begin{array}{l} \text{for } \alpha < k, \exists N \text{ s.t. } b_n > \alpha \quad \forall n \geq N \\ \end{array} \right.$

$\{b_n : n \in \omega\} \subseteq C \cap v \subseteq C \cap \omega$, $\sup_n b_n = v$

C_α is closed, $\therefore v \in C_\alpha \forall \alpha$

- WTS: $\forall r \in k, \exists \beta > r, \beta \in C$, thus C is unbounded in k

$C = \Delta_{\alpha \in k} C_\alpha = \{ \beta \in k : \beta \in \bigcap_{\alpha \in k} C_\alpha \}$, C_α is club

let $\beta_1 \in C_\alpha$. define $\{\beta_n : n \in \omega\}$ by: $\underline{\beta_{n+1} = \min \{ \gamma \in C_\alpha : \gamma > \beta_n \}}$ (C_α 为无界 k -子集, $\beta_{n+1} > \beta_n$)
 C_α is club, unbounded, for $\beta_n < k$, $\exists \gamma \in C_\alpha$ st. $\gamma > \beta_n$

$\therefore \{\beta_n : n \in \omega\}$ well-defined

$\beta_n \in k \therefore \gamma \in C_\alpha \quad \gamma \leq k, \therefore \beta_n < \beta_{n+1} < k \quad \forall n \in \omega$

let $\beta = \sup_{n \in \omega} \beta_n ; \beta > \beta_n > \beta_0$ $(cf(k))$: k 上升序列的上界, 序列在 k 是 cofinal

$\forall \alpha \in k = cf(k)$, $B_{n+1} \in C_\alpha$, then $\beta \in k$, \Rightarrow 接下来证明 $\beta \in C_\alpha$, $\beta \in \bigcap_{\alpha \in k} C_\alpha$ for $\forall \alpha \in k$

for $\forall \alpha \in k$, $\beta = \sup \beta_n$ 由 $cf(k)$ 说明 $\{\beta_n : n \in \omega\}$ 在 k 中不是 cofinal 的, $\sup \beta_n < k$ (由 $k = cf(k)$), $\beta \neq k$

$\therefore \exists N \in \omega$ st. $\beta_n \geq \alpha \quad \forall n \geq N, \Rightarrow C_\alpha \subseteq C_\beta \quad \forall n \geq N$

$\forall \beta_{n+1} \in C_\beta \quad \forall n \in \omega, \Rightarrow \{\beta_{n+1} : n \geq N\} \subseteq C_\beta$

$\therefore \{\beta_{n+1} : n > N\}$ is increasing sequence in C_β , C_β is club $\Rightarrow \sup \{\beta_{n+1} : n > N\} = \beta \in C_\beta$

$\therefore \beta \in C_\beta$ for $\forall \alpha \in k$, i.e. $\beta \in \bigcap_{\alpha \in k} C_\alpha = C$

$\therefore C$ is unbounded

Rmk: 罗施拉特取 $r \in k$, C unbounded in k , $\exists \beta_i \in C, \beta_i > r, \beta_i \in C \subseteq k$ thus $\beta_i \in k$

同样的, $\forall \beta \in k (\beta \in C_\beta)$; since C_β unbounded in k , $\exists \beta_{n+1} \in C_\beta, \beta_{n+1} > \beta_n, \beta_{n+1} \in C_\beta \subseteq k$ thus $\beta_{n+1} \in k$

通过 C_β unbounded in k , $\beta \in k$, 找到更大的 $\beta_{n+1} > \beta_n, \beta_{n+1} \in C_\beta$

$\Rightarrow \{\beta_n : n \in \omega\}, \sup \beta_n = \beta > r.$

$\forall \alpha \in k, \beta \in C_\alpha$ 且 $\beta \in C$ 原因是: $\begin{cases} C_\alpha \setminus C \text{ def } C_\alpha \text{ 包含所有上升序列的极值} \\ = \beta_n > \alpha \quad \forall n > N \Rightarrow C_\alpha \subseteq C \quad \forall n > N \Rightarrow \beta_{n+1} \in C \quad \forall n > N \end{cases}$

def: a filter on k is "normal" if it's closed under diagonal intersection

\therefore TH14.4 states that the club filter is normal

recall: $k = cf(k)$, $A \subseteq k$ in club filter iff A contains a club in k , i.e. $C_F = \{A \subseteq k : A \text{ contains a club in } k\}$

$\Delta_{\alpha \in k} A_\alpha \geq \Delta_{\alpha \in k} C_\alpha$ 对角线的单调性 } $\Rightarrow \Delta_{\alpha \in k} A_\alpha \in C_F$, i.e. "closed" $\Delta_{\alpha \in k} C_\alpha$ is still a club by TH14.4

lem 14.15 (Fodor's lemma) $\kappa > \omega$ is regular cardinal, $S \subseteq \kappa$ is stationary, $f: S \rightarrow \kappa$ satisfies: $f(\alpha) < \alpha$, $\forall \alpha \in S$

then $\exists \gamma < \kappa$, \exists stationary set $T \subseteq S \subseteq \kappa$ st. $f(\alpha) = \gamma$ for $\forall \alpha \in T$

pf: WTS: $\exists r < \kappa$, st. $T_r = \{\alpha \in S : f(\alpha) = r\}$ is stationary 自动找到 $\exists T \subseteq S$, $f(T) = r$. 即直接用 $f(T) = r$ 构造 T

- if $\forall r < \kappa$, $T_r = \{\alpha \in S : f(\alpha) = r\}$ not stationary 试一下

ie. \exists club set $C \subseteq \kappa$, s.t. $T_r \cap C = \emptyset$, (stationary: $T_r \cap C \neq \emptyset$ \forall club set $C \subseteq \kappa$)

$\Rightarrow \exists$ club set $C \subseteq \kappa$, s.t. $f(\alpha) \neq r$, $\forall \alpha \in C$

试一下 \exists stationary $T \subseteq S$, st., ie. \forall stationary $T \subseteq S$, $f(T) \neq r$ 太差劲, 转换得很好; 题目也 \Rightarrow

\exists set $T \subseteq S \subseteq \kappa$, $f(T) = r$ s.t. T is stationary set.

- consider $\{C_r : r < \kappa\}$, $C^* = \Delta_{r < \kappa} C_r = \{\alpha < \kappa : \alpha \in \bigcap_{r < \kappa} C_r\}$

C_r is club in κ , $C_0 \supseteq C_1 \supseteq \dots$; C^* is club by THM 4.4

S is stationary, $\therefore S \cap C^* \neq \emptyset$

$\exists \alpha \# \beta, \alpha \in S \cap C^*$, $f(\alpha) < \alpha$ since $\alpha \in S$

$\alpha \in C^*, \therefore \alpha \in \bigcap_{r < \kappa} C_r, \alpha \in C_r \text{ for } \forall r < \kappa \quad \} \Rightarrow \alpha \in C_{f(\alpha)} \text{ since } f(\alpha) < \alpha$

$T_r = \{\alpha \in S : f(\alpha) = r\}, \therefore \alpha \in T_r$ by def T_r

$\therefore \alpha \in T_{f(\alpha)} \cap C_{f(\alpha)}$; ie. for $r = f(\alpha) < \kappa$, $\alpha \in T_r \cap C_r$, contradict!

rmk: 先转换题目, 假设 $\forall r < \kappa$, \exists club $C_r \subseteq \kappa$ st. $C_r \cap T_r = \emptyset$

则 $\forall r < \kappa$, 构造出 $\{C_r : r < \kappa\}$, $C^* = \Delta_{r < \kappa} C_r$ is club

$S \cap C^* \neq \emptyset \Rightarrow f(\alpha) < \alpha$ in S , $\alpha \in C_r$ for $\forall r < \kappa \Rightarrow \alpha \in C_{f(\alpha)}$ $\alpha \in T_{f(\alpha)}$ bbl } $\Rightarrow r = f(\alpha)$, $C_r \cap T_r \neq \emptyset$

不管在第几次构造 $\{C_r : r < \kappa\}$ 时用到了哪一个 $C_r = f(\alpha)$ 的可能值, 都会有 $C_r \cap T_r \neq \emptyset$

rmk: stationary set is extremely "big", intersecting all club sets

define regression function $f: \omega \rightarrow \kappa$, ie. f : try to "press down" values in S

but S is "too big", so f must press greatly many α to a same r

a new stationary set $T_r \subseteq S$

原值太多了, 压缩必然会重复, 重复的也很多 (所以是 non-stationary)