

# L1 metric & general topology (L2+TA)

def: metric space is a pair of  $(X, d)$ ;  $X$  is set,  $d$  is metric (distance function)

$d$  satisfies ①. positivity ②. symmetry ③. triangle inequality

def:  $P(X)$  is the collection of all subsets of  $X$  (幂集  $\mathcal{P}(X)$ ),  $\tau \subseteq P(X)$  is topology if  $\tau$  satisfies:

①  $\emptyset \in \tau, X \in \tau$

②  $\bigcup_{A \in \tau} A \in \tau$ , then  $\bigcup_{A \in \tau} A \in \tau$   
 ③  $\bigcup_{i=1}^{\infty} U_i \in \tau$ , then  $\bigcap_{n=1}^{\infty} U_n \in \tau$

Example 1:  $X \neq \emptyset$ , the function  $d(x, y) = \begin{cases} 0 & x=y \\ 1 & x \neq y \end{cases}$  is a metric (discrete)

Ex2:  $C[a, b] = \{x(t) : x(t) \text{ continuous on } [a, b] \text{ w.r.t } t\}$ ;

$d_1(x, y) = \sup_{t \in [a, b]} |x(t) - y(t)|$ ,  $d_2(x, y) = \int_a^b |x(t) - y(t)| dt$  are metrics on  $C[a, b]$

Ex3:  $X = \mathbb{R}^n$ ,  $d_p(x, y) = \begin{cases} \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}}, & 1 \leq p < \infty \\ \max_i |x_i - y_i|, & p = \infty \end{cases}$  is metric on  $X$  if  $p \geq 1$

lem = (Minkowski's)  $\left( \sum_{i=1}^n |x_i + y_i|^p \right)^{\frac{1}{p}} \leq \left( \sum_{i=1}^n |x_i|^p \right)^{\frac{1}{p}} + \left( \sum_{i=1}^n |y_i|^p \right)^{\frac{1}{p}}$  denoted  $u + v$

pf:  $|x_i + y_i|^p \leq (|x_i| + |y_i|)^p = (u+v)^p \cdot \left( \frac{1}{u+v} \right)^p \cdot \left( u \cdot \frac{|x_i|}{u} + v \cdot \frac{|y_i|}{v} \right)^p$

$f(x) = u^p + v^p$  convex  $\Rightarrow f(x) \leq (u+v)^p \cdot \left[ \frac{u}{u+v} \cdot \left( \frac{|x_i|}{u} \right)^p + \frac{v}{u+v} \cdot \left( \frac{|y_i|}{v} \right)^p \right]$ ,  $\frac{u}{u+v} + \frac{v}{u+v} = 1$ ,

$$\Rightarrow \sum_{i=1}^n |x_i + y_i|^p \leq (u+v)^p \quad \checkmark$$

$$Q: LHS \leq \sum_{i=1}^n |x_i|^p + \sum_{i=1}^n |y_i|^p = u^p + v^p, RHS = (u+v)^p$$

if  $p \geq 1$ ,  $u^p + v^p - (u+v)^p \leq 0 \Rightarrow$  证明, 设法像上面 pf - 样 ✓

Ex4:  $(X_1, d_1), (X_2, d_2)$  are metric spaces; the product metric  $d$  on  $X_1 \times X_2$  can be

$$d((y_1, z_1), (y_2, z_2)) = \max \{d_1(y_1, z_1), d_2(y_2, z_2)\}$$

$$\text{or } d_1(y_1, z_1) + d_2(y_2, z_2)$$

$$\text{or } \sqrt{d_1(y_1, z_1)^2 + d_2(y_2, z_2)^2}, \text{ if } d \text{ s.t. } A \times B \subseteq X_1 \times X_2 \text{ 且 } d|_{A \times B} \text{ is metric,}$$

def3:  $x \in E$ ,  $x$  is interior point of  $E$  if:  $\exists r > 0$  s.t.  $B_r(x) \subseteq E \Rightarrow x \in E^\circ$

$x \notin E$ ,  $x$  is exterior point of  $E$  if:  $\exists r > 0$  s.t.  $B_r(x) \subseteq E^c \Rightarrow x \in (E^c)^\circ$ , and:  $(E^c)^\circ \subseteq (E^c)^c$

all other points  $x \in \partial E = \{ \text{boundary points of } E \} = \partial(E^c)$

$$\Rightarrow \forall r > 0, B_r(x) \cap E \neq \emptyset, B_r(x) \cap E^c \neq \emptyset$$

prop3.1:  $E = \overline{E} \cup E'$  (obv)

def 4:  $E$  is open if:  $\forall x \in E$ ,  $x$  is interior,  $\Rightarrow E^o = E$

lem 4.1:  $x_n \rightarrow x \Rightarrow \exists$  open set  $U$  containing  $x$ ,  $\exists N \in \mathbb{N}^+$  st.  $\forall n \geq N$ ,  $x_n \in U$

即: 一个点的邻域中,  $\{x_N, x_{N+1}, x_{N+2}, \dots\}$  会集中; proof obv

{ def 5:  $E$  is closed if:  $E^c$  is open

lem 5.1  $\forall \{x_n\} \subseteq E$ ,  $x_n \rightarrow x$  then  $x \in E \Rightarrow E$  is closed set

proof 5.1 "  $\rightarrow$ " 设  $F = E^c$

let  $y \in F$ ,  $\{x_n\} \rightarrow y$ ;  $y$  and  $\{x_n\}$  are arbitrary

① if  $y$  is exterior pt of  $F$ , obv not!

② if  $y \in \partial F$ ,  $\forall r > 0$ ,  $B_r(y) \cap F \neq \emptyset$ ,  $B_r(y) \cap F^c \neq \emptyset$

$\downarrow$   $B_r(y) \cap E \neq \emptyset$  then  $y \in E$  or,  $\exists N$  st.  $\forall n \geq N$ ,  $x_n \in E$

$\Rightarrow y \in E$ , contradiction! ✓

∴ ①② both wrong,  $y \in F^o$ ,  $F$  is open

"  $\leftarrow$ "  $E$  is closed  $\therefore F$  open

if  $\exists \{x_n\} \subseteq E$ ,  $x_n \rightarrow x$ ,  $x \notin F$ ;

$\exists r > 0$ ,  $B_r(x) \subseteq F$

lem 4.1.  $\forall B_r(x)$ ,  $\exists N$  st.  $\forall n \geq N$ ,  $x_n \in B_r(x)$  }  $\Rightarrow$  contradict with  $\{x_n\} \subseteq E$

Rank 5.1 (equivalent definition.)  $E$  contains all limit point

def b:  $p \in A$ ,  $p$  is limit point (accumulation) of  $A$  if:  $\forall \epsilon > 0$ ,  $(B_\epsilon(p) \setminus \{p\}) \cap A \neq \emptyset$

$p$  is isolated point of  $A$ , otherwise

prop b.1:  $p \in A$ ,  $p$  is limit point,  $\Rightarrow \forall$  neighborhood of  $p$ ,  $V \cap A$  is infinite set

$\Rightarrow \{p_n\} \subseteq A$ ,  $\{p_n\}$  distinct st.  $p_n \rightarrow p$

prop b.2 in  $\mathbb{R}^n$ ,  $A$  is discrete set,

if  $A$  infinite, bounded  $\Rightarrow \exists$  limit point, contradiction! 离散点集中 bold 和 infinite 不同时

def 7: the closure  $\bar{E} = E^o \cup \partial E$  ;

Th 7.1  $\bar{E}$  is closed

proof 7.1:  $\bar{E} = E^o \cup \partial E = E \cup \partial E$  obv

$\forall \{x_n\} \subseteq \bar{E}$ ,  $x_n \rightarrow x$ , WTS:  $x \in \bar{E} = E \cup \partial E$

if  $x \notin E$ ,  $x \in \partial E$  for  $\forall r > 0$ , ... ①

consider  $B_r(x) \cap E = \emptyset$ ,  $\forall r > 0$ ,  $\exists N$  st.  $\forall n \geq N$ ,  $x_n \notin B_r(x)$  ... from lem 4.1

{ if  $\exists x_n \in E$ ,  $n \geq N$ ,  $B_r(x) \cap E \neq \emptyset$  ... ②

{ if  $\forall n \geq N$ ,  $x_n \notin E$ , then  $x_n \in \partial E$ ;  $\Rightarrow x_n$  is limit point of  $E$ ,  $\forall n \geq N$

take any  $x_m \in Br(x)$ ,  $R < r - d(x_m, x)$   
 then  $Br(x_m) \subseteq Br(x)$ ,  
 $Br(x_m) \setminus \{x_m\} \cap E \neq \emptyset$



$\Rightarrow Br(x) \cap E \neq \emptyset \dots \textcircled{2}$

①+②  $\Rightarrow x \in \partial E$

$\therefore$  if  $x \notin E$ , then  $x \in \partial E$ ,  $\Rightarrow x \in E \cup \partial E = \bar{E}$ ,  $\bar{E}$  is closed

lem 7.2  $\bar{E} = E \cup E'$ , where  $E' = \{ \text{limit pt of } E \}$

(given  $\bar{E} = E^\circ \cup \partial E = E \cup \partial E$ )

proof 7.2:  $x \in E \cup \partial E$ ,  $\{ x \in E, \dots \Rightarrow x \in E \cup E' \}$

$\{ x \notin E, x \in \partial E, \text{ then } \forall r > 0, Br(x) \cap E \neq \emptyset, Br(x) \setminus \{x\} \cap E \neq \emptyset \Rightarrow x \in E' \}$

$\therefore x \in E \cup E'$ , that is,  $E \cup \partial E \subseteq E \cup E'$  ... ①

$y \in E \cup E'$   $\{ y \in E, \Rightarrow y \in E \cup \partial E$

$\{ y \notin E, y \in E', \text{ then } \forall r > 0, Br(y) \cap \bar{E} \neq \emptyset, Br(y) \cap E' \ni y \Rightarrow y \in \partial E$

$\therefore y \in E \cup \partial E$ , that is,  $E \cup \partial E \supseteq E \cup E'$  ... ②

①+②  $\Rightarrow E \cup \partial E = E \cup E'$

coro 7.2:  $E$  is closed,  $\Rightarrow \bar{E} = E$

coro 7.2': similarly,  $E'$  is closed,  $E' = (\bar{E}')' = (\bar{E})'$   $E$  和  $\bar{E}$  limit pt - 不一样

coro 7.2'':  $x \in E' \Rightarrow \forall r > 0, Br(x) \cap \bar{E} \neq \emptyset$  ( $x \in E$ , or  $x \in E'$ )

Ex5:  $E' \neq (E')'$ , if:  $E = \{0, 1, \frac{1}{2}, \dots, \frac{1}{n}, \dots\}$ ,  $E' = \{0\}, (E')' = \emptyset$

Ex6:  $E^\circ \neq (\bar{E})^\circ$  if:  $E = \mathbb{Q}$ ,  $E^\circ = \emptyset$   $(\bar{E})^\circ = (\mathbb{R}')$   $= \mathbb{R}$   
 $\mathbb{Q}$  not open, not closed

Ex7:  $\bar{E} \neq (\bar{E}^\circ)$  if:  $E = \text{circle}$ ,  $\bar{E} = E$ ,  $(\bar{E}^\circ) = \emptyset = \emptyset$

Th 7.3:  $E \subseteq F$ ,  $F$  is closed set  $\Rightarrow \bar{E} \subseteq F$

proof 7.3:  $F$  is closed,  $\therefore \bar{F} = F = F \cup F'$  ... by coro 7.2 這步关键!, 直接证  $x \in E' \Rightarrow x \in F$  不行  
 $x \in E'$ , then  $\forall r > 0, Br(x) \setminus \{x\} \cap E \neq \emptyset$

$\therefore Br(x) \setminus \{x\} \cap F \supseteq Br(x) \setminus \{x\} \cap E$ ,  $x \in F' \Rightarrow E \subseteq F$  implies  $E \subseteq F'$

$E' \subseteq F'$ ,  $E \subseteq F \Rightarrow (\bar{E} = E' \cup E) \subseteq (\bar{F} = F' \cup F)$

coro 7.3:  $E \subseteq F$ ,  $E$  is open set  $\Rightarrow E \subseteq F^\circ$  (proved by complement)

Th7.4:  $\bar{E}$  is the minimal closed set containing  $E$ , ( $\Rightarrow \bar{E} = \bigcap_{\substack{F \\ F \subseteq E}} \bar{F}$ ,  $A = \{F : F \text{ is closed, } E \subseteq F\}$ )

proof 7.4:  $F \subseteq \bar{E}$  by def ... ( $\bar{E}$  closed by Th7.2)  
 $E \subseteq F$ ,  $F$  is closed  $\Rightarrow \bar{E} \subseteq F$  ... by Th7.3 }  $\Rightarrow \bar{E} = F$

Th7.5:  $(\overline{E'}) = \overline{(\bar{E})^c} = (A^c)^c$

def 8  $\{x_n\}$  is Cauchy if:  $\forall \epsilon > 0 \exists N \text{ s.t. } \forall m, n \geq N, d(x_m, x_n) < \epsilon$

$(X, d)$  is complete if every Cauchy sequence converges in  $X$ .

Prop 8.1  $\{x_n\}$  is Cauchy, then  $\{x_n\}$  converges  $\Rightarrow \exists$  subsequence  $\{x_{n_k}\}, x_{n_k} \rightarrow x_0$

Exa 8.2  $x_n = (1 + \frac{1}{n})^n, x_n \rightarrow e$

$\{x_n\}$  is Cauchy in  $\mathbb{Q}$ , but limit  $e \notin \mathbb{Q}$ ,  $\therefore \mathbb{Q}$  not complete

Exa 8.3. Q:  $\{x_n\}$  has limit pt.,且  $\{x_n\}$  Cauchy 时 T? ？

def 9.  $\bar{A} = X$ , then  $A$  is dense in  $X$

$(\bar{A})^o = \emptyset$ ,  $\bar{A}$  has empty interior, then  $A$  is nowhere dense

lem 9.1  $(\bar{A}^c)^c = A^o$  or,  $\bar{A}^c = (A^o)^c$

Th9.2:  $A$  is dense in  $X$ ,  $\Rightarrow \forall x \in X, r > 0; B_r(x) \cap A \neq \emptyset$

proof 9.2:  $\bar{A} = X$ , let  $A' = \bar{E}$ ,

$\Rightarrow \bar{E}^c = X = (E^o)^c, \therefore E^o = (A')^o = \emptyset \quad \cdots \star! \quad (\text{非空无内点})$

$\Rightarrow$  "反证法: if  $\exists B_r(x) \cap A = \emptyset$ , some  $x \in X, r > 0$ ,

$B_r(x) \subseteq A'$ ,  $(A')^o \neq \emptyset \quad \cdots \text{contradict with } \star!$ "

$\Leftarrow$  "obv,  $x \in X \Rightarrow x \in A$ , or  $x \in A'$

$\therefore x \in A \cup A' = \bar{A}$ ,

Th9.3:  $\{G_n\}$  is dense open subset of  $\mathbb{R}^n$ , then  $\bigcap_{n=1}^{\infty} G_n$  is dense

proof 9.3:  $\forall x_1, r_1, B_{r_1}(x_1) \cap G_1 \neq \emptyset$

$B_r(x_1), G_1$  open,  $\therefore B_{r_1}(x_1) \cap G_1$  open,

} 新定义

$$\Rightarrow \exists x_2, r_2, B_{r_2}(x_2) \subseteq B_{r_1}(x_1) \cap G_1$$

$$B_{r_2}(x_2) \cap G_2 \neq \emptyset$$

$$\Rightarrow \exists x_n, r_n, B_{r_n}(x_n) \subseteq B_{r_m}(x_m) \cap G_m; \text{ and } B_{r_n}(x_n) \cap G_n \neq \emptyset$$

$\Rightarrow$  let  $r_{n+1} \leq r_n, n=1, 2, 3, \dots$  then  $x_n \rightarrow x_0$  since  $\{x_n\}$  Cauchy in  $\mathbb{R}^n$

$$\therefore B_{r_1}(x_1) \cap \left( \bigcap_{n=1}^{+\infty} G_n \right) \ni x_0, \quad x_1, r_1 \text{ arbitrary} \Rightarrow \bigcap_{n=1}^{+\infty} G_n \text{ dense}$$

Th9.4: (Baire)  $(X, d)$  complete,  $A_n \subseteq X, A_n$  is closed and  $A_n^o = \emptyset \Rightarrow \left( \bigcup_{n=1}^{+\infty} A_n \right)^o = \emptyset$

Proof 9.4:  $B_n = A_n^c$  is open

$$(B_n^o)^o = \emptyset \Rightarrow B_n \text{ dense in } X$$

$$\therefore \bigcap_{n=1}^{+\infty} B_n = \bigcap_{n=1}^{+\infty} A_n^c = \left( \bigcup_{n=1}^{+\infty} A_n \right)^c \text{ is dense ... by Th9.3}$$

$$\therefore \left[ \left[ \left( \bigcup_{n=1}^{+\infty} A_n \right)^c \right]^c \right]^o = \left( \bigcup_{n=1}^{+\infty} A_n \right)^o = \emptyset$$

Remark:  $A_n$  closed,  $\left( \bigcup_{n=1}^{+\infty} A_n \right)^o \neq \emptyset \Rightarrow$  at least  $\exists A_n$ , s.t.  $A_n^o \neq \emptyset$ , 并且有内点, 则必有某一个  $A_n$  有内点  
 $X$  complete