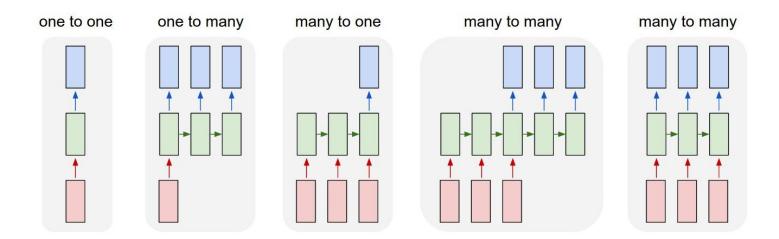


# FMAN-45: Machine Learning

Lecture 8:

**Recurrent Neural Networks** 

David Nilsson, Cristian Sminchisescu



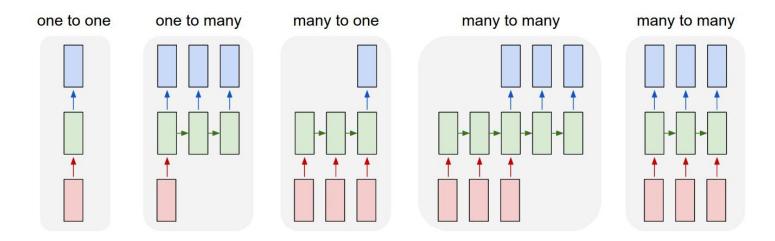
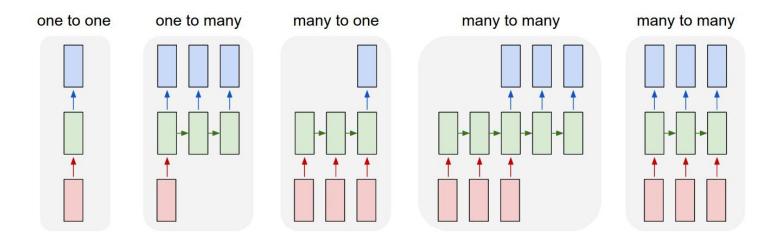
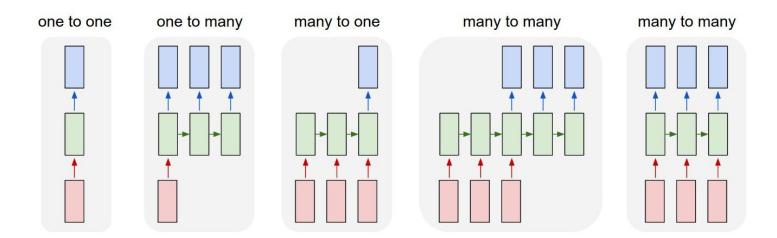


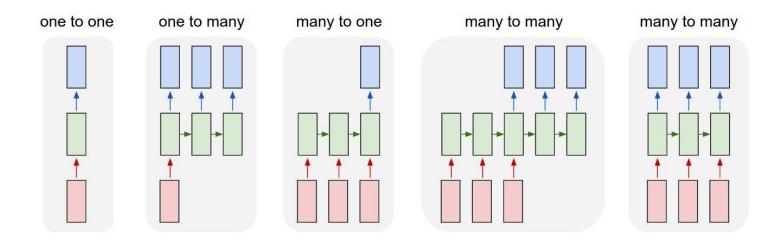
Image -> caption
Output one word at a time



Video -> action (e.g. run, walk, jump, ...)



Machine translation
Sequence of words -> sequence of words



Video segmentation

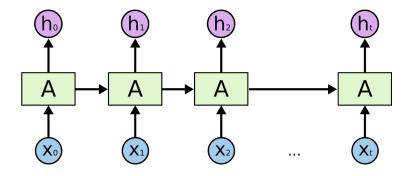
#### RNN

Process sequential data.

The RNN stores a state vector with relevant features.

Every time an input is processed, update the state vector.

The weights of the recurrent units are shared through time. There is only one set of parameters to learn.

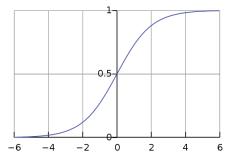


http://colah.github.io/posts/2015-08-Understanding-LSTMs/

# RNNs - Gating

We want the hidden states to turn on and off during processing.

Multiply with 0/1, computed adaptively using the data, is the obvious modelling of how to do it. The problem is that all neural networks layers must be differentiable. We use the sigmoid function followed by element-wise multiplication to model gatings.



Sigmoid function

# **RNNs - Gating**

```
Cell sensitive to position in line:
The sole importance of the crossing of the Berezina lies in the fact
that it plainly and indubitably proved the fallacy of all the plans for
cutting off the enemy's retreat and the soundness of the only possible
line of action -- the one Kutuzov and the general mass of the army
demanded -- namely, simply to follow the enemy up. The French crowd fled
at a continually increasing speed and all its energy was directed to
reaching its goal. It fled like a wounded animal and it was impossible
to block its path. This was shown not so much by the arrangements it
made for crossing as by what took place at the bridges. When the bridges
broke down, unarmed soldiers, people from Moscow and women with children
who were with the French transport, all--carried on by vis inertiae--
pressed forward into boats and into the ice-covered water and did not,
surrender.
Cell that turns on inside quotes:
"You mean to imply that I have nothing to eat out of.... On the
contrary, I can supply you with everything even if you want to give
dinner parties," warmly replied Chichagov, who tried by every word he
spoke to prove his own rectitude and therefore imagined Kutuzov to be
animated by the same desire.
Kutuzov, shrugging his shoulders, replied with his subtle penetrating
smile: "I meant merely to say what I said."
```

# **RNNs - Gating**

```
Cell that turns on inside comments and quotes:
/ Duplicate LSM field information. The lsm_rule is opaque, so
static inline int audit_dupe_lsm_field(struct audit_field *df,
        struct audit_field *sf)
 int ret = 0;
char 'lsm_str;
/'our own copy of lsm_str '/
lsm_str = kstrdup(sf->lsm_str, GFP_KERNEL);
 if (unlikely(!lsm_str))
  return - ENOMEM;
 df->1sm_str = lsm_str;
  ' our own (refreshed) copy of lsm_rule '/
et = security_audit_rule_init(df->type, df->op, df->lsm_str,
             (void **)&df->1sm_rule);

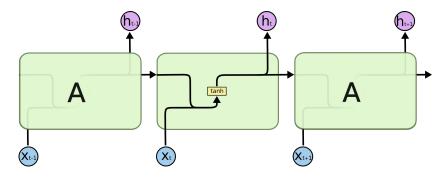
    Keep currently invalid fields around in case they

    become valid after a policy reload. */

 if (ret == -EINVAL) {
  pr_warn("audit rule for LSM \'%s\' is invalid\n",
   df->lsm_str);
  ret = 0;
 return ret;
Cell that is sensitive to the depth of an expression:
#ifdef CONFIG_AUDITSYSCALL
static inline int audit_match_class_bits(int class, u32 *mask)
 if (classes[class]) {
for (i = 0; i < AUDIT_BITMASK_SIZE; i++)</pre>
   if (mask[i] & classes[class][i])
    return 0;
 return 1;
```

https://arxiv.org/pdf/1506.02078.pdf

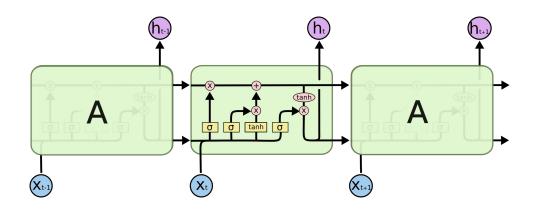
For every timestep the hidden states pass through a tanh non-linearity. This makes the optimization problem very hard and it will not learn long term dependencies.



$$h_t = \tanh(Wh_{t-1} + Ux_t + b)$$
  
$$y_t = \operatorname{softmax}(Vh_t + c)$$

http://colah.github.io/posts/2015-08-Understanding-LSTMs/

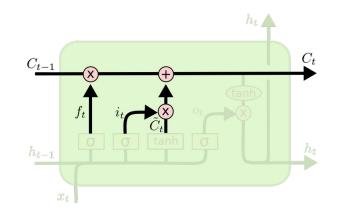
# LSTM - Long Short-Term Memory



Memory state.

The reason why the gradients are stable and why an LSTM can learn long-term dependencies.

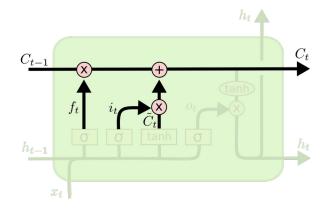
We forget what should be forgotten and add what should be added.



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

If the state is unchanged, the mapping is an identity mapping and the same errors are back-propagated.

The formula holds for one hidden state. It holds element-wise for many hidden states.



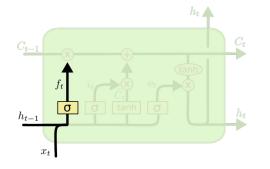
$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

$$\frac{\partial L}{\partial C_{t-1}} = \frac{\partial L}{\partial C_t} f_t$$

$$\left( f_t = 1 \implies \frac{\partial L}{\partial C_{t-1}} = \frac{\partial L}{\partial C_t} \right)$$

Forget gate.

Example: We have a feature that increases the longer it has been since a line break. When a line break is obtained in  $x_t$ , then the feature is reset to 0.

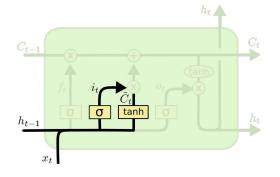


$$f_t = \sigma \left( W_f \cdot [h_{t-1}, x_t] + b_f \right)$$

Input gate and candidate memory state.

Given new information in  $x_t$ , the memory state  $C_t$  should be updated.

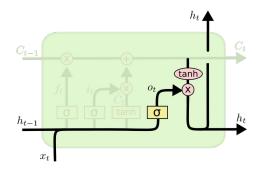
Example: If x<sub>t</sub> is a dot, then the memory state should contain information that we are about to start a new sentence.



$$i_t = \sigma (W_i \cdot [h_{t-1}, x_t] + b_i)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

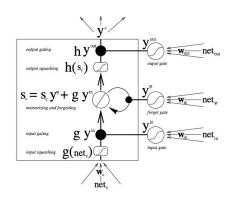
#### Output gate.

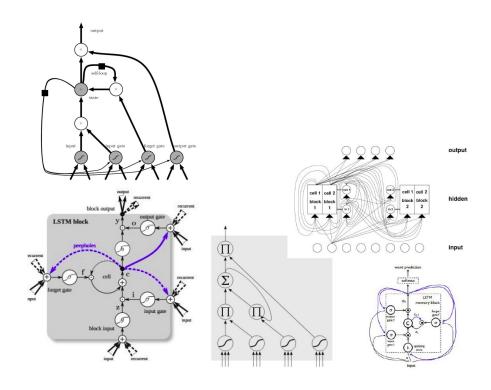
The memory state C<sub>t</sub> and the hidden state h<sub>t</sub> have different time scales. The hidden states are relevant for short term processing and the memory gate stores relevant long-term information.



$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$

Be aware of crazy LSTM diagrams. See the webpage where the figures in the previous slides are taken from for a very good description.





A person riding a motorcycle on a dirt road.



A group of young people



A herd of elephants walking across a dry grass field.



Two dogs play in the grass.



Two hockey players are fighting over the puck.



A close up of a cat laying on a couch.



A skateboarder does a trick



A little girl in a pink hat is blowing bubbles.



A red motorcycle parked on the



A dog is jumping to catch a frisbee.



A refrigerator filled with lots of food and drinks.



A yellow school bus parked



Describes without errors

Describes with minor errors

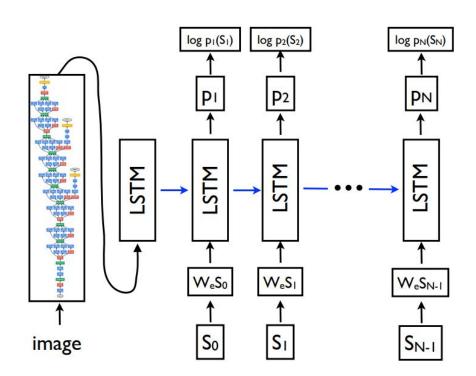
Somewhat related to the image

Unrelated to the image

Vinyals et al. CVPR 2015

Process the image through a deep CNN to get a 512-dimensional feature vector, used to initialize the state vector.

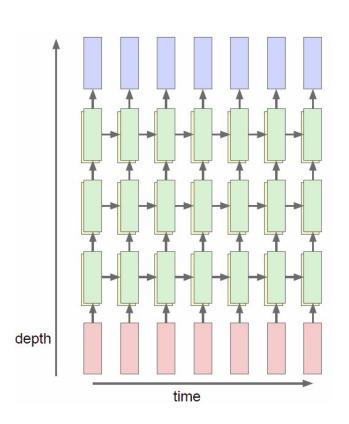
Send in one word embedding at a time, process it through the LSTM, compute/sample the next word.



#### Stack LSTMs

We can make RNNs that are arbitrarily deep.

Notice similar dependencies on inputs and hidden states from the same layer.



#### Stack LSTMs

Google's translation system.

Process the word embedding in a sequential manner to get an encoding of the sentence to translate.

Send the encoding to a decoder that outputs the translation.

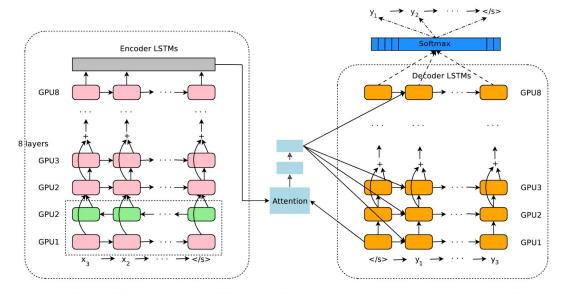
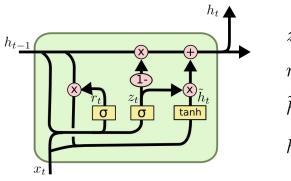


Figure 1: The model architecture of GNMT, Google's Neural Machine Translation system. On the left https://arxiv.org/pdf/1609.08144.pdf

### **GRU - Gated Recurrent Unit**



$$z_{t} = \sigma (W_{z} \cdot [h_{t-1}, x_{t}])$$

$$r_{t} = \sigma (W_{r} \cdot [h_{t-1}, x_{t}])$$

$$\tilde{h}_{t} = \tanh (W \cdot [r_{t} * h_{t-1}, x_{t}])$$

$$h_{t} = (1 - z_{t}) * h_{t-1} + z_{t} * \tilde{h}_{t}$$

# Assignment 3

Derive and implement forward and backward passes for fully connected layers, relu-layers and softmax-losses. Be very careful with indices!

For problem 1-4, start with the provided formulas. Do not use a Jacobian approach where it is not applicable, or preferably not at all. The Jacobian is defined for a mapping from a vector to a vector and not for mappings from matrices to matrices. dA/dB=?

Implement gradient descent with momentum.

Train and design neural networks for image classification.

# Readings

Ian Goodfellow, Yoshua Bengio, Aaron Courville. Deep Learning, MIT Press 2016 (online at <a href="http://www.deeplearningbook.org/">http://www.deeplearningbook.org/</a>)

#### CNNs

Section 9.1-9.3 in the deep learning book

#### LSTMs

- http://colah.github.io/posts/2015-08-Understanding-LSTMs/
- Section 10.10 in the deep learning book