

## MATH 601 HOMEWORK (DUE 9/18)

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**Exercise.** (Problem 1) Let  $R$  be a commutative ring with one. Explain why there is a unique ring homomorphism,  $\mathbb{Z} \rightarrow R$ .

*Proof.* The existence of a ring homomorphism is clear since  $\phi(n) = 1_R + \cdots + 1_R$  and  $\phi(-n) = -\phi(n)$  define a homomorphism.

We will show the uniqueness of a ring homomorphism. Let  $\phi_1, \phi_2 : \mathbb{Z} \rightarrow R$  be ring homomorphisms.

We claim that  $\phi_1(n) = \phi_2(n)$  for each  $n \in \mathbb{N}$ .

- By definition,  $\phi_1(1) = \phi_2(1) = 1_R$ .
- Suppose  $\phi_1(n) = \phi_2(n)$  for some  $n \in \mathbb{N}$ . Then  $\phi_1(n+1) = \phi_1(n) + \phi_1(1) = \phi_2(n) + \phi_2(1) = \phi_2(n+1)$ .

By mathematical induction,  $\phi_1(n) = \phi_2(n)$  for each  $n \in \mathbb{N}$ .

For every  $n \in \mathbb{N}$ ,  $\phi_1(-n) = -\phi_1(n) = -\phi_2(n) = \phi_2(-n)$ . Finally,  $\phi_1(0) = \phi_1(0+0) = \phi_1(0) + \phi_1(0)$ , so  $\phi_1(0) = 0_R$ . Similarly,  $\phi_2(0) = 0_R$ . Thus  $\phi_1(0) = \phi_2(0)$ .

Hence, we have shown that  $\phi_1 = \phi_2$ . □

**Exercise.** (Problem 2) Let  $I \subset R$  be an ideal in a commutative ring. Describe a bijective correspondence between ideals in  $R/I$  and certain ideals in  $R$ .

*Proof.* The map  $J \mapsto \{I + j \mid j \in J\}$  is a bijection between ideals in  $R$  that contain  $I$  and ideals in  $R/I$ . □

**Exercise.** (Problem 3) Let  $I, J \subset R$  be ideals in a commutative ring. Let  $I + J \subset R$  denote the smallest ideal containing  $I$  and  $J$ . Observe that  $I + J = \{i + j \in R : i \in I, j \in J\}$ . Let  $\bar{J} \subset R/I$  denote the image of  $J$  under the canonical quotient map,  $R \rightarrow R/I$ . Observe that  $\bar{J}$  is an ideal in  $S := R/I$ . Use the universal mapping property of the quotient to show that  $R/(I + J) \simeq S/\bar{J}$ .

*Proof.* Let  $\pi : R \rightarrow R/I$  be the canonical quotient homomorphism. Let  $f : R \rightarrow R/(I + J)$  be the canonical quotient homomorphism. Then  $\ker(f) = I + J$ , so  $I \subset \ker(f)$ . By Proposition 6 (Universal mapping property of the quotient), there must exist a unique ring homomorphism  $\bar{f} : R/I \rightarrow R/(I + J)$  such that  $\bar{f} \circ \pi = f$ . We claim that  $\ker(\bar{f}) = \bar{J}$ .

- $\ker(\bar{f}) \subset \bar{J}$ ? Let  $r + I \in \ker(\bar{f})$ . Then  $r + I = \pi(r)$ , so  $0 = \bar{f}(r + I) = \bar{f}(\pi(r)) = (\bar{f} \circ \pi)(r) = f(r) = r + (I + J)$ . Thus  $r \in I + J$ . This implies that  $r = i + j$  for some  $i \in I, j \in J$ . Then  $r + I = (i + j) + I = j + I \in \pi(J) = \bar{J}$ .
- $\bar{J} \subset \ker(\bar{f})$ ? Let  $j + I \in \bar{J}$ . Then  $\bar{f}(j + I) = \bar{f}(\pi(j)) = f(j) = j + (I + J) = 0$ .

Therefore,  $\ker(\bar{f}) = \bar{J}$ . This implies that  $\bar{f}$  induces an isomorphism between  $(R/I)/\bar{J}$  and  $R/(I + J)$ . □

**Exercise.** (Problem 4) Let  $R$  be a commutative ring and  $f(x) = \sum_{i=0}^n a_i x^i \in R[x]$  a non-zero polynomial of degree  $n$ . Suppose that  $a_n \in R^\times$ . Let  $J = (f(x))$ . Prove that every element of  $R[x]/J$  may be written in exactly one way in the form  $\sum_{i=0}^{n-1} r_i x^i + J$  with  $r_0, r_1, \dots, r_{n-1} \in R$ .

*Proof.* Let  $g(x) + J \in R[x]/J$  be given. Since the leading coefficient of  $f(x)$  is a unit, we will apply Theorem 9 in the handouts. Then there exists a unique polynomial  $q(x), r(x) \in R[x]$  such that  $g(x) = f(x)q(x) + r(x)$  with  $\deg(r(x)) < \deg(f(x))$  or  $r(x) = 0$ . Then  $g(x) + J = f(x)q(x) + r(x) + J = r(x) + J$  where  $r(x)$  can be expressed as  $\sum_{i=0}^{n-1} r_i x^i$  with  $r_0, \dots, r_{n-1} \in R$ .

Let  $r'(x) = \sum_{i=0}^{n-1} r'_i x^i$  with  $r'_0, \dots, r'_{n-1} \in R$ . If  $g(x) + J = r'(x) + J$ , then  $g(x) - r'(x) \in J$ . Therefore,  $g(x) - r'(x) = f(x)q'(x)$  for some  $q'(x) \in R[x]$ . This implies that  $g(x) = f(x)q'(x) + r'(x)$ . By the uniqueness of  $q(x), r(x)$ , we have  $q(x) = q'(x)$  and  $r(x) = r'(x)$ .

Therefore,  $g(x) + J$  can be written in exactly one way in the form  $\sum_{i=0}^{n-1} r_i x^i + J$  with  $r_0, \dots, r_{n-1} \in R$ .  $\square$

**Exercise.** (Problem 5)

- (1) Consider the subring  $S := \mathbb{Z}[(1 + \sqrt{5})/2] \subset \mathbb{R}$ . Find a generating set for the abelian group  $(S, +)$  with the minimal possible cardinality and justify your answer.
- (2) Find an explicit principal ideal,  $I \subset \mathbb{Z}[x]$ , and an explicit ring isomorphism,  $\mathbb{Z}[x]/I \simeq S$ . In the course of justifying your answer make explicit use of the mapping property of polynomials, the universal mapping property of the quotient, and division with remainder.
- (3) To what familiar ring is  $\mathbb{Z}[(1 + \sqrt{5})/2]/((3 - \sqrt{5})/2)$  isomorphic?
- (4) To what familiar ring is  $\mathbb{Z}[(1 + \sqrt{5})/2]/(2 + \sqrt{5})$  isomorphic?

*Proof.*

- (1) Suppose a generating set is a singleton. Let  $x \in S$  be such an element. Then  $kx = 1$  for some  $k \in \mathbb{Z}$  because we must be able to obtain 1 by adding or subtracting  $x$  finitely many times.  $k \neq 0$ , so this implies that  $x = 1/k$ . Then  $x \in \mathbb{Q}$ . However,  $(1 + \sqrt{5})/2 \notin \mathbb{Q}$ .  $(\mathbb{Q}, +)$  is an abelian group, so it is closed under addition and subtraction. Therefore, a generating set cannot be a singleton.

We claim that  $\{1, (1 + \sqrt{5})/2\}$  is a generating set. Let  $s \in S$  be given. Then  $s$  is a real number such that  $s = \sum_{i=0}^{\infty} r_i ((1 + \sqrt{5})/2)^i$ . Since this is  $\mathbb{R}$ , the  $\sum$  means limits. Since  $|((1 + \sqrt{5})/2)^i| > 1$  for each  $i > 0$ , there must exist an  $N \in \mathbb{N}$  such that  $\forall i \geq N, r_i = 0$ . Then  $s = \sum_{i=0}^N r_i ((1 + \sqrt{5})/2)^i$ .

Since  $(1 + \sqrt{5})/2$  is a root to the equation  $x^2 - x - 1 = 0$ , we know that it satisfies  $x^2 = x + 1$ . By applying this repeatedly,  $((1 + \sqrt{5})/2)^n$  can be expressed as a linear combination of  $(1 + \sqrt{5})/2$  and 1 over  $\mathbb{Z}$ . Therefore,  $s$  can be expressed as a linear combination of  $(1 + \sqrt{5})/2$  and 1 over  $\mathbb{Z}$ . A linear combination of two numbers over  $\mathbb{Z}$  can be expressed as a finite sequence of addition and subtraction of the two numbers, so  $\{1, (1 + \sqrt{5})/2\}$  is indeed a generator of  $(S, +)$ .

- (2) By the mapping property of the polynomial ring, there is a unique ring homomorphism  $\phi : \mathbb{Z}[x] \rightarrow \mathbb{Z}[(1 + \sqrt{5})/2]$  with  $x \mapsto (1 + \sqrt{5})/2$ . We showed in part (1), that every element in  $\mathbb{Z}[(1 + \sqrt{5})/2]$  is a linear combination of 1 and  $(1 + \sqrt{5})/2$  over  $\mathbb{Z}$ . For any  $a + b(1 + \sqrt{5})/2 \in \mathbb{Z}[(1 + \sqrt{5})/2]$ ,  $\phi(a + bx) = a + b(1 + \sqrt{5})/2$ . Therefore,  $\phi$  is surjective. We clearly have  $x^2 - x - 1 \in \ker(\phi)$ . Consequently, there is an inclusion of

ideals  $(x^2 - x - 1) \subset \ker(\phi)$ . To show that this inclusion is an equality, we will apply division with remainder: For  $g(x) \in \ker(\phi)$ , write  $g(x) = (x^2 - x - 1)q(x) + r(x)$  with  $r(x) = 0$  or  $\deg(r(x)) < \deg(x^2 - x - 1) = 2$ . If  $r(x) \neq 0$ , then we may write  $r(x) = ax + b$ . Since  $g(x)$  is in the kernel,  $0 = \phi(g(x)) = \phi(r(x)) = a(1 + \sqrt{5})/2 + b$ . This implies  $a(1 + \sqrt{5})/2 = -b \in \mathbb{Z}$ . Since  $a$  is an integer and  $(1 + \sqrt{5})/2$  is irrational, this is possible if and only if  $a = b = 0$ . Thus in fact  $r(x)$  must be zero, which implies  $g(x) \in (x^2 - x - 1)$ . Thus  $(x^2 - x - 1) = \ker(\phi)$ .

By the part 3 of the universal mapping property of the quotient, we have a ring isomorphism  $\bar{\phi} : \mathbb{Z}[x]/\ker(\phi) \rightarrow \phi(\mathbb{Z}[x])$ . In other words,  $\bar{\phi}$  is an isomorphism between  $\mathbb{Z}[x]/(x^2 - x - 1)$  and  $\mathbb{Z}[(1 + \sqrt{5})/2]$ .

- (3) We showed above that  $\mathbb{Z}[(1 + \sqrt{5})/2]$  is isomorphic to  $\mathbb{Z}[x]/(x^2 - x - 1)$ . The  $\phi$  in part (ii) maps  $x$  to  $(1 + \sqrt{5})/2$ . The ideal  $(3 - \sqrt{5})/2$  gets identified with the ideal in  $\mathbb{Z}[x]/(x^2 - x - 1)$  generated by  $2 - x + (x^2 - x - 1)$ . Lemma 11 allows us to identify  $\mathbb{Z}[(1 + \sqrt{5})/2]/((3 - \sqrt{5})/2)$  with

$$\mathbb{Z}[x]/(x^2 - x - 1, 2 - x) \simeq \mathbb{Z}/(2^2 - 2 - 1) \simeq \mathbb{Z}/(1)$$

where the first isomorphism comes from the isomorphism  $\mathbb{Z}[x]/(2 - x) \rightarrow \mathbb{Z}$ , which maps  $x$  to 2. Thus the ideal generated by  $(x^2 - x - 1)$  in  $\mathbb{Z}[x]/(2 - x)$  gets identified with (1).

Thus  $\mathbb{Z}[(1 + \sqrt{5})/2]/((3 - \sqrt{5})/2) \simeq (0)$ .

- (4) We showed above that  $\mathbb{Z}[(1 + \sqrt{5})/2]$  is isomorphic to  $\mathbb{Z}[x]/(x^2 - x - 1)$ . The  $\phi$  in part (ii) maps  $x$  to  $(1 + \sqrt{5})/2$ . The ideal  $(2 + \sqrt{5})$  gets identified with the ideal in  $\mathbb{Z}[x]/(x^2 - x - 1)$  generated by  $2x + 1 + (x^2 - x - 1)$ . Lemma 11 allows us to identify  $\mathbb{Z}[(1 + \sqrt{5})/2]/(2 + \sqrt{5})$  with

$$\mathbb{Z}[x]/(x^2 - x - 1, 2x + 1) \simeq \mathbb{Z}/((-1/2)^2 - (-1/2) - 1) \simeq \mathbb{Z}/(1/4)$$

where the first isomorphism comes from the isomorphism  $\mathbb{Z}[x]/(2 - x) \rightarrow \mathbb{Z}$ , which maps  $x$  to 2. Thus the ideal generated by  $(x^2 - x - 1)$  in  $\mathbb{Z}[x]/(2 - x)$  gets identified with (1).

Thus  $\mathbb{Z}[(1 + \sqrt{5})/2]/((3 - \sqrt{5})/2) \simeq (0)$ .

This problem looks similar to Part (iii), but it is slightly different. If I take the same approach, I end up with  $\mathbb{Z}/(1/4)$ , and this makes no sense. I've tried another approach which is to use  $2x + 1 + (x^2 - x - 1) = x^2 + x + (x^2 - x - 1)$ , and thus consider  $\mathbb{Z}[x]/(x^2 - x - 1, x^2 + x)$ . However, this doesn't work either, because 0 and 1 are roots of  $x^2 + x$ , but the kernel of the map  $x \mapsto 1$  is the ideal generated by  $x - 1$ , not  $x^2 + x$ .

□