## MATH 601 (DUE 11/6)

#### HIDENORI SHINOHARA

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## 1. Galois Theory II (P.2)

**Exercise.** (Problem 1) Let  $f(x) \in F[x]$  be an irreducible polynomial of degree d. Let  $F \subset K$  be a field extension such that f(x) factors as a product of linear polynomials in K[x]. Show that f(x) is separable if and only if there exist d distinct F-algebra homomorphisms,  $F[x]/(f(x)) \to K$ .

*Proof.* Without loss of generality, assume f(x) is monic and  $f(x) = \prod_{i=1}^{d} (x - a_i)$  for some  $a_i \in K$ .

Suppose f(x) is separable. Then  $a_i \neq a_j$  for all  $i \neq j$ . For each i, let  $\phi_i : F[x]/(f(x)) \to K$  be an F-algebra homomorphism such that  $x \mapsto a_i$  and  $a \mapsto a$  for all  $a \in F$ . Then each  $\phi_i$  is distinct because  $\phi_i(x) \neq \phi_j(x)$  whenever  $i \neq j$ . Thus we showed the existence of d distinct F-algebra homomorphisms.

Suppose there exist d distinct homomorphisms  $\phi_i$  for  $i=1,\dots,d$ . For any j,  $\prod_{i=1}^d (\phi_j(x)-a_i)=\phi_j(\prod_{i=1}^d (x-a_i))=\phi_j(f(x))=0$ , so  $\phi_j(x)\in K$  is a root of f(x). Thus  $x-\phi_i(x)$  divides f(x) for each i. Since  $\phi_i$  is uniquely determined by the value  $\phi_i(x)$ ,  $\phi_i(x)\neq\phi_j(x)$  whenever  $i\neq j$ . Thus  $f(x)=\prod_{i=1}^d (x-\phi_i(x))$ , and f(x) is separable.

**Exercise.** (Problem 2) Let  $F \subset F[v_1, \dots, v_r] = K$  be an algebraic field extension such that the irreducible monic polynomial,  $f_i(x) \in F[x]$ , for  $v_i$  is separable for each i. Let  $F \subset L$  be a splitting field of  $f(x) := \prod_{i=1}^r f_i(x) \in F[x]$ . Let  $w \in K$  and let  $g(x) \in F[x]$  be the minimal manic polynomial of w. Set  $d = \deg(g(x))$ . Show that there are exactly d distinct F-algebra homomorphisms,  $F[w] \to L$ .

#### Proof.

Because of Problem 3, I don't think I'm supposed to show that g is separable.

**Exercise.** (Problem 3) Let  $F \subset F[v_1, \dots, v_r] = K$  be as in the previous problem. Let  $w \in K$ . Show that the monic irreducible polynomial of w is separable.

*Proof.* By Problem 1 and 2, this is trivial because F[w] is isomorphic to F[x]/(f(x)) by Lemma 2.1 (Field Extension handout).

## 2. Galois Theory II (P.8)

**Exercise.** (Problem 1) Recall that p is prime and q is a power of p. Define  $F_q : \mathbb{F}_{q^r} \to \mathbb{F}_{q^r}$  by  $F_q(a) = a^q$ . Show that  $F_q \in \operatorname{Aut}(\mathbb{F}_{q^r}/\mathbb{F}_q)$ .

Proof.  $F_q(a+b) = (a+b)^q = a^q + b^q$  since  $p \mid {q \choose i}$  for  $1 \le i \le q-1$ . Thus  $F_q$  preserves addition, and it is clear that  $F_q$  preserves multiplication, so  $F_q$  is a homomorphism. Moreover, any element in  $\mathbb{F}_q$  satisfies  $x^q - x = 0$ , so  $F_q(a) = a^q = a$  for any  $a \in \mathbb{F}_q$ .

**Exercise.** (Problem 2) Show that  $F_p: \mathbb{F}_{q^r} \to \mathbb{F}_{q^r}, F_p(a) = a^p$  is not an element of  $\operatorname{Aut}(\mathbb{F}_{q^r}/\mathbb{F}_q)$  unless q = p.

*Proof.* If q = p, we are done. Suppose q > p. Let  $\langle \alpha \rangle = (\mathbb{F}_q)^*$ . Then the order of  $\alpha$  is q - 1, so  $F_p(\alpha) = \alpha^p \neq \alpha$ .

**Exercise.** (Problem 3) Let  $f(x) \in \mathbb{F}_q[x]$  be a monic irreducible polynomial of degree r. Explain why f(x) has a root  $\alpha \in \mathbb{F}_{q^r}$ .

Proof. Let  $f(x) = \sum_{i=0}^r a_i x^i$ . Since  $\langle f(x) \rangle$  is a maximal ideal,  $\mathbb{F}_q[x]/\langle f(x) \rangle$  is a field with an  $\mathbb{F}_q$ -basis  $\{1, x, \cdots, x^{d-1}\}$ . Thus the field contains  $q^r$  elements. By the uniqueness of a finite field, there exists an isomorphism  $\phi : \mathbb{F}_{q^r} \to \mathbb{F}_q[x]/\langle f(x) \rangle$ . Let  $\alpha = \phi^{-1}(x)$ . Then  $\phi(\sum_{i=0}^r a_i \alpha^i) = \sum_{i=0}^r a_i x^i = 0$ . Thus  $\mathbb{F}_{q^r}$  contains a root of f(x).

**Exercise.** (Problem 4) With f(x) as in the previous problem, show that  $f(x) = \prod_{i=0}^{r-1} (x - \alpha^{q^i}) \in \mathbb{F}_{q^r}[x]$ . Conclude that  $\mathbb{F}_{q^r}$  is a splitting field for f(x) over  $\mathbb{F}_q$ . In other words,  $\alpha^{q^i}$  is a root of f(x) for any  $i \in \mathbb{N}$ .

# How do I show that $\alpha^{q^i} \neq \alpha^{q^j}$ if $0 \leq i < j \leq r - 1$ ?

Proof. Let  $f(x) = \sum_{i=0}^r a_i x^i$ . Then  $(f(x))^q = (\sum_{i=0}^r a_i x^i)^q = \sum_{i=0}^r a_i^q (x^q)^i = \sum_{i=0}^r a_i (x^q)^i$ . Thus the qth power of any root  $\beta$  of f(x) is a root of f(x).

### 3. Factoring Polynomials with Coefficients in Finite Fields

**Exercise.** (Problem 9) Let  $\mathbb{F}_q$  be a field with  $q = p^m$  elements. Let  $f(x) \in \mathbb{F}_q[x]$  be square free. Describe  $\gcd(x^q - x, f(x))$  in terms of the linear factors of f(x).

Proof. Since  $(x^q - x)' = -1$ ,  $\gcd(x^q - x, (x^q - x)') = 1$ . Thus  $x^q - x$  is square free by Problem 7 from last week. Thus  $x^q - x = \prod_{i=1}^q (x - a_i)$  where  $\mathbb{F}_q = \{a_1, \dots, a_q\}$ . Each linear factor (if any) of f(x) is associate to  $x - a_i$  for some i. Since f(x) is square free,  $\gcd(x^q - x, f(x))$  is the product of all the linear factors of f(x).

**Exercise.** (Problem 10) Let  $f(x) \in \mathbb{F}_q[x]$  be square free. Describe,  $h(x) = \gcd(x^{q^2} - x, f(x))$ , in terms of the irreducible quadratic polynomials which divide f(x) and whatever other information is necessary.

*Proof.* Since every element in  $\mathbb{F}_q$  is a root of  $x^{q^2} - x$ , h(x) is divisible by all the linear polynomials that divide f(x).

Let  $g(x) \in \mathbb{F}_q[x]$  be an irreducible monic quadratic polynomial. Then  $\mathbb{F}_q[x]/(g(x)) \cong \mathbb{F}_{q^2}$  with an isomorphism  $\phi$ . Then  $\phi(x)$  is a root of g(x). Thus  $g = (x - \alpha)(x - \beta)$  in  $\mathbb{F}_{q^2}[x]$ .

Moreover, every element in  $\mathbb{F}_{q^2}$  is a root of  $x^{q^2} - x$ . Thus  $g = (x - \alpha)(x - \beta) \mid x^{q^2} - x$ . Therefore, h(x) is divisible by all the irreducible monic quadratic polynomials that divide f(x).

Finally, the set of roots of  $x^{q^2} - x$  is exactly  $\mathbb{F}_{q^2}$ . Since  $[\mathbb{F}_{q^2} : \mathbb{F}_q] = 2$ , the degree of the minimal polynomial of each element must be either 1 or 2. In other words,  $x^{q^2} - x$  is a product of some linear and quadratic polynomials in  $\mathbb{F}_q[x]$ .

Therefore, h(x) is exactly the product of all the irreducible monic polynomials of degree 1 or 2 that divide f(x).  $(x^{q^2} - x)$  may or may not be square free, but f(x) is square free, so h(x) must be square free.)

**Lemma 3.1.** Suppose  $f \in \mathbb{F}_q[x]$  is irreducible. Let  $d \in \mathbb{N}$ . Then  $f \mid (x^{q^d} - x)$  if and only if  $\deg(f) \mid d$ .

*Proof.* Let  $d \in \mathbb{N}$  be given. Let  $n = \deg(f)$ . Then  $\mathbb{F}_q[x]/(f(x)) = \mathbb{F}_{q^n}$  contains a root  $\alpha$  of f(x).

Suppose  $n \mid d$ .  $\alpha^{q^n} - \alpha = 0$  implies  $0 = (\alpha^{q^n} - \alpha)^{q^n} = \alpha^{q^{2n}} - \alpha^{q^n} = \alpha^{q^{2n}} - \alpha$ . By repeating this process, we get  $\alpha^{q^d} - \alpha = 0$  since  $n \mid d$ . Thus  $\alpha$  satisfies f(x) and  $x^{q^d} - x$ , and f(x) is irreducible. Thus  $f \mid x^{q^d} - x$ .

Suppose  $f(x) \mid (x^{q^d} - x)$ . Since f(x) is an irreducible polynomial with a root  $\alpha$ , it must be the minimal polynomial of  $\alpha$ . Thus  $[\mathbb{F}_q(\alpha) : \mathbb{F}_q] = n$ .  $f(x) \mid (x^{q^d} - x)$  implies that  $\alpha$  satisfies  $x^{q^d} - x$ . Thus  $\alpha \in \mathbb{F}_{q^d}$ . Then  $d = [\mathbb{F}_{q^d} : \mathbb{F}_q(\alpha)][\mathbb{F}_q(\alpha) : \mathbb{F}_q]$ , so  $n \mid d$ .

**Exercise.** (Problem 11) Given a square free polynomial  $f(x) \in \mathbb{F}_q[x]$ , describe how to use repeated gcd calculations to factor f(x) as  $f = f_1 f_2 \cdots f_r$ , where each  $f_i$  is a product of distinct irreducible factors of degree i.

*Proof.* We will use Lemma 3.1 above. We will start with n = 1.

- If f(x) is a unit, terminate.
- Calculate  $h(x) = \gcd(x^{q^n} x, f(x))$ . This is the product of all irreducible polynomials of f(x) of degree n by Lemma 3.1.
- Record h(x). Set f(x) = f(x)/h(x) and n = n + 1. Repeat.

Then the h's that we record are the products of distinct irreducible of factors of degree i for each i.

**Exercise.** (Problem 12) Prove the following criterion for a degree n polynomial  $f(x) \in \mathbb{F}_q[x]$  to be irreducible: f(x) is irreducible if and only if

- $gcd(f(x), x^{q^n} x) = f(x)$ , and
- For each proper divisor d of n,  $gcd(f(x), x^{q^d} x) = 1$ .

*Proof.* Suppose f(x) is irreducible. By Lemma 3.1,  $gcd(f(x), x^{q^n} - x) = f(x)$ . Since the same lemma implies that  $x^{q^d} - x$  cannot be divided by any irreducible polynomial of degree > d,  $gcd(f(x), x^{q^d} - x) = 1$ .

Suppose the two conditions are met. We will show that f(x) is irreducible. Let g(x) be an irreducible polynomial that divides f(x). Since  $\gcd(f(x), x^{q^d} - x) = 1$  for each proper divisor d of n,  $\gcd(g(x), x^{q^d} - x) = 1$  as well. By the lemma,  $\deg(g(x)) \nmid d$ . Since  $\gcd(f, x^{q^n} - x) = f$ ,  $\gcd(g, x^{q^n} - x) = g$ . By the lemma,  $\deg(g) \mid n$ . Therefore,  $\deg(g)$  is a divisor of n that is not a proper divisor of n. In other words,  $\deg(g) = n$ , so f is irreducible.  $\square$