INTRODUCTION TO SMOOTH MANIFOLDS

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1. Dictionary

1.1. Topological Manifolds.

Definition 1.1 (Topological Manifold). A topological n-manifold is a Hausdorff, second-countable topological space each point of which has a neighborhood that is homeomorphic to an open subset \mathbb{R}^n .

Definition 1.2 (Coordinates). Let M be a topological n-manifold. Let U be an open subset of M, \hat{U} be an open subset of \mathbb{R}^n , $\phi: U \to \hat{U}$ be a homeomorphism.

- The pair (U, ϕ) is called a *coordinate chart* or a *chart*.
- U is called a coordinate domain or a coordinate neighborhood and ϕ is called a coordinate map.
- If $\phi(U)$ is an open ball in \mathbb{R}^n , U is called a *coordinate ball*.
- If $\phi(U)$ is an open cube in \mathbb{R}^n , U is called a *coordinate cube*.

Definition 1.3 (Atlas). Let M be a topological n-manifold. An atlas for M is a collection of charts $(U_{\alpha}, \phi_{\alpha})$ such that $M = \bigcup_{\alpha} U_{\alpha}$.

Definition 1.4 (Transition Map). Let M be a topological n-manifold and $(U, \phi), (V, \psi)$ be coordinate charts such that $U \cap V \neq \emptyset$. $\psi \circ \phi^{-1} : \phi(U \cap V) \mapsto \psi(U \cap V)$ is called a *transition map* from ϕ to ψ .

1.2. Smooth Manifolds.

Definition 1.5 (Smoothly Compatible). Let M be a topological n-manifold. Two coordinate charts $(U, \phi), (V, \psi)$ are called *smoothly compatible* if $U \cap V = \emptyset$ or the transition map $\psi \circ \phi^{-1}$ is a diffeomorphism.

Definition 1.6 (Smooth Atlas). Let M be a topological n-manifold. A *smooth atlas* is an atlas \mathcal{A} such that any two charts in \mathcal{A} are smoothly compatible with each other.

Definition 1.7 (Smooth Structure). If M is a topological n-manifold, an atlas \mathcal{A} that is not properly contained in any larger smooth atlas is called maximal or a smooth structure on M

Definition 1.8 (Smooth Manifold). A *smooth manifold* is a topological manifold equipped with a smooth structure.

Definition 1.9. Suppose (M, A) is a smooth manifold.

- Any chart $(U, \phi) \in \mathcal{A}$ is called a smooth chart.
- Given a smooth chart (U, ϕ) , U is called a smooth coordinate domain and ϕ is called a smooth coordinate map.

• Given a smooth chart (U,ϕ) , U is called a smooth coordinate ball if it is a coordinate ball.

Remark 1.10. One must define a smooth structure on a topological manifold before talking about a smooth chart.

Definition 1.11 (Smooth Maps). Let M, N be smooth manifolds and $F : M \to N$ be a map. F is a smooth map if for every $p \in M$, there exist smooth charts (U, ϕ) containing p and (V, ψ) containing F(p) such that

- $F(U) \subset V$;
- $\psi \circ F \circ \phi^{-1} : \phi(U) \to \psi(V)$ is smooth.

2. Chapter 1: Smooth Manifolds

Exercise 1.1. Show that equivalent definitions of manifolds are obtained if instead of allowing U to be homeomorphic to any open subset of \mathbb{R}^n , we require it to be homeomorphic to an open ball in \mathbb{R}^n , or to \mathbb{R}^n itself.

Proof. It is clear that a "manifold" satisfying the open-ball or \mathbb{R}^n definition satisfies the open-subset definition. Let M be a manifold satisfying the open-subset definition. Let $x \in M$ be given and let U, \hat{U}, ϕ be given according to the definition. Since \hat{U} is open, there exists an open ball B such that $\phi(x) \in B \subset \hat{U}$. Restrict ϕ to $\phi^{-1}(B)$. Then $\phi^{-1}(B)$ is an open subset of M containing x, and $\phi \mid_{\phi^{-1}(B)}$ is a homeomorphism between $\phi^{-1}(B)$ and B. Thus M satisfies the open-ball definition.

 $B(x,r) \subset \mathbb{R}^n$ is homeomorphic to \mathbb{R}^n by the map $(x_1 + a_1, \dots, x_n + a_n) \mapsto (\frac{a_1}{r - a_1}, \dots, \frac{a_n}{r - a_n})$ where $x = (x_1, \dots, x_n)$ is the center of B(x,r) and r is the radius. Since the composition of two homeomorphisms gives a homeomorphism, M also satisfies the \mathbb{R}^n definition as well.

Exercise 1.6. Show that \mathbb{RP}^n is Hausdorff and second-countable, and is therefore a topological *n*-manifold.

Proof. From the definition of π , it is easy to see that $\pi(B(x,r))$ is open in \mathbb{RP}^n where $x \in S^n$ and 0 < r < 1. Let $[x], [y] \in \mathbb{RP}^n$ be given. Without loss of generality, assume $x, y \in S^n$. Let $r = \min\{|x - y|, |x + y|, 1\}/2$. Then $U_x = \pi(B(x,r)), U_y = \pi(B(y,r))$ contain [x], [y], respectively. $\pi^{-1}(U_x), \pi^{-1}(U_y)$ are both open in $\mathbb{R}^{n+1} \setminus \{0\}$ which can be seen easily by writing down exactly which points belong to them, so U_x, U_y are both open in \mathbb{RP}^n . Then $\pi^{-1}(U_x \cap U_y) = \pi^{-1}(U_x) \cap \pi^{-1}(U_y) = \emptyset$, so $U_x \cap U_y = \emptyset$. Therefore, \mathbb{RP}^n is Hausdorff. Let $\mathcal{B} = \{\pi(B(x, 1/k)) \mid x \in \mathbb{Q}^{n+1} \cap S^n, k \in \{2, 3, 4, \cdots\}\}$. Then \mathcal{B} is a countable collection of open sets whose union is \mathbb{RP}^n . Let $U \subset \mathbb{RP}^n$ be a nonempty open set. Let $[x] \in U$. Since π is a quotient map, $\pi^{-1}(U)$

whose union is \mathbb{RP}^n . Let $U \subset \mathbb{RP}^n$ be a nonempty open set. Let $[x] \in U$. Since π is a quotient map, $\pi^{-1}(U)$ is open. Moreover, $x \in \pi^{-1}(U)$. Without loss of generality, $x \in S^n$. Then $x \in B(x', 1/k) \subset \pi^{-1}(U)$ for some $B(x', 1/k) \in \mathcal{B}$. Then $[x] = \pi(x) \in \pi(B(x', 1/k)) \subset \pi(\pi^{-1}(U)) = U$. Therefore, \mathcal{B} is a countable basis of \mathbb{RP}^n .

Exercise 1.7. Show that \mathbb{RP}^n is compact.

Proof. $\pi(S^n) = \mathbb{RP}^n$ and S^n is compact because it is a closed, bounded subset of \mathbb{R}^{n+1} . (Heine-Borel) Moreover, the image of a compact set under a continuous map is compact. (See A.45(a)) Thus \mathbb{RP}^n is compact.

Exercise 1.14. Suppose \mathcal{X} is a locally finite collection of subsets of a topological space M.

- (a) The collection $\{\overline{X}: X \in \mathcal{X}\}$ is also locally finite.
- (b) $\overline{\bigcup_{X \in \mathcal{X}} X} = \bigcup_{X \in \mathcal{X}} \overline{X}$.

Proof.

- (a) Let $p \in M$. Then there exists an open set U containing x such that there are only finitely many $X \in \mathcal{X}$ such that $U \cap X \neq \emptyset$. Let $X \in \mathcal{X}$.
 - If $U \cap X \neq \emptyset$, then $U \cap \overline{X} \supset U \cap X \neq \emptyset$.
 - If $U \cap X = \emptyset$, then U^c is closed, so $\overline{X} \subset U^c$. In other words, $U \cap \overline{X} = \emptyset$.

This shows that the number of $X \in \mathcal{X}$ that intersects U and the number of $\overline{X} \in \mathcal{X}$ that intersects U are the same. Therefore, $\{\overline{X}: X \in \mathcal{X}\}$ is also locally finite.

(b) Since the closure of a set is defined to be the intersection of all closed sets containing it, $\bigcup_{X \in \mathcal{X}} \overline{X} \subset \overline{\bigcup_{X \in \mathcal{X}} X}$. Let $x \notin \bigcup_{X \in \mathcal{X}} \overline{X}$. Then there exists a neighborhood U of x such that U intersects only finitely many $X \in \mathcal{X}$. Let X_1, \dots, X_n denote them. By the same argument as part (a), $\overline{X_1}, \dots, \overline{X_n}$ are the only elements in $\{\overline{X} \mid X \in \mathcal{X}\}$ that U intersects. Since $x \notin \overline{X_i}$ for each $i = 1, \dots, n$, $U^c \cup \overline{X_1} \cup \dots \cup \overline{X_n}$ is a closed set which contains all $X \in \mathcal{X}$ but does not contain x. In other words, $x \notin \overline{\bigcup_{X \in \mathcal{X}} X}$.

Exercise 1.18. Let M be a topological manifold. Two smooth at lases for M determine the same smooth structure if and only if their union is a smooth at las.

Proof. Let $\mathcal{A}, \mathcal{A}'$ be two smooth at lases.

Suppose that they determine the same smooth structure \mathcal{B} . Then $\mathcal{A} \cup \mathcal{A}' \subset \mathcal{B}$, so $\mathcal{A} \cup \mathcal{A}'$ must be a smooth atlas. By Proposition 1.17(a), $\mathcal{A} \cup \mathcal{A}'$ determines a unique smooth structure, but it must be \mathcal{B} because \mathcal{B} contains the union.

On the other hand, suppose that their union is a smooth atlas. Let \mathcal{B} be the smooth structure that the union determines. Such \mathcal{B} must exist by Proposition 1.17(a). By the same proposition, $\mathcal{A}, \mathcal{A}'$ must determine the unique smooth structures. However, they must be \mathcal{B} because \mathcal{B} contains both \mathcal{A} and \mathcal{A}' .

Exercise 1.20. Every smooth manifold has a countable basis of regular coordinate balls.

Proof. Let M be an n-dimensional smooth manifold. We consider the special case that there exists a single chart (ϕ, U) with U = M. Let $x \in \hat{U}$ with rational coordinates. Then there exists s > 0 such that $B(x,s) \subset \hat{U}$. For each rational number $r \in (0,s)$, we consider the chart $(p \mapsto \phi(p) - x, \phi^{-1}(B(x,r)))$.

Let \mathcal{B} be the collection of all such charts for each $x \in \hat{U}$ and r. We claim that \mathcal{B} is a smooth atlas.

- Let $p \in M$. Then $\phi(p) \in \hat{U}$. Since \hat{U} is open, $\phi(p) \in B(x,r) \subset \hat{U}$ for some x with rational coordinates and a positive rational number r. Then $p \in \phi^{-1}(B(x,r))$, so the union of coordinate domains covers M. In other words, \mathcal{B} is an atlas.
- Let $(p \mapsto \phi(p) x, \phi^{-1}(B(x, r))), (p \mapsto \phi(p) x', \phi^{-1}(B(x', r'))) \in \mathcal{B}$ be given. Suppose $\phi^{-1}(B(x, r)) \cap \phi^{-1}(B(x', r')) \neq \emptyset$. Let ψ, ψ' denote the coordinate maps. Then $\psi' \circ \psi^{-1}$ is a composition of ϕ, ϕ^{-1} and translation maps, so it is smooth.

Therefore, \mathcal{B} is a smooth atlas.

Since \mathcal{B} is a smooth atlas, there exists a smooth structure \mathcal{A} on M containing \mathcal{B} by Proposition 1.17(a). We claim that \mathcal{B} , a subset of the smooth structure \mathcal{A} , is a countable basis of regular coordinate balls.

- \mathcal{B} is a countable collection because $x \in \mathbb{Q}^n$ and $r \in \mathbb{Q}$.
- Let $(p \mapsto \phi(p) x, \phi^{-1}(B(x, r))) \in \mathcal{B}$ be given. Then there exists a chart $(p \mapsto \phi(p) x, \phi^{-1}(B(x, r')))$ in \mathcal{B} with r' > r. Let $B = \phi^{-1}(B(x, r)), B' = \phi^{-1}(B(x, r'))$. Let ψ denote the map $p \mapsto \phi(p) x$. Then $\psi(B) = B(0, r)$ and $\psi(B') = B(0, r')$, respectively. Moreover, $\psi(\overline{B}) = \overline{B(0, r)}$ because ψ is a homeomorphism.

Work on the case when there is no chart that covers the entire manifold

Exercise 1.39. Let M be a topological n-manifold with boundary.

- (a) Int M is an open subset of M and a topological n-manifold without boundary.
- (b) ∂M is a closed subset of M and a topological (n-1)-manifold without boundary.
- (c) M is a topological manifold if and only if $\partial M = \emptyset$.
- (d) If n = 0, then $\partial M = \emptyset$ and M is a 0-manifold.

Proof.

(a) Let $x \in \text{Int } M$. Let (ϕ, U) be an interior chart for x. Then $x \in U \subset \text{Int } M$ because every point in U is in an interior chart (ϕ, U) . A subspace of M must be Hausdorff and second-countable by Proposition A.17(g, i), so Int M is a second-countable, Hausdorff space in which every point has a neighborhood homeomorphic to an open subset in \mathbb{R}^n . Thus Int M is an n-manifold without boundary.

- (b) Since $\partial M = M \setminus \text{Int } M$ and Int M is open in M, ∂M is closed in M. Let $x \in \partial M$. Let (ϕ, U) be a boundary chart of x. If a point $y \in U$ gets mapped into $\text{Int } \mathbb{H}^n$, then it is certainly an interior point. Thus $\phi(U \cap \partial M) \subset \partial \mathbb{H}^n$. Then $\pi_{n-1} \circ \phi$ is a homeomorphism that maps $U \cap \partial M$ into an open subset of \mathbb{R}^{n-1} where $\pi_{n-1} : (x_1, \dots, x_n) \mapsto (x_1, \dots, x_{n-1})$.
- (c) If ∂M is empty, then $M=\operatorname{Int} M$, so (a) implies that M is an n-dimensional manifold. If M is a topological manifold, every point is an interior point. Since a point cannot be both an interior point and a boundary point, ∂M is empty.
- (d) If n = 0, then $\partial \mathbb{H}^0 = \emptyset$. Thus, the condition that $\phi(U) \cap \partial \mathbb{H}^n \neq \emptyset$ can never be satisfied, so there cannot be any boundary point.

3. Chapter 2: Smooth Maps

Exercise 2.1. Let M be a smooth manifold with or without boundary. Show that pointwise multiplication turns $C^{\infty}(M)$ into a commutative ring and a commutative and associative algebra over \mathbb{R} .

Proof.

- The constant map f(p) = 0 is clearly in $C^{\infty}(M)$ and it is the additive identity.
- The constant map f(p) = 1 is clearly in $C^{\infty}(M)$ and it is the multiplicative identity.
- Let $f \in C^{\infty}(M)$, $g \in C^{\infty}(M)$. Let $p \in M$ and (ϕ, U) be a smooth chart for p. Then $f \circ \phi^{-1}$ and $g \circ \phi^{-1}$ are both smooth(Exercise 2.3), real-valued maps defined on an open subset of \mathbb{R}^n . Thus f + g is in $C^{\infty}(M)$ Moreover, f + g = g + f because addition in \mathbb{R} is commutative.
- Let $f, g, h \in C^{\infty}(M)$. Let $p \in M$ and (ϕ, U) be a smooth chart for p. Then $f \circ \phi^{-1}$ and $g \circ \phi^{-1}$ are both smooth(Exercise 2.3), real-valued maps defined on an open subset of \mathbb{R}^n . Therefore, fg is in $C^{\infty}(M)$ Moreover, fg = gf and (fg)h = f(gh) because multiplication in \mathbb{R} is commutative and associative.
- Let $c \in \mathbb{R}$, $f \in C^{\infty}(M)$. Then cf can be seen as fg where g is the constant function whose value is c. As shown above, $cf \in C^{\infty}(M)$.

Exercise 2.2. Let U be an open submanifold of \mathbb{R}^n with its standard smooth manifold structure. Show that a function $f: U \to \mathbb{R}^k$ is smooth in the sense just defined if and only if it is smooth in the sense of ordinary calculus. Do the same for an open submanifold with boundary in \mathbb{H}^n .

Proof. f is smooth in the sense just defined if and only if $f \circ \operatorname{Id}^{-1}$ is smooth in the sense of ordinary calculus. Since $f \circ \operatorname{Id}^{-1} = f$, $f \circ \operatorname{Id}^{-1}$ is smooth in the sense of ordinary calculus if and only if f is smooth in the sense of ordinary calculus.

Exercise 2.3. Let M be a smooth manifold with or without boundary, and suppose $f: M \to \mathbb{R}^k$ is a smooth function. Show that $f \circ \phi^{-1}: \phi(U) \to \mathbb{R}^k$ is smooth for every smooth chart (U, ϕ) for M.

Proof. Let $\phi(x) \in \phi(U)$. Since f is smooth, there exists (V, ψ) such that $f \circ \psi^{-1} : \psi(V) \to \mathbb{R}^k$ is smooth and $x \in V$. Let $W = U \cap V$. Then $f \circ \psi^{-1} : \psi(W) \to \mathbb{R}^k$ is smooth and $\psi \circ \phi^{-1} : \phi(W) \to \psi(W)$ is a diffeomorphism where $\phi(W)$ is a neighborhood of W. Then the restriction of $f \circ \psi^{-1}$ to $\phi(W)$ is identical to $(f \circ \psi^{-1}) \circ (\psi \circ \phi^{-1})$. Since he composition of a smooth function is smooth, $f \circ \psi^{-1}$ is smooth. \square

Exercise 2.7(Prove Proposition 2.5). Suppose M and N are smooth manifolds with or without boundary, and $F: M \to N$ is a map. Then F is smooth if and only if either of the following conditions is satisfied:

- (a) For every $p \in M$, there exist smooth charts (U, ϕ) containing p and (V, ψ) containing F(p) such that $U \cap F^{-1}(V)$ is open in M and the composite map $\psi \circ F \circ \phi^{-1}$ is smooth from $\phi(U \cap F^{-1}(V))$ to $\psi(V)$.
- (b) F is continuous and there exist smooth at lases $\{(U_{\alpha}, \phi_{\alpha})\}$ and $\{(V_{\beta}, \psi_{\beta})\}$ for M and N, respectively, such that for each α and β , $\psi_{\beta} \circ F \circ \phi_{\alpha}^{-1}$ is a smooth map from $\phi_{\alpha}(U_{\alpha} \cap F^{-1}(V_{\beta}))$ to $\psi_{\beta}(V_{\beta})$.

Proof. Let \mathcal{A}_M and \mathcal{A}_N be smooth structures of M and N. Suppose F is smooth. By Proposition 2.4, F is continuous. For every $p \in M$ there exist coordinate charts (U_p, ϕ_p) containing p and (V_p, ψ_p) containing F(p) such that $F(U_p) \subset V_p$ and $\psi_p \circ F_p \circ \phi_p^{-1}$ is smooth from $\phi_p(U_p)$ to $\psi_p(V_p)$. Then $\{(U_p, \phi_p) \mid p \in M\} \subset \mathcal{A}_M$

and $A_n\{(V_p,\psi_p)\mid p\in M\}\subset \mathcal{A}_N$ are smooth at lases. Moreover, for every (U_p,ϕ_p) and $(V_q,\psi_q), \psi_q\circ F\circ\phi_p^{-1}$ is a smooth map from $\phi_p(U_p\cap F^{-1}(V_q))$ to $\psi_q(V_q)$ because $\psi_q\circ F\circ\phi_p^{-1}=(\psi_q\circ\psi_p^{-1})\circ(\psi_p\circ F\circ\phi_p^{-1})$ where $\psi_q\circ\psi_q^{-1}$ and $\psi_p\circ F\circ\phi_p^{-1}$ are smooth. Therefore, the definition implies (b).

(b) implies (a) because if F is continuous, $F^{-1}(V_{\beta})$ is open in M for every β , so $U \cap F^{-1}(V)$ is open in M.

Finally, we show that (a) implies the definition. Suppose F satisfies (a). Let $p \in M$. Let $(U, \phi) \in \mathcal{A}_M$ and $(V, \psi) \in \mathcal{A}_N$ be smooth charts satisfying the properties described in (a). Let $U' = U \cap F^{-1}(V)$ and consider $(U', \phi|_{U'})$. Then $(U', \phi|_{U'}) \in \mathcal{A}_M$ because it must be smoothly compatible with any other smooth coordinate chart in \mathcal{A}_M . Moreover, $F(U') \subset V$ and $\psi \circ F \circ (\phi|_{U'})^{-1} : \phi(U') \to \psi(V)$ is smooth. Therefore, (a) implies the definition.

Hence, (a), (b) and the definition are all equivalent.

Exercise 2.7(Proof of Proposition 2.6).

Prove this!

4. Appendix C: Review of Calculus

Exercise C.1. Suppose that $F: U \to W$ is differentiable at $a \in U$. Show that the linear map satisfying

$$\lim_{v \to 0} \frac{|F(a+v) - F(a) - Lv|}{|v|} = 0$$

is unique.

Proof. Let L, L' be two such linear maps.

$$\lim_{v \to 0} \frac{|Lv - L'v|}{|v|} = \lim_{v \to 0} \frac{|(F(a+v) - F(a) - L'v) - (F(a+v) - F(a) - Lv)|}{|v|}$$

$$= \lim_{v \to 0} \frac{|F(a+v) - F(a) - Lv|}{|v|} + \lim_{v \to 0} \frac{|F(a+v) - F(a) - L'v|}{|v|}$$

$$= 0 + 0 = 0.$$

If $L \neq L'$, $(L - L')v_0 \neq 0$ for some v_0 . Then $\lim_{v \to 0} \frac{\left|Lv - L'v\right|}{|v|} = \lim_{h \to 0} \frac{\left|L(hv_0) - L'(hv_0)\right|}{|hv_0|} = \frac{\left|(L - L')v_0\right|}{|v_0|} \neq 0$. This is a contradiction, so L = L'.