INTRODUCTION TO SMOOTH MANIFOLDS

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1. Chapter 1: Smooth Manifolds

1.1. Exercises.

Exercise 1.1. Show that equivalent definitions of manifolds are obtained if instead of allowing U to be homeomorphic to any open subset of \mathbb{R}^n , we require it to be homeomorphic to an open ball in \mathbb{R}^n , or to \mathbb{R}^n itself

Proof. It is clear that a "manifold" satisfying the open-ball or \mathbb{R}^n definition satisfies the open-subset definition. Let M be a manifold satisfying the open-subset definition. Let $x \in M$ be given and let U, \hat{U}, ϕ be given according to the definition. Since \hat{U} is open, there exists an open ball B such that $\phi(x) \in B \subset \hat{U}$. Restrict ϕ to $\phi^{-1}(B)$. Then $\phi^{-1}(B)$ is an open subset of M containing x, and $\phi \mid_{\phi^{-1}(B)}$ is a homeomorphism between $\phi^{-1}(B)$ and B. Thus M satisfies the open-ball definition.

 $B(x,r) \subset \mathbb{R}^n$ is homeomorphic to \mathbb{R}^n by the map $(x_1 + a_1, \dots, x_n + a_n) \mapsto (\frac{a_1}{r - a_1}, \dots, \frac{a_n}{r - a_n})$ where $x = (x_1, \dots, x_n)$ is the center of B(x,r) and r is the radius. Since the composition of two homeomorphisms gives a homeomorphism, M also satisfies the \mathbb{R}^n definition as well.

Exercise 1.6. Show that \mathbb{RP}^n is Hausdorff and second-countable, and is therefore a topological n-manifold.

Proof. From the definition of π , it is easy to see that $\pi(B(x,r))$ is open in \mathbb{RP}^n where $x \in S^n$ and 0 < r < 1. Let $[x], [y] \in \mathbb{RP}^n$ be given. Without loss of generality, assume $x, y \in S^n$. Let $r = \min\{|x - y|, |x + y|, 1\}/2$. Then $U_x = \pi(B(x,r)), U_y = \pi(B(y,r))$ contain [x], [y], respectively. $\pi^{-1}(U_x), \pi^{-1}(U_y)$ are both open in $\mathbb{R}^{n+1} \setminus \{0\}$ which can be seen easily by writing down exactly which points belong to them, so U_x, U_y are both open in \mathbb{RP}^n . Then $\pi^{-1}(U_x \cap U_y) = \pi^{-1}(U_x) \cap \pi^{-1}(U_y) = \emptyset$, so $U_x \cap U_y = \emptyset$. Therefore, \mathbb{RP}^n is Hausdorff. Let $\mathcal{B} = \{\pi(B(x,1/k)) \mid x \in \mathbb{Q}^{n+1} \cap S^n, k \in \{2,3,4,\cdots\}\}$. Then \mathcal{B} is a countable collection of open sets whose union is \mathbb{RP}^n . Let $U \subset \mathbb{RP}^n$ be a nonempty open set. Let $[x] \in U$. Since π is a quotient map, $\pi^{-1}(U)$ is open. Moreover, $x \in \pi^{-1}(U)$. Without loss of generality, $x \in S^n$. Then $x \in B(x', 1/k) \subset \pi^{-1}(U)$ for some $B(x', 1/k) \in \mathcal{B}$. Then $[x] = \pi(x) \in \pi(B(x', 1/k)) \subset \pi(\pi^{-1}(U)) = U$. Therefore, \mathcal{B} is a countable basis of \mathbb{RP}^n .

Exercise 1.7. Show that \mathbb{RP}^n is compact.

Proof. $\pi(S^n) = \mathbb{RP}^n$ and S^n is compact because it is a closed, bounded subset of \mathbb{R}^{n+1} . (Heine-Borel) Moreover, the image of a compact set under a continuous map is compact. (See A.45(a)) Thus \mathbb{RP}^n is compact.

Exercise 1.14. Suppose \mathcal{X} is a locally finite collection of subsets of a topological space M.

- (a) The collection $\{\overline{X}: X \in \mathcal{X}\}$ is also locally finite.
- (b) $\overline{\bigcup_{X \in \mathcal{X}} X} = \bigcup_{X \in \mathcal{X}} \overline{X}$.

Proof.

- (a) Let $p \in M$. Then there exists an open set U containing x such that there are only finitely many $X \in \mathcal{X}$ such that $U \cap X \neq \emptyset$. Let $X \in \mathcal{X}$.
 - If $U \cap X \neq \emptyset$, then $U \cap \overline{X} \supset U \cap X \neq \emptyset$.
 - If $U \cap X = \emptyset$, then U^c is closed, so $\overline{X} \subset U^c$. In other words, $U \cap \overline{X} = \emptyset$.

This shows that the number of $X \in \mathcal{X}$ that intersects U and the number of $\overline{X} \in \mathcal{X}$ that intersects U are the same. Therefore, $\{\overline{X}: X \in \mathcal{X}\}$ is also locally finite.

(b) Since the closure of a set is defined to be the intersection of all closed sets containing it, $\bigcup_{X \in \mathcal{X}} \overline{X} \subset \overline{\bigcup_{X \in \mathcal{X}} X}$. Let $x \notin \bigcup_{X \in \mathcal{X}} \overline{X}$. Then there exists a neighborhood U of x such that U intersects only finitely many $X \in \mathcal{X}$. Let X_1, \dots, X_n denote them. By the same argument as part (a), $\overline{X_1}, \dots, \overline{X_n}$ are the only elements in $\{\overline{X} \mid X \in \mathcal{X}\}$ that U intersects. Since $x \notin \overline{X_i}$ for each $i = 1, \dots, n$, $U^c \cup \overline{X_1} \cup \dots \cup \overline{X_n}$ is a closed set which contains all $X \in \mathcal{X}$ but does not contain x. In other words, $x \notin \overline{\bigcup_{X \in \mathcal{X}} X}$.

Exercise 1.18. Let M be a topological manifold. Two smooth at lases for M determine the same smooth structure if and only if their union is a smooth at las.

Proof. Let $\mathcal{A}, \mathcal{A}'$ be two smooth atlases.

Suppose that they determine the same smooth structure \mathcal{B} . Then $\mathcal{A} \cup \mathcal{A}' \subset \mathcal{B}$, so $\mathcal{A} \cup \mathcal{A}'$ must be a smooth atlas. By Proposition 1.17(a), $\mathcal{A} \cup \mathcal{A}'$ determines a unique smooth structure, but it must be \mathcal{B} because \mathcal{B} contains the union.

On the other hand, suppose that their union is a smooth atlas. Let \mathcal{B} be the smooth structure that the union determines. Such \mathcal{B} must exist by Proposition 1.17(a). By the same proposition, $\mathcal{A}, \mathcal{A}'$ must determine the unique smooth structures. However, they must be \mathcal{B} because \mathcal{B} contains both \mathcal{A} and \mathcal{A}' .

Exercise 1.20. Every smooth manifold has a countable basis of regular coordinate balls.

Proof. Let M be an n-dimensional smooth manifold. We consider the special case that there exists a single chart (ϕ, U) with U = M. Let $x \in \hat{U}$ with rational coordinates. Then there exists s > 0 such that $B(x,s) \subset \hat{U}$. For each rational number $r \in (0,s)$, we consider the chart $(p \mapsto \phi(p) - x, \phi^{-1}(B(x,r)))$.

Let \mathcal{B} be the collection of all such charts for each $x \in \hat{U}$ and r. We claim that \mathcal{B} is a smooth atlas.

- Let $p \in M$. Then $\phi(p) \in \hat{U}$. Since \hat{U} is open, $\phi(p) \in B(x,r) \subset \hat{U}$ for some x with rational coordinates and a positive rational number r. Then $p \in \phi^{-1}(B(x,r))$, so the union of coordinate domains covers M. In other words, \mathcal{B} is an atlas.
- Let $(p \mapsto \phi(p) x, \phi^{-1}(B(x,r))), (p \mapsto \phi(p) x', \phi^{-1}(B(x',r'))) \in \mathcal{B}$ be given. Suppose $\phi^{-1}(B(x,r)) \cap \phi^{-1}(B(x',r')) \neq \emptyset$. Let ψ, ψ' denote the coordinate maps. Then $\psi' \circ \psi^{-1}$ is a composition of ϕ, ϕ^{-1} and translation maps, so it is smooth.

Therefore, \mathcal{B} is a smooth atlas.

Since \mathcal{B} is a smooth atlas, there exists a smooth structure \mathcal{A} on M containing \mathcal{B} by Proposition 1.17(a). We claim that \mathcal{B} , a subset of the smooth structure \mathcal{A} , is a countable basis of regular coordinate balls.

- \mathcal{B} is a countable collection because $x \in \mathbb{Q}^n$ and $r \in \mathbb{Q}$.
- Let $(p \mapsto \phi(p) x, \phi^{-1}(B(x, r))) \in \mathcal{B}$ be given. Then there exists a chart $(p \mapsto \phi(p) x, \phi^{-1}(B(x, r')))$ in \mathcal{B} with r' > r. Let $B = \phi^{-1}(B(x, r)), B' = \phi^{-1}(B(x, r'))$. Let ψ denote the map $p \mapsto \phi(p) x$.

Then $\psi(B) = B(0,r)$ and $\psi(B') = B(0,r')$, respectively. Moreover, $\psi(\overline{B}) = \overline{B(0,r)}$ because ψ is a homeomorphism.

Work on the case when there is no chart that covers the entire manifold.

Exercise 1.39. Let M be a topological n-manifold with boundary.

- (a) Int M is an open subset of M and a topological n-manifold without boundary.
- (b) ∂M is a closed subset of M and a topological (n-1)-manifold without boundary.
- (c) M is a topological manifold if and only if $\partial M = \emptyset$.
- (d) If n = 0, then $\partial M = \emptyset$ and M is a 0-manifold.

Proof.

- (a) Let $x \in \text{Int } M$. Let (ϕ, U) be an interior chart for x. Then $x \in U \subset \text{Int } M$ because every point in U is in an interior chart (ϕ, U) . A subspace of M must be Hausdorff and second-countable by Proposition A.17(g, i), so Int M is a second-countable, Hausdorff space in which every point has a neighborhood homeomorphic to an open subset in \mathbb{R}^n . Thus Int M is an n-manifold without boundary.
- (b) Since $\partial M = M \setminus \text{Int } M$ and Int M is open in M, ∂M is closed in M. Let $x \in \partial M$. Let (ϕ, U) be a boundary chart of x. If a point $y \in U$ gets mapped into $\text{Int } \mathbb{H}^n$, then it is certainly an interior point. Thus $\phi(U \cap \partial M) \subset \partial \mathbb{H}^n$. Then $\pi_{n-1} \circ \phi$ is a homeomorphism that maps $U \cap \partial M$ into an open subset of \mathbb{R}^{n-1} where $\pi_{n-1} : (x_1, \dots, x_n) \mapsto (x_1, \dots, x_{n-1})$.
- (c) If ∂M is empty, then M = Int M, so (a) implies that M is an n-dimensional manifold. If M is a topological manifold, every point is an interior point. Since a point cannot be both an interior point and a boundary point, ∂M is empty.
- (d) If n = 0, then $\partial \mathbb{H}^0 = \emptyset$. Thus, the condition that $\phi(U) \cap \partial \mathbb{H}^n \neq \emptyset$ can never be satisfied, so there cannot be any boundary point.

Exercise 1.41. Let M be a topological manifold with boundary.

- (a) M has a countable basis of precompact coordinate balls and half-balls.
- (b) M is locally compact.
- (c) M is paracompact.
- (d) M is locally path-connected.
- (e) M has countably many components, each of which is an open subset of M and a connected topological manifold with boundary.
- (f) The fundamental group of M is countable.

Proof.

- (a)
- (b)
- (c)
- (d) Let $U \subset M$ be a nonempty open subset and choose $x \in U$. Then there exists a chart (V, ϕ) such that $x \in V$. Since $\phi(x)$ is a point in an open set $\phi(U \cap V)$, there exists r > 0 such that $B(\phi(x), r) \subset \phi(V)$. Then $N(x, U) = \phi^{-1}(B(\phi(x), r))$ is a path-connected neighborhood of x that is contained in $U \cap V \subset U$. Therefore, $\{N(x, U) \mid \text{open } U \subset M, x \in U\}$ forms a basis of M consisting of path-connected sets.
- (e)
- (f)

Exercise 1.44. Suppose M is a smooth n-manifold with boundary and U is an open subset of M. Prove the following statements:

(a) U is a topological n-manifold with boundary, and the atlas consisting of all smooth charts (V, ϕ) for M such that $V \subset U$ defines a smooth structure on U. With this topology and smooth structure, U is called an **open submanifold with boundary**.

- (b) If $U \subset \text{Int } M$, then U is actually a smooth manifold (without boundary); in this case we call it an *open submanifold of M*.
- (c) Int M is an open submanifold of M (without boundary).

Proof. Let \mathcal{T} denote the topology of M and \mathcal{A} denote the smooth structure of M.

- (a) The subspace topology on U is equivalent to $\mathcal{T}_U = \{V \in \mathcal{T} \mid V \subset U\}$ because U is open. By Proposition A.17(A.18(Proof of Proposition A.17)), U is Hausdorff and second-countable. For every point $p \in U$, there exists a $V \in \mathcal{T}$ with a homeomorphism $\phi : V \to \hat{V}$ where \hat{V} is an open subset of \mathbb{R}^n (or \mathbb{H}^n) Since $U \cap V$ is an open subset of V, ϕ restricted to $U \cap V$ is a homeomorphism between $U \cap V$ and $\phi(U \cap V)$, which is an open subset of \mathbb{R}^n (or \mathbb{H}^n). Therefore, U is a topological n-manifold with boundary.
 - Let $A_U = \{(\phi, V) \in A \mid V \subset U\}$. Then A_U is clearly a collection of charts on U whose union covers U. Moreover, any two charts in A_U are clearly smoothly compatible. Let (ϕ, V) be a chart on U that is smoothly compatible with every chart in A_U . Let $(\psi, W) \in A$. Then $(\psi_{W \cap U}, W \cap U)$ is a chart on M and it must be smoothly compatible with every chart in A. Therefore, $(\psi_{W \cap U}, W \cap U) \in A$, so it must belong to A_U . This implies that (ϕ, V) and $(\psi_{W \cap U}, W \cap U)$ are smoothly compatible. Since $V \subset W \cap U$, this implies that (ϕ, V) and (ψ, W) are smoothly compatible.

Thus (ϕ, V) is smoothly compatible with every chart in \mathcal{A} , so $(\phi, V) \in \mathcal{A}$. This implies that (ϕ, V) is in \mathcal{A}_U , so \mathcal{A}_U is indeed a maximal smooth atlas.

- (b) Let $p \in U$. Then $p \in \text{Int } M$, so there exists $(\phi, V) \in \mathcal{A}$ such that $p \in V$ and $\phi(V)$ is open in \mathbb{R}^n . Then $(\phi|_{V\cap U}, V\cap U)$ is a chart that is smoothly compatible with every chart in \mathcal{A} , so $(\phi|_{V\cap U}, V\cap U) \in \mathcal{A}$. Thus it must be in \mathcal{A}_U , so $p \in U$ is an interior point of U. Therefore, U is a manifold without boundary.
- (c) By 1.39, Int M is an open subset of M. By (b), Int M is an open submanifold of M without boundary.

1.2. Problems.

Problem 1-2. Show that a disjoint union of uncountably many copies of \mathbb{R} is locally Euclidean and Hausdorff, but not second-countable.

Proof. Let I denote an uncountable index set and $X = \coprod_{\alpha \in I} \mathbb{R}$. Let $(x, \alpha_0) \in X$. Define $U = \coprod_{\alpha \in I} U_{\alpha}$ where $U_{\alpha_0} = \mathbb{R}$ and $U_{\alpha} = \emptyset$ when $\alpha \neq \alpha_0$. Then U is an open neighborhood of (x, α_0) that is clearly homeomorphic to \mathbb{R} . Thus X is locally Euclidean.

Let $(x_1, \alpha_1) \neq (x_2, \alpha_2) \in X$. If $\alpha_1 \neq \alpha_2$, then open neighborhoods of x_1 and x_2 formed in the same way as above separate the two points. Suppose $\alpha_1 = \alpha_2$. Without loss of generality, $x_1 < x_2$. Define $U = \coprod_{\alpha \in I} U_{\alpha}$ where $U_{\alpha_1} = (-\infty, (x_1 + x_2)/2)$ and $U_{\alpha} = \emptyset$ when $\alpha \neq \alpha_1$. Similarly, define $V = \coprod_{\alpha \in I} U_{\alpha}$ where $U_{\alpha_1} = ((x_1 + x_2)/2, \infty)$ and $U_{\alpha} = \emptyset$ when $\alpha \neq \alpha_2$. Then such U and V separate the two points. Therefore, X is Hausdorff.

Let \mathcal{B} be a basis of X. For each $\alpha_0 \in I$, let $U_{\alpha_0} = \coprod_{\alpha \in I} U_{\alpha}$ where $U_{\alpha_0} = \mathbb{R}$ and $U_{\alpha} = \emptyset$ when $\alpha \neq \alpha_0$. Then for each α_0 , there must exist $B_{\alpha_0} \in \mathcal{B}$ such that $(0, \alpha_0) \in B_{\alpha_0} \subset U_{\alpha_0}$. Clearly, $B_{\alpha} \neq B_{\beta}$ if $\alpha \neq \beta$. Therefore, the cardinality of \mathcal{B} is greater than or equal to that of I. Hence, X is not second-countable. \square

2. Chapter 2: Smooth Maps

2.1. Exercises.

Exercise 2.1. Let M be a smooth manifold with or without boundary. Show that pointwise multiplication turns $C^{\infty}(M)$ into a commutative ring and a commutative and associative algebra over \mathbb{R} .

Proof.

- The constant map f(p) = 0 is clearly in $C^{\infty}(M)$ and it is the additive identity.
- The constant map f(p) = 1 is clearly in $C^{\infty}(M)$ and it is the multiplicative identity.
- Let $f \in C^{\infty}(M)$, $g \in C^{\infty}(M)$. Let $p \in M$ and (ϕ, U) be a smooth chart for p. Then $f \circ \phi^{-1}$ and $g \circ \phi^{-1}$ are both smooth(Exercise 2.3), real-valued maps defined on an open subset of \mathbb{R}^n . Thus f + g is in $C^{\infty}(M)$ Moreover, f + g = g + f because addition in \mathbb{R} is commutative.

- Let $f, g, h \in C^{\infty}(M)$. Let $p \in M$ and (ϕ, U) be a smooth chart for p. Then $f \circ \phi^{-1}$ and $g \circ \phi^{-1}$ are both smooth(Exercise 2.3), real-valued maps defined on an open subset of \mathbb{R}^n . Therefore, fg is in $C^{\infty}(M)$ Moreover, fg = gf and (fg)h = f(gh) because multiplication in \mathbb{R} is commutative and associative.
- Let $c \in \mathbb{R}$, $f \in C^{\infty}(M)$. Then cf can be seen as fg where g is the constant function whose value is c. As shown above, $cf \in C^{\infty}(M)$.

Exercise 2.2. Let U be an open submanifold of \mathbb{R}^n with its standard smooth manifold structure. Show that a function $f: U \to \mathbb{R}^k$ is smooth in the sense just defined if and only if it is smooth in the sense of ordinary calculus. Do the same for an open submanifold with boundary in \mathbb{H}^n .

Proof. f is smooth in the sense just defined if and only if $f \circ \operatorname{Id}^{-1}$ is smooth in the sense of ordinary calculus. Since $f \circ \operatorname{Id}^{-1} = f$, $f \circ \operatorname{Id}^{-1}$ is smooth in the sense of ordinary calculus if and only if f is smooth in the sense of ordinary calculus.

Exercise 2.3. Let M be a smooth manifold with or without boundary, and suppose $f: M \to \mathbb{R}^k$ is a smooth function. Show that $f \circ \phi^{-1}: \phi(U) \to \mathbb{R}^k$ is smooth for every smooth chart (U, ϕ) for M.

Proof. Let $\phi(x) \in \phi(U)$. Since f is smooth, there exists (V, ψ) such that $f \circ \psi^{-1} : \psi(V) \to \mathbb{R}^k$ is smooth and $x \in V$. Let $W = U \cap V$. Then $f \circ \psi^{-1} : \psi(W) \to \mathbb{R}^k$ is smooth and $\psi \circ \phi^{-1} : \phi(W) \to \psi(W)$ is a diffeomorphism where $\phi(W)$ is a neighborhood of W. Then the restriction of $f \circ \psi^{-1}$ to $\phi(W)$ is identical to $(f \circ \psi^{-1}) \circ (\psi \circ \phi^{-1})$. Since he composition of a smooth function is smooth, $f \circ \psi^{-1}$ is smooth. \square

Exercise 2.7(Prove Proposition 2.5). Suppose M and N are smooth manifolds with or without boundary, and $F: M \to N$ is a map. Then F is smooth if and only if either of the following conditions is satisfied:

- (a) For every $p \in M$, there exist smooth charts (U, ϕ) containing p and (V, ψ) containing F(p) such that $U \cap F^{-1}(V)$ is open in M and the composite map $\psi \circ F \circ \phi^{-1}$ is smooth from $\phi(U \cap F^{-1}(V))$ to $\psi(V)$.
- (b) F is continuous and there exist smooth at lases $\{(U_{\alpha}, \phi_{\alpha})\}$ and $\{(V_{\beta}, \psi_{\beta})\}$ for M and N, respectively, such that for each α and β , $\psi_{\beta} \circ F \circ \phi_{\alpha}^{-1}$ is a smooth map from $\phi_{\alpha}(U_{\alpha} \cap F^{-1}(V_{\beta}))$ to $\psi_{\beta}(V_{\beta})$.

Proof. Let \mathcal{A}_M and \mathcal{A}_N be smooth structures of M and N. Suppose F is smooth. By Proposition 2.4, F is continuous. For every $p \in M$ there exist coordinate charts (U_p, ϕ_p) containing p and (V_p, ψ_p) containing F(p) such that $F(U_p) \subset V_p$ and $\psi_p \circ F_p \circ \phi_p^{-1}$ is smooth from $\phi_p(U_p)$ to $\psi_p(V_p)$. Then $\{(U_p, \phi_p) \mid p \in M\} \subset \mathcal{A}_M$ and $A_n\{(V_p, \psi_p) \mid p \in M\} \subset \mathcal{A}_N$ are smooth at lases. Moreover, for every (U_p, ϕ_p) and (V_q, ψ_q) , $\psi_q \circ F \circ \phi_p^{-1}$ is a smooth map from $\phi_p(U_p \cap F^{-1}(V_q))$ to $\psi_q(V_q)$ because $\psi_q \circ F \circ \phi_p^{-1} = (\psi_q \circ \psi_p^{-1}) \circ (\psi_p \circ F \circ \phi_p^{-1})$ where $\psi_q \circ \psi_q^{-1}$ and $\psi_p \circ F \circ \phi_p^{-1}$ are smooth. Therefore, the definition implies (b).

(b) implies (a) because if F is continuous, $F^{-1}(V_{\beta})$ is open in M for every β , so $U \cap F^{-1}(V)$ is open in M.

Finally, we show that (a) implies the definition. Suppose F satisfies (a). Let $p \in M$. Let $(U, \phi) \in \mathcal{A}_M$ and $(V, \psi) \in \mathcal{A}_N$ be smooth charts satisfying the properties described in (a). Let $U' = U \cap F^{-1}(V)$ and consider $(U', \phi|_{U'})$. Then $(U', \phi|_{U'}) \in \mathcal{A}_M$ because it must be smoothly compatible with any other smooth coordinate chart in \mathcal{A}_M . Moreover, $F(U') \subset V$ and $\psi \circ F \circ (\phi|_{U'})^{-1} : \phi(U') \to \psi(V)$ is smooth. Therefore, (a) implies the definition.

Hence, (a), (b) and the definition are all equivalent.

Exercise 2.7(Proof of Proposition 2.6). Let M and N be smooth manifolds with or without boundary, and let $F: M \to N$ be a map.

- (a) If every point $p \in M$ has a neighborhood U such that the restriction $F|_U$ is smooth, then F is smooth.
- (b) Conversely, if F is smooth, then its restriction to every open subset is smooth.

Proof. Let A_M , A_N be smooth structures of M, N, respectively.

- (a) Let $p \in M$. Let U be a neighborhood of p such that $F|_U$ is smooth. By 1.44, U is a smooth manifold with the induced smooth structure $A_U = \{(V, \phi) \in A_M \mid V \subset U\}$. Since $F|_U$ is smooth, there exist $(V,\phi) \in \mathcal{A}_U$ and $(W,\psi) \in \mathcal{A}_N$ such that:
 - $F|_U(V) \subset W$.
 - $\psi \circ F|_U \circ \phi^{-1} : \phi(V) \to \psi(W)$ is smooth.

Since $V \subset U$, $F(V) \subset W$, $\psi \circ F \circ \phi^{-1} : \phi(V) \to \psi(W)$ is smooth, and $(V, \phi) \in \mathcal{A}$. Therefore, F is smooth.

(b) Let $U \subset M$ be an open subset. By 1.44, U is a smooth manifold with the induced smooth structure $\mathcal{A}_U = \{(V, \phi) \in \mathcal{A}_M \mid V \subset U\}.$ Let $p \in U$. Then $p \in F$, so there exist $(V, \phi) \in \mathcal{A}_M, (W, \psi) \in \mathcal{A}_N$ such that $F(V) \subset W$ and $\psi \circ F \circ \phi^{-1} : \phi(V) \to \psi(W)$ is smooth. Then $(V \cap U, \phi|_{V \cap U})$ is a chart that is smoothly compatible with every chart in \mathcal{A}_M . Therefore, $(V \cap U, \phi|_{V \cap U}) \in \mathcal{A}_M$. Moreover, $\phi|_{V\cap U}(V\cap U)\subset\phi(V)\subset W$ and $\psi\circ F\circ(\phi|_{V\cap U}(V\cap))^{-1}$ is clearly smooth. Therefore, $F|_U$ is smooth.

Exercise 2.9. Suppose $F: M \to N$ is a smooth map between smooth manifolds with or without boundary. Show that the coordinate representation of F with respect to every pair of smooth charts for M and N is smooth.

Proof. Let (M, \mathcal{A}_M) , (N, \mathcal{A}_N) be smooth manifolds with or without boundary. Let $F: M \to N$ be a smooth map. Let $(U,\phi) \in \mathcal{A}_M, (V,\psi) \in \mathcal{A}_N$ be given. We must show that $\hat{F} = \psi \circ F \circ \phi^{-1}$ is a smooth function from $\phi(U \cap F^{-1}(V))$ to $\psi(V)$. Let $\phi(p) \in \phi(U \cap F^{-1}(V))$. Then $p \in M$, so there exist $(U_0, \phi_0) \in \mathcal{A}_M$ and $(V_0, \psi_0) \in \mathcal{A}_N$ such that

- $p \in U_0 \subset U \cap F^{-1}(V)$;
- $\phi_0(U_0) \subset V_0$; $\psi_0 \circ F \circ \phi_0^{-1} : \phi_0(U_0) \to \psi(V_0)$ is smooth.

Then $\psi \circ F \circ \phi^{-1}|_{\phi(U_0)} = (\psi \circ \psi_0^{-1}) \circ (\psi_0 \circ F \circ \phi_0^{-1}) \circ (\phi_0 \circ \phi)$. Since the composition of smooth functions in Euclidean spaces is smooth, \hat{F} is smooth.

Exercise 2.11(Proof of Proposition 2.10). Let M, N and P be smooth manifolds with or without boundary.

- (a) Every constant map $c: M \to N$ is smooth.
- (b) The identity map of M is smooth.
- (c) If $U \subset M$ is an open submanifold with or without boundary, then the inclusion map $U \to M$ is

Proof. Let A_M, A_N, A_P be smooth structures of M, N, P, respectively.

- (a) F is clearly continuous. Moreover, for every $(U_{\alpha}, \phi_{\alpha}) \in \mathcal{A}_{M}, (V_{\beta}, \psi_{\beta}) \in \mathcal{A}_{N}, \psi_{\beta} \circ F \circ \phi_{\alpha}^{-1}$ is a constant map, so it is smooth. By (2.7(Prove Proposition 2.5)), F is smooth.
- (b) Let $p \in M$. Choose $(U, \phi) \in \mathcal{A}_M$ such that $p \in U$. Then $F(U) \subset U$ and $\phi \circ F \circ \phi^{-1} = \mathrm{Id}_U$, so it is smooth. Therefore, F is smooth.
- (c) By 1.44, $A_U = \{(V, \phi) \mid V \subset U\}$ is a smooth structure of U. Let $p \in U$. Then $p \in V$ for some $(V,\phi) \in \mathcal{A}_U$. Then $(V,\phi) \in \mathcal{A}_M$, trivially. Since $F(V) \subset V$ and $\phi \circ F \circ \phi^{-1}$ is simply the identity map on V, F is smooth.

Exercise 2.16(Proof of Proposition 2.15).

- (a) Every composition of diffeomorphisms is a diffeomorphism.
- (b) Every finite product of diffeomorphisms between smooth manifolds is a diffeomorphism.
- (c) Every diffeomorphism is a homeomorphism and an open map.
- (d) The restriction of a diffeomorphism to an open submanifold with or without boundary is a diffeomorphism onto its image.
- (e) "Diffeomorphic" is an equivalence relation on the class of all smooth manifolds with or without boundary.

Exercise 2.16(Proof of Proposition 2.15). Let $(M, \mathcal{A}_M), (N, \mathcal{A}_N), (P, \mathcal{A}_P)$ be smooth manifolds with or without boundary, and let $F: M \to N, G: N \to P$ be diffeomorphisms.

- (a) By Proposition 2.10(d), $G \circ F$ and $F^{-1} \circ G^{-1}$ are smooth. Then $(G \circ F) \circ (F^{-1} \circ G^{-1})$ and $(F^{-1} \circ G^{-1}) \circ (G \circ F)$ are both the identity map on the corresponding space, so $F^{-1} \circ G^{-1}$ is the smooth inverse of $G \circ F$. Therefore, $G \circ F$ is a diffeomorphism.
- (b) Read Example 1.34(Smooth Product Manifolds)!
- (c) Proposition 2.4 states that every smooth map is continuous. Thus F and F^{-1} are both continuous. Therefore, F is a homeomorphism and also an open map.
- (d) Let $U \subset M$ be an open subset. By (2.7(Proof of Proposition 2.6)), $F|_U$ is smooth. Since F is a homeomorphism as shown in (c), F(U) is an open subset of N. Therefore, $F^{-1}|_{F(U)}$ is smooth by (2.7(Proof of Proposition 2.6)). Clearly, $F|_U$ and $F^{-1}|_{F(U)}$ are the inverse of each other. Therefore, $F|_U$ is a diffeomorphism.
- (e) By (2.11(Proof of Proposition 2.10)), the identity map on M is a diffeomorphism, so the reflexive property is satisfied. Moreover, $(F^{-1})^{-1} = F$, so the symmetric property is satisfied. By (a), the composition of two diffeomorphisms is a diffeomorphism, so the transitive property is satisfied. Therefore, "diffeomorphic" is an equivalence relation.

Exercise 2.19(Proof of Theorem 2.18). Suppose M and N are smooth manifolds with boundary and $F: M \to N$ is a diffeomorphism. Then $F(\partial M) = \partial N$, and F restricts to a diffeomorphism from $\operatorname{Int} M$ to $\operatorname{Int} N$.

Proof. Let \mathcal{A}_M , \mathcal{A}_N denote the smooth structures of M, N, respectively. Let $p \in \partial M$. Then there exists a chart containing p that sends p to $\partial \mathbb{H}^n$. By Theorem 1.46, every chart containing p sends p to $\partial \mathbb{H}^n$.

Since F is smooth, there exist $(U, \phi) \in \mathcal{A}_M, (V, \psi) \in \mathcal{A}_N$ such that $F(U) \subset V$ and $\psi \circ F \circ \phi^{-1}$ is a smooth map from $\phi(U)$ to $\psi(V)$. F^{-1} is a homeomorphism by (2.16(Proof of Proposition 2.15)). Then $(\phi^{-1} \circ F^{-1}, F(U))$ is a coordinate chart around F(p) because we obtain a homeomorphism by restricting the composition of two injective continuous maps to its image. Moreover, we claim that $(\phi^{-1} \circ F^{-1}, F(U))$ is smoothly compatible with every chart in \mathcal{A}_N . Let $(\psi_1, V_1) \in \mathcal{A}_N$ be given. Then $(\phi^{-1} \circ F^{-1}) \circ \psi_1^{-1} = (\phi^{-1} \circ F^{-1}) \circ \psi_1^{-1}$, and the composition of two smooth maps is smooth. Therefore, $(\phi^{-1} \circ F^{-1}, F(U)) \in \mathcal{A}_N$, and that contains F(p) and sends F(p) to $\partial \mathbb{H}^n$. In other words, $F(p) \in \partial N$.

Since F^{-1} is also smooth, $F^{-1}(\partial N) \subset \partial M$. $F^{-1}(\partial N) \subset \partial M \implies F(F^{-1}(\partial N)) \subset F(\partial M) \subset \partial N$. Since F is a bijection, $F(F^{-1}(\partial N)) = \partial N$. Therefore, $F(\partial M) = \partial N$.

This implies that $F(\operatorname{Int} M) = \operatorname{Int} N$. By (1.44(c)) and $(2.16(\operatorname{Proof of Proposition 2.15})(d))$, F is a diffeomorphism between $\operatorname{Int} M$ and $\operatorname{Int} N$.

2.2. Problems.

Problem 2-1. Define $f: \mathbb{R} \to \mathbb{R}$ by

$$f(x) = \begin{cases} 1, & x \ge 0, \\ 0, & x < 0. \end{cases}$$

Show that for every $x \in \mathbb{R}$, there are smooth coordinate charts (U, ϕ) containing x and (V, ψ) containing f(x) such that $\psi \circ f \circ \phi^{-1}$ is smooth as a map from $\phi(U \cap f^{-1}(V))$ to $\psi(V)$, but f is not smooth in the sense we defined in this chapter.

Proof. $\phi = \psi = \text{Id}$ in this solution.

If $x \ge 0$, then let $U = \mathbb{R}, V = (0, \infty)$. Then $\phi(U \cap f^{-1}(V)) = [0, \infty)$. Thus $\psi \circ f \circ \phi^{-1} : [0, \infty) \to (0, \infty)$ is the constant map that sends every number to 1. Therefore, it is smooth.

If x < 0, then let $U = \mathbb{R}$, $V = (-\infty, 1)$. Then $\phi(U \cap f^{-1}(V)) = (-\infty, 0)$. Thus $\psi \circ f \circ \phi^{-1} : (-\infty, 0) \to (-\infty, 1)$ is the constant map that sends every number to 0. Therefore, it is smooth.

It might seem that we can apply (2.7(Prove Proposition 2.5)) to show that f is smooth, but (2.7(Prove Proposition 2.5)) requires that $U \cap f^{-1}(V)$ be open in M.

f maps the interval (-1,1) to $\{0,1\}$. Since the image of a connected set under a continuous map must be connected, f cannot be continuous. By Proposition 2.4, f cannot be smooth.

3. Chapter 3: Tangent Vectors

Exercise 3.5(Proof of Lemma 3.4). Suppose M is a smooth manifold with or without boundary, $p \in M, v \in T_pM$, and $f, g \in C^{\infty}(M)$.

- (a) If f is a constant function, then vf = 0.
- (b) If f(p) = g(p) = 0, then v(fg) = 0.

Proof.

- (a) Let h be the constant function that always takes the value 1. Then f(p) = ch(p) for some $c \in \mathbb{R}$. Then v(ff) = f(p)vf + f(p)vf, so $c^2v(h) = c^2v(h) + c^2v(h)$. Therefore, $c^2v(h) = 0$, so cv(h) = 0. Since v is linear, this implies 0 = v(ch) = v(f), so v(f) = 0.
- (b) v(fg) = f(p)vg + g(p)vf = 0 + 0 = 0.

Exercise 3.7(Proof of Proposition 3.6). Let M, N, and P be smooth manifolds with or without boundary, let $F: M \to N$ and $G: N \to P$ be smooth maps, and let $p \in M$.

- (a) $dF_p: T_pM \to T_{F(p)}N$ is linear.
- (b) $d(G \circ F)_p = dG_{F(p)} \circ dF_p : T_pM \to T_{G \circ F(p)}P.$
- (c) $d(\operatorname{Id}_M)_p = \operatorname{Id}_{T_pM} : T_pM \to T_pM$.
- (d) If F is a diffeomorphism, then $dF_p: T_pM \to T_{F(p)}N$ is an isomorphism, and $(dF_p)^{-1} = d(F^{-1})_{F(p)}$.

Proof. (a) $\forall v, w \in T_p M, \forall c \in \mathbb{R}, \forall f \in C^{\infty}(N),$

$$\begin{split} dF_p(cv+w)(f) &= (cv+w)(f\circ F) \\ &= (cv)(f\circ F) + w(f\circ F) \\ &= c(v(f\circ F)) + w(f\circ F) \\ &= c(dF_p(v)(f)) + dF_p(w)(f) \\ &= (cdF_p(v))(f) + dF_p(w)(f) \\ &= (cdF_p(v) + dF_p(w))(f). \end{split}$$

Therefore, $dF_p(cv + w) = cdF_p(v) + dF_p(w)$.

(b) $\forall v \in T_p M, f \in C^{\infty}(P),$

$$d(G \circ F)_p(v)(f) = v(f \circ (G \circ F))$$

$$= v((f \circ G) \circ F)$$

$$= (dF_p(v))(f \circ G)$$

$$= (dG_{F(p)}(dF_p(v)))(f)$$

$$= ((dG_{F(p)} \circ dF_p)(v))(f)$$

Therefore, $d(G \circ F)_p = dG_{F(p)} \circ dF_p$.

(c) $\forall v \in T_p(M), \forall f \in C^{\infty}(M),$

$$d(\mathrm{Id}_M)_p(v)(f) = v(f \circ \mathrm{Id}_M)$$
$$= v(f).$$

Therefore, $d(\mathrm{Id}_M)_p(v) = v$, so $d(\mathrm{Id}_M)_p = \mathrm{Id}_{T_pM}$.

(d) F^{-1} exists and it is a smooth map since F is a diffeomorphism. By combining (b) and (c), we obtain dF_p and $dF_{F(p)}^{-1}$ are the inverse of each other. Therefore, dF_p is an isomorphism.

4. Appendix A: Review of Topology

Exercise A.18(Proof of Proposition A.17). Let X be a topological space and let S be a subspace of X.

- (a)
- (b)
- (c)
- (d)
- (e)
- (f) If \mathcal{B} is a basis for the topology of X, then $\mathcal{B}_S = \{B \cap S \mid B \in \mathcal{B}\}$ is a basis for the subspace topology on S.
- (g) If X is Hausdorff, then so is S.
- (h) If X is first-countable, then so is S.
- (i) If X is second-countable, then so is S.

Proof.

- (a)
- (b)
- (c)
- (d)
- (e)
- (f) The union of $B \cap S$ is S. Let $U \cap S$ be an open subset of S where U is open in X, and $x \in U \cap S$. Then there exists $B \in \mathcal{B}$ such that $x \in B \subset U$ since \mathcal{B} is a basis. Therefore, $x \in B \cap S \subset U \cap S$ with $B \cap S \in \mathcal{B}_S$.
- (g) Let $x \neq y \in S$. There exist two disjoint open sets U, V of X containing x, y, respectively. Then $U \cap S$ and $V \cap S$ are disjoint open sets of X containing x, y, respectively.
- (h)
- (i) Let \mathcal{B} be a countable basis of X. Then $\{B \cap S \mid B \in \mathcal{B}\}$ is a countable basis of S by (f).

Exercise A.24(Proof of Proposition A.23). Suppose X_1, \dots, X_k are topological spaces, and let $X_1 \times \dots \times X_k$ be their product space.

(a) CHARACTERISTIC PROPERTY: If B is a topological space, a map $F: B \to X_1 \times \cdots \times X_k$ is continuous if and only if each of its component functions $F_i = \pi_i \circ F: B \to X_i$ is continuous.

Proof.

(a) Suppose F is continuous. Since π_i is continuous by (c) and the composition of continuous functions is continuous, $\pi_1 \circ F$ is continuous. Suppose each component function is continuous. Let $B_1 \times \cdots \times B_k$ be a basis element of $X_1 \times \cdots \times X_k$.

$$F^{-1}(B_1 \times \dots \times B_k) = F^{-1}(\bigcap_{i=1}^k \pi_i^{-1}(B_1 \times \dots \times B_k))$$

= $\bigcap_{i=1}^k F^{-1}(\pi_i^{-1}(B_1 \times \dots \times B_k))$
= $\bigcap_{i=1}^k (\pi_i \circ F)^{-1}(B_1 \times \dots \times B_k).$

Since the intersection of finitely many open sets is open, F is continuous.

5. Appendix C: Review of Calculus

Exercise C.1. Suppose that $F: U \to W$ is differentiable at $a \in U$. Show that the linear map satisfying

$$\lim_{v \to 0} \frac{|F(a+v) - F(a) - Lv|}{|v|} = 0$$

is unique.

Proof. Let L, L' be two such linear maps.

$$\lim_{v \to 0} \frac{|Lv - L'v|}{|v|} = \lim_{v \to 0} \frac{|(F(a+v) - F(a) - L'v) - (F(a+v) - F(a) - Lv)|}{|v|}$$

$$= \lim_{v \to 0} \frac{|F(a+v) - F(a) - Lv|}{|v|} + \lim_{v \to 0} \frac{|F(a+v) - F(a) - L'v|}{|v|}$$

$$= 0 + 0 = 0.$$

If $L \neq L'$, $(L - L')v_0 \neq 0$ for some v_0 . Then $\lim_{v \to 0} \frac{\left|Lv - L'v\right|}{|v|} = \lim_{h \to 0} \frac{\left|L(hv_0) - L'(hv_0)\right|}{|hv_0|} = \frac{\left|(L - L')v_0\right|}{|v_0|} \neq 0$. This is a contradiction, so L = L'.

6. Dictionary

6.1. Topological Manifolds.

Definition 6.1 (Topological Manifold). A topological n-manifold is a Hausdorff, second-countable topological space each point of which has a neighborhood that is homeomorphic to an open subset \mathbb{R}^n .

Definition 6.2 (Coordinates). Let M be a topological n-manifold. Let U be an open subset of M, \hat{U} be an open subset of \mathbb{R}^n , $\phi: U \to \hat{U}$ be a homeomorphism.

- The pair (U, ϕ) is called a *coordinate chart* or a *chart*.
- U is called a coordinate domain or a coordinate neighborhood and ϕ is called a coordinate map.
- If $\phi(U)$ is an open ball in \mathbb{R}^n , U is called a *coordinate ball*.
- If $\phi(U)$ is an open cube in \mathbb{R}^n , U is called a *coordinate cube*.
- The coordinate functions of ϕ are often denoted as (x^1, \dots, x^n) . Thus a chart is sometimes denoted by $(U, (x^1, \dots, x^n))$ or $(U, (x^i))$.

Definition 6.3 (Atlas). Let M be a topological n-manifold. An atlas for M is a collection of charts $(U_{\alpha}, \phi_{\alpha})$ such that $M = \bigcup_{\alpha} U_{\alpha}$.

Definition 6.4 (Transition Map). Let M be a topological n-manifold and $(U, \phi), (V, \psi)$ be coordinate charts such that $U \cap V \neq \emptyset$. $\psi \circ \phi^{-1} : \phi(U \cap V) \mapsto \psi(U \cap V)$ is called a transition map from ϕ to ψ .

Definition 6.5 (Closed Upper Half-Space). $\mathbb{H}^n = \{(x^1, \dots, x^n) \in \mathbb{R}^n \mid x^n \geq 0\}$, and $\partial \mathbb{H}^n = \{(x^1, \dots, x^n) \in \mathbb{R}^n \mid x^n = 0\}$.

Definition 6.6 (Manifold With Boundary). Let M be a second-countable Hausdorff space and fix n. Suppose that for every $p \in M$, one of the following conditions is satisfied:

- (1) There exists a neighborhood U of p and a homeomorphism $\phi: U \to \hat{U}$ where \hat{U} is an open subset of \mathbb{R}^n . p is called an *interior point* and (U, ϕ) is called an *interior chart*.
- (2) There exists a neighborhood U of p and a homeomorphism $\phi: U \to \hat{U}$ where \hat{U} is an open subset of \mathbb{H}^n with $\hat{U} \cap \partial \mathbb{H}^n \neq \emptyset$. p is called a boundary point and (U, ϕ) is called a boundary chart.

Then M is called an n-dimensional topological manifold with boundary. Note that every topological manifold is a topological manifold with boundary.

6.2. Smooth Manifolds.

Definition 6.7 (Smoothly Compatible). Let M be a topological n-manifold. Two coordinate charts $(U, \phi), (V, \psi)$ are called *smoothly compatible* if $U \cap V = \emptyset$ or the transition map $\psi \circ \phi^{-1}$ is a diffeomorphism.

Definition 6.8 (Smooth Atlas). Let M be a topological n-manifold. A smooth atlas is an atlas \mathcal{A} such that any two charts in \mathcal{A} are smoothly compatible with each other.

Definition 6.9 (Smooth Structure). If M is a topological n-manifold, an atlas \mathcal{A} that is not properly contained in any larger smooth atlas is called maximal or a smooth structure on M

Definition 6.10 (Smooth Manifold). A *smooth manifold* is a topological manifold equipped with a smooth structure.

Definition 6.11. Suppose (M, \mathcal{A}) is a smooth manifold.

- Any chart $(U, \phi) \in \mathcal{A}$ is called a *smooth chart*.
- Given a smooth chart (U,ϕ) , U is called a smooth coordinate domain and ϕ is called a smooth coordinate map.
- Given a smooth chart (U,ϕ) , U is called a *smooth coordinate ball* if it is a coordinate ball.

Remark 6.12. One must define a smooth structure on a topological manifold before talking about a smooth chart.

Definition 6.13 (Smooth Maps). Let M, N be smooth manifolds with or without boundary and $F: M \to N$ be a map. F is a smooth map if for every $p \in M$, there exist smooth charts (U, ϕ) containing p and (V, ψ) containing F(p) such that

- $\begin{array}{l} \bullet \ \ F(U) \subset V; \\ \bullet \ \ \psi \circ F \circ \phi^{-1} : \phi(U) \to \psi(V) \ \ \text{is smooth}. \end{array}$

Definition 6.14 (Coordinate Representation of a Smooth Map). Let (M, \mathcal{A}_M) and (N, \mathcal{A}_N) be smooth manifolds. Let $F: M \to N$ be a smooth map and $(U, \phi) \in \mathcal{A}_M$ and $(V, \psi) \in \mathcal{A}_N$ be given. Then $\hat{F} = \psi \circ F \circ \phi^{-1}$ is called the coordinate representation of F with respect to (U, ϕ) and (V, ψ) .

Definition 6.15 (Diffeomorphism). Let M, N be smooth manifolds with or without boundary. A diffeomorphism is a smooth map $F: M \to N$ with a smooth inverse.

6.3. Tangent Vectors.

Definition 6.16 (Derivation). Let M be a smooth manifold with or without boundary. A derivation at $p \in M$ is a linear map $v: C^{\infty}(M) \to \mathbb{R}$ such that

$$v(fg) = f(p)vg + g(p)vf$$

for all $f, g \in C^{\infty}(M)$.

Definition 6.17 (Tangent Space). The tangent space T_pM to M at p is the vector space of all derivations of $C^{\infty}(M)$ at p.

Definition 6.18 (Differential). M, N are smooth manifolds with or without boundary, and $F: M \to N$ is a smooth map. The differential of F at p is the linear map $dF_p: T_pM \to T_{F(p)}N$ defined by

$$dF_n(v) := f \mapsto v(f \circ F)$$

Equivalently, $\forall v \in T_p M, \forall f \in C^{\infty}(N), dF_p(v)(f) = v(f \circ F).$

Definition 6.19 (Coordinate Vectors). Let (M, \mathcal{A}) be a smooth manifold without boundary. Let $p \in M$ and choose a chart $(U,\phi) \in \mathcal{A}$ such that $p \in U$. Then the coordinate vectors at p, denoted by $\frac{\partial}{\partial x^i}|_p$, are derivations $C^{\infty}(U) \to \mathbb{R}$ such that

$$\frac{\partial}{\partial x^i}\Big|_p := f \mapsto \frac{\partial}{\partial x^i}\Big|_{\phi(p)} (f \circ \phi^{-1}).$$