#### INTRODUCTION TO SMOOTH MANIFOLDS

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# 1. Chapter 1: Smooth Manifolds

## 1.1. Exercises.

**Exercise 1.1.** Show that equivalent definitions of manifolds are obtained if instead of allowing U to be homeomorphic to any open subset of  $\mathbb{R}^n$ , we require it to be homeomorphic to an open ball in  $\mathbb{R}^n$ , or to  $\mathbb{R}^n$  itself.

*Proof.* It is clear that a "manifold" satisfying the open-ball or  $\mathbb{R}^n$  definition satisfies the open-subset definition. Let M be a manifold satisfying the open-subset definition. Let  $x \in M$  be given and let  $U, \hat{U}, \phi$  be given according to the definition. Since  $\hat{U}$  is open, there exists an open ball B such that  $\phi(x) \in B \subset \hat{U}$ . Restrict  $\phi$  to  $\phi^{-1}(B)$ . Then  $\phi^{-1}(B)$  is an open subset of M containing x, and  $\phi \mid_{\phi^{-1}(B)}$  is a homeomorphism between  $\phi^{-1}(B)$  and B. Thus M satisfies the open-ball definition.

 $B(x,r) \subset \mathbb{R}^n$  is homeomorphic to  $\mathbb{R}^n$  by the map  $(x_1 + a_1, \dots, x_n + a_n) \mapsto (\frac{a_1}{r - a_1}, \dots, \frac{a_n}{r - a_n})$  where  $x = (x_1, \dots, x_n)$  is the center of B(x,r) and r is the radius. Since the composition of two homeomorphisms gives a homeomorphism, M also satisfies the  $\mathbb{R}^n$  definition as well.

**Exercise 1.6.** Show that  $\mathbb{RP}^n$  is Hausdorff and second-countable, and is therefore a topological *n*-manifold.

Proof. From the definition of  $\pi$ , it is easy to see that  $\pi(B(x,r))$  is open in  $\mathbb{RP}^n$  where  $x \in S^n$  and 0 < r < 1. Let  $[x], [y] \in \mathbb{RP}^n$  be given. Without loss of generality, assume  $x, y \in S^n$ . Let  $r = \min\{|x - y|, |x + y|, 1\}/2$ . Then  $U_x = \pi(B(x,r)), U_y = \pi(B(y,r))$  contain [x], [y], respectively.  $\pi^{-1}(U_x), \pi^{-1}(U_y)$  are both open in  $\mathbb{RP}^{n+1} \setminus \{0\}$  which can be seen easily by writing down exactly which points belong to them, so  $U_x, U_y$  are both open in  $\mathbb{RP}^n$ . Then  $\pi^{-1}(U_x \cap U_y) = \pi^{-1}(U_x) \cap \pi^{-1}(U_y) = \emptyset$ , so  $U_x \cap U_y = \emptyset$ . Therefore,  $\mathbb{RP}^n$  is Hausdorff. Let  $\mathcal{B} = \{\pi(B(x, 1/k)) \mid x \in \mathbb{Q}^{n+1} \cap S^n, k \in \{2, 3, 4, \cdots\}\}$ . Then  $\mathcal{B}$  is a countable collection of open sets whose union is  $\mathbb{RP}^n$ . Let  $U \subset \mathbb{RP}^n$  be a nonempty open set. Let  $[x] \in U$ . Since  $\pi$  is a quotient map,  $\pi^{-1}(U)$  is open. Moreover,  $x \in \pi^{-1}(U)$ . Without loss of generality,  $x \in S^n$ . Then  $x \in B(x', 1/k) \subset \pi^{-1}(U)$  for some

 $B(x',1/k) \in \mathcal{B}$ . Then  $[x] = \pi(x) \in \pi(B(x',1/k)) \subset \pi(\pi^{-1}(U)) = U$ . Therefore,  $\mathcal{B}$  is a countable basis of  $\mathbb{RP}^n$ .

**Exercise 1.7.** Show that  $\mathbb{RP}^n$  is compact.

*Proof.*  $\pi(S^n) = \mathbb{RP}^n$  and  $S^n$  is compact because it is a closed, bounded subset of  $\mathbb{R}^{n+1}$ . (Heine-Borel) Moreover, the image of a compact set under a continuous map is compact. (See A.45(a)) Thus  $\mathbb{RP}^n$  is compact.

**Exercise 1.14.** Suppose  $\mathcal{X}$  is a locally finite collection of subsets of a topological space M.

- (a) The collection  $\{\overline{X}: X \in \mathcal{X}\}$  is also locally finite.
- (b)  $\overline{\bigcup_{X \in \mathcal{X}} X} = \bigcup_{X \in \mathcal{X}} \overline{X}$ .

Proof.

- (a) Let  $p \in M$ . Then there exists an open set U containing x such that there are only finitely many  $X \in \mathcal{X}$  such that  $U \cap X \neq \emptyset$ . Let  $X \in \mathcal{X}$ .
  - If  $U \cap X \neq \emptyset$ , then  $U \cap \overline{X} \supset U \cap X \neq \emptyset$ .
  - If  $U \cap X = \emptyset$ , then  $U^c$  is closed, so  $\overline{X} \subset U^c$ . In other words,  $U \cap \overline{X} = \emptyset$ .

This shows that the number of  $X \in \mathcal{X}$  that intersects U and the number of  $\overline{X} \in \mathcal{X}$  that intersects U are the same. Therefore,  $\{\overline{X}: X \in \mathcal{X}\}$  is also locally finite.

(b) Since the closure of a set is defined to be the intersection of all closed sets containing it,  $\bigcup_{X \in \mathcal{X}} \overline{X} \subset \overline{\bigcup_{X \in \mathcal{X}} X}$ . Let  $x \notin \bigcup_{X \in \mathcal{X}} \overline{X}$ . Then there exists a neighborhood U of x such that U intersects only finitely many  $X \in \mathcal{X}$ . Let  $X_1, \dots, X_n$  denote them. By the same argument as part (a),  $\overline{X_1}, \dots, \overline{X_n}$  are the only elements in  $\{\overline{X} \mid X \in \mathcal{X}\}$  that U intersects. Since  $x \notin \overline{X_i}$  for each  $i = 1, \dots, n$ ,  $U^c \cup \overline{X_1} \cup \dots \cup \overline{X_n}$  is a closed set which contains all  $X \in \mathcal{X}$  but does not contain x. In other words,  $x \notin \overline{\bigcup_{X \in \mathcal{X}} X}$ .

**Exercise 1.18.** Let M be a topological manifold. Two smooth at lases for M determine the same smooth structure if and only if their union is a smooth at las.

*Proof.* Let  $\mathcal{A}, \mathcal{A}'$  be two smooth atlases.

Suppose that they determine the same smooth structure  $\mathcal{B}$ . Then  $\mathcal{A} \cup \mathcal{A}' \subset \mathcal{B}$ , so  $\mathcal{A} \cup \mathcal{A}'$  must be a smooth atlas. By Proposition 1.17(a),  $\mathcal{A} \cup \mathcal{A}'$  determines a unique smooth structure, but it must be  $\mathcal{B}$  because  $\mathcal{B}$  contains the union.

On the other hand, suppose that their union is a smooth atlas. Let  $\mathcal{B}$  be the smooth structure that the union determines. Such  $\mathcal{B}$  must exist by Proposition 1.17(a). By the same proposition,  $\mathcal{A}$ ,  $\mathcal{A}'$  must determine the unique smooth structures. However, they must be  $\mathcal{B}$  because  $\mathcal{B}$  contains both  $\mathcal{A}$  and  $\mathcal{A}'$ .

Exercise 1.20. Every smooth manifold has a countable basis of regular coordinate balls.

*Proof.* Let M be an n-dimensional smooth manifold. We consider the special case that there exists a single chart  $(\phi, U)$  with U = M. Let  $x \in \hat{U}$  with rational coordinates. Then there exists s > 0 such that  $B(x,s) \subset \hat{U}$ . For each rational number  $r \in (0,s)$ , we consider the chart  $(p \mapsto \phi(p) - x, \phi^{-1}(B(x,r)))$ .

Let  $\mathcal{B}$  be the collection of all such charts for each  $x \in \hat{U}$  and r. We claim that  $\mathcal{B}$  is a smooth atlas.

- Let  $p \in M$ . Then  $\phi(p) \in \hat{U}$ . Since  $\hat{U}$  is open,  $\phi(p) \in B(x,r) \subset \hat{U}$  for some x with rational coordinates and a positive rational number r. Then  $p \in \phi^{-1}(B(x,r))$ , so the union of coordinate domains covers M. In other words,  $\mathcal{B}$  is an atlas.
- Let  $(p \mapsto \phi(p) x, \phi^{-1}(B(x, r))), (p \mapsto \phi(p) x', \phi^{-1}(B(x', r'))) \in \mathcal{B}$  be given. Suppose  $\phi^{-1}(B(x, r)) \cap \phi^{-1}(B(x', r')) \neq \emptyset$ . Let  $\psi, \psi'$  denote the coordinate maps. Then  $\psi' \circ \psi^{-1}$  is a composition of  $\phi, \phi^{-1}$  and translation maps, so it is smooth.

Therefore,  $\mathcal{B}$  is a smooth atlas.

Since  $\mathcal{B}$  is a smooth atlas, there exists a smooth structure  $\mathcal{A}$  on M containing  $\mathcal{B}$  by Proposition 1.17(a). We claim that  $\mathcal{B}$ , a subset of the smooth structure  $\mathcal{A}$ , is a countable basis of regular coordinate balls.

•  $\mathcal{B}$  is a countable collection because  $x \in \mathbb{Q}^n$  and  $r \in \mathbb{Q}$ .

• Let  $(p \mapsto \phi(p) - x, \phi^{-1}(B(x, r))) \in \mathcal{B}$  be given. Then there exists a chart  $(p \mapsto \phi(p) - x, \phi^{-1}(B(x, r')))$  in  $\mathcal{B}$  with r' > r. Let  $B = \phi^{-1}(B(x, r)), B' = \phi^{-1}(B(x, r'))$ . Let  $\psi$  denote the map  $p \mapsto \phi(p) - x$ . Then  $\psi(B) = B(0, r)$  and  $\psi(B') = B(0, r')$ , respectively. Moreover,  $\psi(\overline{B}) = \overline{B(0, r)}$  because  $\psi$  is a homeomorphism.

Work on the case when there is no chart that covers the entire manifold.

**Exercise 1.39.** Let M be a topological n-manifold with boundary.

- (a) Int M is an open subset of M and a topological n-manifold without boundary.
- (b)  $\partial M$  is a closed subset of M and a topological (n-1)-manifold without boundary.
- (c) M is a topological manifold if and only if  $\partial M = \emptyset$ .
- (d) If n = 0, then  $\partial M = \emptyset$  and M is a 0-manifold.

Proof.

- (a) Let  $x \in \text{Int } M$ . Let  $(\phi, U)$  be an interior chart for x. Then  $x \in U \subset \text{Int } M$  because every point in U is in an interior chart  $(\phi, U)$ . A subspace of M must be Hausdorff and second-countable by Proposition A.17(g, i), so Int M is a second-countable, Hausdorff space in which every point has a neighborhood homeomorphic to an open subset in  $\mathbb{R}^n$ . Thus Int M is an n-manifold without boundary.
- (b) Since  $\partial M = M \setminus \text{Int } M$  and Int M is open in M,  $\partial M$  is closed in M. Let  $x \in \partial M$ . Let  $(\phi, U)$  be a boundary chart of x. If a point  $y \in U$  gets mapped into  $\text{Int } \mathbb{H}^n$ , then it is certainly an interior point. Thus  $\phi(U \cap \partial M) \subset \partial \mathbb{H}^n$ . Then  $\pi_{n-1} \circ \phi$  is a homeomorphism that maps  $U \cap \partial M$  into an open subset of  $\mathbb{R}^{n-1}$  where  $\pi_{n-1} : (x_1, \dots, x_n) \mapsto (x_1, \dots, x_{n-1})$ .
- (c) If  $\partial M$  is empty, then  $M=\operatorname{Int} M$ , so (a) implies that M is an n-dimensional manifold. If M is a topological manifold, every point is an interior point. Since a point cannot be both an interior point and a boundary point,  $\partial M$  is empty.
- (d) If n = 0, then  $\partial \mathbb{H}^0 = \emptyset$ . Thus, the condition that  $\phi(U) \cap \partial \mathbb{H}^n \neq \emptyset$  can never be satisfied, so there cannot be any boundary point.

**Exercise 1.41.** Let M be a topological manifold with boundary.

- (a) M has a countable basis of precompact coordinate balls and half-balls.
- (b) M is locally compact.
- (c) M is paracompact.
- (d) M is locally path-connected.
- (e) M has countably many components, each of which is an open subset of M and a connected topological manifold with boundary.
- (f) The fundamental group of M is countable.

Proof.

- (a)
- (b)
- (c)
- (d) Let  $U \subset M$  be a nonempty open subset and choose  $x \in U$ . Then there exists a chart  $(V, \phi)$  such that  $x \in V$ . Since  $\phi(x)$  is a point in an open set  $\phi(U \cap V)$ , there exists r > 0 such that  $B(\phi(x), r) \subset \phi(V)$ . Then  $N(x, U) = \phi^{-1}(B(\phi(x), r))$  is a path-connected neighborhood of x that is contained in  $U \cap V \subset U$ . Therefore,  $\{N(x, U) \mid \text{open } U \subset M, x \in U\}$  forms a basis of M consisting of path-connected sets.
- (e)

(f)

**Exercise 1.44.** Suppose M is a smooth n-manifold with boundary and U is an open subset of M. Prove the following statements:

- (a) U is a topological n-manifold with boundary, and the atlas consisting of all smooth charts  $(V, \phi)$  for M such that  $V \subset U$  defines a smooth structure on U. With this topology and smooth structure, U is called an **open submanifold with boundary**.
- (b) If  $U \subset \text{Int } M$ , then U is actually a smooth manifold (without boundary); in this case we call it an *open submanifold of M*.
- (c) Int M is an open submanifold of M (without boundary).

*Proof.* Let  $\mathcal{T}$  denote the topology of M and  $\mathcal{A}$  denote the smooth structure of M.

(a) The subspace topology on U is equivalent to  $\mathcal{T}_U = \{V \in \mathcal{T} \mid V \subset U\}$  because U is open. By Proposition A.17(A.18(Proof of Proposition A.17)), U is Hausdorff and second-countable. For every point  $p \in U$ , there exists a  $V \in \mathcal{T}$  with a homeomorphism  $\phi : V \to \hat{V}$  where  $\hat{V}$  is an open subset of  $\mathbb{R}^n$  (or  $\mathbb{H}^n$ ) Since  $U \cap V$  is an open subset of V,  $\phi$  restricted to  $U \cap V$  is a homeomorphism between  $U \cap V$  and  $\phi(U \cap V)$ , which is an open subset of  $\mathbb{R}^n$  (or  $\mathbb{H}^n$ ). Therefore, U is a topological n-manifold with boundary.

Let  $A_U = \{(\phi, V) \in \mathcal{A} \mid V \subset U\}$ . Then  $A_U$  is clearly a collection of charts on U whose union covers U. Moreover, any two charts in  $A_U$  are clearly smoothly compatible. Let  $(\phi, V)$  be a chart on U that is smoothly compatible with every chart in  $A_U$ . Let  $(\psi, W) \in \mathcal{A}$ . Then  $(\psi_{W \cap U}, W \cap U)$  is a chart on M and it must be smoothly compatible with every chart in A. Therefore,  $(\psi_{W \cap U}, W \cap U) \in A$ , so it must belong to  $A_U$ . This implies that  $(\phi, V)$  and  $(\psi_{W \cap U}, W \cap U)$  are smoothly compatible. Since  $V \subset W \cap U$ , this implies that  $(\phi, V)$  and  $(\psi, W)$  are smoothly compatible.

Thus  $(\phi, V)$  is smoothly compatible with every chart in  $\mathcal{A}$ , so  $(\phi, V) \in \mathcal{A}$ . This implies that  $(\phi, V)$  is in  $\mathcal{A}_U$ , so  $\mathcal{A}_U$  is indeed a maximal smooth atlas.

- (b) Let  $p \in U$ . Then  $p \in \text{Int } M$ , so there exists  $(\phi, V) \in \mathcal{A}$  such that  $p \in V$  and  $\phi(V)$  is open in  $\mathbb{R}^n$ . Then  $(\phi|_{V\cap U}, V\cap U)$  is a chart that is smoothly compatible with every chart in  $\mathcal{A}$ , so  $(\phi|_{V\cap U}, V\cap U) \in \mathcal{A}$ . Thus it must be in  $\mathcal{A}_U$ , so  $p \in U$  is an interior point of U. Therefore, U is a manifold without boundary.
- (c) By 1.39, Int M is an open subset of M. By (b), Int M is an open submanifold of M without boundary.

# 1.2. Problems.

**Problem 1-2.** Show that a disjoint union of uncountably many copies of  $\mathbb{R}$  is locally Euclidean and Hausdorff, but not second-countable.

*Proof.* Let I denote an uncountable index set and  $X = \coprod_{\alpha \in I} \mathbb{R}$ . Let  $(x, \alpha_0) \in X$ . Define  $U = \coprod_{\alpha \in I} U_{\alpha}$  where  $U_{\alpha_0} = \mathbb{R}$  and  $U_{\alpha} = \emptyset$  when  $\alpha \neq \alpha_0$ . Then U is an open neighborhood of  $(x, \alpha_0)$  that is clearly homeomorphic to  $\mathbb{R}$ . Thus X is locally Euclidean.

Let  $(x_1, \alpha_1) \neq (x_2, \alpha_2) \in X$ . If  $\alpha_1 \neq \alpha_2$ , then open neighborhoods of  $x_1$  and  $x_2$  formed in the same way as above separate the two points. Suppose  $\alpha_1 = \alpha_2$ . Without loss of generality,  $x_1 < x_2$ . Define  $U = \coprod_{\alpha \in I} U_{\alpha}$  where  $U_{\alpha_1} = (-\infty, (x_1 + x_2)/2)$  and  $U_{\alpha} = \emptyset$  when  $\alpha \neq \alpha_1$ . Similarly, define  $V = \coprod_{\alpha \in I} U_{\alpha}$  where  $U_{\alpha_1} = ((x_1 + x_2)/2, \infty)$  and  $U_{\alpha} = \emptyset$  when  $\alpha \neq \alpha_2$ . Then such U and V separate the two points. Therefore, X is Hausdorff.

Let  $\mathcal{B}$  be a basis of X. For each  $\alpha_0 \in I$ , let  $U_{\alpha_0} = \coprod_{\alpha \in I} U_{\alpha}$  where  $U_{\alpha_0} = \mathbb{R}$  and  $U_{\alpha} = \emptyset$  when  $\alpha \neq \alpha_0$ . Then for each  $\alpha_0$ , there must exist  $B_{\alpha_0} \in \mathcal{B}$  such that  $(0, \alpha_0) \in B_{\alpha_0} \subset U_{\alpha_0}$ . Clearly,  $B_{\alpha} \neq B_{\beta}$  if  $\alpha \neq \beta$ . Therefore, the cardinality of  $\mathcal{B}$  is greater than or equal to that of I. Hence, X is not second-countable.  $\square$ 

**Problem 1-12(Proof of Proposition 1.45).** Suppose  $M_1, \dots, M_k$  are smooth manifolds and N is a smooth manifold with boundary. Then  $M_1 \times \dots \times M_k \times N$  is a smooth manifold with boundary, and  $\partial(M_1 \times \dots \times M_k \times N) = M_1 \times \dots \times M_k \times \partial N$ .

*Proof.* By Example 1.34,  $M_1 \times \cdots \times M_k$  is a smooth manifold. Thus it suffices to show that  $M \times N$  is a smooth manifold with boundary if M is a smooth manifold and N is a smooth manifold with boundary. Let m, n be the dimensions of M, N.

First, we show that  $M \times N$  is a topological manifold with boundary and  $\partial(M \times N) = M \times \partial N$ . Let  $(p,q) \in M \times N$ . Then  $p \in M$ , so there exists a chart  $(U,\phi)$  such that  $p \in U$  and  $\hat{U} = \phi(U) \subset \mathbb{R}^m$ .

- Suppose  $q \in \text{Int } N$ . Then there exists a chart  $(V, \psi)$  such that  $\hat{V} = \psi(V) \subset \mathbb{R}^n$ .  $\phi \times \psi$  is a homeomorphism between  $U \times V$  and  $\hat{U} \times \hat{V} \subset \mathbb{R}^m \times \mathbb{R}^n = \mathbb{R}^{m+n}$ . Thus  $(U \times V, \phi \times \psi)$  is a chart for (p,q).
- Suppose  $q \in \operatorname{bd} N$ . Then there exists a chart  $(V, \psi)$  such that  $\hat{V} = \psi(V) \subset \mathbb{H}^n$  and  $\psi(q) \in \partial \mathbb{H}^n$ .  $\phi \times \psi$  is a homeomorphism between  $U \times V$  and  $\hat{U} \times \hat{V} \subset \mathbb{R}^m \times \mathbb{H}^n = \mathbb{H}^{m+n}$ . Moreover,  $(\phi \times \psi)(p, q) = (\phi(p), \psi(q)) \in \mathbb{R}^m \times \mathbb{H}^n = \mathbb{H}^{m+n}$ . Thus  $(U \times V, \phi \times \psi)$  is a boundary chart for (p, q).

Therefore,  $M \times N$  is a topological manifold with boundary and  $\partial(M \times N) = M \times (\partial N)$ .

Let  $\mathcal{A}_M, \mathcal{A}_N$  be the smooth structures of M, N. Define  $\mathcal{A}_{M \times N} = \{(U \times V, \phi \times \psi) \mid (U, \phi) \in \mathcal{A}_M, (V, \psi) \in \mathcal{A}_N\}$ . Then  $\mathcal{A}_{M \times N}$  is an atlas because we showed earlier that each  $(U \times V, \phi \times \psi)$  is a chart. Let  $(U_1 \times V_1, \phi_1 \times \psi_1), (U_2 \times V_2, \phi_2 \times \psi_2) \in \mathcal{A}_{M \times N}$ . Then  $(\phi_2 \times \psi_2) \circ (\phi_1 \times \psi_1)^{-1} = (\phi_2 \circ \phi_1^{-1}) \times (\psi_2 \circ \psi_1^{-1})$  is a smooth map from  $(\phi_1 \times \psi_1)(U_1 \times V_1)$  into  $(\phi_2 \times \psi_2)(U_2 \times V_2)$ . Thus every pair of charts in  $\mathcal{A}_{M \times N}$  is smoothly compatible. In other words,  $\mathcal{A}_{M \times N}$  is a smooth atlas.

On the other hand,  $\mathcal{A}_{M\times N}$  must be maximal because the restriction of any smoothly compatible chart to M,N gives a smoothly compatible chart, which must belong to  $\mathcal{A}_M,\mathcal{A}_N$ , respectively. Thus  $M\times N$  is a smooth manifold with boundary.

## 2. Chapter 2: Smooth Maps

## 2.1. Exercises.

**Exercise 2.1.** Let M be a smooth manifold with or without boundary. Show that pointwise multiplication turns  $C^{\infty}(M)$  into a commutative ring and a commutative and associative algebra over  $\mathbb{R}$ .

Proof.

- The constant map f(p) = 0 is clearly in  $C^{\infty}(M)$  and it is the additive identity.
- The constant map f(p) = 1 is clearly in  $C^{\infty}(M)$  and it is the multiplicative identity.
- Let  $f \in C^{\infty}(M)$ ,  $g \in C^{\infty}(M)$ . Let  $p \in M$  and  $(\phi, U)$  be a smooth chart for p. Then  $f \circ \phi^{-1}$  and  $g \circ \phi^{-1}$  are both smooth(Exercise 2.3), real-valued maps defined on an open subset of  $\mathbb{R}^n$ . Thus f + g is in  $C^{\infty}(M)$  Moreover, f + g = g + f because addition in  $\mathbb{R}$  is commutative.
- Let  $f, g, h \in C^{\infty}(M)$ . Let  $p \in M$  and  $(\phi, U)$  be a smooth chart for p. Then  $f \circ \phi^{-1}$  and  $g \circ \phi^{-1}$  are both smooth(Exercise 2.3), real-valued maps defined on an open subset of  $\mathbb{R}^n$ . Therefore, fg is in  $C^{\infty}(M)$  Moreover, fg = gf and (fg)h = f(gh) because multiplication in  $\mathbb{R}$  is commutative and associative.
- Let  $c \in \mathbb{R}$ ,  $f \in C^{\infty}(M)$ . Then cf can be seen as fg where g is the constant function whose value is c. As shown above,  $cf \in C^{\infty}(M)$ .

**Exercise 2.2.** Let U be an open submanifold of  $\mathbb{R}^n$  with its standard smooth manifold structure. Show that a function  $f: U \to \mathbb{R}^k$  is smooth in the sense just defined if and only if it is smooth in the sense of ordinary calculus. Do the same for an open submanifold with boundary in  $\mathbb{H}^n$ .

*Proof.* f is smooth in the sense just defined if and only if  $f \circ \operatorname{Id}^{-1}$  is smooth in the sense of ordinary calculus. Since  $f \circ \operatorname{Id}^{-1} = f$ ,  $f \circ \operatorname{Id}^{-1}$  is smooth in the sense of ordinary calculus if and only if f is smooth in the sense of ordinary calculus.

**Exercise 2.3.** Let M be a smooth manifold with or without boundary, and suppose  $f: M \to \mathbb{R}^k$  is a smooth function. Show that  $f \circ \phi^{-1}: \phi(U) \to \mathbb{R}^k$  is smooth for every smooth chart  $(U, \phi)$  for M.

Proof. Let  $\phi(x) \in \phi(U)$ . Since f is smooth, there exists  $(V, \psi)$  such that  $f \circ \psi^{-1} : \psi(V) \to \mathbb{R}^k$  is smooth and  $x \in V$ . Let  $W = U \cap V$ . Then  $f \circ \psi^{-1} : \psi(W) \to \mathbb{R}^k$  is smooth and  $\psi \circ \phi^{-1} : \phi(W) \to \psi(W)$  is a diffeomorphism where  $\phi(W)$  is a neighborhood of W. Then the restriction of  $f \circ \psi^{-1}$  to  $\phi(W)$  is identical to  $(f \circ \psi^{-1}) \circ (\psi \circ \phi^{-1})$ . Since he composition of a smooth function is smooth,  $f \circ \psi^{-1}$  is smooth.  $\square$ 

**Exercise 2.7(Prove Proposition 2.5).** Suppose M and N are smooth manifolds with or without boundary, and  $F: M \to N$  is a map. Then F is smooth if and only if either of the following conditions is satisfied:

- (a) For every  $p \in M$ , there exist smooth charts  $(U, \phi)$  containing p and  $(V, \psi)$  containing F(p) such that  $U \cap F^{-1}(V)$  is open in M and the composite map  $\psi \circ F \circ \phi^{-1}$  is smooth from  $\phi(U \cap F^{-1}(V))$  to
- (b) F is continuous and there exist smooth at lases  $\{(U_{\alpha}, \phi_{\alpha})\}$  and  $\{(V_{\beta}, \psi_{\beta})\}$  for M and N, respectively, such that for each  $\alpha$  and  $\beta$ ,  $\psi_{\beta} \circ F \circ \phi_{\alpha}^{-1}$  is a smooth map from  $\phi_{\alpha}(U_{\alpha} \cap F^{-1}(V_{\beta}))$  to  $\psi_{\beta}(V_{\beta})$ .

*Proof.* Let  $A_M$  and  $A_N$  be smooth structures of M and N. Suppose F is smooth. By Proposition 2.4, F is continuous. For every  $p \in M$  there exist coordinate charts  $(U_p, \phi_p)$  containing p and  $(V_p, \psi_p)$  containing F(p)such that  $F(U_p) \subset V_p$  and  $\psi_p \circ F_p \circ \phi_p^{-1}$  is smooth from  $\phi_p(U_p)$  to  $\psi_p(V_p)$ . Then  $\{(U_p, \phi_p) \mid p \in M\} \subset \mathcal{A}_M$ and  $A_n\{(V_p,\psi_p)\mid p\in M\}\subset \mathcal{A}_N$  are smooth at lases. Moreover, for every  $(U_p,\phi_p)$  and  $(V_q,\psi_q), \psi_q\circ F\circ\phi_p^{-1}$  is a smooth map from  $\phi_p(U_p\cap F^{-1}(V_q))$  to  $\psi_q(V_q)$  because  $\psi_q\circ F\circ\phi_p^{-1}=(\psi_q\circ\psi_p^{-1})\circ(\psi_p\circ F\circ\phi_p^{-1})$  where  $\psi_q \circ \psi_q^{-1}$  and  $\psi_p \circ F \circ \phi_p^{-1}$  are smooth. Therefore, the definition implies (b).

(b) implies (a) because if F is continuous,  $F^{-1}(V_{\beta})$  is open in M for every  $\beta$ , so  $U \cap F^{-1}(V)$  is open in M.

Finally, we show that (a) implies the definition. Suppose F satisfies (a). Let  $p \in M$ . Let  $(U, \phi) \in A_M$ and  $(V, \psi) \in \mathcal{A}_N$  be smooth charts satisfying the properties described in (a). Let  $U' = U \cap F^{-1}(V)$  and consider  $(U', \phi \mid_{U'})$ . Then  $(U', \phi \mid_{U'}) \in \mathcal{A}_M$  because it must be smoothly compatible with any other smooth coordinate chart in  $\mathcal{A}_M$ . Moreover,  $F(U') \subset V$  and  $\psi \circ F \circ (\phi \mid_{U'})^{-1} : \phi(U') \to \psi(V)$  is smooth. Therefore, (a) implies the definition.

Hence, (a), (b) and the definition are all equivalent.

Exercise 2.7(Proof of Proposition 2.6). Let M and N be smooth manifolds with or without boundary, and let  $F: M \to N$  be a map.

(a) If every point  $p \in M$  has a neighborhood U such that the restriction  $F|_U$  is smooth, then F is smooth.

(b) Conversely, if F is smooth, then its restriction to every open subset is smooth.

*Proof.* Let  $A_M$ ,  $A_N$  be smooth structures of M, N, respectively.

- (a) Let  $p \in M$ . Let U be a neighborhood of p such that  $F|_U$  is smooth. By 1.44, U is a smooth manifold with the induced smooth structure  $A_U = \{(V, \phi) \in A_M \mid V \subset U\}$ . Since  $F|_U$  is smooth, there exist  $(V, \phi) \in \mathcal{A}_U$  and  $(W, \psi) \in \mathcal{A}_N$  such that:
  - $F|_U(V) \subset W$ .
  - $\psi \circ F|_U \circ \phi^{-1} : \phi(V) \to \psi(W)$  is smooth.

Since  $V \subset U$ ,  $F(V) \subset W$ ,  $\psi \circ F \circ \phi^{-1} : \phi(V) \to \psi(W)$  is smooth, and  $(V, \phi) \in \mathcal{A}$ . Therefore, F is smooth.

(b) Let  $U \subset M$  be an open subset. By 1.44, U is a smooth manifold with the induced smooth structure  $\mathcal{A}_U = \{(V, \phi) \in \mathcal{A}_M \mid V \subset U\}.$  Let  $p \in U$ . Then  $p \in F$ , so there exist  $(V, \phi) \in \mathcal{A}_M, (W, \psi) \in \mathcal{A}_N$ such that  $F(V) \subset W$  and  $\psi \circ F \circ \phi^{-1} : \phi(V) \to \psi(W)$  is smooth. Then  $(V \cap U, \phi|_{V \cap U})$  is a chart that is smoothly compatible with every chart in  $\mathcal{A}_M$ . Therefore,  $(V \cap U, \phi|_{V \cap U}) \in \mathcal{A}_M$ . Moreover,  $\phi|_{V\cap U}(V\cap U)\subset\phi(V)\subset W$  and  $\psi\circ F\circ(\phi|_{V\cap U}(V\cap))^{-1}$  is clearly smooth. Therefore,  $F|_U$  is smooth.

**Exercise 2.9.** Suppose  $F: M \to N$  is a smooth map between smooth manifolds with or without boundary. Show that the coordinate representation of F with respect to every pair of smooth charts for M and N is smooth.

*Proof.* Let  $(M, \mathcal{A}_M)$ ,  $(N, \mathcal{A}_N)$  be smooth manifolds with or without boundary. Let  $F: M \to N$  be a smooth map. Let  $(U,\phi) \in \mathcal{A}_M, (V,\psi) \in \mathcal{A}_N$  be given. We must show that  $\hat{F} = \psi \circ F \circ \phi^{-1}$  is a smooth function from  $\phi(U \cap F^{-1}(V))$  to  $\psi(V)$ . Let  $\phi(p) \in \phi(U \cap F^{-1}(V))$ . Then  $p \in M$ , so there exist  $(U_0, \phi_0) \in \mathcal{A}_M$  and  $(V_0, \psi_0) \in \mathcal{A}_N$  such that

- $\begin{array}{l} \bullet \ \ p \in U_0 \subset U \cap F^{-1}(V); \\ \bullet \ \ \phi_0(U_0) \subset V_0; \\ \bullet \ \ \psi_0 \circ F \circ \phi_0^{-1} : \phi_0(U_0) \to \psi(V_0) \ \text{is smooth}. \end{array}$

Then  $\psi \circ F \circ \phi^{-1}|_{\phi(U_0)} = (\psi \circ \psi_0^{-1}) \circ (\psi_0 \circ F \circ \phi_0^{-1}) \circ (\phi_0 \circ \phi)$ . Since the composition of smooth functions in Euclidean spaces is smooth,  $\hat{F}$  is smooth.

Exercise 2.11(Proof of Proposition 2.10). Let M, N and P be smooth manifolds with or without boundary.

- (a) Every constant map  $c: M \to N$  is smooth.
- (b) The identity map of M is smooth.
- (c) If  $U \subset M$  is an open submanifold with or without boundary, then the inclusion map  $U \to M$  is smooth.

*Proof.* Let  $A_M, A_N, A_P$  be smooth structures of M, N, P, respectively.

- (a) F is clearly continuous. Moreover, for every  $(U_{\alpha}, \phi_{\alpha}) \in \mathcal{A}_{M}, (V_{\beta}, \psi_{\beta}) \in \mathcal{A}_{N}, \psi_{\beta} \circ F \circ \phi_{\alpha}^{-1}$  is a constant map, so it is smooth. By (2.7(Prove Proposition 2.5)), F is smooth.
- (b) Let  $p \in M$ . Choose  $(U, \phi) \in \mathcal{A}_M$  such that  $p \in U$ . Then  $F(U) \subset U$  and  $\phi \circ F \circ \phi^{-1} = \mathrm{Id}_U$ , so it is smooth. Therefore, F is smooth.
- (c) By 1.44,  $A_U = \{(V, \phi) \mid V \subset U\}$  is a smooth structure of U. Let  $p \in U$ . Then  $p \in V$  for some  $(V, \phi) \in A_U$ . Then  $(V, \phi) \in A_M$ , trivially. Since  $F(V) \subset V$  and  $\phi \circ F \circ \phi^{-1}$  is simply the identity map on V, F is smooth.

# Exercise 2.16(Proof of Proposition 2.15).

- (a) Every composition of diffeomorphisms is a diffeomorphism.
- (b) Every finite product of diffeomorphisms between smooth manifolds is a diffeomorphism.
- (c) Every diffeomorphism is a homeomorphism and an open map.
- (d) The restriction of a diffeomorphism to an open submanifold with or without boundary is a diffeomorphism onto its image.
- (e) "Diffeomorphic" is an equivalence relation on the class of all smooth manifolds with or without boundary.

**Exercise 2.16(Proof of Proposition 2.15).** Let  $(M, \mathcal{A}_M), (N, \mathcal{A}_N), (P, \mathcal{A}_P)$  be smooth manifolds with or without boundary, and let  $F: M \to N, G: N \to P$  be diffeomorphisms.

- (a) By Proposition 2.10(d),  $G \circ F$  and  $F^{-1} \circ G^{-1}$  are smooth. Then  $(G \circ F) \circ (F^{-1} \circ G^{-1})$  and  $(F^{-1} \circ G^{-1}) \circ (G \circ F)$  are both the identity map on the corresponding space, so  $F^{-1} \circ G^{-1}$  is the smooth inverse of  $G \circ F$ . Therefore,  $G \circ F$  is a diffeomorphism.
- (b) Read Example 1.34(Smooth Product Manifolds)!
- (c) Proposition 2.4 states that every smooth map is continuous. Thus F and  $F^{-1}$  are both continuous. Therefore, F is a homeomorphism and also an open map.
- (d) Let  $U \subset M$  be an open subset. By (2.7(Proof of Proposition 2.6)),  $F|_U$  is smooth. Since F is a homeomorphism as shown in (c), F(U) is an open subset of N. Therefore,  $F^{-1}|_{F(U)}$  is smooth by (2.7(Proof of Proposition 2.6)). Clearly,  $F|_U$  and  $F^{-1}|_{F(U)}$  are the inverse of each other. Therefore,  $F|_U$  is a diffeomorphism.
- (e) By (2.11(Proof of Proposition 2.10)), the identity map on M is a diffeomorphism, so the reflexive property is satisfied. Moreover,  $(F^{-1})^{-1} = F$ , so the symmetric property is satisfied. By (a), the composition of two diffeomorphisms is a diffeomorphism, so the transitive property is satisfied. Therefore, "diffeomorphic" is an equivalence relation.

**Exercise 2.19(Proof of Theorem 2.18).** Suppose M and N are smooth manifolds with boundary and  $F: M \to N$  is a diffeomorphism. Then  $F(\partial M) = \partial N$ , and F restricts to a diffeomorphism from  $\operatorname{Int} M$  to  $\operatorname{Int} N$ .

*Proof.* Let  $\mathcal{A}_M$ ,  $\mathcal{A}_N$  denote the smooth structures of M, N, respectively. Let  $p \in \partial M$ . Then there exists a chart containing p that sends p to  $\partial \mathbb{H}^n$ . By Theorem 1.46, every chart containing p sends p to  $\partial \mathbb{H}^n$ .

Since F is smooth, there exist  $(U, \phi) \in \mathcal{A}_M, (V, \psi) \in \mathcal{A}_N$  such that  $F(U) \subset V$  and  $\psi \circ F \circ \phi^{-1}$  is a smooth map from  $\phi(U)$  to  $\psi(V)$ .  $F^{-1}$  is a homeomorphism by (2.16(Proof of Proposition 2.15)). Then

 $(\phi^{-1} \circ F^{-1}, F(U))$  is a coordinate chart around F(p) because we obtain a homeomorphism by restricting the composition of two injective continuous maps to its image. Moreover, we claim that  $(\phi^{-1} \circ F^{-1}, F(U))$  is smoothly compatible with every chart in  $\mathcal{A}_N$ . Let  $(\psi_1, V_1) \in \mathcal{A}_N$  be given. Then  $(\phi^{-1} \circ F^{-1}) \circ \psi_1^{-1} = (\phi^{-1} \circ F^{-1}) \circ \psi_1^{-1} = (\phi^{-1} \circ F^{-1}) \circ \psi_1^{-1}$ , and the composition of two smooth maps is smooth. Therefore,  $(\phi^{-1} \circ F^{-1}, F(U)) \in \mathcal{A}_N$ , and this chart contains F(p) and sends F(p) to  $\partial \mathbb{H}^n$ . In other words,  $F(p) \in \partial N$ .

Since  $F^{-1}$  is also smooth,  $F^{-1}(\partial N) \subset \partial M$ .  $F^{-1}(\partial N) \subset \partial M \implies F(F^{-1}(\partial N)) \subset F(\partial M) \subset \partial N$ . Since F is a bijection,  $F(F^{-1}(\partial N)) = \partial N$ . Therefore,  $F(\partial M) = \partial N$ .

This implies that  $F(\operatorname{Int} M) = \operatorname{Int} N$ . By (1.44(c)) and  $(2.16(\operatorname{Proof of Proposition 2.15})(d))$ , F is a diffeomorphism between  $\operatorname{Int} M$  and  $\operatorname{Int} N$ .

### 2.2. Problems.

**Problem 2-1.** Define  $f: \mathbb{R} \to \mathbb{R}$  by

$$f(x) = \begin{cases} 1, & x \ge 0, \\ 0, & x < 0. \end{cases}$$

Show that for every  $x \in \mathbb{R}$ , there are smooth coordinate charts  $(U, \phi)$  containing x and  $(V, \psi)$  containing f(x) such that  $\psi \circ f \circ \phi^{-1}$  is smooth as a map from  $\phi(U \cap f^{-1}(V))$  to  $\psi(V)$ , but f is not smooth in the sense we defined in this chapter.

*Proof.*  $\phi = \psi = \text{Id in this solution.}$ 

If  $x \ge 0$ , then let  $U = \mathbb{R}, V = (0, \infty)$ . Then  $\phi(U \cap f^{-1}(V)) = [0, \infty)$ . Thus  $\psi \circ f \circ \phi^{-1} : [0, \infty) \to (0, \infty)$  is the constant map that sends every number to 1. Therefore, it is smooth.

If x < 0, then let  $U = \mathbb{R}$ ,  $V = (-\infty, 1)$ . Then  $\phi(U \cap f^{-1}(V)) = (-\infty, 0)$ . Thus  $\psi \circ f \circ \phi^{-1} : (-\infty, 0) \to (-\infty, 1)$  is the constant map that sends every number to 0. Therefore, it is smooth.

It might seem that we can apply (2.7(Prove Proposition 2.5)) to show that f is smooth, but (2.7(Prove Proposition 2.5)) requires that  $U \cap f^{-1}(V)$  be open in M.

f maps the interval (-1,1) to  $\{0,1\}$ . Since the image of a connected set under a continuous map must be connected, f cannot be continuous. By Proposition 2.4, f cannot be smooth.

**Problem 2-2(Proof of Proposition 2.12).** Suppose  $M_1, \dots, M_k$  and N are smooth manifolds with or without boundary, such that at most one of  $M_1, \dots, M_k$  has nonempty boundary. For each i, let  $\pi_i : M_1 \times \dots \times M_k \to M_i$  denote the projection onto the  $M_i$  factor. A map  $F: N \to M_1 \times \dots \times M_k$  is smooth if and only if each of the component maps  $F_i = \pi_i \circ F: N \to M_i$  is smooth.

*Proof.* Let  $A_{M_1}, \dots, A_{M_k}, A_N$  be the smooth structures of  $M_1, \dots, M_k, N$ . Let  $d_1, \dots, d_k$  denote the dimensions of  $M_1, \dots, M_n$ , respectively. Let  $d = \sum d_i$ .

First, suppose that F is smooth. By (2.11(Proof of Proposition 2.10)), the composition of smooth maps is smooth. Thus it suffices to show that  $\pi_i: M_1 \times \cdots \times M_k \to M_i$  is smooth for each i. We show that  $\pi_1$  is smooth and the other cases can be shown similarly.

Let  $(x_1, \dots, x_k) \in M_1 \times \dots \times M_k$ . Then for each i, there exist  $(U_i, \phi_i) \in \mathcal{A}_{M_i}$  and  $(V_i, \psi_i) \in \mathcal{A}_{M_i}$  such that  $x_i \in U_i$  and  $\phi_i(U_i) \subset V_i$ . Then we have  $(\phi_1 \times \dots \times \phi_k)(U_1 \times \dots \times U_k) \subset V_1 \times \dots \times V_k$  and the composition  $\phi_i \circ \pi_1 \circ (\phi_1 \times \dots \times \phi_k)^{-1}$  is the projection of the first  $d_1$  coordinates from  $\mathbb{R}^n$  onto  $\mathbb{R}^{d_1}$ . Therefore, it is clearly smooth, so  $\pi_1$  is smooth.

Suppose each  $F_i = \pi_i \circ F : N \to M_i$  is smooth. Let  $p \in N$ . Then for each i, there exist  $(U_i, \phi_i) \in \mathcal{A}_N$  and  $(V_i, \psi_i) \in \mathcal{A}_{M_i}$  such that  $p \in U_i, F_i(U_i) \subset V_i$  and  $\psi_k \circ F_i \circ \phi_i^{-1}$ . Let  $U = U_1 \cap \cdots \cap U_k$ . U is a neighborhood of p and the restriction of  $\phi_1$  to U is a homeomorphism. Then we claim that  $(\phi_1, U) \in \mathcal{A}_N$  and  $(\psi_1 \times \cdots \times \psi_k, V_1 \times \cdots \times V_k) \in \mathcal{A}_{M_1 \times \cdots \times M_k}$  are charts that satisfy the necessary properties.

- $F(U) \subset V_1 \times \cdots \times V_k$ .
- For each i,  $\psi_i \circ F_i \circ \phi_1^{-1} = (\psi_i \circ F_i \circ \phi_i^{-1}) \circ (\phi_i \circ \phi_1^{-1}) : \phi_1(U) \to \psi_i(V_i)$  is smooth because the composition of two smooth maps is smooth. Thus  $(\psi_1 \circ F_1 \circ \phi_1^{-1}) \times \cdots \times (\psi_k \circ F_k \circ \phi_1^{-1}) : \phi_1(U) \to \psi_1(V_1) \times \cdots \times \psi_k(V_k)$  is smooth. Moreover,  $(\psi_1 \times \cdots \times \psi_k) \circ F \circ \phi_1^{-1} = (\psi_1 \circ F_1 \circ \phi_1^{-1}) \times \cdots \times (\psi_k \circ F_k \circ \phi_1^{-1})$ .

Therefore, F is smooth.

### 3. Chapter 3: Tangent Vectors

**Exercise 3.5(Proof of Lemma 3.4).** Suppose M is a smooth manifold with or without boundary,  $p \in M, v \in T_pM$ , and  $f, g \in C^{\infty}(M)$ .

- (a) If f is a constant function, then vf = 0.
- (b) If f(p) = g(p) = 0, then v(fg) = 0.

Proof.

- (a) Let h be the constant function that always takes the value 1. Then f(p) = ch(p) for some  $c \in \mathbb{R}$ . Then v(ff) = f(p)vf + f(p)vf, so  $c^2v(h) = c^2v(h) + c^2v(h)$ . Therefore,  $c^2v(h) = 0$ , so cv(h) = 0. Since v is linear, this implies 0 = v(ch) = v(f), so v(f) = 0.
- (b) v(fg) = f(p)vg + g(p)vf = 0 + 0 = 0.

**Exercise 3.7(Proof of Proposition 3.6).** Let M, N, and P be smooth manifolds with or without boundary, let  $F: M \to N$  and  $G: N \to P$  be smooth maps, and let  $p \in M$ .

- (a)  $dF_p: T_pM \to T_{F(p)}N$  is linear.
- (b)  $d(G \circ F)_p = dG_{F(p)} \circ dF_p : T_pM \to T_{G \circ F(p)}P.$
- (c)  $d(\operatorname{Id}_M)_p = \operatorname{Id}_{T_pM} : T_pM \to T_pM$ .
- (d) If F is a diffeomorphism, then  $dF_p: T_pM \to T_{F(p)}N$  is an isomorphism, and  $(dF_p)^{-1} = d(F^{-1})_{F(p)}$ .

Proof. (a)  $\forall v, w \in T_p M, \forall c \in \mathbb{R}, \forall f \in C^{\infty}(N),$ 

$$\begin{split} dF_p(cv+w)(f) &= (cv+w)(f\circ F) \\ &= (cv)(f\circ F) + w(f\circ F) \\ &= c(v(f\circ F)) + w(f\circ F) \\ &= c(dF_p(v)(f)) + dF_p(w)(f) \\ &= (cdF_p(v))(f) + dF_p(w)(f) \\ &= (cdF_p(v) + dF_p(w))(f). \end{split}$$

Therefore,  $dF_p(cv + w) = cdF_p(v) + dF_p(w)$ .

(b)  $\forall v \in T_p M, f \in C^{\infty}(P),$ 

$$d(G \circ F)_p(v)(f) = v(f \circ (G \circ F))$$

$$= v((f \circ G) \circ F)$$

$$= (dF_p(v))(f \circ G)$$

$$= (dG_{F(p)}(dF_p(v)))(f)$$

$$= ((dG_{F(p)} \circ dF_p)(v))(f)$$

Therefore,  $d(G \circ F)_p = dG_{F(p)} \circ dF_p$ .

(c)  $\forall v \in T_p(M), \forall f \in C^{\infty}(M),$ 

$$d(\mathrm{Id}_M)_p(v)(f) = v(f \circ \mathrm{Id}_M)$$
$$= v(f).$$

Therefore,  $d(\mathrm{Id}_M)_p(v) = v$ , so  $d(\mathrm{Id}_M)_p = \mathrm{Id}_{T_pM}$ .

(d)  $F^{-1}$  exists and it is a smooth map since F is a diffeomorphism. By combining (b) and (c), we obtain  $dF_p$  and  $dF_{F(p)}^{-1}$  are the inverse of each other. Therefore,  $dF_p$  is an isomorphism.

#### 4. Appendix A: Review of Topology

Exercise A.18(Proof of Proposition A.17). Let X be a topological space and let S be a subspace of X.

- (a)
- (b)
- (c)
- (d)
- (e)
- (f) If  $\mathcal{B}$  is a basis for the topology of X, then  $\mathcal{B}_S = \{B \cap S \mid B \in \mathcal{B}\}$  is a basis for the subspace topology on S.
- (g) If X is Hausdorff, then so is S.
- (h) If X is first-countable, then so is S.
- (i) If X is second-countable, then so is S.

## Proof.

- (a)
- (b)
- (c)
- (d)
- (e)
- (f) The union of  $B \cap S$  is S. Let  $U \cap S$  be an open subset of S where U is open in X, and  $x \in U \cap S$ . Then there exists  $B \in \mathcal{B}$  such that  $x \in B \subset U$  since  $\mathcal{B}$  is a basis. Therefore,  $x \in B \cap S \subset U \cap S$  with  $B \cap S \in \mathcal{B}_S$ .
- (g) Let  $x \neq y \in S$ . There exist two disjoint open sets U, V of X containing x, y, respectively. Then  $U \cap S$  and  $V \cap S$  are disjoint open sets of X containing x, y, respectively.
- (h)
- (i) Let  $\mathcal{B}$  be a countable basis of X. Then  $\{B \cap S \mid B \in \mathcal{B}\}$  is a countable basis of S by (f).

**Exercise A.24(Proof of Proposition A.23).** Suppose  $X_1, \dots, X_k$  are topological spaces, and let  $X_1 \times \dots \times X_k$  be their product space.

(a) CHARACTERISTIC PROPERTY: If B is a topological space, a map  $F: B \to X_1 \times \cdots \times X_k$  is continuous if and only if each of its component functions  $F_i = \pi_i \circ F: B \to X_i$  is continuous.

#### Proof.

(a) Suppose F is continuous. Since  $\pi_i$  is continuous by (c) and the composition of continuous functions is continuous,  $\pi_1 \circ F$  is continuous. Suppose each component function is continuous. Let  $B_1 \times \cdots \times B_k$  be a basis element of  $X_1 \times \cdots \times X_k$ .

$$F^{-1}(B_1 \times \dots \times B_k) = F^{-1}(\bigcap_{i=1}^k \pi_i^{-1}(B_1 \times \dots \times B_k))$$
  
=  $\bigcap_{i=1}^k F^{-1}(\pi_i^{-1}(B_1 \times \dots \times B_k))$   
=  $\bigcap_{i=1}^k (\pi_i \circ F)^{-1}(B_1 \times \dots \times B_k).$ 

Since the intersection of finitely many open sets is open, F is continuous.

## 5. Appendix C: Review of Calculus

**Exercise C.1.** Suppose that  $F: U \to W$  is differentiable at  $a \in U$ . Show that the linear map satisfying

$$\lim_{v \to 0} \frac{|F(a+v) - F(a) - Lv|}{|v|} = 0$$

is unique.

*Proof.* Let L, L' be two such linear maps.

$$\lim_{v \to 0} \frac{|Lv - L'v|}{|v|} = \lim_{v \to 0} \frac{|(F(a+v) - F(a) - L'v) - (F(a+v) - F(a) - Lv)|}{|v|}$$

$$= \lim_{v \to 0} \frac{|F(a+v) - F(a) - Lv|}{|v|} + \lim_{v \to 0} \frac{|F(a+v) - F(a) - L'v|}{|v|}$$

$$= 0 + 0 = 0.$$

If  $L \neq L'$ ,  $(L - L')v_0 \neq 0$  for some  $v_0$ . Then  $\lim_{v \to 0} \frac{\left|Lv - L'v\right|}{|v|} = \lim_{h \to 0} \frac{\left|L(hv_0) - L'(hv_0)\right|}{|hv_0|} = \frac{\left|(L - L')v_0\right|}{|v_0|} \neq 0$ . This is a contradiction, so L = L'.

## 6. Dictionary

## 6.1. Topological Manifolds.

**Definition 6.1** (Topological Manifold). A topological n-manifold is a Hausdorff, second-countable topological space each point of which has a neighborhood that is homeomorphic to an open subset  $\mathbb{R}^n$ .

**Definition 6.2** (Coordinates). Let M be a topological n-manifold. Let U be an open subset of M,  $\hat{U}$  be an open subset of  $\mathbb{R}^n$ ,  $\phi: U \to \hat{U}$  be a homeomorphism.

- The pair  $(U, \phi)$  is called a *coordinate chart* or a *chart*.
- U is called a coordinate domain or a coordinate neighborhood and  $\phi$  is called a coordinate map.
- If  $\phi(U)$  is an open ball in  $\mathbb{R}^n$ , U is called a *coordinate ball*.
- If  $\phi(U)$  is an open cube in  $\mathbb{R}^n$ , U is called a *coordinate cube*.
- The coordinate functions of  $\phi$  are often denoted as  $(x^1, \dots, x^n)$ . Thus a chart is sometimes denoted by  $(U, (x^1, \dots, x^n))$  or  $(U, (x^i))$ .

**Definition 6.3** (Atlas). Let M be a topological n-manifold. An atlas for M is a collection of charts  $(U_{\alpha}, \phi_{\alpha})$  such that  $M = \bigcup_{\alpha} U_{\alpha}$ .

**Definition 6.4** (Transition Map). Let M be a topological n-manifold and  $(U, \phi), (V, \psi)$  be coordinate charts such that  $U \cap V \neq \emptyset$ .  $\psi \circ \phi^{-1} : \phi(U \cap V) \mapsto \psi(U \cap V)$  is called a transition map from  $\phi$  to  $\psi$ .

**Definition 6.5** (Closed Upper Half-Space).  $\mathbb{H}^n = \{(x^1, \dots, x^n) \in \mathbb{R}^n \mid x^n \geq 0\}$ , and  $\partial \mathbb{H}^n = \{(x^1, \dots, x^n) \in \mathbb{R}^n \mid x^n = 0\}$ .

**Definition 6.6** (Manifold With Boundary). Let M be a second-countable Hausdorff space and fix n. Suppose that for every  $p \in M$ , one of the following conditions is satisfied:

- (1) There exists a neighborhood U of p and a homeomorphism  $\phi: U \to \hat{U}$  where  $\hat{U}$  is an open subset of  $\mathbb{R}^n$ . p is called an *interior point* and  $(U, \phi)$  is called an *interior chart*.
- (2) There exists a neighborhood U of p and a homeomorphism  $\phi: U \to \hat{U}$  where  $\hat{U}$  is an open subset of  $\mathbb{H}^n$  with  $\phi(p) \in \partial \mathbb{H}^n$ . p is called a boundary point.

Then M is called an n-dimensional topological manifold with boundary. Note that every topological manifold is a topological manifold with boundary.

## 6.2. Smooth Manifolds.

**Definition 6.7** (Smoothly Compatible). Let M be a topological n-manifold. Two coordinate charts  $(U, \phi), (V, \psi)$  are called *smoothly compatible* if  $U \cap V = \emptyset$  or the transition map  $\psi \circ \phi^{-1}$  is a diffeomorphism.

**Definition 6.8** (Smooth Atlas). Let M be a topological n-manifold. A smooth atlas is an atlas  $\mathcal{A}$  such that any two charts in  $\mathcal{A}$  are smoothly compatible with each other.

**Definition 6.9** (Smooth Structure). If M is a topological n-manifold, an atlas  $\mathcal{A}$  that is not properly contained in any larger smooth atlas is called maximal or a smooth structure on M

**Definition 6.10** (Smooth Manifold). A *smooth manifold* is a topological manifold equipped with a smooth structure.

**Definition 6.11.** Suppose  $(M, \mathcal{A})$  is a smooth manifold.

- Any chart  $(U, \phi) \in \mathcal{A}$  is called a *smooth chart*.
- Given a smooth chart  $(U,\phi)$ , U is called a smooth coordinate domain and  $\phi$  is called a smooth coordinate map.
- Given a smooth chart  $(U,\phi)$ , U is called a *smooth coordinate ball* if it is a coordinate ball.

Remark 6.12. One must define a smooth structure on a topological manifold before talking about a smooth chart.

**Definition 6.13** (Smooth Maps). Let M, N be smooth manifolds with or without boundary and  $F: M \to N$ be a map. F is a smooth map if for every  $p \in M$ , there exist smooth charts  $(U, \phi)$  containing p and  $(V, \psi)$ containing F(p) such that

- $\begin{array}{l} \bullet \ \, F(U) \subset V; \\ \bullet \ \, \psi \circ F \circ \phi^{-1} : \phi(U) \to \psi(V) \text{ is smooth.} \end{array}$

**Definition 6.14** (Coordinate Representatin of a Smooth Map). Let  $(M, \mathcal{A}_M)$  and  $(N, \mathcal{A}_N)$  be smooth manifolds. Let  $F: M \to N$  be a smooth map and  $(U, \phi) \in \mathcal{A}_M$  and  $(V, \psi) \in \mathcal{A}_N$  be given. Then  $\hat{F} = \psi \circ F \circ \phi^{-1}$  is called the coordinate representation of F with respect to  $(U, \phi)$  and  $(V, \psi)$ .

**Definition 6.15** (Diffeomorphism). Let M, N be smooth manifolds with or without boundary. A diffeomorphism is a smooth map  $F: M \to N$  with a smooth inverse.

# 6.3. Tangent Vectors.

**Definition 6.16** (Derivation). Let M be a smooth manifold with or without boundary. A derivation at  $p \in M$  is a linear map  $v: C^{\infty}(M) \to \mathbb{R}$  such that

$$v(fg) = f(p)vg + g(p)vf$$

for all  $f, g \in C^{\infty}(M)$ .

**Definition 6.17** (Tangent Space). The tangent space  $T_pM$  to M at p is the vector space of all derivations of  $C^{\infty}(M)$  at p.

**Definition 6.18** (Differential). M, N are smooth manifolds with or without boundary, and  $F: M \to N$  is a smooth map. The differential of F at p is the linear map  $dF_p: T_pM \to T_{F(p)}N$  defined by

$$dF_p(v) := f \mapsto v(f \circ F)$$

Equivalently,  $\forall v \in T_p M, \forall f \in C^{\infty}(N), dF_p(v)(f) = v(f \circ F).$ 

**Definition 6.19** (Coordinate Vectors). Let  $(M, \mathcal{A})$  be a smooth manifold without boundary. Let  $p \in M$ and choose a chart  $(U,\phi) \in \mathcal{A}$  such that  $p \in U$ . Then the coordinate vectors at p, denoted by  $\frac{\partial}{\partial x^i}|_p$ , are derivations  $C^{\infty}(U) \to \mathbb{R}$  such that

$$\frac{\partial}{\partial x^i}\Big|_p := f \mapsto \frac{\partial}{\partial x^i}\Big|_{\phi(p)} (f \circ \phi^{-1}).$$

**Definition 6.20** (Tangent Bundle). Let M be a smooth manifold with or without boundary. The tangent bundle of M, denoted by TM, is the disjoint union  $\coprod_{p\in M} T_pM$ .

**Definition 6.21** (Projection Map). Let M be a smooth manifold with or without boundary. The projection map  $\pi:TM\to M$  is the map defined by  $(p,v)\mapsto p$ .

## 6.4. Submersions, Immersions, and Embeddings.

**Definition 6.22** (Rank). Let M, N be smooth manifolds with or without boundary and let  $F: M \to N$  be a smooth map. Then the rank of F at  $p \in M$  is:

- The rank of the linear map  $dF_p: T_pM \to T_{F(p)}N$ .
- The dimension of the subspace  $dF_p(T_pM)$  in the vector space  $T_{F(P)}N$ .

It is easy to see that the two definitions above are always equivalent.

**Definition 6.23** (Submersions and Immersions). Let M, N be smooth manifolds with or without boundary and let  $F: M \to N$  be a smooth map.

- If F has the same rank at every point  $p \in M$ , then F is said to have *constant rank*, and the rank is denoted by rank F.
- If the rank of F at  $p \in M$  is equal to  $\max\{\dim M, \dim N\}$ , then F is said to have full rank at p.
- ullet If F has full rank everywhere, then F is said to have full rank.
- If F has constant rank and rank  $F = \dim N$ , F is called a *smooth submersion*.
- If F has constant rank and rank  $F = \dim M$ , F is called a *smooth immersion*.