## STELLAR CONSENSUS PROTOCOL

#### HIDENORI SHINOHARA

ABSTRACT. This is my personal notes on the Stellar consensus protocol. This roughly follows the structure of the white paper on https://www.stellar.org/.

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# 1. Prerequisites

**Theorem 1.1.** Let  $f \in \mathbb{N}$  be given. Consider a system with 2f + 1 nodes such that any f + 1 of them constitute a quorum. Then f is the maximum number of fail-stop failures that the system can survive while

- maintaining safety (i.e., no two well-behaved nodes will agree on contradictory statements)
- maintaining liveness (i.e., all well-behaved nodes can agree on any valid statements)

*Proof.* Let b be the number of fail-stop failures and assume  $b \le f$ . Then there are  $2f+1-b \ge f+1$  well-behaved nodes. Thus each well-behaved node can find a quorum it belongs to that only consists of well-behaved nodes because there are at least f+1 well-behaved nodes. This shows the liveness of the system.

Let  $v_1, v_2$  be two well-behaved nodes and suppose they agreed on contradictory statements  $a_1, a_2$ , repspectively. Let  $Q_1, Q_2$  be the quorums that convinced  $v_1, v_2$  on  $a_1, a_2$ , respectively. By the pigeonhole principle,  $|Q_1 \cap Q_2| \geq 1$ . In other words, there exists a node that agreed on both  $a_1$  and  $a_2$ . This is a contradiction because we assumed that nodes are either well-behaved or fail-stop. Therefore, two well-behaved nodes cannot agree on contradictory statements.

**Theorem 1.2.** Let  $f \in \mathbb{N}$  be given. Consider a system with 3f + 1 nodes such that any 2f + 1 of them constitute a quorum. Then f is the maximum number of Byzantine failures that the system can survive while

- maintaining safety (i.e., no two well-behaved nodes will agree on contradictory statements)
- maintaining liveness (i.e., all well-behaved nodes can agree on any valid statements)

*Proof.* Let b be the number of Byzantine failures and assume  $b \leq f$ .

Then there are  $3f + 1 - b \ge 2f + 1$  well-behaved nodes. Thus each well-behaved node can find a quorum it belongs to that only consists of well-behaved nodes because there are at least 2f + 1 well-behaved nodes. This shows the liveness of the system.

Let  $v_1, v_2$  be two well-behaved nodes and suppose they agreed on contradictory statements  $a_1, a_2$ , repspectively. Let  $Q_1, Q_2$  be the quorums that convinced  $v_1, v_2$  on  $a_1, a_2$ , respectively. By the pigeonhole principle,  $|Q_1 \cap Q_2| \geq f + 1$ . In other words, at least f + 1 nodes agreed on both  $a_1$  and  $a_2$ . This is a contradiction because we assumed that there are at most  $b \leq f$  Byzantine failures. Therefore, two well-behaved nodes cannot agree on contradictory statements.

We have shown that the system can survive while maintaining safety and liveness with bByzantine failures. We will now show that f is the largest number with such a property.

Let b > f and assume that the system experiences b Byzantine failures. By the pigeonhole principle, each quorum contains a Byzantine failure. We cannot guarantee liveness because Byzantine nodes can all disagree with everything. 

# 2. Basic Properties of Quorums

**Definition 2.1.** Let V be a set and  $Q: V \to 2^{2^V} \setminus \{\emptyset\}$  be a function such that  $\forall v \in V, \forall q \in V$  $Q(v), v \in q$ . Then we call the pair  $\langle V, Q \rangle$  a federated Byzantine agreement system, or FBAS for short.

**Definition 2.2.** Let  $\langle V, Q \rangle$  be an FBAS.  $U \subset V$  is called a quorum if and only if  $\forall v \in V$  $U, \exists q \in Q(v), q \subset U.$ 

**Theorem 2.3.** In an FBAS  $\langle V, Q \rangle$ , the union of two quorums is a quorum.

*Proof.* Let  $U_1, U_2$  be two quorums. Let  $v \in U_1 \cup U_2$ . Then  $v \in U_i$  for i = 1 or i = 2. Then  $q \subset U_i$  for some  $q \in Q(v)$ . Therefore,  $q \subset U_1 \cup U_2$ , so  $U_1 \cup U_2$  is indeed a quorum.

**Theorem 2.4.** In an FBAS(V,Q), V is a quorum.

*Proof.* For any  $v \in V$ , for any  $q \in Q(v)$ ,  $q \subset V$ . Therefore, V is indeed a quorum. 

**Example 2.5.** One might wonder if the intersection of quorums is always a quorum. However, this is not true in general.

Let  $V = \{v_1, ..., v_4\}$  and

- $Q(v_1) = \{\{v_1, v_2, v_3\}, \{v_1, v_2, v_4\}, \{v_1, v_3, v_4\}\},\$
- $Q(v_4) = \{\{v_1, v_2, v_4\}, \{v_1, v_3, v_4\}, \{v_2, v_3, v_4\}\}.$

In other words,  $Q(v_i) = \{U \subset V \mid |U| = 3, v_i \in U\}.$ 

Then  $U_1 = \{v_1, v_2, v_3\}$  is a quorum, and  $U_2 = \{v_2, v_3, v_4\}$  is a quorum. However,  $U_1 \cap U_2 = \{v_1, v_2, v_3\}$  $\{v_2, v_3\}$  is not a quorum because the size of any quorum slice is 3.

**Definition 2.6.** Let  $\langle V, Q \rangle$  be an FBAS. We say  $\langle V, Q \rangle$  enjoys quorum intersection if and only if for any pair of quorums  $U_1, U_2, U_1 \cap U_2 \neq \emptyset$ .

**Definition 2.7.** Let  $\langle V, Q \rangle$  be an FBAS and  $B \subset V$ . Then the FBAS  $\langle V, Q \rangle^B$  is defined to be  $\langle V \setminus B, Q^B \rangle$  where  $\forall v \in V, Q^B(v) = \{q \setminus B \mid q \in Q(v)\}.$ 

**Theorem 2.8.** Definition 2.7 is well-defined. In other words, if  $\langle V, Q \rangle$  is an FBAS and  $B \subset V$ , then  $\langle V, Q \rangle^B$  is an FBAS.

*Proof.* Let  $v \in V \setminus B, q' \in Q^B(v)$  be given. Then  $q' = q \setminus B$  for some  $q \in Q(v)$ . By the definition of an FBAS,  $v \in q$ . Since  $v \notin B$ ,  $v \in q \setminus B = q'$ . Therefore,  $\langle V, Q \rangle^B$  is an FBAS.

**Theorem 2.9.** Let U be a quorum in FBAS  $\langle V, Q \rangle$ , let  $B \subset V$  be a set of nodes, and let  $U' = U \setminus B$ . If  $U' \neq \emptyset$ , then U' is a quorum in  $\langle V, Q \rangle^B$ .

Proof. Since  $U' \neq \emptyset$ , it suffices to show that  $\forall v \in U', \exists q \in Q^B(v), q \subset U'$ . Let  $v \in U'$ . Then  $v \in U$ . Since U is a quorum in  $\langle V, Q \rangle$ , we can find  $q \in Q(v)$  such that  $q \subset U$ . Then  $q' = q \setminus B \in Q^B(v)$ , and  $q' = q \setminus B \subset U \setminus B = U'$ . Therefore, U' is a quorum in  $\langle V, Q \rangle^B$ .  $\square$ 

**Definition 2.10.** Let  $\langle V, Q \rangle$  be an FBAS and  $B \subset V$  be a set of nodes. We say  $\langle V, Q \rangle$  enjoys quorum intersection despite B if and only if  $\langle V, Q \rangle^B$  enjoys quorum intersection.

**Definition 2.11.** Let  $\langle V, Q \rangle$  be an FBAS and  $B \subset V$  be a set of nodes. We say  $\langle V, Q \rangle$  enjoys quorum availability despite B if and only if  $V \setminus B$  is a quorum in  $\langle V, Q \rangle$  or B = V.

**Definition 2.12.** Let  $\langle V, Q \rangle$  be an FBAS. Let  $v \in V$ . A subset  $B \subset V$  is called v-blocking if and only if  $\forall q \in Q(v), q \cap B \neq \emptyset$ .

**Theorem 2.13.** Let  $\langle V, Q \rangle$  be an FBAS. Let  $v \in V$ . Then

- The union of two v-blocking sets is v-blocking.
- Any superset of a v-blocking set is v-blocking.

*Proof.* If B, B' are v-blocking, then  $q \cap (B \cup B') = (q \cap B) \cup (q \cap B') \neq \emptyset$  for any  $q \in Q(v)$  because the union of two nonempty sets is nonempty. If  $B \subset B'$  and B is v-blocking,  $q \cap B' \supset q \cap B \neq \emptyset$  for any  $q \in Q(v)$ .

**Theorem 2.14.** Let  $\langle V, Q \rangle$  be an FBAS. Let  $A \subsetneq V$  and  $U_1, U_2$  be a partition of  $V \setminus A$ . Let  $v \in U_1$ . If  $U_2$  is not v-blocking in  $\langle V, Q \rangle$ , then  $U_2$  is not v-blocking in  $\langle V, Q \rangle^A$ .

*Proof.* Since  $U_2$  is not v-blocking in  $\langle V, Q \rangle$ , there exists  $q_v \in Q(v)$  such that  $q_v \cap U_2 \neq \emptyset$ .

$$(q_v \setminus A) \cap U_2 = (q_v \cap U_2) \setminus (A \cap U_2)$$
$$= q_v \cap U_2 \neq \emptyset.$$

Thus  $U_2$  is not v-blocking in  $\langle V, Q \rangle^A$ .

**Theorem 2.15.** Let  $\langle V, Q \rangle$  be an FBAS. Let  $B \subset V$ .  $\langle V, Q \rangle$  enjoys quorum availability despite B if and only if B is not v-blocking for any  $v \in V \setminus B$ .

Proof.

$$\forall v \in V \setminus B, \neg (B \text{ is } v\text{-blocking}) \iff \forall v \in V \setminus B, \neg (\forall q \in Q(v), q \cap B \neq \emptyset)$$
 
$$\iff \forall v \in V \setminus B, \exists q \in Q(v), q \cap B = \emptyset$$
 
$$\iff \forall v \in V \setminus B, \exists q \in Q(v), q \subset V \setminus B$$
 
$$\iff V = B \text{ or } V \setminus B \text{ is a quorum in } \langle V, Q \rangle$$
 
$$\iff \langle V, Q \rangle \text{ enjoys quorum availability despite } B$$

## 3. Dispensable Sets

**Definition 3.1.** Let  $\langle V, Q \rangle$  be an FBAS and  $B \subset V$  be a set of nodes. B is called a dispensable set, or DSet, if and only if  $\langle V, Q \rangle$  enjoys quorum intersection and availability despite B.

**Definition 3.2.** Let  $\langle V, Q \rangle$  be an FBAS and  $v \in V$ . v is said to be intact if and only if there exists a DSet B containing all ill-behaved nodes and  $v \notin B$ . v is said to be befouled if and only if v is not intact.

**Theorem 3.3.** If  $B_1$  and  $B_2$  are DSets in an FBAS  $\langle V, Q \rangle$  enjoying quorum intersection, then  $B = B_1 \cap B_2$  is a DSet, too.

*Proof.* If  $B_1 = V$  or  $B_2 = V$ , then we are done. Suppose otherwise. For any  $v \in V$ ,

$$v \in V \setminus B \iff v \in V \land v \notin B$$

$$\iff v \in V \land (v \notin B_1 \lor v \notin B_2)$$

$$\iff (v \in V \land v \notin B_1) \lor (v \in V \land v \notin B_2)$$

$$\iff (v \in (V \setminus B_1)) \lor (v \in (V \setminus B_2))$$

$$\iff v \in ((V \setminus B_1) \cup (V \setminus B_2)).$$

Thus,  $V \setminus B = (V \setminus B_1) \cup (V \setminus B_2)$ . By the definition of a DSet,  $V \setminus B_1$  and  $V \setminus B_2$  are both quorums in  $\langle V, Q \rangle$ . By Theorem 2.3,  $V \setminus B$  is a quorum in  $\langle V, Q \rangle$ .

We must now show quorum intersection despite B. Let  $U_a, U_b$  be quorums in  $\langle V, Q \rangle^B$ .

- $U_a \setminus B_1$  is a quorum in  $(\langle V, Q \rangle^B)^{B_1} = \langle V, Q \rangle^{B_1}$  by Theorem 2.7.
- Similarly,  $U_b \setminus B_1$  is a quorum in  $\langle V, Q \rangle^{B_1}$ , and  $U_a \setminus B_2$  and  $U_b \setminus B_2$  are both quorums in  $\langle V, Q \rangle^{B_2}$ .

$$(U_a \setminus B_1) \cup (U_a \setminus B_2) = U_a \setminus (B_1 \cap B_2)$$
$$= U_a \setminus B$$
$$= U_a$$

because  $U_a$  is a quorum in  $\langle V, Q \rangle^B$ . In other words,  $(U_a \setminus B_1) \cup (U_a \setminus B_2) \neq \emptyset$ . Similarly,  $(U_b \setminus B_1) \cup (U_b \setminus B_2) \neq \emptyset$ .

Without loss of generality, assume that  $U_a \setminus B_1 \neq \emptyset$ .

- $V \setminus B_1$  is a quorum in  $\langle V, Q \rangle$  because  $B_1$  is a DSet. Similarly,  $V \setminus B_2$  is a quorum in  $\langle V, Q \rangle$ . Because  $\langle V, Q \rangle$  enjoys quorum intersection,  $(V \setminus B_1) \cap (V \setminus B_2) \neq \emptyset$ . In other words,  $(V \setminus B_2) \setminus B_1$  is a quorum. By Theorem 2.7,  $(V \setminus B_2) \setminus B_1$  is a quorum in  $\langle V, Q \rangle^{B_1}$ .
- $U_a \setminus B_1$  is a quorum in  $(\langle V, Q \rangle^B)^{B_1} = \langle V, Q \rangle^{B_1}$  for the same reason.

Because  $B_1$  is a DSet in  $\langle V, Q \rangle$ ,  $\langle V, Q \rangle^{B_1}$  enjoys quorum intersection. Therefore,  $(U_a \setminus B_1) \cap ((V \setminus B_2) \setminus B_1) \neq \emptyset$ .

$$(U_a \setminus B_1) \cap ((V \setminus B_2) \setminus B_1) = (U_a \cap (V \setminus B_2)) \setminus B_1$$

$$\subset U_a \cap (V \setminus B_2)$$

$$= (U_a \cap V) \setminus B_2$$

$$= U_a \setminus B_2.$$

Thus,  $U_a \setminus B_2 \neq \emptyset$ . Using the same argument, we can show that  $U_b \setminus B_1 \neq \emptyset$  and  $U_b \setminus B_2 \neq \emptyset$ . Since  $U_a \setminus B_1$  and  $U_b \setminus B_1$  are quorums in  $\langle V, Q \rangle^{B_1}$  and  $B_1$  is a DSet,  $(U_a \setminus B_1) \cap (U_b \setminus B_1) \neq \emptyset$ by the definition of a DSet. This implies  $(U_a \cap U_b) \setminus B_1 \neq \emptyset$ . Therefore,  $U_a \cap U_b \neq \emptyset$ .

**Theorem 3.4.** In an FBAS with quorum intersection, the set of befouled nodes is a DSet.

*Proof.* Let  $\langle V, Q \rangle$  be an FBAS with quorum intersection. Let B be the intersection of all DSets that contain all ill-behaved nodes. By Theorem 3.3, B is a DSet.

- Case 1:  $v \in B$ . Then there exists no DSet  $B_v$  such that  $B_v$  contains all ill-behaved nodes and  $v \notin B_v$ . Therefore, v is not an intact node. In other words, v is a befouled node.
- Case 2:  $v \notin B$ . Then there exists a DSet  $B_v$  that contains all ill-behaved nodes and  $v \notin B_v$ . In other words, v is intact and thus v is not a befouled node.

Therefore, B is precisely the set of befouled nodes and it is a DSet.

**Theorem 3.5.** Let  $\langle V, Q \rangle$  be an FBAS and let  $B \subset V$  be the set of befouled nodes. If B is a DSet, B is not v-blocking for any intact v.

*Proof.* By Definition 3.2, a node  $v \in V$  is intact if and only if  $v \notin B$ . By Theorem 2.15,  $\langle V, Q \rangle$  enjoys quorum availability despite B if and only if B is not v-blocking for any  $v \in V \setminus B$ . Since B is a DSet,  $\langle V, Q \rangle$  enjoys quorum availability despite B. Thus B is not v-blocking for any intact v.

## 4. VOTING, ACCEPTING, AND RATIFYING

**Definition 4.1.** A node v votes for a statement a if and only if v asserts

- $\bullet$  a is valid,
- a is consistent with all statements v has accepted,
- v has never voted against a,
- v promises never to vote against a in the future.

**Definition 4.2.** Let  $\langle V, Q \rangle$  be an FBAS, and let  $v \in V$ . v accepts a statement a if and only if

- It has never accepted a statement contradicting a.
- It determines that either
  - There exists a quorum such that  $v \in U$  and each member of U either voted for a or broadcast that it has accepted a, or
  - There exists a v-blocking set B such that every member of B broadcast that it has accepted a.

Note that it is possible for a node to accept a statement it did not vote for. Furthermore, it is possible for a node to accept a statement after voting for a contradictory statement.

**Definition 4.3.** A quorum  $U_a$  ratifies a statement a if and only if every member of  $U_a$  votes for a. A node v ratifies a if and only if v is a member of a quorum  $U_a$  that ratifies a.

**Theorem 4.4.** Let  $\langle V, Q \rangle$  be an FBAS. If a node  $v \in V$  ratifies a statement a, then it must accept a.

*Proof.* If a node v ratifies a, then it is a member of a quorum  $U \subset V$  that ratifies a. Thus every member of U votes for a. This implies that v also votes for a. By Definition 4.1, v has never accepted a statement contradicting a. By Definition 4.2, v accepts a.

**Theorem 4.5.** If an FBAS enjoys quorum intersection and contains no ill-behaved node, then two contradictory statements cannot be both ratified.

*Proof.* Suppose the statement is false and let  $a, \bar{a}$  denote two contradictory statements ratified in such an FBAS. Let  $U_a, U_{\bar{a}}$  denote quorums ratifying such statements, respectively. By the definition of quorum intersection,  $U_a \cap U_{\bar{a}} \neq \emptyset$ . Let  $v \in U_a \cap U_{\bar{a}}$ . This implies that v voted for both a and  $\bar{a}$ . However, this goes against the definition of voting. In other words, v must be ill-behaved, which is a contradiction to our assumption.

**Theorem 4.6.** Let  $\langle V, Q \rangle$  be an FBAS. Let  $B \subsetneq V$  be a subset containing all the ill-behaved nodes and suppose that  $\langle V, Q \rangle^B$  enjoys quorum intersection. Let  $v_1 \neq v_2 \in V \setminus B$ . If  $v_1$  ratifies a statement a, then  $v_2$  cannot ratify any statement that contradicts a.

Proof. Suppose that the theorem is false and let  $U_1, U_2$  be quorums of  $v_1, v_2$  that ratify  $a, \bar{a}$ , respectively where a and  $\bar{a}$  are contradictory. Since  $v_1 \in U_1 \setminus B$ ,  $U_1 \setminus B \neq \emptyset$ . By Theorem 2.9,  $U'_1 = U_1 \setminus B$  is a quorum in  $\langle V, Q \rangle^B$ . Similarly,  $U'_2 = U_2 \setminus B$  is a quorum in  $\langle V, Q \rangle^B$ . Since  $\langle V, Q \rangle^B$  enjoys quorum intersection,  $U'_1 \cap U'_2 \neq \emptyset$ . Let  $v \in U'_1 \cap U'_2$ . Then  $v \in U_1 \cap U_2$ . In order for  $U_1, U_2$  to ratify  $a, \bar{a}$ , respectively, v must vote for both a and  $\bar{a}$ . However, this is against the definition of voting. v must be an ill-behaved node, so  $v \in B$ , which is a contradiction because  $v \in U_1 \setminus B$ .

**Theorem 4.7.** Let  $\langle V, Q \rangle$  be an FBAS with quorum intersection. Then two intact nodes in V cannot ratify contradictory statements.

*Proof.* Let  $v \neq v'$  be two intact nodes in V. Let  $B \subset V$  be the set of befouled nodes. Then  $v \notin B$  and  $v' \notin B$ . Since  $\langle V, Q \rangle$  is an FBAS with quorum intersection, B is a DSet by Theorem 3.4. By the definition of a DSet (Definition 3.1),  $\langle V, Q \rangle^B$  enjoys quorum intersection. By Theorem 4.6, v, v' cannot ratify contradictory statements.

**Lemma 4.8.** Let  $\langle V, Q \rangle$  be an FBAS enjoying quorum intersection and B be the set of befouled nodes. If a is accepted by an intact node in V, then a is ratified by some intact node in  $\langle V, Q \rangle^B$ .

*Proof.* Since V is finite, there has to be an intact node v such that no intact nodes in V accepted a before v.

Since  $\langle V, Q \rangle$  enjoys quorum intersection, B is a DSet by Theorem 3.4. By Theorem 3.5, B is not v-blocking. Therefore, by Definition 4.2, there must exist a quorum U of v such that, before v accepted a, every member of U either voted for a or broadcast that it has

accepted a. Because of the way we picked v, every intact node in U must have voted for a before v accepted a. In other words, every node in  $U \setminus B$  voted for a. By Theorem 2.9, v ratified a in  $\langle V, Q \rangle^B$ . Finally, v is indeed an intact node in  $\langle V, Q \rangle^B$  because  $\langle V, Q \rangle^B$  contains no ill-behaved nodes.

**Theorem 4.9.** Two intact nodes in an FBAS  $\langle V, Q \rangle$  enjoying quorum intersection cannot accept contradictory statements.

Note that Theorem 4.9 is a stronger version of Theorem 4.7 by Theorem 4.4.

*Proof.* Suppose otherwise. Let  $a, \bar{a}$  be contradictory statements accepted by two intact nodes in  $\langle V, Q \rangle$ . By Lemma 4.8,  $a, \bar{a}$  are ratified by some intact nodes in  $\langle V, Q \rangle^B$ . By Definition 3.1,  $\langle V, Q \rangle$  enjoys quorum intersection despite B. In other words,  $\langle V, Q \rangle^B$  enjoys quorum intersection. By Theorem 4.7,  $a, \bar{a}$  cannot be ratified by v, v' in  $\langle V, Q \rangle^B$ , which is a contradiction.  $\square$ 

### 5. Confirmation

**Definition 5.1.** A statement a is irrefutable in an FBAS if no intact node can ever vote against it.

**Definition 5.2.** A quorum  $U_a$  in an FBAS confirms a statement a if and only if every element in  $U_a$  broadcasts that it has accepted a. A node confirms a if and only if it is in such a quorum.

**Lemma 5.3.** Let  $\langle V, Q \rangle$  be an FBAS with quorum intersection. Let B denote the set of befouled nodes. Let U be a quorum containing an intact node. Let S be a set such that  $U \subset S \subset V$ . Let  $S^+ = S \setminus B$  be the set of intact nodes in S, and let  $S^- = (V \setminus S) \setminus B$  be the set of intact nodes not in S. Either  $S^- = \emptyset$ , or  $\exists v \in S^-$  such that  $S^+$  is v-blocking.

*Proof.* If  $\exists v \in S^-$  such that  $S^+$  is v-blocking, then we are done. Suppose that  $\forall v \in S^-$ ,  $S^+$  is not v-blocking in  $\langle V, Q \rangle$ . By Theorem 2.14,  $S^+$  is not v-blocking in  $\langle V, Q \rangle^B$  for any  $v \in S^- = (V \setminus B) \setminus S^+$ . By Theorem 2.15,  $\langle V, Q \rangle^B$  enjoys quorum availability despite  $S^+$ . By Definition 2.11,  $(V \setminus B) \setminus S^+$  is a quorum in  $\langle V, Q \rangle^B$ , or  $V \setminus B = S^+$ . If  $V \setminus B = S^+$ , then  $S^- = \emptyset$ , and we are done. Suppose  $(V \setminus B) \setminus S^+$  is a quorum in  $\langle V, Q \rangle^B$ .

- $U \setminus B$  is a quorum in  $\langle V, Q \rangle^B$  by Theorem 2.9.
- Since B is a DSet by Theorem 3.4,  $\langle V, Q \rangle^B$  enjoys quorum intersection by Definition 3.1.
- However,

$$(U \setminus B) \cap ((V \setminus B) \setminus S^{+}) = (U \setminus B) \cap S^{-}$$
$$\subset S \cap S^{-}$$
$$= \emptyset.$$

This is a contradiction.

**Theorem 5.4.** If an intact node in an FBAS  $\langle V, Q \rangle$  with quorum intersection confirms a statement a, then every intact node will accept and confirm a once sufficient messages are delivered.

*Proof.* Let B denote the set of befouled nodes. Then there exists a quorum  $U \not\subset B$  such that every node in U broadcast that it accepted a. After every node in U broadcast it accepted a, there may be a node v that accept a since U is v-blocking. After all such nodes broadcast that they accepted a, there may be other nodes that accept a as well. Since V is a finite set, there is a point in time where the number of nodes that accept a does not increase. Let S be the set of all nodes that accepted a and broadcast it.

- *U* is a quorum containing an intact node.
- $U \subset S \subset V$ .
- Let  $S^+ = S \setminus B$  be the set of intact nodes in S, and let  $S^- = (V \setminus S) \setminus B$  be the set of intact nodes not in S.

By Lemma 5.3,  $S^-$  is empty, or  $S^+$  is v-blocking for some  $v \in S^-$ . However, the latter is impossible because it would imply that v would accept a. Therefore,  $S^-$  is empty, and thus every intact node accepted a.

# 6. Nomination

Nomination is done through voting, accepting, and confirming a special type of statement in the form of nominate x.

**Definition 6.1.** A node v is said to nominate a value x if and only if it votes for the statement nominate x.

**Definition 6.2.** A node v considers a value x to be a candidate if and only if v has confirmed the statement *nominate* x. Alternatively, we say that a node v has a candidate value x.

Definition 6.3.