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# Computer Architecture

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## Course administration

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- ❑ Instructor: Ngo Lam Trung  
803 B1, SoICT, HUST
- ❑ Text: [Required] Computer Organization and Design, 5<sup>th</sup> edition revised printing  
Patterson & Hennessy 2014.  
  
[Optional] Computer Organization and Architecture, 10<sup>th</sup> Edition, William Stalling
- ❑ Slides: pdf
- ❑ Schedule: as in timetable

# Course content

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- ❑ Chapter 1: Introduction
- ❑ Chapter 2: Instruction Set Architecture
- ❑ Chapter 3: Computer Arithmetic
- ❑ Chapter 4: CPU

# Computers are so important

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## ❑ Current modern life

- | Modern automobiles
- | Cellphones
- | WWW
- | Search engines

## ❑ Future

- | Tailored medical care based on individual genome
- | Super-human: transfer human's brain (14 billion of neural) to a mechanical body (robot) for interstellar traveling

The Future of Humanity: Terraforming Mars, Interstellar Travel, Immortality, and Our Destiny Beyond Earth,  
*Michio Kaku* 2018

- | And many more...

## Outcomes from this course

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- ❑ Understanding of how high level language programs (C, Java...) translate into computer language programs, and how hardware execute the latter programs.
- ❑ Hardware/software interface, and how software instruct hardware to perform functions.
- ❑ The factors that determine computer performance.
- ❑ What techniques can be used to improve computer performance.
- ❑ Why computer moves from sequential to parallel processing.

# Prerequisites - What You Should Already Knew

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- ❑ What is computer
- ❑ Look and feel of computer
  - | How computer parts look like?
- ❑ Basic logic design
  - | Combinational, sequential circuit design
- ❑ Create, compile, and run C/C++ programs

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## Chapter 1: Introduction

1. Computer Abstraction and Technology
2. Performance Evaluation

[with materials from *Computer Organization and Design, 5<sup>th</sup> Edition*,  
Patterson & Hennessy, ©2014, MK  
and M.J. Irwin's presentation, PSU 2008]

# 1. Computer Abstraction and Technology

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- ❑ Computer classification
- ❑ Computer generations
- ❑ The key of computer evolution: IC making technology
- ❑ Computer organization



# 1. Computer Abstraction and Technology

## ❑ What is a computer?

### ❑ A machine that

- | Accepts input data
- | Processes data by executing a stored program
- | Produces output

## ❑ Which one is computer?



# Classes of Computers

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## ❑ Supercomputers

- | Super fast + expensive for high-end applications

## ❑ Server

- | Network based
- | High capacity, performance, reliability
- | Range from small servers to building sized

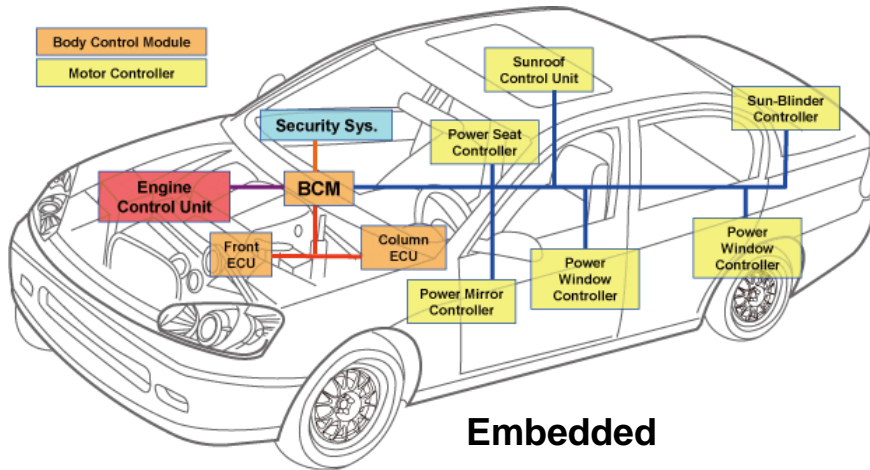
## ❑ Desktop computers

- | General purpose, variety of software
- | Subject to cost/performance tradeoff

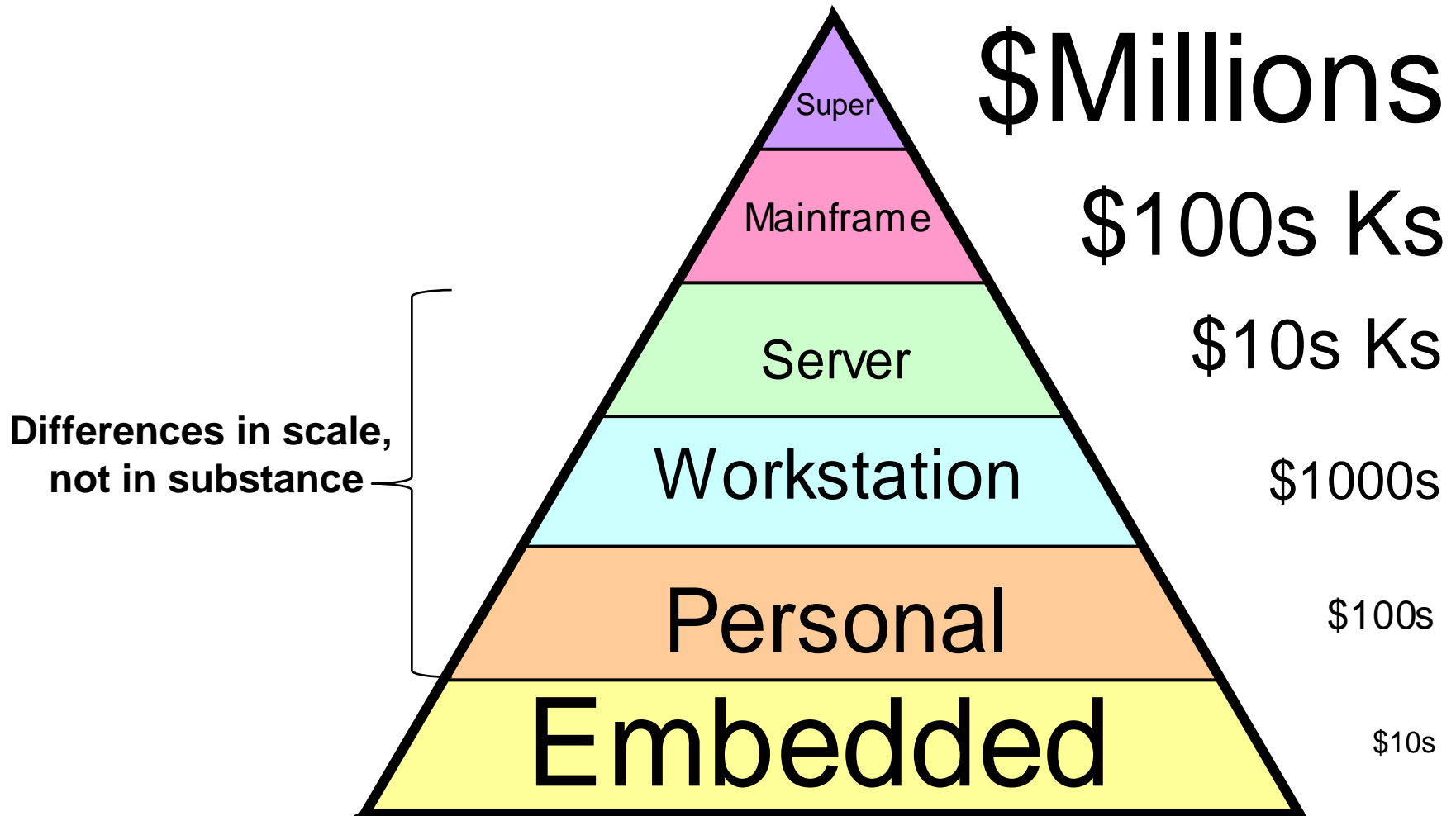
## ❑ Embedded computers

- | Hidden as components of systems
- | Stringent power/performance/cost constraints

# Dominant look and feel of computer classes



# Price/performance of computer classes



## Post-PC era

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- ❑ PDA, smart phone, tablet...
- ❑ Smart TV, set top box...



## Below your program - abstraction

### ❑ High-level language program (in C)

```
swap (int v[], int k)
{
    int temp;
    temp = v[k];
    v[k] = v[k+1];
    v[k+1] = temp;
}
```

one-to-many

C compiler

### ❑ Assembly language program (for MIPS CPU)

```
swap:  sll    $2, $5, 2
        add    $2, $4, $2
        lw     $15, 0($2)
        lw     $16, 4($2)
        sw     $16, 0($2)
        sw     $15, 4($2)
        jr     $31
```

one-to-one

assembler

### ❑ Machine (object, binary) code (for MIPS CPU)

```
000000 00000 00101 0001000010000000
000000 00100 00010 0001000000100000
. . .
```

# Levels of Program Code

## ❑ High-level language

- | Level of abstraction closer to problem domain
- | Provides for productivity and portability

## ❑ Assembly language

- | Textual representation of instructions

## ❑ Hardware representation

- | Binary digits (bits)
- | Encoded instructions and data

High-level  
language  
program  
(in C)

```
swap(int v[], int k)
{int temp;
  temp = v[k];
  v[k] = v[k+1];
  v[k+1] = temp;
}
```

Compiler

Assembly  
language  
program  
(for MIPS)

```
swap:
  muli $2, $5, 4
  add  $2, $4, $2
  lw   $15, 0($2)
  lw   $16, 4($2)
  sw   $16, 0($2)
  sw   $15, 4($2)
  jr   $31
```

Assembler

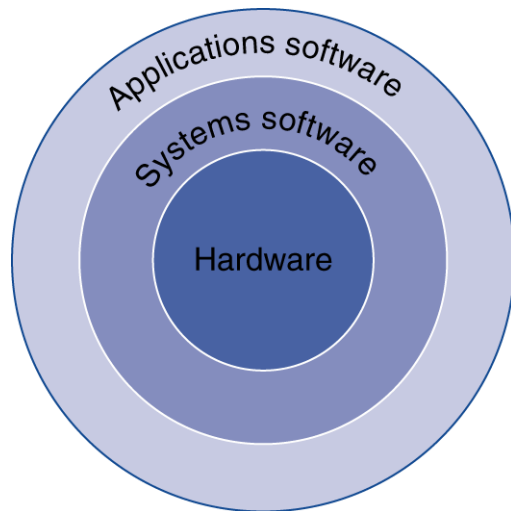
Binary machine  
language  
program  
(for MIPS)

```
000000001010000100000000000011000
000000000000110000001100000100001
100011000110001000000000000000000
100011001111001000000000000000100
101011001111001000000000000000000
101011000110001000000000000000100
00000011111000000000000000001000
```



# Hardware/software interface: below your program

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## ❑ Application software

- | Written in high-level language (HLL)

## ❑ System software

- | Compiler: translates HLL code to machine code
- | Operating System: service code
  - Handling input/output
  - Managing memory and storage
  - Scheduling tasks & sharing resources

## ❑ Hardware

- | Processor, memory, I/O controllers



# Computer Organization

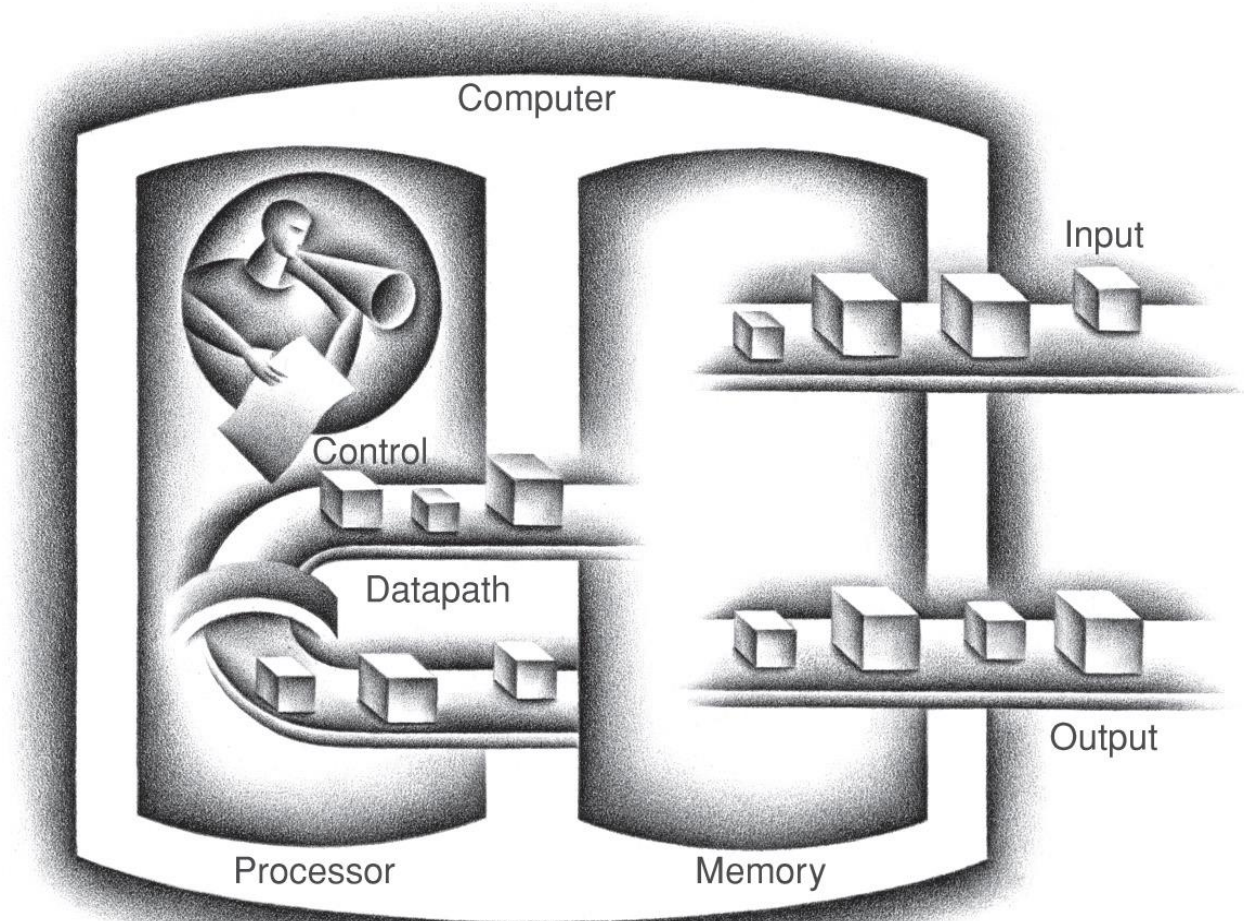
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- ❑ Computer's basic operation
  - | Input data
  - | Process data by executing stored program
  - | Output data
- ❑ What are required components of computer?
  - | For data input:
  - | For storing information:
  - | For program execution and data processing:
  - | For data output:

# Computer Organization

- ❑ Five classic components of a computer – input, output, memory, datapath, and control

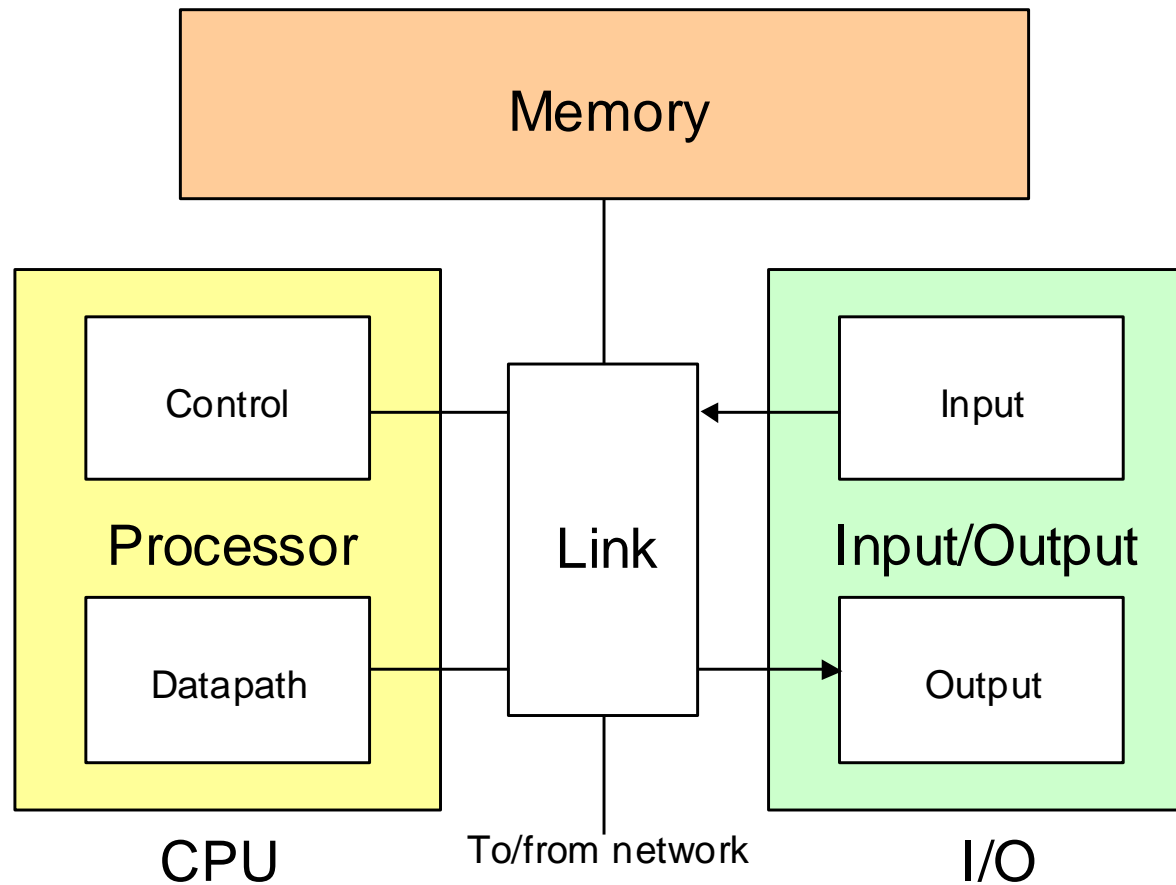
- ❑ **datapath + control = processor (CPU)**



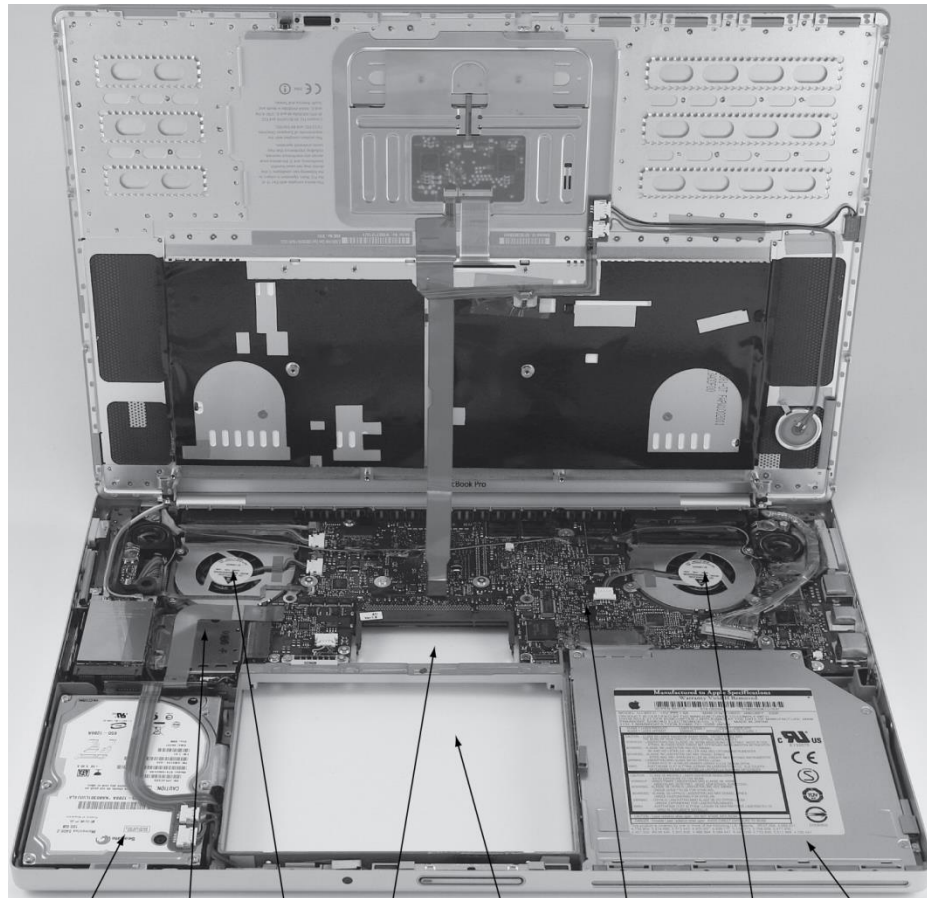
## A similar view

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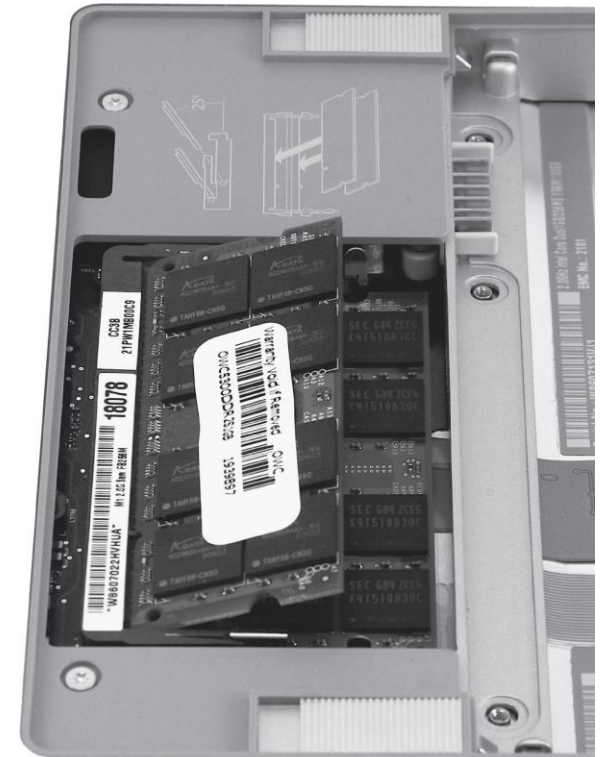
- ❑ Usually, the Link unit is hidden



# Opening the box: anatomy of computer



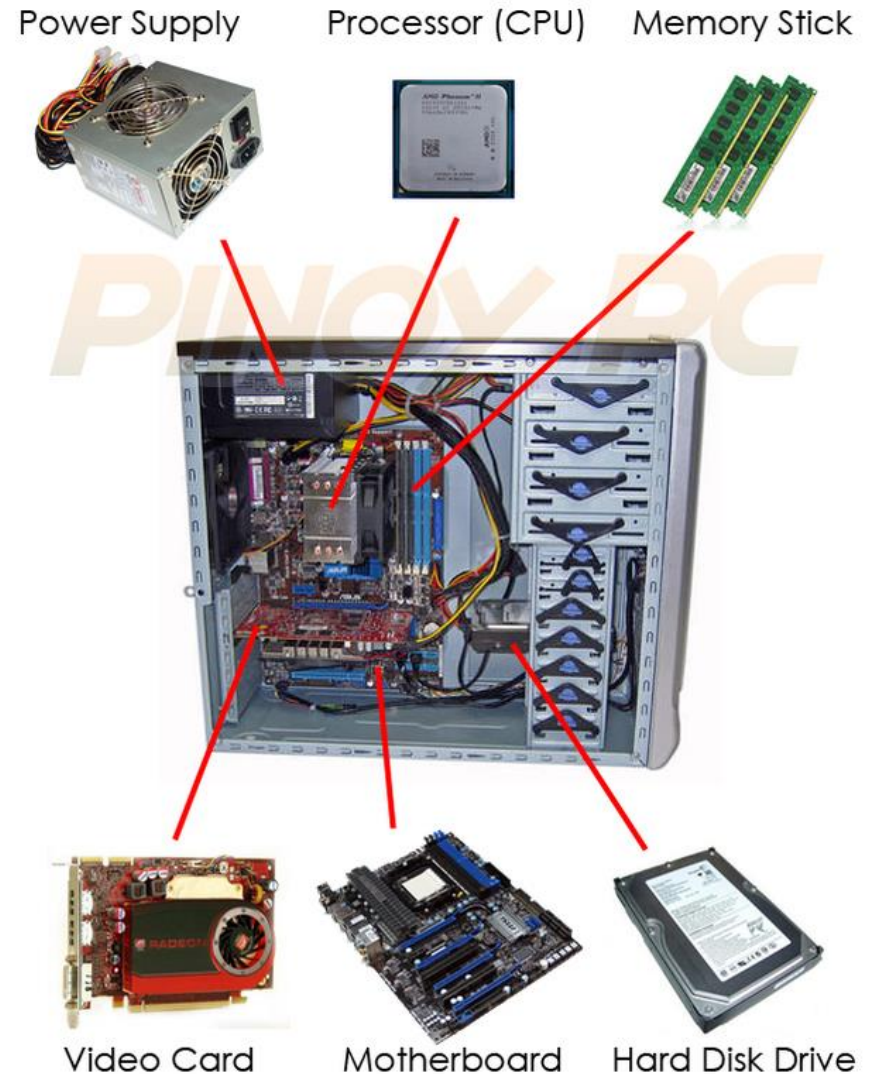
Hard drive   Processor   Fan with cover   Spot for memory DIMMs   Spot for battery   Motherboard   Fan with cover   DVD drive



# Opening the box: anatomy of computer



**The story of each component  
worth a separate course!**



# Inside the Processor (CPU)

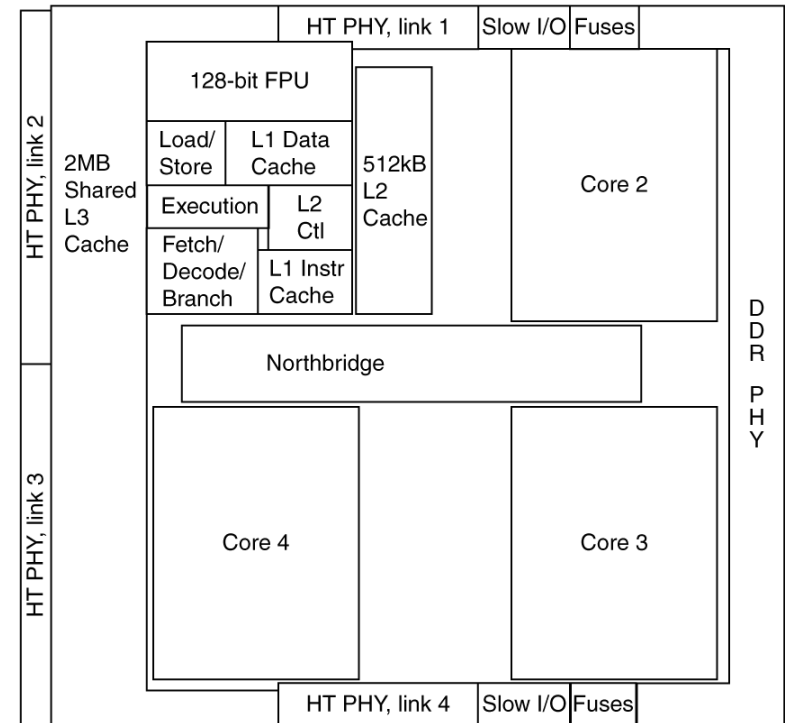
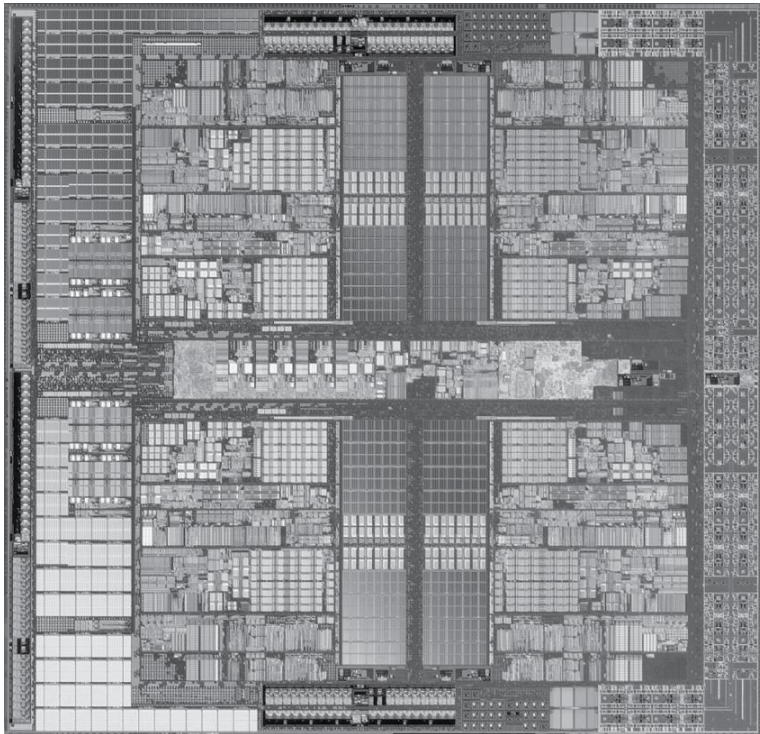
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- ❑ Datapath: performs operations on data
- ❑ Control: sequences datapath, memory, ...
- ❑ Cache memory
  - | Small fast SRAM memory for immediate access to data

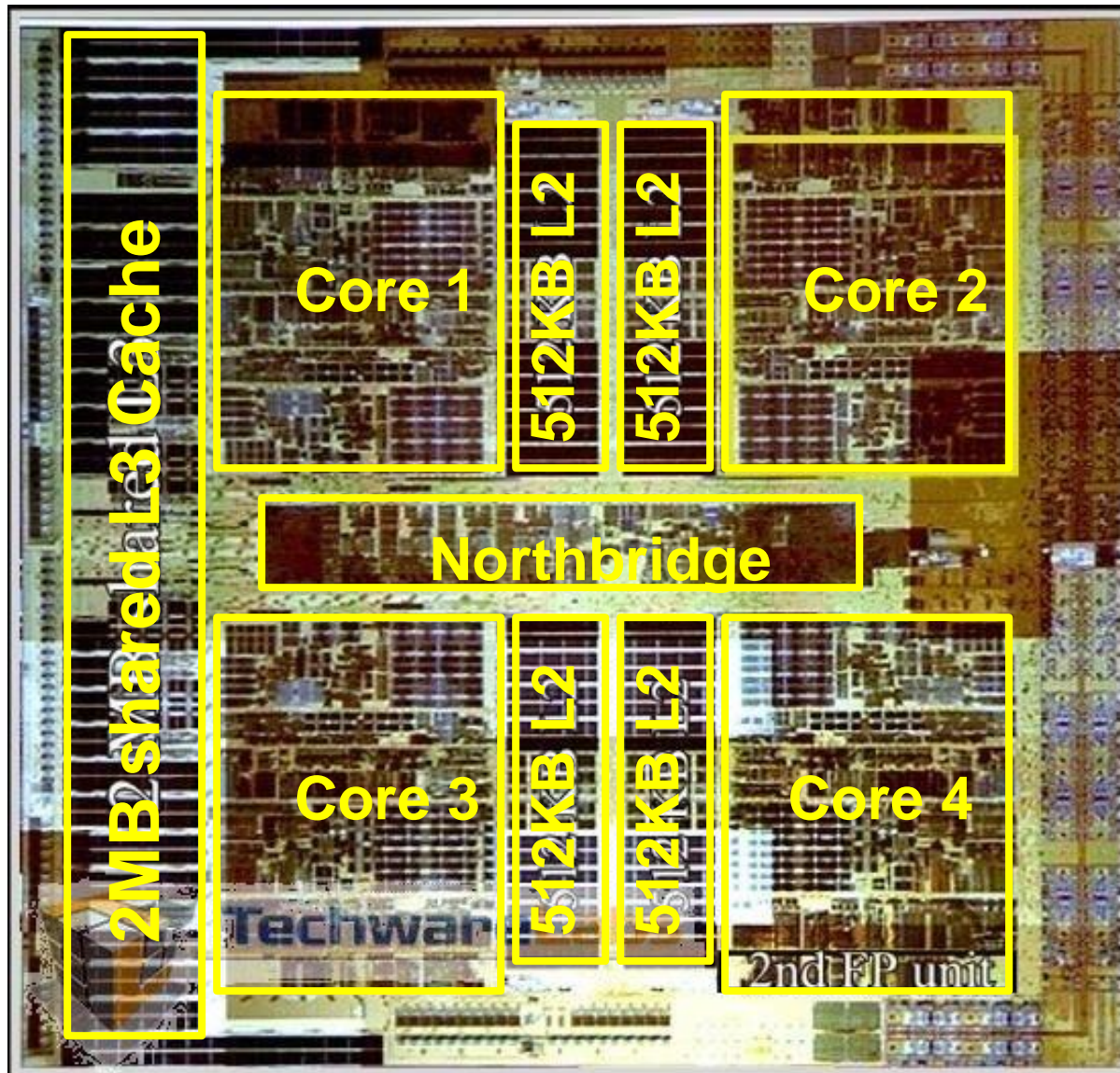


# Inside the Processor

- AMD Barcelona: 4 processor cores



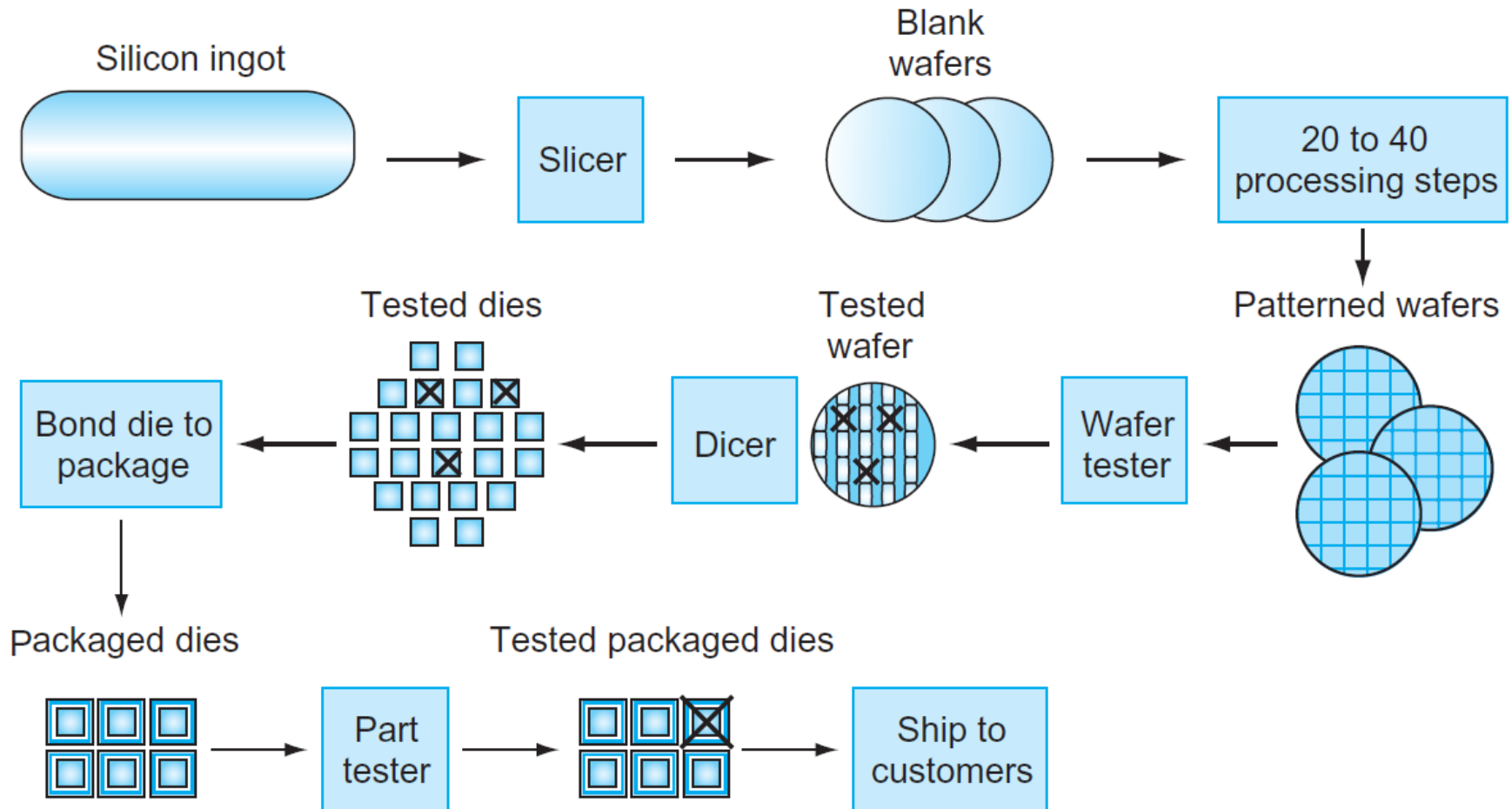
# AMD's Barcelona Multicore Chip



- ❑ Four out-of-order cores on one chip
- ❑ 1.9 GHz clock rate
- ❑ 65nm technology
- ❑ Three levels of caches (L1, L2, L3) on chip
- ❑ Integrated Northbridge



# Key to computer evolution: IC making technology



**The chip manufacturing process**

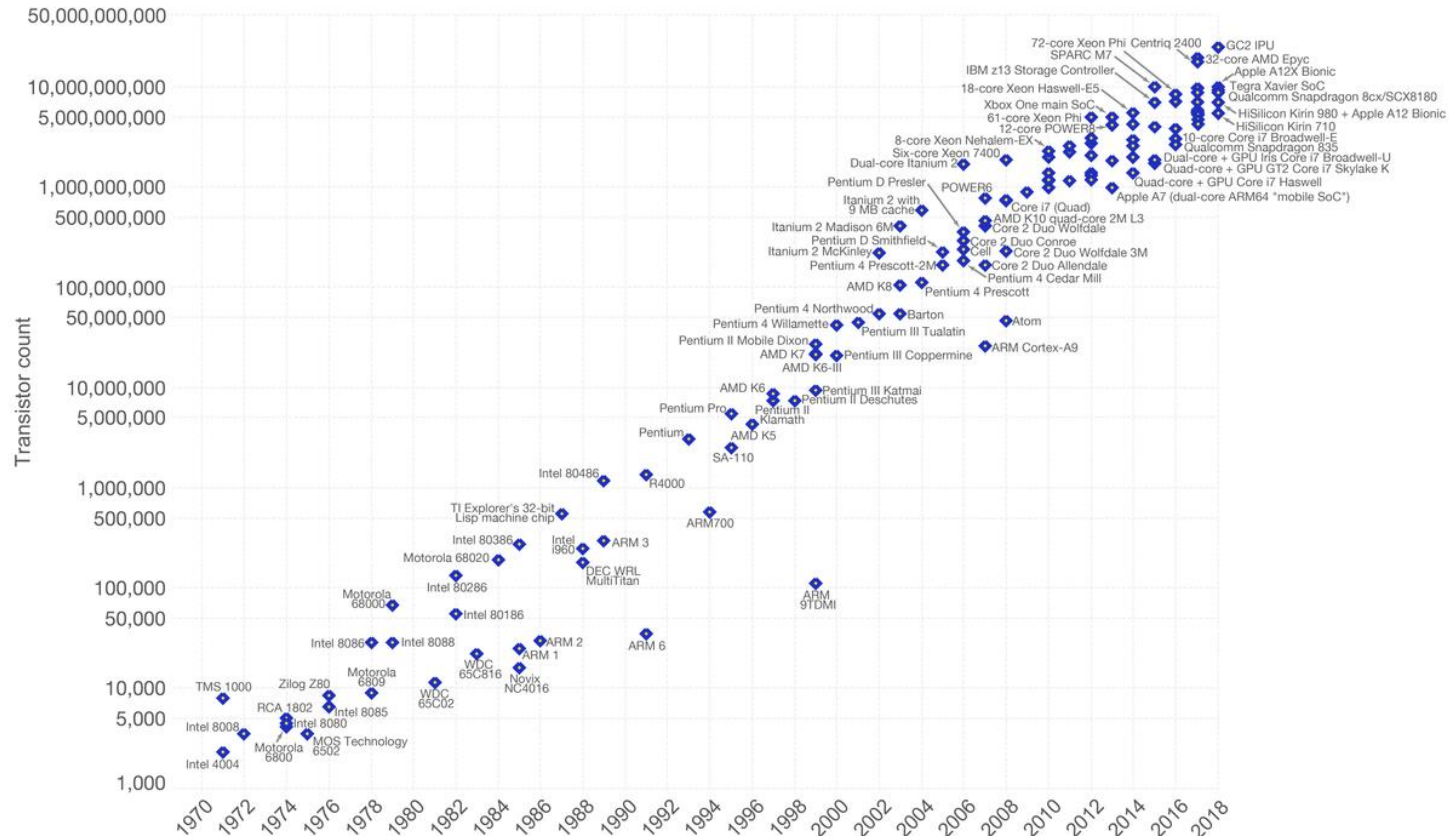
# Video: How an IC is made

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# Moore's Law

## Moore's Law – The number of transistors on integrated circuit chips (1971-2018)

Moore's law describes the empirical regularity that the number of transistors on integrated circuits doubles approximately every two years. This advancement is important as other aspects of technological progress – such as processing speed or the price of electronic products – are linked to Moore's law.



Data source: Wikipedia ([https://en.wikipedia.org/wiki/Transistor\\_count](https://en.wikipedia.org/wiki/Transistor_count))

The data visualization is available at OurWorldInData.org. There you find more visualizations and research on this topic.

Licensed under CC-BY-SA by the author Max Roser.

*How do we benefit from this?*

# Key to computer evolution: IC making technology

- ❑ Electronics technology continues to evolve
  - | Increased capacity and performance
  - | Reduced cost

Year	Technology	Relative performance/cost
1951	Vacuum tube	1
1965	Transistor	35
1975	Integrated circuit (IC)	900
1995	Very large scale IC (VLSI)	2,400,000
2005	Ultra large scale IC	6,200,000,000

[Textbook]

## 2. Computer performance evaluation

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- ❑ To maximize performance, need to **minimize** execution time

$$\text{performance}_x = 1 / \text{execution\_time}_x$$

If computer X is n times faster than Y, then

$$\frac{\text{performance}_x}{\text{performance}_y} = \frac{\text{execution\_time}_y}{\text{execution\_time}_x} = n$$

## Relative Performance Example

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- ❑ If computer A runs a program in 10 seconds and computer B runs the same program in 15 seconds, how much faster is A than B?

**We know that A is n times faster than B if**

$$\frac{\text{performance}_A}{\text{performance}_B} = \frac{\text{execution\_time}_B}{\text{execution\_time}_A} = n$$

**The performance ratio is**  $\frac{15}{10} = 1.5$

**So A is 1.5 times faster than B**

## Performance Factors

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- ❑ CPU execution time (CPU time) – time the CPU spends working on a task
  - ❑ Does not include time waiting for I/O or running other programs

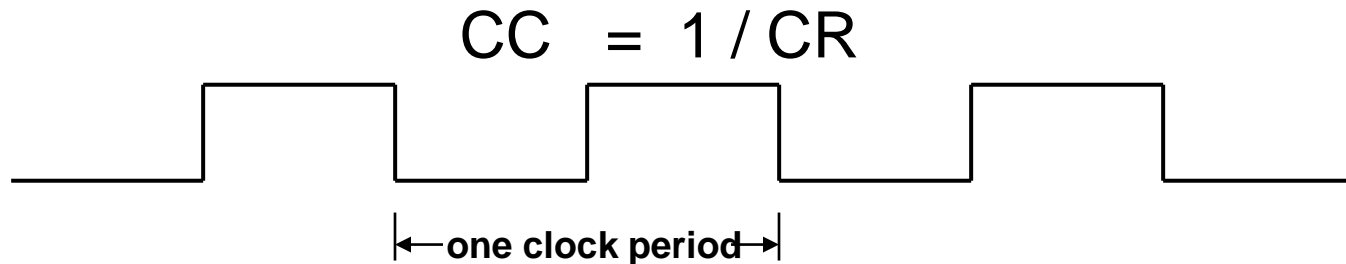
$$\begin{aligned}\text{CPU execution time for a program} &= \# \text{ CPU clock cycles for a program} \times \text{clock cycle time} \\ &= \frac{\# \text{ CPU clock cycles for a program}}{\text{clock rate}}\end{aligned}$$

- ❑ Can improve performance by reducing either the length of the clock cycle or the number of clock cycles required for a program

## Review: Machine Clock Rate

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- ❑ CPU is a sequential circuit, requires a clock signal to trigger state changes (“actions”).
- ❑ Clock rate and clock cycle



**10 nsec clock cycle => 100 MHz clock rate**

**5 nsec clock cycle => 200 MHz clock rate**

**2 nsec clock cycle => 500 MHz clock rate**

**1 nsec ( $10^{-9}$ ) clock cycle => 1 GHz ( $10^9$ ) clock rate**

**500 psec clock cycle => 2 GHz clock rate**

**250 psec clock cycle => 4 GHz clock rate**

**200 psec clock cycle => 5 GHz clock rate**



## Improving Performance Example

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- ❑ A program runs on computer A with a 2 GHz clock in 10 seconds. What clock rate must computer B run at to run this program in 6 seconds? Assume that, computer B will require 1.2 times as many clock cycles as computer A to run the program.

$$\text{CPU time}_A = \frac{\text{CPU clock cycles}_A}{\text{clock rate}_A}$$

$$\begin{aligned}\text{CPU clock cycles}_A &= 10 \text{ sec} \times 2 \times 10^9 \text{ cycles/sec} \\ &= 20 \times 10^9 \text{ cycles}\end{aligned}$$

$$\text{CPU time}_B = \frac{1.2 \times 20 \times 10^9 \text{ cycles}}{\text{clock rate}_B}$$

$$\text{clock rate}_B = \frac{1.2 \times 20 \times 10^9 \text{ cycles}}{6 \text{ seconds}} = 4 \text{ GHz}$$

# Clock Cycles per Instruction

- ❑ Not all instructions take the same amount of time to execute

┆ Average execution time ~ average clock cycles per instruction

$$\begin{array}{l} \text{\# CPU clock cycles} \\ \text{for a program} \end{array} = \begin{array}{l} \text{\# Instructions} \\ \text{for a program} \end{array} \times \begin{array}{l} \text{Average clock cycles} \\ \text{per instruction} \end{array}$$

- ❑ Clock cycles per instruction (CPI) – the average number of clock cycles each instruction takes to execute

┆ A way to compare two different implementations of the same ISA

	CPI for this instruction class		
	A	B	C
CPI	1	2	3

## Using the Performance Equation

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- ❑ Computers A and B implement the same ISA. Computer A has a clock cycle time of 250 ps and an effective CPI of 2.0 for some program and computer B has a clock cycle time of 500 ps and an effective CPI of 1.2 for the same program. Which computer is faster and by how much?

**Each computer executes the same number of instructions,  $I$ , so**

$$\text{CPU time}_A = I \times 2.0 \times 250 \text{ ps} = 500 \times I \text{ ps}$$

$$\text{CPU time}_B = I \times 1.2 \times 500 \text{ ps} = 600 \times I \text{ ps}$$

**Clearly, A is faster ... by the ratio of execution times**

$$\frac{\text{performance}_A}{\text{performance}_B} = \frac{\text{execution\_time}_B}{\text{execution\_time}_A} = \frac{600 \times I \text{ ps}}{500 \times I \text{ ps}} = 1.2$$

## The Performance Equation

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- ❑ Our basic performance equation is then calculated

$$\begin{aligned}\text{CPU time} &= \text{Instruction\_count} \times \text{CPI} \times \text{clock\_cycle} \\ &= \frac{\text{Instruction\_count} \times \text{CPI}}{\text{clock\_rate}}\end{aligned}$$

- ❑ Key factors that affect performance (CPU execution time)
  - ❑ The clock rate: CPU specification
  - ❑ CPI: varies by instruction type and ISA implementation
  - ❑ Instruction count: measure by using profilers/ simulators

# Dynamic Instruction Count

How many instructions are executed in this program fragment?

250 instructions

for i = 1, 100 do

20 instructions

for j = 1, 100 do

40 instructions

for k = 1, 100 do

10 instructions

endfor

endfor

endfor

Static count = 326

Each “for” consists of two instructions: increment index, check exit condition

12,422,450 Instructions

2 + 20 + 124,200 instructions

100 iterations

12,422,200 instructions in all

2 + 40 + 1200 instructions

100 iterations

124,200 instructions in all

2 + 10 instructions

100 iterations

1200 instructions in all

for i = 1, n  
while x > 0

## Improving performance by CPI

Op	Freq	CPI <sub>i</sub>	Freq x CPI <sub>i</sub>
ALU	50%	1	
Load	20%	5	
Store	10%	3	
Branch	20%	2	
$Avg\ CPI = \sum freq_i * CPI_i$			=

- ❑ How much faster would the machine be if a better data cache reduced the average load time to 2 cycles?
- ❑ What if branch instruction is only one cycle?
- ❑ What if two ALU instructions could be executed at once?

# Improving performance by CPI

Op	Freq	CPI <sub>i</sub>	Freq x CPI <sub>i</sub>			
ALU	50%	1	.5	.5	.5	.25
Load	20%	5	1.0	.4	1.0	1.0
Store	10%	3	.3	.3	.3	.3
Branch	20%	2	.4	.4	.2	.4
$Avg\ CPI = \sum freq_i * CPI_i$			= 2.2	1.6	2.0	1.95

- ❑ How much faster would the machine be if a better data cache reduced the average load time to 2 cycles?

**CPU time new = 1.6 x IC x CC so 2.2/1.6 means 37.5% faster**

- ❑ What if branch instruction is only one cycle?

**CPU time new = 2.0 x IC x CC so 2.2/2.0 means 10% faster**

- ❑ What if two ALU instructions could be executed at once?

**CPU time new = 1.95 x IC x CC so 2.2/1.95 means 12.8% faster**

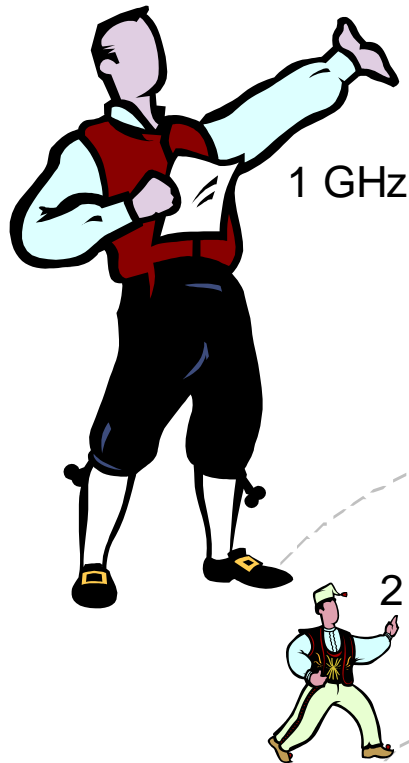
## How to improve performance?

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- ❑ Shorter clock cycle = faster clock rate
  - latest CPU technology
- ❑ Smaller CPI
  - optimizing Instruction Set Architecture
- ❑ Smaller instruction count
  - optimizing algorithm and compiler
- ❑ To get best performance, multiple criteria are combined and considered at design time
  - specific CPU for specific class computation problem



# Faster Clock $\neq$ Shorter Running Time



Suppose addition takes 1 ns  
Clock period = 1 ns; 1 cycle  
Clock period =  $\frac{1}{2}$  ns; 2 cycles

4 steps

20 steps

Solution

In this example, addition time  
does not improve in going from  
1 GHz to 2 GHz clock

**Faster steps do not necessarily mean  
shorter travel time.**

# Measuring/benchmarking PC performance

## ❑ SPEC CPU benchmark

- | Started in 1989
- | SPEC CPU2006: 12 integer, 17 floating point benchmarks
- | Reference machine: Sun Ultra Enterprise 2 (1997) running on a 296 MHz UltraSPARC II CPU.

Description	Name	Instruction Count x 10 <sup>9</sup>	CPI	Clock cycle time (seconds x 10 <sup>-9</sup> )	Execution Time (seconds)	Reference Time (seconds)	SPECratio
Interpreted string processing	perl	2252	0.60	0.376	508	9770	19.2
Block-sorting compression	bzip2	2390	0.70	0.376	629	9650	15.4
GNU C compiler	gcc	794	1.20	0.376	358	8050	22.5
Combinatorial optimization	mcf	221	2.66	0.376	221	9120	41.2
Go game (AI)	go	1274	1.10	0.376	527	10490	19.9
Search gene sequence	hmmer	2616	0.60	0.376	590	9330	15.8
Chess game (AI)	sjeng	1948	0.80	0.376	586	12100	20.7
Quantum computer simulation	libquantum	659	0.44	0.376	109	20720	190.0
Video compression	h264avc	3793	0.50	0.376	713	22130	31.0
Discrete event simulation library	omnetpp	367	2.10	0.376	290	6250	21.5
Games/path finding	astar	1250	1.00	0.376	470	7020	14.9
XML parsing	xalancbmk	1045	0.70	0.376	275	6900	25.1
Geometric mean	–	–	–	–	–	–	25.7

FIGURE 1.18 SPECINTC2006 benchmarks running on a 2.66 GHz Intel Core i7 920.