



# TRƯỜNG ĐẠI HỌC BÁCH KHOA HÀ NỘI VIỆN ĐIỆN TỬ - VIỄN THÔNG

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## BỘ MÔN ĐIỆN TỬ HÀNG KHÔNG VŨ TRỤ

**Môn học:**

# LÝ THUYẾT MẬT MÃ

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# Mục tiêu học phần

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Cung cấp kiến thức cơ bản về mật mã đảm bảo an toàn và bảo mật thông tin:

- ✓ Các phương pháp mật mã khóa đối xứng; Phương pháp mật mã khóa công khai;
  - ✓ Các hệ mật dòng và vấn đề tạo dãy giả ngẫu nhiên;
  - ✓ Lược đồ chữ ký số Elgamal và chuẩn chữ ký số ECDSA;
  - ✓ Độ phức tạp xử lý và độ phức tạp dữ liệu của một tấn công cụ thể vào hệ thống mật mã;
  - ✓ Đặc trưng an toàn của phương thức mã hóa;
  - ✓ Thăm mã tuyến tính, thăm mã vi sai và các vấn đề về xây dựng hệ mã bảo mật cho các ứng dụng.
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# Nội Dung

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1. Chương 1. Tổng quan
2. Chương 2. Mật mã khóa đối xứng
3. Chương 3. Hệ mật DES
4. Chương 4. Hệ mật AES
- 5. Chương 5. Mật mã khóa công khai**
6. Chương 6. Kỹ thuật quản lý khóa



# Tài liệu tham khảo

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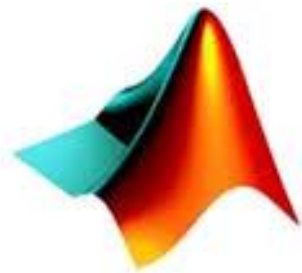
1. A. J. Menezes, P. C. Van Oorschot, S. A. Vanstone, *Handbook of applied cryptography*, CRC Press 1998.
2. B. Schneier, *Applied Cryptography*. John Wiley Press 1996.
3. M. R. A. Huth, *Secure Communicating Systems*, Cambridge University Press 2001.
4. W. Stallings, *Network Security Essentials, Applications and Standards*, Prentice Hall. 2000.



# Nhiệm vụ của Sinh viên

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1. Chấp hành nội quy lớp học
2. Thực hiện đầy đủ bài tập
3. Nắm vững ngôn ngữ lập trình Matlab



MATLAB®



## Chương 5. Mật mã khóa công khai

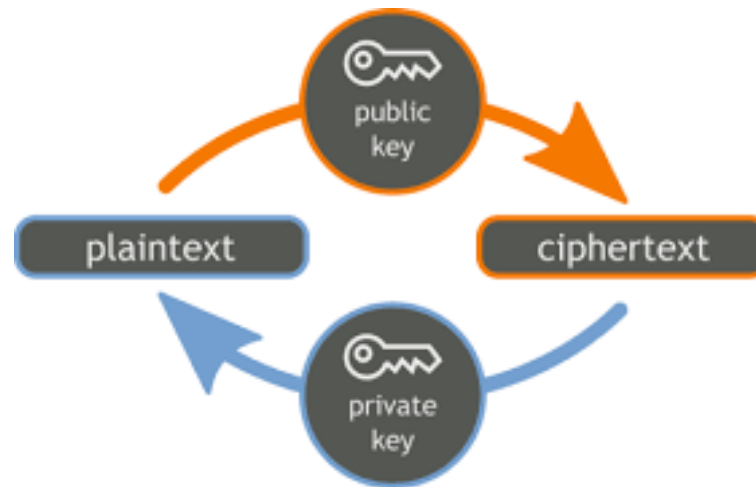
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- 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai
- 5.2. Hệ mật RSA
- 5.3. Hệ mật RABIN
- 5.4. Hệ mật Elgamal

## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

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*Symmetric and asymmetric-key cryptography will exist in parallel and continue to serve the community. We actually believe that they are complements of each other; the advantages of one can compensate for the disadvantages of the other.*





## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

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*Symmetric and asymmetric-key cryptography will exist in parallel and continue to serve the community. We actually believe that they are complements of each other; the advantages of one can compensate for the disadvantages of the other.*

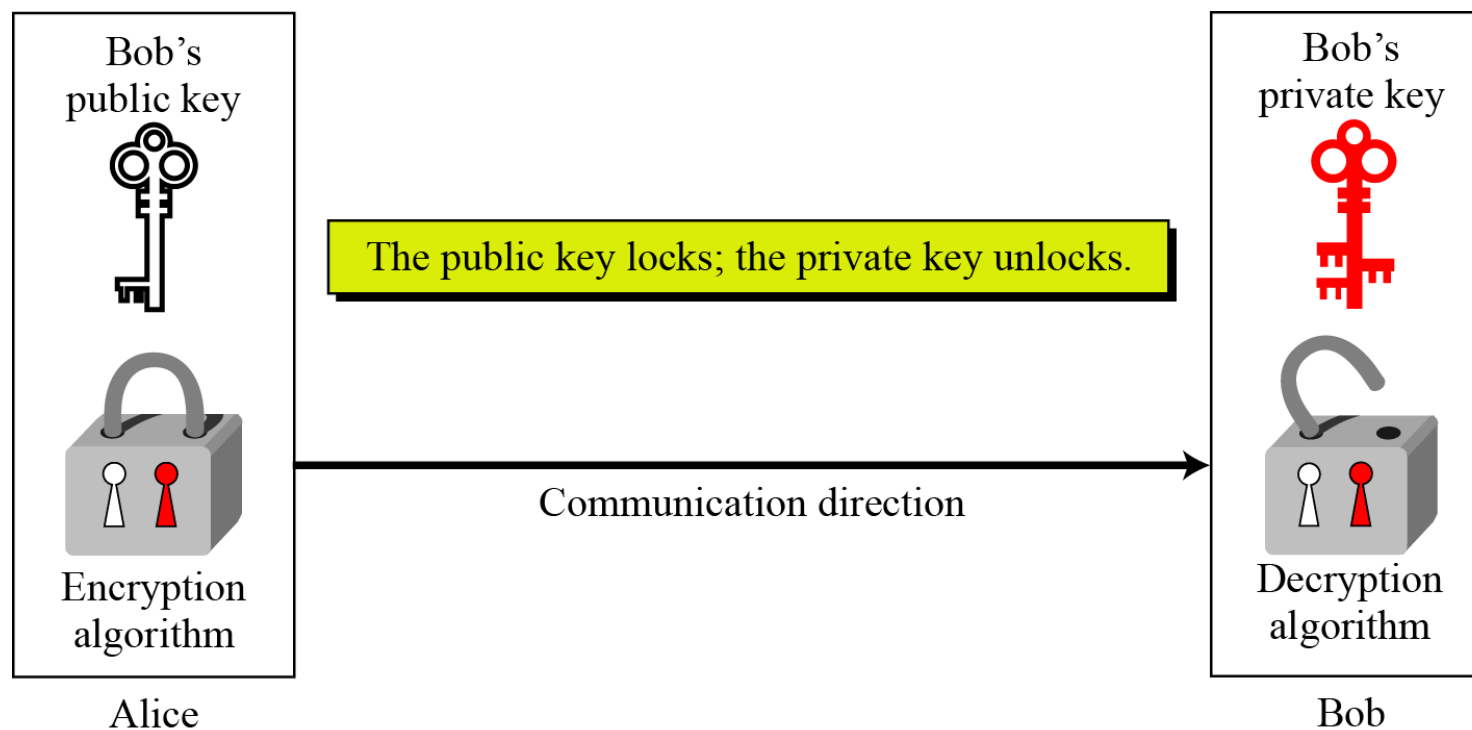
**Symmetric-key cryptography is based on sharing secrecy; asymmetric-key cryptography is based on personal secrecy.**

*There is a very important fact that is sometimes misunderstood: The advent of asymmetric-key cryptography does not eliminate the need for symmetric-key cryptography.*

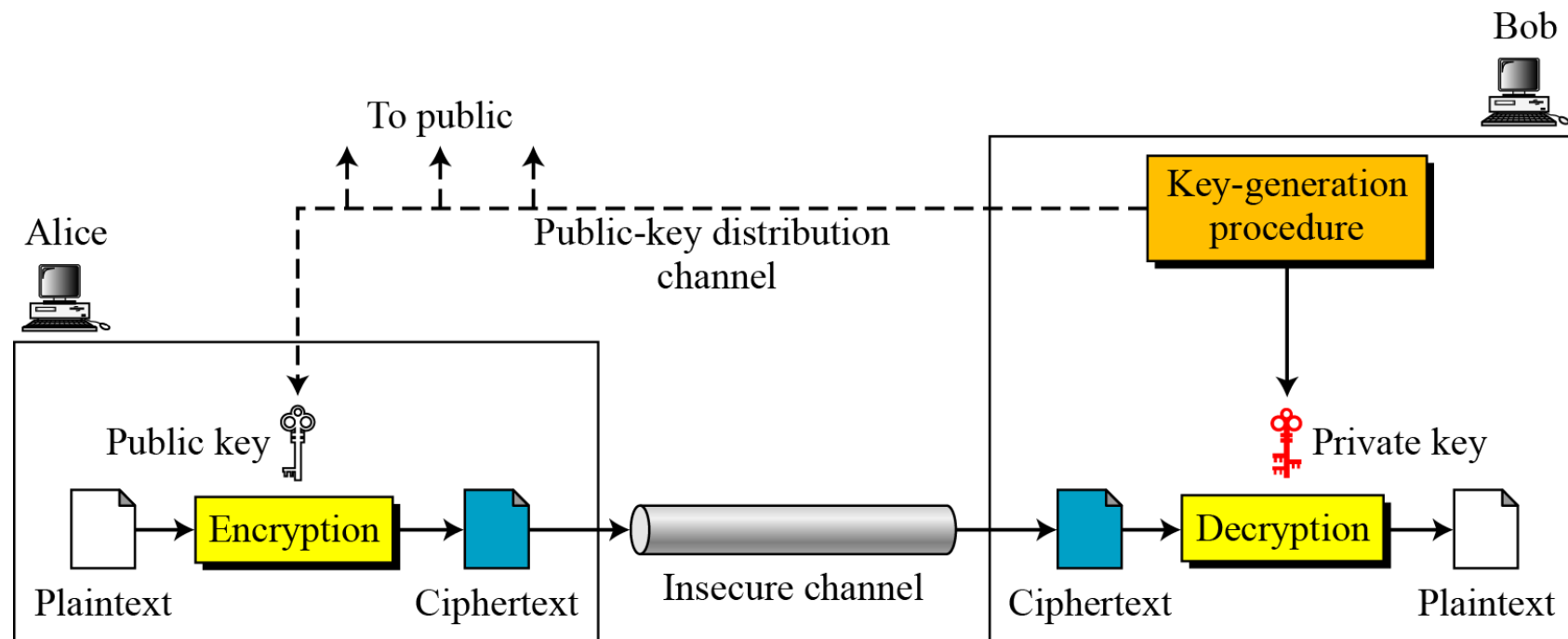


## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

*Asymmetric key cryptography uses two separate keys: one private and one public.*



## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai





## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

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### *Plaintext/Ciphertext*

*Unlike in symmetric-key cryptography, plaintext and ciphertext are treated as integers in asymmetric-key cryptography.*

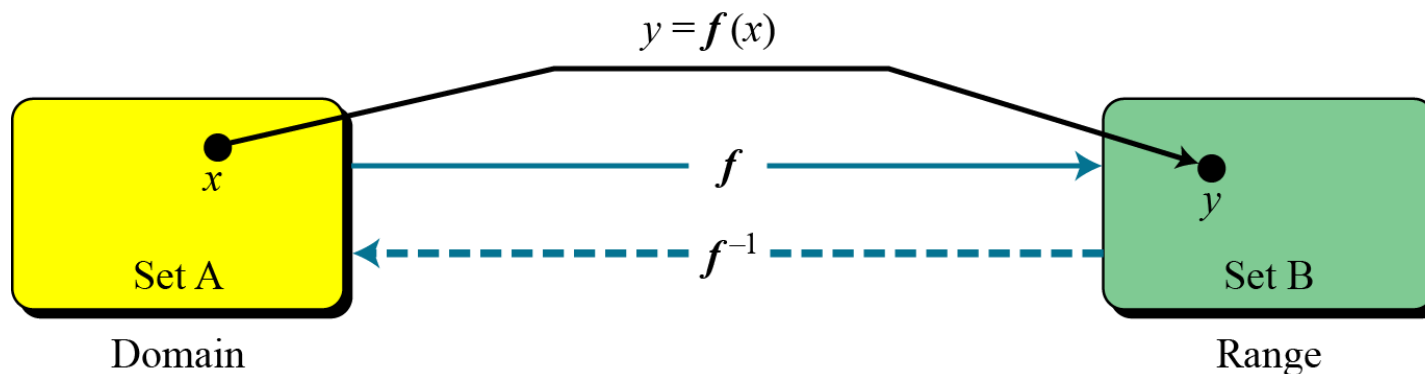
### *Encryption/Decryption*

$$C = f(K_{\text{public}}, P) \quad P = g(K_{\text{private}}, C)$$

## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

*The main idea behind asymmetric-key cryptography is the concept of the trapdoor one-way function.*

*A function as rule mapping a domain to a range*





## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

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### *One-Way Function (OWF)*

- 1.  $f$  is easy to compute.*
- 2.  $f^{-1}$  is difficult to compute.*

### *Trapdoor One-Way Function (TOWF)*

- 3. Given  $y$  and a trapdoor,  $x$  can be computed easily.*



## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

---

### *Ví dụ*

When  $n$  is large,  $n = p \times q$  is a one-way function. Given  $p$  and  $q$ , it is always easy to calculate  $n$ ; given  $n$ , it is very difficult to compute  $p$  and  $q$ . This is the factorization problem.

### *Ví dụ*

When  $n$  is large, the function  $y = x^k \bmod n$  is a trapdoor one-way function. Given  $x$ ,  $k$ , and  $n$ , it is easy to calculate  $y$ . Given  $y$ ,  $k$ , and  $n$ , it is very difficult to calculate  $x$ . This is the discrete logarithm problem. However, if we know the trapdoor,  $k'$  such that  $k \times k' = 1 \bmod \phi(n)$ , we can use  $x = y^{k'} \bmod n$  to find  $x$ .

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## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

### *Knapsack Cryptosystem*

#### *Definition*

$a = [a_1, a_2, \dots, a_k]$  and  $x = [x_1, x_2, \dots, x_k]$ .

$$s = \text{knapsackSum}(a, x) = x_1a_1 + x_2a_2 + \dots + x_ka_k$$

*Given  $a$  and  $x$ , it is easy to calculate  $s$ . However, given  $s$  and  $a$  it is difficult to find  $x$ .*

#### *Superincreasing Tuple*

$$a_i \geq a_1 + a_2 + \dots + a_{i-1}$$

## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

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*knapsacksum and inv\_knapsackSum for a superincreasing k-tuple*

**knapsackSum** ( $x [1 \dots k], a [1 \dots k]$ )

```
{  
   $s \leftarrow 0$   
  for ( $i = 1$  to  $k$ )  
  {  
     $s \leftarrow s + a_i \times x_i$   
  }  
  return  $s$   
}
```

**inv\_knapsackSum** ( $s, a [1 \dots k]$ )

```
{  
  for ( $i = k$  down to  $1$ )  
  {  
    if  $s \geq a_i$   
    {  
       $x_i \leftarrow 1$   
       $s \leftarrow s - a_i$   
    }  
    else  $x_i \leftarrow 0$   
  }  
  return  $x [1 \dots k]$   
}
```



## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

### Ví dụ

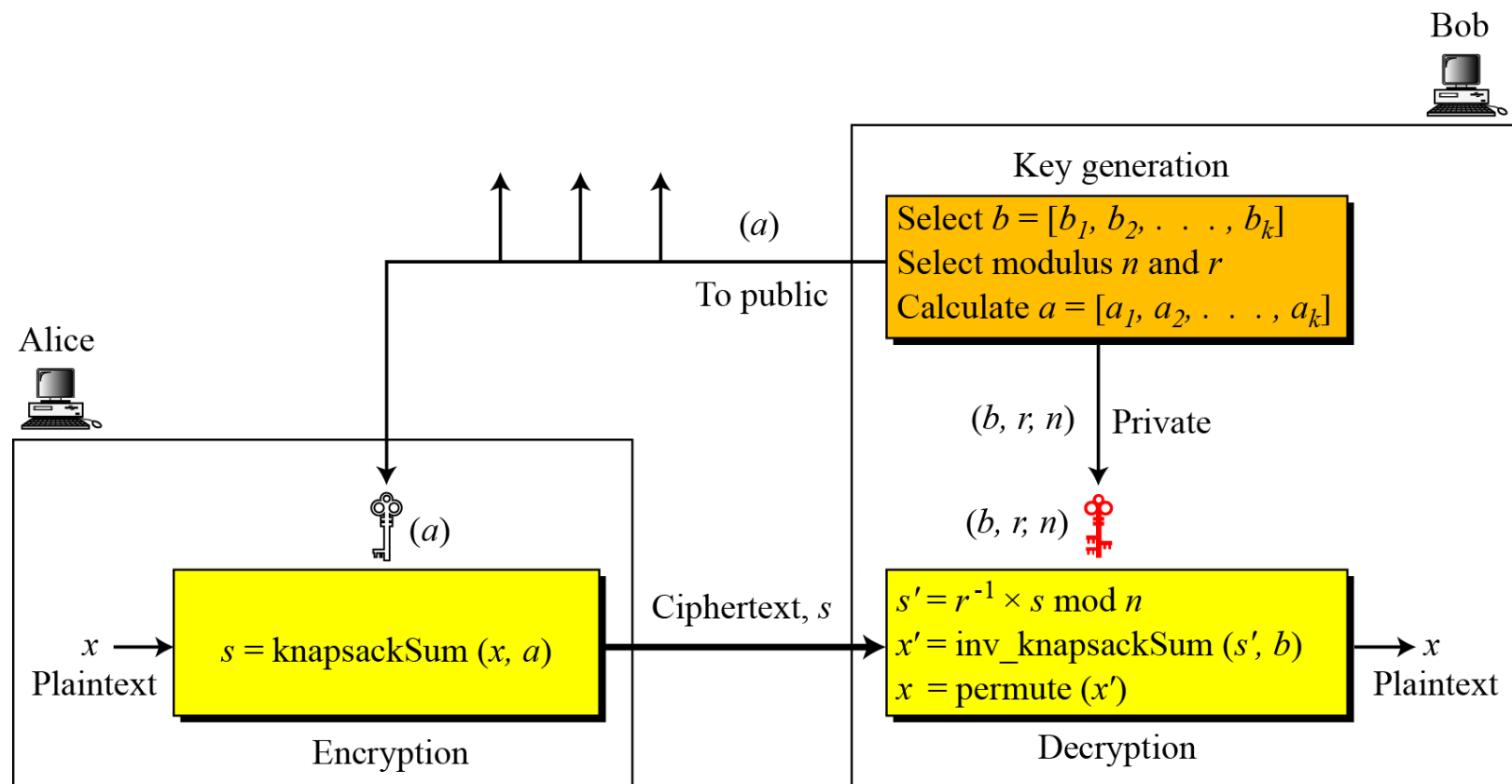
As a very trivial example, assume that  $a = [17, 25, 46, 94, 201, 400]$  and  $s = 272$  are given. Table 10.1 shows how the tuple  $x$  is found using `inv_knapsackSum` routine in Algorithm 10.1. In this case  $x = [0, 1, 1, 0, 1, 0]$ , which means that 25, 46, and 201 are in the knapsack.

**Table 10.1** Values of  $i$ ,  $a_i$ ,  $s$ , and  $x_i$  in Example 10.3

$i$	$a_i$	$s$	$s \geq a_i$	$x_i$	$s \leftarrow s - a_i \times x_i$
6	400	272	false	$x_6 = 0$	272
5	201	272	true	$x_5 = 1$	71
4	94	71	false	$x_4 = 0$	71
3	46	71	true	$x_3 = 1$	25
2	25	25	true	$x_2 = 1$	0
1	17	0	false	$x_1 = 0$	0

## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

### *Secret Communication with Knapsacks.*



## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

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### *Key Generation*

- Create a superincreasing  $k$ -tuple  $b = [b_1, b_2, \dots, b_k]$
- Choose a modulus  $n$ , such that  $n > b_1 + b_2 + \dots + b_k$
- Select a random integer  $r$  that is relatively prime with  $n$  and  $1 \leq r \leq n - 1$ .
- Create a temporary  $k$ -tuple  $t = [t_1, t_2, \dots, t_k]$  in which  $t_i = r \times b_i \bmod n$ .
- Select a permutation of  $k$  objects and find a new tuple  $a = \text{permute}(t)$ .
- The public key is the  $k$ -tuple  $a$ . The private key is  $n$ ,  $r$ , and the  $k$ -tuple  $b$ .

## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

---

### *Encryption*

Suppose Alice needs to send a message to Bob.

- Alice converts her message to a  $k$ -tuple  $x = [x_1, x_2, \dots, x_k]$  in which  $x_i$  is either 0 or 1. The tuple  $x$  is the plaintext.
- Alice uses the *knapsackSum* routine to calculate  $s$ . She then sends the value of  $s$  as the ciphertext.

### *Decryption*

Bob receives the ciphertext  $s$ .

- Bob calculates  $s' = r^{-1} \times s \bmod n$ .
- Bob uses *inv\_knapsackSum* to create  $x'$ .
- Bob permutes  $x'$  to find  $x$ . The tuple  $x$  is the recovered plaintext.

## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

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## 5.1. Giới thiệu sơ lược hệ mật mã khóa công khai

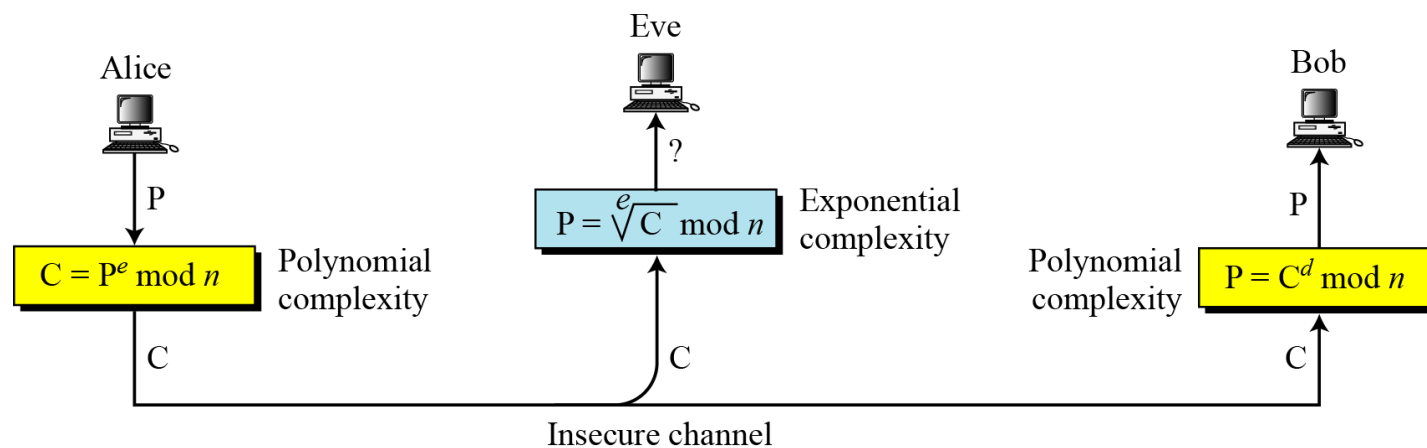
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This is a trivial (very insecure) example just to show the procedure.

1. Key generation:
    - a. Bob creates the superincreasing tuple  $b = [7, 11, 19, 39, 79, 157, 313]$ .
    - b. Bob chooses the modulus  $n = 900$  and  $r = 37$ , and  $[4\ 2\ 5\ 3\ 1\ 7\ 6]$  as permutation table.
    - c. Bob now calculates the tuple  $t = [259, 407, 703, 543, 223, 409, 781]$ .
    - d. Bob calculates the tuple  $a = \text{permute}(t) = [543, 407, 223, 703, 259, 781, 409]$ .
    - e. Bob publicly announces  $a$ ; he keeps  $n$ ,  $r$ , and  $b$  secret.
  2. Suppose Alice wants to send a single character “g” to Bob.
    - a. She uses the 7-bit ASCII representation of “g”,  $(1100111)_2$ , and creates the tuple  $x = [1, 1, 0, 0, 1, 1, 1]$ . This is the plaintext.
    - b. Alice calculates  $s = \text{knapsackSum}(a, x) = 2165$ . This is the ciphertext sent to Bob.
  3. Bob can decrypt the ciphertext,  $s = 2165$ .
    - a. Bob calculates  $s' = s \times r^{-1} \bmod n = 2165 \times 37^{-1} \bmod 900 = 527$ .
    - b. Bob calculates  $x' = \text{Inv\_knapsackSum}(s', b) = [1, 1, 0, 1, 0, 1, 1]$ .
    - c. Bob calculates  $x = \text{permute}(x') = [1, 1, 0, 0, 1, 1, 1]$ . He interprets the string  $(1100111)_2$  as the character “g”.
-

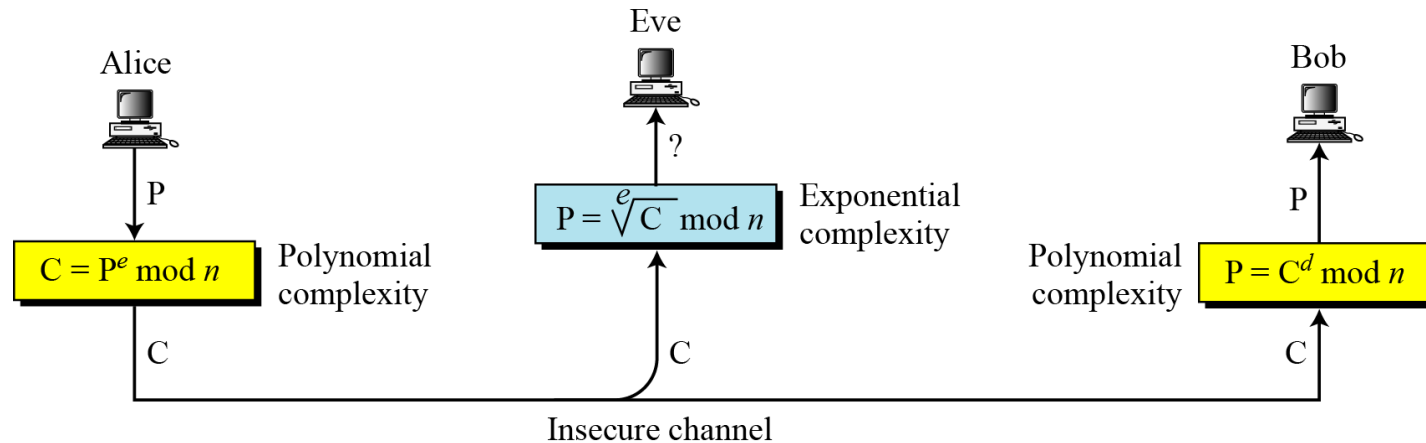
## 5.2. Hệ mật RSA

*The most common public-key algorithm is the RSA cryptosystem, named for its inventors (Rivest, Shamir, and Adleman).*





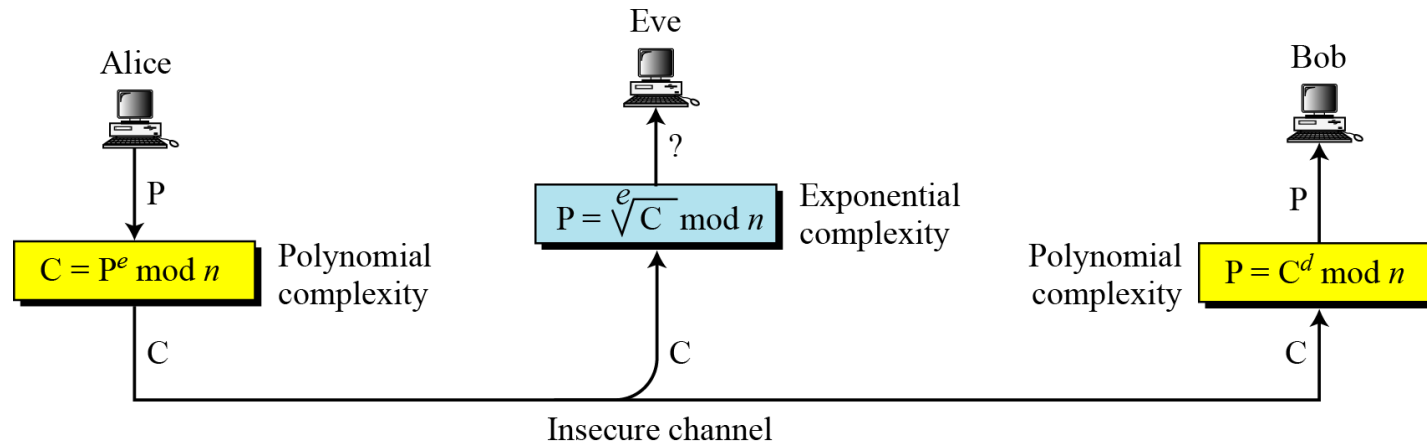
## 5.2. Hệ mật RSA



RSA uses two exponents,  $e$  and  $d$ , where  $e$  is public and  $d$  is private. Suppose  $P$  is the plaintext and  $C$  is the ciphertext. Alice uses  $C = P^e \bmod n$  to create ciphertext  $C$  from plaintext  $P$ ; Bob uses  $P = C^d \bmod n$  to retrieve the plaintext sent by Alice. The modulus  $n$ , a very large number, is created during the key generation process.



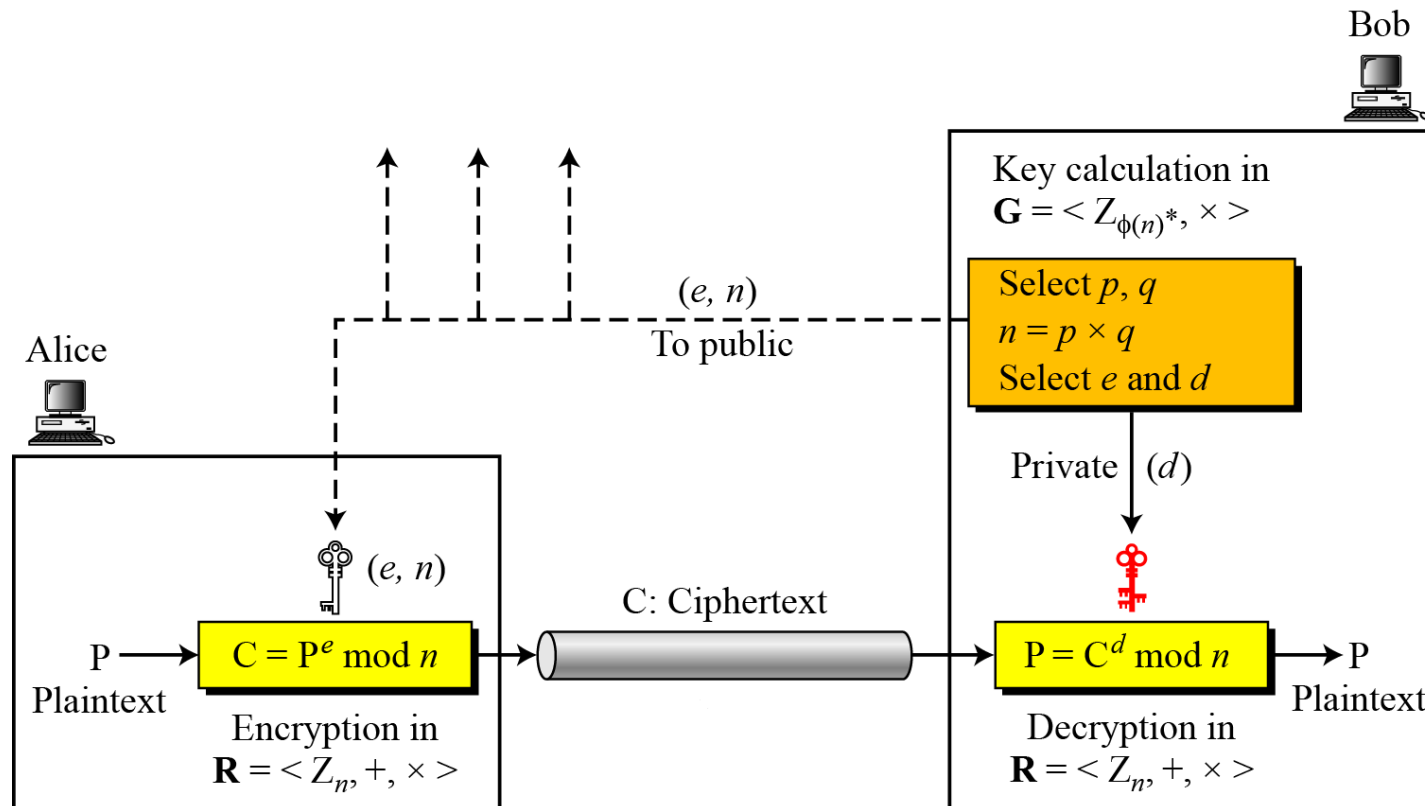
## 5.2. Hệ mật RSA



**RSA uses modular exponentiation for encryption/decryption;  
To attack it, Eve needs to calculate  $\sqrt[e]{C} \bmod n$ .**

## 5.2. Hệ mật RSA

### *Encryption, decryption, and key generation in RSA*



## 5.2. Hệ mật RSA

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### *Two Algebraic Structures*

*Encryption/Decryption Ring:*

$$R = \langle \mathbb{Z}_n, +, \times \rangle$$

*Key-Generation Group:*

$$G = \langle \mathbb{Z}_{\phi(n)}^*, \times \rangle$$

RSA uses two algebraic structures:  
a public ring  $R = \langle \mathbb{Z}_n, +, \times \rangle$  and a private group  $G = \langle \mathbb{Z}_{\phi(n)}^*, \times \rangle$ .

In RSA, the tuple  $(e, n)$  is the public key; the integer  $d$  is the private key.

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## 5.2. Hệ mật RSA

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**Encryption/Decryption Ring** Encryption and decryption are done using the commutative ring  $R = \langle \mathbb{Z}_n, +, \times \rangle$  with two arithmetic operations: addition and multiplication. In RSA, this ring is public because the modulus  $n$  is public. Anyone can send a message to Bob using this ring to do encryption.

**Key-Generation Group** RSA uses a multiplicative group  $G = \langle \mathbb{Z}_{\phi(n)}^*, \times \rangle$  for key generation. This group supports only multiplication and division (using multiplicative inverses), which are needed for generating public and private keys. This group is hidden from the public because its modulus,  $\phi(n)$ , is hidden from the public. We will see about this later.

crypt

## 5.2. Hệ mật RSA

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*Euler's phi-function,  $\phi(n)$ , which is sometimes called the **Euler's totient function** plays a very important role in cryptography.*

1.  $\phi(1) = 0$ .
  2.  $\phi(p) = p - 1$  if  $p$  is a prime.
  3.  $\phi(m \times n) = \phi(m) \times \phi(n)$  if  $m$  and  $n$  are relatively prime.
  4.  $\phi(p^e) = p^e - p^{e-1}$  if  $p$  is a prime.
-

## 5.2. Hệ mật RSA

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### *RSA Key Generation*

#### **RSA\_Key\_Generation**

```
{  
  Select two large primes  $p$  and  $q$  such that  $p \neq q$ .  
   $n \leftarrow p \times q$   
   $\phi(n) \leftarrow (p - 1) \times (q - 1)$   
  Select  $e$  such that  $1 < e < \phi(n)$  and  $e$  is coprime to  $\phi(n)$   
   $d \leftarrow e^{-1} \bmod \phi(n)$  //  $d$  is inverse of  $e$  modulo  $\phi(n)$   
  Public_key  $\leftarrow (e, n)$  // To be announced publicly  
  Private_key  $\leftarrow d$  // To be kept secret  
  return Public_key and Private_key  
}
```

## 5.2. Hệ mật RSA

---

### *Encryption*

*RSA encryption*

```
RSA_Encryption ( $P, e, n$ )           //  $P$  is the plaintext in  $Z_n$  and  $P < n$   
{  
     $C \leftarrow$  Fast_Exponentiation ( $P, e, n$ )    // Calculation of  $(P^e \bmod n)$   
    return  $C$   
}
```

**In RSA,  $p$  and  $q$  must be at least 512 bits;  $n$  must be at least 1024 bits.**

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## 5.2. Hệ mật RSA

---

### *Decryption*

*RSA decryption*

```
RSA_Decryption ( $C, d, n$ )           //  $C$  is the ciphertext in  $Z_n$   
{  
     $P \leftarrow$  Fast_Exponentiation ( $C, d, n$ )    // Calculation of  $(C^d \bmod n)$   
    return  $P$   
}
```

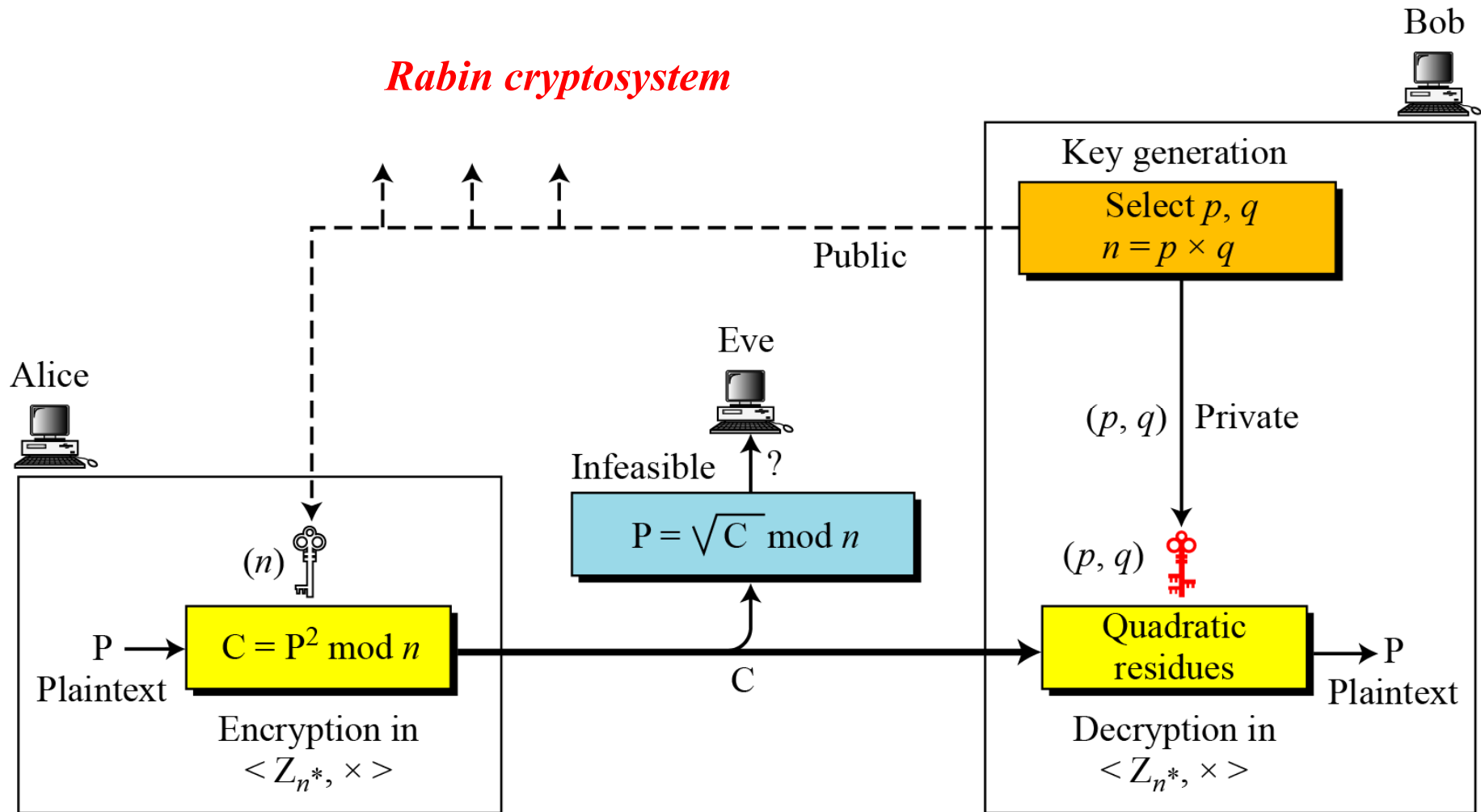


## 5.3. Hệ mật RABIN

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*The Rabin cryptosystem can be thought of as an RSA cryptosystem in which the value of  $e$  and  $d$  are fixed. The encryption is  $C \equiv P^2 \pmod{n}$  and the decryption is  $P \equiv C^{1/2} \pmod{n}$ .*

## 5.3. Hệ mật RABIN



## 5.3. Hệ mật RABIN

---

### *Key Generation*

*Key generation for Rabin cryptosystem*

#### **Rabin\_Key\_Generation**

{

Choose two large primes  $p$  and  $q$  in the form  $4k + 3$  and  $p \neq q$ .

$n \leftarrow p \times q$

Public\_key  $\leftarrow n$  // To be announced publicly

Private\_key  $\leftarrow (q, n)$  // To be kept secret

return Public\_key and Private\_key

}

## 5.3. Hệ mật RABIN

---

### *Encryption*

*Encryption in Rabin cryptosystem*

<b>Rabin_Encryption (<math>n, P</math>)</b>	// $n$ is the public key; $P$ is the ciphertext from $\mathbf{Z}_n^*$
{	
$C \leftarrow P^2 \bmod n$	// $C$ is the ciphertext
return $C$	
}	

## 5.3. Hệ mật RABIN

### *Decryption*

*Decryption in Rabin cryptosystem*

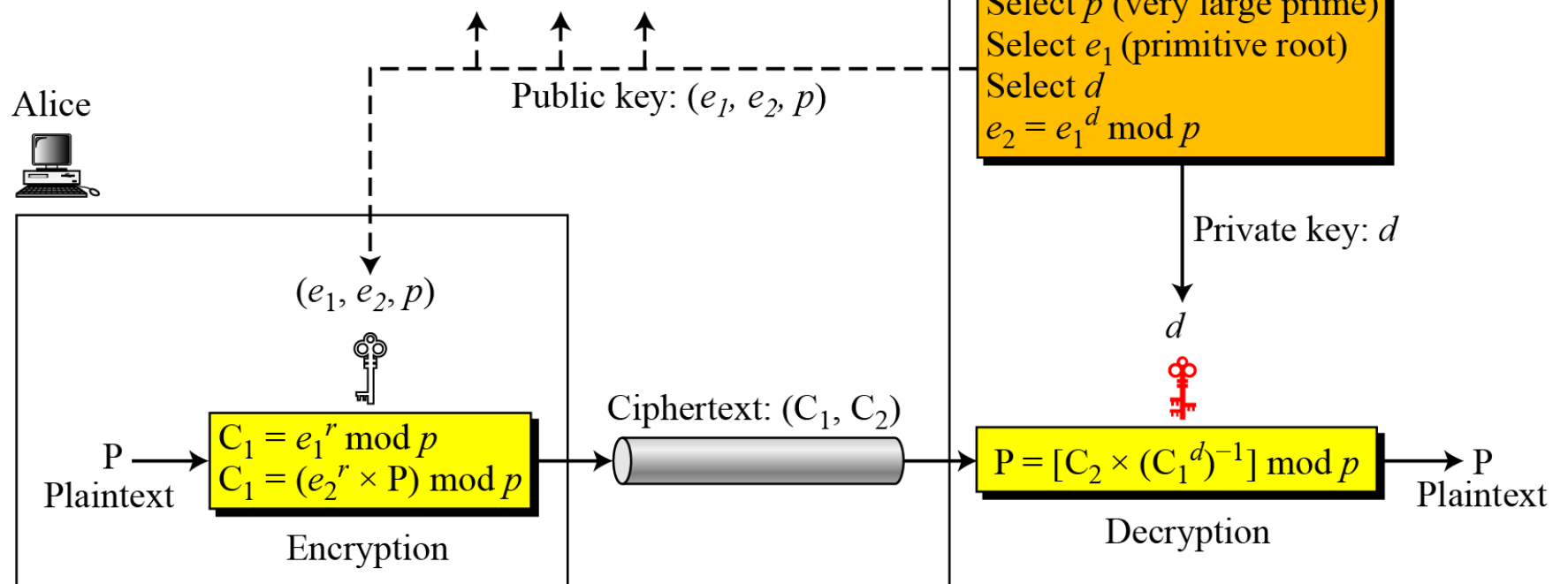
```
Rabin_Decryption ( $p, q, C$ )           //  $C$  is the ciphertext;  $p$  and  $q$  are private keys
{
     $a_1 \leftarrow +(C^{(p+1)/4}) \bmod p$ 
     $a_2 \leftarrow -(C^{(p+1)/4}) \bmod p$ 
     $b_1 \leftarrow +(C^{(q+1)/4}) \bmod q$ 
     $b_2 \leftarrow -(C^{(q+1)/4}) \bmod q$ 
    // The algorithm for the Chinese remainder algorithm is called four times.
     $P_1 \leftarrow \text{Chinese\_Remainder}(a_1, b_1, p, q)$ 
     $P_2 \leftarrow \text{Chinese\_Remainder}(a_1, b_2, p, q)$ 
     $P_3 \leftarrow \text{Chinese\_Remainder}(a_2, b_1, p, q)$ 
     $P_4 \leftarrow \text{Chinese\_Remainder}(a_2, b_2, p, q)$ 
    return  $P_1, P_2, P_3$ , and  $P_4$ 
}
```

**The Rabin cryptosystem is not deterministic:  
Decryption creates four plaintexts.**

## 5.4. Hệ mật ELGAMAL

### *Key generation, encryption, and decryption in ElGamal*

Bob



## 5.4. Hệ mật ELGAMAL

---

### *Key Generation*

*ElGamal key generation*

#### **ElGamal\_Key\_Generation**

```
{  
  Select a large prime  $p$   
  Select  $d$  to be a member of the group  $\mathbf{G} = \langle \mathbf{Z}_p^*, \times \rangle$  such that  $1 \leq d \leq p - 2$   
  Select  $e_1$  to be a primitive root in the group  $\mathbf{G} = \langle \mathbf{Z}_p^*, \times \rangle$   
   $e_2 \leftarrow e_1^d \bmod p$   
  Public_key  $\leftarrow (e_1, e_2, p)$  // To be announced publicly  
  Private_key  $\leftarrow d$  // To be kept secret  
  return Public_key and Private_key  
}
```

---

## 5.4. Hệ mật ELGAMAL

Table 8.3 Powers of Integers, Modulo 19

a	a <sup>2</sup>	a <sup>3</sup>	a <sup>4</sup>	a <sup>5</sup>	a <sup>6</sup>	a <sup>7</sup>	a <sup>8</sup>	a <sup>9</sup>	a <sup>10</sup>	a <sup>11</sup>	a <sup>12</sup>	a <sup>13</sup>	a <sup>14</sup>	a <sup>15</sup>	a <sup>16</sup>	a <sup>17</sup>	a <sup>18</sup>
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2	4	8	16	13	7	14	9	18	17	15	11	3	6	12	5	10	1
3	9	8	5	15	7	2	6	18	16	10	11	14	4	12	17	13	1
4	16	7	9	17	11	6	5	1	4	16	7	9	17	11	6	5	1
5	6	11	17	9	7	16	4	1	5	6	11	17	9	7	16	4	1
6	17	7	4	5	11	9	16	1	6	17	7	4	5	11	9	16	1
7	11	1	7	11	1	7	11	1	7	11	1	7	11	1	7	11	1
8	7	18	11	12	1	8	7	18	11	12	1	8	7	18	11	12	1
9	5	7	6	16	11	4	17	1	9	5	7	6	16	11	4	17	1
10	5	12	6	3	11	15	17	18	9	14	7	13	16	8	4	2	1
11	7	1	11	7	1	11	7	1	11	7	1	11	7	1	11	7	1
12	11	18	7	8	1	12	11	18	7	8	1	12	11	18	7	8	1
13	17	12	4	14	11	10	16	18	6	2	7	15	5	8	9	3	1
14	6	8	17	10	7	3	4	18	5	13	11	2	9	12	16	15	1
15	16	12	9	2	11	13	5	18	4	3	7	10	17	8	6	14	1
16	9	11	5	4	7	17	6	1	16	9	11	5	4	7	17	6	1
17	4	11	16	6	7	5	9	1	17	4	11	16	6	7	5	9	1
18	1	18	1	18	1	18	1	18	1	18	1	18	1	18	1	18	1



## 5.4. Hệ mật ELGAMAL

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**Algorithm 10.10** *ElGamal encryption*

```
ElGamal_Encryption ( $e_1, e_2, p, P$ )           // P is the plaintext
{
    Select a random integer  $r$  in the group  $\mathbf{G} = \langle \mathbf{Z}_p^*, \times \rangle$ 
     $C_1 \leftarrow e_1^r \bmod p$ 
     $C_2 \leftarrow (P \times e_2^r) \bmod p$            //  $C_1$  and  $C_2$  are the ciphertexts
    return  $C_1$  and  $C_2$ 
}
```

---

## 5.4. Hệ mật ELGAMAL

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**Algorithm 10.11** *ElGamal decryption*

<b>ElGamal_Decryption</b> ( $d, p, C_1, C_2$ )	// $C_1$ and $C_2$ are the ciphertexts
{	
$P \leftarrow [C_2 (C_1^d)^{-1}] \bmod p$	// $P$ is the plaintext
return $P$	
}	

**The bit-operation complexity of encryption or decryption in ElGamal cryptosystem is polynomial.**

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