

# data\_structures(&algorithms, lecture02)

Doan Trung Tung, PhD – University of Greenwich (Vietnam)

# Plan

## Algorithm Analysis

Measuring algorithms by time, big-O analysis

## Array popular algorithms

Searching, counting, accumulating, copying, ...

## Linked List

Definition, presentation, popular operations

## Advanced Linked List

Doubly Linked List, Circular Linked List

01

02

03

04



# Algorithms Analysis

Count execution, big-O, recursion analysis, ..

# Algorithmic Performance

- ❖ There are two aspects of algorithmic performance:
  - ❖ Time
    - ❖ Instructions take time.
    - ❖ How fast does the algorithm perform?
    - ❖ What affects its runtime?
  - ❖ Space
    - ❖ Data structures take space
    - ❖ What kind of data structures can be used?
    - ❖ How does choice of data structure affect the runtime?

# Analysis of Algorithms

- ❖ When we analyze algorithms, we should employ mathematical techniques that analyze algorithms independently of specific implementations, computers, or data.
- ❖ To analyze algorithms:
  - ❖ First, we start to count the number of significant operations in a particular solution to assess its efficiency.
  - ❖ Then, we will express the efficiency of algorithms using growth functions.

# Execution Time of Algorithms

- ❖ Each operation in an algorithm (or a program) has a cost.

- ❖ Each operation takes a certain of time.

`count = count + 1;` ➔ take a certain amount of time, but it is constant

- ❖ Sequence of operations

`count = count + 1;`

Cost:  $c_1$

`sum = sum + count;`

Cost:  $c_2$

➔ Total Cost =  $c_1 + c_2$

# Execution Time of Algorithms

## ❖ Simple If-Statement

```
if (n < 0)
    absval = -n
else
    absval = n;
```

<u>Cost</u>	<u>Times</u>
c1	1
c2	1
c3	1

Total Cost  $\leq c1 + \max(c2, c3)$

# Execution Time of Algorithms

## ❖ Simple Loop

	<u>Cost</u>	<u>Times</u>
<code>i = 1;</code>	c1	1
<code>sum = 0;</code>	c2	1
<code>while (i &lt;= n) {</code>	c3	n+1
<code>i = i + 1;</code>	c4	n
<code>sum = sum + i;</code>	c5	n
<code>}</code>		

$$\text{Total Cost} = c1 + c2 + (n+1)*c3 + n*c4 + n*c5$$

➔ The time required for this algorithm is proportional to n



# Execution Time of Algorithms

## ❖ Nested Loop

	<u>Cost</u>	<u>Times</u>
i=1;	c1	1
sum = 0;	c2	1
while (i <= n) {	c3	n+1
j=1;	c4	n
while (j <= n) {	c5	n*(n+1)
sum = sum + i;	c6	n*n
j = j + 1;	c7	n*n
}		
i = i + 1;	c8	n
}		

Total Cost =  $c1 + c2 + (n+1)*c3 + n*c4 + n*(n+1)*c5 + n*n*c6 + n*n*c7 + n*c8$

➔ The time required for this algorithm is proportional to  $n^2$

# General rules for estimation

- ❖ **Loops**: The running time of a loop is at most the running time of the statements inside of that loop times the number of iterations.
- ❖ **Nested Loops**: Running time of a nested loop containing a statement in the inner most loop is the running time of statement multiplied by the product of the sized of all loops.
- ❖ **Consecutive Statements**: Just add the running times of those consecutive statements.
- ❖ **If/Else**: Never more than the running time of the test plus the larger of running times of S1 and S2.

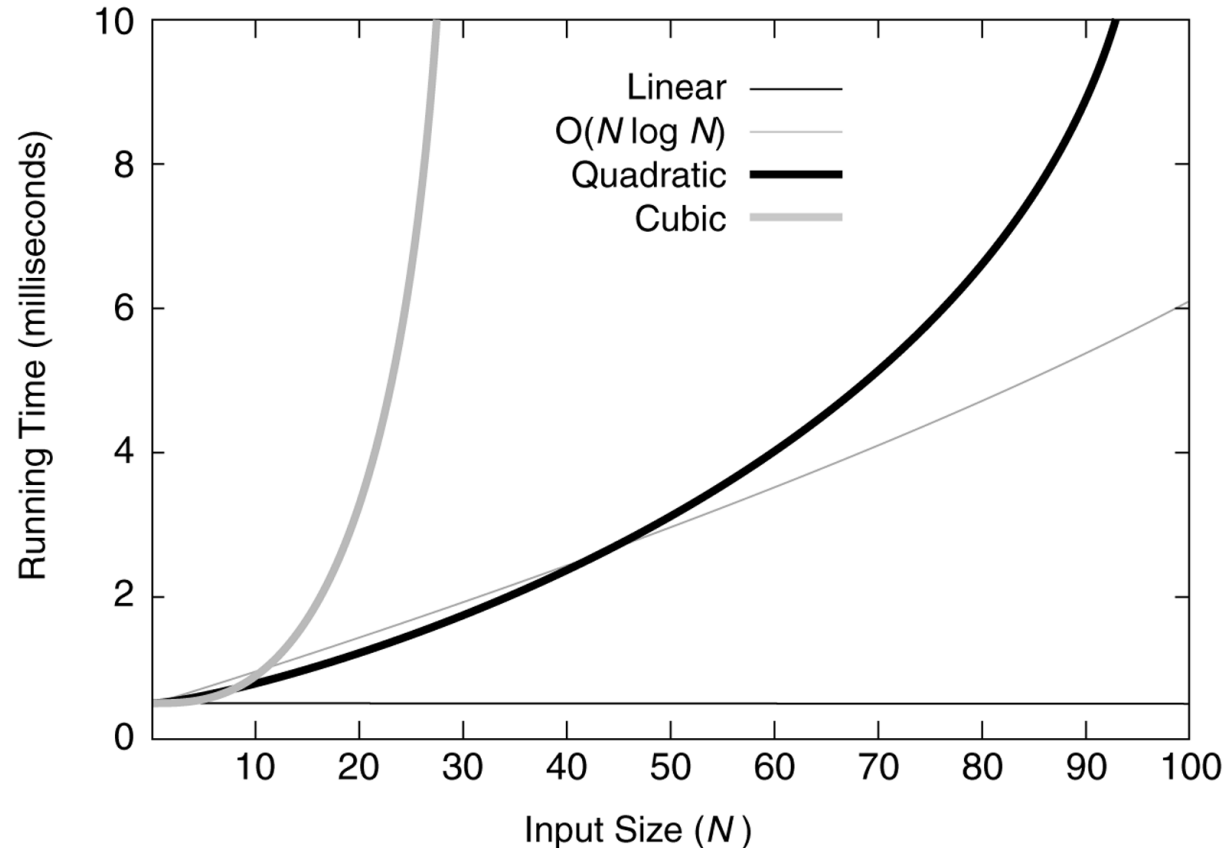
# Algorithm Growth Rates

- ❖ We measure an algorithm's time requirement as a function of the *problem size  $n$* .
  - ❖ Algorithm A requires  $5*n^2$  time units to solve a problem of size  $n$ .
  - ❖ Algorithm B requires  $7*n$  time units to solve a problem of size  $n$ .
- ❖ The most important thing to learn is how quickly the algorithm's time requirement grows as a function of the problem size.
  - ❖ Algorithm A requires time proportional to  $n^2$ .
  - ❖ Algorithm B requires time proportional to  $n$ .
- ❖ An algorithm's proportional time requirement is known as *growth rate*.

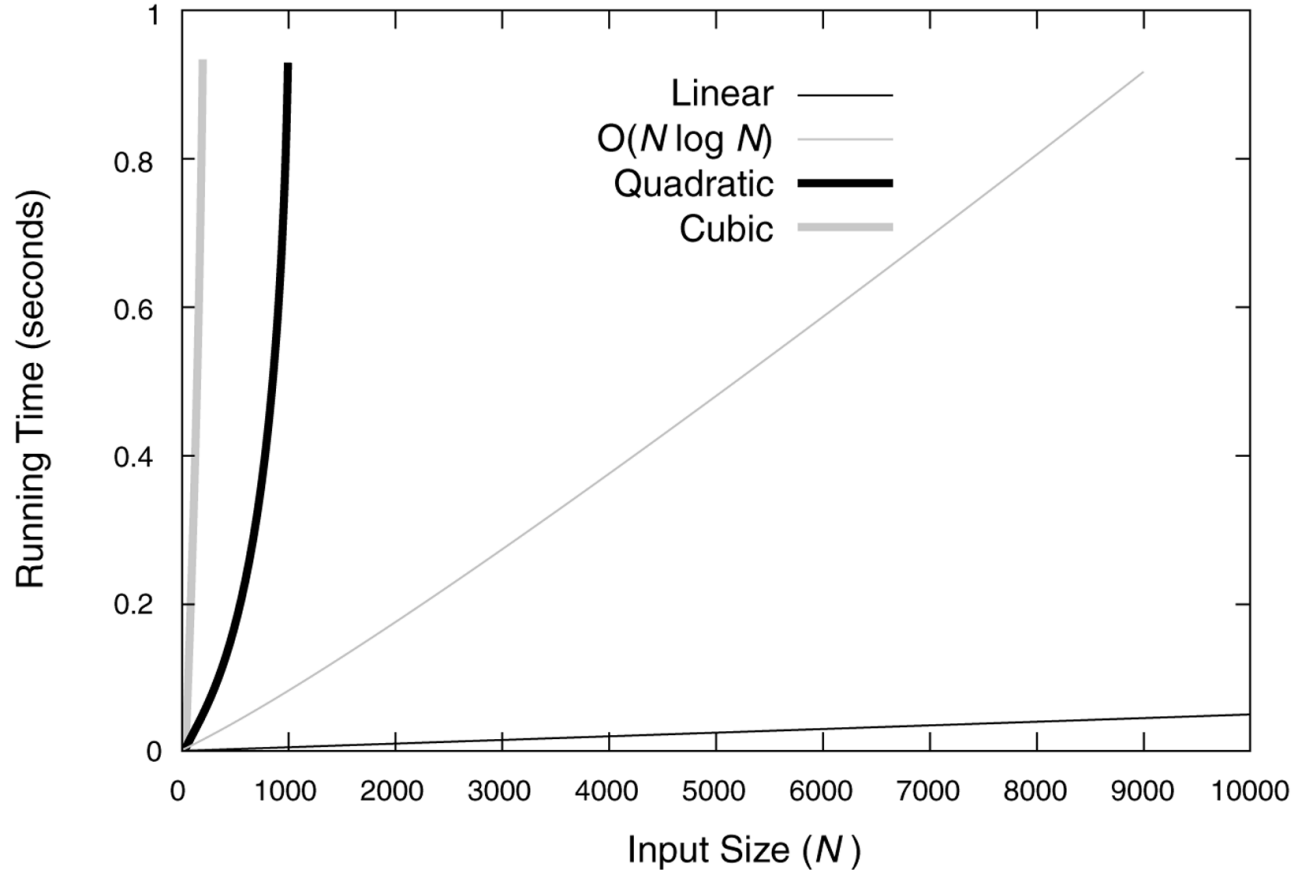
# Common Growth Rates

Function	Growth Rate Name
$c$	Constant
$\log N$	Logarithmic
$\log^2 N$	Log-squared
$N$	Linear
$N \log N$	
$N^2$	Quadratic
$N^3$	Cubic
$2^N$	Exponential

# Common Growth Rates



# Common Growth Rates



# Big-O Notation

- ❖ If Algorithm A requires time proportional to  $f(n)$ , Algorithm A is said to be order  $f(n)$ , and it is denoted as  $O(f(n))$ .
- ❖ The function  $f(n)$  is called the algorithm's growth-rate function.
- ❖ If Algorithm A requires time proportional to  $n^2$ , it is  $O(n^2)$ .
- ❖ If Algorithm A requires time proportional to  $n$ , it is  $O(n)$ .

# Big-O Notation

## ***Definition:***

**Algorithm A is order  $f(n)$  – denoted as  $O(f(n))$  – if constants  $k$  and  $n_0$  exist such that A requires no more than  $k \cdot f(n)$  time units to solve a problem of size  $n \geq n_0$ .**

The requirement of  $n \geq n_0$  in the definition of  $O(f(n))$  formalizes the notion of sufficiently large problems.

In general, many values of  $k$  and  $n$  can satisfy this definition.



# Big-O Notation

If an algorithm requires  $n^2 - 3n + 10$  seconds to solve a problem size  $n$ . If constants  $k$  and  $n_0$  exist such that

$$k \cdot n^2 > n^2 - 3n + 10 \quad \text{for all } n \geq n_0.$$

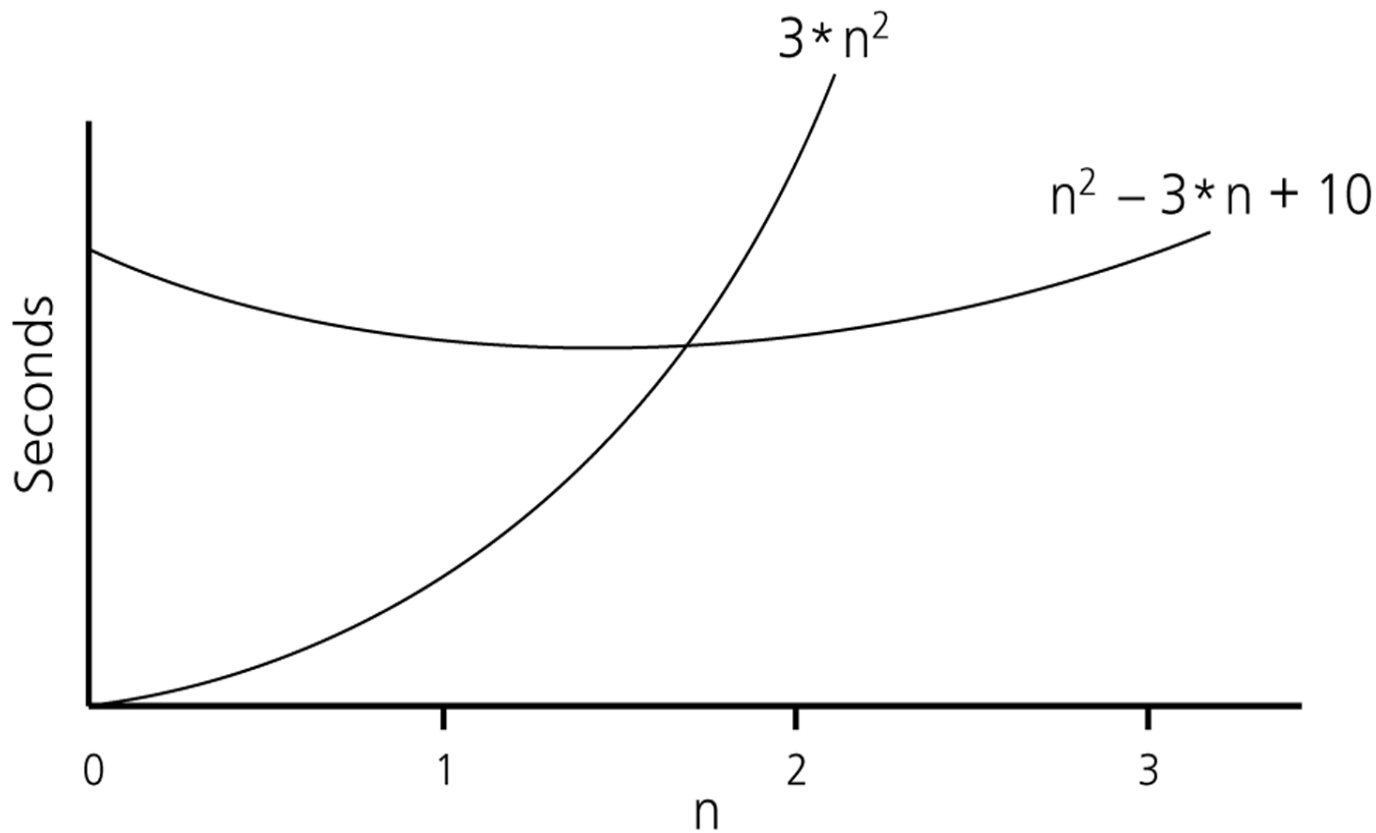
the algorithm is order  $n^2$

(In fact,  $k$  is 3 and  $n_0$  is 2, maybe more)

$$3 \cdot n^2 > n^2 - 3n + 10 \quad \text{for all } n \geq 2.$$

Thus, the algorithm requires no more than  $k \cdot n^2$  time units for  $n \geq n_0$  so it is  **$O(n^2)$**

# Big-O Notation

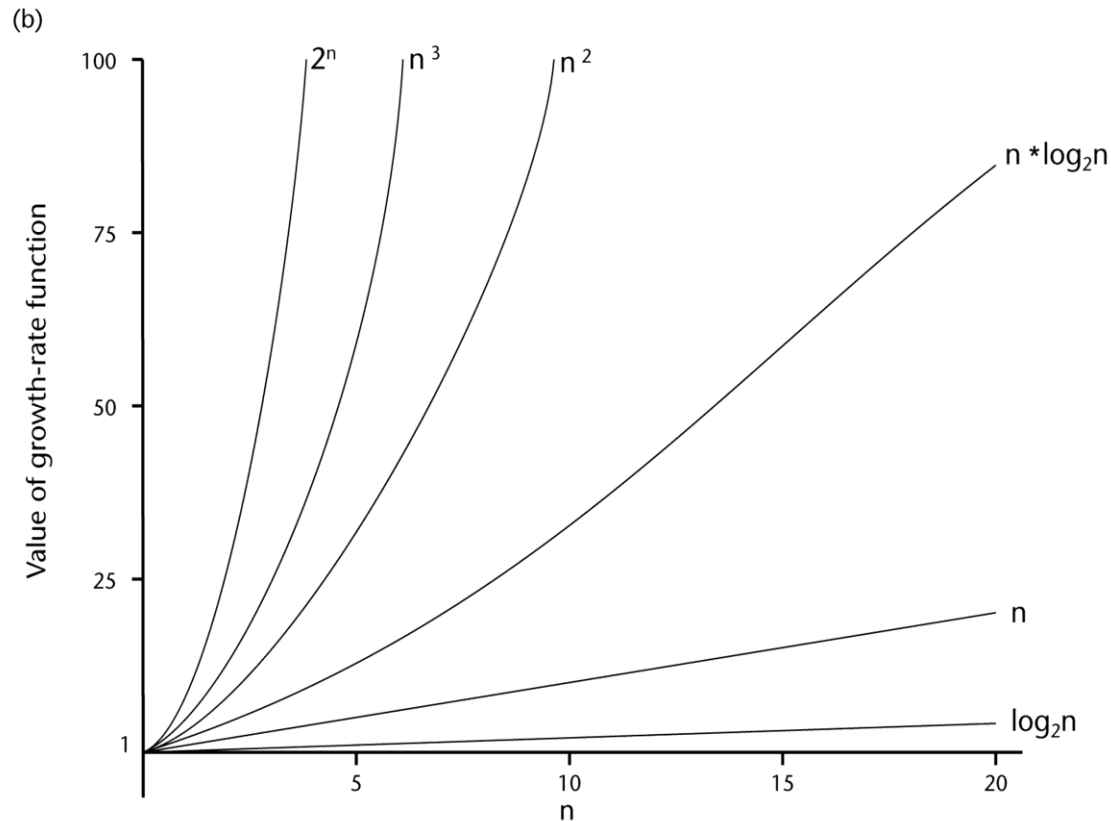


# Popular Growth-Rate Functions

(a)

Function	n					
	10	100	1,000	10,000	100,000	1,000,000
1	1	1	1	1	1	1
$\log_2 n$	3	6	9	13	16	19
n	10	$10^2$	$10^3$	$10^4$	$10^5$	$10^6$
$n * \log_2 n$	30	664	9,965	$10^5$	$10^6$	$10^7$
$n^2$	$10^2$	$10^4$	$10^6$	$10^8$	$10^{10}$	$10^{12}$
$n^3$	$10^3$	$10^6$	$10^9$	$10^{12}$	$10^{15}$	$10^{18}$
$2^n$	$10^3$	$10^{30}$	$10^{301}$	$10^{3,010}$	$10^{30,103}$	$10^{301,030}$

# Popular Growth-Rate Functions



# Popular Growth-Rate Functions

- $O(1)$**  Time requirement is **constant**, and it is independent of the problem's size.
- $O(\log_2 n)$**  Time requirement for a **logarithmic** algorithm increases slowly as the problem size increases.
- $O(n)$**  Time requirement for a **linear** algorithm increases directly with the size of the problem.
- $O(n \cdot \log_2 n)$**  Time requirement for a  **$n \cdot \log_2 n$**  algorithm increases more rapidly than a linear algorithm.
- $O(n^2)$**  Time requirement for a **quadratic** algorithm increases rapidly with the size of the problem.
- $O(n^3)$**  Time requirement for a **cubic** algorithm increases more rapidly with the size of the problem than the time requirement for a quadratic algorithm.
- $O(2^n)$**  As the size of the problem increases, the time requirement for an **exponential** algorithm increases too rapidly to be practical.

# Properties of Growth-Rate Functions

- ❖ We can **ignore low-order terms** in an algorithm's growth-rate function.
  - ❖ If an algorithm is  $O(n^3+4n^2+3n)$ , it is also  $O(n^3)$ .
- ❖ We can **ignore a multiplicative constant** in the higher-order term of an algorithm's growth-rate function.
  - ❖ If an algorithm is  $O(5n^3)$ , it is also  $O(n^3)$ .
- ❖  $O(f(n)) + O(g(n)) = O(f(n)+g(n))$ 
  - ❖ If an algorithm is  $O(n^3) + O(4n^2)$ , it is also  $O(n^3 + 4n^2) \rightarrow$   
So, it is  $O(n^3)$ .

# Counting & Growth-Rate Functions

	<u>Cost</u>	<u>Times</u>
<code>i = 1;</code>	<code>c1</code>	1
<code>sum = 0;</code>	<code>c2</code>	1
<code>while (i &lt;= n) {</code>	<code>c3</code>	$n+1$
<code>i = i + 1;</code>	<code>c4</code>	$n$
<code>sum = sum + i;</code>	<code>c5</code>	$n$
<code>}</code>		

$$\begin{aligned}T(n) &= c1 + c2 + (n+1)*c3 + n*c4 + n*c5 \\&= (c3+c4+c5)*n + (c1+c2+c3) \\&= a*n + b\end{aligned}$$

➔ So, the growth-rate function for this algorithm is  **$O(n)$**

# Counting & Growth-Rate Functions

```
i=1;
sum = 0;
while (i <= n) {
    j=1;
    while (j <= n) {
        sum = sum + i;
        j = j + 1;
    }
    i = i + 1;
}
```

## Cost

c1  
c2  
c3  
c4  
c5  
c6  
c7  
  
c8

## Times

1  
1  
n+1  
n  
n\*(n+1)  
n\*n  
n\*n  
  
n

$$\begin{aligned}T(n) &= c1 + c2 + (n+1)*c3 + n*c4 + n*(n+1)*c5 + n*n*c6 + n*n*c7 + n*c8 \\&= (c5+c6+c7)*n^2 + (c3+c4+c5+c8)*n + (c1+c2+c3) \\&= a*n^2 + b*n + c\end{aligned}$$

→ So, the growth-rate function for this algorithm is  **$O(n^2)$**



# Counting recursive function

**Algorithm** BINARY-SEARCH (A, lo, hi, x)

```
    if (lo > hi)                                ← c1
        return FALSE
    mid ← ⌊(lo+hi)/2⌋
    if x = A[mid]                                ← c2
        return TRUE
    if ( x < A[mid] )
        BINARY-SEARCH (A, lo, mid-1, x) ← T(n/2)
    if ( x > A[mid] )
        BINARY-SEARCH (A, mid+1, hi, x) ← T(n/2)
```

$\Rightarrow T(n) = c + T(n/2)$

$$\begin{aligned} T(n) &= c + T(n/2) \\ &= c + c + T(n/4) \\ &= c + c + c + T(n/8) \end{aligned}$$

Replace  $n = 2^k$

$$\begin{aligned} T(n) &= c + c + \dots + c + T(1) \\ &= c \log n + T(1) \end{aligned}$$

So we have  $T(n) = O(\log n)$

# Analyze recursive function

**Function** factorial(n)

Begin

if n = 0 then return 1

else return n\*factorial(n-1);

End.

$$T(0) = c$$

$$T(n) = b + T(n - 1)$$

$$= b + b + T(n - 2)$$

$$= b + b + b + T(n - 3)$$

...

$$= kb + T(n - k)$$

Replace  $k = n$ , we have:

$$T(n) = nb + T(n - n)$$

$$= bn + T(0)$$

$$= bn + c.$$

So  $T(n) = O(n)$ .



# Array algorithms

Popular & advanced array algorithms

# Popular array algorithms

- ❖ Sum of all elements
- ❖ Search for an element
- ❖ Count number of appearances
- ❖ Delete one element
- ❖ Insert one element
- ❖ Find min/max element
- ❖ Reverse elements
- ❖ Sort elements ascending / descending

# Advanced array algorithms

- ❖ Re-arrange elements based on condition
- ❖ Rotate an array left / right by k elements
- ❖ Find duplicate numbers
- ❖ Remove duplicate numbers
- ❖ Check if an array is a subset of another array



# Linked List

Single Linked List, Double Linked List, Circular Linked List

# Drawbacks of Arrays

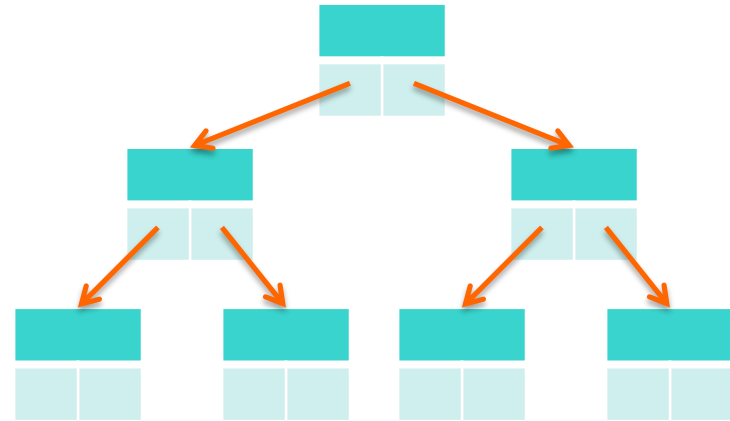
- ❖ Array is very useful data structure in many situations.
- ❖ However, it has some limitations
  - ❖ Fixed size
  - ❖ Need size information for creation
  - ❖ Inserting an element in the middle of an array leads to moving other elements around
  - ❖ Deleting an element from the middle of an array leads to moving other elements around
- ❖ Other data structures are more efficient for these cases

# Self-referential structures

- ❖ Many dynamic data structures are implemented through the use of a self-referential structure
- ❖ A self-referential structure is an object, one of this object member is a reference to another object of its own type.
- ❖ With this arrangement, it's possible to create 'chains' of data of varying form



Linked List



Tree

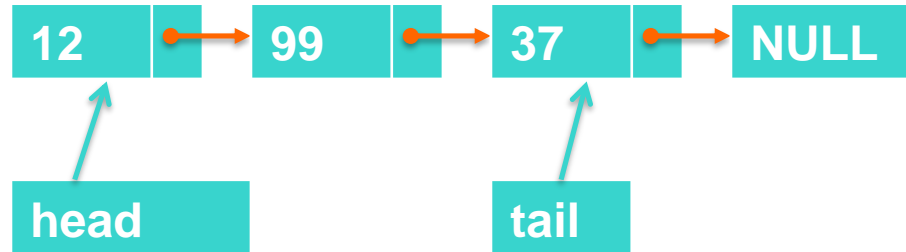


# Linked List

- ❖ A collection of nodes storing data and links to other nodes
- ❖ A linear data structure composed of nodes
- ❖ Each node holds some info and reference to another node in the list
- ❖ Types of linked lists
  - ❖ Single linked list
  - ❖ Double linked list
  - ❖ Circular linked list

# Single linked lists

- ❖ Its node contains two data fields: info and next.
  - ❖ Info stores information which is usable by user
  - ❖ Next links it to its successor in the sequence



- ❖ List operations: add (to head, to tail), remove (at head, at tail), find, insert, check empty, etc.

# Declaration of list data structure

## ❖ Declare node structure

```
12  #include <stdlib.h>
13  #include <stdio.h>
14
15  typedef struct str_node *link;
16  struct str_node
17  {
18      int data;
19      link next;
20  };
21
22  typedef struct str_node node;
```

# Declaration of list data structure

## ❖ Declare list operations

```
24 node* create_node(const int data);
25 int is_empty(const node * const head);
26 void add_first(node **head, const int data);
27 void add_last(node **head, const int data);
28 node* get_last(node * const head);
29 node* find(node * const head, const int data);
30 void remove_first(node **head);
31 void remove_last(node **head);
32 void clear_list(node **head);
33 void print(node * const head);
```

# Implementation of list operations

```
11 node* create_node(const int data)
12 {
13     node* n = (node*) malloc(sizeof(node));
14     n->data = data;
15     n->next = NULL;
16
17     return n;
18 }
19 int is_empty(const node * const head)
20 {
21     return head == NULL;
22 }
```

# Implementation of list operations

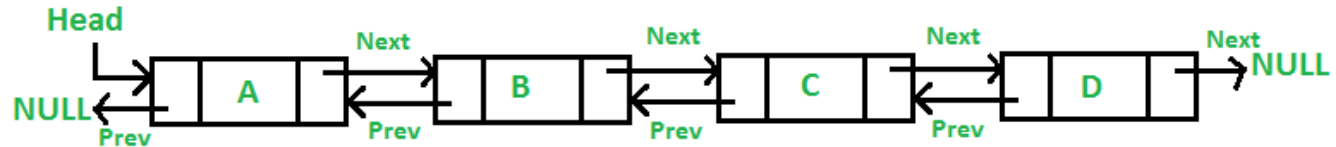
```
24 void add_first(node **head, const int data)
25 {
26     node *n = create_node(data);
27     if (is_empty(*head))
28     {
29         *head = n;
30     }
31     else
32     {
33         n->next = *head;
34         *head = n;
35     }
36 }
```

# Implementation of list operations

```
95 void remove_first(node **head)
96 {
97     if (is_empty(*head)) return;
98     node *p = *head;
99     if (p->next == NULL)
100     {
101         free(p);
102         *head = NULL;
103     }
104     else
105     {
106         *head = p->next;
107         p->next = NULL;
108         free(p);
109     }
110 }
```

# Double Linked List

- ❖ In doubly linked list, each node has two reference fields
  - ❖ one to the successor and
  - ❖ one to the predecessor





# Declaration of Double Linked List

❖ Declare struct node

```
15 typedef struct str_dnode *dlink;
16 struct str_dnode
17 {
18     int data;
19     dlink next;
20     dlink prev;
21 };
22
23 typedef struct str_dnode dnode;
```

# Declaration of Double Linked List

❖ Declare list operators

```
25  dnode* create_dl_node(const int data);
26  int is_dl_empty(const dnode * const head);
27  void add_first_dl(dnode **head, const int data);
28  void add_last_dl(dnode **head, const int data);
29  dnode* get_last_dl(dnode * const head);
30  dnode* find_dl(dnode * const head, const int data);
31  void remove_first_dl(dnode **head);
32  void remove_last_dl(dnode **head);
33  void clear_list_dl(dnode **head);
34  void print_dl(dnode * const head);
```

# Implementation of Double Linked List

```
11 dnode* create_dl_node(const int data)
12 {
13     dnode* n = (dnode*) malloc(sizeof(dnode));
14     n->data = data;
15     n->next = n->prev = NULL;
16
17     return n;
18 }
```

# Implementation of Double Linked List

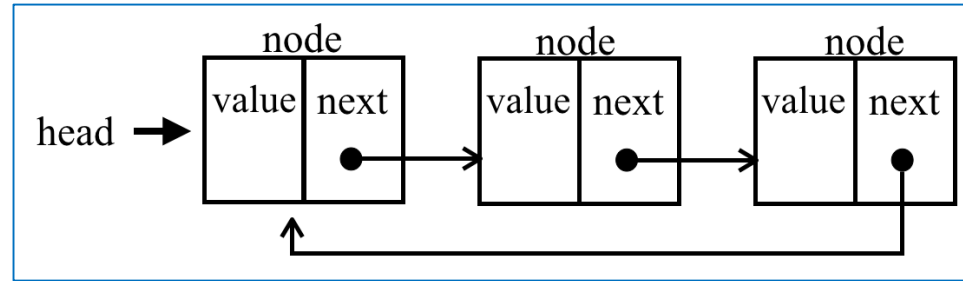
```
25 void add_first_dl(dnode **head, const int data)
26 {
27     dnode *n = create_dl_node(data);
28
29     if (is_dl_empty(*head))
30     {
31         *head = n;
32     }
33     else
34     {
35         n->next = *head;
36         (*head)->prev = n;
37         *head = n;
38     }
39 }
```

# Implementation of Double Linked List

```
41 void remove_first_dl(dnode **head)
42 {
43     if (is_dl_empty(*head)) return;
44     if ((*head)->next == NULL)
45     {
46         free(*head);
47         *head = NULL;
48     }
49     else
50     {
51         dnode* n = *head;
52         *head = n->next;
53         (*head)->prev = NULL;
54         free(n);
55     }
56 }
```

# Circular Linked List

## ❖ Circular Single Linked List



## ❖ Circular Double Linked List

