Lecture 2: The Basis of SML

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Today

More on SML:

- Types and type inference
- Literals and built-in operators
- Value declarations
- Tuples and record
- Functions
- Specifications of functions
- Pattern Matching
- Local declarations
- New operators
- Side Effects
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- ExceptionsModules
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- Comparison with Imperative Programming
- Exercises

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Basic types

- int: integers
- real: real numbers
- char: characters
- string: character sequences
- bool: truth values (or: Booleans) true and false
- unit: only one possible value: ()

More types

Some expressions may have a compound type.

• functions: e.g. int -> int

```
• tuples: e.g. int * int
• lists: e.g. int list
> ([abs,~],("cool",3.5));
val it = ([fn, fn], ("cool", 3.5)):
        (int -> int) list * (string * real)
```

We will see later in the course that one can even declare his own datatypes.

Type inference

- SML is strongly typed, meaning that all expressions have a well-defined type that can be determined statically (without running the program).
- It is (most of the time) not necessary to declare the type of an expression.
- The compilers are able to infer the type of all expressions.
- It is necessary to give the right operands to an operator or function.

```
fun double x = 2 * x; (* infers type int -> int *) double 3.0; (* Error—Type error in function application . *) 2 * 3.0; (* Error—Type error in function application . *)
```

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Integer and real literals

Integers:

- In base 10: A sequence of digits (0-9), preceded by ~ for the negation. ~12
- Base 16: A sequence of digits (0-9, A-F) preceded by 0x or 0X.
 ~0xA17f

Reals:

- 4 An optional ~.
- A sequence of one or more digits.
- One or both of:
 - A point and one or more digits.
 - E or e, optional ~, one or more digits.

```
0.0
~15.5e3
15E~2
```

Built-in operators

- SML has several built-in operators that work on the basic types.
- Several of them are overloaded for convenience. e.g.

```
2 + 3;
2.0 + 3.0;
```

- There is no explicit conversion.
- Operators are only a special case of functions.

Operators on Integers

ор	:	type	form	precedence
+	:	$int \times int \to int$	infix	6
_	:	$int \times int \to int$	infix	6
*	:	$int \times int \to int$	infix	7
div	:	$int \times int \to int$	infix	7
mod	:	$int \times int \to int$	infix	7
=	:	$int \times int \to bool \ ^*$	infix	4
<>	:	$int \times int \to bool \ ^*$	infix	4
<	:	$int \times int \to bool$	infix	4
<=	:	$int \times int \to bool$	infix	4
>	:	$int \times int \to bool$	infix	4
>=	:	$int \times int \to bool$	infix	4
~	:	int o int	prefix	
abs	:	int o int	prefix	
(* the exact type will be defined later)				

Infix operators associate to the left.

Operators on Reals

op	:	type	form	precedence
+	:	$real \times real \to real$	infix	6
_	:	$real \times real \to real$	infix	6
*	:	$real \times real \to real$	infix	7
/	:	$real \times real \to real$	infix	7
<, <=	:	$real \times real \to bool$	infix	4
<, <= >, >=	:	$real \times real \to bool$	infix	4
~	:	real o real	prefix	
abs	:	real o real	prefix	
Math.sqrt	:	real o real	prefix	
Math.ln	:	real o real	prefix	
(* the exact type will be defined later)				

Infix operators associate to the left.

Remark the absence of = and <>.

Characters and Strings

- A character value is written as the symbol # immediately followed by the character enclosed in double-quotes "
- A string is a character sequence enclosed in double-quotes "
- Control characters can be included:
 end-of-line: \n double-quote: \" backslash: \\

```
#"a"
"Hello!∖nGoodbye"
```

Operators on Characters and Strings

Let 'strchar \times strchar' be 'char \times char' or 'string \times string'

op	:	type	torm	precedence
=	:	$strchar \times strchar \to bool \ ^*$	infix	4
<>	:	$strchar \times strchar \to bool \ ^*$	infix	4
<	:	$strchar \times strchar \to bool$	infix	4
<=	:	$strchar \times strchar \to bool$	infix	4
>	:	$strchar \times strchar \to bool$	infix	4
>=	:	$strchar \times strchar \to bool$	infix	4
^	:	string imes string o string	infix	6
size	:	string o int	prefix	
(* +b				

(* the exact type will be defined later)

Use of the *lexicographic order*, according to the ASCII code Infix operators associate to the left.

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Type Conversions

on

op	•	type
real	:	int o real
ceil	:	$real \to int$
floor	:	$real \to int$
round	:	$real \to int$
trunc	:	$real \to int$
chr	:	int o char
ord	:	$char \to int$
str	:	char o string
<pre>Int.toString</pre>	:	int o string

Conversions of chr and ord are done according to the ASCII code.

Eager and Lazy Evaluation

- All the previous operators, as well as functions, are evaluated after all their operands are evaluated.
- This is called "eager evaluation".
- Sometimes, it is however not necessary to evaluate all the operands to know the result of an operation.
- In such a case, we use "lazy evaluation". The operands are only evaluated if needed.
- We will see several examples of lazy evaluation.

Operators on Booleans

ор	:	type	form	precedence	
andalso	:	$bool \times bool \to bool$	infix	3	
orelse	:	$bool \times bool \to bool$	infix	2	
not	:	$bool \to bool$	prefix		
=	:	$bool \times bool \to bool \ ^*$	infix	4	
<>	:	$bool \times bool \to bool \ ^*$	infix	4	
(* the exact type will be defined later)					
Infix operators associate to the left.					
and and or are not boolean operators!					
andalso and orelse are evaluated lazily.					

Lazy evaluation: Examples

```
> ( 34 < 649 ) orelse ( Math.ln(12.4) * 3.4 > 12.0 ) ; val it = true : bool
```

The second operand is *not* evaluated because the first operand evaluates to true.

```
> ( 34 < 649 ) orelse ( 0.0 / 0.0 > 999.9 ) ; val it = true : bool
```

The second operand (0.0 / 0.0 > 999.9) is *not* evaluated, even though by itself it would lead to an error:

```
> ( 0.0 / 0.0 > 999.9 ); ! Uncaught exception: Div
```

if..then..else

if B then E1 else E2

- This is an expression, not a control structure.
- B must be a boolean expression.
- The type of E1 and E2 must be the same.
- E1 is only evaluated if B evaluates to true.
- E2 is only evaluated if B evaluates to false.
- There is no if B then E expression. What would be the value of the expression when B is false?
- if-then-else as a lower precedence than all the other operators.

Exercises

- Express the following expressions as if-then-else expressions:
 - ① E orelse F
 - ② E andalso F
- Evaluate by reduction the following expression:

```
if 1 + 2 < 4 then size (''sal'' ^ ''ut!'') else 4 div
2</pre>
```

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Identifiers

- Alphanumeric identifiers
- Symbolic identifiers made from + / * < > = ! @ # \$ % ^ & ` ~ \ | ? :
- Do not mix alphanumeric and symbolic characters
- The identifier it always has the result of the last unidentified expression evaluated.
- 3 +~ 2 is different from 3 + ~ 2
 One must separate the symbols + and ~ with a space, otherwise they form a new symbolic identifier

Bindings and environments

- The execution of a declaration, say val x = expr, creates a binding: the identifier x is bound to the value of the expression expr
- A collection of bindings is called an environment

```
> val sum = 24;
val sum = 24 : int
> val sum = 3.51;
val sum = 3.51 : real
```

Evaluation order

Evaluation and declaration from left to right

```
> val a = 1:
val a = 1: int
> val b = 2:
val b = 2: int
> val a = a+b val b = a+b ;
val a = 3: int
val b = 5: int
and: Simultaneous evaluation of the right-hand sides of the declarations
> val a = 1 val b = 2;
val a = 1: int
val b = 2: int
> val a = a+b and b = a+b:
val a = 3: int
```

val b = 3: int

Identifiers are not variables

```
> val x = 10:
val \times = 10: int
> fun addX y = x+y;
val addX = \mathbf{fn}: int -> int
> addX 5:
val it = 15: int
> x = 100:
val it = false: bool
> val x = 100:
val x = 100: int
> addX 5;
val it = 15: int
```

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Tuples

- Group $n(\neq 1)$ values of possibly different types into *n*-tuples by enclosing them in parentheses, say: (22>5, "abc", 123)
- Particular cases of *n*-tuples: pairs (or: couples), triples, ...
- Careful: There are no 1-tuples in ML! (1) is just 1 in parentheses.
- Selector #i returns the $i^{\rm th}$ component of a tuple
- It is possible to have tuples of tuples
- The value () is the only 0-tuple, and it has type unit

Tuples: examples

```
> (2.3, 5);
val it = (2.3, 5) : real * int
Operator * here means the Cartesian product of types
> val bigTuple = ((2.3, 5), "two", (8, true));
val bigTuple = ((2.3, 5), "two", (8, true)):
               (real * int) * string * (int * bool)
> #3 bigTuple;
val it = (8, \text{ true}) : int * bool
> #2(#1 \text{ bigTuple}) + #1(#3 \text{ bigTuple});
val it = 13: int
```

Records

- A record is a generalised tuple where each component is identified by a label rather than by its integer position, and where curly braces are used instead of parentheses
- A record component is also called a field
- Selector #label returns the value of the component identifed by label
- It is possible to have records of records
- n-tuples are just records with integer labels (when $n \neq 1$)

Records: examples

```
> {course = "FP", year = 2};
val it = {course = "FP", year = 2} : {course : string , year : int}
> \#a \{a=1, b="xvz"\};
val it = 1 \cdot int
> \{a=1, b="xyz"\} = \{b="xyz", a=1\};
val it = true : bool
> (1, "xyz") = ("xyz", 1);
Error—Type error in function application.
> \{2=1, 1="xyz"\} = ("xyz", 1);
val it = true · bool
```

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Functions

```
fun even x = x \mod 2 = 0:
val even = \mathbf{fn} \times => \times \mod 2 = 0; (* anonymous function *)
fun odd x = not (even x); (* using another function *)
Evaluation:
> odd 17 orelse even 17:
-> (fn x=> not (even x)) 17 orelse even 17;
-> not (even 17) orelse even 17;
-> not ((fn x => x mod 2 = 0) 17) orelse even 17;
-> not (17 mod 2 = 0) orelse even 17;
-> not (1=0) orelse even 17;
-> not false orelse even 17;
-> true orelse even 17;
-> true:
```

Functions (cont.)

- Function application has the highest precedence, and is evaluated from left to right.
- Functions are values, just as integers, tuples, etc.
- They have a type (that can be inferred by the system).
 - If a type cannot be inferred from the context, then the default is that an overloaded operator symbol refers to the function on integers.
- Any identifier can be bound to them.
- They can be arguments or return values of other functions.

```
> fun even x = x mod 2 = 0;
val even = fn: int -> bool
> val plop = even;
val plop = fn: int -> bool
> plop 3;
val it = false: bool
> (fn x => x mod 2 = 1) 3;
val it = true: bool
```

Functions of several parameters

- In SML, functions only have one parameter, and one result.
- How can we implement e.g. the mathematical function max(a, b)?

Functions of several parameters

- In SML, functions only have one parameter, and one result.
- How can we implement e.g. the mathematical function max(a, b)?
- Two ways:
 - The parameter is a tuple (a pair, here).
 - > fun max (a,b) = if a > b then a else b;
 - Use curried functions.
 - > fun max a b = if a > b then a else b:
- Does it look the same? Does that small difference matter?

Functions of several parameters (cont.)

```
> fun max1 (a,b) = if a > b then a else b;
val max1 = fn: int * int -> int
>val max1 = fn (a,b) => if a > b then a else b;
val max1 = fn: int * int -> int
> fun max2 a b = if a > b then a else b:
val max2 = fn: int -> int -> int
> val max2 = fn a => fn b => if a > b then a else b:
val max2 = fn: int -> int -> int
> val posOrZero = max2 0;
val posOrZero = fn: int -> int
> posOrZero 3:
val it = 3: int
> posOrZero ~3;
val it = 0: int
```

Currying

There is equivalence of the types of the following functions:

$$f: A \times B \rightarrow C$$

$$g:A\to (B\to C)$$

H.B. Curry (1958): $f(a,b) = g \ a \ b$ Currying = passing from the first form to the second form Let a be an object of type A, and b an object of type B

- f(a, b) is an object of type C, the application of the function f to the pair (a, b)
- g a is an object of type B → C: g a is thus a function, the result of a function can thus also be a function!
- \bullet $(g \ a) \ b$ is an object of type C, the application of the function $g \ a$ to b
- Attention: f(a, b) is different from f(a, b)

Currying (cont.)

Every function on a Cartesian product can be curried:

$$g: A_1 \times A_2 \times \cdots \times A_n \to C$$

$$\downarrow$$

$$g: A_1 \to (A_2 \to \cdots \to (A_n \to C))$$

$$g: A_1 \to A_2 \to \cdots \to A_n \to C$$

The symbol \rightarrow associates to the *right*.

Usefulness of currying:

- The rice tastes better ...
- Partial application of a function for getting other functions
- Easier design and usage of higher-order functions (functions with functional arguments)

Currying, examples

```
> fun greet word name = word ^ ", " ^ name ^ "!";
val greet = fn: string -> string -> string
> val greetEng = greet "Hello";
val greetEng = \mathbf{fn}: string -> string
> val greetSwe = greet "Hei":
val greetSwe = \mathbf{fn}: string -> string
> greetEng "Tjark";
val it = "Hello, Tjark!": string
> greetSwe "Kjell";
val it = "Hej, Kjell!": string
> greet "Salut" "Jean-Noel";
val it = "Salut, Jean-Noel!": string
```

More on functions

- Functions can return tuples when several results are needed.
- Functions can take or return the unit argument: fun bof () = ();
- The type of functions can be polymorphic:

```
> fun id x = x;
val id = fn: 'a -> 'a
```

The type variable 'a can be instantiated to any type:

```
> id 5;

val it = 5: int

> id 3.5;

val it = 3.5: real
```

Polymorphism limitations

 When an arithmetic operator is encountered, if the type is not determined by another mean, the operator refers to integers.

```
> fun sqr x = x * x;
val sqr = fn: int -> int
> fun sqr x = (x: real) * x;
val sqr = fn: real -> real;
> fun sqr (x: real) = x * x;
val sgr = fn: real -> real;
> fun sqr x: real = x * x; (* (sqr x): real *)
val sgr = fn: real -> real;
> fun sgr x = x: real *x;
Error-Type constructor (x) has not been declared
   Found near x: real *x
```

Polymorphism limitations (cont.)

 There is a complication with type variables. Fortunately the compiler will warn you about it.

```
> fun id x = x:
val id = fn: 'a -> 'a
> val iidd = id id:
Warning—The type of (iidd) contains a free type variable.
   Setting it to a unique monotype.
val iidd = fn: _a \rightarrow _a
> iidd 1:
Error—Type error in function application.
   Function: iidd : a \rightarrow a
   Argument: 1: int
   Reason:
      Can't unify int (*In Basis*) with
      _a (*Constructed from a free type variable .*)
      ( Different type constructors )
```

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Specifying Functions

- Function name and argument
- Type of the function: types of the argument and result (can be infered by the compiler).
- Pre-condition on the argument:
 - If the pre-condition does not hold, then the function may return any result!
 - If the pre-condition does hold, then the function *must* return a result satisfying the post-condition!
- Post-condition on the result: its description and meaning
- Side effects (if any): printing of the result, ...
- Examples and counter-examples (if useful)

Specification: Example

```
(* PRE: n >= 0

POST: sum_{0} <= i <= n)(i) *)

fun triangle n = ...
```

Beware: The post-condition and side effects *should* involve *all* the components of the argument

Role of well-chosen examples and counter-examples

In theory, they are redundant with the pre/post-conditions. In practice:

- They often provide an intuitive understanding that no assertion or definition could achieve
- They often help eliminate risks of ambiguity in the pre/post-conditions by illustrating delicate issues
- If they contradict the pre/post-conditions, then we know that something is wrong somewhere!

```
(* PRE: (none)

POST: the largest integer m such that m <= n

EXAMPLES: floor(23.65) = 23, floor(~23.65) = ~24

COUNTER-EXAMPLE: floor(~23.65) <> ~23 *)

fun floor n = ...
```

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Pattern Matching

```
> val x = (18, true);
val x = (18, true): int * bool
> val (n,b) = x;
val n = 18: int
val b = true: bool
```

- The left-hand side of a value declaration is called a pattern.
- The value on the right must respect that pattern.
- An identifier can match anything.
- _ matches anything and has no name.

```
> val (n, _) = x;
val n = 18: int
> val (18,b) = x;
val b = true;
> val (17,b) = x;
Exception— Bind raised
```

Pattern Matching (cont.)

- Each identifier can occur at most once in a pattern (linearity)
- as introduces pattern alias for identifiers.

```
> val t = ((3.5, true), 4);
val t = ((3.5, true), 4): (real * bool) * int
> val (d as (a,b), c) = t;
val a = 3.5: real
val b = true: bool
val c = 4: int
val d = (3.5, true): real * bool
> val (d,c) = t:
> val((a,b),c) = t;
> val s as (d,c) = t:
> val s as u as v = t:
> val (t,d) = t; (* t is bound to a different value after this *)
```

Pattern or not Pattern

Not every expression can be a pattern:

- Intuitively, only an irreducible expression can be a pattern.
 - literals, identifiers, constructors
- Real constants can not be involved in patterns.
- Function calls can not be involved in patterns.

```
val nil = x; (*OK*)
val niil = x; (*OK, but attention*)
val y::ys = x;(*OK*)
val a+1 = x; (*NOT OK*)
val abs y = x; (*NOT OK*)
val 0.0 =x; (*NOT OK*)
```

Case analysis

The case expression allows to match an expression against several patterns.

- | Patn => Exprn
- Expr1, ..., Exprn must be of the same type.

case ...of ... is an expression.

- Expri, ..., Expri must be of the same type.
- Expr, Pat1, ..., Patn must be of the same type.
- If Pati is selected, then only Expri is evaluated (lazy evaluation).

Case Analysis (cont.)

```
> case 17 mod 2
    of 0 => "even"
        | 1 => "odd";
Warning—Matches are not exhaustive.
val it = "odd": string
> case 17 mod 2
    of 0 => "even"
        | _ => "odd";
val it = "odd": string
```

- If the patterns are not exhaustive over their type, then there is an ML warning at the declaration.
- If none of the patterns is applicable during an evaluation, then there is an ML pattern-matching exception.
- The patterns need *not* be mutually exclusive: If several patterns are applicable, then ML selects the *first* applicable pattern.

Function with Case Analysis

fun fname Pat1 = Expr1

Exercices

- How to express if-then-else as a case-of expression?
- Write a function of two integer arguments that returns the number of arguments that are equal to zero.

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Local declarations

- It is possible to declare variables locally with let ... in ... end.
- The variables declared between let and in only exist inside the expression.
- They hide any other declaration of the same identifier.

```
> val \times = 5.5;

> val y = let

val \times = 1

in

\times + 10

end;

> (x,y);

val it = (5.5,11): real * int;
```

Local declarations (cont.)

- The let value is computed only once.
- Functions can also be local.
- > fun discount unitPrice quantity =
 let val price = unitPrice * real(quantity)
 in if price < 100.0 then price else price * 0.95
 end;
 > fun leapYear year =
 let fun isDivisible b = year mod b = 0
 in isDivisible 4 andalso
 (not (isDivisible 100) orelse isDivisible 400)
 end;

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New Infix Operators

- It is possible to define new operators.
- infix n id makes id an infix operator with precedence n
- rinfix n id does the same but with right association.
- nonfix id makes return to the prefix form.
- Beware: operators take a pair of argument (no curried form).
- Still possible to call an infix operator in prefix form with op.

```
> fun x (a,b) = a*b;
> infix 5 x;
> 2 x 4;
val it = 8: int
> op + (2,4);
val it = 6: int
```

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Side Effects

Like most functional languages, ML has some functions with side effects:

- Input / output
- Variables (in the imperative-programming sense)
- Explicit references
- Tables (in the imperative-programming sense)
- Imperative-programming-style control structures (sequence, iteration, . . .)

In these lectures we only consider printing.

The print function

```
Type: print string → unit
Side effect: The argument of print is printed on the screen
> fun welcome name = print ("Hello, " ^ name ^ "!\n");
val welcome = fn : string -> unit
> welcome "world";
Hello, world!
val it = () : unit
```

Sequential composition

Sequential composition is necessary when one wants to print intermediate results.

```
fun relError a b =
  let val diff = abs (a-b)
  in
    ( print (Real.toString diff);
      print "\n";
      diff / a )
  end;
```

- Sequential composition is an expression of the form
 (Expr₁ ; Expr₂ ; ... ; Expr_n)
- The value of this expression is the value of Expr_n.

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- New operators
- Titely operators
- Exceptions
- Modules
- Comparison with Imperative Programming
- 14 Exercises

Exceptions

Execution can be interrupted immediately upon an error > 1 div 0: Exception— Div raised Exceptions can be caught: > 1 div 0 handle Div => 42: val it = 42: int You can declare and throw your own exceptions: **exception** errorDiv; **fun** safeDiv a b =if b = 0 then raise errorDiv else a div b:

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- 2 Literals and built-in operators
- Value declarations
- 4 Tuples and record
- 5 Functions
- Specifications of functions
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Modules

- Modules group related functionalities together.
- SML defines a basic library in standard modules.
- Access a function inside a module by typing the name of the module followed by the name of the function.
- Some functions are available at the top-level.

Int . toString

Int.+

Int.abs

Real. Math.sqrt

- Types and type inference
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Functional languages vs. imperative languages

Example: greatest common divisor of natural numbers We know from Euclid that:

$$gcd(0, n) = n$$
 if $n > 0$
 $gcd(m, n) = gcd(n \mod m, m)$ if $m > 0$

In an imperative language (C)

```
int gcd(int m, int n) {
/* PRE: m, n >= 0 and m+n > 0
   POST: the greatest common divisor of m and n
*/
  int a=m, b=n, prevA;
  /* INVARIANT: gcd(m,n) = gcd(a,b) */
  while (a != 0) {
   prevA = a;
    a = b \% a:
    b = prevA;
  return b;
```

In a functional language (SML)

```
(* PRE: m,n >= 0 and m+n > 0
    POST: the greatest common divisor of m,n *)
fun gcd1 (m, n) =
    if m = 0 then n
    else gcd1 (n mod m, m)
fun gcd2 (0, n) = n
    | gcd2 (m, n) = gcd2 (n mod m, m)
```

Features of imperative programs

- Close to the hardware
 - Sequence of instructions
 - Modification of variables (memory cells)
 - Test of variables (memory cells)
 - Transformation of states (automata)
- Construction of programs
 - Describe what has to be computed
 - Organise the sequence of computations into steps
 - Organise the variables
- Correctness
 - Specifications by pre/post-conditions
 - Loop invariants
 - Symbolic execution
- Expressions: f(z) + x / 2 can be different from x / 2 + f(z)
 namely when f modifies the value of x (by side effect)
- Variables: The assignment x = x + 1 modifies a memory cell as a side effect

Features of functional programs

- Execution by evaluation of expressions
- Basic tools: expressions and recursion
- Handling of values (rather than states)
- ullet The expression e_1+e_2 always has the same value as e_2+e_1
- Identifiers
 - Value via a declaration
 - No assignment, no "modification"
- Recursion: series of values from recursive calls
- Functions are "first-class", they can be arguments to other functions.

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Exercices

- Write a function h that takes a time as a pair (hour, minutes) and returns the number of minutes elapsed since midnight. Raise an exception if the pair does not represent a legit time. Make this function infix to be able to call it like 16 h 40 (should return 1000).
- Write a function that returns a boolean telling if a date (year, month, day) exists or not.
- Write a function that takes an integer between 1 and 7 and returns the day of the week (1 is Monday, 7 is Sunday).
- Write a function of an integer that returns 0, -1 or 1 if the argument is respectively equal to, smaller or larger than zero. Use this to reimplement abs.
- Write a function surround tag content, whose result is <tag>content</tag>, with the parameters names replaced. Use this function to create the functions makeParagraph content (in html), and makeHeader n content (<h1>...<h6> in html).