Robot Learning and Control 4

Deep Reinforcement Learning

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Today's lecture

Goal: Understand how deep reinforcement learning learns robot policies

E.g., soccer policy learned by deep reinforcement learning

[Haarnoja et al. , 2023]

Contents

1. Reinforcement Learning Problem Settings and the Concept of the Learning Process

2. Deep Reinforcement Learning: Challenges and Solutions in Neural Network Integration

Contents

1. Reinforcement Learning Problem Settings and the Concept of the Learning Process

2. Deep Reinforcement Learning: Challenges and Solutions in Neural Network Integration

Objective and Contents of First Section

- Objective

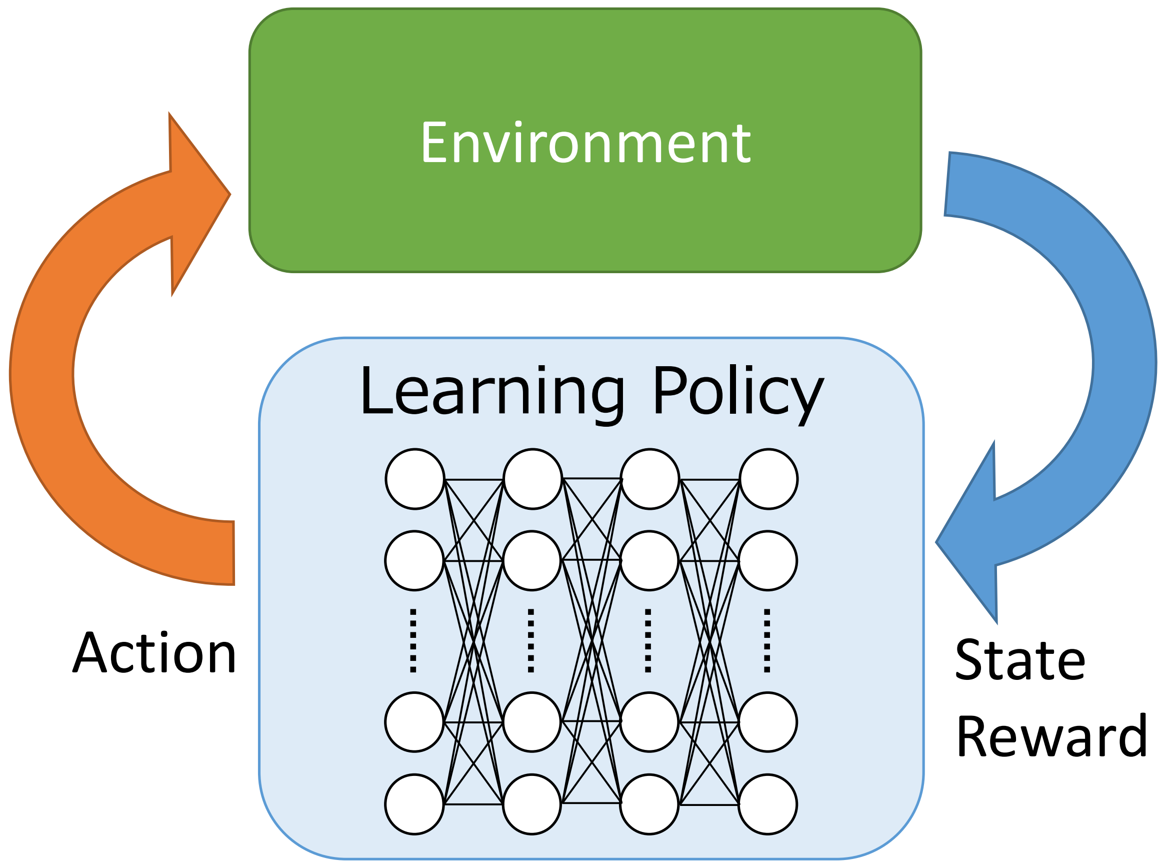
Understanding Reinforcement Learning problem settings and the concept of the learning process

- Contents

1. Overview of Reinforcement Learning
2. Problem settings
3. Concept of the learning process

Overview: Reinforcement Learning

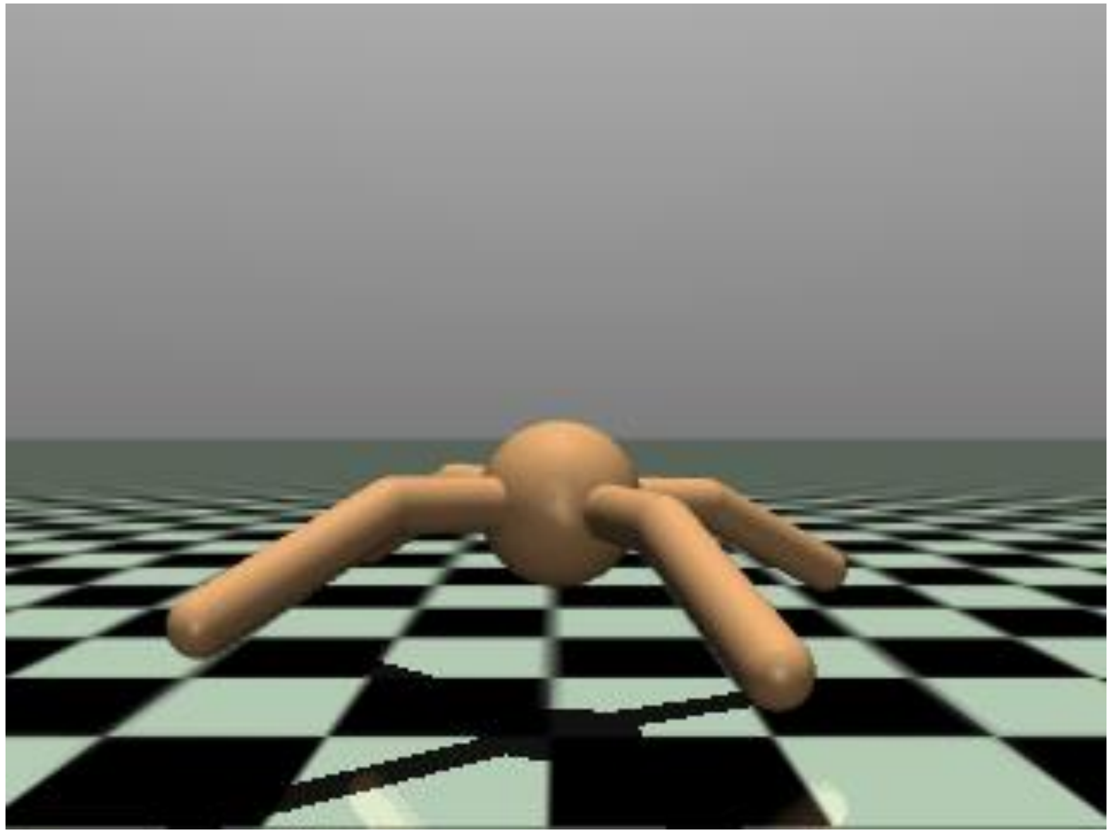
Learning behaviors that maximize total reward from trial and error



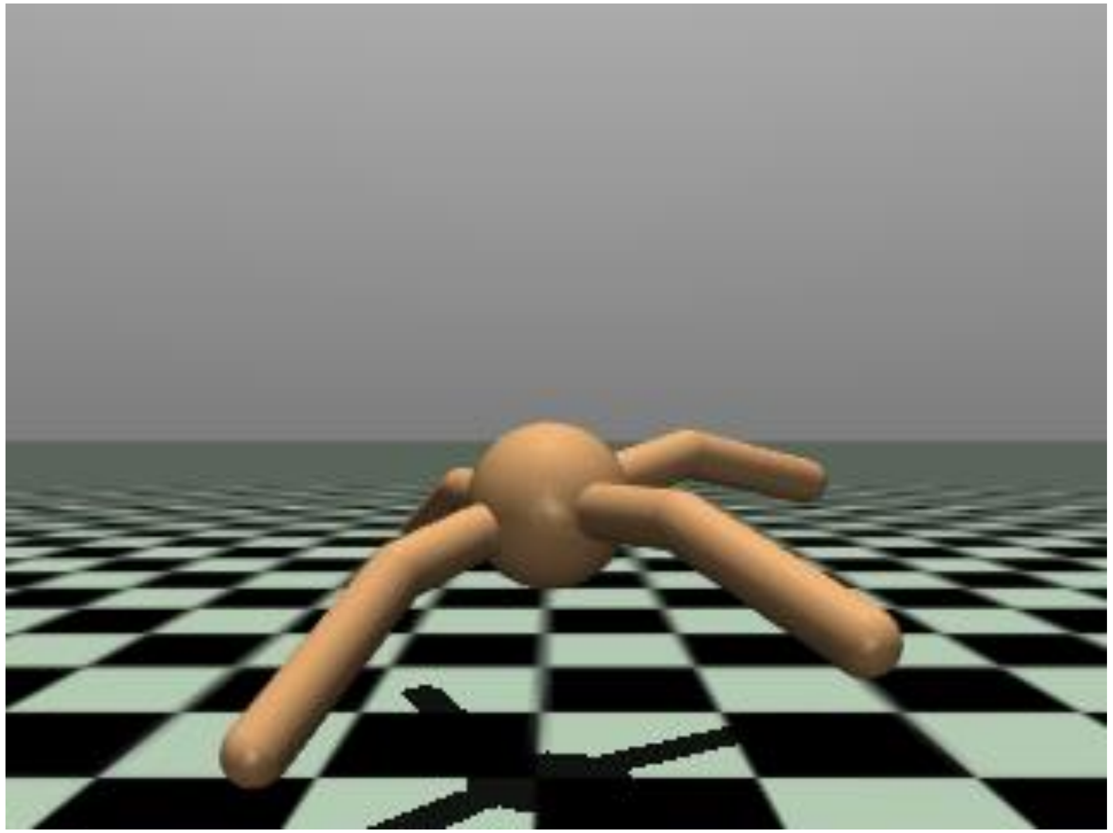
Overview: Reinforcement Learning

Example: Learning to walk on four legs

Trial and error during learning



After Learning Movement



Reinforcement Learning gradually improves policy from random action

Examples of DRL-based robot learning

Grasp learning with multiple robots

[S. Levine et al.,2016]

Learning Dexterous In-Hand Manipulation

[M. Andrychowicz et al.,2018]

Quadrupedal Locomotion over Challenging Terrain

[J. Lee et al.,2020]

Learning complex behavioral rules with high-dimensional input and output

Examples of DRL Applications other than in Robotics

Won over a professional Go player

[Z.Wang et al.,2016]

40% reduction in power used for cooling in data centers

[R. Evans et al.,2016]

DRLs are used to suppress GPT-3 halmination

[TB. Brownet al.,2020]

Task Scale and Performance are Increasing through the Integration with DNN

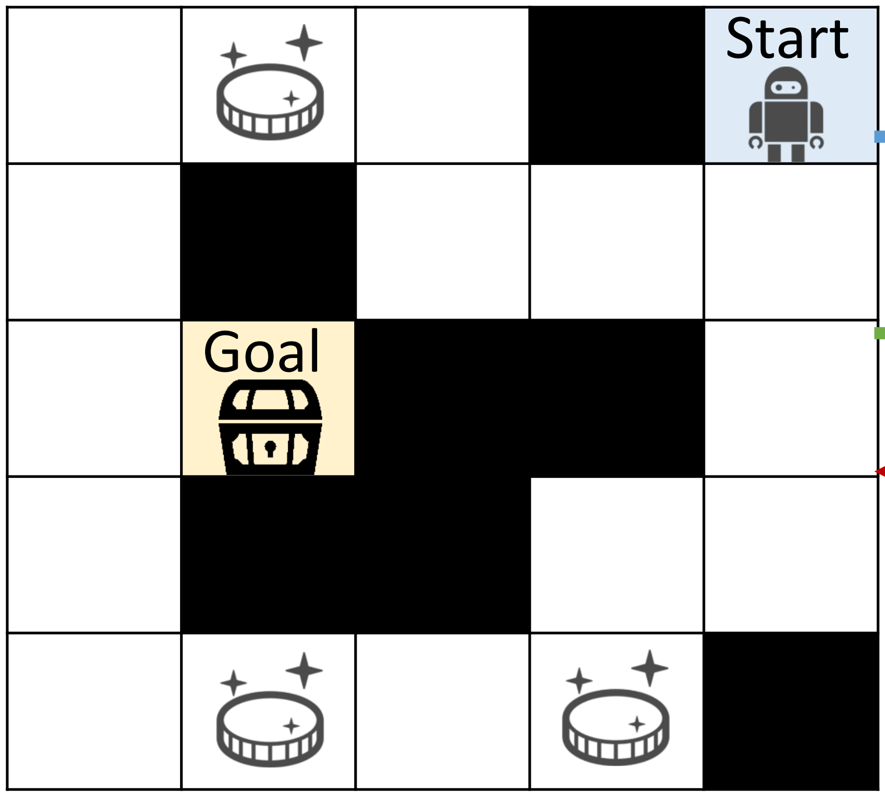
Reinforcement Learning Problem Setting and Objective

- RL objective

Learning a policy (a function that inputs states and outputs actions) to maximize total rewards through trial-and-error

- Examples of problem setting: grid world, Q-learning

Environment



State s:
grid coordinate

Reward $r(s, a, s')$:
goal, coin

Action a :
Up, down, left, right movement

Agent

Approximation of total reward (value function)

$$Q(s, a) = \mathbb{E}_{\pi, \mathcal{T}_{ss'}^{a}} \left[\sum_{t=0}^{\infty} \gamma^{t} r(s, a, s') \right]$$

Policy for Learning Objective

$$\pi(a|s) = \operatorname*{argmax}_{a'} Q(s, a')$$

Concept of Learning Process: Outline

- Gradually learning a policy to maximize total rewards by repeating two steps

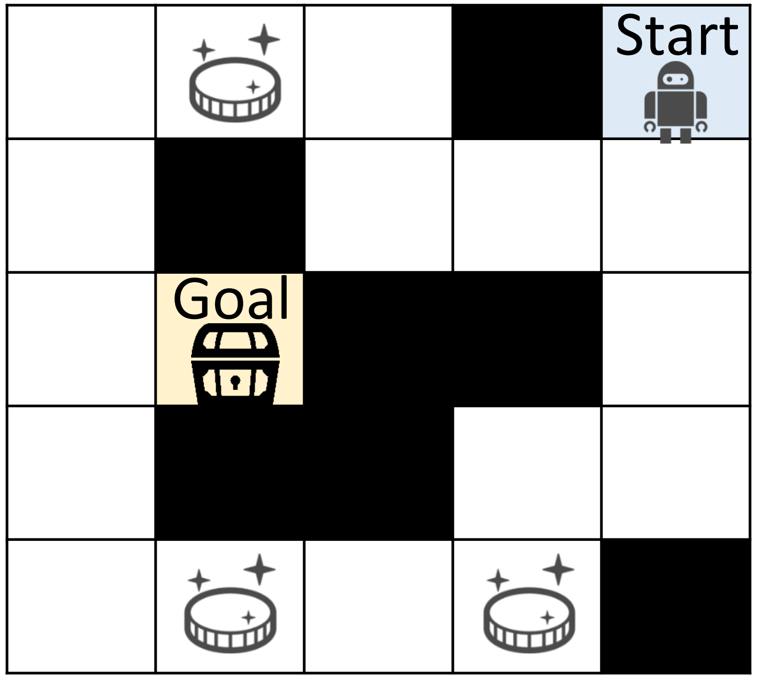
S1. Collecting data (state, action, reward, next state) through trial-and-error using the learning policy

S2. Update policy to maximize total rewards using collected data

After explaining how the trajectory of the learning policy changes,
I will explain the details of each step

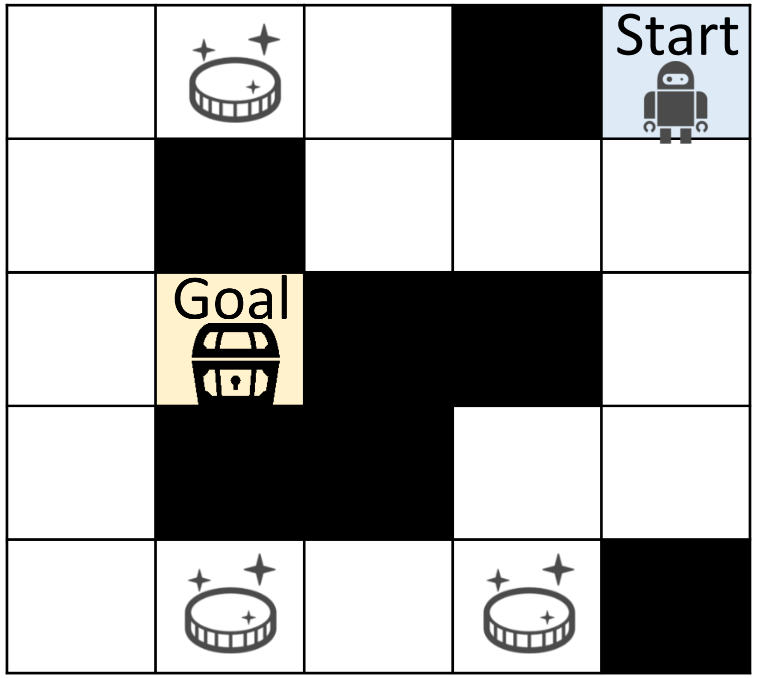
Change in policy trajectory due to repeated updates

1. Early



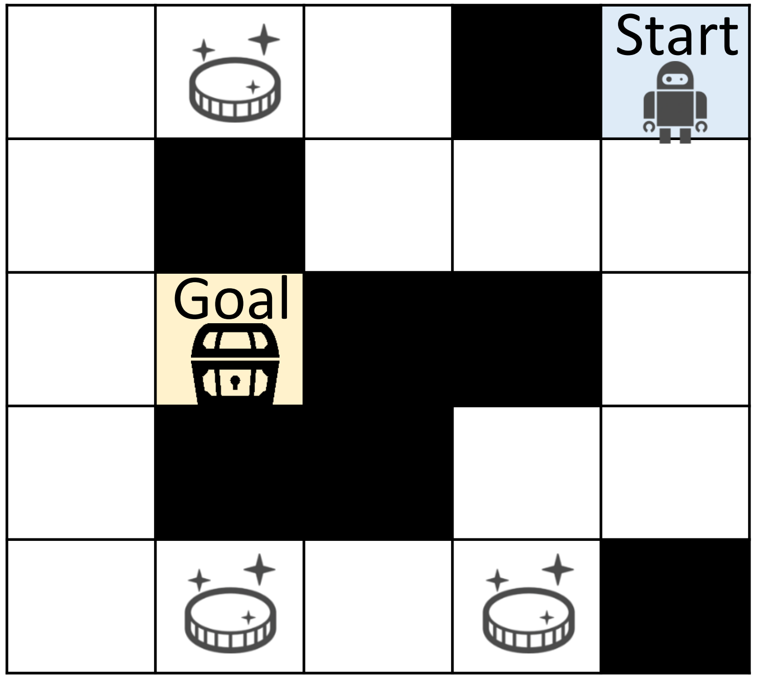
Random exploration

2. Middle



Floundering rewarding conditions
Agents may reach a goal by accident

3. Final

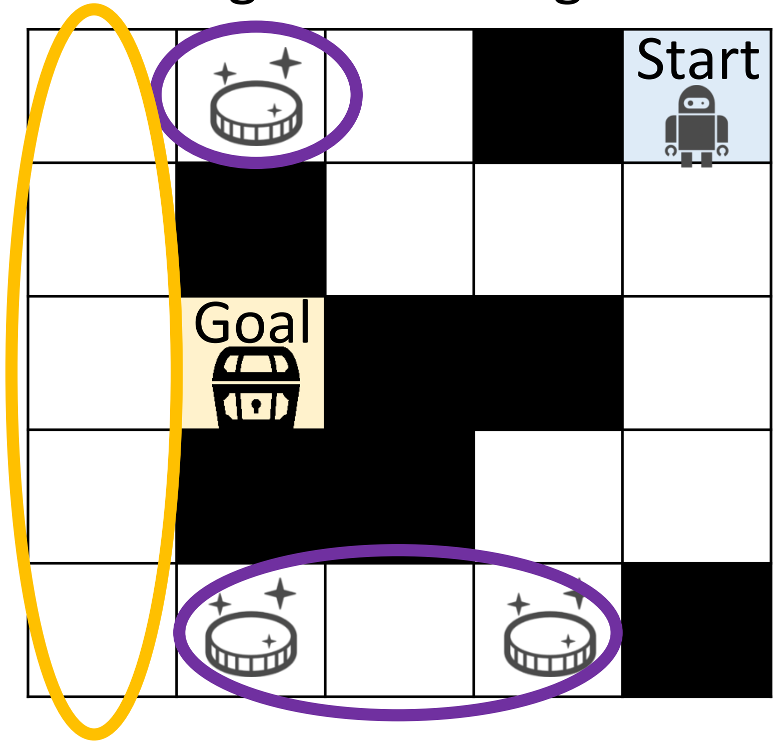


Aim for the goal state in the shortest path

Gradually increasing total rewards through trial-and-error to approach the optimal policy

S1. Collect data using learning policy

Example of middle stage of learning



- Collecting data

The following pairs of data are collected for each policy decision
state s, action a, next state s', reward $r(\mathrm{s}, \mathrm{a}, \mathrm{s}')$

- Trade-off between exploration and exploitation in policy

Exploitation:

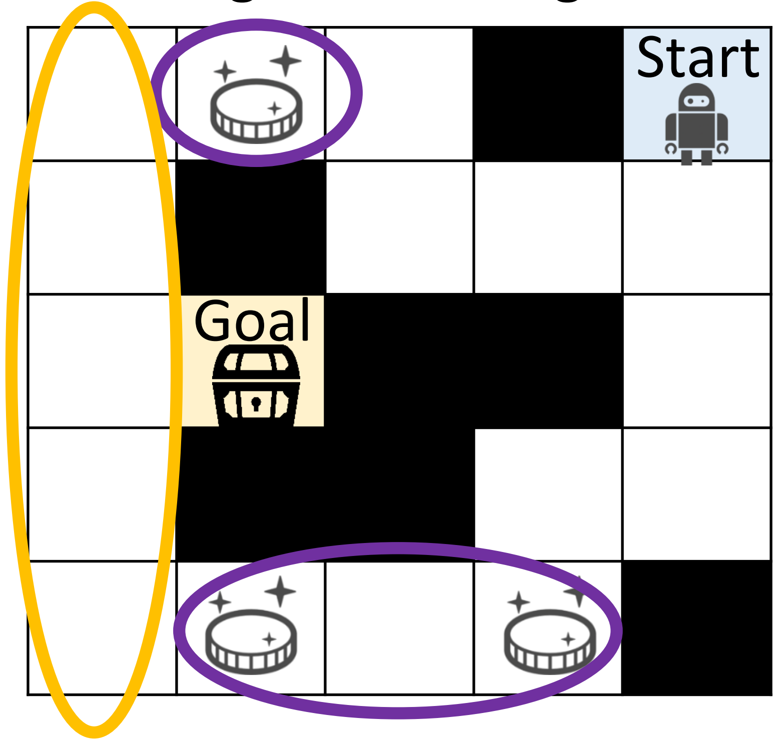
Decide on actions with high total reward based on experience
Actions approaching coin coordinates known from experience

Exploration:

Try less experienced behaviors and explore new rewards
Explore away from coins. Reached goal state by accident

S1. Collect data using learning policy

Example of middle stage of learning



- e.g., ε-greedy policy in Q-learning

Behaviors with high total reward are checked by reference to the value function $Q(s, a)$ regressed on the total reward

Selecting the action with the highest value $Q(s, a)$ with a probability of ε
Random action selected with a probability of 1-ε

Exploitation

$$\pi(a|s) = \begin{cases} 1 - \varepsilon + \dfrac{\varepsilon}{|A|} & \left(a = \underset{a'}{\operatorname{argmax}}\, Q(s, a')\right) \\ \dfrac{\varepsilon}{|A|} & (otherwise) \end{cases}$$

Exploration

S2. Update policy using collected data

- Update policy with Q-learning

Q-learning approximates total reward as a value function $Q(s, a)$, Learning a policy $\pi(a|s)$ to maximize total reward using the value function $Q(s, a)$

Approximate the expected total reward for selecting action a in state s as the value function $Q(s, a)$

Since the policy decides on the action based on the value function, Indirectly updating the policy through updating the value function

Value function

$$\pi(a|s) = \begin{cases} 1 - \varepsilon + \dfrac{\varepsilon}{|A|} & \left(a = \underset{a'}{\operatorname{argmax}} \boxed{Q(s, a')}\right) \\ \dfrac{\varepsilon}{|A|} & (otherwise) \end{cases}$$

S2. Update policy using collected data

- Update value function

Update the approximation of the total reward based on the collected data

Approximate target value gradually to consider stochastic factors such as state transitions, etc.

One data point collected

Reward $r(s, a, s')$, next state s', state s, action a

Update equation

Error $$\delta := \underbrace{\boxed{r(s, a, s') + \gamma \max_{a'} \hat{Q}(s', a')}}_{\text{Target value (to be explained later)}} - \underbrace{\boxed{\hat{Q}(s, a)}}_{\text{Current value}}$$

Updated Value $$\hat{Q}(s, a) := \hat{Q}(s, a) + \underbrace{\alpha}_{\text{Learning rate}}\delta$$

Update value function with collected data

Concept of Learning Process: Summary

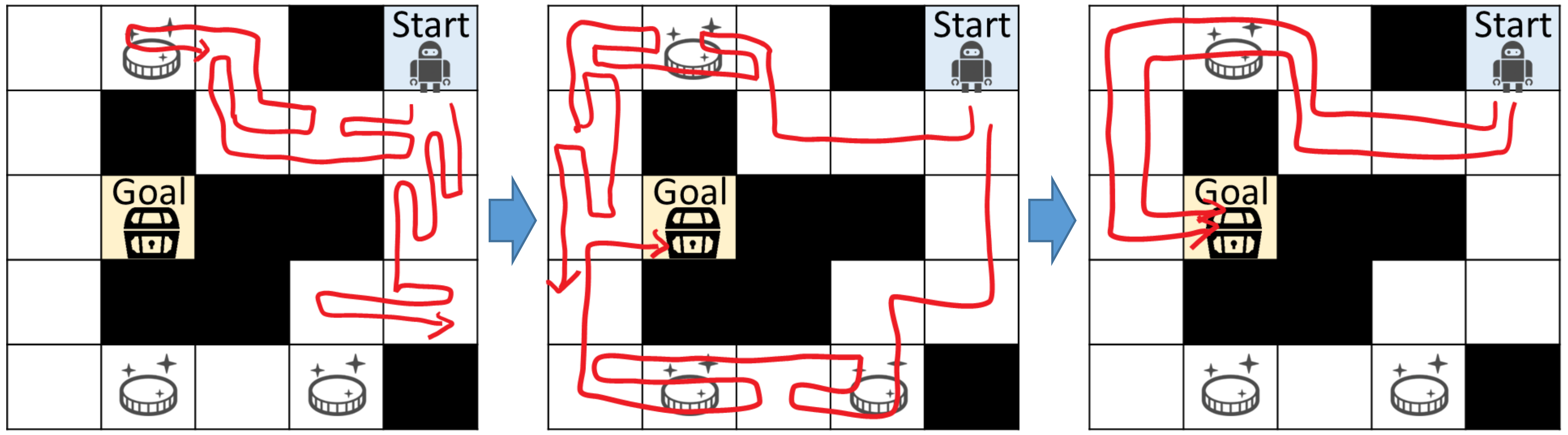
- Overview

Repeat the following two steps

S1. Collecting data through trial-and-error using the learning policy

S2. Update policy to maximize total rewards using collected data

- The concept of policy improvement during learning



Iterative process of data collection and policy updates gradually shifts from random actions towards maximizing total reward

Exercise 1

Let's actually run the Reinforcement Learning code to see how it learns and the results!

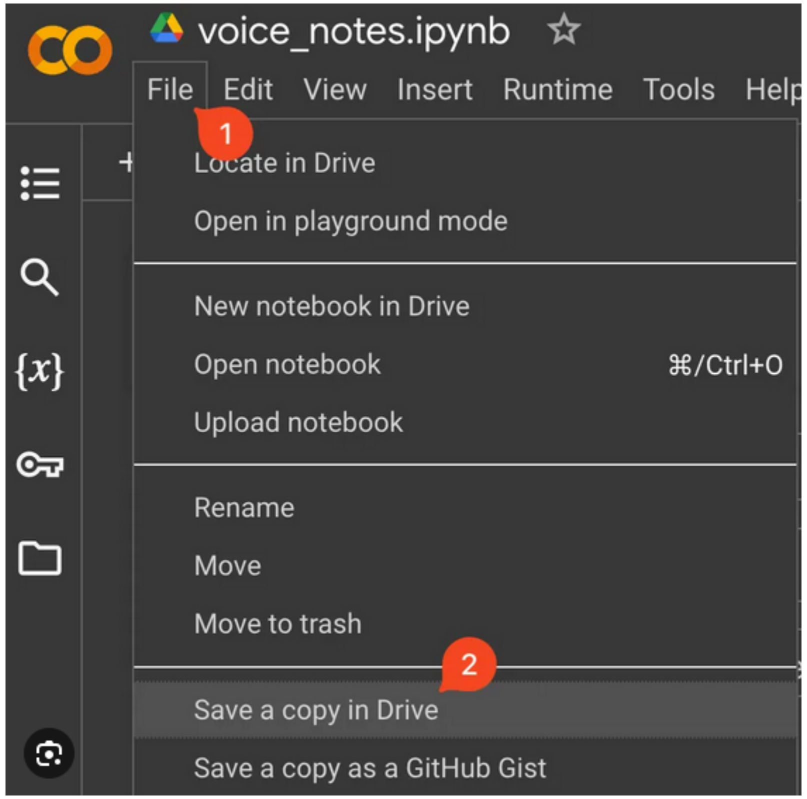
The objective is to check the correspondence between the reinforcement learning concepts described and the results of the code execution.

How to Access and Save the Practical Exercise

Access Google Colaboratory through the syllabus or the URL below

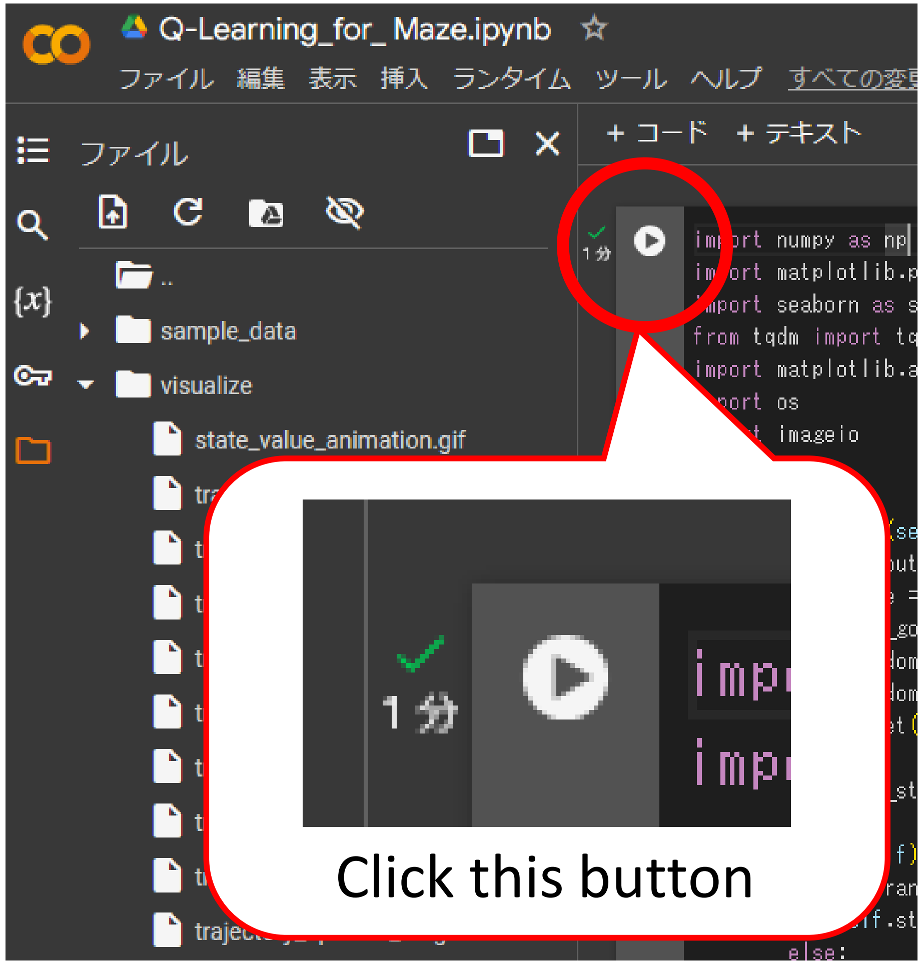
https://colab.research.google.com/drive/1VLud2mfjYeOthRVgUq8zrgRwlhDtgAk1?usp=sharing

Save to your Google Drive



Code Execution

When executing only one code section


Q-Learning_for_ Maze.ipynb
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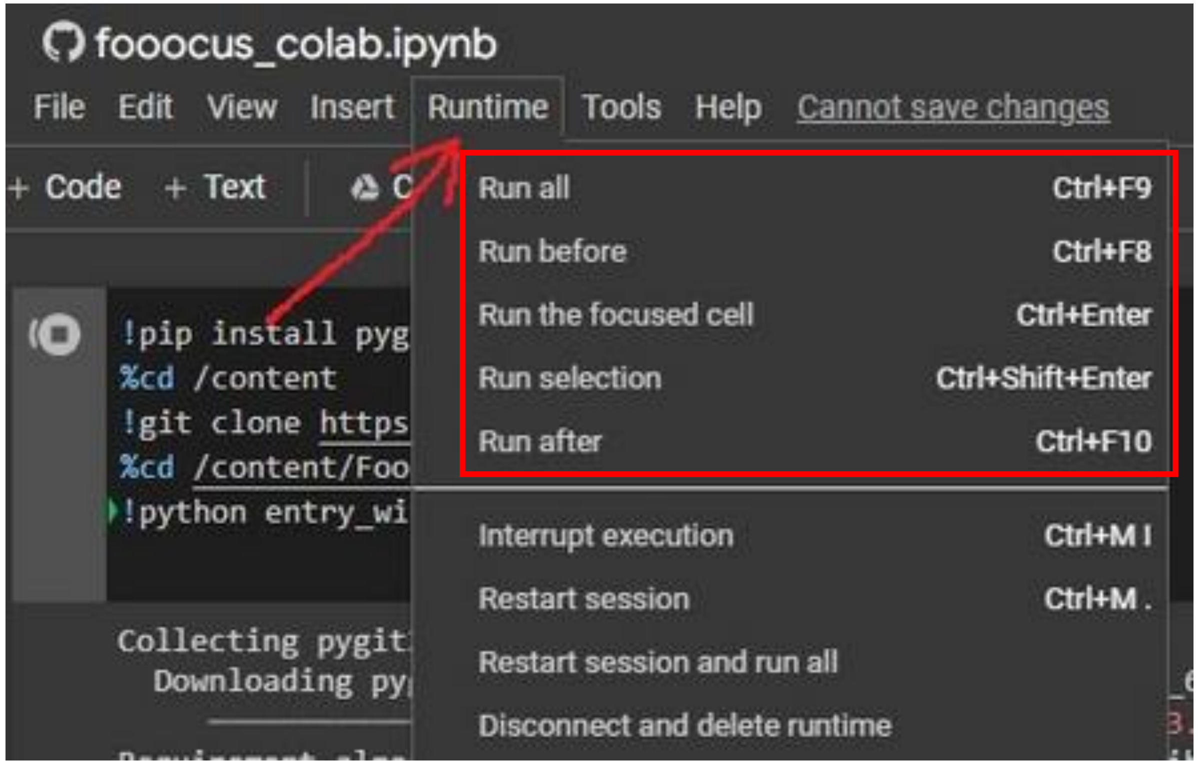
ファイル
+ コード + テキスト
sample_data
visualize
state_value_animation.gif
1 分
Click this button

```
import numpy as np
import matplotlib.p
import seaborn as s
from tqdm import tq
import matplotlib.a
import os
import imageio
```

Other code execution


fooocus_colab.ipynb
File Edit View Insert Runtime Tools Help Cannot save changes
+ Code + Text
Run all Ctrl+F9
Run before Ctrl+F8
Run the focused cell Ctrl+Enter
Run selection Ctrl+Shift+Enter
Run after Ctrl+F10
Interrupt execution Ctrl+M I
Restart session Ctrl+M .
Restart session and run all
Disconnect and delete runtime

```
!pip install pyg
%cd /content
!git clone https
%cd /content/Foo
!python entry_wi
```

```
Collecting pygit
  Downloading py
```

Learning Environment

Maze Task

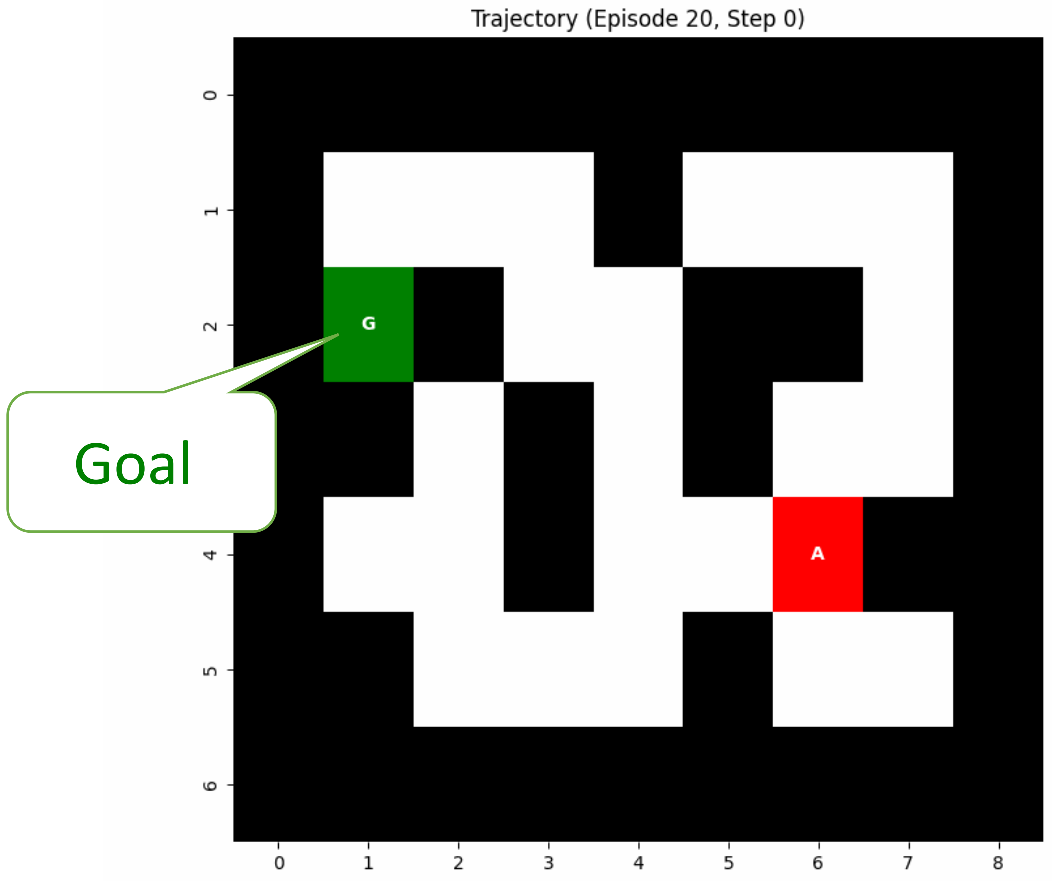
Task Objective: Learn the path from current position to goal position

State: Current coordinates, goal coordinates (4-dimensional)
Action: Up, down, left, right (4-dimensional)
Reward: +10 for reaching the goal, -0.1 otherwise

One step corresponds to one action selection

One episode ends when the trial is completed
Environment state is reset after each episode

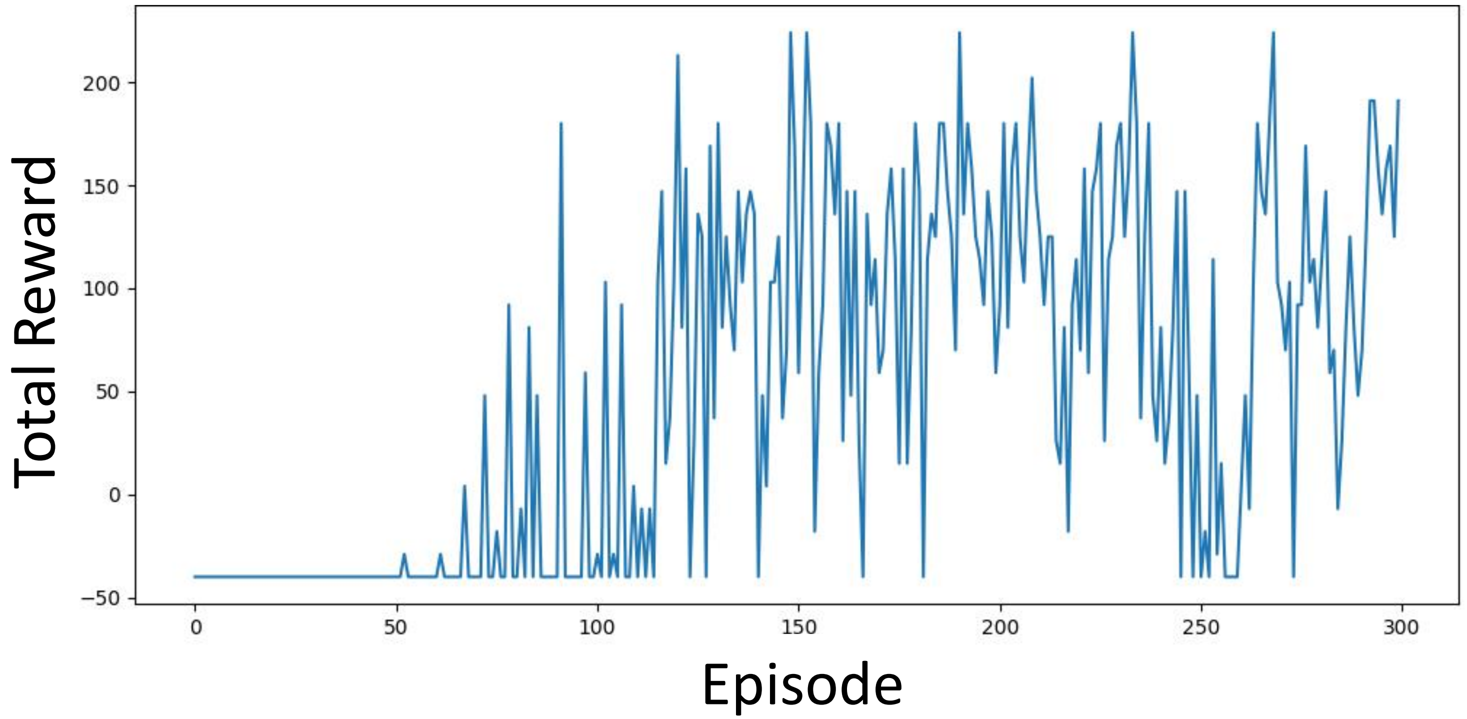
In this task, one episode consists of 40 steps



Exercise 1: Verification of Learning Curves

1. How Behavior Patterns Change from Early to Late Stages of Learning?

Learning curves: Displayed below after code execution

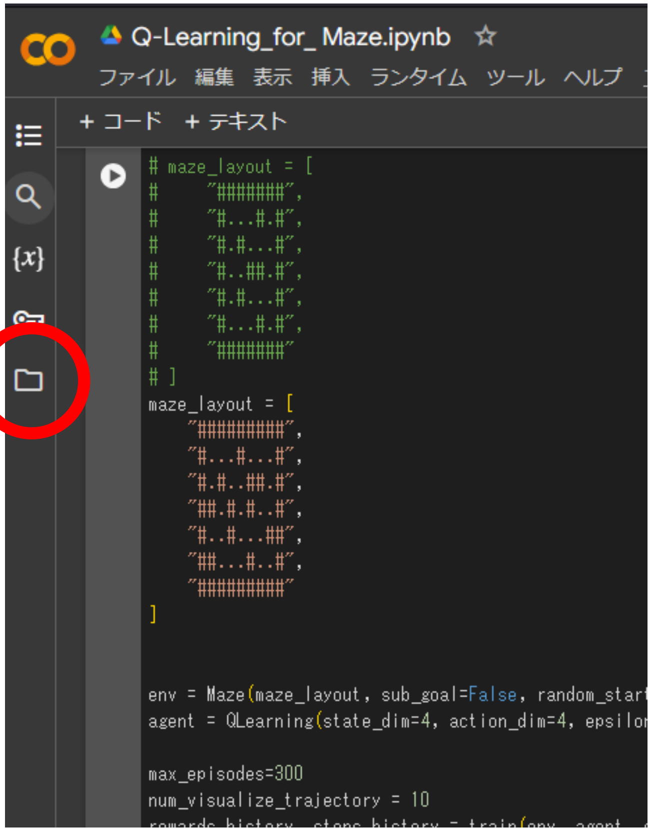


Plotting the changes in total rewards per episode
As learning progresses, total rewards (learning objective) increases

Exercise 1: Verification of Learning Trajectories

1. How Behavior Patterns Change from Early to Late Stages of Learning?

1. Open file

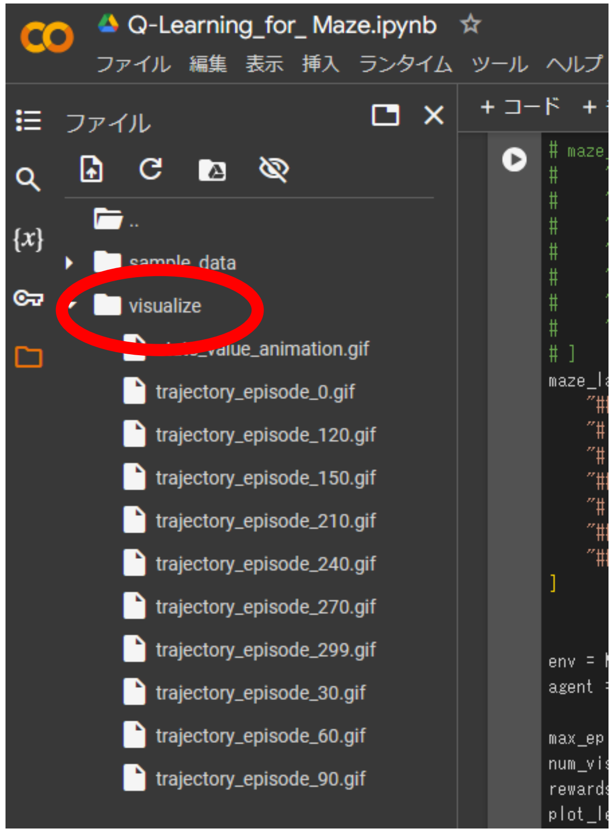

Q-Learning_for_ Maze.ipynb
ファイル 編集 表示 挿入 ランタイム ツール ヘルプ
+ コード + テキスト

```
# maze_layout = [
#     "#######",
#     "#...#.#",
#     "#.#...#",
#     "#..##.#",
#     "#.#...#",
#     "#...#.#",
#     "#######"
# ]
maze_layout = [
    "#########",
    "#...#...#",
    "#.#..##.#",
    "##.#.#..#",
    "#..#...##",
    "##...#..#",
    "#########"
]


env = Maze(maze_layout, sub_goal=False, random_start
agent = QLearning(state_dim=4, action_dim=4, epsilon

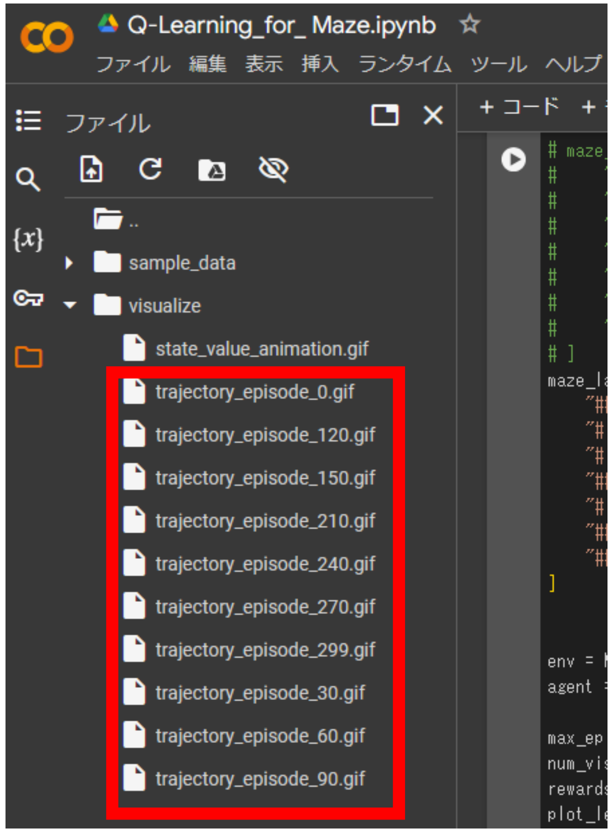
max_episodes=300
num_visualize_trajectory = 10
```

2. Open "visualize"


Q-Learning_for_ Maze.ipynb
ファイル 編集 表示 挿入 ランタイム ツール ヘルプ
ファイル
+ コード

```
..
sample_data
visualize
    state_value_animation.gif
    trajectory_episode_0.gif
    trajectory_episode_120.gif
    trajectory_episode_150.gif
    trajectory_episode_210.gif
    trajectory_episode_240.gif
    trajectory_episode_270.gif
    trajectory_episode_299.gif
    trajectory_episode_30.gif
    trajectory_episode_60.gif
    trajectory_episode_90.gif
```

3. Open "trajectory animation"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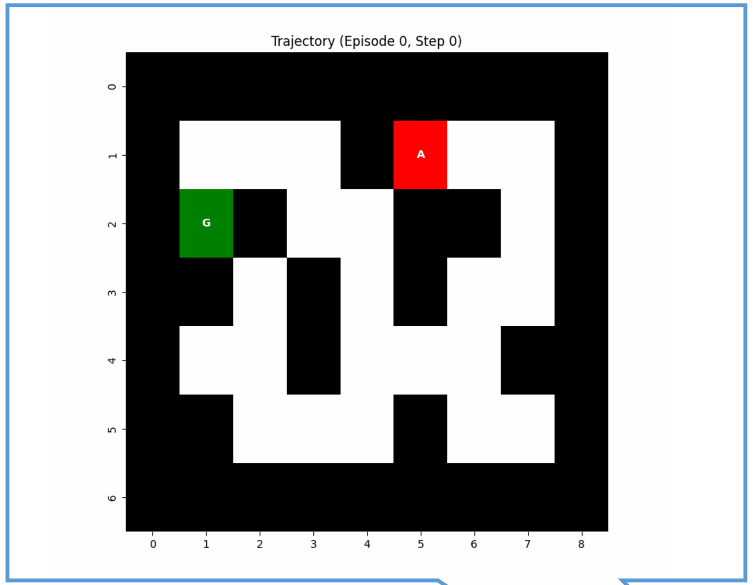
    trajectory_episode_299.gif
    trajectory_episode_30.gif
    trajectory_episode_60.gif
    trajectory_episode_90.gif
```

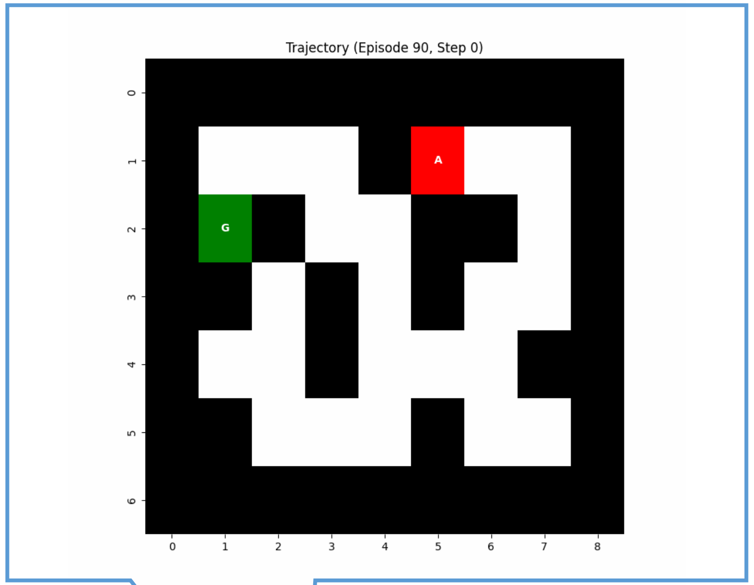
Check trajectories during learning at regular episodes

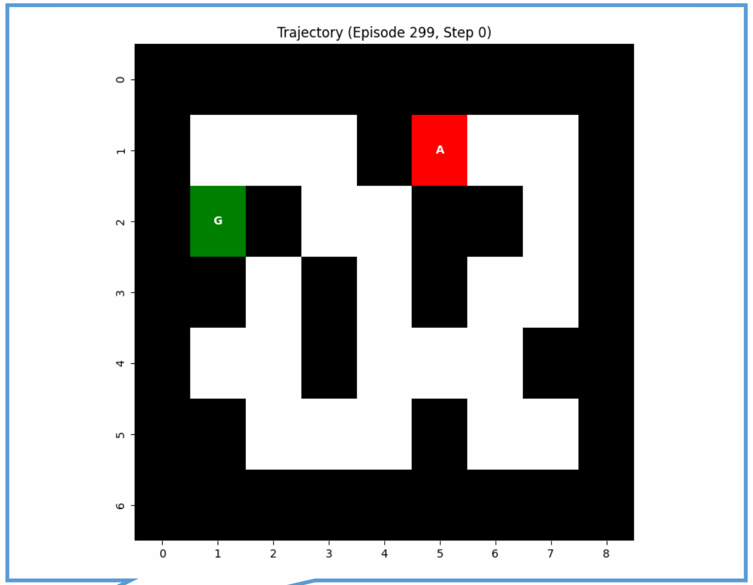
Exercise 1: Comparison of Trajectories and Curves

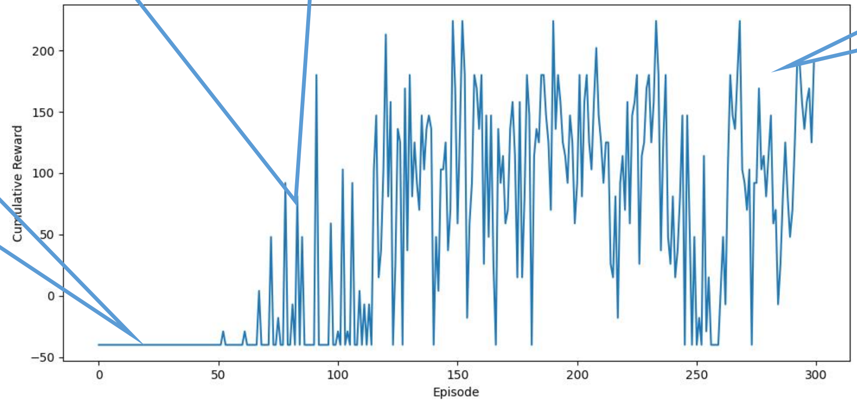
1. How Behavior Patterns Change from Early to Late Stages of Learning?

Let's check the correspondence between total reward and the learning trajectories









Exercise 1: Visualization of Value Function During Learning

2. How the Value Function is Updated?

Update equation for value function

Approximate Value

$$\hat{Q}(s,a) \coloneqq \hat{Q}(s,a) + \alpha\left(\boxed{\underbrace{r(s,a,s')}_{\text{Reward}} + \gamma \underbrace{\max_{a'} \hat{Q}(s',a')}_{\text{Value of post-transition state s'}}} - \hat{Q}(s,a)\right)$$

↓

The value function $\hat{Q}(s,a)$ for state s and action a approximates the reward and value of next state s'

↓

Since values are updated based on subsequent state values, states surrounding high-value states also become high in value

Let's examine how the value function values actually change

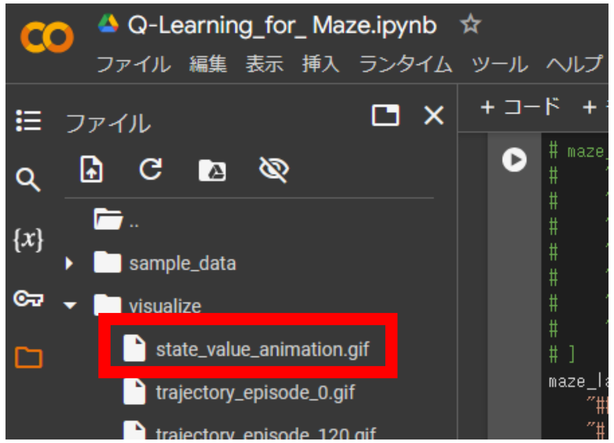
Exercise 1: Visualization of Value Function During Learning

2. How the Value Function is Updated?

Visualization of the value $\max_{a'} \hat{Q}(s, a')$ of movable coordinates at regular episodes

Visualize the value of each state with a heat map

The "state_value_animation.gif" is Visualization results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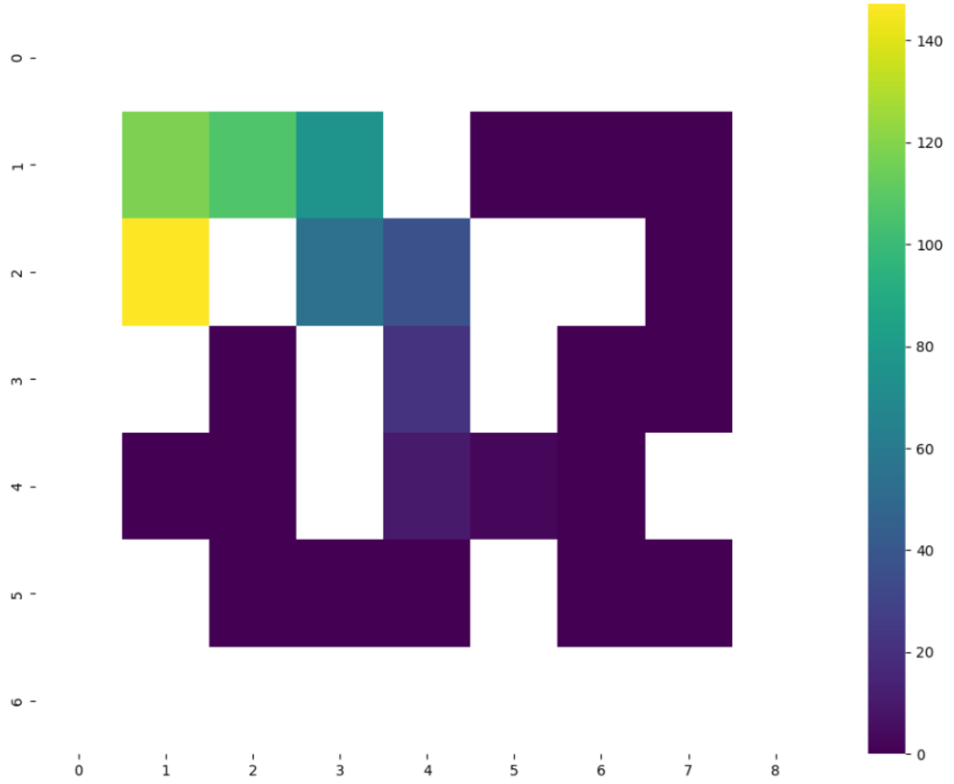


Exercise 1: Examining Learning Costs

3. Which Requires More Training Samples: Randomizing Initial States or Randomizing Goal States?

Let's consider the forecast and the reasons for it.

4. Verify Your Answer to Question 3 Through Programming

Modify the following input variable at the bottom of the program:

```
env = Maze(maze_layout, sub_goal=False, random_start=False, random_goal=False)
```

Judge learning success by:

- Is the sum of rewards in the learning curve high?
- Can the final learning trajectory reach the goal?

If the number of samples is insufficient, please adjust the following variables:

```
max_episodes=300  # Number of trial-and-error episodes. Increase this value
num_visualize_trajectory = 1 # Change to 1 as visualization takes time
```

Mini-Report Assignment

- Consider the problems that can occur when combining with Deep Neural Network (DNN)

・Assignment

1. Problems occurring from combining RL and DNN
2. If you can afford it, please consider ideas for solving that problem as well

・There is a paper on the front table for your report, so please answer it and turn it in at the end of lecture

・The quality of the report content has nothing to do with the grade, so please feel free to consider it!

Please try about 30 minutes on Exercise 1 and Mini-Report Assignment

Exercise 1: Explanation

Example Answers

Contents

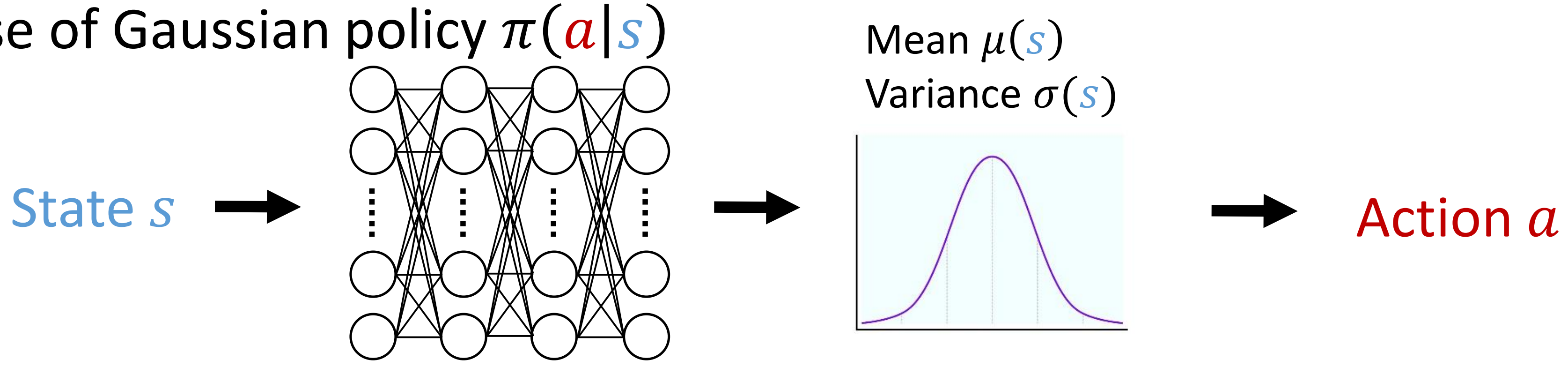
1. Reinforcement Learning Problem Settings and the Concept of the Learning Process

2. Deep Reinforcement Learning: Challenges and Solutions in Neural Network Integration

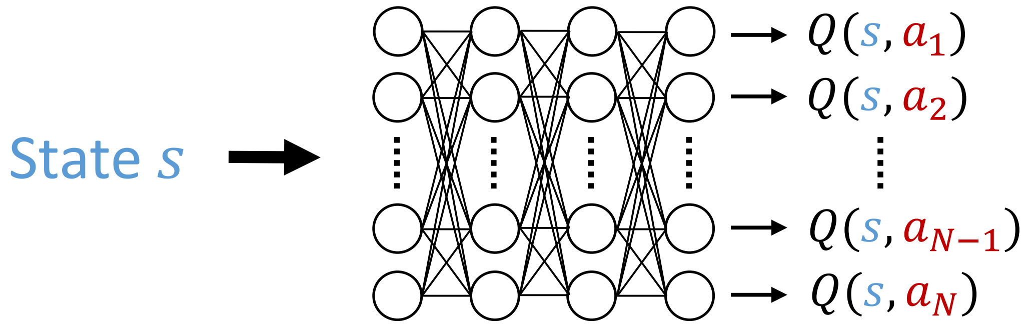
Approaches to Combining Deep Learning and Reinforcement Learning

- Approximating Policies and Value Functions with Deep Learning

In the case of Gaussian policy $\pi(a|s)$



In the case of value function $Q(s,a)$



Outputting values for each action a_n conditioned on state s

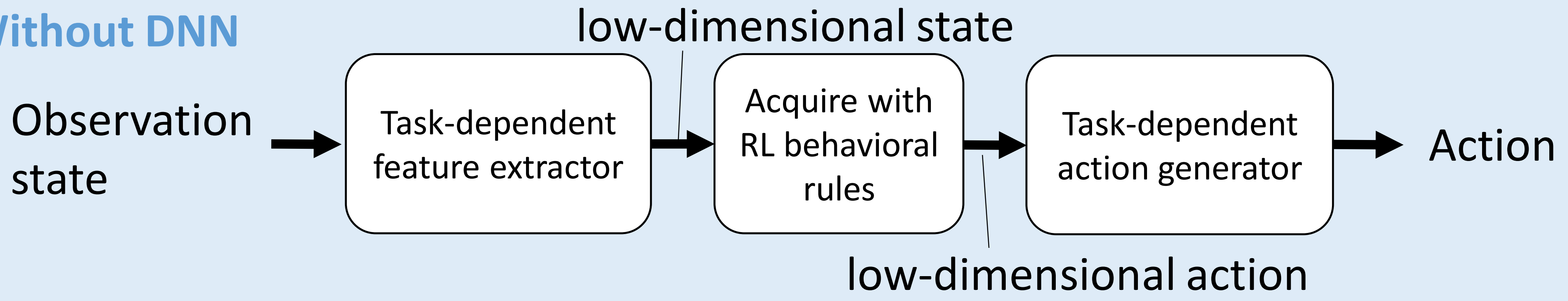
All action values can be obtained in a single forward pass

Actions and values to be approximated are determined by the reinforcement learning algorithm

Reinforcement Learning System Changes with DNN

- Action Decision Process

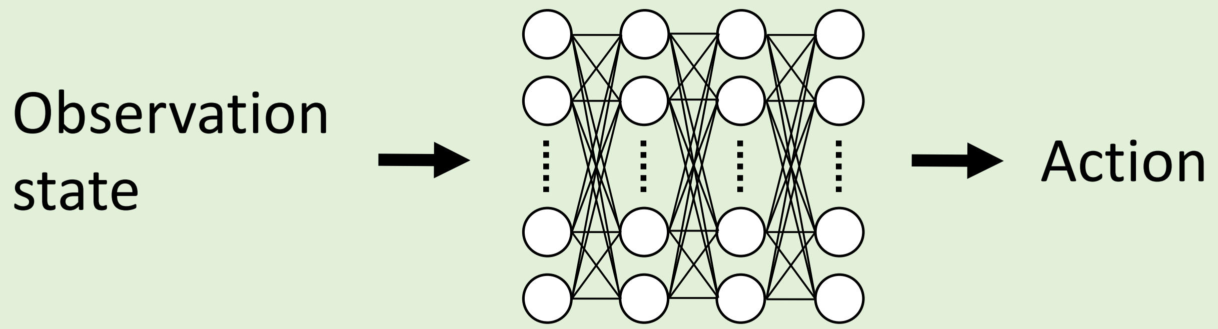
Without DNN


Observation state
Task-dependent feature extractor
low-dimensional state
Acquire with RL behavioral rules
low-dimensional action
Task-dependent action generator
Action

The performance of the system is highly dependent on the quality of the overall design

Since there are few pairs of states and actions to learn, the number of samples required is small

With DNN



Capable of learning high-dimensional input/output policies appropriate for the task

Since there are huge pairs of states and actions to learn, the number of samples required is large

Reinforcement Learning System Changes with DNN

- Changes in the skills and capabilities required to develop systems involving RL

Without DNN

- Understanding RL performance
- Safe trial-and-error environment
- Knowledge to simplify learning tasks (required)

With DNN

- Understanding RL performance
- Safe trial-and-error environment
- Knowledge to simplify learning tasks (not required)

+

- Environment capable of collecting huge amounts of trial-and-error data
- Parameter tuning of RL and DNNs

Improved performance, but higher learning costs

Challenges for Combining RL and DNN

Mini-Report Answers

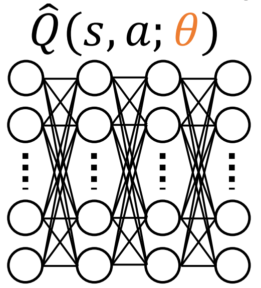
Main Ideas for Solutions

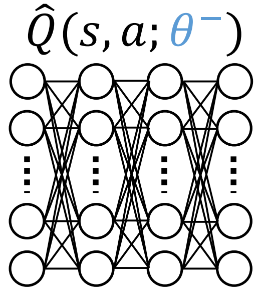
Mini-Report Answers

A1. Target Network: Gradually Changing Target Values

- Temporarily fix value neural network to calculate target values

1. Copy value neural network and prepare the network for fixing

Network weights θ 

$\longrightarrow$ Copied network weights θ^- 

2. Calculate target values with copied network θ^- and update network θ

$$r(s,a,s') + \gamma \max_{a'} \hat{Q}(s',a';\theta^-) \xrightarrow{\text{Update}} \hat{Q}(s,a;\theta)$$

3. Copy the weights again after updating the value network a certain number of times

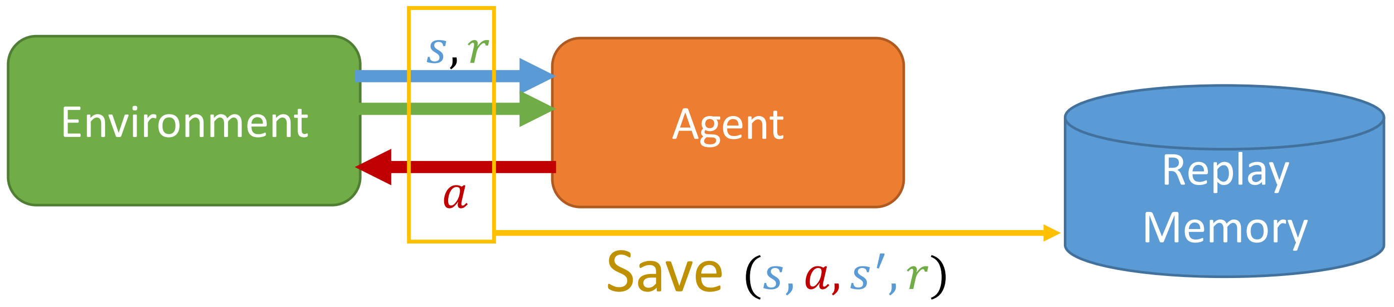
Network weights θ $\longrightarrow$ Copied network weights θ^-

Stabilize the target value fluctuations by temporarily fixing value neural network

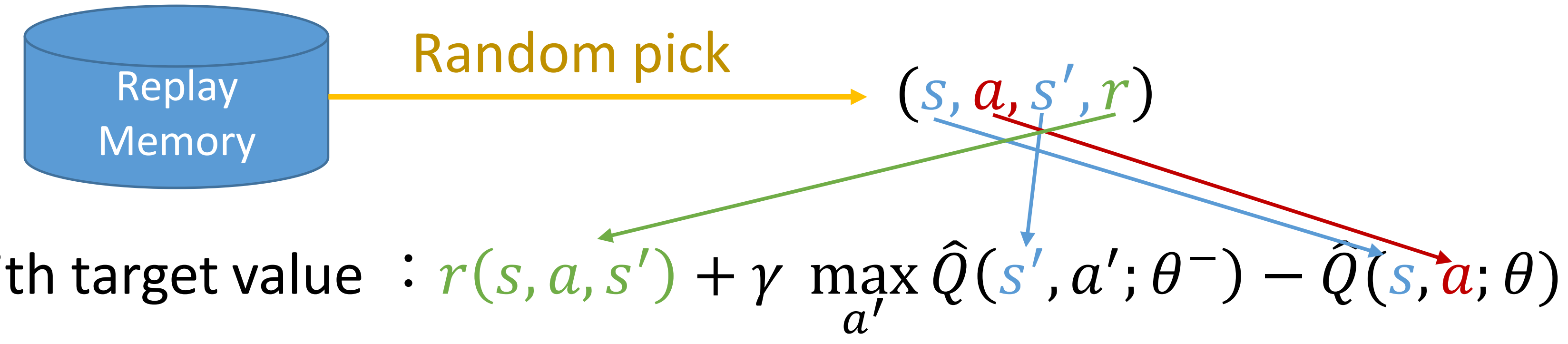
A2. Experience Replay: Using a Variety of Experience Data

- Store as much experienced data as possible and pick up data randomly during training

1. Store as much data as possible collected by agents



2. Randomly picks up stored data and updates value



Error with target value ： $r(s,a,s') + \gamma \max_{a'} \hat{Q}(s', a'; \theta^-) - \hat{Q}(s, a; \theta)$

Avoid overlearning by updating from all collected data

Combining RL and DNN: Summary

- Challenges: approximate policy and value functions with DNN

C1. Dynamically changing target values to be approximated by DNN

C2. Overlearning occurs in regression with large network structures

- Solution: Aligning RL updates with the approach of supervised learning

DQN's example is handled by the following two tricks

A1. Target network: gradually changing target values

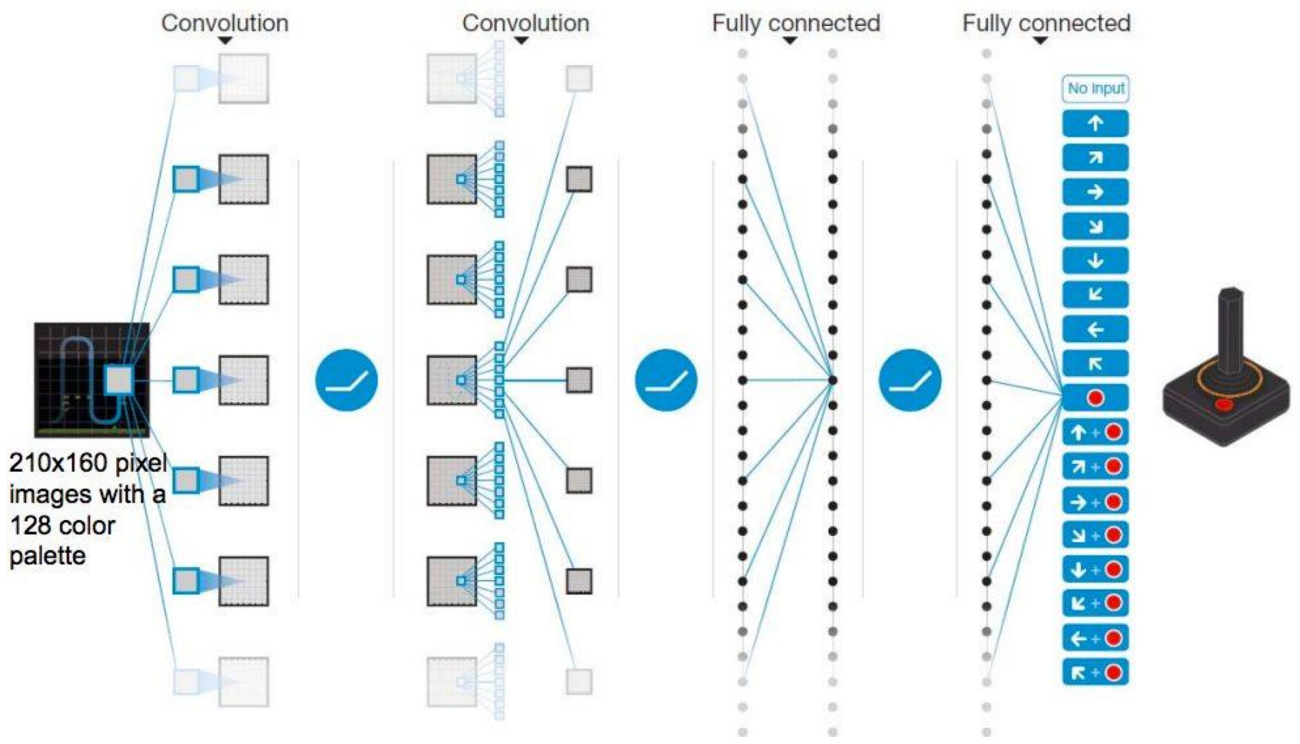
A2. Experience replay: using a variety of experience data

Deep Q-Network [V.Mnih et al., 2013]

- The research that triggered attention towards DRL

Approximate the value function of Q learning with Convolutional Neural Network (CNN)

It became possible to directly input images into the policy

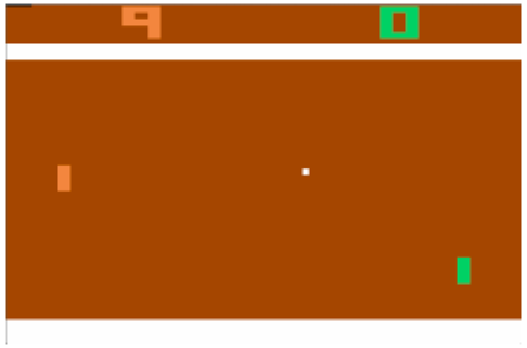


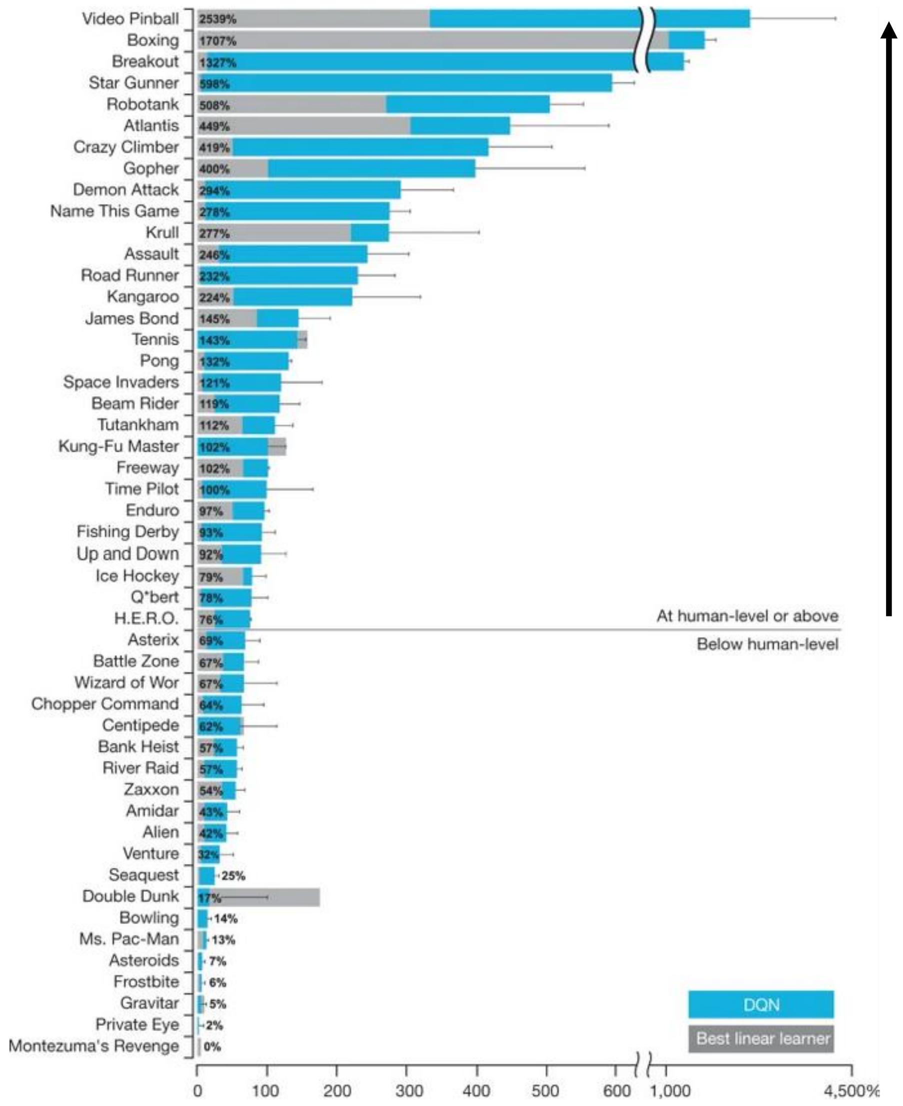
The research tested DQN's performance in 49 different video games

Deep Q-Network Performance

- More than equal to humans in 29 different games

Tested on 49 different Atari games





29 different games

Deep Q-Network Performance

- Video: Breakout

- Video: SPACE INVADERS

The strengths and weaknesses of DQN in different tasks

- Strength tasks

Many chances to be rewarded for random movements

Reflexive and simple movements

- Weaknesses tasks

Random movements do not yield rewards (e.g., Immediate failure game)

Many pairs of state actions to be learned

Similar trends in many other DRL

Some previous studies have focused on weaknesses and improved performance

Exercise 2: Deep Q-Network

Let's actually training Deep Q-Network!

Objective:

Confirm that DQN policies can be learned using vector and image states as input

Confirm the effectiveness of Experience Replay and Target Network used in DQN

Task: Reaching Task

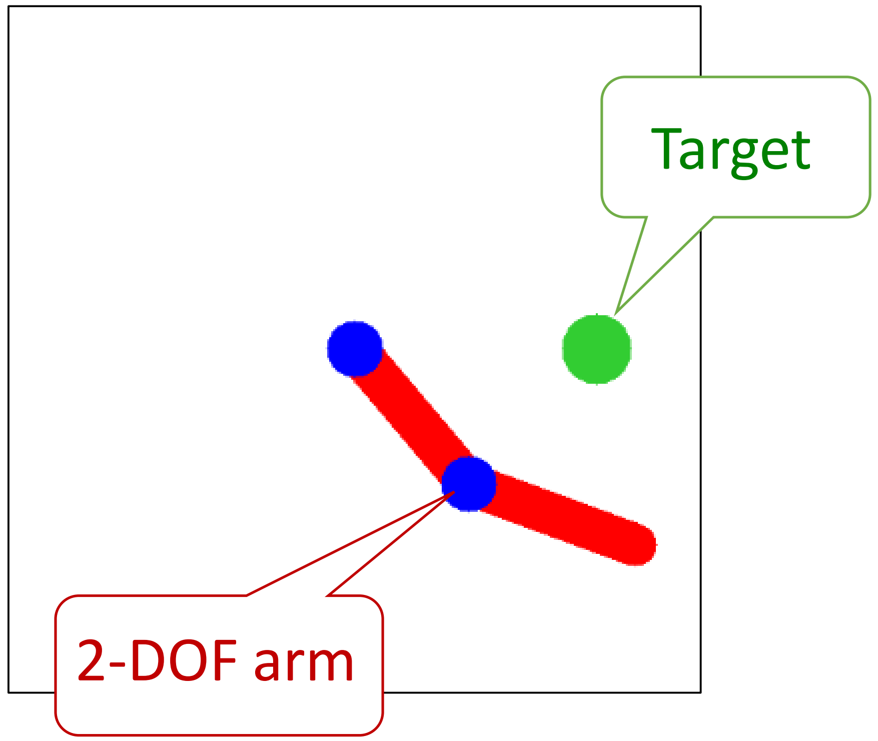
Task Objective: Minimize the distance between the target and the arm endpoint

State: Discrete joint states or image state

Actions: Which joint to move and by how much?
Differential actions consist of 5 types (5*2=10 actions)

Reward: Various reward functions to be tested

In this task, one episode consists of 30 steps



Exercise 2: Deep Q-Network

Access Google Colaboratory through the syllabus or the URL below

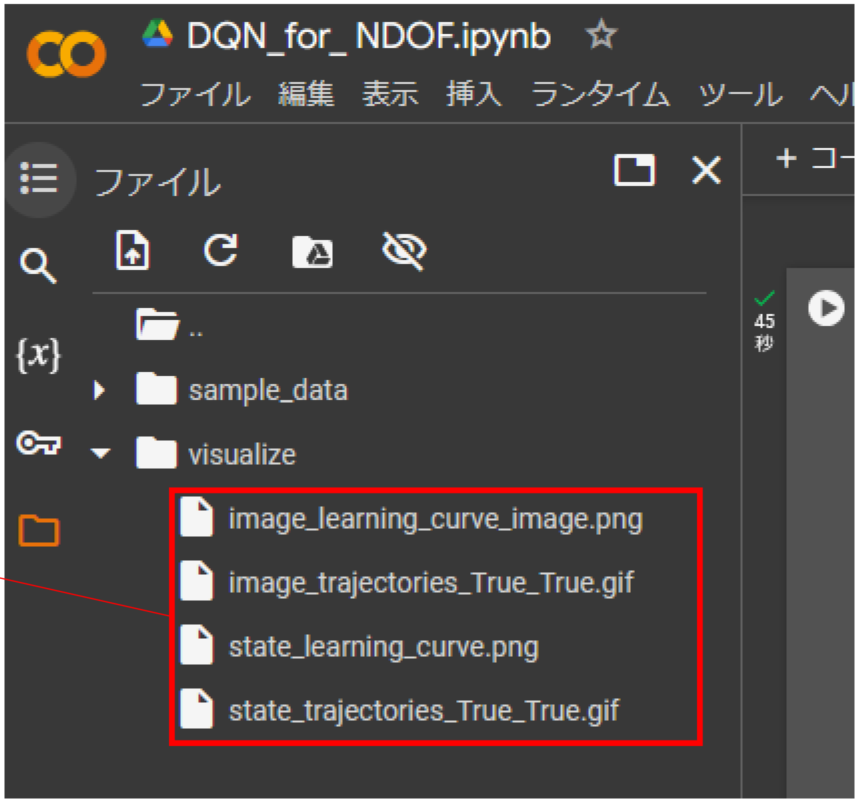
https://colab.research.google.com/drive/1vPZojYhlQ-hQ5DldSXsk1DnERli8wCT3?usp=sharing

2-1 Examining DQN Learning with Vector States and Image States

The state data format can be switched by changing the following variable

```
use_image_state = False#True
```

Check the learning curves and learning trajectories

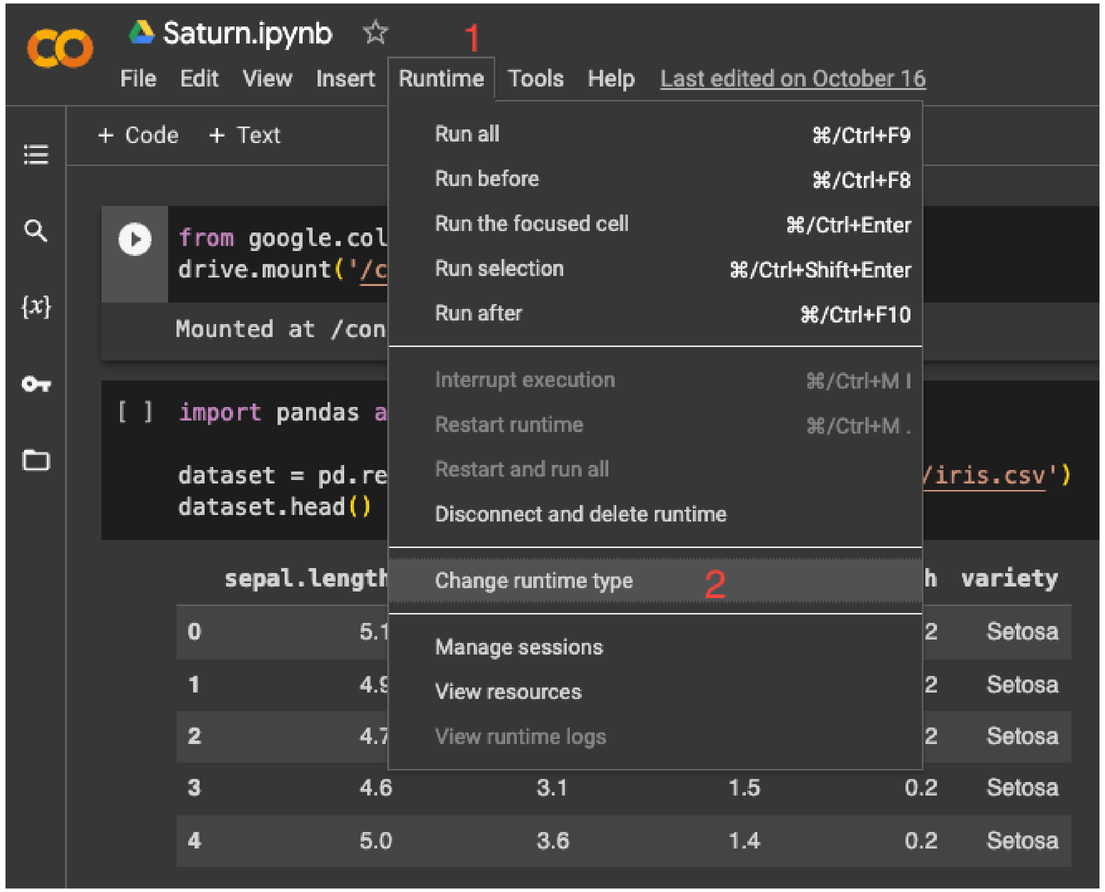


image_learning_curve_image.png
image_trajectories_True_True.gif
state_learning_curve.png
state_trajectories_True_True.gif

Exercise 2: Deep Q-Network

GPU Activation

Hardware acceleration changed from CPU to T4 GPU



Saturn.ipynb
1
File Edit View Insert Runtime Tools Help Last edited on October 16
+ Code + Text
Run all ⌘/Ctrl+F9
Run before ⌘/Ctrl+F8
Run the focused cell ⌘/Ctrl+Enter
Run selection ⌘/Ctrl+Shift+Enter
Run after ⌘/Ctrl+F10
Interrupt execution ⌘/Ctrl+M I
Restart runtime ⌘/Ctrl+M .
Restart and run all
Disconnect and delete runtime
Change runtime type
2
Manage sessions
View resources
View runtime logs

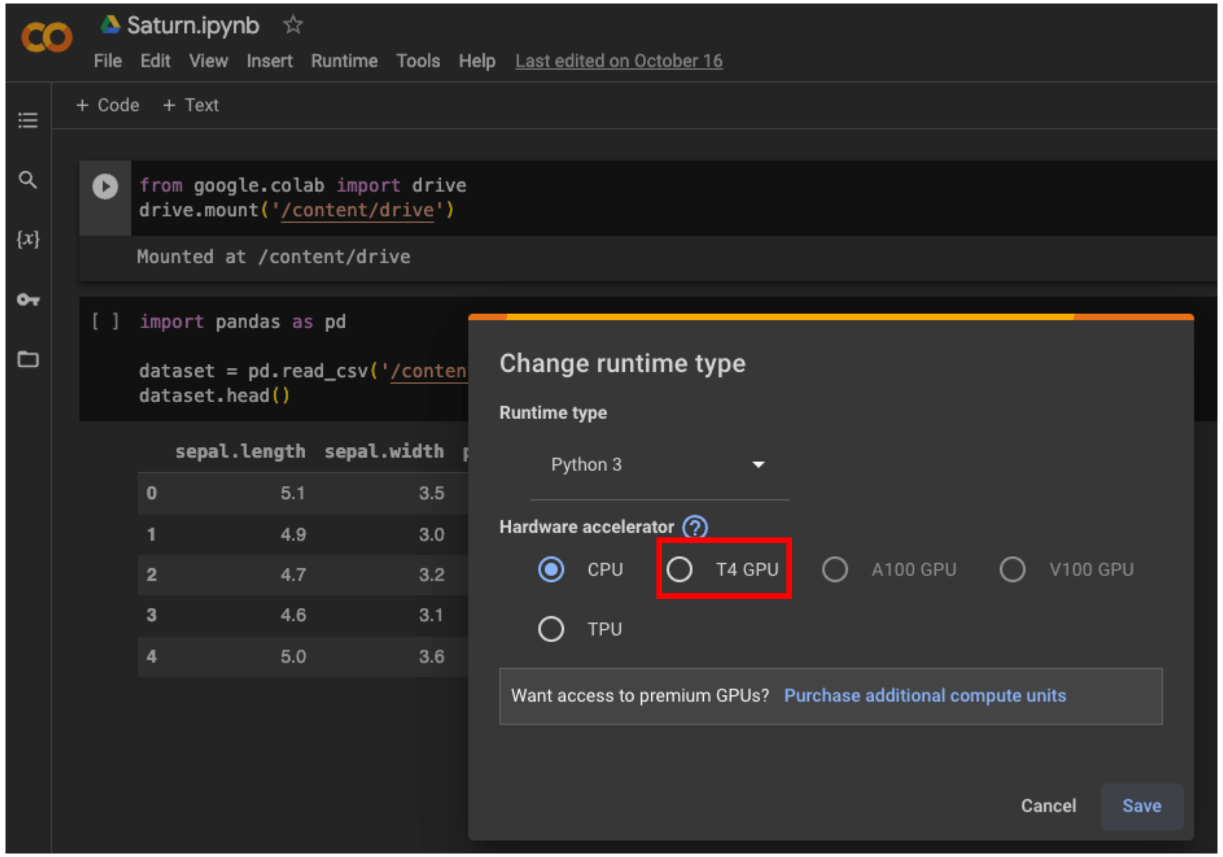
```
from google.col
drive.mount('/c
```

```
Mounted at /con
```

```
[ ] import pandas a

    dataset = pd.re                                  /iris.csv')
    dataset.head()
```

```
     sepal.lengtl                                 h  variety
0         5.1                                     2  Setosa
1         4.9                                     2  Setosa
2         4.7                                     2  Setosa
3         4.6        3.1         1.5        0.2      Setosa
4         5.0        3.6         1.4        0.2      Setosa
```



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File Edit View Insert Runtime Tools Help Last edited on October 16
+ Code + Text
Change runtime type
Want access to premium GPUs? Purchase additional compute units
Cancel
Save

```
from google.colab import drive
drive.mount('/content/drive')
```

```
Mounted at /content/drive
```

```
[ ] import pandas as pd

    dataset = pd.read_csv('/conten
    dataset.head()
```

```
   sepal.length  sepal.width  p
0           5.1          3.5
1           4.9          3.0
2           4.7          3.2
3           4.6          3.1
4           5.0          3.6
```

Runtime type: Python 3

Hardware accelerator: [✓ CPU] T4 GPU A100 GPU V100 GPU TPU

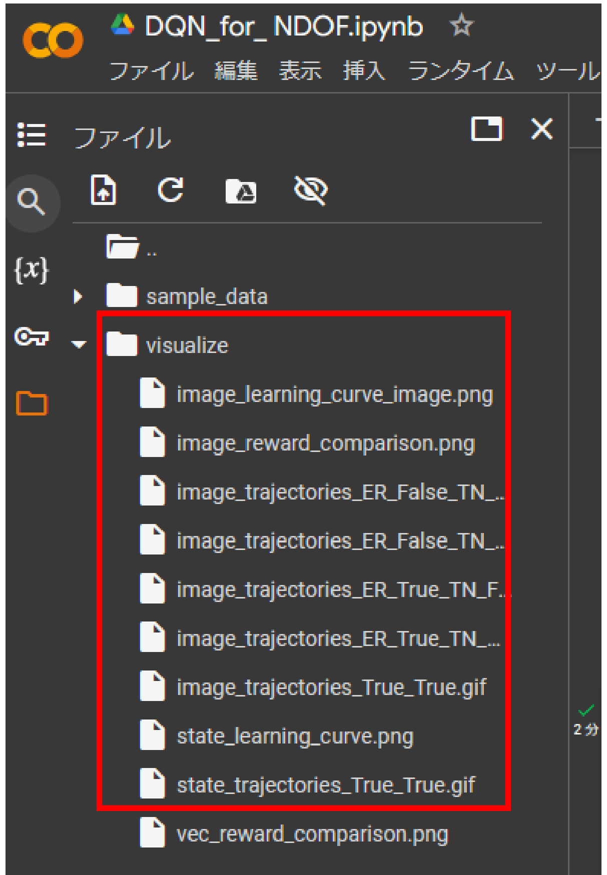
[https://saturncloud.io/blog/how-to-activate-gpu-computing-in-google-colab/]

Exercise 2: Deep Q-Network

2-2 Compare the Results of Vector States and Image States, and Analyze the Reasons for These Differences

Hint: Focus on the differences in learning conditions related to Experience Replay (ER) and Target Network (TN).

Specifically, compare “vec_reward_comparison.png” with “image_reward_comparison.png”, and compare “vec_trajectories_*.gif” with “image_trajectories_*”.gif



..
sample_data
visualize
image_learning_curve_image.png
image_reward_comparison.png
image_trajectories_ER_False_TN_...
image_trajectories_ER_False_TN_...
image_trajectories_ER_True_TN_F...
image_trajectories_ER_True_TN_...
image_trajectories_True_True.gif
state_learning_curve.png
state_trajectories_True_True.gif
vec_reward_comparison.png

Please try about 10 minutes on Exercise 2

Example Answers for Exercise 2-2

Example Answers

Summary

Explanation focusing on RL and DRL

- RL problem settings and the concept of the learning process
- Deep Reinforcement Learning: Challenges and Solutions in Neural Network Integration
- Through practical exercises, confirmed the correspondence between the explanation of reinforcement learning and the actual execution results