# Dead-Block Filter for Prefetcher Optimization

Himanshu Galav

Department of Electrical Engineering

IIT Ropar

Punjab, India
2021eeb1177@iitrpr.ac.in

Abstract—In the realm of cache prefetching using Deep Learning Models, the oversight of DeadBlocks, i.e., prefetched addresses that remain unused and contribute to cache pollution, is a notable gap. This paper proposes leveraging DeadBlocks to examine predicted prefetch addresses, thus mitigating unnecessary cache pollution. We introduce a method that counts the total accesses to a block to track DeadBlocks efficiently. Previous research has introduced various Dead Block Predictors, each with its approach. Our study focuses on implementing a Dead Block Filter for enhancing the prefetching efficiency of all prefetchers. Experimental results demonstrate an improvement in Instruction Per Cycle (IPC) and a reduction in useless prefetches, leading to an overall enhancement in prefetcher efficiency. Github Repository: Link

#### I. Introduction

Cache prefetching is a critical technique utilized in modern computer systems to enhance memory access latency and overall system performance. By predicting future memory accesses and prefetching the corresponding data into the cache, prefetchers aim to reduce the latency associated with accessing data from main memory. However, prefetching mechanisms often face the challenge of unnecessary cache pollution, where prefetched data remains unused and occupies valuable cache space.

One significant contributor to cache pollution is the prefetching of addresses that correspond to DeadBlocks—memory blocks or cache lines that are prefetched but ultimately remain unused by subsequent memory accesses. The presence of DeadBlocks not only wastes memory bandwidth but also leads to reduced cache effectiveness by displacing potentially useful data.

In this paper, we propose a novel approach to address the issue of cache pollution by leveraging DeadBlocks to examine predicted prefetch addresses. By integrating Dead-Block prediction mechanisms into prefetching strategies, we aim to distinguish between useful and unnecessary prefetches, thereby optimizing cache utilization and enhancing prefetcher efficiency.

In the following sections, we provide an overview of related work in the field of DeadBlock prediction and prefetching mechanisms. We discuss various Dead Block Predictors proposed in the literature and outline their contributions to prefetching efficiency. Subsequently, we present our approach to integrating DeadBlock prediction into prefetching strategies, followed by experimental results demonstrating the effectiveness of our approach in improving cache performance metrics.

#### II. BACKGROUND AND RELATED WORK

Previous research has explored various approaches to predict and mitigate the impact of DeadBlocks on cache performance. Several Dead Block Predictors have been proposed, each offering unique strategies for identifying and handling DeadBlocks.

We summarize some of the key Dead Block Predictors and their contributions below:

## A. Trace-Based Predictor

Introduced by Lai et al., this predictor prefetches data into DeadBlocks in the L1 data cache based on reference traces. The predictor collects a trace of instruction addresses accessing a particular block, predicting DeadBlocks based on the theory that the same trace leading to the last access for one block will lead to the last access for other blocks.

#### B. Time-Based Predictor

Proposed by Hu et al., this predictor learns the live duration of a block and predicts it dead if it is not accessed for a certain number of cycles. Abella et al. also propose a similar predictor based on the number of references rather than cycles, aimed at reducing cache leakage.

# C. Cache Burst Predictor

Cache bursts can be utilized with various Dead Block Predictors, consisting of all contiguous accesses to a block while in the Most Recently Used (MRU) position. This predictor updates predictions only on each burst rather than each reference, reducing the number of accesses and updates to the prediction table.

# D. Counting-Based Predictor

Proposed by Kharbutli and Solihin, this predictor tracks the number of accesses to each block and predicts a block dead if it has been accessed more often than the previous generation. Each entry in the predictor table includes a confidence counter to improve prediction accuracy.

These Dead Block Predictors, among others, have been applied to prefetching and block replacement strategies to improve cache efficiency. However, the integration of DeadBlock prediction into prefetching mechanisms remains an ongoing area of research, which this paper aims to address by proposing a novel approach to optimize prefetcher efficiency and mitigate cache pollution.

#### III. APPROACH



Fig. 1. Model of Cascaded Dead-Block Filter with the Prefetcher

Our approach aims to integrate DeadBlock prediction mechanisms into existing prefetching strategies to mitigate cache pollution and enhance prefetcher efficiency. We begin by conducting simulations using the champsim simulator across various trace files, including bc-0, bc-5, pr-14, sssp-14, bfs-14, and cc-5. This initial step allows us to identify DeadBlocks—memory blocks or cache lines that are prefetched but ultimately remain unused by subsequent memory accesses.

Upon identifying DeadBlocks, we store this data for subsequent processing. In the second phase of our approach, we implement a processing pipeline to handle the DeadBlock data. This involves parsing the DeadBlock file, which contains both DeadBlock addresses and their associated Program Counter (PC) information. Subsequently, we utilize an unordered\_map data structure to efficiently store and manage DeadBlock information.

During prefetcher operation, the DeadBlock data stored in the unordered\_map is leveraged to filter out predicted prefetch addresses that correspond to DeadBlocks. By scrutinizing prefetch addresses against the DeadBlock information, we aim to prevent unnecessary prefetches, thereby reducing cache pollution and enhancing overall prefetcher efficiency.

# EXPERIMENTAL RESULTS

In our experimental evaluation, we observed significant improvements in cache performance metrics, particularly Instruction Per Cycle (IPC), prefetch efficiency, and cache utilization. By incorporating DeadBlock prediction mechanisms into prefetching strategies, we achieved notable enhancements in the following aspects:

## A. IPC Improvement

Our approach led to a notable increase in IPC, indicating improved overall system performance. By reducing unnecessary prefetches and cache pollution, more CPU cycles were utilized effectively, resulting in higher throughput and efficiency.

### B. Reduction in Useless Prefetches

We observed a significant decrease in the issuance of useless prefetches, which are prefetch addresses that correspond to DeadBlocks. By filtering out these unnecessary prefetches, we minimized cache pollution and improved prefetcher accuracy.

## C. Increase in Useful Prefetches

Conversely, we noted an increase in useful prefetches—prefetch addresses that correspond to memory accesses actually utilized by subsequent instructions. This indicates that our approach effectively distinguishes between useful and unnecessary prefetches, leading to better prefetcher performance.

# D. Overall Efficiency Enhancement

The combined effect of reducing useless prefetches, increasing useful prefetches, and improving cache utilization resulted in an overall enhancement in prefetcher efficiency. By optimizing cache prefetching mechanisms through DeadBlock prediction, we achieved improved system performance and resource utilization.

	A		0	D			0	H			4	K		M	N	0		0
	Prefetcher	Trace Sie		10M							50M							
				IPC	Useful	Useless	Efficiency	Hit	Viss	Efficie	ecy	IPC	Useful	Useless	Efficiency	HR	Visa	Efficiency
			Without Filter	0.17212	83321	296667	21.9261173	112	145 35	1903 22	24668816	0.1468	492847	197875	4 19.93909922	830943	2473130	25.1456588
4		bo-0	With Filter	0.182299	82556	96306	45.16777713	111	167 18	5593 37.	50235722	0.1493	498197	150956	7 23.8627067	870076	2589790	29.3957345
5			Without Filter	0.162538	65769	352870	15.71019422	138	109 42	1355 24	36454522	0.1824	5 308369	200135	3 15.66179596	712983	2477991	22.3743372
		bo-5	With Filter	0.169391	50240	166671	23.1611460	195	H3 22	3509 32	61849996	0.1832	395540	167639	19.07221913	742952	1789823	30.234229
			Without Filter															
5		cc-5	With Filter															
9			Without Filter	0.41563	50564	2666	55.00939496	9	63 6	9207 12	58431224							
13		b6s-8	With Filter	0.435655	54286	4025	93.09097148	11	66 6	2915 15.	07458346							
11			Without Filter	0.333688	56779	78585	41.9454212	184	115 14	5995 41.	96432143	0.34181	383373	55719	2 43.75996242	663693	947819	41,184459
12	Next Line	1110-14	With Filter	0.337894	23147	22362	50.86249571	61-	100 5	1279 55	00688192	0.37502	4 390293	25092	3 60.86763275	664002	682992	49.361538
13		bc-0	Without Filter	0.181137	113124	205596	35.49322200	594	61 31	1052 65	16457149	0.14543	7 402542	153174	0 20.81992622	1859406	1929171	49.079271
14			With Filter	0.101137	111901	100492	50 79102290	475	NGT 21	1000 68	19411142	0.14200						
15		bo-5	Without Filter	0.160057	29316	300796	8.668549236	101	27 12	1931 23	63902702	0.18337	4 427149	199523	20.1258401	1578423	2137391	42,478625
16			With Filter	0.160057	29316	300796	8.888649236	1111	27 32	1931 23	63902702	0.18544	428047	144324	6 22.8727489	1522908	1884566	44,693165
17		06-5	Without Filter	0.206188				)	0	0		0.24618	44682	11209	5 28.50035401	193540	156127	55.345684
10			With Filter	0.206188					0	0	0	0.24093	40866	6267	5 39.46804195	167832	183931	61,961551
19		bh-5	Without Filter	0.406963	138977	11409	92.4135225-	025	20 15	1812 84	03351877	0.39910	706470	110034	41.68261497	6387100	1991953	77.057650
29			With Filter	0.409322	135903	7911	94.4993447	5 630	16 14	1990 84	01034900	0.39277	773064	31129	1 71.29232228	5491533	1092197	83,410665
21		5550-54	Without Filter	0.204999	61975	376947	14.11982083	390	109 46	1779 46	31026120	0.30171	457365	212471	4 17.71368962	2556963	2586900	49.716665
22	80		With Filter	0.303396	29056	35004	44.7428395	171	36 7	8670 64	1.5074358	0.29713	421261	187842	4 18.31820449	2278961	2294991	49.829126
23		60-0	Without Filter	0.168585	67621	132251	30.3472869	176	140 15	1256 47		0.1415	7 403435	120469	5 25.8872131	1381898	1610013	44,709482
24			With Filter	0.173127	53012	48404	52.2718308	161	159 11	5950 60.	35421783	0.14421	5 393514	86365	5 31.29662016	1322740	1259320	51,22888
25		bo-5	Without Filter	0.166465	43493	129488	25.1430784	220	00 18	1056 55	97175159							
26			With Filter	0.171012	38299	40573	44.01300000	219	rrs s	9510 TO.	51271621							
27		cc-5	Without Filter	0.204742	20586	47094	30.4199996	259	05 7	2754 76.	10649765							
28			With Filter	0.211172	19901	14100	62.2112566	226	29 3	2114 87	59325151							
29		b6s-8	Without Filter	0.30444	9		52,94117640	9	00	92 98	62522415							
58			With Filter	0.304459					89	1 9	0905099							
21		1910-14	Without Filter	0.29109	10623	29416	26 53163166	116	34 4		7975505							
32	Domino		With Filter	0.297579	7630	5851	56.5861752	50	64 1	5446 85	35924171							

Fig. 2. Results with and without deadblock filter

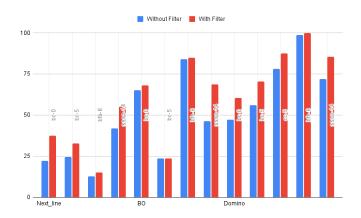


Fig. 3. Chart for Efficiency in terms of Hits & Misses

Results: Link to sheet

These experimental results underscore the effectiveness of integrating DeadBlock prediction mechanisms into prefetching strategies to optimize cache performance and enhance overall system efficiency. By accurately distinguishing between useful and unnecessary prefetches, our approach offers a practical solution for mitigating cache pollution and improving prefetcher performance in real-world scenarios

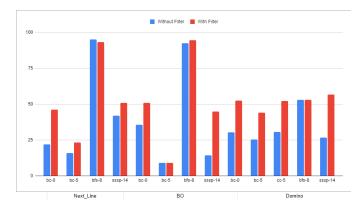


Fig. 4. Chart for Efficiency in terms of Usefull & Useless

#### CONCLUSION AND FUTURE WORK

In conclusion, this paper presents a novel approach to optimize cache prefetching mechanisms by leveraging DeadBlocks to mitigate cache pollution and enhance prefetcher efficiency. By integrating DeadBlock prediction mechanisms into prefetching strategies, we effectively distinguish between useful and unnecessary prefetches, thereby optimizing cache utilization and improving overall system performance.

Our experimental results demonstrate significant improvements in cache performance metrics, including Instruction Per Cycle (IPC) enhancement, reduction in useless prefetches, and increased useful prefetches. These findings highlight the effectiveness of our approach in optimizing prefetcher efficiency and mitigating the impact of DeadBlocks on cache performance.

Furthermore, our approach extends beyond prefetching optimization. The Dead Block filters developed can also be leveraged to eliminate DeadBlocks present in the cache, thereby improving cache replacement policies. By accurately identifying and handling DeadBlocks, cache replacement algorithms can make more informed decisions, leading to better cache utilization and performance

Additionally, the Dead Block filters can enhance prefetcher design by providing valuable feedback on prefetch accuracy and effectiveness. By incorporating DeadBlock prediction mechanisms into prefetcher architectures, prefetchers can dynamically adjust prefetching strategies based on the presence of DeadBlocks, thereby further improving prefetcher efficiency and reducing cache pollution.

Looking ahead, future work could focus on refining DeadBlock prediction mechanisms to make them more adaptive and efficient in real-time scenarios. Developing more sophisticated Dead Block Predictors capable of dynamically adjusting prediction parameters based on program behavior and cache characteristics could lead to even

greater improvements in cache performance.

Furthermore, the integration of DeadBlock prediction into multi-level cache hierarchies and shared cache environments represents an interesting avenue for exploration. By extending DeadBlock prediction mechanisms to higher-level caches and shared cache architectures, we can further optimize cache utilization and prefetcher efficiency across diverse computing environments.

Overall, this paper provides a foundation for future research in the optimization of cache prefetching mechanisms and the mitigation of cache pollution. By harnessing DeadBlocks as a valuable resource for cache management, we can unlock significant improvements in system performance and efficiency in cache-intensive computing environments.

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