

# MATH 262A: DISCRETE GEOMETRY NOTES

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## 1. SUMS VS PRODUCT

**Definition 1.1.** The *crossing number* of a graph  $G$ , denoted  $\text{cr}(G)$ , is the minimum number of crossing pair of edges over all possible drawings of  $G$  in the plane.

**Lemma 1.2** (Crossing Lemma). *Let  $G = (V, E)$  be a graph. If  $|E| \geq 4|V|$ , then*

$$\text{cr}(G) \geq \frac{|E|^3}{64|V|^2}.$$

**Theorem 1.3.** *Let  $A$  be a set of  $n$  distinct real numbers. Then  $\max\{|A + A|, |A \cdot A|\} = \Omega(n^{5/4})$ .*

*Proof.* Denote  $A + A = \{s_1, s_2, \dots, s_x\}$  and  $A \cdot A = \{p_1, p_2, \dots, p_y\}$ . Let  $L$  be the set of lines  $v = a_i(u - a_j)$  for  $a_i, a_j \in A$ . Construct the graph  $G = (V, E)$  with  $V = (A + A) \times (A \cdot A)$  and  $\{(s_i, p_i), (s_j, p_j)\} \in E$  if and only if there exists a line  $l \in L$  such that  $(s_i, p_i)$  and  $(s_j, p_j)$  are consecutive points on  $l$ . Notice that each line passes through at least  $n - 1$  points in  $V$ , so  $|E| \geq (n - 1)|L| = \Omega(n^3)$ . If  $|E| < 4|V|$ , then

$$4|A + A| \cdot |A \cdot A| = 4|V| > |E| = \Omega(n^3).$$

But then either  $|A + A| = \Omega(n^{3/2})$  or  $|A \cdot A| = \Omega(n^{3/2})$ . Thus we may assume  $|E| \geq 4|V|$ . By the crossing lemma,

$$\frac{|E|^3}{64|V|^2} \leq \text{cr}(G) \leq |L|^2 \leq n^4.$$

Rearranged, we have

$$|V|^2 \geq \frac{|E|^3}{64n^4} = \Omega(n^5).$$

The result now follows.  $\square$

## 2. CROSSING LEMMA

In this section we prove the Crossing lemma mentioned in the previous section.

**Lemma 2.1.** *Let  $G = (V, E)$  be a graph. Then  $\text{cr}(G) \geq |E| - 3|V|$ .*

*Proof.* Suppose not. We may assume  $|E| \geq 3|V|$ , otherwise we are done. Remove edges from each crossing until we have a planar graph. Since  $\text{cr}(G) < |E| - 3|V|$ , we removed less than  $|E| - 3|V|$  edges. But then the planar graph has more than  $|E| - (|E| - 3|V|) = 3|V|$  edges, contradicting Euler's theorem.  $\square$

**Lemma 2.2** (Crossing Lemma). *Let  $G = (V, E)$  be a graph. If  $|E| \geq 4|V|$ , then*

$$\text{cr}(G) \geq \frac{|E|^3}{64|V|^2}.$$

*Proof.* For any graph  $H$ , define  $X_H = \text{cr}(H) - |E(H)| + 3|V(H)|$ . By the crossing lemma we know  $X_H \geq 0$ . Consider the drawing of  $G$  in  $\mathbb{R}^2$  with  $\text{cr}(G)$  crossings. Let  $S \subseteq V$  be a set vertices where each vertex is chosen independently with probability  $p \in [0, 1]$ . Let  $G' = G[S]$  be the induced subgraph on  $S$ . Then

$$\mathbb{E}[X_{G'}] = \mathbb{E}[\text{cr}(G')] - \mathbb{E}[|E(G')|] + 3\mathbb{E}[|V(G')|] = \mathbb{E}[\text{cr}(G')] - p^2|E| + 3p|V| \geq 0.$$

Let  $C_{G'}$  be the number of crossings in the drawing of  $G'$  inherited from  $G$ . Obviously,  $\mathbb{E}[\text{cr}(G')] \leq \mathbb{E}[C_{G'}]$ . Since each crossing pair has a probability of  $p^4$  of being in  $G'$ , we have  $\mathbb{E}[C_{G'}] = p^4 \text{cr}(G)$ , and thus

$$p^4 \text{cr}(G) \geq \mathbb{E}[\text{cr}(G')] \geq p^2|E| - 3p|V|.$$

By setting  $p = 4|V|/|E|$ , we have

$$\text{cr}(G) \geq \frac{|E|}{p^2} - \frac{3|V|}{p^3} \geq \frac{|E|^3}{64|V|^2}.$$

$\square$

### 3. SZEMERÉDI-TROTTER THEOREM

**Definition 3.1.** Let  $P$  be a set of  $n$  points and  $L$  be a set of  $m$  lines in the plane. We call a pair  $(p, l)$  *incidence* if  $p \in P$ ,  $l \in L$ , and  $p \in l$ . Define  $I(P, L)$  as the number of incidences between  $P$  and  $L$ , and define  $I(m, n)$  as the maximum number of incidences between any  $m$  lines and  $n$  points.

**Definition 3.2.** Let  $P$  be a set of  $n$  points. A line is *generated by*  $P$  if it contains at least 2 points from  $P$ .

**Definition 3.3.** For  $k \geq 2$  and a set of points  $P$ , a line  $l$  is  $k$ -rich if it contains at least  $k$  points from  $P$ .

**Theorem 3.4** (Szemerédi-Trotter Theorem). *For all  $m, n \geq 1$ , we have  $I(m, n) = O(m^{2/3}n^{2/3} + m + n)$ .*

*Proof.* We will adopt the same strategy as the proof of Theorem 1.3, which constructs a graph and double counts the number of crossings in it.

Let  $P$  be the set of  $n$  points in  $\mathbb{R}^2$  and  $L$  be the set of  $m$  lines in  $\mathbb{R}^2$ . Define graph  $G = (V, E)$  where  $V = P$  and  $E$  is the set of consecutive pairs of vertices along some line in  $L$ . We may assume each line in  $L$  contains at least one point from  $P$ . For  $l \in L$ , let  $|l|$  denote the number of points in  $P$  which lies in  $l$ . Observe that

$$|E| = \sum_{l \in L} |l| - 1 = |I(P, L)| - m.$$

Hence, it suffices to show that  $|E| = O(m^{2/3}n^{2/3} + n)$ . We may assume  $|E| \geq 4|V|$ , otherwise we are done. Note that the construction of  $G$  gives a natural drawing with points  $P$  and lines  $P$  in the plane, so we may define  $C$  as the number of crossings in this drawing. By the crossing lemma, we have

$$\frac{|E|^3}{64n^2} \leq \text{cr}(G) \leq C \leq \binom{m}{2} = O(m^2).$$

It now follows that

$$|E| = O(n^{2/3}m^{2/3}).$$

This completes the proof.  $\square$

**Corollary 3.5.** *Let  $P$  be a set of  $n$  points. Then  $P$  generates  $O(n^2/k^3 + n/k)$   $k$ -rich lines.*

*Proof.* Let  $L_k$  be the set of  $k$ -rich lines generated by  $P$ . By the Szemerédi-Trotter theorem,

$$k|L_k| \leq I(P, L_k) = c(|L_k|^{2/3}n^{2/3} + |L_k| + n),$$

for some constant  $c$ . We may assume  $k \geq 4c$ , otherwise we are done as  $|L_k| = O(n^2)$ . If  $n + |L_k| \geq |L_k|^{2/3}n^{2/3}$ . Then

$$k|L_k| \leq 2c(|L_k| + n) = 2cm + 2c|L_k|.$$

Rearranged,

$$|L_k| \leq \frac{2cm}{k - 2c} \leq O(m/k).$$

Now suppose  $n + |L_k| < |L_k|^{2/3}n^{2/3}$ . Then

$$k|L_k| \leq 2c|L_k|^{2/3}n^{2/3},$$

and so

$$|L_k| = O(n^2/k^3).$$

□

#### 4. THE CUTTING LEMMA

**Lemma 4.1** (Cutting Lemma). *Let  $L$  be a set of  $m$  lines in  $\mathbb{R}^2$  and let  $r \in (1, m)$ . Then the plane can be subdivided into  $t = O(r^2)$  generalized triangles (intersections of three half planes)  $\Delta_1, \Delta_2, \dots, \Delta_t$  such that the interior of each  $\Delta_i$  is intersected by at most  $m/r$  lines of  $L$ .*

**Lemma 4.2.** *Let  $L$  be a set of  $m$  lines in  $\mathbb{R}^2$  and let  $r \in (1, m)$ . Then the plane can be subdivided into  $t = O(r^2 \log^2 n)$  generalized triangles  $\Delta_1, \Delta_2, \dots, \Delta_t$  such that the interior of each  $\Delta_i$  is intersected by at most  $m/r$  lines of  $L$ .*

*Proof.* Put  $s = 6r \ln m$ . Select a random set of lines  $S \subset L$  by making  $s$  independent random draws with replacement. Consider the line arrangement of  $S$ . Partition any cell that is not a generalized triangle further by adding diagonals that connect vertices. To this end,  $\mathbb{R}^2$  is partitioned into  $t$  generalized triangles. Consider a box  $B$  that contains all bounded triangles  $\Delta_i$ . Since each line crosses through  $B$  two times and each two consecutive lines around  $B$  determine an unbounded triangle, the number of unbounded triangles is at most  $2s$ . Now consider the bounded triangles. View each intersecting point of two lines in  $S$  as a vertex of a graph, and each bounded triangle as a face. Let  $V$  denote the set of vertices and  $F$  the set of faces. We know that  $|V| \leq \binom{s}{2} = O(s^2)$ . By Euler's formula, we have

$$3|F| \leq \sum_{f \in F} \deg f = 2|E| = 2(|V| + |F| - 2),$$

and thus

$$|F| \leq 2|V| - 4 = O(s^2).$$

Hence, we have  $t = O(s^2)$ .

We call a (generalized) triangle *horny* if its interior intersects at least  $m/r$  lines of  $L$ . For any horny triangle  $T$ , the probability that no line in  $S$  intersects the interior of  $T$  is at most  $(1 - 1/r)^s$ . Using the inequality  $1 - x \leq e^{-x}$ , we have  $(1 - 1/r)^s \leq e^{-6 \ln m} = m^{-6}$ .

Now call a triangle *interesting* if it can appear in a triangulation for some sample  $S \subset L$ . Notice that each vertex of an interesting triangle is an intersecting point of two lines in the arrangement of  $L$ , and thus there are at most  $\binom{m}{2}^3 < m^6$  such triangles.

But then the expected number of horny  $\Delta_i$ 's is less than  $m^{-6} \cdot m^6 = 1$ . It now follows that there exists a set of  $S \subseteq L$  such that each  $\Delta_i$  is intersected by at most  $m/r$  lines.  $\square$

## 5. AN ALITER FOR THE SZEMERÉDI-TROTTER THEOREM

**Theorem 5.1** (Kővári-Sós-Turán Theorem). *For  $s, t \geq 2$ , let  $G$  be an  $m \times n$  bipartite graph that does not contain a complete bipartite graph  $K_{s,t}$  where the  $s$  vertices are from the part of size  $m$ . Then,*

$$|E(G)| = O(nm^{1-1/t} + m) \quad \text{and} \quad |E(G)| = O(mn^{1-1/s} + n).$$

*Proof.* Let  $M, N$  be the two parts of the bipartite graph  $G$ , with  $|M| = m$  and  $|N| = n$ . Notice that no set of  $s$  vertices in  $M$  has more than  $t - 1$  common neighbors in  $N$ , so

$$\sum_{v \in M} \binom{d(v)}{t} \leq \binom{n}{t} (s-1) \leq \frac{sn^t}{t!}.$$

By Jensen's inequality, we have

$$\sum_{v \in M} \binom{d(v)}{t} \geq m \left( \frac{\frac{1}{m} \sum_{v \in M} d(v)}{t} \right)^t \geq \frac{m(2|E(G)|/m - t)^t}{t!}.$$

The result now follows from the two inequalities.  $\square$

**Corollary 5.2.**  $|I(m, n)| \leq O(n\sqrt{m} + m)$  and  $|I(m, n)| \leq O(m\sqrt{n} + n)$ .

*Proof.* Let  $P$  be the set of  $n$  points and  $L$  be the set of  $m$  lines in  $\mathbb{R}^2$ . Let  $G = (P, L)$  be the bipartite graph with parts  $P$  and  $L$  and  $(p, l)$  is an edge if and only if  $p \in l$ . Since no two points lie on the same line,  $G$  is  $K_{2,2}$ -free. The resulting bounds now follows from the Kővári-Sós-Turán theorem.  $\square$

We give an alternative proof of a case of the Szemerédi-Trotter theorem with  $n$  points and  $n$  lines, using the Cutting lemma and the Kővári-Sós-Turán theorem.

*Aliter for Theorem 3.4.* Let  $P$  be the set of  $n$  points and  $L$  be the set of  $n$  lines in  $\mathbb{R}^2$ . We need to show that there are at most  $O(n^{4/3})$  incidences between  $P$  and  $L$ . We apply the cutting lemma with  $r = n^{1/3}$ , which divides the plane into  $t = O(n^{2/3})$  generalized triangles  $\Delta_1, \Delta_2, \dots, \Delta_t$ .

Let  $V$  be the points that lie on the vertex of some  $\Delta_i$ . Since  $|V| \leq 3t = O(n^{2/3})$ , Corollary 5.2 gives us  $|I(V, L)| = O(n^{2/3}\sqrt{n} + n^{2/3}) = O(n^{4/3})$ .

Let  $|L'|$  be the set of lines that borders some triangle  $\Delta_i$ . Then  $|L'| \leq 3t = O(n^{2/3})$ , and Corollary 5.2 again gives us  $|I(P_0, L')| = O(n^{2/3}\sqrt{n} + n^{2/3}) = O(n^{4/3})$ .

It remains to count the incidences that occur at the interior of some triangle. Let  $P_i$  be the set of points in  $P$  that lies in the interior of  $\Delta_i$ . Let  $L_i$  be the set of lines intersecting the

interior of  $\Delta_i$ . By the cutting lemma,  $|L_i| \leq n/r = O(n^{2/3})$ . Hence,

$$\sum_{i=1}^t I(P_i, L_i) \leq \sum_{i=1}^t I(P_i, n^{2/3}) = \sum_{i=1}^t O(|P_i|n^{1/3} + n^{2/3}) = O(n^{4/3}).$$

□

## 6. BECK'S THEOREM

**Theorem 6.1** (Beck's Theorem). *Given a set of  $n$  points  $P$ , there exists  $\epsilon \in (0, 1)$  such that either  $P$  contains  $\epsilon n$  points on a line or  $P$  generates at least  $\epsilon n^2$  distinct lines.*

*Proof.* We may assume  $n$  is large, otherwise the problem is trivial. Let  $P$  be a set of  $n$  points in  $\mathbb{R}^2$ . For  $b > a \geq 2$ , let  $L_{[a,b]}$  be the set of lines generated by  $P$  with at least  $a$  but less than  $b$  points on it. By Corollary 3.5,  $|L_{[a,b]}| = O(n^2/a^3)$ . We first make the following two observations:

For  $k \leq \sqrt{n}$ ,

$$\#\{\{p_1, p_2\} : p_1, p_2 \in l, l \in L_{[k, \sqrt{n}]}\} \leq \sum_{i=0}^{\log_2 \frac{\sqrt{n}}{k}} |L_{[2^i k, 2^{i+1} k]}| \binom{2^{i+1} k}{2} = \sum_{i=0}^{\log_2 \frac{\sqrt{n}}{k}} O(n^2/2^i k) = O(n^2/k).$$

Hence, for  $k < \sqrt{n}$ , there are  $O(n^2/k)$  pairs of points in  $P$  that lie on a line with at least  $k$  but at most  $\sqrt{n}$  points.

For  $K > \sqrt{n}$ ,

$$\#\{\{p_1, p_2\} : p_1, p_2 \in l, l \in L_{[\sqrt{n}, K]}\} \leq \sum_{i=0}^{\log_2 \frac{K}{\sqrt{n}}} |L_{[2^i \sqrt{n}, 2^{i+1} \sqrt{n}]}| \binom{2^{i+1} \sqrt{n}}{2} = \sum_{i=0}^{\log_2 \frac{K}{\sqrt{n}}} O(2^i n^{3/2}) = O(Kn).$$

Hence, there are  $O(Kn)$  pairs of points from  $P$  that lie on a line with at least  $\sqrt{n}$  but at most  $K$  points.

We now prove the theorem. Let  $\epsilon \in (0, 1)$  and set  $\epsilon' = 4\sqrt{\epsilon}$ . Assume that no  $\epsilon'n$  points in  $P$  are collinear. Let  $K = \epsilon'n$  and note that  $K > \sqrt{n}$ . Then the number of pairs of points in  $P$  that lie on a line with at least  $\sqrt{n}$  but at most  $K$  points is  $O(Kn) \leq c\epsilon'n^2 \leq n^2/10$ , for some constant  $c$  and sufficiently small  $\epsilon$ . Now let  $k = 1/\epsilon'$  and note that  $k \leq \sqrt{n}$ . Then the number of pairs of points in  $P$  that lie on a line with at least  $k$  but at most  $\sqrt{n}$  points is  $O(n^2/k) \leq c'\epsilon'n^2 \leq n^2/10$ , for some constant  $c'$  and  $\epsilon$  sufficiently small. But then the number of pairs of points in  $P$  that lie in a  $k$ -rich line is at most  $n^2/10 + n^2/10 = n^2/5$ . Thus there are at least  $\binom{n}{2} - n^2/5 \geq n^2/4$  pairs in  $P$  that lie on a line with at most  $k$  points, and so there are at least  $\frac{n^2/4}{\binom{k}{2}} \geq \epsilon m^2$  distinct lines generated by  $P$ .  $\square$

## 7. SIMPLICIAL PARTITION

**Theorem 7.1** (Simplicial Partition). *Let  $P$  be  $n$  points in  $\mathbb{R}^2$ . There exists partition  $P = P_1 \sqcup P_2 \sqcup \dots \sqcup P_{2r}$  and generalized triangles  $\Delta_1, \Delta_2, \dots, \Delta_{2r}$ , with  $P_i \subset \Delta_i$ ,  $|P_i| = n/2r$  for  $i < 2r$  and  $|P_{2r}| \leq n/2r$ , such that for any line  $l$  generated by  $P$ ,  $l$  will cross the interior of  $O(\sqrt{r})$  number of  $\Delta_i$ 's.*

*Proof.* Pick  $r > (\log n)^2$ . Let  $L$  be the set of lines generated by  $P$ . Let  $\Delta'_1 \cup \Delta'_2 \cup \dots \cup \Delta'_r$  be the generalized triangles yielded by the cutting lemma on  $L$  with parameter  $t = r$ . By the pigeonhole principle, there exists  $\Delta_i$  that contains  $\geq n/r$  points from  $P$ . Let  $P_1$  be some  $n/2r$  points selected from  $\Delta_i$  excluding the corners, and let  $\Delta_1 = \Delta'_i$ . Set  $P' = P \setminus P_1$ . For each line that crosses the interior of  $\Delta_1$ , we double it by creating a copy of the line close to it, and let  $L'$  be all the lines after this process. Note that by the cutting lemma, the number of lines that cross the interior of  $\Delta_1$  is  $c|L|/\sqrt{r}$  for some  $c > 0$ , and so

$$|L'| \leq |L| + \frac{c|L|}{\sqrt{r}} = \left(1 + \frac{c}{\sqrt{r}}\right) |L|.$$

Now apply the cutting lemma again to  $L'$  with parameter  $t = r(1 - 1/2r)$ , and we get a generalized triangle  $\Delta''_i$  with  $\geq |P'|/t = \frac{|P'|}{r(1-1/2r)} = n/r$  points from  $P'$  that lies in  $\Delta''_i$ . Set  $P_2$  be some  $n/2r$  points of  $P'$  in  $\Delta''_i$  excluding the corners, and let  $\Delta_2 = \Delta''_i$ . Set  $P'' = P' \setminus P_2$  and note that  $|P''| = (1 - 1/r)n$ . For any line that crosses the interior of  $\Delta_2$ , we double again it, and let  $L''$  be all the lines after this process. By the same argument,

$$|L''| \leq |L'| + \frac{c|L'|}{\sqrt{r(1-1/2r)}} = \left(1 + \frac{c}{\sqrt{r(1-1/2r)}}\right) |L'| \leq \left(1 + \frac{c}{\sqrt{r}}\right) \left(1 + \frac{c}{\sqrt{r(1-1/2r)}}\right) |L|.$$

Repeat the above process, and after  $k$  iterations we get point sets  $P_1, P_2, \dots, P_k$  and generalized triangles  $\Delta_1, \Delta_2, \dots, \Delta_k$ . Set  $P^{(k)} = P \setminus (P_1 \cup P_2 \cup \dots \cup P_k)$ . Again, let  $L^{(k)}$  be the set of lines after doubling the lines that cross the interior of some  $\Delta_i^{(k)}$ 's. Then

$$|P^{(k)}| = |P| - \frac{kn}{2r} = \left(1 - \frac{k}{2r}\right) n.$$

$$|L^{(k)}| \leq \left(1 + \frac{c}{\sqrt{r}}\right) \left(1 + \frac{c}{\sqrt{r-1/2}}\right) \dots \left(1 + \frac{c}{\sqrt{r-(k-1)/2}}\right) |L| \leq |L| \exp\left(c \sum_{j=0}^{2r-1} \frac{1}{\sqrt{r-j/2}}\right).$$

Iterate this process until there are  $< n/2r$  points left, and let  $P_{2r}$  be the remaining points and  $\Delta_{2r}$  be some generalized triangle that contains  $P_{2r}$ .

It remains to show that any line  $l \in L$  crosses the interior of  $O(\sqrt{r})$   $\Delta_i$ 's. Let  $x$  be the number of  $\Delta_i$ 's that some line  $l$  crosses. Notice that by the end of the process above,

$$2^x \leq \#\text{copies of } l \leq |L^{(2r)}| \leq |L| \exp\left(c \sum_{j=0}^{2r-1} \frac{1}{\sqrt{r-j/2}}\right) \leq n^2 e^{O(\sqrt{r})} = 2^{O(\sqrt{r})}.$$

This proves the theorem. □

## 8. TRIANGLE REMOVAL LEMMA

**Definition 8.1.** The *density* of edges between two vertex sets  $A$  and  $B$  is

$$d(A, B) := \frac{|E(A, B)|}{|A||B|}.$$

**Definition 8.2.** Let  $\epsilon \in (0, 1)$ . The pair of vertex sets  $(A, B)$  is  $\epsilon$ -regular if for all  $A' \leq A$  and  $B' \leq B$  such that  $|A'| \geq \epsilon|A|$  and  $|B'| \geq \epsilon|B|$ , we have

$$|d(A', B') - d(A, B)| \leq \epsilon.$$

**Definition 8.3.** Given a graph  $G = (V, E)$ , a partition  $V = V_1 \sqcup V_2 \sqcup \cdots \sqcup V_k$  is a  $\epsilon$ -regular if

$$\sum_{(i,j) \in [k]^2, (V_i, V_j) \text{ not } \epsilon\text{-regular}} |V_i||V_j| \leq \epsilon|V|^2.$$

Note that we are only interested in dense graphs. This is because if  $|E(A, B)| = o(|A||B|)$ , the density of 0 and so the pair  $(A, B)$  is trivially  $\epsilon$ -regular.

**Theorem 8.4** (Szemerédi's Regularity Lemma). *For all  $\epsilon > 0$ , there exists  $k = k(\epsilon)$  such that for any graph  $G = (V, E)$ , there exists an  $\epsilon$ -regular partition  $V = V_1 \sqcup \cdots \sqcup V_k$ .*

**Lemma 8.5** (Counting Lemma). *Let  $G = (V, E)$  be a graph, such that  $V$  is partitioned into  $X \sqcup Y \sqcup Z$  where each pair of them are  $\epsilon$ -regular, and  $d(X, Y) = \alpha, d(X, Z) = \beta, d(Y, Z) = \gamma$ , with  $\alpha, \beta, \gamma > 2\epsilon$ . Then*

$$\#\{K_3 \subseteq G\} \geq (1 - 2\epsilon)(\alpha - \epsilon)(\beta - \epsilon)(\gamma - \epsilon)|X||Y||Z|.$$

*Proof.* For  $x \in X$ , denote  $d_Y(x) = d(x) \cap Y$  and  $d_Z(x) = d(x) \cap Z$ . We claim that  $d_Y(x) < (\alpha - \epsilon)|Y|$  for at most  $\epsilon|X|$  vertices in  $X$ . Suppose otherwise. Let  $X' \subseteq X$  be the set of vertices with  $d_Y(x) < (\alpha - \epsilon)|Y|$ . Since  $(X, Y)$  is  $\epsilon$ -regular,  $|d(X', Y) - d(X, Y)| \leq \epsilon$ , and so

$$\alpha - \epsilon < d(X', Y) = \frac{|E(X', Y)|}{|X'||Y|} \leq \frac{(\alpha - \epsilon)|X'||Y|}{|X'||Y|} = \alpha - \epsilon.$$

This contradiction proves the claim. By the same argument, we also know that  $d_Z(x) < (\gamma - \epsilon)|Z|$  for at most  $\epsilon|X|$  vertices in  $X$ .

Let  $x \in X$  with  $d_Y(x) \geq (\alpha - \epsilon)|Y|$  and  $d_Z(x) \geq (\gamma - \epsilon)|Z|$ . Let  $|Y'| = N(x) \cap Y$  and  $|Z'| = N(x) \cap Z$ . Then

$$\#\{K_3 \subseteq G, x \in K_3\} = |E(Y', Z')|.$$

Since  $|d(Y', Z') - d(Y, Z)| < \epsilon$ , we have

$$\beta - \epsilon < d(Y', Z') = \frac{|E(Y', Z')|}{|Y'||Z'|}.$$

Rearranging gives us

$$\#\{K_3 \subseteq G, x \in K_3\} = \geq (\beta - \epsilon)|Y'||Z'| \geq (\beta - \epsilon)(\alpha - \epsilon)(\gamma - \epsilon)|Y||Z|.$$

Since there are at least  $(1 - 2\epsilon)$  such  $x$ 's in  $X$ ,

$$\#\{K_3 \subseteq G\} \geq (1 - 2\epsilon)(\alpha - \epsilon)(\beta - \epsilon)(\gamma - \epsilon)|X||Y||Z|.$$

□

**Theorem 8.6** (Triangle Removal Lemma). *For  $\epsilon > 0$ , there exists  $\delta = \delta(\epsilon)$  such that every graph  $G = (V, E)$  with  $< \delta n^3$  triangles can be made triangle-free by removing  $< \epsilon n^2$  edges.*

*Proof.* We prove by contrapositive. Suppose  $G$  has  $\epsilon n^2$  edge disjoint triangles. Apply Szemerédi's regularity lemma to  $G$  with parameter  $\epsilon/4$  to get a partition  $V = V_1 \sqcup V_2 \sqcup \dots \sqcup V_k$ . For  $(V_i, V_j)$ , we delete all edges between  $V_i, V_j$  if one of the following holds:

- (i)  $V_i, V_j$  are not  $\epsilon/4$ -regular. This deletes  $< (\epsilon/4)n^2$  edges.
- (ii)  $d(V_i, V_j) < \epsilon/2$ . This deletes  $\sum_{(V_i, V_j)} d(V_i, V_j)|V_i||V_j| < (\epsilon/2)n^2$  edges.
- (iii)  $|V_i|$  or  $|V_j|$  is less than  $(\epsilon/4k)n$ . This deletes  $< (\epsilon/4)n^2$  edges.

In total, we delete  $< \epsilon n^2$  edges. But then there remains at least 1 triangle in  $G$ . Let  $X, Y, Z$  be the three parts that contain the vertices of the triangle. By the counting lemma,

$$\#\{K_3 \subseteq G\} \geq (1 - \epsilon/2)(\epsilon/2 - \epsilon/4)^3(\epsilon/4k)^3n^3.$$

The result now follows from setting  $\delta = (1 - \epsilon/2)(\epsilon/2 - \epsilon/4)^3(\epsilon/4k)^3$ . □

□

## 9. ROTH'S THEOREM

**Theorem 9.1** (Roth's Theorem). *For all  $\epsilon \in (0, 1)$ , there exists  $n_0$  such that for all  $n > n_0(\epsilon)$ , any subset of  $[n]$  of size  $\geq \epsilon n$  contains a 3-term arithmetic progression.*

*Proof.* Let  $A \subseteq [n]$  be a set of size  $\geq \epsilon n$ . Consider the grid

$$\mathcal{G} = \{(a, 0) : a \in [2n]\} \cup ([2n] \times [2n]) \setminus ([n] \times [2n]).$$

and set lines  $l_a : y = x - a$  for  $a \in A$ . Let  $P = \bigcup_{a \in A} l_a \cap \mathcal{G}$ . Note that each line  $l_a$  intersects  $n$  points in  $\mathcal{G}$ , and so  $|P| = |A|n \geq \epsilon n^2$ . Let  $L = L_1 \sqcup L_2 \sqcup L_3$ , where  $L_1$  is the set of  $n$  vertical lines that cover  $\mathcal{G}$ ,  $L_2$  is the set of  $2n$  horizontal lines that cover  $\mathcal{G}$ , and  $L_3$  is the set of  $n$  lines of slope  $-1$  that cover  $\mathcal{G}$ . Define  $G$  as the graph with vertex set  $L$  and edges between two lines if they intersect at a point in  $P$ . Note that a triangle in  $G$  is formed for any three lines that intersect at a point in  $P$ , so there are  $\epsilon n^2$  edge disjoint triangles. By the triangle removal lemma, there are at least  $\delta n^3$  triangles in  $G$  for some  $\delta > 0$ . But then the only other way to form a triangle in  $G$  is for each two of the three lines to intersect at a point in  $P$ , and there are  $\delta n^3 - \epsilon n^2 > 1$  of them for large enough  $n$ . Let  $x, y, z \in P$  be the three points that form such triangle, where  $y$  is the intersection of the horizontal and vertical sides of the triangle. Let  $l_a, l_b, l_c$  be the three lines that pass through  $x, y, z$  respectively. Then the distance between  $l_a$  and  $l_b$  is the same as the distance between  $l_a$  and  $l_c$ , and so  $a, b, c$  form a 3-term arithmetic progression.  $\square$

## 10. SOLYMOXI'S THEOREM

**Theorem 10.1.** *Let  $P$  be a set of  $n$  points and  $L$  be a set of  $n$  lines in  $\mathbb{R}^2$ , and let  $r$  be a parameter. If the arrangement of  $P$  and  $L$  does not contain a triangle, then  $|I(P, L)| = O(n^{4/3}/\log^* n) = o(n^{4/3})$ , where  $\log^*$  is the iterated logarithm.*

## 11. HYPERPLANE ARRANGEMENT

**Definition 11.1.** A *set system* is a tuple  $(V, \mathcal{F})$ , where  $V$  is a set and  $\mathcal{F}$  is a collection of subsets of  $V$ .

**Definition 11.2.** A *hyperplane* in  $\mathbb{R}^d$  is a  $(d - 1)$ -dimensional affine subspace of  $\mathbb{R}^d$ .

**Definition 11.3.** A set  $H$  of hyperplanes in  $\mathbb{R}^d$  is in *general position* if the intersection of any  $k$  members is  $(d - k)$ -dimensional, for all  $k \in \{2, \dots, d\}$ .

**Theorem 11.4.** *The number of cells in an arrangement of  $n$  hyperplanes in general position in  $\mathbb{R}^d$  is*

$$\binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{d}.$$

*Proof.* We proceed by induction on  $n$  and  $d$ . There are  $2 = \binom{1}{0} + \binom{1}{1}$  cells when  $n = 1$  and  $d > 0$ , and there are  $n + 1 = \binom{n}{0} + \binom{n}{1}$  cells when  $d = 1$ , so the base case is done. Suppose  $d \geq 2$ . Write  $H = \{h_1, \dots, h_n\}$ . By induction, the number of cells in the arrangement of  $h_1, \dots, h_{n-1}$  is

$$\binom{n}{0} + \binom{n}{1} + \dots + \binom{n-1}{d}.$$

Given the arrangement of  $h_1, \dots, h_{n-1}$ , the number of cells that  $h_n$  adds to this arrangement is the number of cells in the arrangement of  $h_1, \dots, h_{n-1}$  on  $h_n$ , which is

$$\binom{n-1}{0} + \binom{n-1}{1} + \dots + \binom{n-1}{d-1},$$

by induction. Hence, by Pascal's identity, the total number of cells in the arrangement of  $h_1, \dots, h_n$  is

$$\binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{d}.$$

□

## 12. VC-DIMENSION

**Definition 12.1.** Given set system  $(V, \mathcal{F})$ , we say  $X \subseteq V$  is *shattered* by  $\mathcal{F}$  if for each subset  $Y \subseteq X$  there exists  $F \in \mathcal{F}$  such that  $F \cap X = Y$ .

**Definition 12.2.** The *VC-dimension* of  $\mathcal{F}$  is the size of the largest subset of  $V$  that is shattered by  $\mathcal{F}$ .

**Theorem 12.3** (Sauer-Shelah). *Let  $(V, \mathcal{F})$  be a set system with  $|V| = n$ . If  $\mathcal{F}$  has VC-dimension  $d$ , then*

$$|\mathcal{F}| \leq \binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{d}.$$

*Proof.* We proceed by induction on  $n$  and  $d$ . If  $n = 0$  and  $d \geq 1$ , then we trivially have  $|\mathcal{F}| \leq 1 = \binom{0}{0}$ . Suppose  $d = 0$  and  $n \geq 0$ . This implies no nonempty subset of  $V$  is shattered by  $\mathcal{F}$ . If  $A, B \in \mathcal{F}$  are distinct and  $|A| \geq |B|$ , then there exists vertex  $x \in A \setminus B$ . But then  $\{x\}$  is shattered by  $\mathcal{F}$ , and this contradiction shows  $|\mathcal{F}| \leq 1 = \binom{n}{0}$ . Thus the base case is done.

Now suppose  $n \geq 1$  and  $d \geq 1$ . Fix a vertex  $x \in V$  and define the set system  $(V \setminus \{x\}, \mathcal{F}_1)$ , where  $\mathcal{F}_1 = \{A \setminus \{x\} : A \in \mathcal{F}\}$ . Note that  $\mathcal{F}_1$  has VC-dimension  $\leq d$ . By induction,

$$|\mathcal{F}_1| \leq \binom{n-1}{0} + \binom{n-1}{1} + \cdots + \binom{n-1}{d}.$$

Consider another set system  $(V \setminus \{x\}, \mathcal{F}_2)$ , where  $\mathcal{F}_2 = \{A \in \mathcal{F} : x \notin A, \{x\} \cup A \in \mathcal{F}\}$ . We show that  $\mathcal{F}_2$  has VC-dimension  $\leq d-1$ . Suppose not. There exists  $X \subseteq V$  of size  $d$  that is shattered by  $\mathcal{F}_2$ . That is, there are  $2^d$  subsets of  $V$ , say  $A_1, \dots, A_{2^d}$ , that shatter  $X$ . By definition of  $\mathcal{F}_2$ ,  $A_1 \cup \{x\}, \dots, A_{2^d} \cup \{x\} \in \mathcal{F}$ . But then  $A_1, \dots, A_{2^d}$  along with  $A_1 \cup \{x\}, \dots, A_{2^d} \cup \{x\}$  shatter  $\{x\} \cup X$ , contradiction. Hence,  $\mathcal{F}_2$  has VC-dimension  $\leq d-1$ , and by induction,

$$|\mathcal{F}_2| \leq \binom{n-1}{0} + \binom{n-1}{1} + \cdots + \binom{n-1}{d-1}.$$

It now follows from Pascal's identity that

$$\mathcal{F} \leq \mathcal{F}_1 + \mathcal{F}_2 \leq \binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{d}.$$

□

**Definition 12.4.** Let  $(V, \mathcal{F})$  be a set system and let  $S \subseteq V$ . Denote  $\mathcal{F}|_S = \{A \cap S : A \in \mathcal{F}\}$ . The *primal shatter function* is defined as

$$\Pi_{\mathcal{F}}(m) = \max_{|S|=m} |\mathcal{F}|_S|.$$

**Definition 12.5.** Given a set system  $(V, \mathcal{F})$ , the *dual set system* of  $(V, \mathcal{F})$  is the set system  $(V^*, \mathcal{F}^*)$ , where  $V^* = \mathcal{F}$  and  $\mathcal{F}^* = \{A_x \subseteq \mathcal{F} : x \in V, \forall A \in A_x, x \in A\}$

**Theorem 12.6.** *Let  $(V, \mathcal{F})$  be a set system with VC-dimension  $d$ . Then  $\mathcal{F}^*$  has VC-dimension  $< 2^{d+1}$ .*

*Proof.* Suppose not. Then there exists  $X^* \subseteq V^*$  of size  $2^{d+1}$  that is shattered by  $\mathcal{F}^*$ . Hence, there exists  $X \subseteq V$  of size  $2^{2^{d+1}}$  such that  $A_x : x \in X$  shatters  $X^*$ . Consider the 0-1 matrix  $M$  of size  $2^{2^{d+1}} \times 2^{d+1}$ , whose rows are indexed by the elements of  $X$  and columns are indexed by the elements of  $X^*$ , and  $M_{v,A} = 1$  if and only if  $v \in A$ . Since  $X$  shatters  $X^*$ , each row of  $M$  is a unique binary vector of size  $2^{d+1}$ . Let  $M'$  denote the  $(d+1) \times 2^{d+1}$  matrix whose columns are the binary expansions of the numbers  $0, \dots, 2^{d+1} - 1$  in order. Since the rows of  $M$  contain all possible binary vectors of size  $2^{d+1}$ ,  $M'$  is a submatrix of  $M$ . It now follows that the  $d+1$  vertices corresponding to the columns of  $M'$  embedded into  $M$  are shattered by  $X^*$ , and so  $\mathcal{F}$  has VC-dimension  $d+1$ , contradiction.  $\square$

## 13. PACKING AND TRANSVERSAL NUMBER

**Definition 13.1.** Given a set system  $(V, \mathcal{F})$ , a subset  $X \subseteq V$  is called a *transversal* (or *hitting set*) of  $\mathcal{F}$  if  $X \cap A \neq \emptyset$  for all  $A \in \mathcal{F}$ . The *transversal number* of  $\mathcal{F}$ , denoted  $\tau(\mathcal{F})$ , is the size of the smallest transversal of  $\mathcal{F}$ .

**Definition 13.2.** Given a set system  $(V, \mathcal{F})$ , the *packing number* of  $\mathcal{F}$ , denoted  $\nu(\mathcal{F})$ , is the size of the largest subfamily of pairwise disjoint sets in  $\mathcal{F}$ .

**Definition 13.3.** Let  $(V, \mathcal{F})$  be a set system and let  $\epsilon \in [0, 1]$ . A set  $X \subseteq V$  is called an  $\epsilon$ -*net* for  $(V, \mathcal{F})$  if  $X \cap A \neq \emptyset$  for all  $A \in \mathcal{F}$  of size  $\geq \epsilon|V|$ .

**Lemma 13.4.** Let  $(V, \mathcal{F})$  be a set system with  $n$  vertices and VC-dimension  $d$ , and let  $\epsilon > 0$ . If each member of  $\mathcal{F}$  has size  $\geq \epsilon n$ , then

$$\tau(\mathcal{F}) \leq 4 \left( \frac{d}{\epsilon} \right) \log n.$$

*Proof.* Let  $x = (4d/\epsilon) \log n$ , and let  $X$  be  $x$  vertices independently and randomly drawn with replacement from  $V$ . Let  $E$  denote the event that  $X$  is not a transversal of  $\mathcal{F}$ . Given  $A \in \mathcal{F}$ , the probability that  $X$  does not intersect  $A$  is

$$\mathbb{P}(X \cap A = \emptyset) \leq (1 - \epsilon)^x \leq e^{-\epsilon x} = n^{-4d}.$$

By the union bound and the Sauer-Shelah theorem, we now have

$$\mathbb{P}(E) = \mathbb{P}(\exists A \in \mathcal{F}, X \cap A = \emptyset) \leq |\mathcal{F}| \mathbb{P}(X \cap A = \emptyset) \leq n^d \cdot n^{-4d} = n^{-3d} < 1.$$

But then there exists  $X \subseteq V$  of size  $x$  such that  $X$  is a transversal of  $\mathcal{F}$ . Hence,  $\tau(\mathcal{F}) \leq x = (4d/\epsilon) \log n$ .  $\square$

**Lemma 13.5.** Let  $X = X_1 + X_2 + \dots + X_n$ , where  $X_i$  are independent random variables with  $\mathbb{P}(X_i = 1) = p$  and  $\mathbb{P}(X_i = 0) = 1 - p$ . Then  $\mathbb{P}(X \geq np/2) \geq 1/2$ , provided that  $np \geq 8$ .

*Proof.* Since  $\mathbb{E}[X] = np$  and  $\text{Var}[X] = np(1 - p)$ , by the Chebyshev inequality,

$$\mathbb{P}(X < np/2) \leq \mathbb{P}(|X - \mathbb{E}[X]| \geq np/2) \leq \frac{4}{np} \leq \frac{1}{2}.$$

$\square$

#### 14. EPSILON-NET THEOREM

**Theorem 14.1** (Epsilon-net Theorem). *Let  $(V, \mathcal{F})$  be a set system with  $n$  vertices and VC-dimension  $d$ . Then  $(V, \mathcal{F})$  has an  $\epsilon$ -net of size  $O((d/\epsilon) \log(1/\epsilon))$ .*

*Proof.* We may assume that  $A \geq \epsilon n$  for all  $A \in \mathcal{F}$ . Let  $C$  be a large enough constant. We need to show  $\tau(\mathcal{F}) \leq C(d/\epsilon) \log(1/\epsilon)$ . Let  $s = C(d/\epsilon) \log(1/\epsilon)$ . Let  $N, M$  each be some  $s$  vertices independently and randomly drawn with replacement from  $V$ . Let  $E_0$  denote the event that  $N$  is not a transversal of  $\mathcal{F}$ , and let  $E_1$  denote the event that there exists  $A \in \mathcal{F}$  such that  $N \cap A = \emptyset$  and  $|M \cap A| \geq \epsilon s/2$ . Clearly,  $\mathbb{P}(E_1) \leq \mathbb{P}(E_0)$ , and we will show that  $\mathbb{P}(E_1) \leq 2\mathbb{P}(E_0)$ . In particular, we show that for any  $N$ ,  $\mathbb{P}(E_1|N) \geq \mathbb{P}(E_0|N)/2$ . If  $N$  is a transversal, then  $\mathbb{P}(E_1|N) = \mathbb{P}(E_0|N) = 0$ . If  $N$  is not a transversal, then there exists  $A \in \mathcal{F}$  such that  $N \cap A = \emptyset$ . By Lemma 13.5,

$$\mathbb{P}(E_1|N) = \mathbb{P}(|M \cap A| \geq \epsilon s/2|N) > \frac{1}{2} = \frac{\mathbb{P}(E_0|N)}{2}.$$

We now show that  $\mathbb{P}(E_0) < 1$  by showing that  $\mathbb{P}(E_1) \leq 1/2$ . Let  $Z = \{Z_1, \dots, Z_{2s}\}$  be  $2s$  vertices independently and randomly drawn with replacement from  $V$ . Now let  $N$  be a random set of  $s$  vertices drawn from  $Z$ , and let  $M = Z \setminus N$ . We show that for any  $Z$ , we have  $\mathbb{P}(E_1|Z) < 1/2$ . By definition,

$$\mathbb{P}(E_1|Z) = \mathbb{P}(\exists A \in \mathcal{F} : N \cap A = \emptyset \text{ and } |M \cap A| \geq \epsilon s/2|Z).$$

Fix  $A \in \mathcal{F}$ . If  $|A \cap Z| < \epsilon s/2$ , then clearly

$$\mathbb{P}(N \cap A = \emptyset \text{ and } |M \cap A| \geq \epsilon s/2|Z) = 0.$$

On the other hand, if  $|A \cap Z| = k \geq \epsilon s/2$ , then

$$\mathbb{P}(N \cap A = \emptyset, |M \cap A| \geq \epsilon s/2|Z) \leq \mathbb{P}(N \cap A = \emptyset|Z) \leq \frac{\binom{2s-k}{s}}{\binom{2s}{s}} \leq \left(1 - \frac{k}{2s}\right)^s \leq e^{-k/2} = e^{Cd/4}.$$

By the Sauer-Shelah theorem,  $|\mathcal{F}|_Z \leq (2s)^d$ , and so the union bound now yields

$$\mathbb{P}(E_1|Z) \leq |\mathcal{F}|_Z \mathbb{P}(N \cap A = \emptyset|Z) \leq (2s)^d \cdot e^{Cd/4} < 1/2,$$

for large enough  $C$ . The completes the proof.  $\square$

## 15. HAUSSLER'S PACKING LEMMA

**Definition 15.1.** Let  $(V, \mathcal{F})$  be a set system and  $\delta > 0$ . We call  $\mathcal{F}$   $\delta$ -separated if  $|A\Delta B| \geq \delta$  for all distinct  $A, B \in \mathcal{F}$ .

**Lemma 15.2.** Let  $(V, \mathcal{F})$  be a set system with VC-dimension  $d$ , and let  $\mathcal{F}' = \{A\Delta B \mid A, B \in \mathcal{F}\}$ . Then  $\mathcal{F}'$  has VC-dimension  $D$ , where  $D$  is a constant that only depends on  $d$ .

*Proof.* Since  $\mathcal{F}'$  is the set of symmetric differences of  $\mathcal{F}$ , for any  $m$  members in  $\mathcal{F}'$ , the number of cells they can create in a Venn-diagram is at most the number of cells some  $2m$  members of  $\mathcal{F}$  can create. This implies  $\Pi_{\mathcal{F}'}^*(m) \leq \Pi_{\mathcal{F}}^*(2m)$ . But then  $\mathcal{F}'$  has VC-dimension  $\leq 2^{d+1}$ , so  $\Pi_{\mathcal{F}}^*(2m) = O((2m)^{2^{d+1}})$  by the Sauer-Shelah theorem. Hence,  $\Pi_{\mathcal{F}'}^*(m) = O(m^c)$  for some  $c$  that depends on  $d$ , and so  $\Pi_{\mathcal{F}'}(m) = O(m^{2^{c+1}})$  by Theorem 12.6. Put  $D = 2^{c+1}$  and note that  $D$  only depends on  $d$ . It remains to show that  $|\mathcal{F}'|$  has VC-dimension at most  $D$ . Suppose not. Then there exists  $X \subseteq V$  of size  $D + 1$  that is shattered by  $\mathcal{F}'$ , which requires  $2^{D+1}$  members of  $\mathcal{F}'$ . But then  $\Pi_{\mathcal{F}'}(D + 1) \leq \binom{D+1}{0} + \binom{D+1}{1} + \cdots + \binom{D+1}{D} < 2^{D+1}$ , contradiction.  $\square$

**Theorem 15.3** (Haussler). Let  $(V, \mathcal{F})$  be a  $\delta$ -separated set system with VC-dimension  $d$ . Then

$$|\mathcal{F}| = O\left(\left(\frac{n}{\delta}\right)^d\right) \ll O(n^d).$$

*Proof.* We prove a slightly weaker result which shows  $|\mathcal{F}| \leq c_d(n \log(n/\delta)/\delta)^d$ , for some  $c_d > 0$ . Let  $\mathcal{F}' = \{A\Delta B \mid A, B \in \mathcal{F}, A \neq B\}$  and note that any set in  $\mathcal{F}'$  has size  $\geq \delta$ . Let  $\epsilon = \delta/n$ . By Lemma 15.2,  $\mathcal{F}'$  has VC-dimension  $D$ , where  $D$  only depends on  $d$ . By the Epsilon-net theorem, there exists  $N \subseteq V$  of size  $O((D/\epsilon) \log(1/\epsilon))$ , such that  $N \cap A \neq \emptyset$  for all  $A \in \mathcal{F}$  of size  $|A| \geq \epsilon n = \delta$ . Thus  $N$  is a transversal of  $\mathcal{F}'$ . But then  $A \cap N \neq B \cap N$  for all distinct  $A, B \in \mathcal{F}$ , as  $N \cap (A\Delta B) \neq \emptyset$ . This implies  $|\mathcal{F}| = |\mathcal{F}|_N$ . It now follows from Sauer-Shelah theorem that

$$|\mathcal{F}| = |\mathcal{F}|_N \leq c_d |N|^d = c'_d [(1/\epsilon) \log(1/\epsilon)]^d = c'_d [(n/\delta) \log(n/\delta)]^d,$$

for some  $c_d, c'_d$  that only depends on  $d$ .  $\square$