

MATH 264B: Homework

Professor Rhodes

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Problem 1

Let $n, m \in \mathbb{Z}_{\geq 0}$. Give a *combinatorial* proof that

$$\sum_{i=0}^n \binom{m+i}{i} = \binom{m+n+1}{n}.$$

That is, interpret both sides as the cardinality of a set, and find a bijection between these sets.

Proof. It suffices to show that

$$\sum_{i=0}^n \binom{m+i}{m} = \binom{m+n+1}{m+1}.$$

Let C_i be the set of all m -element subsets of $[m+i]$, and let S be the set of all $(m+1)$ -element subsets of $[m+n+1]$. Consider the map $f : \bigsqcup_{i=1}^n C_i \rightarrow S$ by sending $A \in C_i$ to $A \cup \{m+i+1\} \in S$. This mapping is a bijection as we may recover A by removing the largest element of $f(A)$. Thus, $|\bigsqcup_{i=1}^n C_i| = |S|$, and the result now follows. \square

Problem 2

Let $\text{des} : \mathfrak{S}_n \rightarrow \mathbb{Z}_{\geq 0}$ be the descent statistic

$$\text{des}(w) := \#\{1 \leq i \leq n-1 : w(i) > w(i+1)\}$$

and consider the *Eulerian polynomial*

$$A_n(t) := \sum_{w \in \mathfrak{S}_n} t^{\text{des}(w)}.$$

Prove that $A_n(2) = [A_n(t)]_{t=2}$ is the number of ordered set partitions of $[n]$.

Proof. We say that a ordered partition is in canonical form if the elements of each block are in descending order. Let P_n be the set of all ordered set partitions of $[n]$. Define the operation $\phi : P_n \rightarrow \mathfrak{S}_n$ by erasing the brackets of an ordered partition in canonical form and interpreting the resulting string as a permutation. It is clear that ϕ is well-defined. Now consider the reverse operation $\psi : \mathfrak{S}_n \rightarrow 2^{P_n}$ by sending $w \in \mathfrak{S}_n$ to $\{p \in P_n : \phi(p) = w\}$, the set of all ordered partitions whose canonical form resembles w after erasing the brackets. Note that

$$|P_n| = \sum_{p \in P_n} |\phi(p)| = \sum_{w \in \mathfrak{S}_n} |\psi(w)|,$$

and so it suffices to show that $|\psi(w)| = 2^{\text{des}(w)}$. To see this, we start from the ordered singleton partition $p_0 \in \psi(w)$. Reading p_0 from left to right, we may choose to combine a block with its preceding block whenever a descent occurs, and the resulting partition will still be in $\psi(w)$. This gives us $2^{\text{des}(w)}$ ways to partition w into blocks. \square

Problem 3

How many (strong) compositions of n have an even number of even parts?

Proof. Let E_n be the set of all compositions of n with even number of even parts, and let O_n be the set of all compositions of n with odd number of even parts. We show $|E_n| = 2^{n-2}$ for $n \geq 2$ by proving that $|E_n| = |O_n|$. Consider the operation $\phi : E_n \rightarrow O_n$ by sending the composition $(\alpha_1, \dots, \alpha_k)$ to $(\alpha_1, \dots, \alpha_k - 1, 1)$ if $\alpha_k > 1$ and send $(\alpha_1, \dots, \alpha_k)$ to $(\alpha_1, \dots, \alpha_{k-1} + 1)$ if $\alpha_k = 1$. Notice that $\phi(\phi(\alpha_1, \dots, \alpha_k)) = (\alpha_1, \dots, \alpha_k)$, so ϕ is a bijection. But then there are 2^{n-1} compositions of n , so $E_n = 2^{n-2}$. \square

Problem 4

For $1 \leq i \leq n-1$, let s_i be the adjacent transposition $(i, i+1) \in \mathfrak{S}_n$. It is known that the set $S = \{s_1, \dots, s_{n-1}\}$ generates the group \mathfrak{S}_n . For $w \in \mathfrak{S}_n$, the *Coxeter length* $\ell_S(w)$ is the minimum number r so that $w = s_{i_1} \cdots s_{i_r}$ for some $1 \leq i_1, \dots, i_r \leq n$. Prove that $\ell_S(w) = \text{inv}(w)$ for all $w \in \mathfrak{S}_n$.

Proof. Let $w \in \mathfrak{S}_n$. Consider the bubble sorting algorithm that rearranges the identity permutation by swapping adjacent numbers. Let $w^{(i)}$ be the result after the i th iteration of the algorithm. Note $w^{(0)}$ is the identity permutation. Hence, in the i th iteration, we shift the i th number of w to the i th position. For all i , notice that $w_j^{(i)} = w_j$ for $1 \leq j \leq i$, and $w_j^{(i)} < w_k^{(i)}$ for $i < j < k \leq n$. Thus if $w_i = w_j^{(i-1)}$, then we know $j \geq i$ and the i th iteration of the algorithm would take $j-i$ adjacent transpositions to move w_i to the i th position. But then for $i < k < j$, we know $w_i = w_j^{(i-1)} > w_k^{(i-1)}$ and $w_k^{(i-1)} = w_m$ for some $m > i$. Additionally, $w_k = w_k^{(i-1)}$ for $1 \leq k < i$ so the numbers sorted before $w_j^{(i-1)}$ will not contribute to the number of inversions in w with respect to $w_i = w_j^{(i-1)}$. Hence, let $L(w)$ be the number of adjacent transpositions used to create w with this algorithm, then $\ell_S(w) \leq L(w) = \text{inv}(w)$. It remains to show that $\ell_S(w) \geq \text{inv}(w)$. Notice that the identity permutation is a product of 0 adjacent transpositions, and each transposition increases the number of inversions of a permutation by at most 1. Hence, we need at least $\text{inv}(w)$ adjacent transpositions to produce a permutation with $\text{inv}(w)$ inversions, and thus $\ell_S(w) \geq \text{inv}(w)$. \square

Problem 5

The set $T = \{(i\ j) : 1 \leq i < j \leq n\}$ of all transpositions generates the symmetric group \mathfrak{S}_n . For $w \in \mathfrak{S}_n$, the *absolute length* $\ell_T(w)$ is defined to be the minimum number r so that $w = t_1 t_2 \cdots t_r$ for some $t_1, t_2, \dots, t_r \in T$. Prove that $\ell_T(w) = n - \text{cyc}(w)$.

Proof. Let $w = c_1 \cdots c_k \in \mathfrak{S}_n$, where c_1, \dots, c_k are disjoint cycles and each c_i is a m_i -cycle. Note that c_i is a product of $m_i - 1$ transpositions, so w can be written as a product of $\sum_{i=1}^k (m_i - 1) = \left(\sum_{i=1}^k m_i\right) - k = n - k$ transpositions. Thus, $\ell_T(w) \leq n - k$. It remains to show that $\ell_T(w) \geq n - k$. Notice the identity permutation is a product of n disjoint 1-cycles, and each transposition decreases the number of disjoint cycles of a permutation by at most 1. It now follows that we need at least $n - k$ transpositions to produce a permutation with k cycles, and thus $\ell_T(w) \geq n - k$. \square

Problem 6

Prove the following identity of formal power series using the theory of partitions:

$$\prod_{i \geq 1} \frac{1}{1 - x^i y} = \sum_{k \geq 0} \frac{x^{k^2} y^k}{(1-x)(1-x^2) \cdots (1-x^k)(1-yx)(1-yx^2) \cdots (1-yx^k)}.$$

Proof. Note that the left-hand-side is the generating function for partitions, where the exponent of y represents the number of parts. Given $k \geq 0$, we show how to generate a partition with a $k \times k$ Durfee square. Start with a $k \times k$ Durfee square, this has generating function $x^{k^2} y$. We may choose two partitions with at most k parts to add to the right and bottom sides of the Durfee square. The generating function for partition with at most k parts is $\frac{1}{(1-x)(1-x^2) \cdots (1-x^k)}$. However, each part of the bottom partition contributes to an addition part to the whole partition. Hence, we need to use the generating function which records the number of parts, which is $\frac{1}{(1-yx)(1-yx^2) \cdots (1-yx^k)}$. For partitions with a $k \times k$ Durfee square, we now have the generating function $x^{k^2} y \cdot \frac{1}{(1-x)(1-x^2) \cdots (1-x^k)} \cdot \frac{1}{(1-yx)(1-yx^2) \cdots (1-yx^k)}$. This gives us the right-hand-side. \square

Problem 7

Prove the following identity of formal power series using the theory of partitions:

$$\prod_{i \geq 1} (1 + x^{2i-1}y) = \sum_{k \geq 0} \frac{x^{k^2} y^k}{(1-x^2)(1-x^4) \cdots (1-x^{2k})}.$$

Proof. Note that the left-hand-side equals the generating function for partitions into distinct odd parts where the exponent of y represents the number of parts. Let P_k be the set of such partitions of k parts. Given $\lambda \in P_k$, $|\lambda| \geq 1 + 3 + \cdots + (2k-1) = k^2$, as $\lambda_i \geq 2i-1$. Hence, for $\lambda \in P_k$, we may write $\lambda_i = 2i-1 + 2\mu_i$, where μ_i is even and $\mu_1 \leq \mu_2 \leq \cdots \leq \mu_k$. That is, we may generate P_k by starting with a partition of k^2 into k distinct odd parts, and we choose an non-decreasing sequence of even numbers (μ_1, \dots, μ_k) to add to the corresponding odd parts. This gives us the right-hand-side. \square