

## C8.3 Combinatorics: Sheet #1

Due on October 28, 2025 at 12:00pm

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**Problem 1**

Write down all antichains contained in  $\mathcal{P}(1)$  and  $\mathcal{P}(2)$ . How many different antichains are there in  $\mathcal{P}(3)$ ?

*Proof.* The antichains in  $\mathcal{P}(1)$  are  $\{\emptyset\}$  and  $\{1\}$ . The antichains in  $\mathcal{P}(2)$  are  $\{\emptyset\}$ ,  $\{1\}$ ,  $\{2\}$ ,  $\{1, 2\}$ , and  $\{12\}$ . There are 20 antichains in  $\mathcal{P}(3)$ .  $\square$

## Problem 2

- (a) Look up Stirling's Formula. Use it to find an asymptotic estimate for  $\binom{n}{n/2}$  of the form  $(1 + o(1))f(n)$  when  $n$  is even.

*Proof.* By Stirling's Formula,

$$\binom{n}{n/2} = \frac{n!}{(n/2)!(n/2)!} = \frac{(1 + o(1))\sqrt{2\pi n}(n/e)^n}{(1 + o(1))\pi n(n/2e)^n} = (1 + o(1))2^n \sqrt{\frac{2}{\pi n}}.$$

□

- (b) Now do the same for  $\binom{n}{pn}$  where  $p \in (0, 1)$  is a constant and  $pn$  is an integer. Write your answer in terms of the binary entropy function

$$H(p) = -p \log p - (1-p) \log(1-p)$$

*Proof.* By Stirling's Formula,

$$\begin{aligned} \binom{n}{pn} &= \frac{n!}{(pn)!(n(1-p))!} \\ &= \frac{(1 + o(1))\sqrt{2\pi pn}(pn/e)^{pn}\sqrt{2\pi(1-p)n}((1-p)n/e)^{(1-p)n}}{(1 + o(1))\sqrt{2\pi p(1-p)n}(pn/e)^{pn}(n(1-p)/e)^{(1-p)n}} \\ &= (1 + o(1)) \frac{1}{\sqrt{2\pi p(1-p)n}} \cdot \frac{(n/e)^n}{(pn/e)^{pn}(n(1-p)/e)^{(1-p)n}} \\ &= (1 + o(1)) \frac{1}{\sqrt{2\pi p(1-p)n}} \cdot \frac{n^n}{(pn)^{pn}(n(1-p))^{(1-p)n}} \\ &= (1 + o(1)) \frac{1}{\sqrt{2\pi p(1-p)n}} \cdot \frac{2^{n \log n}}{2^{pn \log(pn)} 2^{(1-p)n \log(n(1-p))}} \\ &= (1 + o(1)) \frac{2^{n \log n - pn(\log n + \log p) - (1-p)n(\log n + \log(1-p))}}{\sqrt{2\pi p(1-p)n}} \\ &= (1 + o(1)) \frac{2^{nH(p)}}{\sqrt{2\pi p(1-p)n}}. \end{aligned}$$

□

## Problem 3

Let  $k \leq n/2$ , and suppose that  $\mathcal{F}$  is an antichain in  $\mathcal{P}[n]$  such that every  $A \in \mathcal{F}$  has  $|A| \leq k$ . Prove that  $|\mathcal{F}| \leq \binom{n}{k}$ .

*Proof.* Let  $\mathcal{P}_k[n]$  be the set of all subsets of  $[n]$  of size  $k \leq n$ . For  $1 \leq k \leq n/2$ , consider the bipartite subgraph  $G_k$  of the discrete cube  $Q_n$  induced by  $[n]^{(k-1)} \sqcup [n]^{(k)}$ . Note that there is edge between  $A \in [n]^{(k-1)}$  and  $B \in [n]^{(k)}$  if and only if  $A \subseteq B$ .

We now verify the conditions of Hall's Theorem to show that there is a matching saturating  $[n]^{(k-1)}$ . Let  $S \subseteq [n]^{(k-1)}$  and let  $T = \Gamma(S)$ . Notice that each  $A \in S$  has  $n - k + 1$  neighbors in  $T$ , whereas each  $B \in T$  has  $k - 1$  neighbors in  $[n]^{(k-1)}$ . But then

$$|S| \cdot (n - k + 1) = e(S, T) \leq |T| \cdot k.$$

Since  $k \leq n/2$ , we have  $|S| \leq |T| \cdot k / (n - k + 1) \leq |T|$ . Hall's Theorem now furnishes a matching in  $G_k$  saturating  $[n]^{(k-1)}$ , for any  $1 \leq k \leq n/2$ . By connecting the matchings between  $G_k$  for  $1 \leq k \leq n/2$ , we get  $\binom{n}{k}$  chains that partition  $\mathcal{P}_k[n]$ . It now follows that  $\mathcal{F}$  intersects with any of these chains in at most one element, and so  $|\mathcal{F}| \leq \binom{n}{k}$ .  $\square$

**Problem 4**

Let  $(P, \leq)$  be a poset. Suppose that every chain in  $P$  has at most  $k$  elements. Prove that  $P$  can be written as the union of  $k$  antichains.

*Proof.* For  $x \in P$ , define  $h(x)$  as the length of the longest chain containing  $x$  as the maximal element. Notice that if  $x > y$  then  $h(x) > h(y)$ , as we may append  $x$  to the end of any chain containing  $y$ . This implies  $x$  and  $y$  are incomparable if  $h(x) = h(y)$ . But then for any  $x \in P$  we have  $h(x) \leq k$ . Thus for  $1 \leq n \leq k$ ,  $A_n = \{x \in P \mid h(x) = n\}$  is an antichain. The result now follows.  $\square$

## Problem 5

Suppose  $\mathcal{F} \subset \mathcal{P}[n]$  is a set system containing no chain with  $k+1$  sets.

- (a) Prove that  $\sum_{i=0}^n \frac{|\mathcal{F}_i|}{\binom{n}{i}} \leq k$ , where  $\mathcal{F}_i = \mathcal{F} \cap [n]^{(i)}$  for each  $i$ .

*Proof.* Let  $C$  be a maximal chain in  $\mathcal{P}[n]$ . Then

$$k \geq \mathbb{E}[|F \cap C|] = \sum_{A \in \mathcal{F}} \mathbb{P}(A \in C) = \sum_{i=0}^n \frac{|\mathcal{F}_i|}{\binom{n}{i}}.$$

□

- (b) What is the maximum possible size of such a system?

*Proof.* Note that setting  $\mathcal{F}$  to be the union of the center  $k$  layers of  $\mathcal{P}[n]$  shows that

$$|\mathcal{F}| \geq \sum_{i=1}^k \binom{n}{\lfloor \frac{n-k}{2} \rfloor + i}.$$

By the LYM inequality, equality holds in (1) if and only if  $A_j = [n]^{(i)}$  for some  $i$ . Thus, equality can be achieved when  $\mathcal{F} = \bigsqcup_{i \in I} [n]^{(i)}$  for some  $I \subseteq [n]$  of size  $k$ . But then

$$|\mathcal{F}| \leq \max_{I \in [n]^{(k)}} \sum_{i \in I} \binom{n}{i} = \sum_{i=1}^k \binom{n}{\lfloor \frac{n-k}{2} \rfloor + i}.$$

The result now follows. □

## Problem 6

Let  $\mathcal{A}$  be an antichain in  $\mathcal{P}[n]$  that is not of the form  $[n]^{(r)}$ . Must there exist a maximal chain disjoint from  $\mathcal{A}$ ?

*Proof.* For  $A \in \mathcal{A}$ , the fraction of maximal chains in  $\mathcal{P}[n]$  that contain  $A$  is

$$\frac{|A|!(n - |A|)!}{n!} = \frac{1}{\binom{n}{|A|}}.$$

Let  $M$  be a random maximal chain in  $\mathcal{P}[n]$ . Since each maximal chain intersects with at most one element of  $\mathcal{A}$ ,

$$\mathbb{P}(M \cap \mathcal{A} \neq \emptyset) = \sum_{A \in \mathcal{A}} \frac{1}{\binom{n}{|A|}} = \sum_{i=0}^n \sum_{A \in \mathcal{A} \cap [n]^{(i)}} \frac{1}{\binom{n}{i}} = \sum_{i=0}^n \frac{|\mathcal{A} \cap [n]^{(i)}|}{\binom{n}{i}}.$$

But then  $\mathcal{A}$  is not of the form  $[n]^{(r)}$ , so by the LYM inequality, the above sum is strictly less than 1. This completes the proof.  $\square$

## Problem 7

Let  $(P, \leq)$  be an infinite poset. Must  $P$  contain an infinite chain or antichain?

*Proof.* Take any  $x_0$  from  $P$ . Since  $P$  is infinite, at least one of

$$\{y \in P \mid y > x_0\}, \quad \{y \in P \mid y < x_0\}, \quad \{y \in P \mid y \text{ incomparable to } x_0\}$$

is infinite. Let  $S_1$  be the set that is infinite, and pick any  $x_1 \in S_1$ . Iterate the above process on  $S_1$  gives us a infinite sequence  $x_0, x_1, x_2, \dots$  in  $P$ . There exists a subsequence  $x_{i_0}, x_{i_1}, x_{i_2}, \dots$  where all elements are picked from the same choices. But then this subsequence is a chain or antichain.  $\square$