MATH 188: Homework #5

Due on May 24, 2024 at 23:59pm

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Let B_n be the set of binary strings of length n, i.e., of words of length n in the alphabet $\{0,1\}$. Define a simple graph H_n whose vertex set is B_n and where two binary strings are connected by an edge if and only if they differ in exactly one position.

Let V be the real vector space with basis $\{v_x \mid x \in B_n\}$. It's not convenient to pick an ordering of the vertices to write down the adjacency matrix. Instead, we will think of the adjacency matrix A of H_n as a linear operator on V:

$$A\sum_{x\in B_n}c_xv_x=\sum_{x\in B_n}c_x\sum_{\substack{y\text{ such that}\\\{y,x\}\text{ is an edge}}}v_y.$$

(a) For each $x \in B_n$, define $E_x \in V$ by

$$E_x = \sum_{y \in R} (-1)^{x \cdot y} v_y,$$

where $x \cdot y = x_1 y_1 + \ldots + x_n y_n$. Show that this is an eigenvector for A with eigenvalue n - 2|x| where |x| is the number of x_i equal to 1.

Proof. Let $x \in B_n$. Let N(x) denote the set of neighbors of x in H_n , and let x_i denote the ith digit of x. We first note that

$$E_x = \sum_{\substack{y \in B_n \\ x \cdot y \text{ is even}}} v_y - \sum_{\substack{y \in B_n \\ x \cdot y \text{ is odd}}} v_y$$

Hence, we may write

$$AE_x = A \sum_{\substack{y \in B_n \\ x \cdot y \text{ is even}}} v_y - A \sum_{\substack{y \in B_n \\ x \cdot y \text{ is odd}}} v_y \tag{1}$$

$$= \sum_{\substack{y \in B_n \\ x \cdot y \text{ is even}}} \sum_{y' \in N(y)} v_{y'} - \sum_{\substack{y \in B_n \\ x \cdot y \text{ is odd}}} \sum_{y' \in N(y)} v_{y'}$$

$$(2)$$

$$= \sum_{\substack{y \in B_n \\ x \cdot y \text{ is even}}} \left(\sum_{\substack{y' \in N(y) \\ x \cdot y' \text{ is even}}} v_{y'} + \sum_{\substack{y' \in N(y) \\ x \cdot y' \text{ is odd}}} v_{y'} \right) - \sum_{\substack{y \in B_n \\ x \cdot y \text{ is odd}}} \left(\sum_{\substack{y' \in N(y) \\ x \cdot y' \text{ is even}}} v_{y'} + \sum_{\substack{y' \in N(z) \\ x \cdot y' \text{ is odd}}} v_{y'} \right).$$
(3)

Given a $y' \in B_n$. Let $y \in N(y')$ such that $y_i \neq y_i'$. Suppose $x \cdot y'$ is even. Notice that $x \cdot y$ remains even if and only if $x_i = 0$. Similarly, when $x \cdot y'$ is odd, $x \cdot y$ remains odd if and only if $x_i = 0$.

Hence, y' has n - |x| number of neighbors y such that $x \cdot y' \equiv x \cdot y \pmod{2}$ and |x| number of neighbors y such that $x \cdot y' \not\equiv x \cdot y \pmod{2}$

But then for all $y' \in B_n$, (3) adds $v_{y'}$ (n-2|x|) times if $x \cdot y'$ is even and adds $-v_{y'}$ (n-2|x|) times if if $x \cdot y'$ is odd. It now follows that

$$AE_x = (n-2|x|) \left(\sum_{\substack{y \in B_n \\ x \cdot y \text{ is even}}} v_y - \sum_{\substack{y \in B_n \\ x \cdot y \text{ is odd}}} v_y \right) = (n-2|x|)E_x.$$

(b) For $x \in B_n$ and a non-negative integer d, give a formula for the number of closed walks of length d in H_n beginning at x. [You may assume without proof that $\{E_x \mid x \in B_n\}$ is linearly independent.]

Proof. Let $x, y \in B_n$. We first show that

#closed walks of
$$x$$
 of length $d = \#$ closed walks of y of length d . (4)

Suppose x and y differ at positions i_1, i_2, \ldots, i_N . Let W be a length-d closed walk of x, say $w_1w_2 \ldots w_d$. For each w_i in W, we flip w's i_k th digit, for $i \leq k \leq N$. Let W' be the resulting sequence of strings. Obviously, $w'_1 = w'_d = y$. Since we flip the same positions of each string in W, the operation above perserves the difference of positions between each string. That is, for each consecutive strings w'_i and w'_{i+1} in W', w'_i and w'_{i+1} differ at only 1 position. Hence, $\{w'_i, w'_{i+1}\}$ is an edge in H_n . But then W' is a closed walk of y of length d. Note that applying the operation again on W' yields W, and thus the equality of (4).

But then (4) also implies that the number of closed walks of length d beginning at any vertex is the same. By Proposition 4.6, the number of closed walks in H_n of length

$$tr(A^d) = \sum_{x \in B_n} (n - 2|x|)^d = \sum_{i=0}^n \binom{n}{i} (n - 2i)^d$$

Hence,

#closed walks of x of length
$$d = 2^{-n} \sum_{i=0}^{n} \binom{n}{i} (n-2i)^d$$

(a) Fix a positive integer k. Construct a directed graph for which walks (between certain vertices) can be interpreted as binary strings with exactly k zeroes. Explain clearly how this interpretation works, including how the length of the walk relates to the length of the binary string.

Proof. Consider a directed graph G where the vertex set V = [k+1] and the edge set

$$E = \{(i, j) : j \ge i \text{ and } i, j \in [k+1]\}.$$

We show that

#binary strings with exactly k zeros and n+1 ones = #walks on G of length n.

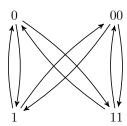
Given a binary strings S with exactly k zeros and n+1 ones, we may construct an increase sequence W of integers in [k+1], where the number of i's in W is the number of 1's between the after the (i-1)th 0 or before the ith 0 of S (they are the same unless i=1 or k+1). Since W is increasing, each consecutive pair of numbers in W corresponds to precisely one edge in G, and thus W is a well-defined walk on G. Since W is a sequence of length n+1, it corresponds to a walk of length n.

Now suppose we have a walk W' of length n on G. We may consider W' as a increasing sequence with length n+1 of integers in [k+1]. Since W' is an increasing sequence, there is a unique binary string S' with k zeros and n+1 ones, where the number of 1's after the (i-1)th 0 or before the ith 0 of S is the number of i's in W.

Since the two described operations are inverses of each other, the equality above holds, and a walk of length n corresponds to a binary string of length n + k + 1.

(b) Construct a directed graph for which walks (between certain vertices) can be interpreted as binary strings in which no symbol ever appears 3 times in a row. Explain clearly how this interpretation works, including how the length of the walk relates to the length of the binary string.

Proof. Consider the following graph G:



We show that

#binary strings without 000 and 111 = #walks on G.

Suppose we have a binary string S without 000 and 111, say $s_1s_2...s_n$. We inductively construct a walk $W = w_1w_2,...w_m$ on G in the following way: Put $w_1 = s_1$. Suppose we obtain a walk $W' = w_1...w_l$ after going through $s_1,...,s_{k-1}$, for some $k \geq 2$. If $w_l = s_k$, replace w_l with the vertex s_ks_k . Notice that since S does not contain $s_ks_ks_k$, $w_{l-1} \neq s_k$ in this case, as thus (w_{l-1}, w_l) is an edge in G. Otherwise, of $w_l \neq s_k$, append a new vertex $w_{l+1} = s_k$ to W, and obviously (w_l, w_{l+1}) is an edge in G. Since each choice of edge in the inductive step is unique and valid, W is a well-defined walk on G. In each inductive step k, we only extend the length of W by one when $s_k \neq s_{k-1}$, and thus W contains n-r vertices, where r is the number of 00 and 11 in S.

Now suppose we have a walk X with n-r vertices on G, where r is the number of 00 and 11's in X. We may convert X to a binary string T by concatenating the corresponding of each vertex in X. Since X does not contain edges (0,00),(00,0),(1,11),(11,1),T is unique and does not contain 000 and 111, and thus T is well defined. Since X contains r number of vertices 00 and 11's, T has length (n-r)+r=n. The two described operations are obvisouly inverses of each other, so the equality holds and a walk of length n-r-1 corresponds to a binary string of length n without 000 and 111.

Problem 3

(a) We have *n* distinguishable tables. We want to paint each one either red, blue, or green such that an odd number of them are red and an even number of them are blue. How many ways can this be done?

Proof. Suppose S is a set of tables. Let $\alpha(S)$ be the set of ways to paint the tables red according to our rules, so $|\alpha(S)| = 1$ if |S| is odd and $|\alpha(S)| = 0$ otherwise. Similarly, let $|\beta(S)|$ be the set of ways to paint the table blue according to our rules, so $|\beta(S)| = 1$ if |S| is even and $|\beta(S)| = 0$ otherwise. Finally, let $\gamma(S)$ be the set of ways to paint the table green according to our rules, so $|\gamma(S)| = 1$. Hence,

$$E_{\gamma}(x) = \sum_{n \ge 0} \frac{x^n}{n!} = e^x.$$

$$E_{\beta}(x) = \sum_{n \ge 0} \frac{x^{2n}}{(2n)!} = (e^x + e^{-x})/2.$$

$$E_{\alpha}(x) = \sum_{n \ge 0} \frac{x^{2n+1}}{(2n+1)!} = e^x - \sum_{n \ge 0} \frac{x^{2n}}{(2n)!} = (e^x - e^{-x})/2.$$

It now follows that

$$E_{\alpha \cdot \beta \cdot \gamma}(x) = E_{\alpha} E_{\beta} E_{\gamma}(x) = \frac{1}{4} e^{x} (e^{x} + e^{-x})(e^{x} - e^{-x}) = \frac{1}{4} (e^{3x} - e^{-x}) = \frac{1}{4} \sum_{n > 0} \frac{(3^{n} - (-1)^{n})x^{n}}{n!}.$$

Hence, there are

$$n![x^n]E_{\alpha \cdot \beta \cdot \gamma}(x) = \frac{(3^n + (-1)^{n+1})}{4}$$

ways to color the the n tables.

(b) Continuing with (a), we add the colors white and yellow, but the total number of tables which are white or yellow must be even (in symbols: #white tables + #yellow tables is even). How many ways are there to choose colors?

Proof. Let $\delta(S)$ be the set of ways to paint the tables either white or yellow according to our rules, so $|\delta(S)| = 2^{|S|}$ if |S| is even and $|\delta(S)| = 0$ otherwise. Hence,

$$E_{\delta}(x) = \sum_{n \ge 0} \frac{(2x)^{2n}}{(2n)!} = (e^{2x} + e^{-2x})/2.$$

It now follows that

$$\begin{split} E_{\alpha \cdot \beta \cdot \gamma \cdot \delta}(x) &= E_{\alpha \cdot \beta \cdot \gamma}(x) E_{\delta}(x) \\ &= \frac{1}{8} (e^{3x} - e^{-x}) (e^{2x} + e^{-2x}) \\ &= \frac{1}{8} (e^{5x} - e^{-3x}) = \frac{1}{8} \sum_{n > 0} \frac{(5^n - (-3)^n) x^n}{n!}. \end{split}$$

Hence, there are

$$n![x^n]E_{\alpha\cdot\beta\cdot\gamma\cdot\delta}(x) = \frac{5^n - (-3)^n}{8}$$

ways to color n tables with our rules.

Let $b_{n,k}$ be the number of set partitions of [n] with k blocks such that every block has an even (and positive) number of elements and let b_n be the same, but with no restriction on the number of blocks.

(a) Find a formula for the EGF $B_k(x) = \sum_{n \geq 0} b_{n,k} \frac{x^n}{n!}$.

Proof. Consider the selection structure

$$\beta(S) = \begin{cases} \{1\} & \text{if } |S| > 0 \text{ and } |S| \text{ is even} \\ \emptyset & \text{otherwise} \end{cases},$$

which picks out nonempty subsets of even size. But then, $\beta^k(S)$ is the set of ordered set partitions of S with k blocks of even sizes. Hence,

$$\sum_{n\geq 0} k! b_{n,k} \frac{x^n}{n!} = E_{\beta^k}(x) = E_{\beta}(x)^k = \left(\frac{e^x + e^{-x}}{2} - 1\right)^k,$$

and thus

$$B_k(x) = \sum_{n \ge 0} b_{n,k} \frac{x^n}{n!} = \frac{(e^x + e^{-x} - 2)^k}{2^k k!}$$

(b) Find a formula for the EGF $B(x) = \sum_{n>0} b_n \frac{x^n}{n!}$.

Proof. We continue using the selection structure β from (a). Then, $e^{\beta}(S)$ can be interpreted as the set of partitions of [n] such that all blocks are of even sizes. It now follows that

$$B(x) = E_{e^{\beta}}(x) = \exp(E_{\beta}(x)) = \exp\left(\frac{e^x + e^{-x}}{2} - 1\right).$$

Let a_n be the number of functions $f:[n] \to [n]$ such that $f \circ f = f$. Find a simple formula for the EGF $A(x) = \sum_{n \geq 0} a_n \frac{x^n}{n!}$.

Proof. For each $f:[n] \to [n]$, we associate a directed graph G_f with vertex set [n] and edge set $\{(i,j):f(i)=j\}$. Suppose $f\circ f=f$. Say we have $i,j\in [n]$ such that f(i)=j. Then, f(j)=f(f(i))=f(i)=j. But then each connected component in G are of either of the following form:



Hence, G_f is partitioned into components of sizes 1 or 2. Note that given a component of G_f of size 2, there are 2 possible orientations. Now define structure

$$\alpha(S) = \begin{cases} S & \text{if } |S| = 1 \text{ or } 2\\ \emptyset & \text{otherwise} \end{cases},$$

which picks out the components which could be in G_f , with each element in $\alpha(S)$ being the vertex in the component which self-loops. Then, $e^{\alpha}([n])$ can be interpreted as the set of possible graphs G_f , where $f:[n] \to [n]$ such that $f \circ f = f$. Thus, $e^{\alpha}([n])$ is also interpreted as the set of $f:[n] \to [n]$ such that $f \circ f = f$. It now follows that

$$A(x) = E_{e^{\alpha}}(x) = \exp(E_{\alpha}(x)) = \exp(x^2 + x) = e^{x^2} e^x.$$

Explain why it is impossible to have a directed graph for which walks of length 2n can be interpreted as balanced sets of n pairs of parentheses.

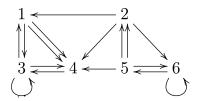
Proof. Suppose not. We have a graph G for which the number of walks of length 2n equals to C_n , the nth Catalan number. Let A be the transition matrix of G. The number of length 2n walks on G is the sum of all entries of A^{2n} . Hence, the generating function for walks of even length is

$$W(x) = \sum_{i,j} \sum_{k \ge 0} (A^{2k})_{i,j} x^k = \sum_{i,j} F_{A^2;i,j}(x).$$

By Theorem 4.9, $F_{A^2;i,j}(x)$ is a rational generating function, which makes W(x) also rational. But then by Remark 2.30, $C(x) = \sum_{n\geq 0} C_n x^n$ is not a rational generating function, contradiction.

Problem 7

Let A be the adjacency matrix of the following directed graph:



Express $F_{A;1,4}(x)$ as a rational function.

Proof. We first note that

$$A = \begin{bmatrix} 0 & 0 & 1 & 2 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 2 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix}.$$

By Theorem 4.9, we have

$$F_{A;1,4}(x) = -\frac{\det((I_6 - xA); 4, 1)}{\det(I_6 - xA)}.$$

By MATLAB,

$$\det(I_6 - xA) = 4x^6 + 6x^5 + 6x^4 - x^3 - 2x^2 - 2x + 1,$$

$$\det((I_6 - xA); 4, 1) = x(-2x^4 + 3x^3 + x^2 + 3x - 2).$$

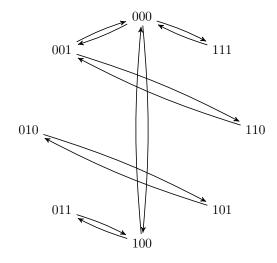
Hence,

$$F_{A;1,4}(x) = -\frac{x(-2x^4 + 3x^3 + x^2 + 3x - 2)}{4x^6 + 6x^5 + 6x^4 - x^3 - 2x^2 - 2x + 1} = \frac{x(x-2)}{2x^3 + 2x^2 + x - 1}.$$

Problem 8

Compute G_3 in Example 4.11.

Proof. Here is G_3 :



Problem 9

Given a sequence $(a_n)_{n\geq 0}$ we define its q-EGF to be

$$A(x) = \sum_{n>0} a_n \frac{x^n}{[n]_q!}.$$

What is the q-analogue of Proposition 5.2?

Proof. Suppose we have $A(x) = \sum_{n\geq 0} a_n \frac{x^n}{[n]_q!}$ and $B(x) = \sum_{n\geq 0} b_n \frac{x^n}{[n]_q!}$. Then,

$$A(x) + B(x) = \sum_{n>0} (a_n + b_n) \frac{x^n}{[n]_q!}$$
 (5)

$$A(x)B(x) = \sum_{n>0} \sum_{i=0}^{n} \frac{a_i}{[i]_q!} \frac{b_{n-i}}{[n-i]_q!} x^n = \sum_{n>0} \left(\sum_{i=0}^{n} {n \brack i}_q a_i b_{n-1} \right) \frac{x^n}{[n]_q!}.$$
 (6)

Define q-structure α_q , which takes as input a finite vector space V of \mathbf{F}_q and outputs a finite vector space $\alpha_q(V)$, with the property that $\dim \alpha_q(V) = \dim \alpha_q(U)$ whenever $\dim V = \dim U$. Let α_q, β_q be q-structures. Define the addition and multiplication of q-structures to be

$$(\alpha_q + \beta_q)(V) = \alpha_q(V) \oplus \beta_q(V)$$
$$(\alpha_q \cdot \beta_q)(V) = \bigoplus_{S \text{ subspace of } V} \alpha_q(S) \otimes \beta_q(S^{\perp}).$$

Define the q-EGF associated to structure α_q to be

$$E_{q_{\alpha}}(x) = \sum_{n>0} \dim \alpha_q(\mathbf{F}_q^n) \frac{x^n}{[n]_q!}.$$

We show that

$$E_{\alpha_q+\beta_q}(x) = E_{\alpha_q}(x) + E_{\beta_q}(x), \quad E_{\alpha_q\cdot\beta_q}(x) = E_{\alpha_q}(x)E_{\beta_q}(x).$$

For the sum, we have $\dim(\alpha_q + \beta_q)(\mathbf{F}_q^n) = \dim \alpha_q(\mathbf{F}_q^n) + \dim \beta_q(\mathbf{F}_q^n)$, which is the coefficient of $E_{\alpha_q}(x) + E_{\beta_q}(x)$ by (5). On the other hand, we have

$$|(\alpha_q \cdot \beta_q)(\mathbf{F}_q^n)| = \sum_{S \text{ subspace of } \mathbf{F}_q^n} \dim \alpha_q(S) \cdot \dim \beta_q(S^\perp) = \sum_{i=0}^n {n \brack i}_q \dim \alpha_q(\mathbf{F}_q^i) \cdot \dim \beta_q(\mathbf{F}_q^{n-i}).$$

for the product, which is the coefficient of $E_{\alpha_g}(x)E_{\beta_g}(x)$ by (6).

Let n be a positive integer. Given a group of n people, we want to divide them into nonempty committees and choose a leader for each committee, and also choose one of the committees to be in charge of all the others. Let h_n be the number of ways to do this and set $h_0 = 1$. Give a simple expression for the exponential generating function $H(x) = \sum_{n>0} h_n \frac{x^n}{n!}$.

Proof. Define

$$\alpha(S) = S$$

which outputs the ways to pick an element from the input set S. Hence, if S is the set of committee members, $\alpha(S)$ is the set of ways to select a leader from S. On the other hand, if S is the set of committees, $\alpha(S)$ is the set of ways to choose a leading committee.

According to our rules, for each partition of n people, we apply the α structure to each block of people to choose a leader, then we apply α to the partition as a whole to choose the leading committee. But then this is just $\alpha \circ \alpha([n])$, and so $H(x) = E_{\alpha \circ \alpha}$. Since

$$E_{\alpha}(x) = \sum_{n \ge 0} \frac{nx^n}{n!} = \sum_{n \ge 1} \frac{x^n}{(n-1)!} = xe^x,$$

it now follows that

$$H(x) = E_{\alpha \circ \alpha} = E_{\alpha} (xe^x) = xe^x e^{xe^x} = xe^{x(1+e^x)}.$$

Let h_n be the number of bijections $f:[n] \to [n]$ with the property that $f \circ f \circ f$ is the identity function. Give a simple expression for the exponential generating function $H(x) = \sum_{n \ge 0} h_n \frac{x^n}{n!}$.

Proof. Suppose bijection $f:[n] \to [n]$ has the property that $f \circ f \circ f$ is the identity function. Then, f is a permutation on [n] whose cycles all have length 1 or 3. Let $\alpha(S)$ be the set of cyclic orderings of S if |S|=1 or 3 and $\alpha(S)=\emptyset$ otherwise. Then, $E_{\alpha}=x+\frac{x^3}{3}$. But then e^{alpha} can be interpreted as the set of bijections f with $f \circ f \circ f$ being the identity. It now follows that

$$E_{e^{\alpha}}(x) = \exp\left(x + \frac{x^3}{3}\right).$$

Given G(x) with $G(0) \neq 0$, define its logarithmic derivative to be $\mathcal{L}(G) = \frac{DG(x)}{G(x)}$.

(a) Show that for any F(x), we have $\mathcal{L}(\exp(F(x))) = DF(x)$.

Proof. We first note that

$$D\exp(F(x)) = DF(x) \sum_{n>1} \frac{(F(x))^{n-1}}{(n-1)!} = (DF(x)) \exp(F(x)).$$

Hence,

$$\mathcal{L}(\exp(F(x))) = \frac{D\exp(F(x))}{\exp(F(x))} = \frac{(DF(x))\exp(F(x))}{\exp(F(x))} = DF(x).$$

(b) Show that if $G_1(0) = G_2(0) = 0$, then $\mathcal{L}(G_1(x)G_2(x)) = \mathcal{L}(G_1(x)) + \mathcal{L}(G_2(x))$.

Proof.

$$\begin{split} \mathcal{L}(G_1(x)G_2(x)) &= \frac{D(G_1(x)G_2(x))}{G_1(x)G_2(x)} \\ &= \frac{G_2(x)DG_1(x) + G_1(x)DG_2(x)}{G_1(x)G_2(x)} \\ &= \frac{DG_1(x)}{G_1(x)} + \frac{DG_2(x)}{G_2(x)} = \mathcal{L}(G_1(x)) + \mathcal{L}(G_2(x)). \end{split}$$

(c) Let a_n be the number of involutions of size n and let $A(x) = \sum_{n \geq 0} a_n \frac{x^n}{n!}$. From Example 5.11, we have $A(x) = \exp(x + \frac{x^2}{2})$. Apply \mathcal{L} to prove for all $n \geq 0$ that $a_{n+2} = a_{n+1} + (n+1)a_n$.

Proof. By (a) and (b),

$$\frac{DA(x)}{A(x)} = \mathcal{L}(A(x)) = \mathcal{L}(e^x e^{x^2/2}) = \mathcal{L}(e^x) + \mathcal{L}(e^{x^2/2}) = Dx + D\left(\frac{x^2}{2}\right) = 1 + x.$$

Hence,

$$D(A(x)) = (1+x)A(x).$$

Since

$$D(A(x)) = \sum_{n \ge 1} a_n \frac{x^{n-1}}{(n-1)!} = \sum_{n \ge 0} a_{n+1} \frac{x^n}{n!},$$

$$(1+x)A(x) = \sum_{n\geq 0} a_n \frac{x^n}{n!} + \sum_{n\geq 0} a_n \frac{x^{n+1}}{n!}$$
$$= a_0 + \sum_{n\geq 0} a_n \frac{x^n}{n!} + \sum_{n\geq 1} a_{n-1} \frac{x^n}{(n-1)!},$$

for all $n \geq 1$,

$$a_{n+1} = a_n + na_{n-1},$$

and the result now follows.