MATH 100B: Homework #5

Due on Feb 15, 2024 at 12:00pm

Professor McKernan

Section A02 6:00PM - 6:50PM Section Leader: Castellano-Macías

Source Consulted: Textbook, Lecture, Discussion, Office Hour

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Problem 1

Show that the following polynomials are irreducible over the field F indicated.

(a) $x^2 + 7$ over $F = \mathbb{R}$.

Proof. Note that $x^2 + 7$ is of degree two, so it suffice to show that $x^2 + 7$ has no roots in \mathbb{R} , by Lemma 8.7. Replace x with any real number a. Since a^2 is non-negative, $a^2 + 7$ must not be 0, and thus it is irreducible.

(b) $x^3 - 3x + 3$ over $F = \mathbb{Q}$.

Proof. Notice that 3 divides the coefficients of every term other than that of the greatest one and 3^2 does not divide 3, and thus the result follows from the Eisenstein's Criteria.

(c) $x^2 + x + 1$ over $F = \mathbb{Z}_2$.

Proof. By Lemma 8.7, since $x^2 + x + 1 = 1$ no matter what x is, it is irreducible.

(d) $x^2 + 1$ over $F = \mathbb{Z}_{19}$.

Proof. By Lemma 8.7, it suffice to show that -1 is not a square in \mathbb{Z}_{19} . Since $(-a)^2 = a^2$, we only need to consider $a = 0, 1, \ldots, 9$. Hence,

$$0^2 = 0$$
, $1^2 = 1$, $2^2 = 4$, $3^2 = 9$, $4^2 = 16 = -3$
 $5^2 = 25 = 6$, $7^2 = 49 = -8$, $8^2 = 64 = 7$, $9^2 = 81 = 5$,

and thus -1 is not a square in \mathbb{Z}_{19} .

(e) $x^3 - 9$ over $F = \mathbb{Z}_{13}$.

Proof. By Lemma 8.7, it suffice to show that 9 is not a cube in \mathbb{Z}_{13} . Hence,

$$0^{3} = 0$$
, $1^{3} = 1$, $2^{3} = 8$, $3^{3} = 1$, $4^{3} = -1$
 $5^{3} = -5$, $7^{3} = 5$, $8^{3} = 5$, $9^{3} = 1$, $10^{3} = -1$
 $11^{3} = 5$, $12^{2} = -1$,

and thus 9 is not a cube in \mathbb{Z}_{13} .

(f) $x^4 + 2x^2 + 2$ over $F = \mathbb{Q}$.

Proof. Notice that 2 divides the coefficients of every term other than that of the greatest one and 2^2 does not divide 2, and thus the result follows from the Eisenstein's Criteria.

Let \mathbb{R} be the field of real numbers and \mathbb{C} that of complex numbers. Show that $\mathbb{R}[x]/(x^2+1) \simeq \mathbb{C}$.

Proof. Since there exists a natural inclusion $\mathbb{R} \to \mathbb{C}$, there exists a unique ring homomorphism $\phi: \mathbb{R}[x] \to \mathbb{C}$ that sends $a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$ to $a_n i^n + a_{n-1} i^{n-1} + \dots + a_0$, by the universal property of polynomial rings. ϕ is clearly surjective, as there exists $a + bx \in \mathbb{R}[x]$ that gets mapped to a + bi, for $a + bi \in \mathbb{C}$. Note that $\mathbb{R}[x]$ is an Euclidean doamin and thus a PID, so Ker ϕ is generated by some $f \in \mathbb{R}[x]$. Since $\phi(x^2 + 1) = 0$, $x^2 + 1$ is in the kernel. However, $x^2 + 1$ is irreducible in $\mathbb{R}[x]$, so Ker $\phi = \langle x^2 + 1 \rangle$. The result now follows from the First Isomorphism Theorem for rings.

Let $F = \mathbb{Z}_{11}$, the integers mod 11.

(a) Let $p(x) = x^2 + 1$; show that p(x) is irreducible in F[x] and that F[x]/(p(x)) is a field having 121 elements.

Proof. To show p(x) is irreducible, we only need to show -1 is not a square in \mathbb{Z}_{11} , by Lemma 8.7. Since $(-a)^2 = a^2$, we only need to consider $a = 0, 1, \ldots, 5$. Therefore,

$$0^2 = 0$$
, $1^2 = 1$, $2^2 = 4$, $3^2 = 9$, $4^2 = 16 = 5$, $5^2 = 25 = 3$,

so p(x) is indeed irreducible. Note that $\mathbb{Z}[i]/\langle 11 \rangle$ is a field of 121 elements, proven in Midterm 1 challenge problem 2. Since there is a natural inclusion $F \hookrightarrow \mathbb{Z}[i]/\langle 11 \rangle$, the universal property of polynomial rings gives us a unique ring homomorphism $\phi \colon F[x] \to \mathbb{Z}[i]/\langle 11 \rangle$ that sends x to i. Let I be the kernel of ϕ . Since F[x] is an Euclidean domain and thus a PID, $I = \langle a \rangle$, for some noninvertible $a \in F[x]$. We already know $x^2 + 1 \in I$. However, since $x^2 + 1$ is irreducible, $x^2 + 1$ and a are associates, and thus $I = \langle x^2 + 1 \rangle$. By the First Isomorphism Theorem for rings, $F[x]/\langle x^2 + 1 \rangle \simeq \mathbb{Z}[i]/\langle 11 \rangle$, and this completes the proof.

(b) Let $p(x) = x^3 + x + 4 \in F[x]$; show that p(x) is irreducible in F[x] and that F[x]/(p(x)) is a field having 11^3 elements.

Proof. To show p(x) is irreducible, we only need to show p(x) does not have a root in \mathbb{Z}_{11} . Therefore,

$$0^{3} + 0 + 4 = 4$$
, $1^{3} + 1 + 4 = 6$, $2^{3} + 2 + 4 = 3$, $3^{3} + 3 + 4 = 1$
 $4^{3} + 4 + 4 = 6$, $5^{3} + 5 + 4 = 2$, $6^{3} + 6 + 4 = 6$, $7^{3} + 7 + 4 = 2$
 $5^{3} + 5 + 4 = 7$, $9^{3} + 9 + 4 = 5$, $10^{3} + 10 + 4 = 2$.

so p(x) is indeed irreducible. Note that since F is a field, F[x] is an Euclidean domain and thus a PID. Hence, for any ideal $I = \langle k \rangle$ such that $I \neq F[x]$ and contains $\langle x^3 + x + 4 \rangle$, we have $I = \langle x^3 + x + 4 \rangle$, as $x^3 + x + 4$ is irreducible. It follows that $\langle x^3 + x + 4 \rangle$ is maximal, and thus $F[x]/\langle x^3 + x + 4 \rangle$ is a field. It remains to show that $F[x]/\langle x^3 + x + 4 \rangle$ contains 11^3 elements. Note that any $f(x) \in F[x]$ can be written in the unique form of $g(x)(x^3 + x + 4) + (ax^2 + bx + c)$, and thus $f(x) + \langle x^3 + x + 4 \rangle = (ax^2 + bx + c) + \langle x^3 + x + 4 \rangle$, for some $a, b, c \in F[x]$. Since there are 11^3 possible sequence of a, b, c, F[x]/(p(x)) has 11^3 elements. \square

Construct a field having p^2 elements, for p an odd prime.

Proof. Consider the field $\mathbb{F}_p[x]/\langle g(x)\rangle$, for some irreducible quadratic $g(x)\in \mathbb{F}_p[x]$. We first show that such g(x) exists. Suppose that a monic quadratic $f(x)=x^2+ax+b\in \mathbb{F}_p[x]$ is reducible. Then f(x)=(x+m)(x+n), so we need to solve for $\begin{cases} m+n=a\\ mn=b \end{cases}$. However, since \mathbb{F}_p is a field, there exists a unique solution to m,n. This means that there is a bijection between the reducible monic quadratics in $\mathbb{F}_p[x]$ and the unordered pairs of elements in \mathbb{F}_p . Since there are $\binom{p}{2}+p$ possibilities of unordered pairs in \mathbb{F}_p , there are $\binom{p}{2}+p$ reducible monic quadratics, and thus the number of irreducible monic quadratics is $p^2-\binom{p}{2}+p>0$. Therefore, there exists an irreducible monic quadratic $g(x)\in \mathbb{F}_p[x]$. Note that \mathbb{F}_p is a field, so $\mathbb{F}_p[x]$ is an Euclidean domain and thus a PID. Hence, $\langle g(x)\rangle$ is maximal, as g(x) is irreducible, so $\mathbb{F}_p[x]/\langle g(x)\rangle$ is indeed a field. It remains to show that $\mathbb{F}_p[x]/\langle g(x)\rangle$ contains p^2 elements. Since $\mathbb{F}_p[x]$ is an Euclidean domain, any polynomial k(x) in $\mathbb{F}_p[x]$ can be written in the unique form of $k(x)=h(x)g(x)+(\alpha x+\beta)$, as g(x) is of degree two. Since, $k(x)+\langle g(x)\rangle=(\alpha x+\beta)+\langle g(x)\rangle$, the left cosets of $\langle g(x)\rangle$ are characterized by the remainders of polynomials in $\mathbb{F}_p[x]$ after divided by g(x), and there are p^2 of them. Hence, we conclude that $\mathbb{F}_p[x]/\langle g(x)\rangle$ has p^2 elements.

In Example 5, show that because g(x) is irreducible in $\mathbb{Q}[x]$, then so is f(x).

Proof. Since 5 divides coefficients of all terms except for that of the largest one in $g(x) = x^4 + 5x^3 + 10x^2 + 10x + 5$ and 25 also does not divide 5, it meets the Eisenstein Criteria and thus g(x) is irreducible in $\mathbb{Q}[x]$. Note that the map $\mathbb{Q}[x] \to \mathbb{Q}[x]$ that sends h(x) to h(x+1) is an one-to-one correspondence. Suppose for contradiction that f(x) = w(x)u(x), for some nonconstant $w(x), u(x) \in \mathbb{Q}[x]$. Then, w(x+1)u(x+1) = g(x), contradiction. Hence, f(x) is also irreducible.

Prove that $f(x) = x^3 + 3x + 2$ is irreducible in $\mathbb{Q}[x]$.

Proof. By Gauss' Lemma, it suffices to show that f(x) has not roots in $\mathbb{Z}[x]$. Since $x^3 + 3x + 2 = x(x^2 + 3) + 2$, we need to show that $x(x^2 + 3) \neq -2$ for any $x \in \mathbb{Z}$. Suppose that it is false. We know -2 can be factorized into $-1 \cdot 2$ or $-2 \cdot 1$, so x is either -1 or -2, as $x^2 + 3 > 0$. However, $x^2 + 3 > 2$ for x = 1, 2, contradiction. Hence, $x(x^2 + 3) \neq -2$, so f(x) is irreducible in $\mathbb{Z}[x]$.

Show that there is an infinite number of integers a such that $f(x) = x^7 + 15x^2 - 30x + a$ is irreducible in $\mathbb{Q}[x]$. What a's do you suggest?

Proof. By Eisenstein's Criteria, f(x) is irreducible in $\mathbb{Q}[x]$ if there is a prime p that divides 15, -30, and a, but not 1 and p^2 does not divide a^2 . We show that any integers in $S = (\langle 3 \rangle \backslash \langle 9 \rangle) \cup (\langle 5 \rangle \backslash \langle 25 \rangle)$ suffices to be a. Suppose $a \in S$. a is a multiple of 3 or 5. If a is a multiple of 3, we may pick p = 3 and f(x) would meet Eisenstein's Criteria, as $9 \nmid a$. Otherwise, we may pick p = 5 and f(x) would also meet Eisenstein's Criteria, as $25 \nmid a$.

Let F be the field and φ an automorphism of F[x] such that $\varphi(a) = a$ for every $a \in F$. If $f(x) \in F[x]$, prove that f(x) is irreducible in F[x] if and only if $g(x) = \varphi(f(x))$ is.

Proof. Suppose that f(x) is irreducible in F[x]. $f(x) \neq k(x)h(x)$, for any noninvertible $k(x), h(x) \in F[x]$. Since φ is an automorphism, $g(x) = \varphi(f(x)) \neq \varphi(k(x)h(x)) = \varphi(k(x))\varphi(h(x))$, and thus g(x) is irreducible. This also applies for φ^{-1} , and thus the converse is also true.

Let F be a field. Define the mapping

$$\varphi: F[x] \to F[x]$$
 by $\varphi(f(x)) = f(x+1)$

for every $f(x) \in F[x]$. Prove that φ is an automorphism of F[x] such that $\varphi(a) = a$ for every $a \in F$.

Proof. Let $f(x), g(x) \in F[x]$. Suppose that f(x) = g(x). Then, $\varphi(f(x)) = f(x+1) = g(x+1) = \varphi(g(x))$, so φ is well defined. Since there exists $f(x-1) \in F[x]$ such that $\varphi(f(x-1)) = f(x)$, φ is surjective. Let f(x) be in the kernel of φ . Then, $\varphi(f(x)) = f(x+1) = 0$, so f(x) = 0. Hence, the kernel is trivial, and thus φ is injective. Since the constant polynomials do not depend on x, $\varphi(a) = a$, for all $a \in F$. Since $\varphi(f(x)g(x)) = f(x+1)g(x+1) = \varphi(f(x))\varphi(g(x))$, $\varphi(f(x)+g(x)) = f(x+1)+g(x+1) = \varphi(f(x))+\varphi(g(x))$ and $\varphi(1) = 1$, φ is an automorphism.

Let F be a field and $b \neq 0$ an element of F. Define the mapping

$$\varphi: F[x] \to F[x]$$
 by $\varphi(f(x)) = f(bx)$ for every $f(x) \in F[x]$.

Prove that φ is an automorphism of F[x] such that $\varphi(a) = a$ for every $a \in F$.

Proof. Let $f(x), g(x) \in F[x]$. Suppose that f(x) = g(x). Then, $\varphi(f(x)) = f(bx) = g(bx) = \varphi(g(x))$, so φ is well defined. Since F is a field, there exists $f(b^{-1}x) \in F$ such that $\varphi(f(b^{-1}x)) = f(x)$, and thus φ is surjective. Let f(x) be in the kernel of φ . Then, $\varphi(f(x)) = f(bx) = 0$, so f(x) = 0. Hence, the kernel is trivial, and thus φ is injective. Since the constant polynomials do not depend on x, $\varphi(a) = a$, for all $a \in F$. Since $\varphi(f(x)g(x)) = f(bx)g(bx) = \varphi(f(x))\varphi(g(x))$, $\varphi(f(x) + g(x)) = f(bx) + g(bx) = \varphi(f(x)) + \varphi(g(x))$ and $\varphi(1) = 1$, φ is an automorphism.

Problem 11

Let F be a field, $b \neq 0$, c elements of F. Define the mapping

$$\varphi: F[x] \to F[x]$$
 by $\varphi(f(x)) = f(bx + c)$ for every $f(x) \in F[x]$.

Prove that φ is an automorphism of F[x] such that $\varphi(a) = a$ for every $a \in F$.

Proof. Define the mapping

$$\phi: F[x] \to F[x]$$
 by $\phi(f(x)) = f(bx)$ for every $f(x) \in F[x]$.

By Problem 10, we already know ϕ is an automorphism of F[x] such that $\phi(a) = a$ for every $a \in F$.

Define the mapping

$$\psi: F[x] \to F[x]$$
 by $\psi(f(x)) = f(x+1)$

for every $f(x) \in F[x]$. By Problem 9, we already know ψ is an automorphism of F[x] such that $\psi(a) = a$ for every $a \in F$.

Since

$$\underbrace{\psi \circ \cdots \circ \psi}_{c \text{ times}} \circ \phi(f(x)) = \underbrace{\psi \circ \cdots \circ \psi}_{c \text{ times}} (f(bx)) = \underbrace{\psi \circ \cdots \circ \psi}_{c-1 \text{ times}} (f(bx+1)) = f(bx+c) = \varphi(f(x)),$$

 φ is an automorphism of F[x] such that $\varphi(a) = a$ for every $a \in F$.

Let φ be an automorphism of F[x], where F is a field, such that $\varphi(a) = a$ for every $a \in F$. Prove that if $f(x) \in F[x]$, then $\deg \varphi(f(x)) = \deg f(x)$.

Proof. Since $\varphi(a) = a$ for every $a \in F$, φ is the unique ring homomorphism corresponding to the natural inclusion $F \hookrightarrow F[x]$, by the universal property of polynomial rings. Since φ maps

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$$

to

$$a_n(\varphi(x))^n + a_{n-1}(\varphi(x))^{n-1} + \dots + a_0,$$

it suffices to show that $\varphi(x)$ is of degree 1, as $\deg \varphi(f(x)) = \deg \varphi(x) \deg f(x)$. $\deg \varphi(x)$ cannot be 0, otherwise $\varphi(f(x)) \in F$, then φ is not surjective and thus not an automorphism. Suppose for the sake of contradiction that $\deg \varphi(x) > 1$. Then, for non-constant $f(x) \in F[x]$, $\deg \varphi(f(x)) = \deg \varphi(x) \deg f(x) > \deg f(x) \geq 1$, which implies that the image of the automorphism φ does not contain polynomials of degree 1, contradiction.

Problem 13

Let φ be an automorphism of F[x], where F is a field, such that $\varphi(a) = a$ for every $a \in F$. Prove there exist $b \neq 0$, c in F such that $\varphi(f(x)) = f(bx + c)$ for every $f(x) \in F[x]$.

Proof. Since $\varphi(a) = a$ for every $a \in F$, φ is the unique ring homomorphism corresponding to the natural inclusion $F \hookrightarrow F[x]$, by the universal property of polynomial rings. By problem 12, we know $\varphi(x)$ is a polynomial of degree 1 if φ is an automorphism, say bx + c. Then,

$$\varphi(f(x)) = \varphi(a_n x^n + a_{n-1} x^{n-1} + \dots + a_0)$$

= $a_n(\varphi(x))^n + a_{n-1}(\varphi(x))^{n-1} + \dots + a_0$
= $f(\varphi(x)) = f(bx + c),$

and we are done.

Find a nonidentity automorphism φ of $\mathbb{Q}[x]$ such that φ^2 is the identity automorphism of $\mathbb{Q}[x]$.

Proof. From the natural inclusion $\mathbb{Q} \hookrightarrow \mathbb{Q}[x]$, the universal property gives us a ring homomorphism ϕ such that $\phi(x) = -x$. ϕ is obviously injective, as its kernel is trivial. For $p(x) \in \mathbb{Q}[x]$, there exists $p(-x) \in \mathbb{Q}[x]$ such that $\phi(p(-x)) = p(x)$, so ϕ is surjective. It follows that ϕ is a bijection and thus an automorphism. Now consider ϕ^2 . $\phi^2(p(x)) = \phi(\phi(p(x))) = \phi(p(-x)) = p(x)$, and thus ϕ^2 is the identity automorphism. \square

Show that in Problem 14 you do not need the assumption $\varphi(a) = a$ for every $a \in \mathbb{Q}$ because any automorphism of $\mathbb{Q}[x]$ automatically satisfies $\varphi(a) = a$ for every $a \in \mathbb{Q}$.

Proof. Let ϕ be a ring automorphism of $\mathbb{Q}[x]$. We know $\phi(0)=0$ and $\phi(1)=1$. Since $\phi(x)+\phi(y)=\phi(x+y)$, we may prove by induction that f(z)=f(z-1)+f(1)=zf(1)=z, for all $z\in\mathbb{Z}$. Suppose that there exists $\frac{p}{q}\in\mathbb{Q}$ such that $\phi(\frac{p}{q})\neq\frac{p}{q}$, $p,q\in\mathbb{Z}$. Then, $p=\phi(p)=\phi(q)\phi(\frac{p}{q})\neq q\cdot\frac{p}{q}$, contradiction. Hence, $\phi(a)=a$, for all $a\in\mathbb{Q}$.

Problem 16

Let $\mathbb C$ be the field of complex numbers. Given an integer n>0, exhibit an automorphism φ of $\mathbb C[x]$ of order n.

Proof. Consider $\varphi(f(x)) = f(e^{\frac{2i\pi}{n}}x)$. By problem 11, φ is an automorphism. Since

$$\varphi^n(f(x)) = \underbrace{\varphi \circ \cdots \circ \varphi}_{n \text{ times}}(f(x)) = \varphi\left(\left(\prod^n e^{\frac{2i\pi}{n}}\right)x\right) = \varphi(e^{2i\pi}x) = \varphi(x),$$

 φ is of order n.

Problem 17

Given a ring R, let S = R[x] be the ring of polynomials in x over R, and let T = S[y] be the ring of polynomials in y over S. Show that:

(a) Any element f(x,y) in T has the form $\sum a_{ij}x^iy^j$, where the a_{ij} are in R.

Proof. Let
$$f(x,y) \in T$$
. Since $f(x,y) \in S[y]$, $f(x,y) = \sum_j p(x)y^j = \sum_j \left(\sum_i a'_{ij}x^i\right)y^j = \sum_j a_{ij}x^iy^j$, for $p(x) \in S$ and $a_{ij}, a'_{ij} \in R$.

(b) In terms of the form of f(x,y) in T given in Part (a), give the condition for the equality of two elements f(x,y) and g(x,y) in T.

Proof.
$$f(x,y) = \sum f_{ij}x^iy^j = \sum g_{ij}x^iy^j = g(x,y)$$
, if and only if $f_{ij} = g_{ij}$, for all i,j .

(c) In terms of the form for f(x,y) in Part (a), give the formula for f(x,y) + g(x,y), for f(x,y), g(x,y) in T.

Proof.
$$f(x,y) + g(x,y) = \sum f_{ij}x^iy^j + \sum g_{ij}x^iy^j = \sum (h_{ij} + g_{ij})x^iy^j$$
.

(d) Give the form for the product of f(x,y) and g(x,y) if f(x,y) and g(x,y) are in T. (T is called the ring of polynomials in two variables over R, and is denoted by R[x,y]).

Proof.

$$f(x,y)g(x,y) = \left(\sum_{i,j} f_{ij}x^i y^j\right) \left(\sum_{i,j} g_{ij}x^i y^j\right) = \sum_{i,j} \left(\sum_{m+n=i,p+q=j} f_{mp}g_{nq}\right) x^i y^j.$$

Since the product is of the form of $\sum a_{ij}x^iy^j$, it is in T.

If D is an integral domain, show that D[x,y] is an integral domain.

Proof. Since D is a commutative ring, $D[x][y] \simeq D[x,y]$, by Lemma 9.12. By Lemma 7.4, D[x] is also an integral domain, and thus D[x][y] is also an integral domain.