SUPERIMPOSED EXTREMAL GRAPHS

Ray Tsai

1 Introduction

Given graph G with n vertices, let G_1, \ldots, G_m be subgraphs of G. Let F be a graph with at least one edge. Our goal is to determine the maximum sum of the number of edges over all G_i 's, i.e. $\sum_{i=1}^m e(G_i)$, with the constraint of $E(G_i) \cap E(G_j)$ not including some graph F for all distinct i, j.

2 Content

- Examine the case where G_1, \ldots, G_m are induced
 - The case $F = K_3$.
 - Color-critical F.
 - Generalize to any non-bipartite F.
- Examine the non-induced case
 - The case $F = K_3$.

3 Induced Case

In this section, we assume that G_1, \ldots, G_m are induced subgraphs of G. Given graph H, let $\mathcal{T}(H)$ be the graph with an additional vertex connecting to all vertices in H.

3.1 Triangle Case

Theorem 3.1. Suppose that $E(G_i) \cap E(G_j)$ does not include K_3 for distinct i, j. Then

$$\sum_{i=1}^{n} e(G_i) \le n \left\lfloor \frac{n^2}{4} \right\rfloor,\,$$

with equality if and only if $G_1 = G_2 = \cdots = G_n = K_{\lceil \frac{n}{2} \rceil, \lceil \frac{n}{2} \rceil}$.

Lemma 3.2. Suppose $E(G_1) \cap E(G_2)$ does not include K_3 . Then

$$e(G_1) + e(G_2) \le 2 \left\lfloor \frac{n^2}{4} \right\rfloor,$$

with equality if and only if $G_1 = G_2 = K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}$, unless n is odd and $G_1 = K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor}$ and $G_2 = \mathcal{T}(K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor})$.

Proof. Let $C = V(G_1) \cap V(G_2)$, the set of vertices in both G_1 and G_2 . Let $A = V(G_1) \setminus C$, and let $B = V(G_2) \setminus C$. For simplicity, put a = |A|, b = |B|, and c = |C|. We may assume that a + b + c = n.

We now find an upper bound of $e(G_1) + e(G_2)$ with respect to a, b, c. Since G_1, G_2 are induced graphs, we have $\{u, v\} \in E(G_1)$ if and only if $\{u, v\} \in E(G_2)$, for $u, v \in C$. This implies the subgraph of G_1 induced by C is identical to the subgraph of G_2 induced by C. In other words, $E(G_1[C]) = E(G_2[C]) = E(G_i) \cap E(G_j)$, which is triangle-free. By Mantel's Theorem, $e(G_1[C]) \leq \left\lfloor \frac{e^2}{4} \right\rfloor$, with equality if and only if $G_1[C] = K_{\left\lceil \frac{e}{2} \right\rceil, \left\lceil \frac{e}{2} \right\rceil}$. Hence, we may write

$$e(G_1) + e(G_2) \le {|V(G_1)| \choose 2} + {|V(G_2)| \choose 2} - 2\left[{c \choose 2} - \left\lfloor \frac{c^2}{4} \right\rfloor\right]$$

$$= {a+c \choose 2} + {b+c \choose 2} - 2\left[{c \choose 2} - \left\lfloor \frac{c^2}{4} \right\rfloor\right]. \tag{1}$$

Define f(a, b, c) as the function on the right-hand-side of (1). We show that f(a, b, c) attains its maximum at a = b = 0 and c = n. Note that

$$f(a, b-2, c+2) - f(a, b, c) = \binom{a+c+2}{2} - \binom{a+c}{2}$$
$$-2\left[\binom{c+2}{2} - \binom{c}{2} - \left\lfloor \frac{(c+2)^2}{4} \right\rfloor + \left\lfloor \frac{c^2}{4} \right\rfloor\right]$$
$$= 2(a+c) + 1 - 2[2c+1 - (c+1)]$$
$$= 2a+1 > 0.$$

By symmetry, f(a-2,b,c+2) > f(a,b,c), and thus f attains its maximum when c is n-1 or n, that is, $a+b \le 1$. Equation (1) now yields,

$$e(G_1) + e(G_2) \le f(a, b, c) \le 2 \left| \frac{n^2}{4} \right|.$$

Assume that a=0. When c=n, the equality holds only if $G_1=G_2=K_{\left\lceil\frac{n}{2}\right\rceil,\left\lfloor\frac{n}{2}\right\rfloor}$. If c=n-1, then the equality holds only if n is odd and $G_1=G[C]=K_{\left\lceil\frac{n-1}{2}\right\rceil,\left\lfloor\frac{n-1}{2}\right\rfloor}$ and G_2 is G_1 with all vertices connected with the only remaining vertex, that is, $G_2=\mathcal{T}(K_{\left\lceil\frac{n-1}{2}\right\rceil,\left\lfloor\frac{n-1}{2}\right\rfloor})$.

We now give the proof for Theorem 3.1:

Proof of Theorem 3.1. We may assume that n > 1. Put $G_{n+i} = G_i$. By Lemma 3.2.

$$\sum_{i=1}^{n} e(G_i) = \frac{1}{2} \sum_{i=1}^{n} (e(G_i) + e(G_{i+1})) \le \frac{1}{2} \sum_{i=1}^{n} 2 \left\lfloor \frac{n^2}{4} \right\rfloor = n \left\lfloor \frac{n^2}{4} \right\rfloor.$$

Suppose the equality holds. By Lemma 3.2, we are done if n is even. Suppose n is odd and $G_i = \mathcal{T}(K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor})$ for some i. By Lemma 3.2, one of G_i and G_{i+1} is $K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor}$ and the other is $\mathcal{T}(K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor})$, for all i. Hence, $G_{i+1} = K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor}, G_{i+2} = \mathcal{T}(K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor}), \ldots$ and the alternation proceeds. But then $G_{n+i} = G_i = K_{\lceil \frac{n-1}{2} \rceil, \lfloor \frac{n-1}{2} \rfloor}$ as n is odd, and this contradiction completes the proof.

3.2 Color-Critical Case

We may generalize the triangle case to any color-critical F in the same manner. Let Turán graph $T_r(n)$ denote the complete balanced r-partite graph. We know for a fact that, for large enough n, if F is a (r+1)-color-critical graph with $r \geq 2$, then $ex(n, F) = ex(n, K_{r+1})$, and the extremal graph is $T_r(n)$.

Theorem 3.3. Let F be a (r+1)-color-critical graph with $r \geq 2$. Suppose that $E(G_i) \cap E(G_j)$ is F-free for distinct i, j. For large enough n,

$$\sum_{i=1}^{n} e(G_i) \le n \cdot \exp(n, F),$$

with equality if and only if $G_1 = G_2 = \cdots = G_n = T_r(n)$.

Lemma 3.4. Let F be a (r+1)-color-critical graph with $r \geq 2$. Suppose $E(G_1) \cap E(G_2)$ does not include F. For large enough n,

$$e(G_1) + e(G_2) \le 2 \cdot \operatorname{ex}(n, F),$$

with equality if and only if $G_1 = G_2 = T_r(n)$, unless r = 2, n is odd, G_1 is an (n-1)-vertex extremal graph for F, and $G_2 = \mathcal{T}(G_1)$.

Proof. Let $C = V(G_1) \cap V(G_2)$, the set of vertices in both G_1 and G_2 . Let $A = V(G_1) \setminus C$, and let $B = V(G_2) \setminus C$. For simplicity, put a = |A|, b = |B|, and c = |C|. We may assume that a + b + c = n. By the same argument in Lemma 3.2, $E(G_1[C]) = E(G_2[C]) = E(G_i) \cap E(G_i)$, which is F-free. Thus,

$$E(G_1[C]) \le ex(n, F) = ex(n, K_{r+1}),$$

with equality if and only if $G_1[C] = T_r(c)$. Hence,

$$e(G_1) + e(G_2) \le {a+c \choose 2} + {b+c \choose 2} - 2\left[{c \choose 2} - \exp(c, K_{r+1})\right].$$
 (2)

Define f(a, b, c) as the function on the right-hand-side of (2). We show that f(a, b, c) attains its maximum at a = b = 0 and c = n. Note that

$$f(a, b-2, c+2) - f(a, b, c) = \binom{a+c+2}{2} - \binom{a+c}{2}$$
$$-2\left[\binom{c+2}{2} - \binom{c}{2} - \exp(c+2, K_{r+1}) + \exp(c, K_{r+1})\right]$$
$$= 2a - 2c - 1 + 2[\exp(c+2, K_{r+1}) - \exp(c, K_{r+1})].$$

Since $r \geq 2$,

$$\begin{aligned} \exp(c+2,K_{r+1}) - \exp(c,K_{r+1}) &= \exp(c+2,K_{r+1}) - \exp(c+1,K_{r+1}) \\ &+ \exp(c+1,K_{r+1}) - \exp(c,K_{r+1}) \\ &= \left(c+2 - \left\lceil \frac{c+2}{r} \right\rceil \right) + \left(c+1 - \left\lceil \frac{c+1}{r} \right\rceil \right) \\ &\geq 2c+3 - \left(\left\lceil \frac{c+2}{2} \right\rceil + \left\lceil \frac{c+1}{2} \right\rceil \right) = c+1, \end{aligned}$$

so $f(a, b-2, c+2) - f(a, b, c) \ge 2a+1 > 0$. By symmetry, f(a-2, b, c+2) > f(a, b, c), and thus f attains its maximum when c is n-1 or n, that is, $a+b \le 1$. Equation (2) now yields,

$$e(G_1) + e(G_2) \le \max[2 \cdot \exp(n, K_{r+1}), 2 \cdot \exp(n-1, K_{r+1}) + n - 1].$$

Assume that a = 0. Since

$$2 \cdot \exp(n, K_{r+1}) - \left[2 \cdot \exp(n - 1, K_{r+1}) + n - 1\right] = 2\left(n - \left\lceil \frac{n}{r} \right\rceil\right) - n + 1 \qquad (3)$$

$$\geq n + 1 - 2\left\lceil \frac{n}{2} \right\rceil \geq 0,$$

we have

$$e(G_1) + e(G_2) \le 2 \cdot \exp(n, K_{r+1}).$$
 (4)

If c = n, the equality for (4) holds only if $G_1 = G_2 = T_r(n)$. Suppose c = n - 1 and the equality holds. Observe that the equation (3) is equal to zero only when r = 2 and n is odd. Hence, if c = n - 1, the equality for (4) could only be achieved when r = 2, n is odd, $G_1 = T_r(n - 1)$, and $G_2 = \mathcal{T}(G_1)$.

Theorem 3.3 now follows from Lemma 3.5 and the same arument as in Theorem 3.1.

3.3 Non-bipartite Case

Theorem 3.5. Let F be (r+1)-colorable, with $r \geq 2$. Suppose that $E(G_i) \cap E(G_j)$ is F-free for distinct i, j. For large enough n,

$$\sum_{i=1}^{n} e(G_i) \le n \cdot \exp(n, F),$$

with equality if and only if $G_1 = G_2 = \cdots = G_n$ are n-vertex extremal graphs for F.

By the same argument as in Theorem 3.1, it suffices to prove the following lemma:

Lemma 3.6. Let F be (r+1)-colorable, with $r \geq 2$. Suppose $E(G_1) \cap E(G_2)$ does not include F. For large enough n,

$$e(G_1) + e(G_2) < 2 \cdot ex(n, F),$$

with equality if and only if $G_1 = G_2$ are n-vertex extremal graphs for F, unless n is odd, G_1 is an (n-1)-vertex extremal graph for F, and $G_2 = \mathcal{T}(G_1)$.

Proof. Let $C = V(G_1) \cap V(G_2)$, the set of vertices in both G_1 and G_2 . Let $A = V(G_1) \setminus C$, and let $B = V(G_2) \setminus C$. For simplicity, put a = |A|, b = |B|, c = |C|, and $r = \chi(F)$.

We now find an upper bound of $e(G_1) + e(G_2)$ with respect to a, b, c. Since G_1, G_2 are induced graphs, we have $E(G_1[C]) = E(G_2[C]) = E(G[C]) = E(G_i) \cap E(G_i)$, which is F-free. Hence, we may write

$$e(G_1) + e(G_2) \le {a+c \choose 2} + {b+c \choose 2} - 2\left[{c \choose 2} - \operatorname{ex}(c, F)\right].$$
 (5)

Define f(a, b, c) as the function on the right-hand-side. We show that f(a, b, c) attains its maximum at a = b = 0 and c = n. By a theorem of Simonovits, for large enough c, $ex(c, F) = ex(c, K_{r+1}) + ex(c, \tilde{F})$, where \tilde{F} is the family of residue subgraphs of F after F is embedded into $T_r(c)$. Hence, we may write

$$f(a, b-2, c+2) - f(a, b, c) = {a+c+2 \choose 2} - {a+c \choose 2}$$
$$-2\left[{c+2 \choose 2} - {c \choose 2} - \exp(c+2, F) + \exp(c, F)\right]$$
$$\ge 2a - 2c - 1 + 2\left[\exp(c+2, K_{r+1}) - \exp(c, K_{r+1})\right] > 0,$$

as shown in the proof of Lemma 3.4. By symmetry, we also have f(a-2,b,c+2) > f(a,b,c). Thus, f attains its maximum when c is n-1 or n. Equation (5) now yields,

$$e(G_1) + e(G_2) \le \max [2 \cdot \exp(n, F), 2 \cdot \exp(n - 1, F) + n - 1].$$

Assume that a = 0. Since

$$2 \cdot \operatorname{ex}(n, F) - [2 \cdot \operatorname{ex}(n - 1, F) + n - 1] \ge 2[\operatorname{ex}(n, K_{r+1}) - \operatorname{ex}(n - 1, K_{r+1})]$$
(6)
$$- n + 1$$
(7)

$$= 2\left(n - \left\lceil \frac{n}{r} \right\rceil\right) - n + 1$$

$$\geq n + 1 - 2\left\lceil \frac{n}{2} \right\rceil \geq 0,$$
(8)

we have

$$e(G_1) + e(G_2) \le 2 \cdot \operatorname{ex}(n, F). \tag{9}$$

If c = n, the equality for (9) holds only if $G_1 = G_2$ are n-vertex extramal graphs for F. Suppose c = n - 1 and the equality holds. Observe that equation (6) is equal to zero only when r = 2 and n is odd. Hence, if c = n - 1, the equality for (9) could only be achieved when r = 2, n is odd, G_1 is an (n - 1)-vertex extremal graph for F, and $G_2 = \mathcal{T}(G_1)$.

4 Non-induced Case

We now remove the assumption that G_1, \ldots, G_m are induced subgraphs. Again, we first consider the triangle-free case.

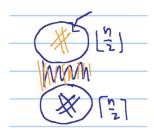
4.1 Triangle-Free Case

Theorem 4.1. Suppose that $E(G_i) \cap E(G_j)$ does not include K_3 for distinct i, j. Then,

$$\sum_{i=1}^{m} e(G_i) \le \binom{n}{2} + (m-1) \left\lfloor \frac{n^2}{4} \right\rfloor.$$

The natural extremal construction is to simply put $G_1 = K_n$ and the rest as $K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}$. However, even for m = 2 there are multiple extremal constructions.

For example, put G_1 as $K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}$ and connect all possible pairs of vertices on the left part. On the other hand, put G_2 as $K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}$ and connect all possible pairs of vertices on the right part.



Then, $E(G_1) \cap E(G_2)$ is triangle-free and

$$e(G_1) + e(G_2) = 2e(G_1 \cap G_2) + e(G_1 \Delta G_2)$$

= $2 \left| \frac{n^2}{4} \right| + \binom{n}{2} - \left| \frac{n^2}{4} \right| = \binom{n}{2} + \left| \frac{n^2}{4} \right|.$

Here we introduce the notation of *compression* of G_1, \ldots, G_m , which is the graph obtained by moving all edges in only one G_i to G_1 . Performing compression for the case m = 2, we get

$$e(G_1) + e(G_2) = e(G_1) + e(G_1 \cap G_2) \le \binom{n}{2} + \left\lfloor \frac{n^2}{4} \right\rfloor,$$

with equality if and only if $G_1 = K_n$ and $G_2 = G_1 \cap G_2 = K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}$. That is, the extremal graphs for m = 2 are isomorphic, up to compression.

We use the notion of compression to solve for m = 3, 4:

Theorem 4.2. Suppose that $E(G_i) \cap E(G_j)$ does not include K_3 for distinct i, j. Then,

$$e(G_1) + e(G_2) + e(G_3) \le \binom{n}{2} + 2 \left| \frac{n^2}{4} \right|,$$

with equality if and only if $G_1 = K_n$ and $G_2, G_3 = K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}$ after compression.

Proof. Compressing G_1, G_2, G_3 yields

$$\begin{split} e(G_1) + e(G_2) + e(G_3) &= e(G_1) + e(G_1 \cap G_2) + e(G_1 \cap G_3) \\ &+ 2[e(G_2 \cap G_3) - e(G_1 \cap G_2 \cap G_3)] \\ &\leq e(G_1) + e(G_1 \cap G_2) + e(G_1 \cap G_3) \quad \text{(should be lowerbound)} \\ &\leq \binom{n}{2} + 2 \left\lfloor \frac{n^2}{4} \right\rfloor, \end{split}$$

with equality if and only if $G_1 = K_n$ and $G_1 \cap G_2, G_1 \cap G_3 = K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}$. The result now follows.

TODO: solve m = 4.