

# A Layman's Introduction to Knots and Jones Polynomials

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# DEFINITIONS

Knot = A piece-wise linear (or smooth) embedding of  $S^1$  into  $\mathbb{R}^3$  or  $S^3$

Link = A p.l. (or smooth) embedding of disjoint circles into  $\mathbb{R}^3$  or  $S^3$

# DEFINITIONS

Knot = A piece-wise linear (or smooth) embedding of  $S^1$  into  $\mathbb{R}^3$  or  $S^3$

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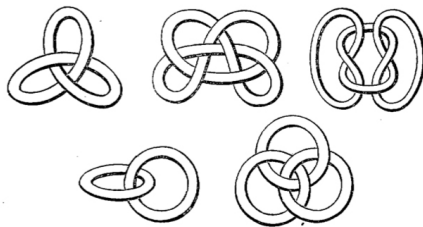


Figure: Illustrations of knots and links, including a trefoil knot, top left, in an 1869 paper by Lord Kelvin on his knotted vortex theory of atoms.

# KNOT EQUIVALENCE

Two knots are equivalent if one knot can be pushed about smoothly, without intersecting itself, to coincide with another knot.

Figure: Deformation to an unknot

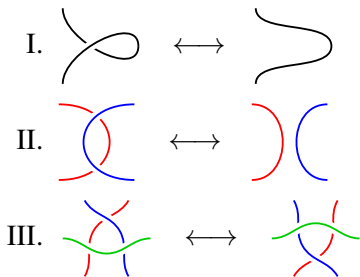
unknot = the boundary of a simplicial disk

# REIDEMEISTER MOVES

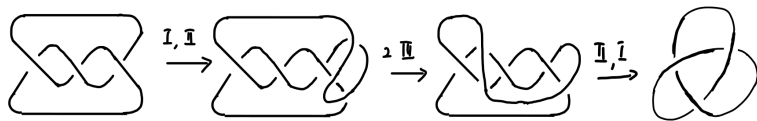
## Theorem (Reidemeister 1927)

*Two knots are equivalent if and only if all their diagrams are connected by a finite sequence of Reidemeister moves of Type I, II or III.*

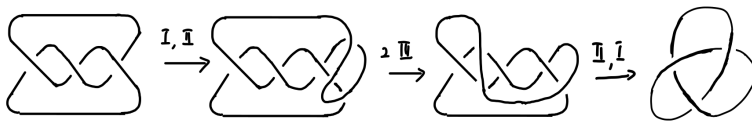
In this case, we also say their diagrams are equivalent.



# REIDEMEISTER MOVES

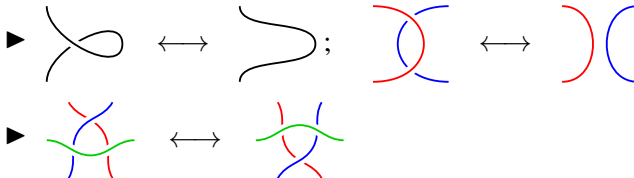


# REIDEMEISTER MOVES



## Remark

The following moves can be seen (exercise) to be consequences of the three types of Reidemeister move.



## Recognition Problem

Given two knots/knot diagrams, determining the (non-)equivalence of two knots.

## Unknotting Problem

Given a knot (diagram), determining whether it is the unknot.

- ▶ Both problems are NP.
- ▶  $n$  = the sum of crossing numbers of two diagrams; an upper bound on the number of Reidemeister moves is  $2^{2^{\dots^n}}$ , where the height of the tower of 2s is  $10^{1,000,000n}$  (Coward & Lackenby 2014).
- ▶  $c$  = the sum of crossing numbers of an unknot diagram; an upper bound on the number of Reidemeister moves required to arrive at the standard unknot is  $(236c)^{11}$  (Lackenby 2015).



# UNKNOTTING PROBLEM

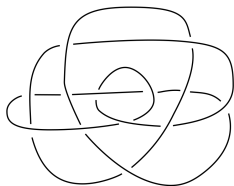


Figure: One of Ochiai's  
unknot

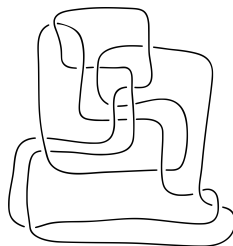


Figure: Thistlethwaite  
unknot

# KNOT COMPLEMENTS

Knot:	$K \subset S^3$
Regular neighbourhood:	$n(K)$
Knot complement:	$\overline{S^3 - n(K)}$

$$K_1 = K_2 \implies \overline{S^3 - n(K_1)} = \overline{S^3 - n(K_2)}$$

$$\text{Invariant of } \overline{S^3 - n(K)} \implies \text{Invariant of } K$$

## Topological and Geometrical Invariants

- ▶  $\pi_1(K) = \pi_1(\overline{S^3 - n(K)})$ , the knot group of  $K$
- ▶ Hyperbolic volume of  $\overline{S^3 - n(K)}$

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- ▶ Hyperbolic volume of  $\overline{S^3 - n(K)}$

Fact: The only knot with infinite cyclic knot group is the unknot.

# DIAGRAMMATIC INVARIANT

## Idea

Knots are equivalent  $\iff$  Knot diagrams are equivalent

Diagrammatic invariants: invariants that respect Reidemeister moves

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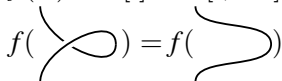
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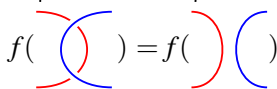
Diagrammatic invariants: invariants that respect Reidemeister moves

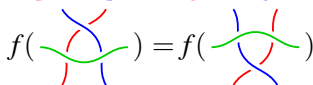
A diagrammatic polynomial invariant:

Knot:  $K \subset S^3$

Knot polynomial:  $f(K) \in \mathbb{Z}[t]$  or  $\mathbb{Z}[t, t^{-1}]$

$$f(\text{Diagram 1}) = f(\text{Diagram 2})$$


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# KAUFFMAN BRACKET

## Definition

The Kauffman bracket is a function from unoriented link diagrams in the oriented plane to Laurent polynomials  $\mathbb{Z}[A, A^{-1}]$ . It maps a diagram  $D$  to  $\langle D \rangle \in \mathbb{Z}[A, A^{-1}]$  and is characterized by

1.  $\langle \bigcirc \rangle = 1$ ;
2.  $\langle L \cup \bigcirc \rangle = (-A^2 - A^{-2}) \langle L \rangle$ ;
3.  $\langle \text{cross} \rangle = A \langle \text{positive crossing} \rangle + A^{-1} \langle \text{negative crossing} \rangle$ .

Or if you tilt your head  $\frac{\pi}{2}$ ,

$$3'. \quad \langle \text{cross} \rangle = A \langle \text{negative crossing} \rangle + A^{-1} \langle \text{positive crossing} \rangle.$$

# KAUFFMAN BRACKET

$$\begin{aligned}\langle \bigcirc \bigcirc \rangle &= (-A^2 - A^{-2}) \langle \bigcirc \rangle \\ &= -A^2 - A^{-2}\end{aligned}$$

$$\begin{aligned}\langle \text{twist} \rangle &= A \langle \text{positive twist} \rangle + A^{-1} \langle \text{negative twist} \rangle \\ &= A \cdot 1 + A^{-1}(-A^2 - A^{-2}) \\ &= -A^{-3}\end{aligned}$$

Bad news: The Kauffman bracket does not respect Reidemeister moves of Type I.

Good news: The Kauffman bracket respects Reidemeister moves of Type II and III.

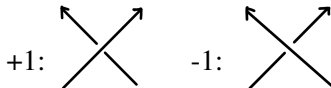
# WRITHE

## Oriented Link

A link with a choice of orientation for each complement

## Definition

The writhe  $w(D)$  of a diagram  $D$  of an oriented link is the sum of signs of the crossings of  $D$ , where each crossing has sign  $+1$  or  $-1$  according to the following:





## Property of Writhe

The writhe  $w(D)$  does not change if  $D$  is changed under Reidemeister moves of type II or III; the writhe  $w(D)$  does change by  $+1$  or  $-1$  if  $D$  is changed under a Reidemeister move of type I. And the writhe of a knot diagram does not depend on the choice of orientation.

For example:

$$w\left(\begin{array}{c} \text{Diagram of two linked circles, both oriented clockwise} \end{array}\right) = +2$$

$$w\left(\begin{array}{c} \text{Diagram of two linked circles, both oriented counter-clockwise} \end{array}\right) = -2$$

$$w\left(\begin{array}{c} \text{Diagram of a trefoil knot} \end{array}\right) = -3$$

# JONES POLYNOMIAL

## Theorem

*Let  $D$  be a diagram of an oriented link  $L$ . Then the expression*

$$(-A)^{-3w(D)} \langle D \rangle$$

*is an invariant of the oriented link  $L$ .*

## Definition-Theorem

The Jones polynomial  $V(L)$  of an oriented link  $L$  is the Laurent polynomial in  $t^{1/2}$  with integral coefficients, defined by

$$V(L) = \left( (-A)^{-3w(D)} \langle D \rangle \right)_{t^{1/2}=A^{-2}} \in \mathbb{Z}[t^{1/2}, t^{-1/2}],$$

where  $D$  is any oriented diagram for  $L$ .

# HOPF LINK

$$\begin{aligned}
 \left\langle \text{Hopf Link} \right\rangle &= A \left\langle \text{Cup Link} \right\rangle + A^{-1} \left\langle \text{Cup Link} \right\rangle \\
 &= A(-A^3) + A^{-1}(-A^{-3}) = -A^4 - A^{-4}
 \end{aligned}$$

$$w(\text{Hopf Link}) = 2; \quad w(\text{Cup Link}) = -2$$

$$V(\text{Hopf Link}) = (-A^{-2} - A^{-10})_{t^{1/2}=A^{-2}} = -t^{1/2} - t^{5/2}$$

$$V(\text{Cup Link}) = (-A^{10} - A^2)_{t^{1/2}=A^{-2}} = -t^{-5/2} - t^{-1/2}$$

# LEFT TREFOIL KNOT

$$\begin{aligned}
 \langle \text{Left Trefoil} \rangle &= A \langle \text{Two-component link} \rangle + A^{-1} \langle \text{Two-component link} \rangle \\
 &= A(-A^3)^2 + A^{-1}(-A^{-4} - A^4) = A^7 - A^3 - A^{-5}
 \end{aligned}$$

$$w(\text{Left Trefoil}) = -3$$

$$V(\text{Left Trefoil}) = (-A^{16} + A^{12} + A^4)_{t^{1/2}=A^{-2}} = -t^{-4} + t^{-3} + t^{-1}$$

# RIGHT TREFOIL KNOT

$$\begin{aligned}
 \left\langle \text{Right Trefoil} \right\rangle &= A \left\langle \text{Trefoil with crossing resolved} \right\rangle + A^{-1} \left\langle \text{Trefoil with crossing resolved} \right\rangle \\
 &= A(-A^4 - A^{-4}) + A^{-1}(-A^{-3})^2 = A^{-7} - A^{-3} - A^5
 \end{aligned}$$

$$w(\text{Right Trefoil}) = 3$$

$$V(\text{Right Trefoil}) = (-A^{16} + A^{12} + A^4)_{t^{1/2}=A^{-2}} = -t^{-4} + t^{-3} + t^{-1}$$

# MIRROR IMAGE

## Mirror Image

Put a mirror aside a knot and the image of the knot is known as the mirror image of the knot, or mathematically, the knot obtained by a reflection in a plane.

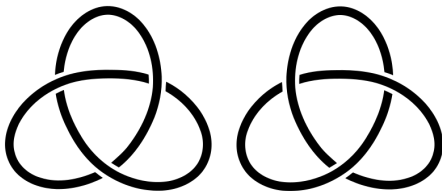


Figure: The left-handed and right-handed trefoil knots are not equivalent

# PROPERTIES OF JONES POLYNOMIALS

## Theorem

*The Jones polynomial of the mirror image  $\bar{L}$  of an oriented link  $L$  is the conjugate under  $t \leftrightarrow t^{-1}$  of the polynomial of  $L$ .*

# PROPERTIES OF JONES POLYNOMIALS

## Theorem

*The Jones polynomial of the mirror image  $\bar{L}$  of an oriented link  $L$  is the conjugate under  $t \leftrightarrow t^{-1}$  of the polynomial of  $L$ .*

## Proof.

The mirror image negates the writhe of any oriented diagram by exchange the positive and negative crossings. The mirror effect on the Kauffman bracket is that  $A$  is replaced by  $A^{-1}$ .

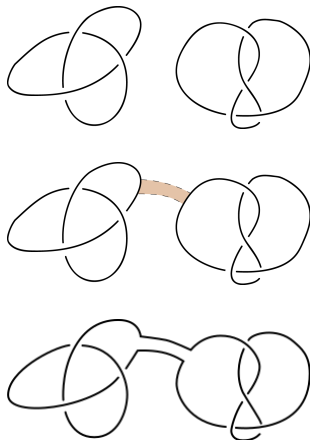
$$V(\text{left-handed trefoil knot}) = -t^{-4} + t^{-3} + t^{-1}$$

$$V(\text{right-handed trefoil knot}) = -t^4 + t^3 + t^1$$



# CONNECTED SUM

Connected sum of (oriented) knots  $K_1 + K_2$ :



# PROPERTIES OF JONES POLYNOMIALS

## Theorem

*Let  $K_1, K_2$  be two (oriented) knots. Then we have*

$$V(K_1 + K_2) = V(K_1)V(K_2).$$

## Sketch of proof

Consider a calculation of the polynomial of  $K_1 + K_2$  and operate firstly on the crossings of just one summand.

# YET ANOTHER APPROACH

## Definiton-Theorem

The Jones polynomial invariant is a function

$$V : \{\text{Oriented links in } S^3\} \rightarrow \mathbb{Z}[t^{1/2}, t^{-1/2}]$$

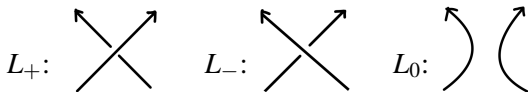
such that

- I.  $V(\bigcirc) = 1$
- II. whenever three oriented links  $L_+$ ,  $L_-$  and  $L_0$  are the same, except in the neighbourhood of a point where they are shown as in the next slide, then

$$t^{-1}V(L_+) - tV(L_-) + (t^{-1/2} - t^{1/2})V(L_0) = 0.$$

# SKEIN RELATION

$$t^{-1}V(L_+) - tV(L_-) + (t^{-1/2} - t^{1/2})V(L_0) = 0$$



## Skein Relation

In general, a skein relationship requires three link diagrams that are identical except at one crossing. To recursively define a knot (link) polynomial, a function  $F$  is fixed and for any triple of diagrams and their polynomials labelled as above,

$$F(L_+, L_-, L_0) = 0.$$

# UNLINK



$$t^{-1}V(L_+) - tV(L_-) + (t^{-1/2} - t^{1/2})V(L_0) = 0$$

But  $L_+$  and  $L_-$  are the unknot, so  $V(L_+) = V(L_-) = 1$ .

$$V(L_0) = -\frac{t^{-1} - t}{t^{-1/2} - t^{1/2}}$$

$$V(L_0) = -t^{-1/2} - t^{1/2}$$

# YET MORE APPROACHES

# CONJECTURE

# COLOURED JONES POLYNOMIALS

Whatever it means



# CONJECTURES

AJ Conjecture

Volume Conjecture